

# Lecture Notes for Nonlinear and Quantum Optics

PHYS 953

Fall 2010

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Kansas State University



## PHYS 953 – Adv. Topics/Non-linear and Quantum Optics - Fall 2010

Lecture: T/U, 1:05-2:20 p.m. CW 145

**Textbooks:** *Nonlinear Optics*, Boyd; *Introductory Quantum Optics*, Gerry and Knight;

**Suggested References:** *Introduction to Quantum Optics, From Light Quanta to Quantum Teleportation*, Paul; *The Quantum Challenge*, Greenstein and Zajonc; *Quantum Optics*, Walls and Milburn; *Coherence and Quantum Optics*, Mandel and Wolf; *Nonlinear Optics*, Shen; *Nonlinear Fiber Optics*, Agrawal; *Handbook of Nonlinear Optics*, Sutherland; *Handbook of Nonlinear Optical Crystals*, Dmitriev, Gurzadyan, and Nikogosyan; *Electromagnetic Noise and Quantum Optical Measurements*, Haus;

**Instructor:** Dr. Brian R. Washburn, CW 36B, (785) 532-2263, [washburn@phys.ksu.edu](mailto:washburn@phys.ksu.edu). Office hours: M/W/F 9:30-10:30 PM or by appt.

**Prerequisites:** A solid foundation in undergraduate-level quantum mechanics, electromagnetism, and optics.

**Course Objective:** The purpose of this course is to provide an introduction to the field of nonlinear optics, exploring the physical mechanisms, applications, and experimental techniques. Furthermore the fundamentals of quantum optics will be taught in the second half in this course. Connections between quantum and nonlinear optics will be highlighted throughout the semester. My goal is for students to end up with a working knowledge of nonlinear optics and a conceptual understanding of the foundations of quantum optics.

### **Grading:**

Exam 1	150 pts	300 pts
Exam 2	150 pts	
Mini-Projects		500 pts
Final Project		200 pts
<b>Total possible</b>		<b>1000 pts</b>

**Exams:** There will be two exams during the semester. The format will be a take-home exam to be completed over 24 hours.

**Mini-Projects:** Problems in nonlinear and quantum optics are quite involved, so traditional homework assignments will not properly teach the material. So, the homework for this course will be in the form

of mini-projects. The mini-projects will be a detailed solution of interconnected problems related to lecture topics. The problems will need to be solved using resources beyond the textbook and class notes. The purpose of the mini-projects is to mimic problem-solving scenarios found in a research environment.

There will be between 5-7 mini-projects, each given with two or more weeks for completion. Working on the mini-projects in groups is strongly encouraged, but you will need to write up the assignment on your own.

**Final Project:** There will be a final project for the class but no final exam. The final project will be an investigation of a topic or problem in the areas of nonlinear and quantum optics, that will involve a literature search and some original work. The final project will consist of three parts:

Part 1: Abstract and bibliography

Part 2: 6 page paper plus references

Part 3: 15 minute presentation

**Late Projects:** No project will be accepted after its due date unless prior arrangements have been made. Please inform me with possible conflicts before the due date, and other arrangements will be made.

**Class Material:** Extra class materials are posted on K-state Online, including papers and tutorials.

**Disabilities:** If you have any condition such as a physical or learning disability, which will make it difficult for you to carry out the work as I have outlined it or which will require academic accommodations, please notify me and contact the Disabled Students Office (Holton 202), in the first two weeks of the course.

**Plagiarism:** Plagiarism and cheating are serious offenses and may be punished by failure on the exam, paper or project; failure in the course; and/or expulsion from the University. For more information refer to the "Academic Dishonesty" policy in K-State Undergraduate Catalog and the Undergraduate Honor System Policy on the Provost's web page: <http://www.ksu.edu/honor/>.

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Tentative Course Schedule, Nonlinear and Quantum Optics, PHYS 953, Fall 2010

Date	Topic	Chapters	Projects
Aug. 24 (T)	Class overview: review of linear optics and the semi-classical treatment of light Review of material dispersion and absorption		
Aug. 26 (U)	Introduction to nonlinear optics: the nonlinear susceptibility —Formal definitions —Nonlinear optics and mechanics: analogy to anharmonic motion	B1	
Aug. 31 (T)	The Maxwell's wave equation in a nonlinear medium Symmetry and nonlinear optical properties	B1	
Sept. 1 (U)	Second order nonlinear effects —Coupled equations: Sum frequency and second harmonic generation —Phase matching in second harmonic crystals	B2	MP1 Due
Sept. 7 (T)	Second harmonic generation with ultrashort pulses —Phasematching and bandwidth issues	B2	
Sept. 9 (U)	Difference and sum frequency generation —Parametric amplification in crystals, optical parametric oscillators	B2	
Sept. 14 (T)	No Class (need to make this day up)		
Sept. 16 (U)	No Class (need to make this day up)		
Sept. 21 (T)	Applications for second harmonic generation —Ultrashort pulse measurements	B2	
Sept. 23 (U)	Applications for second harmonic generation —Carrier-envelope phase measurement: the $f$ -to- $2f$ interferometer		MP2 Due
Sept. 28 (T)	Catch up day!		
Sept. 30 (U)	Third order nonlinear effects: Intensity dependent refractive index; four-wave mixing Nonlinear fiber optics: fiber parametric oscillators	B4, B13	
Oct. 5 (T)	More nonlinear fiber optics —Pulse propagation in a third order nonlinear medium, soliton generation	B4, B13 Exam 1	
Oct 6 (W)	Exam 1 Due		
Oct. 7 (U)	Spontaneous and stimulated Raman scattering —Spontaneous Raman scattering —Stimulated Raman scattering in third order media	B4	
Oct. 12 (T)	More on stimulated Raman scattering: CARS spectroscopy	B9	
Oct. 14 (U)	Third order effects in gases: applications for short pulse generation	B9	MP3 Due
Oct. 19 (T)	High field processes: higher harmonic generation	B13	
Oct. 21 (U)	Introduction to quantum optics: What is a photon? —The photoelectric effect —The Hanbury-Brown and Twiss experiment	G1	
Oct. 26 (T)	No Class (need to make this day up)		
Oct. 28 (U)	What is a photon? —The photoelectric effect revisited: Lamb and Scully —The Aspect experiments	G1	
Nov. 2 (T)	What is a photon? —Wheeler's delayed choice experiment —Quantum beat experiments	G2	
Nov. 4 (U)	Field quantization and coherent states	G2	
Nov. 9 (T)	More on coherent states	G2, G3	MP4 Due
Nov. 11 (U)	Interferometry with a single photon	G2, G3	
Nov. 16 (T)	Bell's theorem and quantum entanglement —EPR Paradox and Bell's Theorem	G9 Exam 2	
Nov. 17 (W)	Exam 2 Due		Final project part 1 due
Nov. 18 (U)	Optical tests of EPR: violations of the Bell's inequality	G9	
Nov. 23 (T)	Thanksgiving Break		
Nov. 25 (U)	Thanksgiving Break		
Nov. 30 (T)	Nonclassical light: squeezed states	G9	
Dec. 2 (U)	Optical tests of quantum mechanics	G9	MP5 Due
Dec. 7 (T)	Catch up day!		Final project part 2 due
Dec. 9 (U)	Final Project Presentations		Final project part 3 due
Dec. 14 (T)	Final Project Presentations: Exam Period 2:00 PM – 3:50 PM		

Books: B= Boyd, *Nonlinear Optics*, G= Gerry and Knight, *Introductory Quantum Optics*;

## Lecture 1

Intro to nonlinear + quantum optics

Go over syllabus

Cover

Intro to nonlinear optics

Intro to quantum optics : what is a photon

Start with review

Maxwell's wave eq

Lorentz model

Define some terms

— Optics : Study of light & its interaction with matter

— nonlinear optics : Study of the interaction of ~~intense~~ <sup>intense!</sup> light and matter (large # of photons)  
with a nonlinear response

Classical / Semiclassical description

Semiclassical : Classical electric field with quantized states of matter.

— Quantum Optics : The quantum nature of light  
(small # of photons)  
Quantum Treatment



## The linear susceptibility (electric)

$$\vec{P} = \epsilon_0 \sum_{i=1}^3 \hat{x}_i \sum_{j=1}^3 \chi_{ij}^{(1)} E_j$$

$\vec{P} \equiv$  polarization  
net dipoles per unit  
Volume.

$\vec{E} \equiv$  real instantaneous field

$$\hat{x}_i : \hat{x}, \hat{y}, \hat{z}$$

$$\vec{E} = \sum_{i=1}^3 \hat{x}_i E_i$$

For linear isotropic homogeneous media we have

$$\vec{P} = \epsilon_0 \chi^{(1)} \vec{E} \quad (\text{really } \vec{P}(\omega) = \epsilon_0 \chi^{(1)} \vec{E}(\omega))$$

(could talk about  $M$  where  $\vec{M} = \chi_m \vec{H}$ )

## The nonlinear susceptibility

$$\vec{P} = \epsilon_0 \sum_i \hat{x}_i \left[ \sum_j \chi_{ij}^{(1)} E_j + \sum_{jk} \chi_{ijk}^{(2)} E_j E_k + \sum_{jkl} \chi_{ijkl}^{(3)} E_j E_k E_l + \dots \right]$$

$\chi^{(n)} \equiv$   $n+1$  rank tensor with  $3^{n+1}$  terms

$$\chi^{(1)} \equiv 9 \text{ terms} \quad \chi^{(2)} \equiv 27 \text{ terms} \quad \chi^{(3)} \equiv 81 \text{ terms}$$

The number of terms get quite large, For  $\chi^{(2)}$  we have  
the mixing of three fields  $\omega_1, \omega_2, \omega_3$   $\omega_3 = \omega_2 + \omega_1$

There are  $3! \times 2$  ways to make this product. So the # of  
elements needed to describe a  $\chi^{(2)}$  effect is

$$3! \times 2 \times 27 = 324$$

For  $\chi^{(3)}$  its 1944!

Induced dipole moment

$$\vec{P} \sim - N \epsilon \vec{r}$$

↑  
dipoles per unit volume

Linear material in freq domain

$$\vec{P}(\omega) = \epsilon_0 \chi^{(1)} \vec{E}(\omega) \quad \text{isotropic}$$

$$\vec{P}_i(\omega) = \sum_j \epsilon_0 \chi_{ij} \vec{E}_j(\omega) \quad \text{anisotropic}$$

Time domain

$$\vec{P}(t) = \epsilon_0 \int \chi(t-t') \cdot \vec{E}(t-t') dt'$$

$\chi \rightarrow \delta(t-t')$  for fast materials

What do nonlinear effects do?

Create "new" spectral components

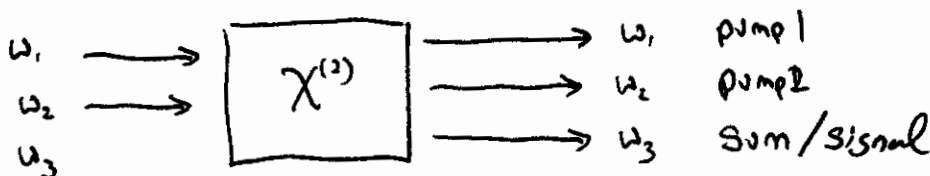
Keeping energy conservation in mind

Nonlinear effects create new spectral components by shifting spectral energy to new frequencies

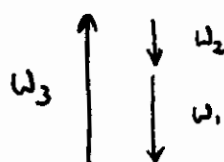
# Examples of nonlinear effects $\chi^{(2)}$

## Sum frequency generation.

$$\omega_3 = \omega_1 + \omega_2$$



Photon process

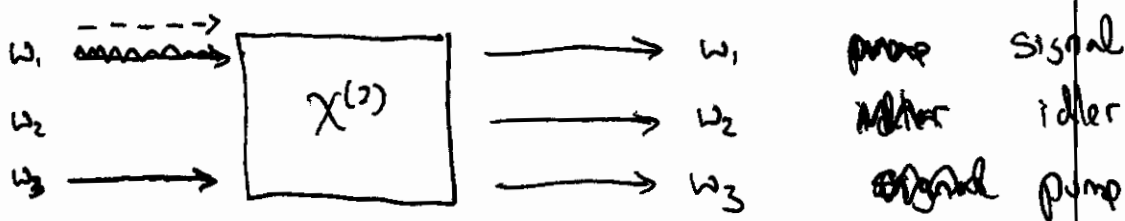


one photons  $\omega_2 + \omega_1$   
are destroyed to create  $\omega_3$

Degenerate sum frequency generation  $\omega_1 = \omega_2 \Rightarrow$  second harmonic generation

## Difference frequency generation

$$\omega_2 = \omega_3 - \omega_1$$



a photon at  $\omega_2$  is created thru the destruction of  $\omega_3$  and creation of  $\omega_1$

(amplification of  $\omega_2$ )

Degenerate DFG  $\Rightarrow \omega_3 - \omega_1 \Rightarrow$  optical rectification

$$\pm \omega_m = \pm \omega_n \pm \omega_k \quad \left( \begin{array}{l} \text{lhs: } + \text{ create } - \text{ destroy} \\ \text{rhs: } - \text{ create } + \text{ destroy} \end{array} \right)$$

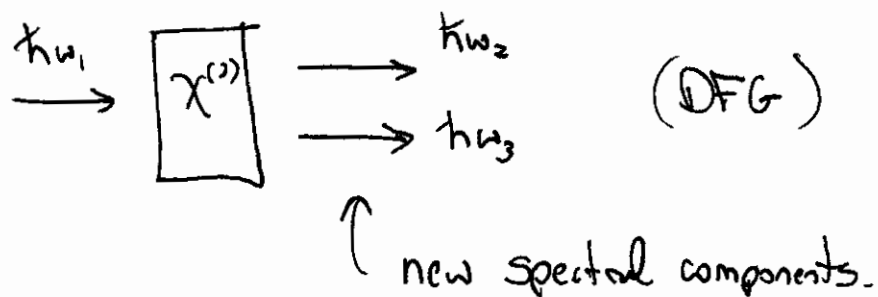
Examples of  $\chi^{(3)}$ : SRS, SPM, supercontinuum generation

What about photons?

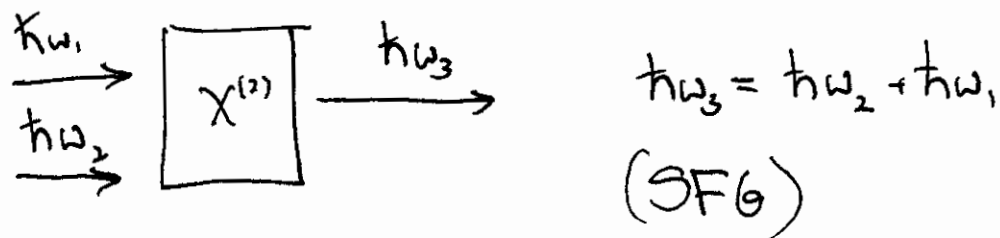
Energy is conserved, but not photon #

$$\hbar\omega_1 = \hbar\omega_2 + \hbar\omega_3$$


one high freq. photon splits into two lower frequency photons



OR



Also momentum is conserved  $\hbar k_1 = \hbar k_2 + \hbar k_3$

photons  $\Rightarrow$  semiclassical context

## Important points on nonlinear oscillations

- 1) Frequency of oscillation depends on amplitude
- 2) Superposition principle does not hold

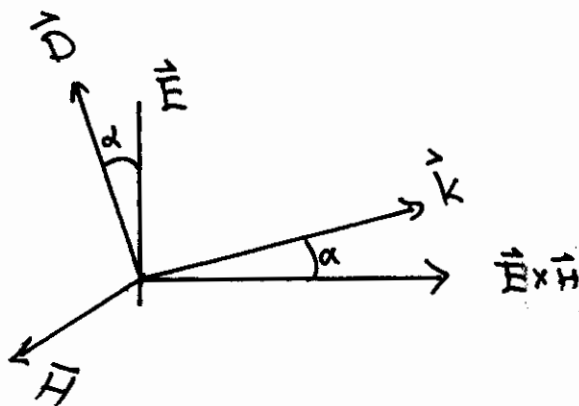
Nonlinear DE.

If  $x(t) + y(t)$  are a solution

$ax(t) + by(t)$  may not be a solution

## Questions about Fields

- 1) is  $\vec{D} \parallel \vec{E}$  in general? NO
- 2) is  $\vec{S} = \vec{E} \times \vec{H} \parallel \vec{k}$  in general? No
- 3) is  $\vec{H} \perp \vec{E}$  and  $\vec{H} \perp \vec{k}$  in general? YES



Maxwell's Eqs. inside matter

$$\begin{aligned} \vec{\nabla} \times \vec{E} &= -\partial_t \vec{B} & \vec{\nabla} \cdot \vec{D} &= \rho_f \\ \vec{\nabla} \times \vec{H} &= \vec{J}_f + \partial_t \vec{D} & \vec{\nabla} \cdot \vec{B} &= 0 \end{aligned}$$

From these we get

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} = \omega^2 \mu_0 \vec{D}$$

$$\underbrace{\quad}_{[\epsilon] \vec{E}}$$

↑ matrix mult.

# Review

## Maxwell's Wave Eq. for dielectric materials (~~isotropic~~)

Start with

$$\vec{\nabla} \times \vec{E} = -\partial_t \vec{B} \quad \vec{\nabla} \times \vec{H} = \vec{J} + \partial_t \vec{D}$$

$$\vec{\nabla} \cdot \vec{D} = \rho \quad \vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} \quad \vec{P} = \epsilon_0 \chi \vec{E}$$

Assume sourceless, nonmagnetic ( $\mu = \mu_0$ ) material with  $\vec{J} = 0$   
( $\rho = 0$ )

Since  $\vec{\nabla} \cdot \vec{D} = 0$  then  $\vec{\nabla} \cdot \vec{E} = 0$ , also  $\vec{B} = \mu_0 \vec{H}$

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} = -\mu_0 \partial_t (\vec{\nabla} \times \vec{H})$$

$$= -\mu_0 \partial_t (\partial_t \vec{D}) \quad \text{but } \vec{D} = \epsilon_0 \vec{E} + \vec{P}$$

OK for anisotropic

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} = -\mu_0 \epsilon_0 \partial_t^2 \vec{E} + \mu_0 \partial_t^2 \vec{P} \quad (\mu_0 \epsilon_0 \equiv \frac{1}{c^2})$$

But  $\vec{\nabla} \times \vec{\nabla} \times \vec{E} = \vec{\nabla}(\vec{\nabla} \cdot \vec{E}) - \nabla^2 \vec{E}$  But  $\vec{\nabla} \cdot \vec{E} = 0$

So

$$-\nabla^2 \vec{E} = -\frac{1}{c^2} \partial_t^2 \vec{E} - \mu_0 \partial_t^2 \vec{P}$$

$$\boxed{\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}}$$

Source.

valid for anisotropic

In Boyd

$$\nabla^2 \tilde{\vec{E}} - \frac{n^2}{c^2} \partial_t^2 \tilde{\vec{E}} = \frac{1}{\epsilon_0 c^2} \partial_t^2 \tilde{\vec{P}}$$

Boyd Notation

$\tilde{\vec{E}}(t)$

rapidly varying  
time dependent Field

$\vec{E}(\omega)$

freq. , slowly varying

My notation

$\vec{E}(t)$

Real instantaneous Field

$\tilde{\vec{E}}(t)$

Complex instantaneous

$\vec{E}(t), \vec{E}(\omega)$

Amplitude

Intensity

$$I = \frac{2n}{3} |\vec{E}|^2 = 2n \sqrt{\frac{\epsilon_0}{\mu_0}} |\vec{E}|^2$$

$\eta$

$$\eta = 377 \Omega$$

Writing electric fields



## Factors of 2

Boyd  ~~$\tilde{E}(t) = E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + \dots$~~

$$\tilde{E}(t) = E(\omega) e^{-i\omega t} + c.c.$$

$$\tilde{P}^{(2)}(t) = \sum_n P(\omega_n) e^{-i\omega_n t}$$

Other notation (which I like)

$$\tilde{E}(t) = \frac{1}{2} (E(\omega) e^{-i\omega t} + c.c.)$$

$$\tilde{P}(t) = \frac{1}{2} \sum_n P(\omega_n) e^{i\omega_n t}$$

I will stick with ~~Boyd's~~ Boyd's convention on  $1/2$ , but not with  $\tilde{E}$

$$\vec{E}(\vec{r}, t) = \vec{E}(\vec{r}) e^{-i\omega t} + \vec{E}^*(\vec{r}) e^{i\omega t}$$

## Real Fields

$$E(r) = A e^{i\vec{k} \cdot \vec{r}}, \quad A = u e^{i\phi}$$

↑ slowly varying envelope

$$\vec{E}(\vec{r}, t) = \sum_{n=1}^{\infty} \vec{E}_n(\vec{r}, t)$$

$$\text{where } \vec{E}_n = \vec{E}_n(\vec{r}) e^{-i\omega_n t} + c.c.$$

↑ complex conjugate

Factors of  $\frac{1}{2}$

Boyd  $\tilde{E}(\vec{r}, t) = \sum_n' \tilde{E}_n(\vec{r}, t) \quad (1.3.1)$

where  $\tilde{E}_n(\vec{r}, t) = \tilde{E}_n(\vec{r}) e^{-i\omega_n t} + \text{c.c.} \quad (1.3.2)$

$\Sigma'$ : Sum over positive frequencies only

Also from Boyd

$$E_n = E(\omega_n) \quad A_n = A(\omega_n)$$

where  $E(-\omega_n) = E(\omega_n)^*$   $A(-\omega_n) = A(\omega_n)^*$

$$\tilde{E}(\vec{r}) = \sum_n E(\omega_n) e^{-i\omega_n t} \quad \left\{ \begin{array}{l} \text{Sum over positive + negative} \\ \text{frequencies} \end{array} \right.$$

$$\tilde{E}(\vec{r}, t) = \mathcal{E} \cos(\vec{k} \cdot \vec{r} - \omega t)$$

$$E(\omega) = \underbrace{\frac{1}{2} \mathcal{E} e^{i\vec{k} \cdot \vec{r}}}_{A(\omega)}$$

$$E(-\omega) = \underbrace{\frac{1}{2} \mathcal{E} e^{-i\vec{k} \cdot \vec{r}}}_{A(-\omega)}$$

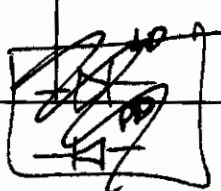
B+C

$$\tilde{E}(t) = \frac{1}{2} \sum_{\omega' \geq 0} [E_{\omega'} \exp(-i\omega' t) + E_{-\omega'} \exp(i\omega' t)]$$

$E(t)$  real so  $E_{-\omega'} = E_{\omega'}^*$

$$\tilde{E}(\omega) = \frac{1}{2} \sum_{\omega' \geq 0} [E_{\omega'} \delta(\omega - \omega') + E_{-\omega'} \delta(\omega + \omega')]$$

K Factor



$$K(-\omega_j, \omega_1, \omega_2, \dots, \omega_n)$$

$$\omega_0 = \omega_1 + \omega_2 + \dots + \omega_n$$

$$= 2^{l+m-n} \rho$$

$\rho \equiv$  # of permutations of  $\omega_1 \dots \omega_n$

$n \equiv$  order of nonlinearity

$m \equiv$  set of  $n$  frequencies are zero

$l \equiv$   $l=1$  if  $\omega_0=0$  otherwise  $l=0$

$$\left( P_{\omega_0}^{(n)} \right)_\mu = \epsilon_0 \sum_{\substack{\alpha_1 \dots \alpha_n \\ \uparrow \text{components}}} \sum_{\omega} K(-\omega_0; \omega_1, \dots, \omega_n) \\ \times X_{\mu \alpha_1 \dots \alpha_n}^{(n)}(-\omega_0; \omega_1, \dots, \omega_n) \\ \times (E_{\omega_1})_{\alpha_1} \dots E_{(\omega_n)}_{\alpha_n}$$

Sutherland

$$\vec{E}^{(v)}(\vec{r}, t) = \hat{e} A(\vec{r}, t) \exp(i k z - \omega t) + \text{C. C.}$$

include negative frequencies

negative  $\rightarrow$  different direction

D notation

$$P_i(\omega_3) = \epsilon_0 D^{(2)} \sum_{jk} X_{ijk}^{(2)}(-\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

$$D^{(2)} = \begin{cases} 1 & \text{indistinguishable} \\ 2 & \text{distinguishable} \end{cases}$$

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

## Lecture Two

Need to discuss seminal papers.

- 1) P.A. Franken et al 1962
- 2) Armstrong et al 1961

Seminal papers

Latin root  $\Rightarrow$  seed

Lorentz Model

Review Wave Eq

$$\vec{\nabla}^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}$$

For linear isotropic material  $\vec{P} = \epsilon_0 \chi \vec{E}$  we can write

$$\vec{\nabla}^2 \vec{E} - \frac{n^2}{c^2} \partial_t^2 \vec{E} = 0$$

with  $n^2 = 1 + \chi^2$

## Index of refraction

$$v = \frac{c}{n(\omega)}$$

$$c^2 = \frac{1}{\epsilon_0 \mu_0}$$

$$k(\omega) = \frac{n(\omega) \omega}{c}$$

Back to wave eq. (1D)

$$\partial_x^2 \psi - \frac{1}{v^2} \partial_t^2 \psi = 0$$

Maxwell's wave eq.

$$\nabla^2 \mathbf{E} - \frac{\partial^2}{c^2} \partial_t \mathbf{E} = 0$$

nm

Solution  $\exp(i\vec{k} \cdot \vec{r} - i\omega t)$

## Absorption

$$I_0 = I_{in} e^{-\alpha z}$$

Beer's Law

$$\alpha = \alpha(\omega)$$

# Lorentz Model For a linear dielectric medium

Collection of  $N$  electrons per unit volume. We want to find the response of the medium to an applied electric field  $\vec{E}$

Induced polarization

$$\vec{P} = -Ne\vec{r} \quad (\text{complex}) / \text{oscillates}$$

at equilibrium

$$-e\vec{E} = k\vec{r}$$

↑  
atomic restoring force

$$\vec{r} = -\frac{e\vec{E}}{k}$$

So 
$$\vec{P} = \frac{Ne^2}{k} \vec{E}$$

Treat the medium as a collection of harmonic oscillators with  $E=E(t)$

$$m\ddot{\vec{r}} + \underbrace{m\gamma\dot{\vec{r}}}_{\text{damping}} + \underbrace{k\vec{r}}_{\text{restoring force}} = \underbrace{-e\vec{E}}_{\text{driving force}}$$

Damped driven oscillator

Assume  $E \sim e^{i\omega t}$  so  $r(t) \sim e^{i\omega t}$  in steady state

Sub into DE

$$\dot{r} \sim +i\omega e^{i\omega t} \quad \ddot{r} \sim -\omega^2 e^{i\omega t}$$

$$(-m\omega^2 + i\omega m\gamma + k)\vec{r} = -e\vec{E}$$

But  $\vec{P} = -Ne\vec{r}$

$$\vec{r} = \frac{-e\vec{E}}{m\omega^2 + i\omega m\gamma + k} = \frac{-eE/m}{(\omega_0^2 - \omega^2) + i\gamma\omega}$$

where  $\omega_0^2 = k/m$

So

~~$$\vec{P} = \frac{Ne^2}{m\omega_0^2 - \omega^2} \vec{E}$$~~

$$\vec{P} = \frac{Ne^2/m}{(\omega_0^2 - \omega^2) + i\omega\gamma} \vec{E} = \epsilon_0 \chi_e^{(1)} \vec{E}$$

So

$$\chi_e^{(1)} = \frac{Ne^2/m\epsilon_0}{(\omega_0^2 - \omega^2) + i\omega\gamma}$$

← complex

Write  $\chi_e^{(1)} = \chi_e' + i\chi_e''$  Express in real + imaginary parts

Use this polarization in the Maxwell's wave eq (same term)

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} + \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}$$

Source free medium so  $\vec{\nabla} \cdot \vec{D} = 0$  so  $\vec{\nabla} \cdot \vec{E} = 0$

$$\boxed{\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}}$$

Sub in  $\vec{P}$  into wave eq.

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \frac{Ne^2/m}{\omega_0^2 - \omega^2 + i\gamma\omega} \vec{E}$$

$$\nabla^2 \vec{E} = \frac{1}{c^2} \left( 1 + \frac{\mu_0 Ne^2/m}{\omega_0^2 - \omega^2 + i\gamma\omega} \right) \partial_t^2 \vec{E} \quad c^2 = \frac{1}{\epsilon_0 \mu_0}$$

$$\nabla^2 E = \frac{1}{c^2} \left( 1 + \frac{Ne^2}{m\epsilon_0} \frac{1}{\omega_0^2 - \omega^2 + i\gamma\omega} \right) \partial_t^2 E$$

~~~~~  
looks like  $n^2$

$$\bar{n}^2 = 1 + \frac{Ne^2}{m\epsilon_0} \left( \frac{1}{\omega_0^2 - \omega^2 + i\gamma\omega} \right)$$

Complex index  
(index + absorption)

Rewrite

$$\bar{n} = n + i \frac{c}{\omega} \frac{\alpha}{2} \quad (\text{why } \frac{1}{2}?)$$

separate real + imaginary parts

$$\frac{1}{\omega_0^2 - \omega^2 + i\gamma\omega} \frac{(\omega_0^2 - \omega^2) - i\gamma\omega}{(\omega_0^2 - \omega^2) - i\gamma\omega} = \frac{1}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} (\omega_0^2 - \omega^2 - i\gamma\omega)$$

$$\text{So } \bar{n}^2 = \left[ 1 + \frac{Ne^2}{m\epsilon_0} \left( \frac{\omega_0^2 - \omega^2}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} \right) \right] + i \left[ \frac{\gamma\omega}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} \right]$$



And  $\bar{n}^2 = \left( n^2 - \left( \frac{c\alpha}{2\omega} \right)^2 \right) + i \left( \frac{2n c \alpha}{2\omega} \right)$

So

$$n^2 - \frac{c^2 \alpha^2}{4\omega^2} = 1 + \frac{Ne^2}{m\epsilon_0} \left( \frac{\omega_0^2 - \omega^2}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} \right)$$

$$\frac{n c \alpha}{\omega} = \frac{Ne^2}{m\epsilon_0} \left( \frac{\gamma \omega}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} \right)$$

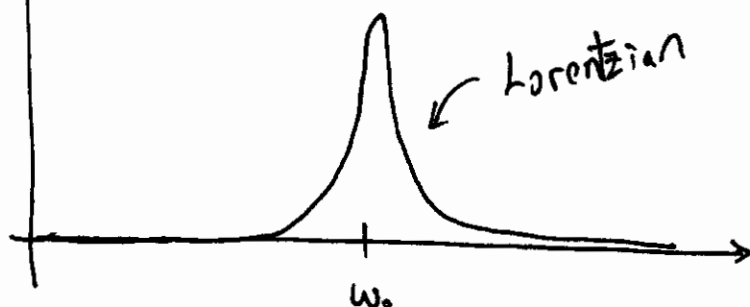
Solve for  $n(\omega)$  +  $\alpha(\omega)$

Look at susceptibility  $\chi(\omega)$

$\text{Re}(\chi) = \chi'$



$\text{Im}(\chi) = \chi''$



Define  $\chi_c = \chi'_c + i \chi''_c$

$$\chi''_c = \frac{Ne^2}{m\epsilon_0} \left( \frac{\omega \gamma}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} \right)$$

$$\chi'_c = \frac{Ne^2}{m\epsilon_0} \left( \frac{(\omega_0^2 - \omega^2)}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} \right)$$

Show plots

Kramer Kronig relations  $\Rightarrow$

{ Know  $\chi'$  can get  $\chi''$

assuming causality

Time domain

Reuter's-Kronig relationship

$$\vec{D} = \epsilon_0 \int_{-\infty}^{\infty} R(\tau) E(t-\tau) d\tau$$

Causality

$$X(\omega) = \int_0^{\infty} R(\tau) e^{i\omega\tau} d\tau$$

↑  
response, real function

where by causality  $R(\tau) = 0 \quad \tau < 0$   
 $\uparrow$   $R(\tau)$  is real (P.E.)  
 (effect does not precede the cause)

This implies  $X(-\omega) = X^*(\omega)$

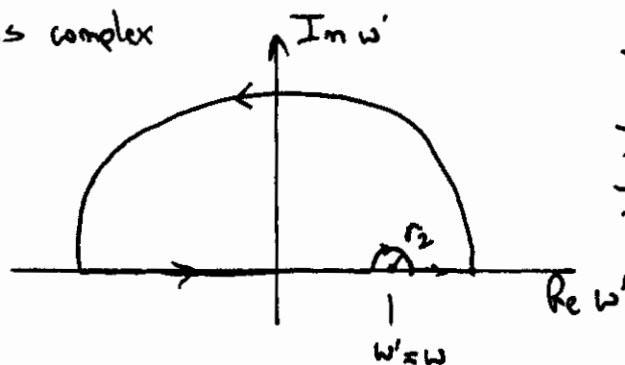
Since  $R(\tau)$  is real

$X(\omega)$  is analytic (single valued + passing derivatives)  
 in upper half of complex plane ( $\text{Im } \omega > 0$ )

$$\text{Re}\{X(\omega)\} = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{\text{Im}\{X(\omega')\} d\omega'}{\omega' - \omega}$$

$$\text{Im}\{X(\omega)\} = -\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{\text{Re}\{X(\omega')\} d\omega'}{\omega' - \omega}$$

where  $\omega'$  is complex



$$I_n(A) = 0$$

$$I_n(C) = -\pi i X(\omega)$$

$$I_n(B) = 0$$

Fourier transform

$$X(\omega) = \mathcal{F}\{R(t)\}$$

If  $R(t)$  is

- real
- $R(t) = 0$  for  $t < 0$

Then  $X(\omega) = \mathcal{F}\{R(t)\}$  will be ;

- $X(\omega)$  is complex  $X(\omega) = X'(\omega) + i X''(\omega)$
- $\text{Re}\{X(\omega)\}$  will be anti-symmetric function  
 $X'(-\omega) = -X'(\omega)$
- $\text{Im}\{X(\omega)\}$  will be symmetric  
 $X''(-\omega) = X''(\omega)$

Fourier transform

$$f(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(t) e^{-i\omega t} dt$$

$$f(t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(\omega) e^{-i\omega t} d\omega$$

More<sup>on</sup> causality (from Arfken)

effect cannot precede cause

convolution integral

$$H(t) = \int_{-\infty}^{\infty} R(t-t') G(t') dt' = R(t) \otimes G(t)$$

Causality demands

$$F(t-t') = 0 \quad \text{for} \quad t-t' < 0$$

$$\begin{aligned} \text{Find } H(\omega) &= \mathcal{F}\{H(t)\} \\ &= \mathcal{F}\left\{ \int_{-\infty}^{\infty} R(t-t') G(t') dt' \right\} \\ &= \mathcal{F}\{R(t) \otimes G(t)\} \\ &= \mathcal{F}\{R(t)\} \mathcal{F}\{G(t)\} \end{aligned}$$

$$H(\omega) = R(\omega) G(\omega)$$

Titchmarsh Th<sup>m</sup> If  $F(\omega)$  is square integrable over real  $\omega$  axis  
~~and  $F(\omega)$  is zero for  $\omega < 0$~~   
then one of the three statements is true

- $\mathcal{F}\{F(\omega)\}$  is zero for  $t < 0$

- $F(\omega)$  is analytic in the complex plane for  $\text{Im}(\omega) > 0$

- The real + Imaginary parts of  $F(\omega)$  are Hilbert transforms of each other

(See Jackson for more information)

Special cases:  $\chi_e'' \ll 1 + \chi_e'$

$$\bar{n} = \sqrt{1 + \chi_e'} + i \left( \frac{\chi_e''/2}{\sqrt{1 + \chi_e'}} \right)$$

↑  
index  $n$   
 $n = \sqrt{1 + \chi_e'}$

↑  
absorption

Weak absorption  $\Rightarrow$  far from resonance ( $\omega_0$ )

Also

$$\chi_e' \sim \frac{Ne^2}{(4\pi c)^2 \epsilon_0 m} \frac{\lambda^2}{\lambda^2 - \lambda_0^2}$$

OR  $n^2(\lambda) = 1 + \sum_i \left( \frac{A_i \lambda^2}{\lambda^2 - \lambda_{0i}^2} \right)$  Selleneier Eq

## Optical Pulse : Group + Phase Velocities

Two plane waves of frequency  $\omega_1 + \omega_2 \Rightarrow$  Mix to get beat frequency

optical pulse  $\Rightarrow$  finite temporal duration

$\Rightarrow$  finite spectral bandwidth

Time + Frequency domains related by Fourier Transform

## Phase + Group Velocity

Phase velocity  $\Rightarrow$  velocity of one spectral component

$$v_p = c/n(\omega)$$

Remember

$$c = \lambda_0 f_0 = \frac{\lambda_0 \omega_0}{2\pi}$$

Group velocity  $\Rightarrow$  velocity of spectral packet about the carrier frequency  $\omega_0$

$$\text{so } \lambda_0 = \frac{2\pi c}{\omega_0}$$

$$v_g = \frac{d\omega}{d(\beta(\omega))}$$

$\beta(\omega) \Rightarrow$  propagation along  $\hat{z}$

$$\beta(\lambda) = n(\lambda) \frac{2\pi}{\lambda}$$

$$\beta(\omega) = \frac{n(\omega)\omega}{c}$$

## Group Delay + Group Index

$$\tau_g = z \frac{1}{v_g} = z \frac{d\beta}{d\omega} = z \frac{d}{d\omega} (n k_0)$$

$$k_0 = \frac{2\pi}{\lambda_0}$$

$$= z \frac{d\lambda}{d\omega} \frac{d}{d\lambda} \left( n(\lambda) \frac{2\pi}{\lambda_0} \right)$$

$$= \frac{z}{c} \left( n - \lambda \frac{dn}{d\lambda} \right)$$

$$N = n(\omega) + \omega \frac{dn}{d\omega}$$

Where

$$N = n - \lambda \frac{dn}{d\lambda} \quad \text{Group Index}$$

So

$$v_g = \frac{z}{\tau_g} = \frac{z}{z} \frac{c}{\left( n - \lambda \frac{dn}{d\lambda} \right)} = \boxed{\frac{c}{N}}$$

## Group velocity

We can be more straight forward here.

$$v_g = \frac{d\omega}{d\beta(\omega)} = \left( \frac{d\beta}{d\omega} \right)^{-1} = \left( \frac{d}{d\omega} \left( \frac{n(\omega)\omega}{c} \right) \right)^{-1}$$

$$v_g = \left( \frac{1}{c} \left( \frac{dn}{d\omega} \omega + \frac{n(\omega)}{\omega} \right) \right)^{-1}$$

$$v_g = \frac{c}{\left( n(\omega) + \omega \frac{dn(\omega)}{d\omega} \right)} = \frac{c}{N}$$

Group index

$$N = n + \frac{dn}{d\omega} \omega$$

$$\lambda = \frac{2\pi c}{\omega}$$

In wavelength  $c = \lambda \omega / 2\pi$

$$\omega = \frac{2\pi c}{\lambda}$$



$$N = n + \frac{dn}{d\lambda} \frac{d\lambda}{d\omega} \frac{2\pi c}{\lambda} = n \frac{dn}{d\lambda} \frac{2\pi c}{\lambda} \left( \frac{d\omega}{d\lambda} \right)^{-1}$$

~~$n - \frac{dn}{d\lambda} \frac{\lambda^2}{2\pi c} \frac{2\pi c}{\lambda}$~~  Where  $\left( \frac{d\omega}{d\lambda} \right) = -\frac{2\pi c}{\lambda^2}$

$$n - \frac{dn}{d\lambda} \frac{\lambda^2}{2\pi c} \frac{2\pi c}{\lambda}$$

$$N = n - \lambda \frac{dn}{d\lambda}$$

### Lecture 3

Review

$$\chi(\omega) = \chi'(\omega) + i\chi''(\omega)$$

$$\chi'' \Rightarrow \text{absorption}$$

$$\chi' \Rightarrow \text{index}$$

Far from resonance  $\chi'' \ll 1 + \chi'$

So  $n = \sqrt{1 + \chi'_e}$

$$\alpha = \frac{2\omega}{c} \frac{\chi''_e(\omega)/2}{\sqrt{1 + \chi'_e}}$$

Express  $n(\lambda)$  using Sellmeier eq.

$$n^2(\lambda) = 1 + \sum_i \left( \frac{A_i \lambda^2}{\lambda^2 - \lambda_i^2} \right)$$

Phase velocity

$$v_p = c/n$$

Group velocity

$$v_g = c/N = \frac{d\omega}{d\beta} = c \frac{1}{(n + \omega \frac{dn}{d\omega})} = \frac{c}{n + \lambda \frac{dn}{d\lambda}}$$

where  $\beta(\omega) = \frac{n(\omega)\omega}{c}$

If we express as Taylor series about  $\omega = \omega_0$

$$\beta(\omega) = \beta(\omega_0) + \left. \frac{\partial \beta}{\partial \omega} \right|_{\omega=\omega_0} (\omega - \omega_0) + \frac{1}{2} \left. \frac{\partial^2 \beta}{\partial \omega^2} \right|_{\omega=\omega_0} (\omega - \omega_0)^2 + \dots$$

$$\beta(\omega) = \beta_0 + \beta_1 (\omega - \omega_0) + \frac{1}{2} \beta_2 (\omega - \omega_0)^2$$



# Group velocity dispersion GVD

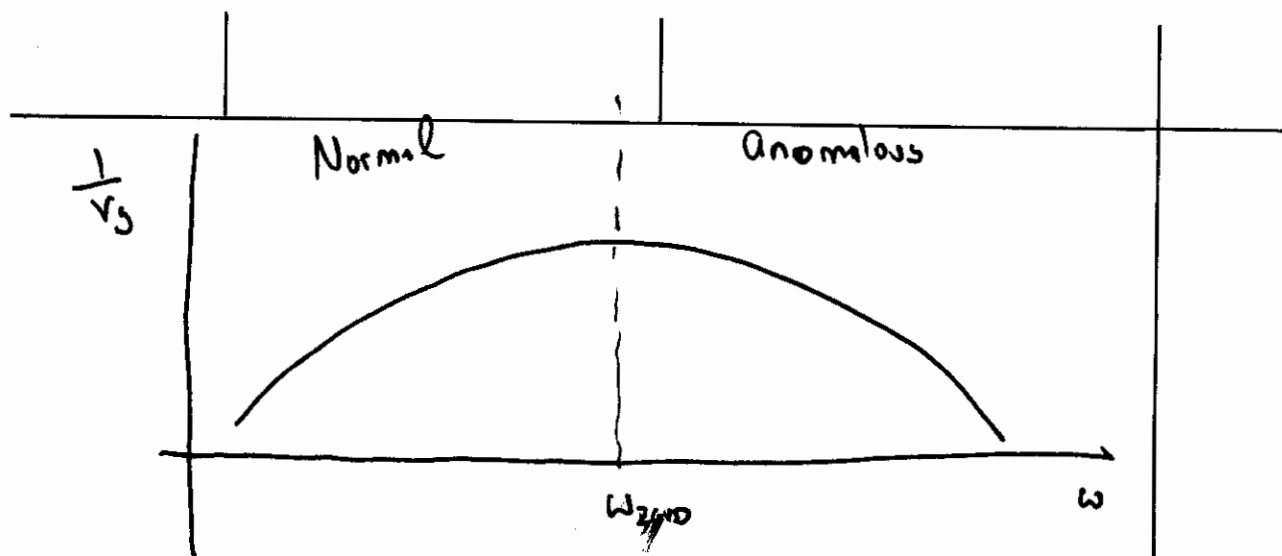
$$\left. \frac{\partial \beta}{\partial \omega^2} \right|_{\omega=\omega_0} = \frac{\partial}{\partial \omega} \left( \frac{1}{v_g} \right) = \left. \frac{\partial}{\partial \omega} (\beta_1) \right|_{\omega=\omega_0}$$

~~$$= \frac{c}{\omega} \frac{\partial}{\partial \omega} \left( \frac{1}{n} \right)$$~~

$$= c \frac{\partial}{\partial \omega} \left( \frac{1}{n + \omega \frac{dn}{d\omega}} \right)$$

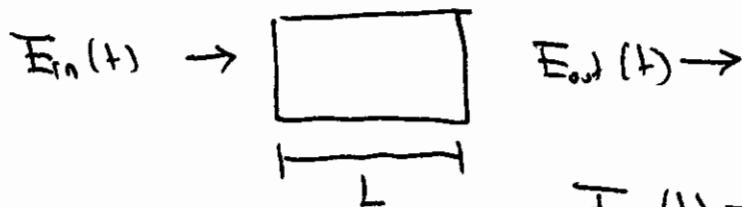
$$= c \frac{\partial \lambda}{\partial \omega} \frac{\partial}{\partial \lambda} \left( \frac{1}{n - \lambda \frac{dn}{d\lambda}} \right)$$

$$= \frac{\lambda^2}{2\pi} \frac{\partial}{\partial \lambda} \left( \frac{1}{n - \lambda \frac{dn}{d\lambda}} \right)$$



Group Velocity : Velocity of packet

Consider the propagation of a pulse thru a length  $L$



$$E_{in}(t) = A_{in}(t) \exp(-i\omega_0 t)$$

$\uparrow$  envelope       $\uparrow$  carrier

$$E_{out}(t) = \mathcal{F}^{-1} \left\{ \mathcal{F} \{ E_{in}(t) \} \exp(i\phi(\omega)) \right\}$$

where  $\phi(\omega) = \beta(\omega)L$

$$E_{out}(t) = \frac{1}{\sqrt{2\pi}} \int E_{in}(\omega) e^{i\phi(\omega)} e^{-i\omega t} d\omega$$

where  $E_{in}(\omega) = \mathcal{F} \{ E_{in}(t) \}$

rewrite in reference frame moving with  $\omega_0$ .

$$E_{out}(t) = \exp(i(\beta_0 L - \omega_0 t)) \frac{1}{\sqrt{2\pi}} \int A_{in}(\omega - \omega_0) \exp(i(\beta(\omega) - \beta_0)L) d(\omega - \omega_0)$$

If  $\beta(\omega) = \beta_0 + \beta_1(\omega - \omega_0)$

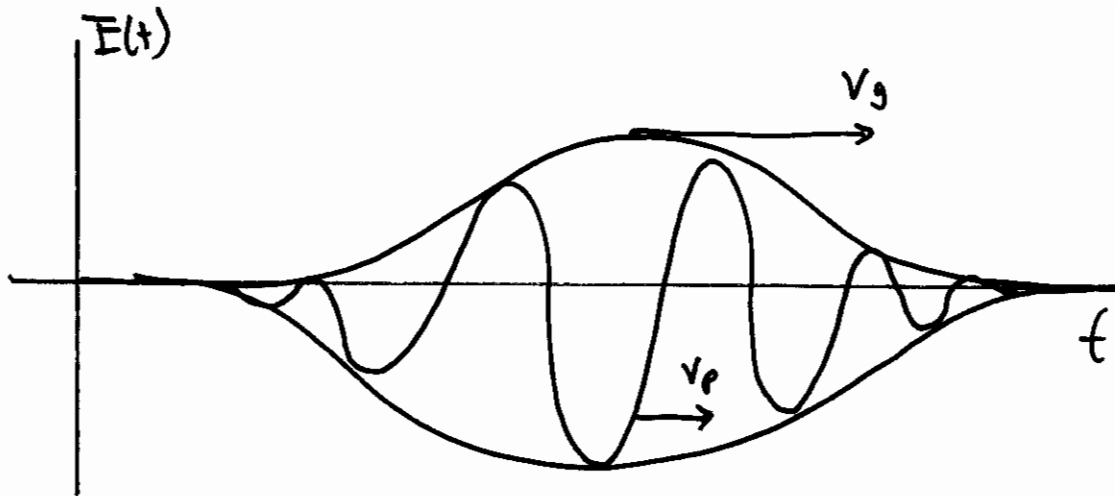
$$E_{out}(t) = \underbrace{\exp(i(\beta_0 L - \omega_0 t))}_{\text{phase shift}} \frac{1}{\sqrt{2\pi}} \int A_{in}(\omega - \omega_0) \exp(i\beta_1(\omega - \omega_0)L) \exp(-i(\omega - \omega_0)t) d(\omega - \omega_0)$$

phase shift  
with velocity

$$v_p = \frac{\omega_0}{\beta_0} = \frac{\omega_0}{n(\omega_0)\omega_0} = \frac{c}{n(\omega_0)}$$

If  $A_{out}(t) = \mathcal{F} \{ A_{out}(\omega) \} = \frac{1}{\sqrt{2\pi}} \int A_{in}(\omega - \omega_0) \exp(i\beta_1(\omega - \omega_0)L) \exp(-i(\omega - \omega_0)t) d(\omega - \omega_0)$

$$A_{out}(t) = A_{in}(t - \beta_1 L)$$



## Anomalous & Normal Dispersion

Jackson

~~Anomalous~~  
Normal

$n$  increases with increasing  $\omega$

Anomalous

$n$  decrease with increasing  $\omega$

Define using group velocity

$$\frac{\partial \omega}{\partial k} > 0$$

normal

Group index increases with  $\omega$

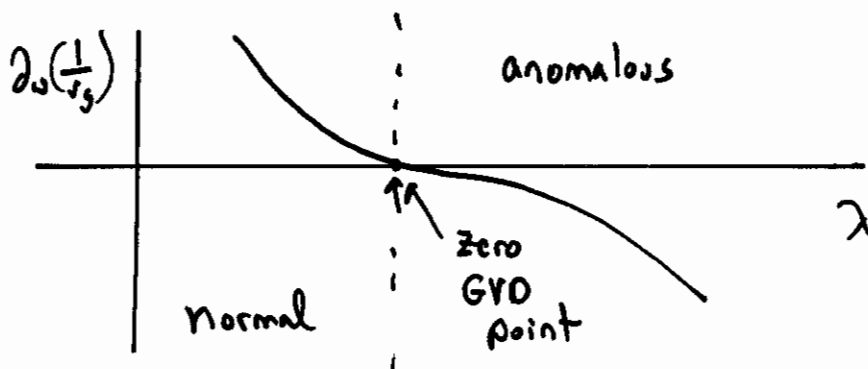
$$\frac{\partial \omega}{\partial k} < 0$$

anomalous

Group index decreases with  $\omega$

$$c \frac{\partial}{\partial \omega} \left( \frac{1}{n - \lambda \frac{dn}{d\lambda}} \right) = c \frac{\partial \lambda}{\partial \omega} \frac{\partial}{\partial \lambda} \left( \frac{1}{n - \lambda \frac{dn}{d\lambda}} \right)$$

$$= \frac{\lambda^2}{2\pi} \frac{\partial}{\partial \lambda} \left( \frac{1}{n(\lambda) - \lambda \frac{dn}{d\lambda}} \right)$$



## Fourier Transform

Forward  
transform

$$f(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(t) e^{i\omega t} dt$$
$$= \mathcal{F}\{f(t)\}$$

Inverse  
transform

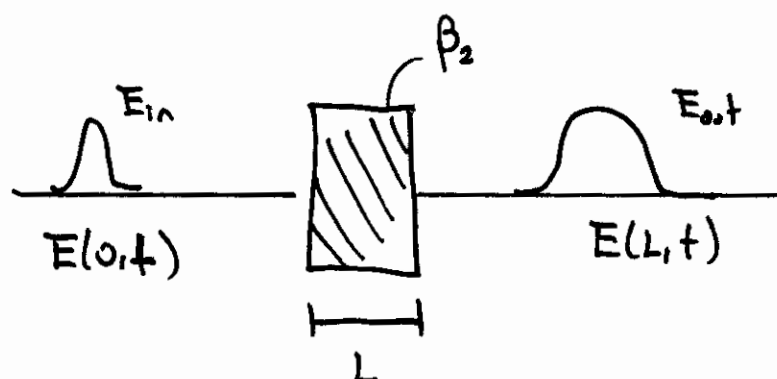
$$F(t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(\omega) e^{-i\omega t} d\omega$$
$$= \mathcal{F}^{-1}\{f(\omega)\}$$

# Pulse propagation

Dispersion induces a phase distortion on pulse  $\phi(\omega)$

$$\beta(\omega) = n(\omega) \omega / c = \beta_0 + \beta_1 (\omega - \omega_0) + \frac{1}{2} \beta_2 (\omega - \omega_0)^2 + \dots$$

$$\phi(\omega) = \beta(\omega) L$$



$$E(0, t) = A(t) \exp(i \omega_0 t)$$

$\mathcal{F} \equiv$  Fourier Transform

$$E(L, t) = \mathcal{F}^{-1} \left\{ \mathcal{F} \{ E(0, t) \} \exp(i \phi(\omega)) \right\}$$

Each spectral component propagated over  $L$ , and "sees" dispersion  $\beta(\omega)$

Each spectral component "sees" a delay with respect to the carrier frequency  $\omega_0$

Causes Frequency chirp

Positive chirp: "red" components travel faster than "blue"

Negative chirp: "blue" components travel faster than "red"

$$\beta_1 = \frac{1}{v_g} = \frac{1}{c} \left( n + \omega \frac{dn}{d\omega} \right)$$

$$\beta_3 =$$

$$\beta_2 = \frac{1}{c} \left( 2 \left( \frac{dn}{d\omega} \right) + \omega \frac{d^2 n}{d\omega^2} \right) \approx \frac{\lambda^2}{2\pi c^2} \frac{d^2 n}{d\lambda^2}$$

$\beta_2 > 0$  Normal

$\beta_2 < 0$  Anomalous

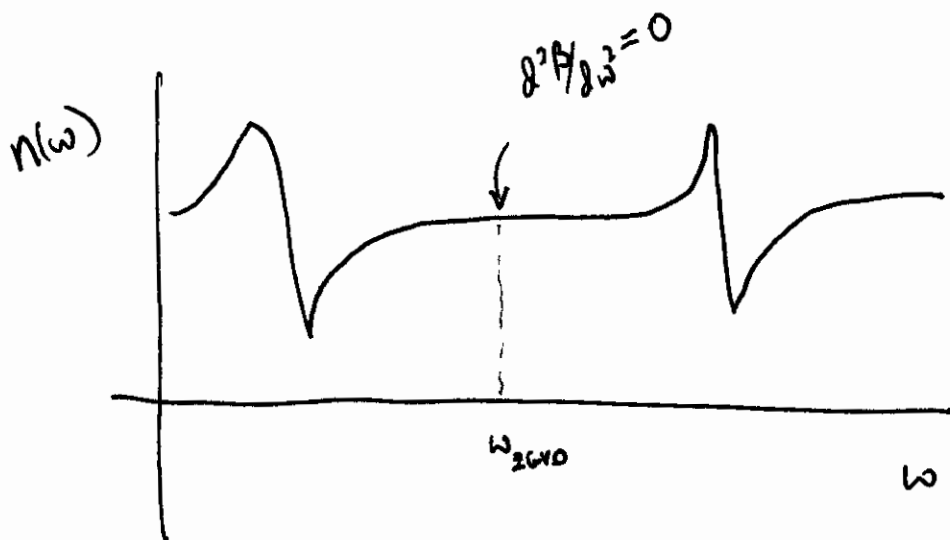
## Zero GVD

$$\left. \frac{\partial^2 \beta(\omega)}{\partial \omega^2} \right|_{\omega=\omega_0} = 0$$

$$\beta_2 = 0$$

Second derivative of  $\beta(\omega)$  is zero

$$\beta(\omega) = \frac{n(\omega) \omega}{c}$$



For Fused silica 1272 nm

Normal  $\beta_2 > 0$  Anomalous  $\beta_2 < 0$

## D parameter

$$D(\lambda) = \frac{1}{c} \frac{\partial N(\lambda)}{\partial \lambda} = - \frac{2\pi c}{\lambda^3} \beta_2$$

$D$  &  $\beta_2$  have opposite signs!

## Chirp

$$\Delta\omega = -d\phi/dt$$

temporal chirp

$$\Delta\omega = -d\phi/d\omega$$

spectral chirp

(moving frame)

## Intensity + phase

$$E(t) = \sqrt{I(t)} \exp(-i\phi(t))$$

$$\beta(\omega) = \beta_0 + \beta_1 (\omega - \omega_0) + \frac{1}{2} \beta_2 (\omega - \omega_0)^2 + \frac{1}{6} \beta_3 (\omega - \omega_0)^3$$

$$\beta_1 = \frac{1}{v_g} = \frac{N}{c} = \frac{1}{c} \left( n + \omega \frac{dn}{d\omega} \right)$$

$$\beta_2 = \frac{1}{c} \left( 2 \frac{dn}{d\omega} + \omega \frac{d^2 n}{d\omega^2} \right) \approx \frac{\lambda^2}{2\pi c^2} \frac{dn^2}{d\lambda^2}$$

$$\beta_3 = \frac{1}{c} \left( 2 \frac{d^2 n}{d\omega^2} + \frac{d^3 n}{d\omega^3} + \omega \frac{d^3 n}{d\omega^3} \right)$$

$$\begin{aligned} \beta_2 &= \frac{1}{c} \left( 2 \frac{dn}{d\omega} + \omega \frac{d^2 n}{d\omega^2} \right) \left\{ \begin{array}{l} \omega = \frac{2\pi c}{\lambda} \\ \frac{d\omega}{d\lambda} = -\frac{2\pi c}{\lambda^2} \end{array} \right. \\ &= \frac{1}{c} \left( 2 \frac{dn}{d\lambda} \frac{d\lambda}{d\omega} + \frac{2\pi c}{\lambda} \frac{d}{d\omega} \left( \frac{dn}{d\lambda} \frac{d\lambda}{d\omega} \right) \right) \\ &= \frac{1}{c} \left[ 2 \frac{dn}{d\lambda} \left( -\frac{\lambda^2}{2\pi c} \right) + \frac{2\pi c}{\lambda} \frac{d}{d\omega} \left( \frac{dn}{d\lambda} - \frac{\lambda^2}{2\pi c} \right) \right] \\ &= \frac{1}{c} \left[ -\frac{\lambda^2}{2\pi c} \frac{dn}{d\lambda} + \frac{1}{\lambda} \frac{d\lambda}{d\omega} \frac{d}{d\lambda} \left( \frac{dn}{d\lambda} - \frac{\lambda^2}{2\pi c} \right) \right] \\ &= \frac{1}{c} \left[ \dots \right] \end{aligned}$$



# Material Dispersion for Fused Silica

This notebook determines the wavelength index of refraction, group index, group velocity dispersion, quadratic and cubic dispersion coefficients for bulk fused silica.

## ■ Initial Definitions

Use  $c$  as the speed of light (in nm/fs).

$$c = 299.792458 ;$$

## ■ Determine Sellmeier equations and the material dispersion for bulk fused silica

Define the Sellmeier equation and coefficients for fused silica, values taken from "Fundamentals of Optical Fibers", J.A. Buck., pg 127. The equation is good for wavelengths in nanometers.

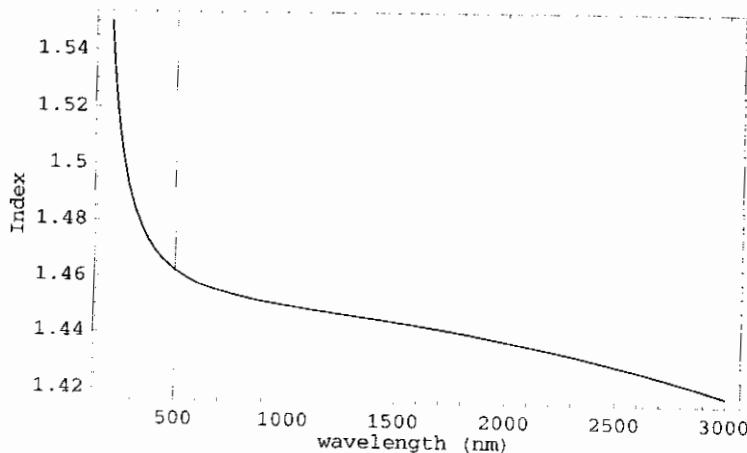
$$B1 = 0.6961663; B2 = 0.4076426; B3 = 0.8974794;$$

$$C1 = 0.0684043; C2 = 0.1162412; C3 = 9.896161;$$

$$n_o[\lambda] = \sqrt{\frac{B1 (\lambda / 1000)^2}{(\lambda / 1000)^2 - C1^2} + \frac{B2 (\lambda / 1000)^2}{(\lambda / 1000)^2 - C2^2} + \frac{B3 (\lambda / 1000)^2}{(\lambda / 1000)^2 - C3^2} + 1};$$

Plot the index as a function of wavelength

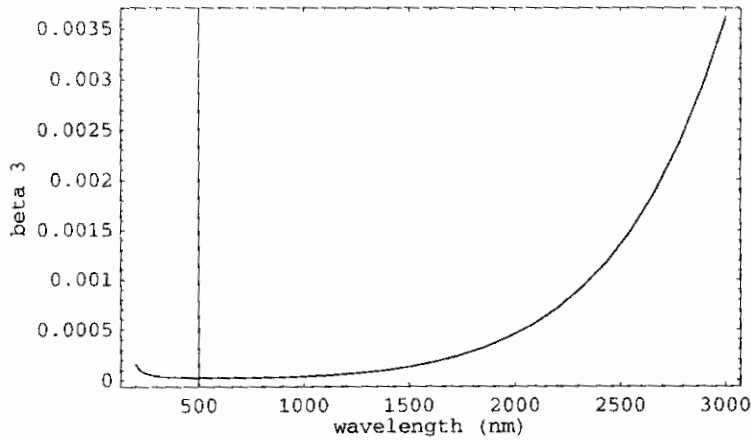
```
Plot[n_o[λ], {λ, 200, 3000}, PlotRange -> {All, All}, Frame -> True,
FrameLabel -> {"wavelength (nm)", "Index"}];
```



Determine the group index  $N_g$  using the expression we derived in class.

$$N_g[\lambda] = n_o[\lambda] - \lambda \partial_{\lambda} (n_o[\lambda]);$$

```
Plot[β3[λ], {λ, 200, 3000}, PlotRange -> {All, All}, Frame -> True,
FrameLabel -> {"wavelength (nm)", "beta 3"}];
```



## ■ Regions of normal and anomalous dispersion in fused silica

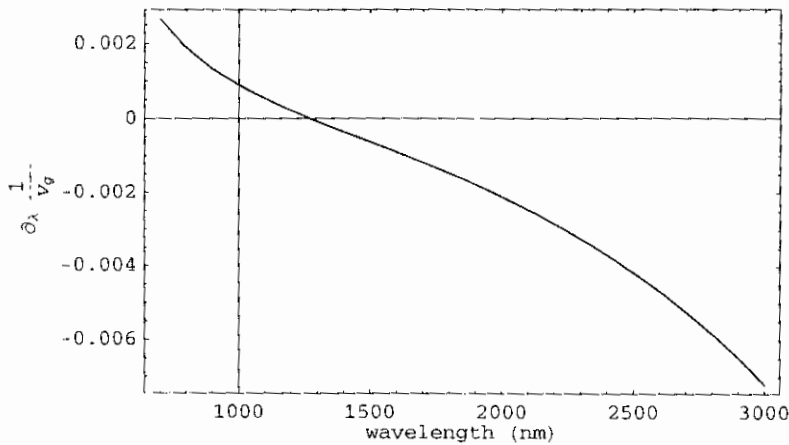
To find the region of normal and anomalous dispersion, we need to find the derivative of  $1/v_g$ , which is related to the group velocity dispersion.

The normal dispersion region is where  $\partial_\lambda \frac{1}{v_g} > 0$

The anomalous dispersion region is where  $\partial_\lambda \frac{1}{v_g} < 0$

$$dv_{gd}\lambda[\lambda] = \frac{\lambda}{2\pi} \partial_\lambda \frac{1}{N_g[\lambda]};$$

```
Plot[dvgdλ[λ], {λ, 700, 3000}, PlotRange -> {All, All}, Frame -> True,
FrameLabel -> {"wavelength (nm)", "∂λ  $\frac{1}{v_g}$ "}];
```



From the graph we find that the zero group velocity dispersion wavelength is 1272 nm.

## Dispersion + Pulse Broadening

Use Sellmeier Equation to describe  $n(\lambda)$

$$n^2 - 1 = \sum_j \left( \frac{A_j \lambda^2}{\lambda^2 - \lambda_j^2} \right)$$

## Pulse Broadening in Bulk material

Mode propagation constant  $\beta(\omega)$

component of wavevector along propagation direction

Describe  $\beta(\omega)$  as a Taylor Series

$$\beta(\omega) = n(\omega) \omega / c = \beta_0 + \beta_1 (\omega - \omega_0) + \frac{1}{2} \beta_2 (\omega - \omega_0)^2 + \dots$$

$\omega_0 \equiv$  carrier frequency

$$\beta_1 = 1/v_g \quad \text{group velocity}$$

$$\beta_n = \left. \frac{d^n \beta}{d\omega^n} \right|_{\omega_0}$$

$$v_g = \frac{c}{N}$$

Group Index

$$N = n - \lambda \frac{dn}{d\lambda}$$

Need to determine  $\beta_n$  from  $n(x)$

$$\beta_1 = \frac{1}{c} \left( n + \omega \frac{dn}{d\omega} \right)$$

$$\beta_2 = \frac{1}{c} \left( 2 \frac{dn}{d\omega} + \omega \frac{d^2n}{d\omega^2} \right) \approx \frac{\lambda^2}{2\pi c^2} \frac{d^2n}{d\lambda^2}$$

$$\beta_3 \approx -\frac{\lambda^4}{2\pi^2 c^2} \left( 3 \frac{d^3n}{d\lambda^3} + \lambda \frac{d^4n}{d\lambda^4} \right)$$

Sign of Group velocity dispersion  $\Rightarrow$  Sign of  $\beta_2$

$\beta_2 > 0$  Positive, Normal

$\beta_2 < 0$  Negative, Anomalous

Nonlinear susceptibility : anharmonic oscillator

Restoring force  $\Rightarrow$  Beyond Hooke's Law

Two types of media

Noncentrosymmetric  $\Rightarrow$  Lacks inversion symmetry

Centrosymmetric  $\Rightarrow$  Inversion center as symmetry

Lacks Inversion symmetry  $\Rightarrow$  Special properties

Inversion symmetry / center  $\Rightarrow$  reflection about point brings compound back to itself

Crystal KDP  $\Rightarrow$  Noncentrosymmetric (lacks inversion symmetry)

Fused silica  $\text{SiO}_2 \Rightarrow$  Centrosymmetric since it is a symmetric molecule.

\* { Media that lack inversion symmetry have non zero  $\chi^{(2n)}$  (even orders) } \*

Noncentrosymmetric materials

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2 x + \alpha x^2 = -eE(t)/m$$

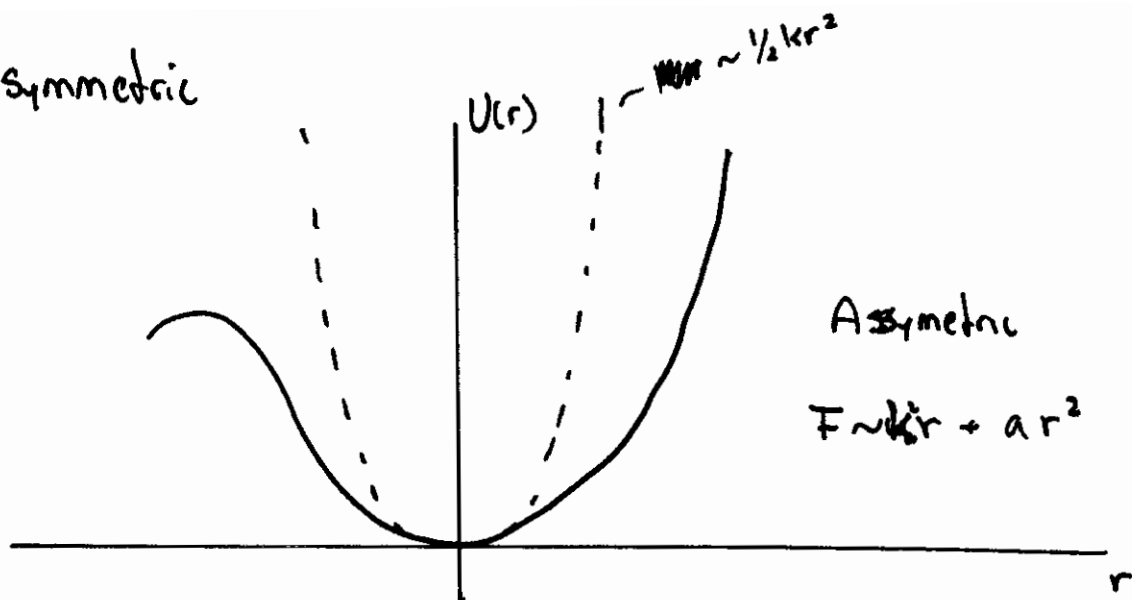
Restoring force  $\vec{F} = -m\omega_0^2 x - \alpha x^2$

How to solve this eq  $\Rightarrow$  method of successive approximations

Perturbative series

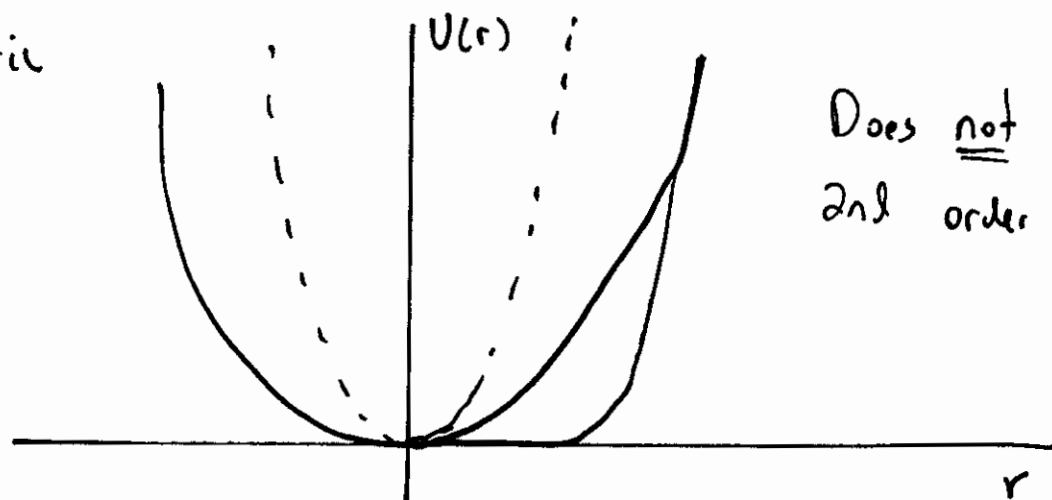
Non centrosymmetric

Has 2nd order terms



Centrosymmetric

Does not have 2nd order terms



Symmetry

KDP

Non centrosymmetric

fused silica

Centrosymmetric

{ tetragonal  
crystal group



{ Amorphous  
no crystal symmetry  
Symmetric

# Summarize anharmonic oscillator

Hookes law

nonlinear

Noncentrosymmetric (MMMM)

$$F_{restoring} = -m\omega_0^2 x - m\alpha x^2$$

lacks inversion symmetry

asymmetric potential

$$\omega_0^2 = k/m$$

extend  
Lorentz  
model

Polarization exhibits oscillations at

Nonlinear:  $\pm 2\omega_1, \pm 2\omega_2, \pm (\omega_1 + \omega_2), \pm (\omega_1 - \omega_2), 0$

Linear:  $\omega_1 + \omega_2$

so  $x(t)$  exhibits oscillation at these frequencies

Examples of noncentrosymmetric material ( $\chi^{(2)}$  materials)

Crystals: KDP, BBO, Lithium Niobate

Centrosymmetric materials

$$F_{restoring} = -m\omega_0^2 x + m\beta x^3$$

- Has inversion symmetry
- symmetric potential, but not parabolic
- Polarization exhibits oscillations at (3rd order terms)  
 $3\omega_1, 3\omega_2, 3\omega_3, \pm(\omega_1 + \omega_2 + \omega_3), \dots$
- Polarization does not exhibit 2nd order terms  
 $2\omega_1, 2\omega_2, \dots$
- Polarization exhibits linear terms

Examples of ~~noncentrosymmetric~~ materials: glasses, plasmas, gases.

Solve for case without driving force

Example

$$\ddot{x} + \omega_0^2 x^2 = -\alpha x^2 - \beta x^3$$

Solution

$$x = x_0 + x_1 + x_2 + \dots$$

$$\omega = \omega_0 + \omega_1 + \omega_2 + \dots$$

$$x_0 = a \cos(\omega t)$$

Sub in DE  $x(t) = x_1 + x_0 = x_1 + a \cos(\omega t)$

$$= x_1 + a \cos((\omega_0 + \omega_1)t)$$

$$\dot{x}(t) = \dot{x}_1 - a \sin((\omega_0 + \omega_1)t) (\omega_0 + \omega_1)$$

$$\ddot{x}(t) = \ddot{x}_1 - a (\omega_0 + \omega_1)^2 \cos((\omega_0 + \omega_1)t)$$

$$\ddot{x}_1 + \ddot{x}_0 + \omega_0^2 (x_1 + x_0) = -\alpha (x_1 + x_0)^2 - \beta (x_1 + x_0)^3$$

$$(\ddot{x}_1 - a \omega^2 \cos(\omega t) + \omega_0^2 x_1) + \omega_0^2 a \cos \omega t = -\alpha (x_1 + x_0)^2 - \beta (\dots)^3$$

$$\ddot{x}_1 - a (\omega_1 + \omega_0)^2 \cos(\omega t) + \omega_0^2 x_1 + \omega_0^2 a \cos(\omega t) = \dots$$

$$\ddot{x}_1 - a \omega_1^2 \overset{\text{small}}{\cos(\omega t)} - a \omega_0^2 \cancel{\cos(\omega t)} - 2\omega_0 \omega_1 \cos(\omega t) + \omega_0^2 x_1 + \omega_0^2 \cancel{\cos \omega t} = \dots$$

$$\ddot{x}_1 + \omega_0^2 x_1 \approx 2a \omega_1 \omega_0 \cos \omega t - \alpha a^2 \cos^2(\omega t)$$

$\omega_1 \approx 0$  no resonant term with  $\omega$

Then  ~~$x = x_0 + x_1 + x_2$~~   
 ~~$\omega = \omega_0 + \omega_1 + \omega_2$~~   
~~Solve for  $x_2$~~



Solve for  $\omega_1 + x_1$

$$\omega_1 = 0$$

$$x_1 = \frac{\alpha a^2}{2\omega_0^2} + \frac{\alpha a^2}{6\omega_0^3} \cos(2\omega t)$$

Next Solution

$$x = x_0 + x_1 + x_2$$

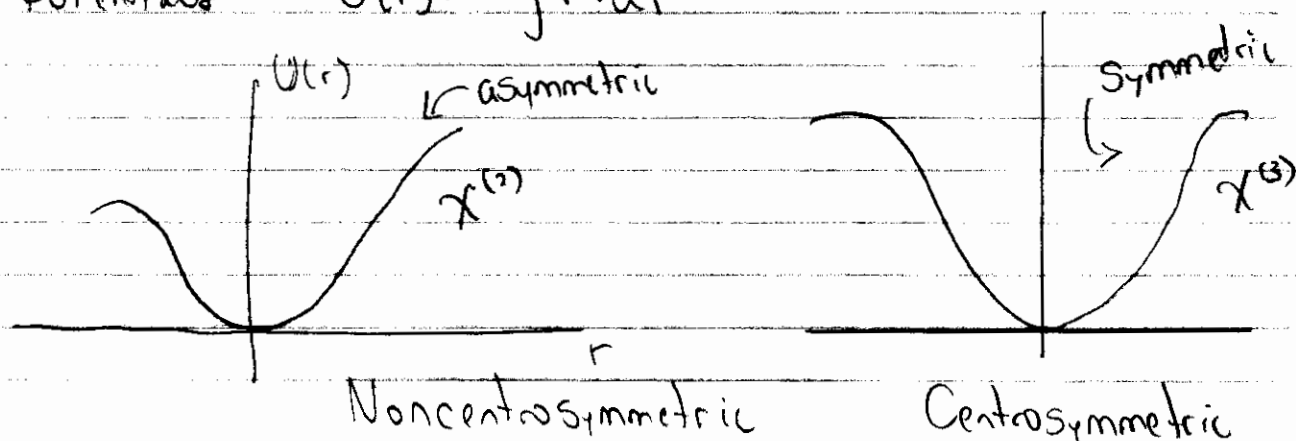
$$\omega_0 = \omega_0 + 0 + \omega_2$$

$$\omega_2 = \frac{3\gamma}{8\omega_0} - \frac{5\alpha^2}{12\omega_0^2} a^2$$

$$x_2 = \frac{a^3}{16\omega_0} \left( \frac{\alpha^2}{3\omega_0^2} - \frac{1}{2}\beta \right) \cos(3\omega t)$$

$\Rightarrow$  Notice if  $\alpha=0$  then no  $2\omega$  term!  
 $\alpha=0 \Rightarrow$  Centrosymmetric medium

Potentials  $U(r) = -\int \vec{F} \cdot d\vec{r}$



Look at solving (with a driving force)

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2 x + ax^3 = -eE(t)/m$$

Form of Electric field

$$E(t) = (E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t}) + \text{c.c.}$$

Use perturbative solution

$$\text{Replace } E(t) \rightarrow \lambda E(t)$$

Solution in power series expansion

$$x(t) = \lambda x^{(1)} + \lambda^2 x^{(2)} + \lambda^3 x^{(3)}$$

Terms of  $\lambda^n$  satisfy sides of equation

$$\dot{x}(t) = \lambda \dot{x}^{(1)} + \lambda^2 \dot{x}^{(2)} + \lambda^3 \dot{x}^{(3)}$$

$$\ddot{x}(t) = \lambda \ddot{x}^{(1)} + \lambda^2 \ddot{x}^{(2)} + \lambda^3 \ddot{x}^{(3)}$$

Sub into DE

$$\text{Lorentz model} \Rightarrow \lambda [\ddot{x}^{(1)} + 2\gamma\dot{x}^{(1)} + \omega_0^2 x^{(1)}] = -eE(t)/m \quad (1)$$

$$\lambda^2 [\ddot{x}^{(2)} + 2\gamma\dot{x}^{(2)} + \omega_0^2 x^{(2)} + a(x^{(1)})^2] = 0 \quad (2)$$

$$\lambda^3 [\ddot{x}^{(3)} + 2\gamma\dot{x}^{(3)} + \omega_0^2 x^{(3)} + 2ax^{(1)}x^{(2)}] = 0 \quad (3)$$

Steady state solution for  $x^{(1)}$

$$\ddot{x} + 2\gamma \dot{x} + \omega_0^2 x = \frac{-e}{m} (E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t})$$

Steady state solution  $\Rightarrow$  ignore transient solution

$$x(t) = \frac{-e E_1}{m D(\omega_1)} e^{-i\omega_1 t} + \frac{-e E_2}{m D(\omega_2)} e^{-i\omega_2 t} + \text{C.c.}$$

where  $D(\omega_j) = \omega_0^2 - \omega_j^2 - 2i\omega_j\gamma$  (Eq 1.4.8 wrong?)

Square  $x(t)$  and substitute into (2)

The square contains terms

$$\left\{ \begin{array}{l} \pm 2\omega_1, \pm 2\omega_2, \pm(\omega_1 + \omega_2), \pm(\omega_1 - \omega_2) \\ \text{and } 0 \end{array} \right.$$

$$(x(t))^2 = \frac{e^2}{m^2} \left[ \frac{E_1}{(\omega_0^2 - \omega_1^2 - 2i\omega_1\gamma)} e^{-i\omega_1 t} + \frac{E_2}{(\omega_0^2 - \omega_2^2 - 2i\omega_2\gamma)} e^{-i\omega_2 t} + \frac{E_1}{(\omega_0^2 - \omega_1^2 + 2i\omega_1\gamma)} e^{+i\omega_1 t} + \frac{E_2}{(\omega_0^2 - \omega_2^2 + 2i\omega_2\gamma)} e^{+i\omega_2 t} \right]^2$$

$$\begin{aligned} &= \frac{e^2}{m^2} \left[ \frac{E_1^2}{(\omega_0^2 - \omega_1^2 - 2i\omega_1\gamma)^2} e^{-i2\omega_1 t} + \frac{E_2^2}{(\omega_0^2 - \omega_2^2 - 2i\omega_2\gamma)^2} e^{-i2\omega_2 t} \right. \\ &\quad + \frac{E_1^2}{(\omega_0^2 - \omega_1^2 + 2i\omega_1\gamma)^2} e^{i2\omega_1 t} + \frac{E_2^2}{(\omega_0^2 - \omega_2^2 + 2i\omega_2\gamma)^2} e^{i2\omega_2 t} \\ &\quad + \frac{E_1 E_2}{(\quad)(\quad)} e^{-i(\omega_1 + \omega_2)t} \\ &\quad \left. + \frac{E_1^2}{(\quad)(\quad)} e^0 + \dots \right] \end{aligned}$$

Solve for linear Case : Lorentz model

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2 x = \frac{-e}{m} \mathcal{E}(t)$$

$$\mathcal{E}(t) = E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + E_1^* e^{+i\omega_1 t} + E_2^* e^{+i\omega_2 t}$$

Say  $\bullet$   $x \sim e^{i\omega_1 t} + e^{i\omega_2 t}$

For one frequency  $\omega_1$

$$x(t) (\omega_1^2 - \omega_0^2 - 2i\omega_1\gamma) = -e/m \mathcal{E}$$

So 
$$x(t) = \frac{-e/m}{(\omega_1^2 - \omega_0^2 - 2i\omega_1\gamma)} e^{i\omega_1 t} + \text{c.c.}$$

For two frequencies  $\omega_1 + \omega_2$

$$x''(t) = x''(\omega_1) e^{-i\omega_1 t} + x''(\omega_2) e^{-i\omega_2 t} + \text{c.c.}$$

Where 
$$x''(\omega_j) = \frac{-e/m E_j}{D(\omega_j)}$$

$$D(\omega_j) \equiv \omega_0^2 - \omega_j^2 - 2i\omega_j\gamma$$

Look at  $+2\omega_1$  term

$$\ddot{x}^{(2)} + \gamma \dot{x}^{(2)} + \omega_0^2 x^{(2)} = \frac{a e E_1^2 / m^2}{D^2(\omega_1)} e^{-2i\omega_1 t}$$

Look for solution

$$x^{(2)}(t) = x^{(2)}(2\omega_1) e^{-2i\omega_1 t}$$

Find

$$x^{(2)}(2\omega_1) = \frac{-a(e/m)^2 E_1^2}{D(2\omega_1) D^2(\omega_1)}$$

Now Find  $x^{(2)}$

$$P^{(1)}(\omega_1) = \epsilon_0 X^{(1)}(\omega_1) E(\omega_1)$$

From Before

$$P^{(1)}(\omega_1) = -Ne x^{(1)}(\omega_1) \quad \left\{ \begin{array}{l} x^{(1)} = \frac{P}{-Ne} \\ x = \frac{P}{\epsilon_0 E} \end{array} \right.$$

So

$$x^{(1)}(\omega_1) = \frac{Ne^2 / m \epsilon_0}{D(\omega_1)}$$

Solve for  $x^{(2)}$

For  $x^{(2)}$

$$P^{(2)}(2\omega_1) = X^{(2)}(2\omega_1, \omega_1, \omega_1) E^2(\omega_1)$$

$$P^{(2)}(2\omega_1) = -Ne x^{(2)}(2\omega_1)$$

So

$$x^{(2)}(2\omega_1, \omega_1, \omega_1) = \frac{N(e^3/m)a}{D(2\omega_1) D^2(\omega_1)}$$

or in terms of  $x^{(1)}$

$$x^{(2)} = \frac{ma}{N^2 e^3} x^{(1)}(2\omega_1) (x^{(1)}(\omega_1))^2$$

Centro symmetric materials

$$F = -m\omega_0^2 x + mbx^3$$

Symmetric potential

Find  $\chi^{(2)}$  &  $\chi^{(3)}$  using same procedure

$$\bar{E}(t) = \bar{E}_1 e^{-i\omega_1 t} + \bar{E}_2 e^{-i\omega_2 t} + \bar{E}_3 e^{-i\omega_3 t} + c.c.$$

Find  $r^{(2)} = 0$  so  $\chi^{(2)} = 0$

damped eq

$$\begin{aligned} \ddot{r}^{(1)} + 2\gamma \dot{r}^{(1)} + \omega_0^2 r^{(1)} &= -e \bar{E}(t) / m \\ \ddot{r}^{(2)} + 2\gamma \dot{r}^{(2)} + \omega_0^2 r^{(2)} &= 0 \Leftarrow \text{no driving term} \\ \ddot{r}^{(3)} + 2\gamma \dot{r}^{(3)} + \omega_0^2 r^{(3)} - b (\bar{r}^{(1)} \cdot \bar{r}^{(1)}) \bar{r}^{(1)} &= 0 \end{aligned}$$

$$r^{(3)}(\omega_q) = - \sum_{(mnp)} \frac{be^3 (\bar{E}(\omega_m) \cdot \bar{E}(\omega_n) \bar{E}(\omega_p))}{m^3 D(\omega_q) D(\omega_m) D(\omega_n) D(\omega_p)}$$

Find  $\chi^{(3)}$  where

$$P_i^{(3)}(\omega_q) = \sum_{jkl} \sum_{(mnp)} \chi_{ijkl}^{(3)}(\omega_q, \omega_m, \omega_n, \omega_p) \bar{E}_j(\omega_m) \bar{E}_k(\omega_n) \bar{E}_l(\omega_p)$$

So

$$\chi_{ijkl}^{(3)}(\omega_q, \omega_m, \omega_n, \omega_p) = \frac{Nb^3 e^4 S_{jk} S_{il}}{m^3 D(\omega_q) D(\omega_m) D(\omega_n) D(\omega_p)}$$

## Lecture 4 Fourier Transforms

$$f(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(t) e^{+i\omega t} dt$$

$$f(t) = \frac{1}{\sqrt{2\pi}} \int f(\omega) e^{-i\omega t} d\omega$$

### Properties

1) If  $f(t)$  is real  
then  $f(\omega) = f^*(\omega)$

2) Time scaling

If  $h(t) = f(t - \tau)$  then  $\left\{ \begin{array}{l} \text{Shift in time} \\ \text{is phase modulation} \end{array} \right.$   
 $h(\omega) = f(\omega) e^{-i\omega\tau}$

3) Frequency offset

If  $h(t) = f(t) e^{i\omega_0 t}$   
then  $h(\omega) = F(\omega - \omega_0)$

4) Convolution Th<sup>m</sup>

$$f(t) \otimes g(t) = \int f(t') g(t - t') dt'$$

Then  $\mathcal{F}\{f(t) \otimes g(t)\} = f(\omega) g(\omega)$

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

5) Parseval's Th<sup>m</sup>

$$\int f(t) f^*(t) dt = \frac{1}{2\pi} \int F(\omega) f^*(\omega) d\omega$$



# Fast Fourier Transforms

## Numerical FT

Need to have

$2^n$  data pts

$$N = 2^n$$

Set time axis

Temporal Range

$T$

$$\boxed{\delta t = \frac{T}{2^n}}$$

$$= \frac{T}{N}$$

Then for frequency axis

$$\boxed{\delta \omega = \frac{2\pi}{2^n \delta t}}$$

$$\frac{2\pi}{N \delta t}$$

Can create time + frequency arrays

For  $j=1$  to  $2^n$

time

$$\left(j - \frac{2^n}{2}\right) \delta t$$

frequency

$$\left(j - \frac{2^n}{2}\right) \delta \omega$$

← relative frequency

Absolute frequency

freq +  $\omega_0$

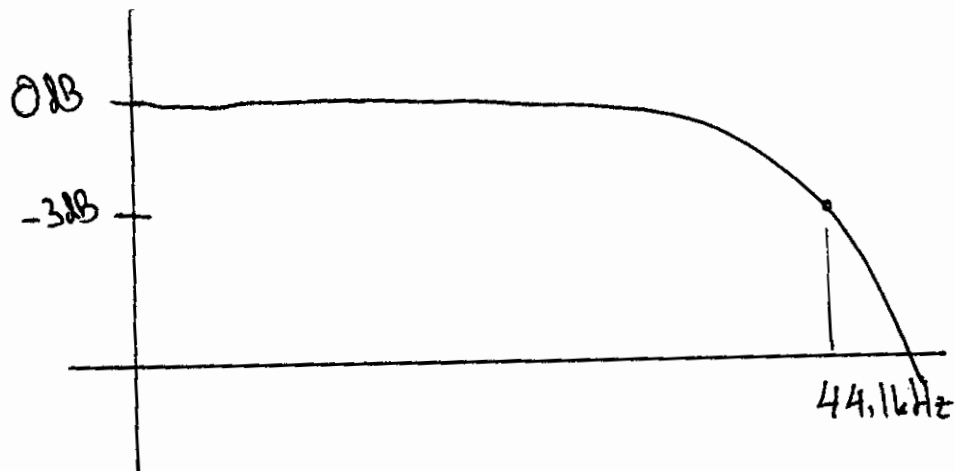
## Nyquist thm

To prevent false spectral components

If  $f$  is the highest frequency component  
you want to sample at  $f_s = 2f$

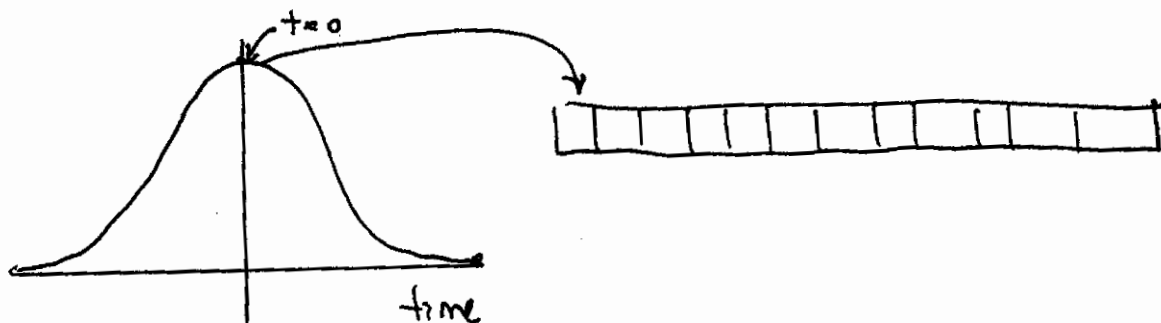
Audio (CO's)

Sample @ 44.1 kHz



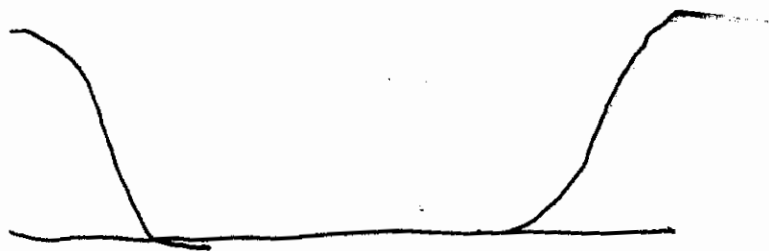
Proper ~~array~~ positioning of Data

$t=0$  element must be in 1st array element

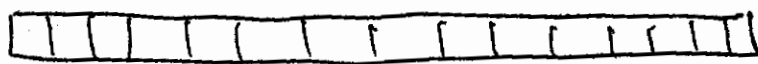


To do this shift the data

Data



array



If you don't do this.

your data  $f(t)$  is now  $f(t + T/2)$   
 $f(t + \frac{N\Delta t}{2})$

The FFT gives

$$f(\omega)e^{i\omega T/2} \approx f_n(\omega)(-1)^n$$

$T$  is large so  $e^{i\omega T/2}$  will oscillate very fast

It will look like for the  $n^{\text{th}}$  point

$$f_n(\omega)(-1)^n$$

## Formal Definition of Nonlinear Susceptibility (Boyd)

Write electric fields as superposition of freq. components

$$\vec{E}(\vec{r}, t) = \sum_{n=1}^{\infty} \vec{E}_n(\vec{r}, t) \quad \left( \sum' \text{ positive frequencies} \right)$$

$$\text{where } \vec{E}_n = \vec{E}_n(\vec{r}) e^{-i\omega_n t} + \vec{E}_n^*(\vec{r}) e^{+i\omega_n t}$$

$$\vec{E}_n(\vec{r}) = \vec{A}_n e^{i\vec{k}_n \cdot \vec{r}} \quad \vec{A}_n \equiv \text{complex}$$

Another notation (over positive + negative frequencies)

$$\vec{E}(\vec{r}, t) = \sum_n \vec{E}(\omega_n) e^{-i\omega_n t} = \sum_n A(\omega_n) e^{i(\vec{k}_n \cdot \vec{r} - \omega_n t)}$$

$$\vec{D}(\vec{r}, t) = \sum_n \vec{D}(\omega_n) e^{-i\omega_n t}$$

For the nonlinear polarization consider a  $\chi^{(2)}$  process to give  $\omega_n + \omega_m$

$$\vec{D}(\omega_n + \omega_m) = \epsilon_0 \sum_{i=1}^3 \hat{X}_i \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

Example  $\omega_3 = \omega_1 + \omega_2$  with  $\vec{D}(\omega_3) = \sum_n \vec{D}(\omega_n) e^{-i\omega_n t}$

Then  $\sum_{(nm)}$  implies sum over  $n+m$  such that  $\omega_n + \omega_m$  is fixed

→ Example SFG  $\omega_3 = \omega_1 + \omega_2$

$$P_i(\omega_3) = \epsilon_0 \sum_{jk} \left[ \chi_{ijk}^{(2)}(\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2) + \chi_{ijk}^{(2)}(\omega_3; \omega_2, \omega_1) E_j(\omega_2) E_k(\omega_1) \right]$$

Using symmetries intrinsic permutation symmetry we can switch the indices

$$\chi_{ijk}(\omega_3; \omega_1, \omega_2) = \chi_{ikj}(\omega_3; \omega_2, \omega_1)$$

So

$$P_i(\omega_3) = 2\epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

~~However~~

However, consider  $P_i(\omega_2) \Rightarrow \omega_2 = \omega_3 - \omega_1$

$$P_i(\omega_2) = \epsilon_0 \sum_{jk} \left[ \chi_{ijk}^{(2)}(\omega_2; \omega_3, -\omega_1) E_j(\omega_3) E_k(-\omega_1) + \chi_{ijk}^{(2)}(\omega_2; -\omega_1, \omega_3) E_j(-\omega_1) E_k(+\omega_3) \right]$$

But the fields are real so  $E_k(-\omega_1) = E_k^*(\omega_1)$

And using permutation symmetry we can write

$$P_i(\omega_2) = 2\epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_2; \omega_3, -\omega_1) E_j(\omega_3) E_k^*(\omega_1)$$

- Notice we get a 2 if the fields are distinguishable. This 2 does not show up for SHG. (see book).
- Also notice for  $-\omega_1$  we get conjugate fields

$$X^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m)$$

↑ resultant field

## Degeneracy Factor

For  $X^{(2)}$  we can write, using a degeneracy factor  $D$

$$P_i(\omega_n + \omega_m) = \epsilon_0 D \sum_{jk} X_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

Where  $D = \begin{cases} 1 & \text{indistinguishable fields} \\ & \text{(SHG, optical rect.)} \\ 2 & \text{distinguishable fields} \\ & \text{(SFG, DF)} \end{cases}$

For  $X^{(3)}$

$$P_i(\omega_0 + \omega_n + \omega_m) = \epsilon_0 D \sum_{jkl} X_{ijkl}^{(3)}(\omega_0 + \omega_n + \omega_m; \omega_0, \omega_n, \omega_m) \times E_j(\omega_0) E_k(\omega_n) E_l(\omega_m)$$

~~Where~~

Where  $D = \begin{cases} 1 & \text{all fields indistinguishable} \\ 3 & \text{two field indistinguishable} \\ 6 & \text{all fields distinguishable} \end{cases}$

Degeneracy comes from # of terms to ~~rep~~ represent possible interaction

$3\omega_1$       one term       $E(\omega_1) E(\omega_1) E(\omega_1)$

$2\omega_1 - \omega_2$       three terms       $E(\omega_1) E(\omega_1) E^*(\omega_2)$   
 $E(\omega_1) E^*(\omega_2) E(\omega_1)$   
 $E^*(\omega_2) E(\omega_1) E(\omega_1)$

## Lecture 5

### Properties of the nonlinear susceptibility

Cover: 2nd order process

Symmetries to reduce complexity of  $\chi^{(2)}$

Voigt notation

Consider a 2nd order process

$$P_i(\omega_n + \omega_m; \omega_n, \omega_m) = \epsilon_0 \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

Mutual interaction of three waves at  $\omega$ ,  $\omega_2$  &  $\omega_3$

For different combinations we will need to know tensors

$$\chi_{ijk}(\omega_1; \omega_3, -\omega_2) \quad \chi_{ijk}(\omega_1; -\omega_2, \omega_3)$$

*Note!*

$$\chi_{ijk}(\omega_2; \omega_3, -\omega_1) \quad \chi_{ijk}(\omega_2; -\omega_1, \omega_3)$$

$$\chi_{ijk}(\omega_3; \omega_1, \omega_2) \quad \chi_{ijk}(\omega_3; \omega_2, \omega_1)$$

Another six (over neg. freq)

$$\chi_{ijk}(-\omega_1; -\omega_3, \omega_2) \quad \text{etc. ...}$$

# Picture of Nonlinear process

$$\chi^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m)$$

Specifically

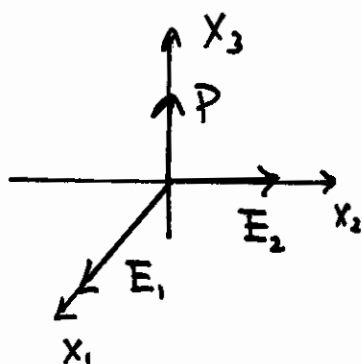
$$\omega_1, \omega_2 \Rightarrow \omega_3 = \omega_1 + \omega_2$$

$$\omega_1 = \omega_3 - \omega_2$$

$$\omega_2 = \omega_3 - \omega_1$$

$$P_i(\omega_n + \omega_m) = \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

One case, might look like:



$$E_1 \sim e^{-i\omega_1 t}$$

$$E_2 \sim e^{-i\omega_2 t}$$

of course there are many more possible situations

The number of tensors to describe all interactions

$$3! \times 2 = 12 \text{ tensors}$$

$$\times 27 \text{ component}$$

$$\underline{324 \text{ complex numbers!}}$$

~~$$\chi_{ijk}^{(2)}(\pm\omega_1, \pm\omega_2, \pm\omega_3)$$~~
~~$$\chi_{ijk}^{(2)}(\pm\omega_2, \pm\omega_1, \pm\omega_3)$$~~
~~$$\chi_{ijk}^{(2)}(\pm\omega_3, \pm\omega_2, \pm\omega_1)$$~~

$$\chi^{(3)} \Rightarrow \text{Four fields} \quad \chi^{(3)}(\omega_n; \omega_1, \omega_2, \omega_3)$$

$$4! \times 2 = \underline{24}$$

$$1944 \text{ complex numbers}$$



## Review of Symmetries

1) Reality of Fields  $\bar{P} = P_i(\omega_n, \omega_m) e^{-i(\omega_n + \omega_m)t} + P_i(-\omega_n - \omega_m) e^{+i(\omega_n + \omega_m)t}$

$$\chi_{ijk}^{(2)}(-\omega_n - \omega_m; -\omega_n, -\omega_m) = \left( \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) \right)^*$$

Since negative + positive frequency components of  $P$

$$P_i(-\omega_n - \omega_m) = P_i(\omega_n + \omega_m)^*$$

2) Intrinsic permutation symmetry (matter of convenience)  
"forced" symmetry

Require the susceptibility to be unchanged by simultaneous interchange of last two frequency arguments.

$$\chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) = \chi_{ikj}^{(2)}(\omega_n + \omega_m; \omega_m, \omega_n)$$

3) Lossless Media

Far from resonance  $\chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m)$  is REAL!

4) Full Permutation Symmetry (Lossless media)

All frequency components of nonlinear susceptibility can be changed as long as corresponding Cartesian indices are changed simultaneously.

$$\chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) = \chi_{jki}^{(2)}(-\omega_1 = \omega_2 - \omega_3) \quad \begin{cases} i \rightarrow \omega_3 \\ j \rightarrow \omega_1 \\ k \rightarrow \omega_2 \end{cases}$$

Due reality of fields

$$\chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) \neq \chi_{ikj}^{(2)}(\omega_3 = \omega_1 + \omega_2)$$

$$\chi_{jki}^{(2)}(-\omega_1 = \omega_2 - \omega_3) = \chi_{jki}^{(2)}(\omega_1 = -\omega_2 + \omega_3)^*$$

which due to the reality of  $\chi^{(2)}$  is equal to  $\chi_{jki}^{(2)}(\omega_1 = -\omega_2 + \omega_3)$

$$\text{So } \Rightarrow \chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) = \chi_{jki}^{(2)}(\omega_1 = -\omega_2 + \omega_3)$$

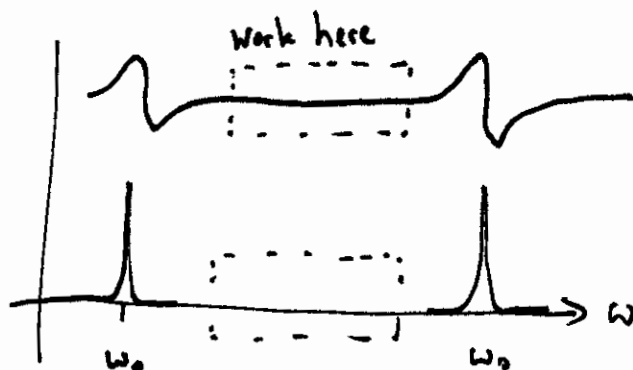
## 5) Kleinman Symmetry

Assume: 1) frequencies are smaller than resonant  
 $\omega < \omega_0$

$\Rightarrow \chi^{(2)}$  is frequency independent

Just like for  $\chi'$

- index monotonically increases
- absorption is small



$\Rightarrow$  Response of  $\chi^{(2)}$  is nearly "instantaneous"

$$\vec{P}(t) = \epsilon_0 \chi^{(2)} \mathcal{E}^2(t)$$

instead of

$$\vec{P}(t) = \epsilon_0 \iint \chi^{(2)}(t-t', t-t'') \mathcal{E}(t-t') \mathcal{E}(t-t'') dt' dt''$$

More on Kleinman Symmetry

Material is lossless  $\Rightarrow$  Full permutation symmetry

Implies indices can be permuted as long as frequencies are permuted.

$$\begin{aligned}\text{Example } \chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) &= \chi_{jki}^{(2)}(\omega_1 = -\omega_2 + \omega_3) \\ &= \chi_{kij}^{(2)}(\omega_2 = \omega_3 - \omega_1) \quad \left\{ \begin{array}{l} i \rightarrow \omega_3 \\ j \rightarrow \omega_1 \\ k \rightarrow \omega_2 \end{array} \right.\end{aligned}$$

Assume  $\chi^{(2)}$  does not depend on frequency

$\Rightarrow$  permute indices without permuting frequencies

$$\begin{aligned}\text{So } \chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) &= \chi_{jki}(\omega_3 = \omega_1 + \omega_2) \\ &= \chi_{kij}(\omega_3 = \omega_1 + \omega_2) \\ &\vdots\end{aligned}$$

Ignore nonlinear dispersion

Contracted Notation : Voigt notation

$$d_{ijk} \equiv \frac{1}{2} \chi_{ijk}^{(2)}$$

Useful for Kleinman symmetry  $\Rightarrow$  • Symmetric in last two indices  
• symmetric where  $\omega_n = \omega_m$

(SHG)

|        |    |    |    |        |        |        |
|--------|----|----|----|--------|--------|--------|
| $jk :$ | 11 | 22 | 33 | 23, 32 | 31, 13 | 12, 21 |
| $l :$  | 1  | 2  | 3  | 4      | 5      | 6      |

Susceptibility is a  $3 \times 6$  matrix

$d_{il}$

Kleinman symmetry any indices  $d_{ijk}$  can be freely permuted

$$d_{12} = d_{132} = d_{212} = d_{26}$$

$$d_{14} = d_{123} = d_{213} = d_{25}$$

Relate back to nonlinear polarization

$$\begin{pmatrix} P_x(2\omega) \\ P_y(2\omega) \\ P_z(2\omega) \end{pmatrix} = 2 \begin{bmatrix} & & \\ & d_{il} & \\ & & \end{bmatrix} \begin{bmatrix} E_x^2(\omega) \\ E_y^2(\omega) \\ E_z^2(\omega) \\ 2E_y E_z \\ 2E_x E_z \\ 2E_x E_y \end{bmatrix}$$

$d_{eff}$

Fixed geometry  $\rightarrow$  polarization  
 $\rightarrow$  propagation direction

SHG

$$P(2\omega) = 2 d_{eff} E^2(\omega)$$

Number of Independent Elements for  $\chi_{ijk}^{(2)}(\omega_3, \omega_2, \omega_1)$

324 complex quantities

- Reality of field  $\Rightarrow \frac{1}{2}$  are independent
- Intrinsic Permutation Symmetry  $\Rightarrow 81$  Independent
- Lossless medium  $\Rightarrow$  All real
- Full permutation  $\Rightarrow 27$  Independent
- SHG + vort notation  $\Rightarrow 18$  Independent
- Kleinman symmetry  $\Rightarrow 10$  Independent
- Crystalline Symmetry  $\Rightarrow$  Further reduction

# Lecture 6 Crystal Structure & Nonlinear Optics

Crystal Symmetry further reduces the number of independent elements of  $\chi_{ijk}^{(2)}$

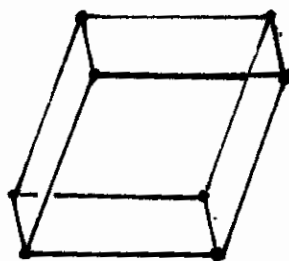
32 crystal classes

7 crystal systems

## Quartz

Trigonal 32 ( $D_3$ )

Positive Uniaxial



Linear Properties

$\chi^{(1)} \Rightarrow$

$$\begin{pmatrix} x & 0 & 0 \\ 0 & x & 0 \\ 0 & 0 & z \end{pmatrix}$$

Nonlinear properties

$$xxx = -xyy = -yyx = -yxy$$

$$xyz = -yxz$$

$$xzy = -yzx$$

$$zxy = -zyx$$

Everything else zero

2 Kleinman Symmetry

$$xxx \Rightarrow 11$$

$$xyy \Rightarrow 22$$

$$yyx \Rightarrow 26$$

$$xyz \Rightarrow 14$$

$$yxz \Rightarrow 25$$

$$xzy \Rightarrow 14$$

$$-yzx \Rightarrow 25$$

$$zxy \Rightarrow 36$$

$$3$$

$$\text{So } d_{11} = -d_{12} = -d_{26}$$

$$d_{14} = -d_{25}$$

Class 32



Pictorial

Lithium Niobate (Elvis)

Class  $3m$  (Trigonal)

Uniaxial crystal



KDP

Class  $\bar{4}2m$  (Tetragonal)

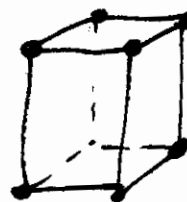
Uniaxial Crystal



~~Lithium Niobate~~ Potassium Niobate

Class ~~mm2~~  $mm2$  (orthorhombic)

Biaxial Crystal



# Categories of birefringent crystals

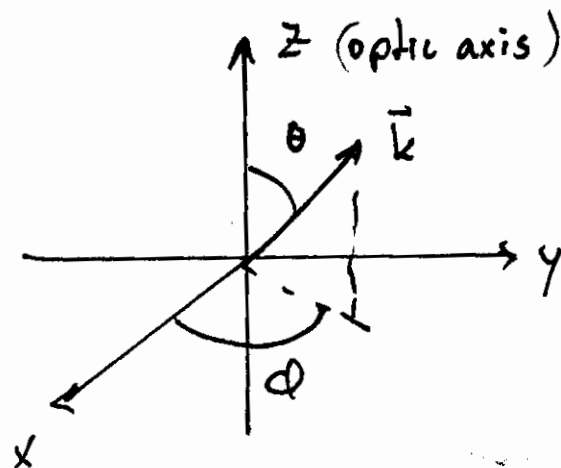
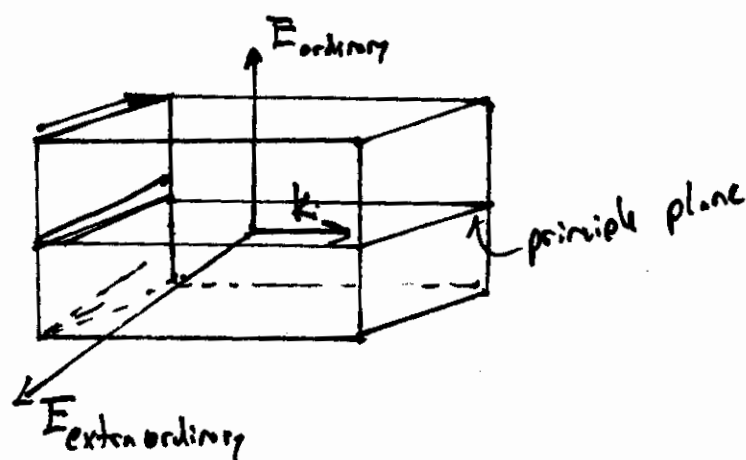
## 1 axial

one optic axis  $\Rightarrow$  the orientation where there is no birefringence

plane containing  $\vec{k}$  + optic axis  $\Rightarrow$  principle plane

Ordinary beam  $\Rightarrow$  polarization  $\perp$  to principle plane

extraordinary beam  $\Rightarrow$  polarization  $\parallel$  to principle plane



Draw index ellipsoids

$$n_o < n_e$$

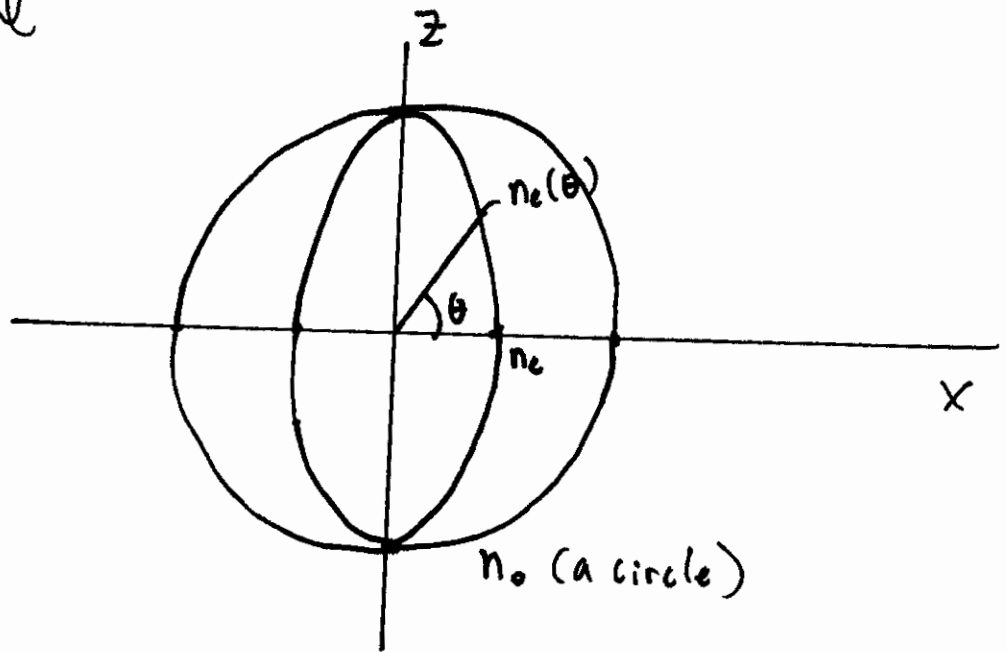
positive uniaxial

$$n_o > n_e$$

negative uniaxial



- $n_o > n_e$
- negative uniaxial



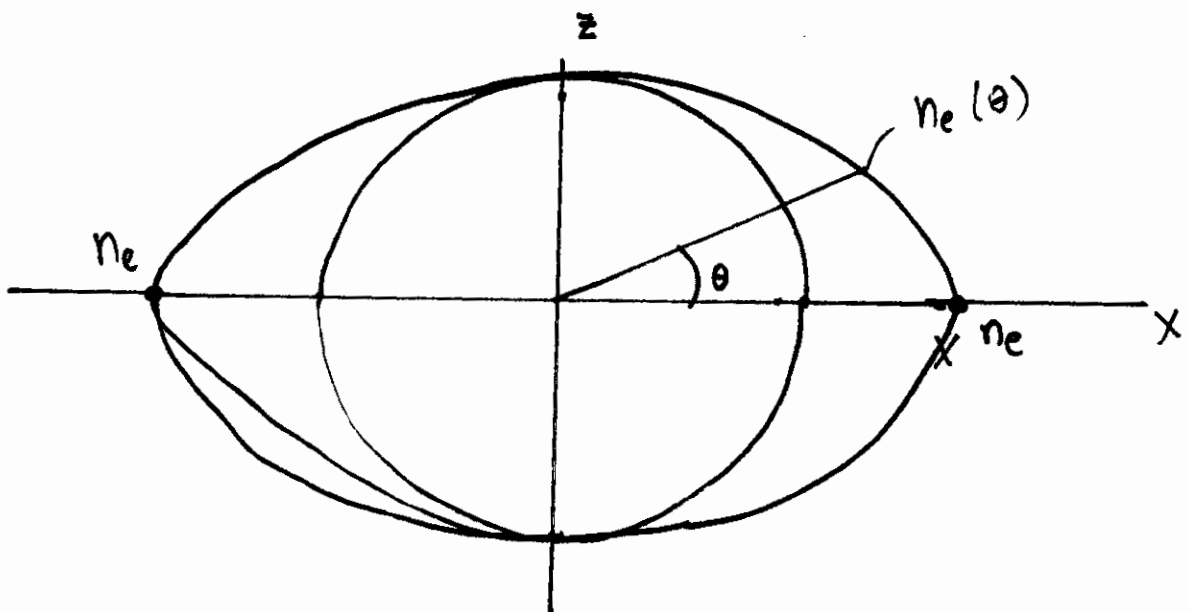
$n_o$  does not depend on  $\theta$

$$\frac{1}{n_e^2(\theta)} = \frac{\sin^2 \theta}{n_e^2} + \frac{\cos^2 \theta}{n_o^2}$$

OR

$$n_e(\theta) = n_o \left[ \frac{1 + \tan^2 \theta}{1 + \left(\frac{n_o}{n_e}\right)^2 \tan^2 \theta} \right]^{1/2}$$

- $n_o < n_e$
- positive uniaxial



Extraordinary + Ordinary indices

$$\frac{1}{n_e^2(\theta)} = \frac{\sin^2 \theta}{n_e^2} + \frac{\cos^2 \theta}{n_o^2}$$

$$n_o(\theta) = n_o$$

$$n_e(0) = n_o$$

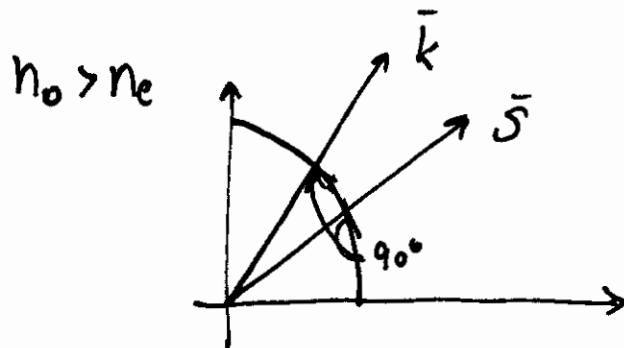
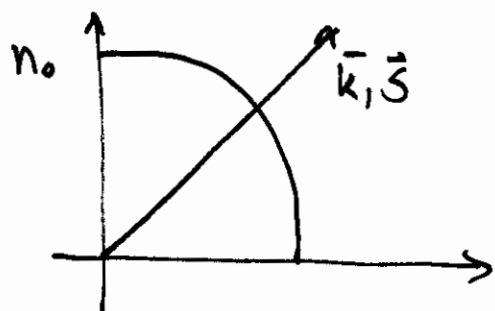
$$n_e(90^\circ) = n_e$$

$$\Delta n(0) = 0$$

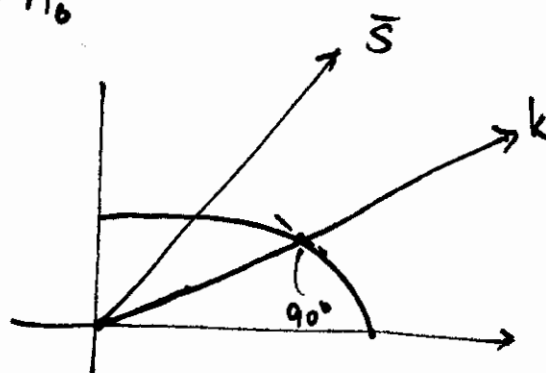
$$\Delta n(90) = n_e - n_o$$

# Walk off in ~~Birefringent~~ Uniaxial Crystals

The direction of  $\vec{k}$  does not correspond to  $\vec{S}$  in the ~~crystal~~ crystal



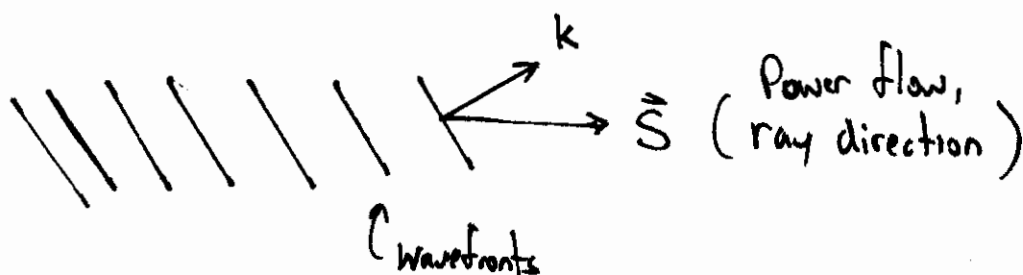
$n_e > n_o$



$\vec{k}$  is  $\perp$  to the surface of  $n_e(\theta)$

$\vec{S}$  does not need to be  $\perp$

What does a wave look like where  $\vec{S} \neq \vec{k}$ !?



In the crystal the ordinary + extra ordinary waves separate or walk off

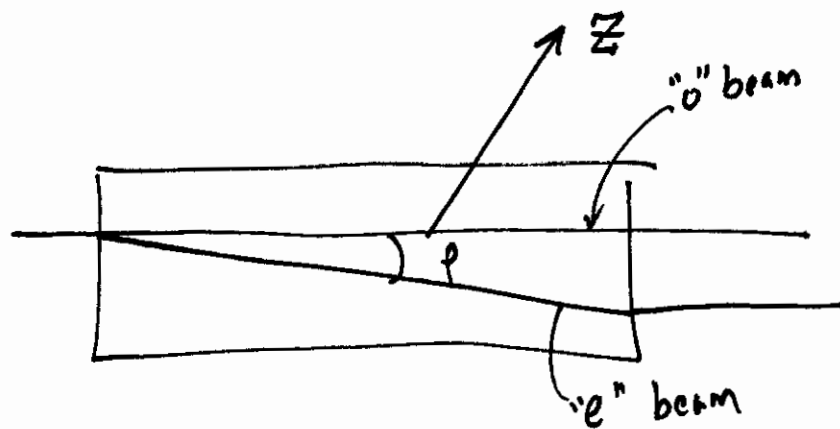
\*  $k$  is  $\perp$  to wavefronts \*

Walk off angle

$$\rho = \pm \tan^{-1} \left( \left( \frac{n_o^2}{n_e^2} \right) \tan \theta \right) \mp \theta$$

$\begin{pmatrix} - & \text{neg} \\ + & \text{pos} \end{pmatrix}$

walk off

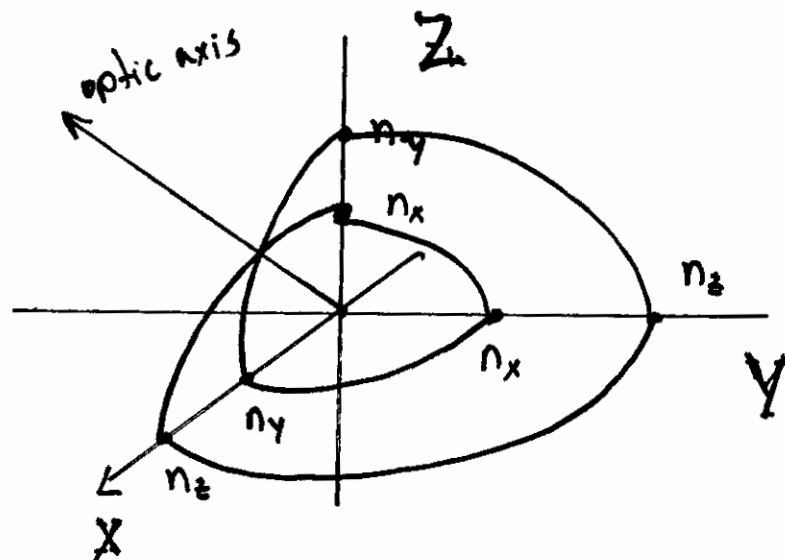


## Biaxial Crystals

three separate indices  $n_x$   $n_y$   $n_z$

Index surfaces

Principle planes  $XY$   $YZ$   $XZ$



~~There will be two~~

Treat in plane  $\Rightarrow$  polarization as circle + ellipse

# General Nonlinear Wave equation

From before we derived

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P} \quad \mu_0 \epsilon_0 = \frac{1}{c^2}$$

(2.1.17 in Boyd)

We wish to derive a nonlinear wave equation. Write the equation using our real notation

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}$$

Break up  $\vec{P}$

$$\vec{P} = \underbrace{\vec{P}^{(1)}}_{\text{linear}} + \underbrace{\vec{P}^{NL}}_{\text{nonlinear}}$$

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}^{(1)} + \mu_0 \partial_t^2 \vec{P}^{NL}$$

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \epsilon_0 \mu_0 [\chi^{(1)}] \partial_t^2 \vec{E} + \mu_0 \partial_t^2 \vec{P}^{NL}$$

$$\text{using } \vec{P} = \epsilon_0 [\chi^{(1)}] \vec{E}$$

$$\text{But Define } [\epsilon] = 1 + [\chi^{(1)}]$$

$$\nabla^2 \vec{E} - \frac{[\epsilon]}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}^{NL} \quad 2.1.17 \text{ in Boyd}$$

We wish to write this eq. for the  $n^{\text{th}}$  frequency component of the respective fields. Write a Fourier superposition of each field.

$$\vec{E}(\vec{r}, t) = \sum_{n=1}^{\infty} \vec{E}_n(\vec{r}, t)$$

$$\vec{J}^{NL}(\vec{r}, t) = \sum_{n=1}^{\infty} \vec{J}_n^{NL}(\vec{r}, t)$$

Where

$$\vec{E}_n(\vec{r}, t) = \vec{E}_n(\vec{r}) e^{-i\omega_n t} + c.c.$$

$$\vec{J}_n^{NL}(\vec{r}, t) = \vec{P}_n^{NL}(\vec{r}) e^{-i\omega_n t} + c.c.$$

For each freq. we can write

$$\nabla^2 \vec{E}_n - \frac{[\epsilon(\omega_n)]}{c^2} \partial_t^2 \vec{E}_n = \mu_0 \partial_t^2 \vec{P}_n^{NL}$$

$$\nabla^2 \vec{E}_n - \frac{[\epsilon(\omega_n)]}{c^2} \partial_t^2 \vec{E}_n = \mu_0 \partial_t^2 \vec{J}_n^{NL} \quad (\text{Boyd 2.1.21})$$

Using the time dependence of  $\vec{E}_n \Rightarrow \partial_t^2 \vec{E}_n = -E_n \omega_n^2 e^{-i\omega_n t} + E_n^* \omega_n^2 e^{+i\omega_n t} = -\omega_n^2 \vec{E}_n$

and equating common  $e^{i\omega t}$  terms

$$\left( \nabla^2 E_n(r) + \frac{\omega_n^2}{c^2} [\epsilon(\omega_n)] \vec{E}_n(r) + \mu_0 \omega_n^2 \vec{P}_n^{NL}(r) \right) e^{-i\omega t}$$

$$= \left( \nabla^2 E_n^*(r) + \frac{\omega_n^2}{c^2} [\epsilon(\omega_n)] E_n^*(r) + \mu_0 \omega_n^2 \vec{P}_n^{*NL} \right) e^{i\omega t}$$

OR

$$\nabla^2 \vec{E}_n(\vec{r}) + \frac{\omega_n^2}{c^2} [\epsilon(\omega_n)] \vec{E}_n(\vec{r}) = -\mu_0 \omega_n^2 \vec{P}_n^{NL}$$

Boyd  
2.1.23

(Boul Section 2.1)

From before we derived

(Wrong notation?)

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}$$

or

$$\left[ \nabla \times (\nabla \times) + \mu_0 \epsilon_0 \partial_t^2 \right] \vec{E} = \mu_0 \partial_t^2 \vec{P}$$

$$\left\{ \mu_0 \epsilon_0 = \frac{1}{c^2} \right.$$

We wish to rewrite this for nonlinear propagation. Break the electric field into Fourier components

$$\vec{E}(\vec{r}, t) = \sum_{\vec{k}=1}^{\infty} \vec{E}_k(\vec{k}, \omega_k) \quad \text{infinite series}$$

$$\text{and the polarization} = \sum_i A_i \exp(i(\vec{k}_i \cdot \vec{r} - \omega_i t))$$

$$\vec{P}(\vec{r}, t) = \vec{P}^{(1)}(\vec{r}, t) + \vec{P}^{NL}(\vec{r}, t)$$

$$\text{where } \vec{P}^{(1)} = \sum_k [\chi^{(1)}(\omega_k)] \vec{E}_k(\vec{k}, \omega_k)$$

↑ matrix mult.

$$\vec{P}^{NL} = \sum_{m=2}^{\infty} \vec{P}^{(m)}(\vec{k}_m, \omega_m)$$

$$\text{— Define } [\epsilon] = 1 + [\chi^{(1)}]$$

$$\text{— Now } \partial_t^2 \vec{E}(\vec{r}, t) = \partial_t^2 \sum_{\vec{k}=1}^{\infty} \vec{E}_k(\vec{k}, \omega_k) = -\omega^2 \vec{E}(\vec{r}, t)$$

(same for P)

so rewrite wave eq in freq. domain

$$\left( \nabla \times (\nabla \times) - \frac{1}{c^2} \omega^2 \right) \vec{E}(\vec{k}, \omega) = \mu_0 \omega^2 \vec{P}(\vec{k}, \omega)$$

$$\left[ \vec{\nabla}_x (\vec{\nabla}_x) - \frac{\epsilon^2}{c^2} \right] \vec{E}(\vec{k}, \omega) = \mu_0 \omega^2 (\vec{P}^{(1)} + \vec{P}^{NL})$$

$$\vec{P}^{(1)} = \epsilon_0 [\chi^{(1)}] \vec{E}$$

$$\left[ \vec{\nabla}_x (\vec{\nabla}_x) - \frac{\epsilon^2}{c^2} \right] \vec{E} = \mu_0 \omega^2 \epsilon [\chi^{(1)}] \vec{E} + \omega^2 \vec{P}^{NL} \mu_0$$

$$\vec{E} = \vec{E}_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

$$\left[ \vec{\nabla}_x (\vec{\nabla}_x) - \frac{\epsilon^2}{c^2} (1 + [\chi^{(1)}]) \right] \vec{E} = \mu_0 \omega^2 \vec{P}^{NL}$$

$$\left[ \vec{\nabla}_x (\vec{\nabla}_x) - \frac{\omega_p^2}{c^2} [\epsilon] \right] \vec{E}(\vec{k}, \omega) = \omega_m^2 \mu_0 \vec{P}^{NL}(\vec{k}_m, \omega_m)$$

OR

$$\vec{\nabla}^2 \vec{E}_0 + \frac{\omega^2}{c^2} [\epsilon] \vec{E}_0 = \omega_m^2 \mu_0 \vec{P}_m^{NL}$$

(Boyd Eq. 2.1.23)

In time domain

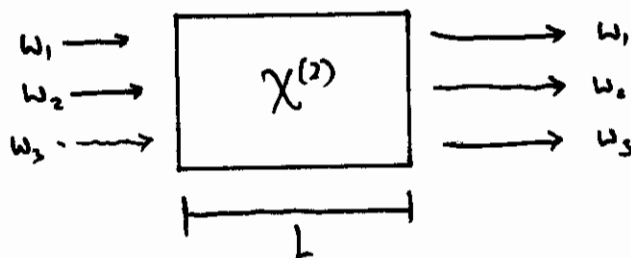
$$\vec{\nabla}^2 \vec{E}_n - \frac{[\epsilon]}{c^2} \partial_t^2 \vec{E}_n = \mu_0 \partial_t^2 \vec{P}_n^{NL}$$

(Boyd Eq. 2.1.21)



# Coupled Wave Eqs for Sum Frequency Generation

Set up



$$\omega_3 = \omega_1 + \omega_2$$

$$\omega_3 = \omega_{p1} + \omega_{p2}$$

The wave eq. must hold for each field at  $\omega_1$ ,  $\omega_2$  +  $\omega_3$ .

$$\nabla^2 \vec{E}_n - \frac{[\epsilon_0(\omega_n)]}{c^2} \partial_t^2 \vec{E}_n = \mu_0 \partial_t^2 \vec{J}_n^{NL} \quad n = 1, 2, 3$$

This will give us three coupled wave eqs. The nonlinear susceptibilities will serve as coupling coefficients.

In detail let  $\omega_3 = \omega_1 + \omega_2$ ,  $\vec{k}_3 = \vec{k}_1 + \vec{k}_2$ , the three eqs. will be (using 2.1.23)

$$\nabla^2 \vec{E}_1(\vec{k}_1, \omega_1) + \frac{\omega_1^2}{c^2} [\epsilon(\omega_1)] \vec{E}_1(\vec{k}_1, \omega_1) = -\mu_0 \epsilon_0 \sum \chi^{(2)}(\omega_1 = -\omega_2 + \omega_3; \omega_2, \omega_3) : \vec{E}_2^*(\vec{k}_2, \omega_2) \vec{E}_3(\vec{k}_3, \omega_3)$$

$$\nabla^2 \vec{E}_2(\vec{k}_2, \omega_2) + \frac{\omega_2^2}{c^2} [\epsilon(\omega_2)] \vec{E}_2(\vec{k}_2, \omega_2) = -\mu_0 \epsilon_0 \sum \chi^{(2)}(\omega_2 = \omega_3 - \omega_1; \omega_3, -\omega_1) : \vec{E}_3(\vec{k}_3, \omega_3) \vec{E}_1^*(\vec{k}_1, \omega_1)$$

$$(1) \quad \nabla^2 \vec{E}_1(\vec{k}_1, \omega_1) + \frac{\omega_1^2}{c^2} [\epsilon(\omega_1)] \vec{E}_1(\vec{k}_1, \omega_1) = -\mu_0 \epsilon_0 \chi^{(2)}(\omega_1 = -\omega_2 + \omega_3; \omega_2, \omega_3) : \vec{E}_2^*(\omega_2) \vec{E}_3(\omega_3) \\ = -\epsilon_0 \mu_0 2 \sum_{ijk} \hat{\chi}_i \chi_{ijk}^{(2)}(\omega_1 = -\omega_2 + \omega_3; \omega_2, \omega_3) E_{2j}^*(\omega_2) E_{3k}(\omega_3)$$

$$(2) \quad \nabla^2 \vec{E}_2(\omega_2) + \frac{\omega_2^2}{c^2} [\epsilon(\omega_2)] \vec{E}_2(\omega_2) = -\mu_0 \epsilon_0 \chi^{(2)}(\omega_2 = \omega_3 - \omega_1; \omega_3, -\omega_1) : \vec{E}_3(\omega_3) \vec{E}_1^*(\omega_1) \\ = -\mu_0 \epsilon_0 2 \sum_{ijk} \hat{\chi}_i \chi_{ijk}^{(2)}(\omega_2 = \omega_3 - \omega_1; \omega_3, -\omega_1) E_{3j}(\omega_3) E_{1k}^*(\omega_1)$$

$$(3) \quad \nabla^2 \vec{E}_3(\omega_3) + \frac{\omega_3^2}{c^2} [\epsilon(\omega_3)] \vec{E}_3(\omega_3) = -\mu_0 \epsilon_0 \chi^{(2)}(\omega_3 = \omega_1 + \omega_2; \omega_1, \omega_2) : \vec{E}_1(\omega_1) \vec{E}_2(\omega_2) \\ = -\mu_0 \epsilon_0 2 \sum_{ijk} \hat{\chi}_i \chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2; \omega_1, \omega_2) E_{1j}(\omega_1) E_{2k}(\omega_2)$$

Where do the complex conjugates (+ Factor of two) come from?

From before (1.3.12)

$$P_i(\omega_n + \omega_m) = \epsilon_0 \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

Sum over  $n+m$  such that  $\omega_n + \omega_m$  is fixed  
Sum over cartesian coordinates

We have  $\omega_3 = \omega_1 + \omega_2$  or  $\omega_1 = \omega_3 - \omega_2$  or  $\omega_2 = \omega_3 - \omega_1$

For  $\omega_2$

$$\vec{P}(\omega_2) = \epsilon_0 \sum_i \hat{x}_i \sum_{jk} \left[ \chi_{ijk}^{(2)}(\omega_2 = \omega_3 - \omega_1; \omega_3, -\omega_1) E_j(\omega_3) E_k(-\omega_1) \right. \\ \left. + \chi_{ijk}^{(2)}(\omega_2 = \omega_3 - \omega_1; -\omega_1, \omega_3) E_j(-\omega_1) E_k(\omega_3) \right]$$

Can simplify this

- 1) Fields are real: so  $E_j(-\omega_n) = E_j^*(\omega_n)$
- 2) Intrinsic permutation symmetry:  $\chi_{ijk}^{(2)}(\omega_2; \omega_3, -\omega_1) = \chi_{ikj}^{(2)}(\omega_2; -\omega_1, \omega_3)$

So

$$\vec{P}_2(\omega_2) = 2\epsilon_0 \sum_i \hat{x}_i \sum_{jk} \chi_{ijk}^{(2)}(\omega_2 = \omega_3 - \omega_1; \omega_3, -\omega_1) E_j(\omega_3) E_k^*(\omega_1)$$

Similar

$$\vec{P}(\omega_1) = 2\epsilon_0 \sum_i \hat{x}_i \sum_{jk} \chi_{ijk}^{(2)}(\omega_1 = \omega_3 - \omega_2; \omega_3, -\omega_2) E_j(\omega_3) E_k^*(\omega_2)$$

These vector equations are very ugly, so I will try to write the eq's in a ~~simple~~ simpler form. Following Boyd

Our coupled Eq's are

$$\nabla^2 \vec{E}_n - \frac{[\epsilon_0(\omega_n)]}{c^2} \partial_t^2 \vec{E}_n = \mu_0 \partial_t^2 \vec{J}_n^{\text{NL}}$$

Start with getting an eq for ~~the~~  $\vec{E}_3$

$$\text{Let } \vec{E}_3 = A_3 e^{i(k_3 z - \omega_3 t)} + \text{c.c.} \quad \left\{ \begin{array}{l} k_3 = \frac{n_3 \omega_3}{c} \\ n_3^2 = \epsilon^{(1)}(\omega_3) \end{array} \right.$$

$$\text{Write } \vec{P}_3 = P_3 e^{-i\omega_3 t} + \text{c.c.}$$

$$\text{where } P_3 = 4\epsilon_0 \text{eff } E_1 E_2 \quad \left\{ \begin{array}{l} \text{Def of eff} \end{array} \right.$$

$$\vec{E}_i(z, t) = E_i e^{-i\omega_i t} + \text{c.c.} \quad E_i = A_i e^{ik_i z}$$

$$\text{So } P_3 = 4\epsilon_0 \text{eff } A_1 A_2 e^{i(k_1 + k_2)z} \quad \left\{ \right.$$

Put all this into the wave eq. for 1D  $\left\{ \begin{array}{l} \text{No 60 because } \epsilon_0 \mu_0 = \frac{1}{c^2} \end{array} \right.$

$$\left[ \frac{\partial^2 A_3}{\partial z^2} + 2ik_3 \frac{\partial A_3}{\partial z} - k_3^2 A_3 + \overset{\text{cancel}}{\frac{\epsilon(\omega_3) \omega_3^2 A_3}{c^2}} \right] \exp(i k_3 z - i \omega_3 t) + \text{c.c.}$$

$$= -\frac{4 \text{eff } \omega_3^2}{c^2} A_1 A_2 \exp(i(k_1 + k_2)z - i\omega_3 t) + \text{c.c.}$$

~~the wave equation~~

$$\text{Can cancel 3rd + 4th terms since } k_3^2 = \frac{\omega_3^2 \epsilon(\omega_3)}{c^2}$$

In detail with c.c. terms, but subtract the 3rd + 4th terms

$$\begin{aligned} & \left( \frac{\partial^2 A_3}{\partial z^2} + 2ik_3 \frac{\partial A_3}{\partial z} \right) \exp(i(k_3 z - \omega_3 t)) \\ & + \left( \frac{\partial^2 A_3^*}{\partial z^2} - 2ik_3 \frac{\partial A_3^*}{\partial z} \right) \exp(-i(k_3 z - \omega_3 t)) \\ & = -\frac{4\text{def} \omega_3^2}{c^2} A_1 A_2 \exp(i((k_1 + k_2)z - \omega_3 t)) \\ & \quad - \frac{4\text{def} \omega_3^2}{c^2} A_1^* A_2^* \exp(-i((k_1 + k_2)z - \omega_3 t)) \end{aligned}$$

Group terms in  $\exp(+i\omega_3 t)$  and  $\exp(-i\omega_3 t)$

$$\begin{aligned} & \left[ \left( \frac{\partial^2 A_3}{\partial z^2} + 2ik_3 \frac{\partial A_3}{\partial z} \right) \exp(ik_3 z) + \frac{4\text{def}}{c^2} A_1 A_2 \exp(i(k_1 + k_2)z) \right] e^{-i\omega_3 t} \\ & = \left[ \left( \frac{\partial^2 A_3^*}{\partial z^2} - 2ik_3 \frac{\partial A_3^*}{\partial z} \right) \exp(-ik_3 z) + \frac{4\text{def}}{c^2} A_1^* A_2^* \exp(-i(k_1 + k_2)z) \right] e^{i\omega_3 t} \end{aligned}$$

Each  $[]$  individually must be zero for all time. Divide by  $\exp(ik_3 z)$  and we get.

$$\frac{\partial^2 A_3}{\partial z^2} + 2ik_3 \frac{\partial A_3}{\partial z} = -\frac{4\text{def} \omega_3^2}{c^2} A_1 A_2 \exp(i(k_1 + k_2 - k_3)z)$$

Impose the SVEA  $\left| \frac{\partial^2 A_3}{\partial z^2} \right| \ll \left| k_3 \frac{\partial A_3}{\partial z} \right|$  more about this later...

We get

$$(1) \quad \boxed{\frac{\partial A_3}{\partial z} = \frac{2i \text{def} \omega_3^2}{k_3 c^2} A_1 A_2 \exp(i\Delta k z)}$$

where  
 $\Delta k = k_1 + k_2 - k_3$   
 $k_3 = \frac{n_3 \omega_3}{c}$   
 $n_3 = n(\omega_3)$

Ok we got two more eq's to go

For  $\vec{E}_2$

Write the fields in a similar manner. However the nonlinear polarization will be different. Specifically

$$P_2 = 4\epsilon_0 \text{def} E_3 E_1^*$$

$$\text{with } E_3 = A_3 e^{ik_3 z}$$

$$E_1^* = A_1^* e^{-ik_1 z}$$

$E_1^*$  is from  
 $\omega_3 = \omega_1 + \omega_2$  or  
 $\omega_2 = \omega_3 - \omega_1$   
 and our discussion before on  $\vec{P}^{NL}$   
~~the same way~~

So into wave eq

$$\left[ \frac{\partial^2 A_2}{\partial z^2} + 2ik_2 \frac{\partial A_2}{\partial z} - k_2^2 A_2 + \frac{\epsilon(\omega_2) \omega_2^2 A_2}{c^2} \right] \exp(ik_2 z - i\omega_2 t) + \text{c.c.}$$

$$= -\frac{4\text{def} \omega_2^2}{c^2} A_3 A_1^* \exp(i(k_3 - k_1)z - i\omega_2 t) + \text{c.c.}$$

~~Canceling out  $k_2^2$  terms on this equation w.c. terms (as before)~~

~~divide by  $\exp(ik_2 z)$  we get~~

We can simplify as before by 1) Using SVEA 2)  $k_2^2 = \frac{\epsilon(\omega_2) \omega_2^2}{c^2}$

3) c.c. are each equal to zero. Divide by  $\exp(ik_2 z)$  and we have

$$\frac{\partial A_2}{\partial z} = \frac{2i \text{def} \omega_2^2}{k_2 c^2} A_3 A_1^* \exp(-i \overbrace{(k_1 + k_2 - k_3)}^{\Delta k} z)$$

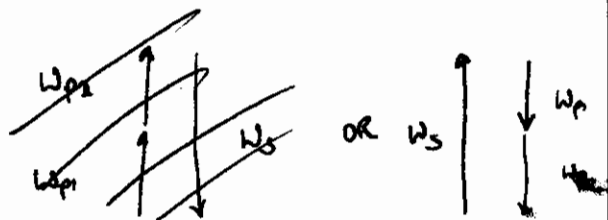
$$\frac{\partial A_1}{\partial z} = \frac{2i \text{def} \omega_1^2}{k_1 c^2} A_3 A_2^* \exp(-i \Delta k z)$$

Using same procedure we will get

## Murley-Rose relations

Consider SFG

$$\omega_s = \omega_{p1} + \omega_{p2}$$



Two ~~or~~ photons destroyed to make a photon at  $\omega_s$

$$\frac{I_{p1}}{\omega_{p1}} \equiv \text{photon flux of pump 1}$$

$$I_{p1} = \frac{P}{A} = \frac{1}{A} \frac{E}{\Delta t} = \frac{N \hbar \omega_{p1}}{\Delta t A}$$

↑ Area

$$\text{So } \frac{I_{p1}}{\omega_{p1}} = \frac{N \hbar}{\Delta t A} \sim \frac{\# \text{ of photons}}{\text{time (Area)}}$$

Some define photon Flux as  $P\lambda$ ,  $P \equiv \text{power}$

$$P\lambda = \frac{E}{\Delta t} \lambda = N \frac{hc}{\lambda} \frac{1}{\Delta t} = \frac{N}{\Delta t} \sim \frac{\# \text{ photons}}{\text{time}}$$

OR

$$\boxed{P\lambda = \frac{I}{\omega} A}$$

The murley-Rose relationship is a relation between photon flux

For  $\omega_3 = \omega_2 + \omega_1$  we can write

$$\frac{d}{dz} \left( \frac{I_2}{\omega_2} + \frac{I_3}{\omega_3} \right) = 0 \quad \frac{d}{dz} \left( \frac{I_1}{\omega_1} + \frac{I_2}{\omega_3} \right) = 0$$

$$\frac{d}{dz} \left( \frac{I_1}{\omega_1} - \frac{I_2}{\omega_2} \right) = 0$$

$$M_1 = \frac{I_2}{\omega_2} + \frac{I_3}{\omega_3} \equiv \text{const. of motion}$$

SFG:  $\omega_3$  created by the annihilation of  $\omega_1$  &  $\omega_2$

DFG:  $\omega_2$  created by the annihilation of  $\omega_3$  and creation of  $\omega_1$   
 ( $\omega_2 = \omega_3 - \omega_1$ )

$$\frac{d}{dz} \left( \frac{I_{m+1}}{\omega_{m+1}} \pm \frac{I_\mu}{\omega_\mu} \right) = 0$$

$\omega_\mu \begin{cases} \mu & (+ \text{ destroyed}) \\ \mu & (- \text{ created}) \end{cases}$

$\omega_{m+1}$  is created if  $\omega_\mu$  is



# Coupled Equations to solve

SFG (Boyd)  $\omega_3 = \omega_{p1} + \omega_{p2}$

$$\frac{dA_3}{dz} = \frac{2i \text{det} \omega_3^3}{k_3 c^2} A_1 A_2 \exp(i \Delta k z)$$

$$\frac{dA_2}{dz} = \frac{2i \text{det} \omega_2^2}{k_2 c^2} A_3 A_1^* \exp(-i \Delta k z)$$

$$\frac{dA_1}{dz} = \frac{2i \text{det} \omega_1^2}{k_1 c^2} A_3 A_2^* \exp(-i \Delta k z)$$

$$\left( \text{use } k_n = \frac{n(\omega_n) \omega_n}{c} \right) \longrightarrow$$

Different notation (Sutherland)

$$\frac{dA_s}{dz} = \frac{2i \text{det} \omega_s}{n_s c} A_{p1} A_{p2} \exp(i \Delta k z)$$

$$\frac{dA_{p1}}{dz} = \frac{2i \text{det} \omega_{p1}}{n_{p1} c} A_s A_{p2}^* \exp(-i \Delta k z)$$

$$\frac{dA_{p2}}{dz} = \frac{2i \text{det} \omega_{p2}}{n_{p2} c} A_s A_{p1}^* \exp(-i \Delta k z)$$

$$\Delta k = k_{p1} + k_{p2} - k_s$$

$$\omega_s = \omega_{p1} + \omega_{p2}$$

$$\begin{cases} \omega_3 : \text{signal} \\ \omega_1 : \text{pump 1} \\ \omega_2 : \text{pump 2} \end{cases}$$

SHG  $2\omega = \omega$

$$\frac{dA_{2\omega}}{dz} = \frac{2i \text{det} \omega}{n_{2\omega} c} A_{\omega}^2 \exp(i \Delta k z)$$

$$\Delta k = 2k_{\omega} - k_{2\omega}$$

$$\frac{dA_{\omega}}{dz} = \frac{2i \text{det} \omega}{n_{\omega} c} A_{\omega}^* A_{2\omega} \exp(-i \Delta k z)$$

$$\omega_{p1} \equiv \text{pump}$$

$$\omega_d \equiv \text{idler}$$

$$\omega_{p2} \equiv \text{signal}$$

$$\Delta k = k_{p1} - k_{p2} - k_d$$

DFG

$$\omega_d = \omega_{p1} - \omega_{p2}$$

$$\frac{dA_d}{dz} = \frac{2i \text{det} \omega_d}{n_d c} A_{p1} A_{p2}^* \exp(+i \Delta k z) \quad (\text{idler})$$

$$\frac{dA_{p1}}{dz} = \frac{2i \text{det} \omega_{p1}}{n_{p1} c} A_d A_{p2} \exp(-i \Delta k z) \quad (\text{pump})$$

$$\frac{dA_{p2}}{dz} = \frac{2i \text{det} \omega_{p2}}{n_{p2} c} A_d^* A_{p1} \exp(+i \Delta k z) \quad (\text{signal})$$

(Boc off by  $1/2$ )



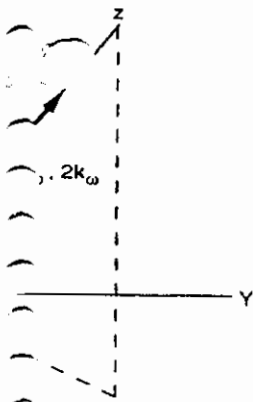
Fig. 2, which illustrates SHG. The fundamental harmonic wave polarized along the laboratory coordinate  $z$  represents the principal axes of the crystal. However, in general the tensor components in the principal axes  $\theta$  and  $\phi$  as well. For most cases and for various optical wave

### Waves

the nonlinear polarization. The form of these equations, for a constant  $K$ , where

(28)

$$K = \begin{cases} 1 & (\text{SI}) \\ 4\pi & (\text{cgs}) \end{cases}$$



coordinate system  $(x, y, z)$  and

SHG.

$$\frac{dA_{2\omega}}{dz} = iK \frac{2\omega}{n_{2\omega}c} d_{\text{eff}} A_{\omega}^2 \exp(i\Delta kz) \quad (29)$$

$$\frac{dA_{\omega}}{dz} = iK \frac{2\omega}{n_{\omega}c} d_{\text{eff}} A_{\omega}^* A_{2\omega} \exp(-i\Delta kz) \quad (30)$$

SFG.

$$\frac{dA_s}{dz} = iK \frac{2\omega_s}{n_sc} d_{\text{eff}} A_{p1} A_{p2} \exp(i\Delta kz) \quad (31)$$

$$\frac{dA_{p1}}{dz} = iK \frac{2\omega_{p1}}{n_{p1}c} d_{\text{eff}} A_s A_{p2}^* \exp(-i\Delta kz) \quad (32)$$

$$\frac{dA_{p2}}{dz} = iK \frac{2\omega_{p2}}{n_{p2}c} d_{\text{eff}} A_s A_{p1}^* \exp(-i\Delta kz) \quad (33)$$

DFG.

$$\frac{dA_d}{dz} = iK \frac{2\omega_d}{n_dc} d_{\text{eff}} A_{p1} A_{p2}^* \exp(i\Delta kz) \quad (34)$$

$$\frac{dA_{p1}}{dz} = iK \frac{2\omega_{p1}}{n_{p1}c} d_{\text{eff}} A_d A_{p2} \exp(-i\Delta kz) \quad (35)$$

$$\frac{dA_{p2}}{dz} = iK \frac{2\omega_{p2}}{n_{p2}c} d_{\text{eff}} A_d A_{p1} \exp(i\Delta kz) \quad (36)$$

These equations were first solved by Armstrong et al. [3]. In general, both the modulus and phase of the complex field amplitudes are computed. However, to compute the output intensities of the generated waves, only the modulus is used. The intensity of a wave at some position  $z$  is given by

$$I_{\alpha} = 2\epsilon_0 n_{\alpha} c |A_{\alpha}|^2 \quad (37)$$

in SI units, and

$$I_{\alpha} = \frac{n_{\alpha} c}{2\pi} |A_{\alpha}|^2 \quad (38)$$

in cgs units. The optical power of a given wave is computed from

$$\mathcal{P} = \int_A I dA \quad (39)$$

# General solution to coupled eqs. (Armstrong) (SFG) $\omega_3 = \omega_1 + \omega_2$

Write the complex amplitudes in terms of <sup>magnitude</sup> ~~intensity~~ & phase

$$A_j = \sqrt{\frac{I_j}{2\epsilon_0 c}} u_j e^{i\phi_j} \quad \left\{ \begin{array}{l} u_j = u_j(z) = u_j(\xi) \\ \phi_j = \phi_j(\xi) \end{array} \right.$$

and define a normalized length

$$\xi \equiv z / L_{NL} \quad L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_1 n_2 n_3 c \lambda_2 \lambda_3}{I_1(0)}}$$

Sub. this into the three coupled eqs. We will get four coupled eqs., 3 in magnitude and one in phase  $\theta$

$$\text{where } \theta \equiv \Delta k z + \phi_3 - \phi_2 - \phi_1$$

$$\begin{array}{l} \text{3} \\ \text{magnitudes} \end{array} \left[ \begin{array}{l} \frac{du_1}{d\xi} = -u_2 u_3 \sin \theta \\ \frac{du_2}{d\xi} = -u_3 u_1 \sin \theta \end{array} \right. \quad \frac{du_3}{d\xi} = u_1 u_2 \sin \theta$$

$$\text{phase} \left[ \frac{d\theta}{d\xi} = \Delta k L_{NL} + \cot \theta \frac{d}{d\xi} \ln(u_1 u_2 u_3) \right]$$

Constants of motion are given by 1) Energy conservation

2) Manley-Rowe relations

$$1) \quad \omega_1 u_1^2 + \omega_2 u_2^2 + \omega_3 u_3^2 = 1$$

$$2) \quad \begin{array}{l} m_1 = u_2^2 + u_3^2 \\ m_2 = u_3^2 + u_1^2 \end{array} \quad m_3 = u_1^2 - u_2^2$$

using the  $d\theta/ds$ , it can be integrated to get

$$\cos \theta = (\Gamma + \frac{1}{2} A k L m) / u_1 u_2 u_3$$

This allows us to eliminate  $\sin \theta$  and using the  
 Menley rowe relations we can write

$$y = \pm \frac{1}{2} \int_{u_3^2(0)}^{u_3^2(z)} \frac{d(u_3^2)}{[u_3^2 (m_1 - u_3^2)(m_2 - u_3^2) + (\Gamma + \frac{1}{2} A k L m u_3^2)^2]}^{1/2}$$

This is an elliptic integral with  $y = \operatorname{sn}^2(\ )$

General solutions for fields

$$A_n \sim \text{sn} [z/L_{NL}, \gamma] \quad (\text{more later})$$

↑ Jacobi elliptic function

We will solve these equations for different cases.

1) Perfect phase matching  $\Delta k = 0$

2) No pump depletion  $\frac{dA_p}{dz} = 0$

(This is done in Boyd)

Table on next page summarizes solution under these cases.

⇒ See armstrong for how to solve general eqs.

# Solutions as a function of length ( $z/L_m$ )

\* The general solution will be elliptic sine/cosine functions \*

Define power efficiencies

$$\eta \equiv \frac{P_{out}}{P_{in}} \quad \text{eg.} \quad \eta_{2\omega} = \frac{P_{2\omega}(z)}{P_{\omega}(0)}$$

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

\* In Band

|                                               | SFG                                                                                                                 | SHG                                                                                                          | DFG                                                                                                                     |
|-----------------------------------------------|---------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| $\frac{dA_0}{dz} = 0$<br>$\Delta k = 0$       | $\eta_s \sim d_{eff}^2 L^2 I_0$                                                                                     | <del>scribbles</del><br>$\eta_{2\omega} \sim d_{eff}^2 L^2 I_0$                                              | $\eta_d \sim d_{eff}^2 L^2 I_0$                                                                                         |
| $\frac{dA_0}{dz} \neq 0$<br>$\Delta k = 0$    | $\eta_s \sim \text{sn}^2[z/L_m, \gamma]$<br>$\gamma^2 \equiv \frac{\lambda_{p2} P_{p2}(0)}{\lambda_{p1} P_{p1}(0)}$ | $\eta_{2\omega} \sim \tanh^2(z/L_m)$<br>$\eta_{\omega} \sim \text{sech}^2()$                                 | $\eta_d \sim \text{sn}^2[i z/L_m, i \gamma]$<br>$\gamma^2 \equiv \frac{\lambda_{p2} P_{p2}(0)}{\lambda_{p1} P_{p1}(0)}$ |
| $\frac{dA_0}{dz} \neq 0$<br>$\Delta k \neq 0$ | $\eta_s \sim \text{sn}^2[ , ]$                                                                                      | $\eta_{2\omega} \sim \text{sn}^2[ , ]$                                                                       | $\eta_d \sim \text{sn}^2[ , ]$                                                                                          |
| $\frac{dA_0}{dz} = 0$<br>$\Delta k \neq 0$    | $\eta_s \sim \frac{\sin^2(\frac{A_0}{2})}{(\frac{A_0}{2})^2}$<br>$A_1(z) \sim \cos()$<br>$A_3(z) \sim \sin()$       | $\eta_{2\omega} \sim \frac{\sin^2( )}{( )^2}$<br>$A_{\omega}(z) \sim \cos()$<br>$A_{2\omega}(z) \sim \sin()$ | <del>scribbles</del><br>$A_s \sim \cosh()$<br>$A_d \sim \sinh()$                                                        |

signal  
idler

# Jacobi Elliptic Functions $\text{sn}(z, k)$ $\text{cn}(z, k)$ , $\text{dn}(z, k)$

Functions of elliptic integrals (theta Functions)

$$\theta_1(z, q) = 2 \sum_{n=0}^{\infty} (-1)^n q^{(n+1/2)^2} \sin((2n+1)z)$$

$$\theta_2(z, q) = 2 \sum_{n=0}^{\infty} q^{(n+1/2)^2} \cos((2n+1)z)$$

Generalized  $\sin()$  +  $\sinh()$

$\text{sn}(z, k)$

↑ complex argument possibly complex  
↑ modulus

$$\text{sn}(z, k) \equiv \frac{\theta_3(0, q) \theta_1(z, q)}{\theta_2(0, q) \theta_4(z, q)}$$

$$K(k) = \frac{\pi}{2} \theta_3^2(0, q), \quad \Im = \frac{\pi z}{2 K(k)}$$

Limiting cases ~~known~~

$$\text{sn}(z, k) \rightarrow \sin z$$

$$k \rightarrow 0$$

$$\text{cn}(z, k) \rightarrow \cos z$$

$$k \rightarrow 0$$

(Small 2nd pump  
large pump 1)

$$\text{sn}(z, k) \rightarrow \tanh z$$

$$k \rightarrow 1$$

(Equal pumps)

$$\text{cn}(z, k) \rightarrow \text{sech } z$$

$$k \rightarrow 1$$

Imaginary argument

$$\text{sn}(ix, k) = i \text{sn}(x, k')$$

$$k^2 + k'^2 = 1$$

$$\text{cn}(ix, k) = \text{nc}(x, k')$$

Purely real moduli

Real moduli

$$\operatorname{sn}(z, 1/k) = k \operatorname{sn}(z/k, k)$$

$$\operatorname{cn}(z, 1/k) = \operatorname{dn}(z/k, k)$$

Purely imaginary moduli

$$\operatorname{sn}(z, ik) = k_1 \operatorname{sd}(z/k_1', k_1)$$

$$\text{where } k_1 = \frac{k}{\sqrt{1+k^2}} \quad \& \quad k, k_1' = \frac{k}{1+k^2}$$

$$\operatorname{cn}(z, ik) = \operatorname{cd}(z/k_1', k_1)$$

~~Integral Definitions / Inverse Functions~~

Representations as elliptic integrals

$$\operatorname{arcsn}(x, k) = \int_0^x \frac{dt}{(1-t^2)(1-k^2t^2)}$$

$$\operatorname{arccn}(x, k) = \int_0^x \frac{dt}{(1-t^2)(k'^2 + k^2t^2)}$$

General solution

$$\text{sn}^2(z/L_{NL}, \gamma)$$

~~General solution~~

Lets get a physical feel for  
the Jacobi elliptic Functions

Example

SFG with  $\Delta k = 0$ 

$$\eta_s \sim \text{sn}^2(z/L_{NL}, \gamma)$$

With

$$L_{NL} \equiv \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_p n_{p2} n_s c \chi_p \lambda_{p2}}{I_p(0)}}$$

$$\gamma^2 = \frac{\lambda_{p2} P_{p2}(0)}{\lambda_p P_p(0)}$$

$$\gamma \sim 0 \quad \text{sn}(u, \gamma) \simeq \sin(u)$$

$$\gamma \rightarrow 1 \quad \text{sn}(u, \gamma) \rightarrow \tanh(u)$$

Case 2

discrete SHG

Physical parameters

 $L_{NL} \equiv$  characteristic nonlinear length

 $\rightarrow$  56% conversion eff. for SHG

 $\gamma^2 \equiv$  ratio of photon flux

 $\lambda P$  proportional to photon flux

$$\lambda P = \lambda \frac{N h \omega}{\Delta t} = \lambda \frac{N h c}{\lambda} \frac{1}{\Delta t} = h c \frac{N}{\Delta t}$$



$$W_S = W_{P1} + W_{P2}$$



So

$$\gamma^2 = \frac{\lambda_{P2} P_{P2}(0)}{\lambda_{P1} P_{P1}(0)}$$

$$\gamma^2 = 0$$

Small flux of 2nd pump

pump 2 becomes depleted and converts back to pump 1

$$\gamma^2 = 1$$

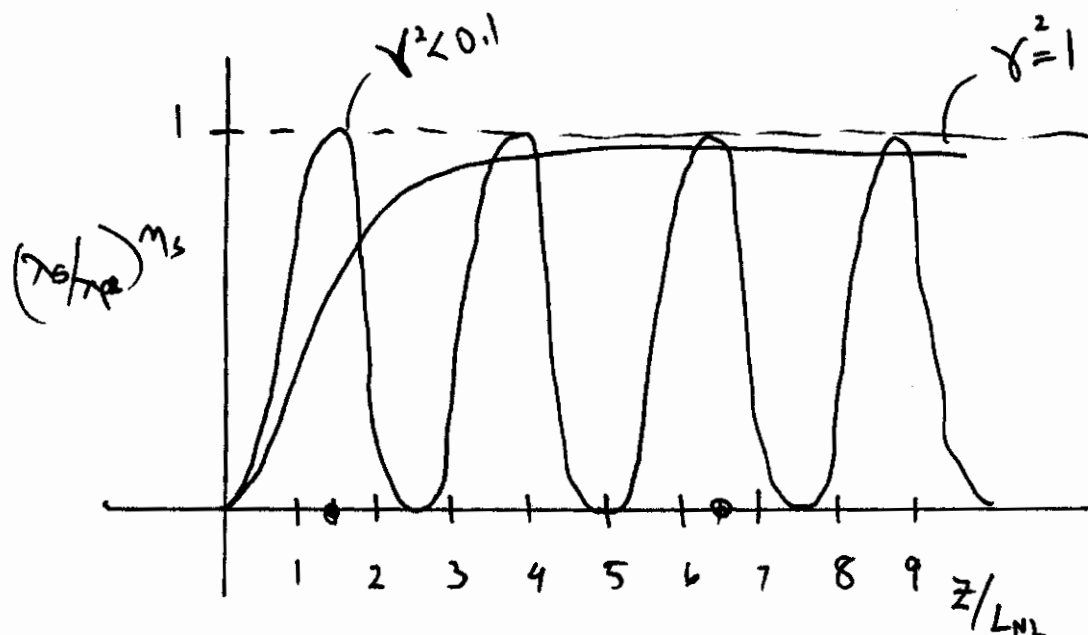
Equal flux of pump 1 + pump 2 photons

Both pumps are depleted asymptotically to zero.

Maximum sum frequency power is

$$\lambda_{P2} / \lambda_S P_{P2}(0)$$

Maximum sum freq flux = photon flux from pump 2



**Table 3** Conversion Efficiency Formulas in the Infinite Plane Wave, Nondepleted Pump Approximation

|                                                   |                                                                                                                                        |                                                                                                                           |
|---------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| SHG<br>( $2\omega = \omega + \omega$ )            | $\eta_{2\omega} = \frac{\mathcal{P}_{2\omega}}{\mathcal{P}_{\omega}} = \eta_{2\omega}^0 \frac{\sin^2(\Delta k L/2)}{(\Delta k L/2)^2}$ |                                                                                                                           |
|                                                   | $\eta_{2\omega}^0 = \frac{8\pi^2 d_{\text{eff}}^2 L^2 I_{\omega}}{\epsilon_0 n_{\omega}^2 n_{2\omega} c \lambda_{\omega}^2}$ (SI)      | $\eta_{2\omega}^0 = \frac{512\pi^5 d_{\text{eff}}^2 L^2 I_{\omega}}{n_{\omega}^2 n_{2\omega} c \lambda_{\omega}^2}$ (cgs) |
| SFG<br>( $\omega_s = \omega_{p1} + \omega_{p2}$ ) | $\eta_s = \frac{\mathcal{P}_s}{\mathcal{P}_{p2}} = \eta_s^0 \frac{\sin^2(\Delta k L/2)}{(\Delta k L/2)^2}$                             |                                                                                                                           |
|                                                   | $\eta_s^0 = \frac{8\pi^2 d_{\text{eff}}^2 L^2 I_{p1}}{\epsilon_0 n_{p1} n_{p2} n_s c \lambda_s^2}$ (SI)                                | $\eta_s^0 = \frac{512\pi^5 d_{\text{eff}}^2 L^2 I_{p1}}{n_{p1} n_{p2} n_s c \lambda_s^2}$ (cgs)                           |
| DFG<br>( $\omega_d = \omega_{p1} - \omega_{p2}$ ) | $\eta_d = \frac{\mathcal{P}_d}{\mathcal{P}_{p2}} = \eta_d^0 \frac{\sin^2(\Delta k L/2)}{(\Delta k L/2)^2}$                             |                                                                                                                           |
|                                                   | $\eta_d^0 = \frac{8\pi^2 d_{\text{eff}}^2 L^2 I_{p1}}{\epsilon_0 n_{p1} n_{p2} n_d c \lambda_d^2}$ (SI)                                | $\eta_d^0 = \frac{512\pi^5 d_{\text{eff}}^2 L^2 I_{p1}}{n_{p1} n_{p2} n_d c \lambda_d^2}$ (cgs)                           |

No pump depletion  
 $\Delta k \neq 0$

**Table 4** Frequency Conversion Efficiency Formulas in the Infinite Plane Wave Approximation, Including Pump Depletion

|     |                                                                                                                                                                            |                                                                                                                                          |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| SHG | $\eta_{2\omega} = \tanh^2(L/L_{\text{NL}})$                                                                                                                                |                                                                                                                                          |
|     | $L_{\text{NL}} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_{\omega}^2 n_{2\omega} c \lambda_{\omega}^2}{I_{\omega}(0)}}$ (SI)                                | $L_{\text{NL}} = \frac{1}{16\pi^2 d_{\text{eff}}} \sqrt{\frac{n_{\omega}^2 n_{2\omega} c \lambda_{\omega}^2}{2\pi I_{\omega}(0)}}$ (cgs) |
| SFG | $\eta_s = \frac{\lambda_{p2}}{\lambda_s} \text{sn}^2[(L/L_{\text{NL}}), \gamma]$ $\gamma^2 = \frac{\lambda_{p2} \mathcal{P}_{p2}(0)}{\lambda_{p1} \mathcal{P}_{p1}(0)}$    |                                                                                                                                          |
|     | $L_{\text{NL}} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_{p1} n_{p2} n_s c \lambda_{p2} \lambda_s}{I_{p1}(0)}}$ (SI)                                       | $L_{\text{NL}} = \frac{1}{16\pi^2 d_{\text{eff}}} \sqrt{\frac{n_{p1} n_{p2} n_s c \lambda_{p2} \lambda_s}{2\pi I_{p1}(0)}}$ (cgs)        |
| DFG | $\eta_d = -\frac{\lambda_{p2}}{\lambda_d} \text{sn}^2[i(L/L_{\text{NL}}), i\gamma]$ $\gamma^2 = \frac{\lambda_{p2} \mathcal{P}_{p2}(0)}{\lambda_{p1} \mathcal{P}_{p1}(0)}$ |                                                                                                                                          |
|     | $L_{\text{NL}} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_{p1} n_{p2} n_d c \lambda_{p2} \lambda_d}{I_{p1}(0)}}$ (SI)                                       | $L_{\text{NL}} = \frac{1}{16\pi^2 d_{\text{eff}}} \sqrt{\frac{n_{p1} n_{p2} n_d c \lambda_{p2} \lambda_d}{2\pi I_{p1}(0)}}$ (cgs)        |

Pump Depletion

$\Delta k = 0$

**Table 5** Limiting Forms of SFG Efficiency Formulas in the Infinite Plane Wave Approximation, Including Pump Depletion

|                           |                                                                                                                                      |                                                                                                                                   |
|---------------------------|--------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| SFG<br>( $\gamma \ll 1$ ) | $\eta_s = \frac{\lambda_{p2}}{\lambda_s} \sin^2 \frac{L}{L_{\text{NL}}}$                                                             |                                                                                                                                   |
| SFG<br>( $\gamma = 1$ )   | $\eta_s = \frac{\lambda_{p2}}{\lambda_s} \tanh^2 \frac{L}{L_{\text{NL}}}$                                                            |                                                                                                                                   |
|                           | $L_{\text{NL}} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_{p1} n_{p2} n_s c \lambda_{p2} \lambda_s}{I_{p1}(0)}}$ (SI) | $L_{\text{NL}} = \frac{1}{16\pi^2 d_{\text{eff}}} \sqrt{\frac{n_{p1} n_{p2} n_s c \lambda_{p2} \lambda_s}{2\pi I_{p1}(0)}}$ (cgs) |

Pump Depletion

$\Delta k = 0$

**Table 6** Limiting Forms of the DFG Efficiency in the Infinite Plane Wave Approximation, Including Pump Depletion

|                           |                                                                                                                                                             |                                                                                                                                   |
|---------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| DFG<br>( $\gamma \ll 1$ ) | $\eta_d = \frac{\lambda_{p2}}{\lambda_d} \sinh^2 \frac{L}{L_{\text{NL}}}$                                                                                   |                                                                                                                                   |
| DFG<br>( $\gamma = 1$ )   | $\eta_d = \frac{\lambda_{p2}}{\lambda_d} \frac{\text{sn}^2[\sqrt{2}(L/L_{\text{NL}}), 1/\sqrt{2}]}{2 - \text{sn}^2[\sqrt{2}(L/L_{\text{NL}}), 1/\sqrt{2}]}$ |                                                                                                                                   |
|                           | $L_{\text{NL}} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_{p1} n_{p2} n_d c \lambda_{p2} \lambda_d}{I_{p1}(0)}}$ (SI)                        | $L_{\text{NL}} = \frac{1}{16\pi^2 d_{\text{eff}}} \sqrt{\frac{n_{p1} n_{p2} n_d c \lambda_{p2} \lambda_d}{2\pi I_{p1}(0)}}$ (cgs) |

Pump Depletion

$\Delta k = 0$

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

**Table 7** Frequency Conversion Efficiency Formulas in the Infinite Plane Wave Approximation, Including Pump Depletion and the Effects of Phase Matching

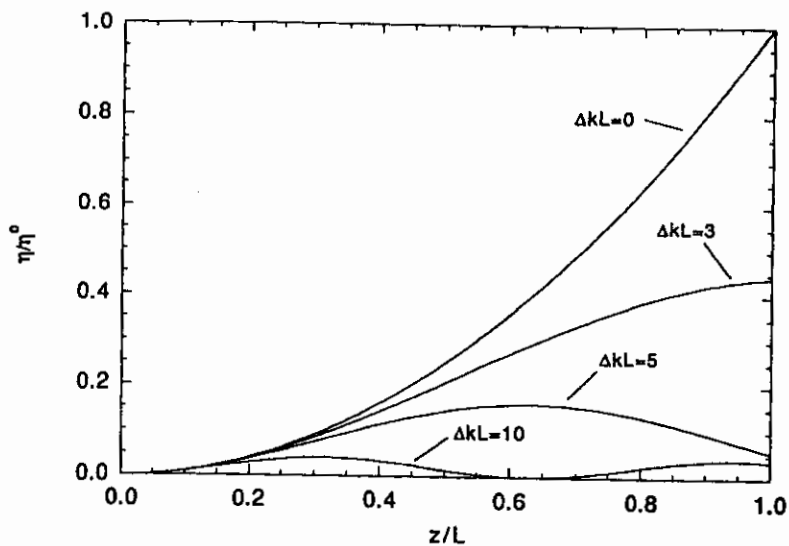
|                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SHG                | $\eta_{2\omega} = \gamma \sin^2 \{ [\sqrt{1 + (\Delta k L/4)^2 (L_{NL}/L)^2} + (\Delta k L/4)(L_{NL}/L)] (L/L_{NL}), \gamma \}$ $\gamma = [\sqrt{1 + (\Delta k L/4)^2 (L_{NL}/L)^2} - (\Delta k L/4)(L_{NL}/L)]^2$                                                                                                                                                                                                                                                                                        |
| SFG                | $\eta_s = \frac{\lambda_{p2}}{\lambda_s} \frac{(1 + \gamma_0^{-2})}{2} p_- \sin^2 \left[ \sqrt{\frac{1}{2}} (1 + \gamma_0^2) p_+ (L/L_{NL}), \gamma \right]$ $\gamma^2 = \frac{p_-}{p_+} \quad \gamma_0^2 = \frac{\lambda_{p2} \mathcal{P}_{p2}(0)}{\lambda_{p1} \mathcal{P}_{p1}(0)}$ $p_{\pm} = 1 + \frac{(\Delta k L/2)^2 (L_{NL}/L)^2}{1 + \gamma_0^2} \pm \sqrt{\left[ 1 + \frac{(\Delta k L/2)^2 (L_{NL}/L)^2}{1 + \gamma_0^2} \right]^2 - \left( \frac{2\gamma_0}{1 + \gamma_0^2} \right)^2}$      |
| DFG                | $\eta_d = -\frac{\lambda_{p2}}{\lambda_d} \frac{(1 - \gamma_0^{-2})}{2} p_- \sin^2 \left[ i \sqrt{\frac{1}{2}} (1 - \gamma_0^2) p_+ (L/L_{NL}), i\gamma \right]$ $\gamma^2 = -\frac{p_-}{p_+} \quad \gamma_0^2 = \frac{\lambda_{p2} \mathcal{P}_{p2}(0)}{\lambda_{p1} \mathcal{P}_{p1}(0)}$ $p_{\pm} = 1 - \frac{(\Delta k L/2)^2 (L_{NL}/L)^2}{1 - \gamma_0^2} \pm \sqrt{\left[ 1 - \frac{(\Delta k L/2)^2 (L_{NL}/L)^2}{1 - \gamma_0^2} \right]^2 + \left( \frac{2\gamma_0}{1 - \gamma_0^2} \right)^2}$ |
| ( $\gamma \ll 1$ ) | $\eta_d = \frac{\lambda_{p2}}{\lambda_d} \frac{1}{1 - (\Delta k L/2)^2 (L_{NL}/L)^2} \sinh^2 \left[ \sqrt{1 - (\Delta k L/2)^2 (L_{NL}/L)^2} (L/L_{NL}) \right]$                                                                                                                                                                                                                                                                                                                                          |

Pump Depletion

$\Delta k \neq 0$

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

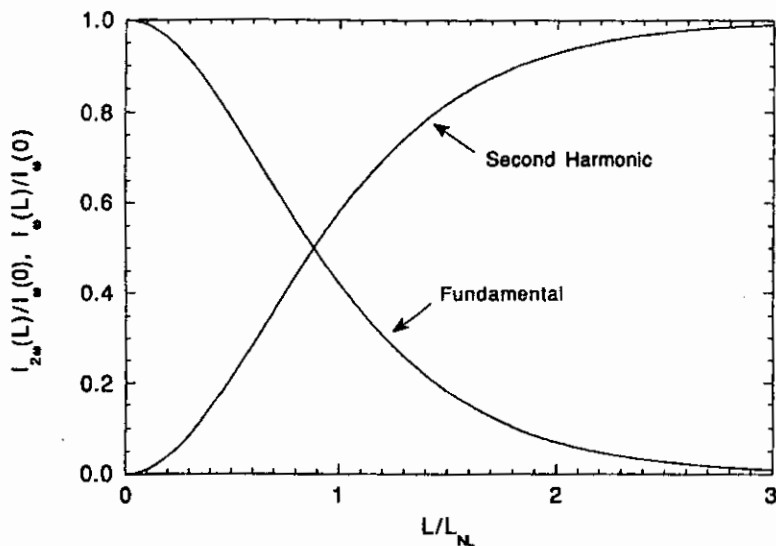


**Figure 6** Normalized conversion efficiency as a function of position in a nonlinear medium for various values of phase mismatch for SHG, SFG, and DFG.

SHG, SFG, DFG

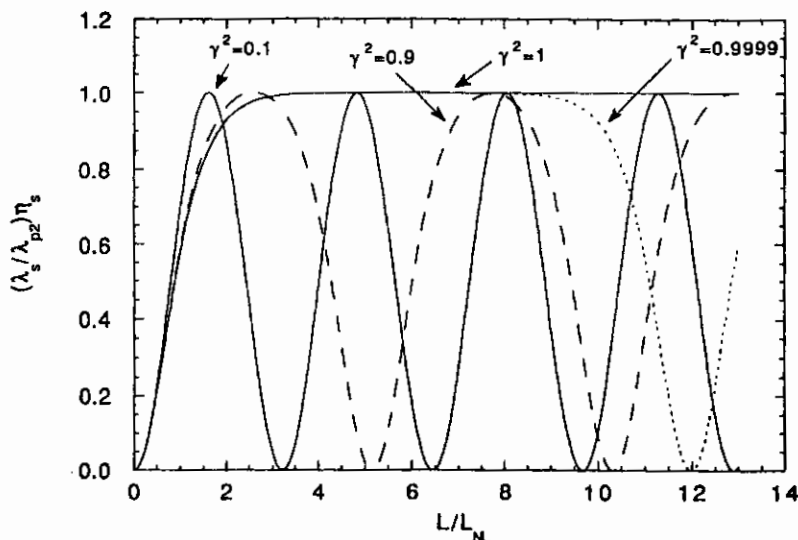
No pump depletion

$\Delta k$  varies



**Figure 7** Second harmonic and fundamental intensities as functions of crystal length and nonlinear interaction length for phase matched SHG including pump depletion.

SHG with  
pump depletion  
and  $\Delta k = 0$



**Figure 8** Sum-frequency conversion efficiency as a function of crystal length and nonlinear interaction length with several values of the modulus for phase matched SFG including pump depletion.

SFG

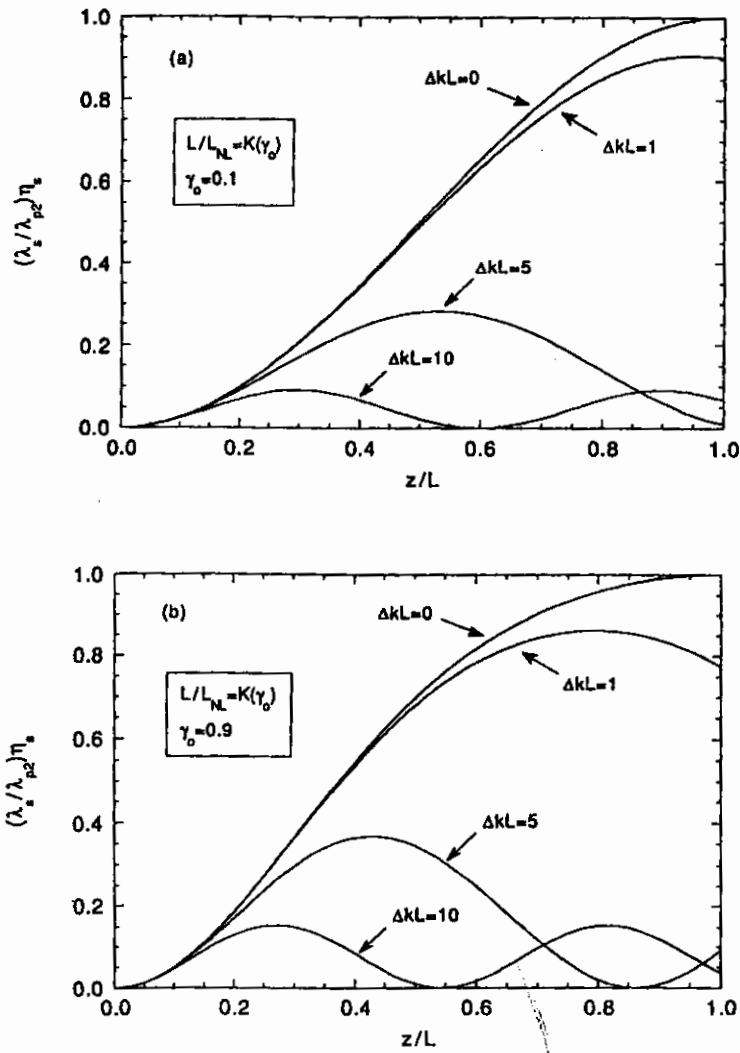
include pump depletion  
for different

$$\gamma^2 = \frac{\lambda_{p2} P_{p2}(0)}{\lambda_{p1} P_{p1}(0)}$$

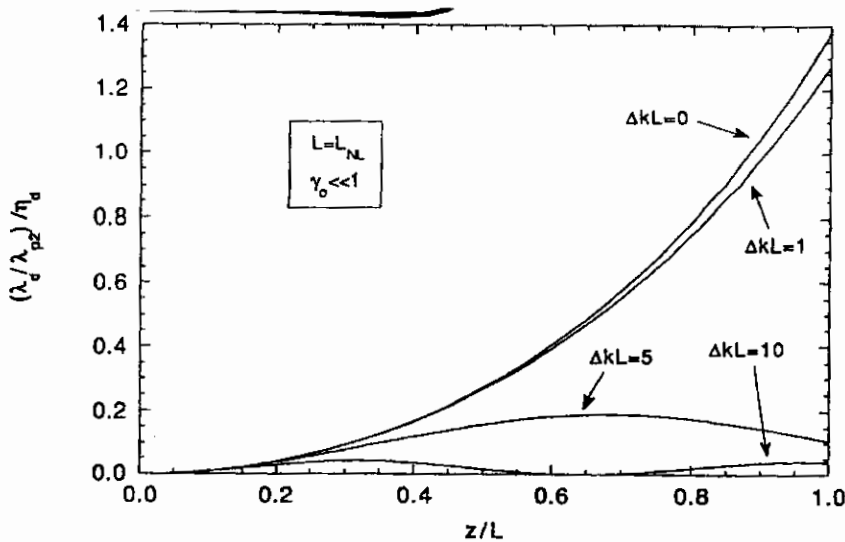
properties of  $\sin$

3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

COMET



**Figure 12** Sum-frequency conversion efficiency as a function of position in a nonlinear medium including pump depletion and the effects of phase mismatch. (a)  $\gamma_0 = 0.1$ . (b)  $\gamma_0 = 0.9$ .



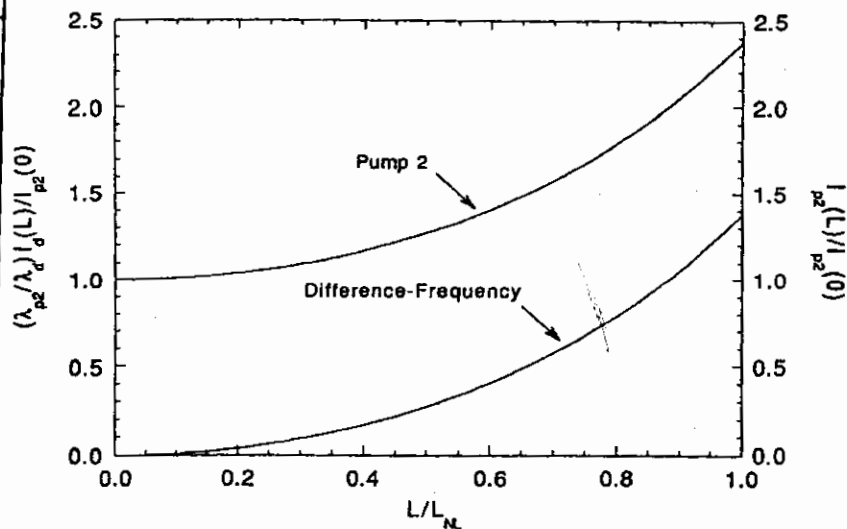
**Figure 13** Difference-frequency conversion efficiency as a function of position in a nonlinear medium including pump depletion and the effects of phase mismatch for the condition  $\lambda_{p2}\mathcal{P}_{p2}(0) \ll \lambda_{p1}\mathcal{P}_{p1}(0)$ .

SFG with  
 pump depletion +  
 phase mismatch

DFG with  
 pump depletion +  
 phase mismatch  
 for  
 strong pump and  
 weak signal

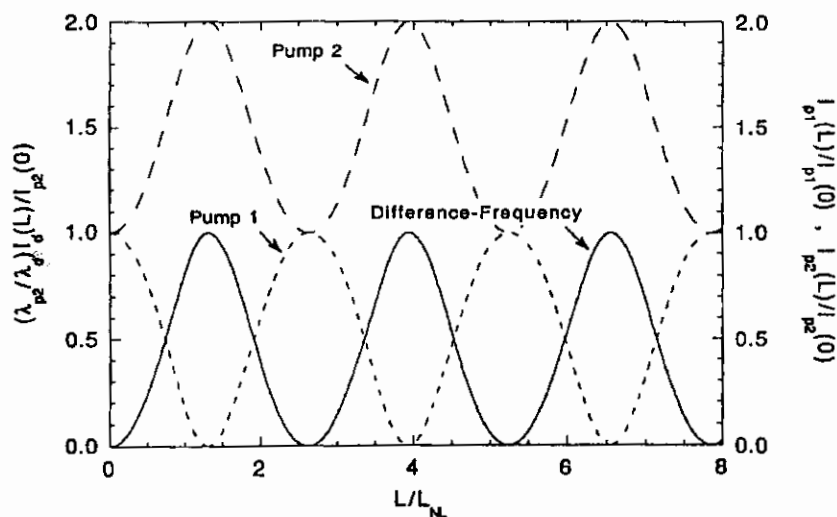
3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

COMET



**Figure 9** Phase matched difference-frequency and pump 2 intensities as functions of crystal length and nonlinear interaction length for the condition  $\lambda_{p2} P_{p2}(0) \ll \lambda_{p1} P_{p1}(0)$ .

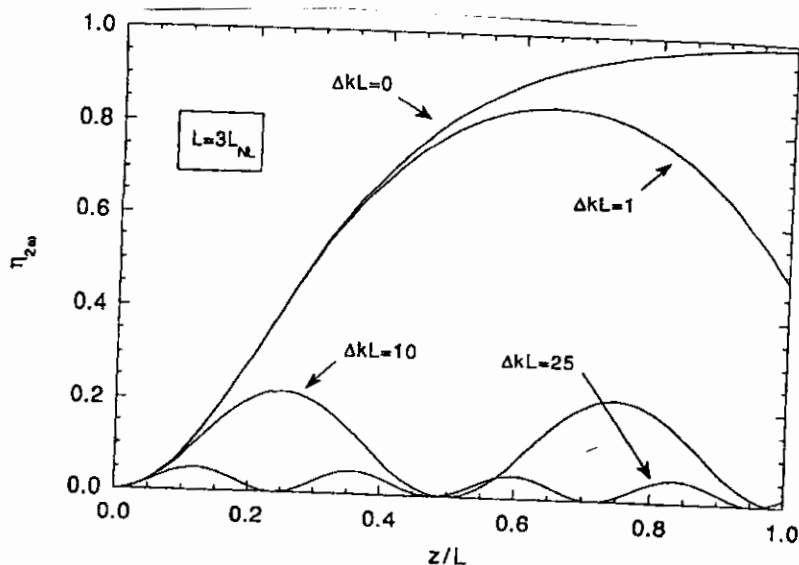
DFG for weak  
 signal input  
 (unlike ~~many~~ no pump depletion)



**Figure 10** Phase matched difference-frequency, pump 1, and pump 2 intensities as functions of crystal length and nonlinear interaction length for the condition  $\lambda_{p2} P_{p2} = \lambda_{p1} P_{p1}$ .

DFG  
 For comparable  
 pump and signal

$$\lambda_{p2} P_{p2} = \lambda_{p1} P_{p1}$$



SHG including  
 phase matching and  
 pump depletion

Sn[ , ]  
 behavior

**Figure 11** Second harmonic conversion efficiency as a function of position in a nonlinear medium including pump depletion and the effects of phase mismatch.

# Sum Frequency Generation for no pump depletion

Assume  $\frac{dA_1}{dz} = 0$  but  $\Delta k \neq 0$

$$\frac{dA_2}{dz} = 0$$

$$\frac{dA_3}{dz} = \frac{2i \text{deff } \omega_3^2}{k_3 c^2} A_1 A_2 e^{i \Delta k z}$$

Use  $k_3 = \frac{n_3 \omega_3}{c}$   $c^2 = \frac{1}{\mu_0 \epsilon_0}$

$$\boxed{\frac{dA_3}{dz} = \frac{2i \text{deff } \omega_3}{n_3 c} A_1 A_2 e^{i \Delta k z}}$$

Integrate DE

$$A_3(L) = \int_0^L \frac{2i \text{deff } \omega_3}{n_3 c} A_1 A_2 \exp(i \Delta k z) dz$$

$$= \frac{2i \text{deff } \omega_3}{n_3 c} A_1 A_2 \left[ \frac{\exp(i \Delta k L) - 1}{i \Delta k} \right]^2$$

$$= \frac{2i \text{deff } \omega_3}{n_3 c} A_1 A_2 L^2 \frac{\sin^2(\Delta k L)}{(\Delta k L)^2}$$

use  $e^{ix} = \cos x + i \sin x$   
 $\text{sinc}(\Delta k L) \equiv \frac{\sin \Delta k L}{\Delta k L}$

Find intensity  $I_3 = 2\epsilon_0 n_3 c |A_3|^2$

$$I_3 = \frac{8 n_3 \epsilon_0 c \text{deff}^2}{n_3^2 c^2} |A_1|^2 |A_2|^2 L^2 \text{sinc}^2(\Delta k L)$$

But  $|A_1|^2 = \frac{I_1}{2\epsilon_0 n_1 c}$  +  $|A_2|^2 = \frac{I_2}{2\epsilon_0 n_2 c}$   $\omega_3 = \frac{2\pi c}{\lambda_3}$

So

$$I_3 = \frac{8 d_{eff}^2 \epsilon_0 n_3 c \omega_3^2}{n_3^2 c^2} \frac{I_1}{2 \epsilon_0 n_1 c} \frac{I_2}{2 \epsilon_0 n_2 c} L^2 \sin^2(\Delta k L)$$

$$I_3 = \frac{2 d_{eff}^2}{\epsilon_0 n_1 n_2 n_3 c^2} \left( \frac{4 \pi^2 c^2}{\lambda_3^2} \right) I_1 I_2 L^2 \text{sinc}^2(\Delta k L)$$

$$I_3 = \frac{8 \pi^2 d_{eff}^2}{\epsilon_0 n_1 n_2 n_3 c \lambda_3^2} I_1 I_2 L^2 \text{sinc}^2(\Delta k L)$$

(Boyd 2.2.19)

$$\text{where } \text{sinc } x \equiv \frac{\sin x}{x}$$

Main Points :

- 1)  $I_3 \sim L^2$

- 2) Efficiency depends on phase mismatch

- 3)  $d_{eff}^2$  dependence

- 4)  $1/\lambda_3^2$  dependence (or  $\omega_3^2$  dependence)



## Slowly varying amplitude approximation

Sometimes called slowly varying envelope approx. (SVEA)

Energy transfer between waves in a nonlinear medium is significant only if the wave has traveled a distance longer than its wave length. Thus

$$\left| \frac{\partial^2 A}{\partial z^2} \right| \ll \left| k \frac{\partial A}{\partial z} \right| \quad k \equiv \frac{2\pi}{\lambda} \quad \text{is valid}$$

The amplitude  $A$  does not change much over propagation  $\Delta z = \lambda$ .

Shen states the real significance of this approximation is that we can neglect the oppositely propagating wave produced by  $P^{NL}$

(Shen pg 47-49)

(Butcher pg 216)

$$\text{From SVEA} \quad \frac{\partial A_1}{\partial z} = \frac{i\omega^2}{kc^2} P_1^{NL}(\omega, z) \exp(-i(kz - \omega t))$$

$$\text{From solving propagation ~~for~~ with } E(\omega, z) = A_F \exp(i(kz - \omega t)) \quad \swarrow \text{Forward} \\ + A_B \exp(i(-kz - \omega t)) \quad \uparrow \text{Backward}$$

$$\frac{\partial A_F}{\partial z} = \frac{i\omega^2}{kc^2} P^{NL}(\omega, z) \exp(-i(kz - \omega t)) \quad \uparrow \text{SVEA implies this}$$

$$\frac{\partial A_B}{\partial z} = -\frac{i\omega^2}{kc^2} P^{NL}(\omega, z) \exp(i(kz - \omega t)) \quad \leftarrow \text{Not this}$$

The slowly varying amplitude approx in time

$$\left| \frac{\partial^2 A}{\partial t^2} \right| \ll \left| \omega \frac{\partial A}{\partial t} \right|$$

COMET

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

## Phase matching and coherence length

Define coherence length  $L_c = \frac{\pi}{\Delta k}$

The coherence length is the distance over which the desired freq. of radiation is generated.

## Energy Thm (Poynting Thm)

Stored energy in an E/m field can do work on dipoles

$$\underbrace{-\frac{2}{2t} \int_V u_{em} dV}_{\text{total power}} = \underbrace{\oint_S I \cos \theta dS}_{\substack{\text{radiating} \\ \text{(energy that flows out of)} \\ S}} + \underbrace{\int_V \left\langle \vec{E} \cdot \frac{\partial \vec{P}}{\partial t} \right\rangle dV}_{\substack{\text{Rate at which} \\ \text{work is done by} \\ \text{electric field on the} \\ \text{medium}}}$$

Note Sometimes this is written as

$$-\frac{2}{2t} \int_V \left( \frac{1}{2} \epsilon E^2 + \frac{B^2}{2\mu} \right) dV = \underbrace{\int_V \vec{J}_f \cdot \vec{E} dV}_{\substack{\text{Heat} \\ \text{(Joule heating)} \\ \text{for metals}}} + \underbrace{\oint_S (\vec{E} \times \vec{H}) \cdot d\vec{a}}_{\text{Energy Flux}}$$

Consider the phase difference between the polarization and electric field:  $\Delta\phi$

$$\Delta\phi \equiv \phi_{\text{pol}} - \phi_{\text{field}}$$

~~For~~ ~~the~~ ~~electric~~

By performing the time average we get

$$2 \operatorname{Re} \{ \vec{E} \cdot \vec{P}^* \} \Rightarrow \text{Energy to medium}$$

If the dielectric const. is complex we get ~~that~~  $0 < \Delta\phi < \pi$

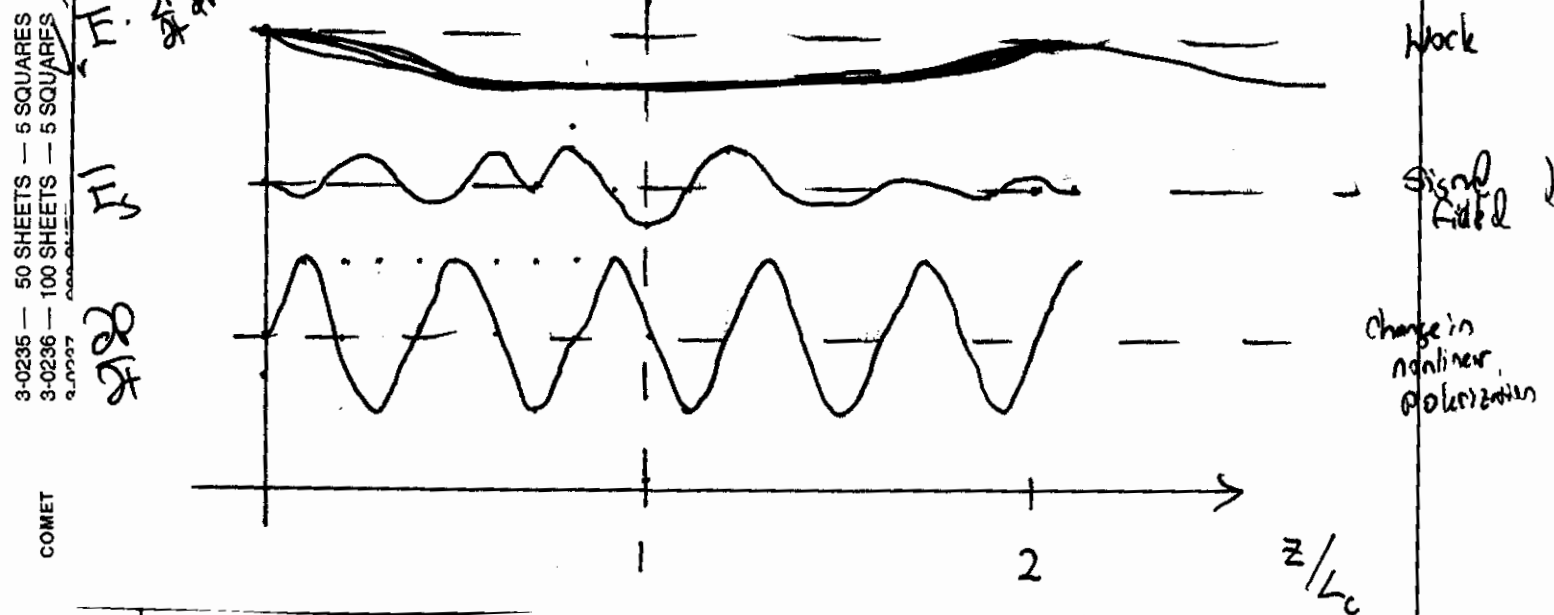
- Field does <sup>positive</sup> work on the polarization
- Field amplitude decreases (absorption)

If  $-\pi < \Delta\phi < 0$

- Field ~~does~~ does negative work on polarization
- Field amplitude increases (gain)
-

Back to coherence length

Look at SHG for  $L_c$



• Front :  $\pi$  phase shift between  $E_s + 2\vec{P}$   
 $z=0$  negative work done by pump field

Energy  $\Rightarrow$  pump  $\rightarrow$  signal

• At  $z=L_c$   $\pi/2$  phase shift between  $E_s + 2\vec{P}$

No time average work done

• Beyond  $z=L_c$   $\Delta\phi < \pi/2$

positive work done by field onto medium

Energy  $\Rightarrow$  signal  $\rightarrow$  pump

Sign of Work

Field does negative work on polarization  $\Rightarrow$  Field amplitude increases

Field does positive work on polarization  $\Rightarrow$  Field amplitude decreases

Review:

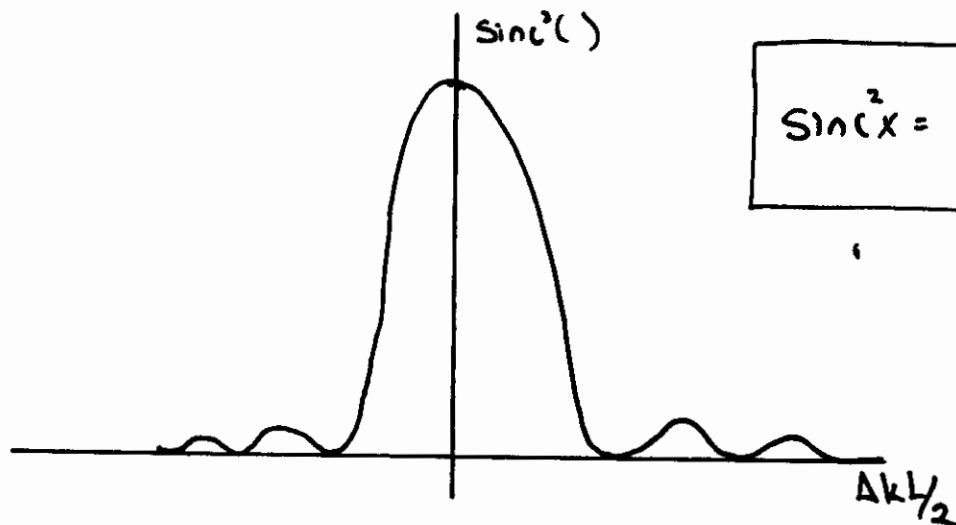
Derived three coupled equations to describe  $A_1$ ,  $A_2$  +  $A_3$   
~~Assume constant pumps  $A_1$  +  $A_2$~~  Assume SVEA

$$\begin{aligned}\frac{dA_1}{dz} &= \frac{2i\omega_1}{n_1 c} d_{\text{eff}} A_3 A_2^* \exp(-i\Delta k z) \\ \frac{dA_2}{dz} &= \frac{2i\omega_2}{n_2 c} d_{\text{eff}} A_1^* A_3 \exp(-i\Delta k z) \\ \frac{dA_3}{dz} &= \frac{2i\omega_3}{n_3 c} d_{\text{eff}} A_1 A_2 \exp(+i\Delta k z)\end{aligned}$$

By assuming constant pumps  $A_1$  +  $A_2$  we integrate the 1st equation and find the intensity

$$A_3 = \frac{2i d_{\text{eff}} \omega_3}{n_3 c} A_1 A_2 \left( \frac{\exp(i\Delta k L) - 1}{i\Delta L} \right) L$$

Find  $I_3 \Rightarrow I_3 = 2\epsilon_0 c n_1 A_3 A_3^* = \frac{8\pi^2 d_{\text{eff}}^2}{\epsilon_0 n_1 n_2 n_3 c \lambda_3^2} I_1 I_2 L^2 \left( \frac{\sin(\Delta k L/2)}{\Delta k L/2} \right)^2$



$$\text{sinc}^2(x) = \frac{\sin^2 x}{x^2}$$

# Lecture 9 Analytic results for SHG + SFG

Wish to look at two cases

1) SFG : For ~~undepleted~~<sup>depleted</sup> pumps where  $I_2 \gg I_1$ ,  $\Delta k \neq 0$

2) SHG : For depleted pumps +  $\Delta k \neq 0$ .

Can always solve coupled differential equations numerically.

Case 1) Rewrite out coupled DE's

$$\frac{dA_1}{dz} = K_1 A_3 \exp(-i\Delta k z) \quad (1)$$

$$\frac{dA_2}{dz} = 0$$

$$\frac{dA_3}{dz} = K_3 A_1 \exp(+i\Delta k z) \quad (2)$$

Where

$$K_1 \equiv \frac{2i\omega_1 d_{eff}}{n_1 c} A_2^*$$

$$K_3 \equiv \frac{2i\omega_3 d_{eff}}{n_3 c} A_2$$

Seek solutions of the form

$$A_1(z) = [A_{1+} \exp(igz) + A_{1-} \exp(-igz)] \exp(-i\Delta k z/2)$$

$$A_3(z) = [A_{3+} \exp(igz) + A_{3-} \exp(-igz)] \exp(+i\Delta k z/2)$$

$g \equiv$  rate of spatial variation of  $A_1 + A_3$

Same rate since  $A_1 + A_3$  are coupled via energy conservation

- Substitute into ~~the~~  $\partial A_1 / \partial z$  (1)

- the derivative

$$\frac{\partial A_1}{\partial z} = (i A_{1+} g \exp(igz) + i A_{1-} g \exp(-igz)) \exp(-i \Delta k z / 2) + A_1(z) i \Delta k / 2$$

- Sub In

$$\begin{aligned} & (ig A_{1+} \exp(igz) - ig A_{1-} \exp(-igz)) \exp(-i \Delta k z / 2) \\ & - (A_{1+} \exp(igz) + A_{1-} \exp(-igz)) i \Delta k / 2 \exp(-i \Delta k z / 2) \\ & = K_1 [A_{3+} \exp(igz) + A_{3-} \exp(-igz)] \exp(-i \Delta k z / 2) \end{aligned}$$

- Equation must hold for all  $z$ ,  $\exp(igz) + \exp(-igz)$   
- must maintain equality separately. Separate these terms:

$$A_{1+} (ig - \frac{1}{2} i \Delta k) = K_1 A_{3+} \quad (3)$$

$$-A_{1-} (ig + \frac{1}{2} i \Delta k) = K_1 A_{3-} \quad (4)$$

- Now substitute solutions in  $\partial A_2 / \partial z$  + get similar eqs.

$$A_{3+} (ig + \frac{1}{2} i \Delta k) = K_2 A_{1+} \quad (5)$$

$$-A_{3-} (ig - \frac{1}{2} i \Delta k) = K_2 A_{1-} \quad (6)$$



Eqs. (3) + (5) are simultaneous eqs for  $A_{1+}$  +  $A_{3-}$

$$\begin{pmatrix} i(g + \frac{1}{2}\Delta k) & -K_1 \\ -K_3 & i(g + \frac{1}{2}\Delta k) \end{pmatrix} \begin{pmatrix} A_{1+} \\ A_{3+} \end{pmatrix} = 0$$

A unique solution exists iff the determinant of the matrix vanishes

$$K_3 K_1 = (g - \frac{1}{2}\Delta k)(g + \frac{1}{2}\Delta k)$$

$$\boxed{g^2 = -K_1 K_3 + \frac{1}{4}\Delta k^2}$$

$$g = +\sqrt{-K_1 K_3 + \frac{1}{4}\Delta k^2} \quad (\text{positive root})$$

Use initial conditions in order to solve for  $A_1(z)$  +  $A_3(z)$

$$A_1(0) = A_{1+} + A_{1-} \quad (7)$$

$$A_3(0) = A_{3+} + A_{3-} \quad (8)$$

Using (7) + (8) We have for equations for the four unknowns  $A_{1+}$   $A_{1-}$   $A_{3+}$   $A_{3-}$  ~~We can then solve~~. Also let us assume there is no initial sum frequency generation

$$A_3(0) = 0 \Rightarrow A_{3+} = -A_{3-}$$

Solution :

$$A_1(z) = A_1(0) \left( \cos(gz) + \frac{i\Delta k}{g} \sin(gz) \right) \exp(-i\Delta k z/2)$$

$$A_3(z) = A_1(0) \frac{k_3}{g} \sin(gz) \exp(-i\Delta k z/2)$$

or intensities

$$I_1(z) = 2n_1 \epsilon_0 c I_1(0) \left( \cos^2(gz) + \frac{\Delta k^2}{4g^2} \sin^2(gz) \right)$$

$$I_3(z) = 2n_3 \epsilon_0 c I_1(0) \frac{|k_3|^2}{g^2} \sin^2(gz)$$

Characteristic Length  $g^{-1}$

$\Rightarrow g^{-1}$  decreases as  $\Delta k$  increases

$\Rightarrow$  SHG Intensity as  $1/g^2$

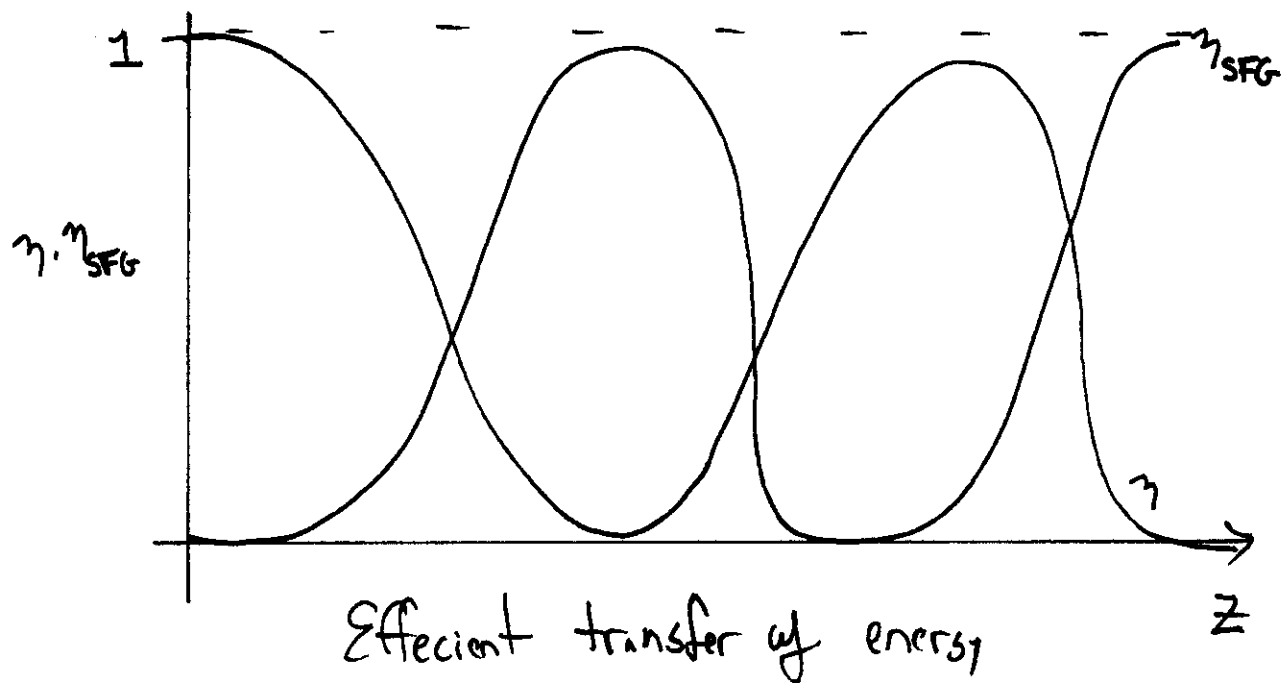
conversion efficiency  $\eta_{\text{SHG}} = \frac{I_3(z)}{I_1(0) + I_2(0)} \approx \frac{I_3(z)}{I_1(0)}$

equal to 1 only if  $\Delta k L = 0$

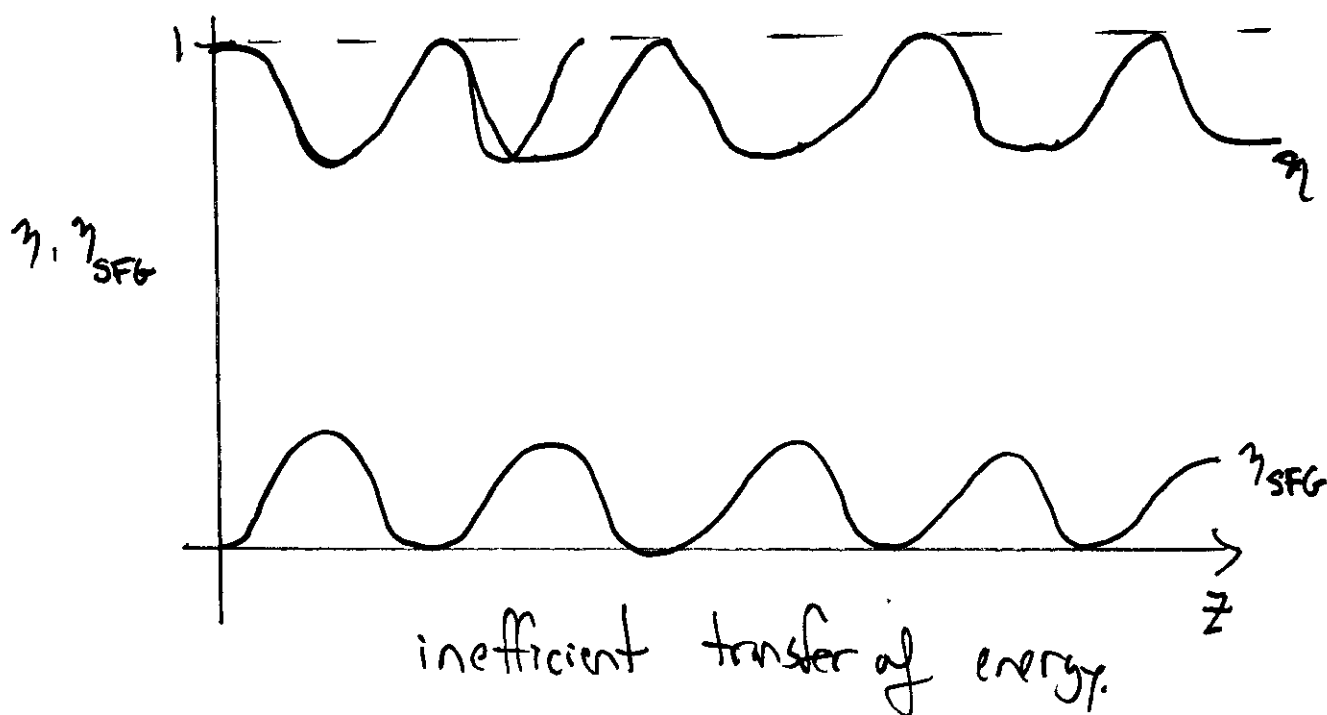
$$\eta \equiv \frac{I_1(z)}{I_1(0)}$$

## Two Cases

Perfect Phase matching  $\Delta k = 0$  (or  $\Delta k L = 0$ )



Non perfect phase matching  $\Delta k L = \pi$



- Case II SHG generation with depleted pumps

$$I_1 = I_2 \quad \text{at} \quad \omega = \omega_1$$

$$I_3 \quad \text{at} \quad 2\omega = \omega_3$$

$$\Delta k = 2k_1 - k_3$$

- Solve coupled differential equations using a similar but complicated manner as in case I. Assume also  $A_3(0) = 0$

~~Solution~~

$$\frac{dA_1}{dz} = \frac{2i\omega_1}{n_1 c} \text{eff} A_1^* A_3 \exp(-i\Delta k z)$$

$$\frac{dA_3}{dz} = \frac{2i\omega_3}{n_3 c} \text{eff} A_1^2 \exp(+i\Delta k z)$$

where

$$\frac{I_1(z) + I_3(z)}{I_1(0)} = 1 \quad \text{and} \quad I_3(0) = 0$$

Solution for  $\Delta k \neq 0 \Rightarrow$  solutions in terms of elliptic integrals

For  $\Delta k = 0$

$$I_3(z) = I_1(0) \tanh^2(z/L_{NL})$$

where

$$L_{NL} = \frac{1}{4\pi \text{eff}} \sqrt{\frac{2\epsilon_0 n_1^2 n_3 c \lambda_1^2}{I_1(0)}}$$

## Lecture 8: Phase matching in uniaxial crystals

Perfect  
 $\Rightarrow$  Phasematching implies  $\Delta k = 0$  !!

However,  $\Delta k$  will be a function of  $\lambda + \theta$   
where  $\theta$  is the angle with respect to the optic axis  
in a uniaxial crystal.

$$\Delta k \equiv \text{phase mismatch}$$

Associated with a uniaxial crystal are the ordinary + extraordinary  
indices where

$$\frac{1}{n_e^2(\theta)} = \frac{\sin^2 \theta}{n_e^2} + \frac{\cos^2 \theta}{n_o^2}$$

OR

$$n_e(\theta) = n_o \left[ \frac{1 + \tan^2 \theta}{1 + (n_o/n_e)^2 \tan^2 \theta} \right]^{1/2}$$

To fulfill the  $\Delta k = 0$  condition in a Second order process  
we need to have a proper orientation of the input electric fields

Phase matching

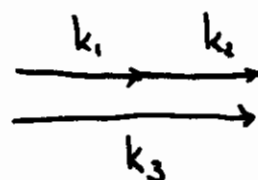
$$\text{From before } \vec{k}_3 = \vec{k}_2 + \vec{k}_1 \quad \Delta k = \vec{k}_3 - \vec{k}_2 - \vec{k}_1$$

For a colinear process we can treat  $k_i$  as scalars

$$k_3 = k_2 + k_1$$

$$\frac{\omega_3 n_3}{c} = \frac{\omega_2 n_2}{c} + \frac{\omega_1 n_1}{c}$$

Colinear



$$\text{Phase mismatch} \Rightarrow \boxed{\omega_3 n_3 = \omega_2 n_2 + \omega_1 n_1}$$

Specifically:

SHG

$$n(2\omega) = n(\omega)$$

Where  
 $\omega_1 = \omega_2 = \omega$   
 $\omega_3 = 2\omega$

or

$$n_3 = n_1$$

SFG

$$\omega_1 (n_3 - n_1) + \omega_2 (n_3 - n_2) = 0$$

Where  
 $\omega_3 = \omega_1 + \omega_2$

For normal <sup>isotropic</sup> materials the index increases with  $\omega$  so it is not possible to obtain  $n(2\omega) = n(\omega)$

However, we can use a uniaxial <sup>anisotropic</sup> material since it has two different indices of refraction. How we use these materials are called the type of phase matching

Type I <sup>(-)</sup>: ooe phase matching (negative uniaxial)

$$\bar{k}_{o1} + \bar{k}_{o2} = \bar{k}_{3e} \quad \left\{ \begin{array}{l} E_1 \rightarrow \omega_1 \rightarrow n_o(\omega_1) \\ E_2 \rightarrow \omega_2 \rightarrow n_o(\omega_1) \\ E_3 \rightarrow \omega_3 \rightarrow n_e(\omega_3) \end{array} \right.$$

For SHG  $n_e(2\omega) = n_o(\omega)$

Type I (+) eeo phase matching (positive uniaxial)

$$\bar{k}_{1e}(\theta) + \bar{k}_{2e}(\theta) = \bar{k}_{o3}$$

For SHG

$$\boxed{n_e(\omega) = n_o(2\omega)}$$

Type II (-) oee phase matching (negative uniaxial)

$$\bar{k}_{o1} + \bar{k}_{e2}(\theta) = \bar{k}_{e3}(\theta)$$

Type II (+) eoe phase matching (negative uniaxial)

$$\bar{k}_{1e}(\theta) + \bar{k}_{o2} = \bar{k}_{3e}(\theta)$$

Type II (+) oeo phase matching (positive uniaxial)

$$\bar{k}_{o1} + \bar{k}_{e2}(\theta) = \bar{k}_{o3}$$

Type II (+) eoo phase matching (positive uniaxial)

$$\bar{k}_{1e}(\theta) + \bar{k}_{o2} = \bar{k}_{o3}$$

## Common Uniaxial crystals

Lithium Niobate

(negative uniaxial)

beta Barium Borate (BBO)

(negative  $n_o > n_e$ )

Potassium Dihydrogen Phosphate (KDP) (negative)

Potassium Titanyl Phosphate (KTP)

Lithium Iodate

(negative)

Proustite

(negative)

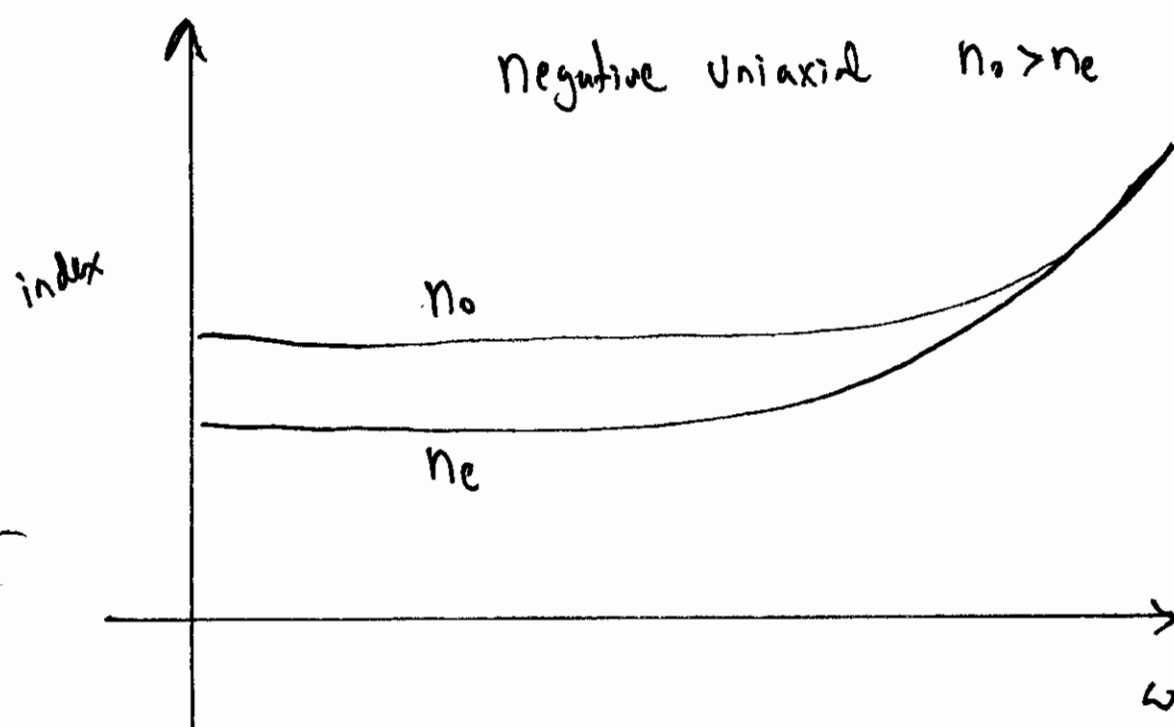
Lithium Triborate

(LBO)

(negative)

## Common Biaxial

Potassium Iodate





How to compute the phase mismatch? How to compute phase matching angle?

consider an example of SHG using Type I<sup>(-)</sup> phase matching (ooe)

$$\Delta k = k_3 - k_1 - k_2$$

For ooe

$E_1 \rightarrow$  ordinary axis

$E_2 \rightarrow$  ordinary axis

$E_3 \rightarrow$  extraordinary axis

But

$$k_3 = \frac{2\pi n_3}{\lambda_3} = \frac{2\pi}{(\lambda/2)} n_e(\theta, \lambda/2)$$

$$k_2 = \frac{2\pi n_2}{\lambda_2} = \frac{2\pi}{\lambda} n_o(\lambda)$$

$$k_1 = \frac{2\pi n_1}{\lambda_1} = \frac{2\pi}{\lambda} n_o(\lambda)$$

So

$$\Delta k(\theta, \lambda) = \frac{2\pi}{(\lambda/2)} n_e(\theta, \lambda/2) - 2 \frac{2\pi}{\lambda} n_o(\lambda)$$

$$\Delta k(\theta, \lambda) = \frac{4\pi}{\lambda} [n_e(\theta, \lambda/2) - n_o(\lambda)]$$

$\lambda \equiv$  fundamental wavelength

$\frac{\lambda}{2} = \text{SHG}$

For a given  $\lambda$ ,  $\Delta k(\theta, \lambda) = 0$  for  $\theta = \theta_{pm}$  the phase mismatch angle. How to find  $\theta_{pm}$ ?

1) Solve for  $\theta_{pm}$  where  $n_e(\theta_{pm}, \lambda/2) = n_o(\lambda)$  for the given  $n_e$  +  $n_o$  for a crystal

2) For ooe process

$$\sin^2 \theta_{pm} = \left( \frac{n_e(2\omega)}{n_o(\omega)} \right)^2 \left[ \frac{(n_o(2\omega))^2 - (n_o(\omega))^2}{(n_o(2\omega))^2 - (n_e(2\omega))^2} \right]$$

## Methods of phase matching

Angle tuning  $\Rightarrow$  use  $n_o + n_e(\theta, \lambda)$

Temperature tuning  $\Rightarrow$  use  $n_o(T) + n_e(T, \lambda)$

Problem with Angle tuning: Walkoff!!

Birefringence is temperature dependent. Use temperature tuning.

OR Quasiphasematching

## Properties of SHG for non-zero phase matching: Non depletion

non pump depleted case for crystal of length  $L$

define conversion efficiency

$$\eta \equiv \frac{I_3(z)}{I_1(0) + I_2(0)}$$

$$\left( \frac{d_{\text{eff}}^2}{n_1 n_2 n_3} \right)$$

define nonlinear length at  $\Delta k = 0$

$$L_{\text{NL}} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_1 n_2 n_3 c \lambda_1}{I_1(0)}}$$

For SHG

$$\lambda_1 = \lambda_2 = \lambda$$

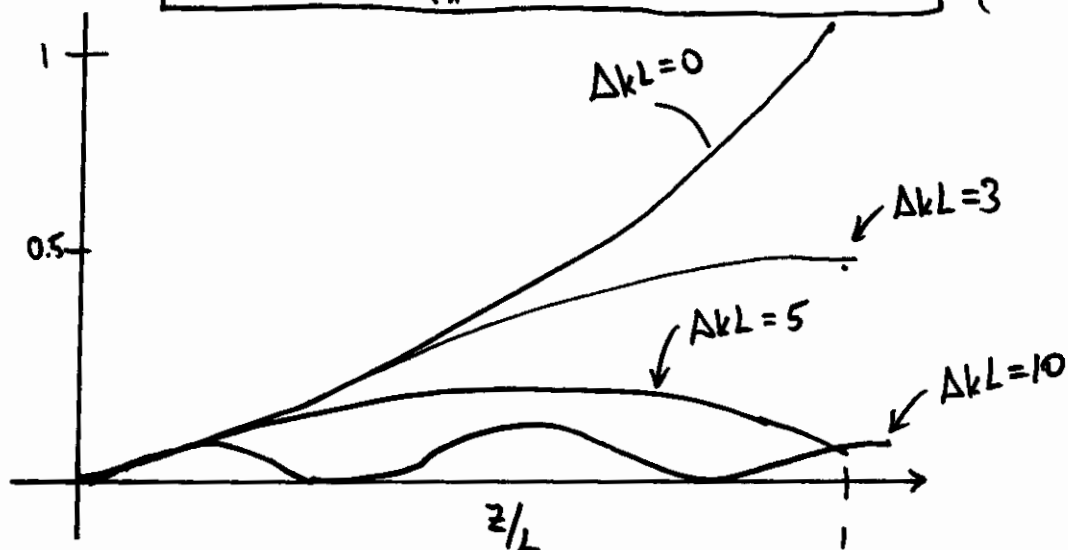
$$\lambda_3 = \lambda/2$$

$$n_j \equiv n(\lambda_j)$$

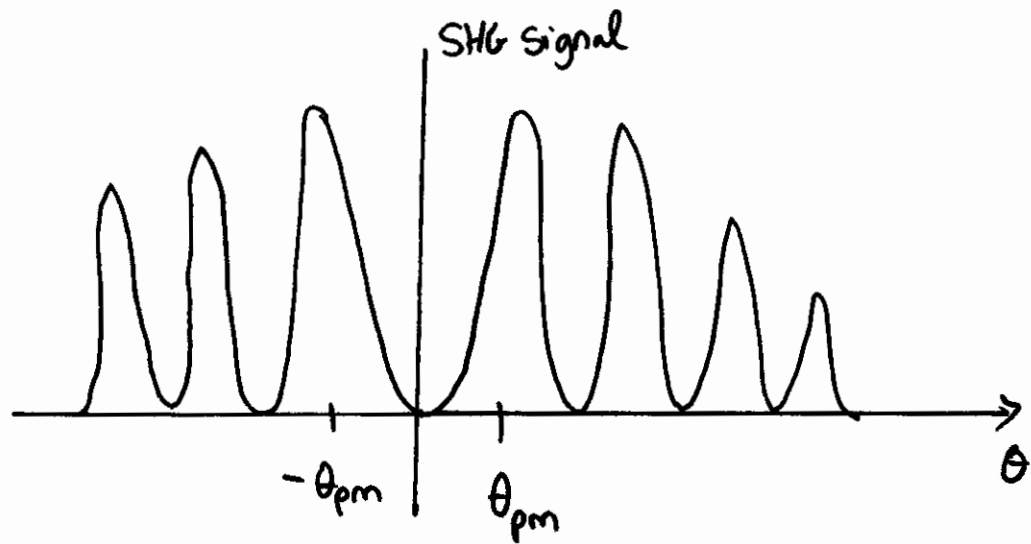
look at conversion efficiency for

$$\Delta k = 0, 3, 5, 10$$

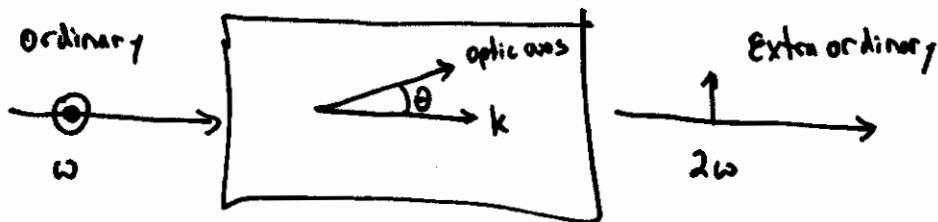
$\eta$



Angle tuning : SHG intensity vs angle.



Orientation of beams into crystal for SHG  
Type I<sup>(-)</sup> ooe phase matching



$$n_e(2\omega, \theta) = n_o(\omega)$$

- SHG is along extraordinary axis
- Fundamental is along ordinary axis

SHG + Fundamental are ~~the~~ polarized orthogonal to each other!

**Table 10** Angle Phase Matching Formulas for DFG in Uniaxial Crystals

Type I

$$\text{oeo} \quad \sin^2 \theta_{\text{pm}} = \frac{(n_d^e)^2}{(n_d^e)^2 - (n_d^o)^2} \frac{[n_{p1}^o - (\lambda_{p1}/\lambda_{p2})n_{p2}^o]^2 - (\lambda_{p1}/\lambda_d)^2 (n_d^o)^2}{[n_{p1}^o - (\lambda_{p1}/\lambda_{p2})n_{p2}^o]^2}$$

$$\text{eoo} \quad \frac{n_{p1}^o}{\sqrt{1 + \left[ \frac{(n_{p1}^o)^2}{(n_{p1}^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} - \frac{(\lambda_{p1}/\lambda_{p2})n_{p2}^o}{\sqrt{1 + \left[ \frac{(n_{p2}^o)^2}{(n_{p2}^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} = (\lambda_{p1}/\lambda_d)n_d^o$$

Type II

$$\text{oeo} \quad \frac{(\lambda_{p1}/\lambda_d)n_d^o}{\sqrt{1 + \left[ \frac{(n_d^o)^2}{(n_d^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} + \frac{(\lambda_{p1}/\lambda_{p2})n_{p2}^o}{\sqrt{1 + \left[ \frac{(n_{p2}^o)^2}{(n_{p2}^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} = n_{p1}^o$$

$$\text{eoe} \quad \frac{n_{p1}^o}{\sqrt{1 + \left[ \frac{(n_{p1}^o)^2}{(n_{p1}^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} - \frac{(\lambda_{p1}/\lambda_d)n_d^o}{\sqrt{1 + \left[ \frac{(n_d^o)^2}{(n_d^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} = (\lambda_{p1}/\lambda_{p2})n_{p2}^o$$

$$\text{eoo} \quad \sin^2 \theta_{\text{pm}} = \frac{(n_{p1}^e)^2}{[(\lambda_{p1}/\lambda_d)n_d^o + (\lambda_{p1}/\lambda_{p2})n_{p2}^o]^2} \times \left( \frac{(n_{p1}^o)^2 - [(\lambda_{p1}/\lambda_d)n_d^o + (\lambda_{p1}/\lambda_{p2})n_{p2}^o]^2}{(n_{p1}^e)^2 - (n_{p1}^o)^2} \right)$$

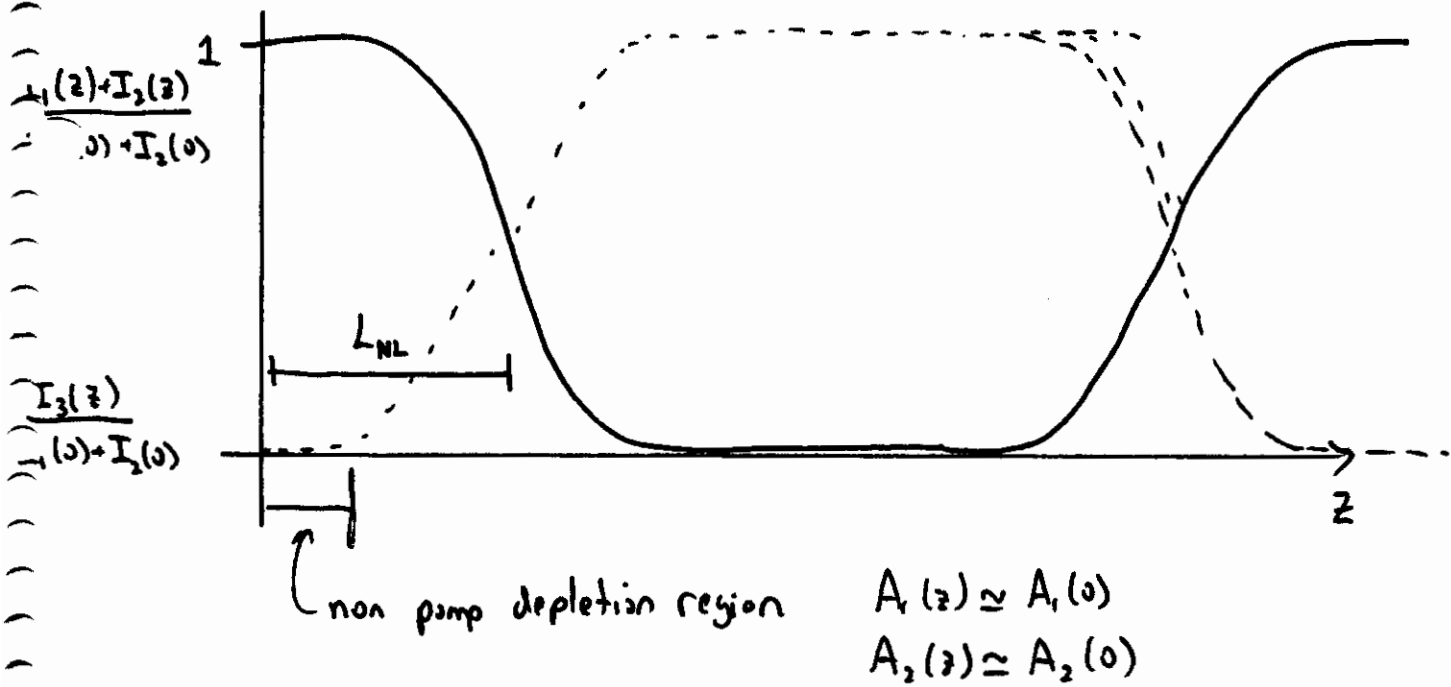
$$\text{oeo} \quad \sin^2 \theta_{\text{pm}} = \frac{(n_{p2}^e)^2}{(n_{p2}^e)^2 - (n_{p2}^o)^2} \frac{[n_{p1}^o - (\lambda_{p1}/\lambda_d)n_d^o]^2 - (\lambda_{p1}/\lambda_{p2})^2 (n_{p2}^o)^2}{[n_{p1}^o - (\lambda_{p1}/\lambda_d)n_d^o]^2}$$

It is noted that for some cases, analytical results for  $\theta_{\text{pm}}$  cannot be obtained. In these situations, the phase matching angle must be calculated numerically. This is very straightforward using available software packages.

A simple example is given using the *root* function of Mathcad®. \*Type SHG is potassium dihydrogen phosphate (KDP), a negative uniaxial crystal, considered. The fundamental wavelength is 800 nm and the second harmonic wavelength is 400 nm, for which  $n_{\omega}^o = 1.501924$ ,  $n_{\omega}^e = 1.463708$ ,  $n_{2\omega}^o = 1.524481$ , and  $n_{2\omega}^e = 1.480244$  [7]. The computation takes only a few seconds and the computed angle,  $70.204^\circ$ , is accurate to  $<0.1\%$ .

\*Mathcad is a registered trademark of MathSoft, Inc., Cambridge, MA.

for SHG (assuming pump depletion)



Analytic expression

$$\frac{I_3(z)}{I_1(0) + I_2(0)} = \tanh\left(L/L_{NL}\right)$$

where

$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n^2(\omega) n(2\omega) c \lambda_1^2}{I_1(0)}}$$

$$\frac{I_3(z = z_{NL})}{I_1(0) + I_2(0)} \simeq 0.58 \quad \text{at } z = z_{NL}$$

Look again at  $g(\Delta k)$

$$g = \sqrt{-K_1 K_3 + \frac{1}{4} \Delta k^2}$$

$g$  is the smallest when  $\Delta k = 0$

also  $-K_1 K_3$  is a positive #

$$-K_1 K_3 = - \left( \frac{2i \omega_{\text{eff}}}{n_1 c} A_1^* \right) \left( \frac{2i \omega_3}{n_3 c} A_2 \right)$$

$$= \frac{4 d_{\text{eff}}^2 \omega_1 \omega_3}{n_1 n_3 c^2} I_2 \frac{1}{2 \epsilon_0 n_2 c} = \frac{2 d_{\text{eff}}^2 \omega_1 \omega_3}{\epsilon_0 n_1 n_2 n_3 c^3} I_2$$

Which is a positive quantity.

$$\text{At } \Delta k = 0 \quad g = \sqrt{-K_1 K_3} = \left( \frac{2 d_{\text{eff}}^2 \omega_1 \omega_3}{\epsilon_0 n_1 n_2 n_3 c^3} I_2 \right)^{1/2} \quad \left\{ \begin{array}{l} \omega_1 = \frac{2\pi c}{\lambda_1} \\ \omega_3 = \frac{2\pi c}{\lambda_3} \end{array} \right.$$

$$\begin{aligned} \frac{1}{g} &= \left( \frac{\epsilon_0 n_1 n_2 n_3 c^3}{2 d_{\text{eff}}^2 \omega_1 \omega_3} I_2^{-1} \right)^{1/2} = \left( \frac{\epsilon_0 n_1 n_2 n_3 c^3 \lambda_1 \lambda_3 I_2^{-1}}{2 d_{\text{eff}}^2 (2\pi c)^2} \right)^{1/2} \\ &= \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2 \epsilon_0 n_1 n_2 n_3 c \lambda_1 \lambda_3}{I_2(0)}} = L_{\text{NL}} \end{aligned}$$

## General $L_{NL}$

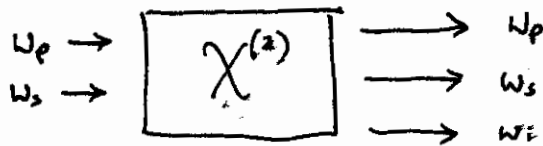
$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_1 n_2 n_3 \lambda_2 \lambda_3}{I_1(0)}} = \frac{1}{\sqrt{-k_1 k_3}}$$

Has different forms for SHG and SFG

Can rewrite  $g$  for SFG

$$g(Ak) = \frac{1}{L_{NL}} \sqrt{1 + \frac{\Delta k^2 L_{NL}^2}{4}}$$

## Optical Parametric generation



- 1) pump + signal beat to give idler field ( $\omega_i$ )
- 2) idler + pump beat to give a difference at the signal field ( $\omega_s$ )

Double beating extra term in polarization which is linear in signal field.

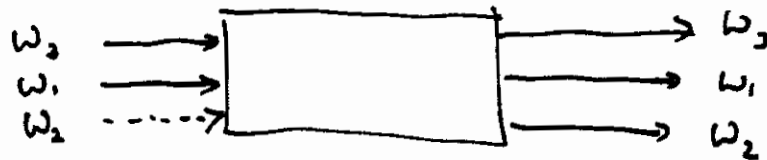
Why ~~para~~ parametric?

pump field modulates  $\chi^{(1)}$  at pump frequency  
↑  
parameter



# Lecture 10 : Difference frequency generation and OPO

Consider process  $\omega_2 = \omega_3 - \omega_1$



Here  $\omega_2$  is the generated output.

$$\frac{dA_1}{dz} = \frac{2i\omega_1 d_{\text{eff}}}{n_1 c} A_3 A_2^* \exp(i\Delta k z)$$

$$\frac{dA_3}{dz} = \frac{2i\omega_3 d_{\text{eff}}}{n_3 c} A_1 A_2 \exp(-i\Delta k z)$$

$$\frac{dA_2}{dz} = \frac{2i\omega_2 d_{\text{eff}}}{n_2 c} A_3 A_1^* \exp(i\Delta k z)$$

Solution for  $\Delta k \neq 0$  and assuming  $A_3(z) \approx A_3(0)$

$$A_1(z) = \left[ A_1(0) \left( \cosh(gz) - \frac{i\Delta k}{2g} \sinh(gz) \right) + \frac{K_1}{g} A_2^*(0) \sinh(gz) \right] e^{i\Delta k/2 z}$$

$$A_2(z) = \left[ A_2(0) \left( \cosh(gz) - \frac{i\Delta k}{2g} \sinh(gz) \right) + \frac{K_2}{g} A_1^*(0) \sinh(gz) \right] e^{i\Delta k/2 z}$$

Where  $g \equiv \left( K_1 K_2^* - \frac{\Delta k^2}{4} \right)^{1/2}$   $K_j \equiv \frac{2i\omega_j d_{\text{eff}}}{n_j c} A_3(0)$

↑  
Remember the \*

OR for  $A_2(0) = 0$

$$A_1(z) = A_1(0) \left( \cosh g z - \frac{i \Delta k}{2g} \sinh g z \right) \exp(i \Delta k z / 2)$$

$$A_2(z) = \frac{K_2}{g} A_1(0) \sinh(g z) \exp(i \Delta k z / 2)$$

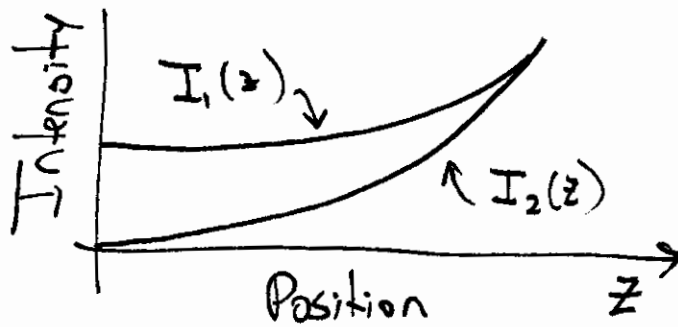
Important Points

For  $\Delta k = 0$

$$\begin{cases} A_1(z) = A_1 \cosh(z/L_N) \\ A_2(z) = i \left( \frac{n_1 \omega_1}{n_2 \omega_2} \right)^{1/2} \frac{A_2}{|A_1|} A_1^*(0) \sinh(z/L_N) \end{cases}$$

- Fields & Intensities are not harmonic in  $z$

- Monotonic growth of difference frequency



$\omega_1$  is amplified by this process

$\Rightarrow$  This process is known as

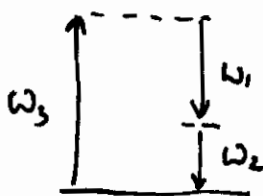
Parametric Amplification

-  $\omega_3$  (pump)

-  $\omega_1$  is amplified by the process (signal wave)

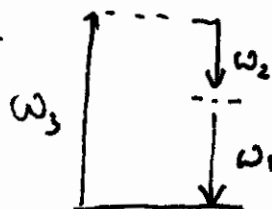
-  $\omega_2$  is created by the process (idler wave)

Consider two cases



(a)

OR



(b)

(a) Presence of  $\omega_1$  causes a transition of  $\omega_2$

(b)  $\omega_2$  stimulates a transition of  $\omega_1$ .

Both of these processes lead to exponential growth.

# Optical Parametric Amplifier (OPA)

Single pass thru crystal

No feedback

Modest gain for single pass

Very useful for, wavelength conversion of a CW laser  
tunable

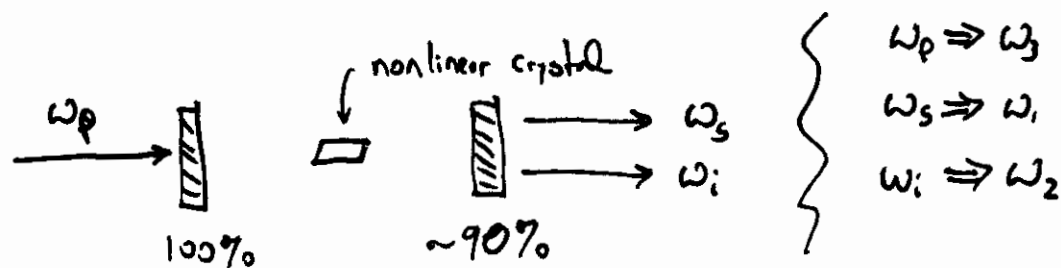
$$\text{gain} \simeq (L/L_{NL})^2 \sinh^2 \left( \frac{(L/L_{NL})^2 + (\Delta k L/2)^2}{(L/L_{NL})^2 - (\Delta k L/2)^2} \right)$$

$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_p n_s n_i c \lambda_s \lambda_i}{I_p(0)}} \quad \left\{ \begin{array}{l} p \equiv \text{pump} \\ s \equiv \text{signal} \\ i \equiv \text{idler} \end{array} \right.$$

$$\text{For } \Delta k = 0 \quad \text{gain} \simeq (L/L_{NL})^2$$

# Optical Parametric Oscillators (OPO)

Put the nonlinear process in a cavity with mirrors that are highly reflecting at  $\omega_1$  or  $\omega_2$

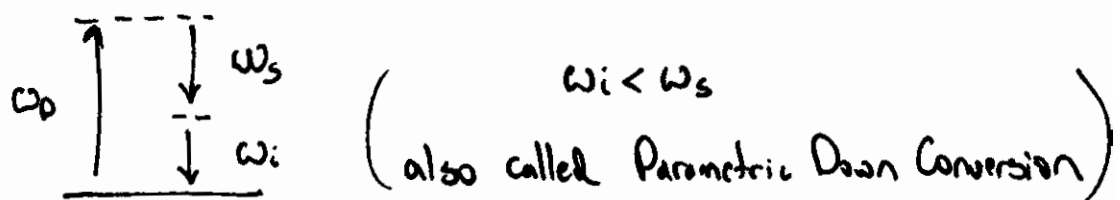


Difference frequency generation leads to the amplification of the lower frequency input field.

$$\omega_i = \omega_p - \omega_s$$

The gain associated with parametric amplification can in the presence of feedback provide an oscillation.

Mirrors can reflect both  $\omega_i$  and/or  $\omega_s$



For the case where  $\Delta k = 0$   $A_2(0) = 0$  and  $A_3(z) \approx A_3(0)$

$$A_1(z) = A_1(0) \cosh(\gamma z) \quad (\text{an exponential function})$$

$$A_2(z) = i \left( \frac{n_1 \omega_2}{n_2 \omega_1} \right)^{1/2} \frac{A_3(0)}{|A_3(0)|} A_1^*(0) \sinh(\gamma z)$$

Both signal + idler experience exponential growth.

\*\* But is an OPO a laser? ! \*\*

- Is an OPO a laser?

- An OPO Has:

- 1) A pump source
- 2) A cavity for feedback
- 3) Gain at a specific frequency

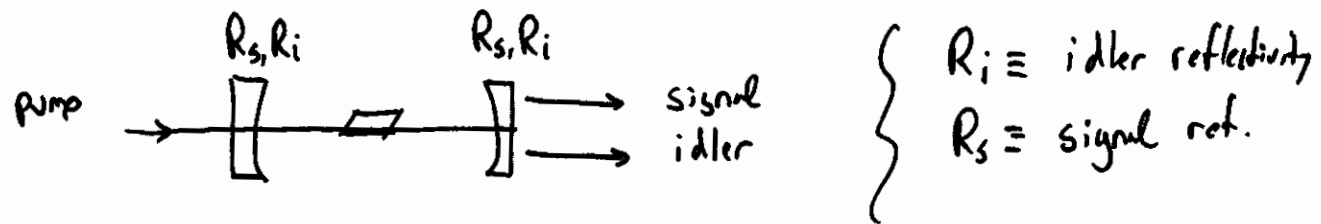
- Answer: No!

- A laser has a population inversion caused by the pump. An OPO does not have a population inversion. So it is technically not a laser.

- The problem with a laser is saturation when the upper population gets too large. An OPO does not have this problem!

---

## Threshold for Parametric oscillation for a Doubly Resonant OPO



Threshold of oscillation

Gain per pass  $\equiv$  loss per pass

$$(\exp(2L/L_{NL}) - 1) = (1 - R_s)(1 - R_i)$$

For low loss

$$(L/L_{NL})^2 \simeq (1 - R_s)(1 - R_i)$$

For single resonant OPO

$$(L/L_{NL})^2 \sim 2(1 - R_s)$$

## Tuning and Bandwidth

Wavelength tuning is typically done by temperature tuning.

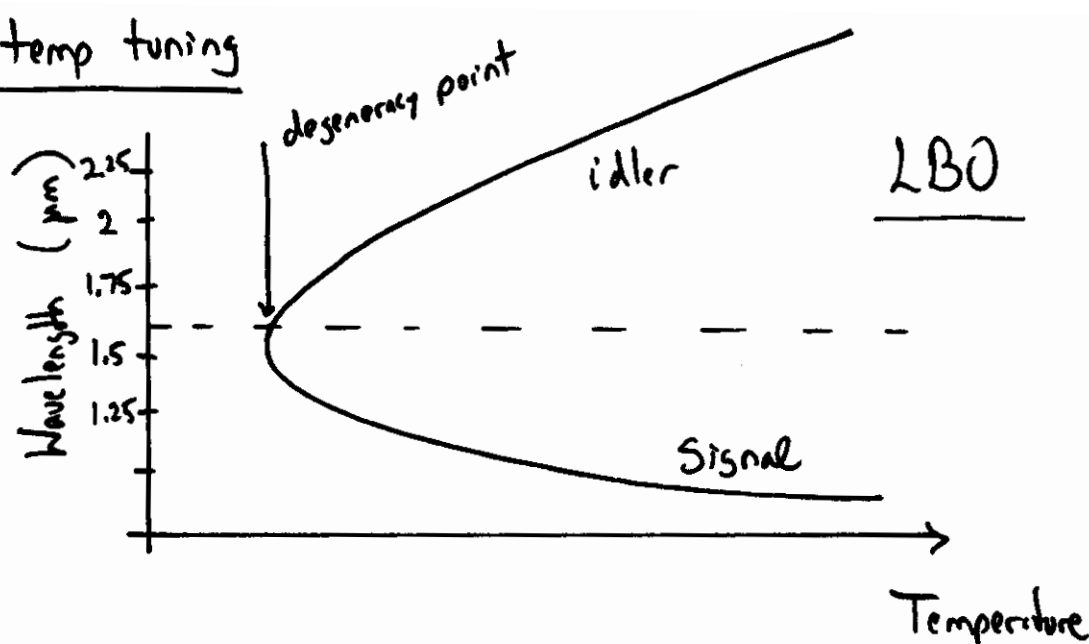
Must satisfy momentum + energy conservation

$$\omega_s + \omega_i = \omega_p \quad \vec{k}_s + \vec{k}_i = \vec{k}_p$$

$$\text{Phase Matching} \Rightarrow \omega_p [n(\omega_p) - n(\omega_p - \omega_s)] = \omega_s [n(\omega_s) - n(\omega_p - \omega_s)]$$

Can also be done using angle tuning

## Example of temp tuning



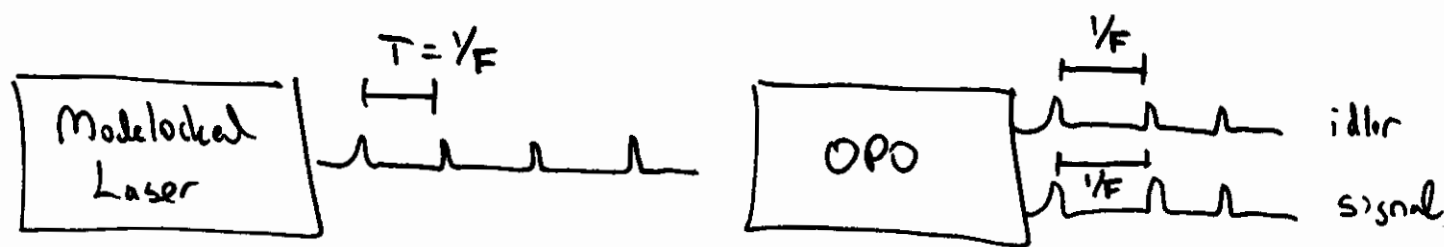
Pump  $\lambda_p = 800\text{nm}$

Huge tuning range  $\left\{ \begin{array}{ll} \text{Signal} & 1 - 1.5 \mu\text{m} \\ \text{idler} & 1.5 - 2.25 \mu\text{m} \end{array} \right.$

## Synchronously Pumped OPO

Use a pulsed laser for the pump

Get tunable wavelength pulsed light  $\Rightarrow$  signal + idler



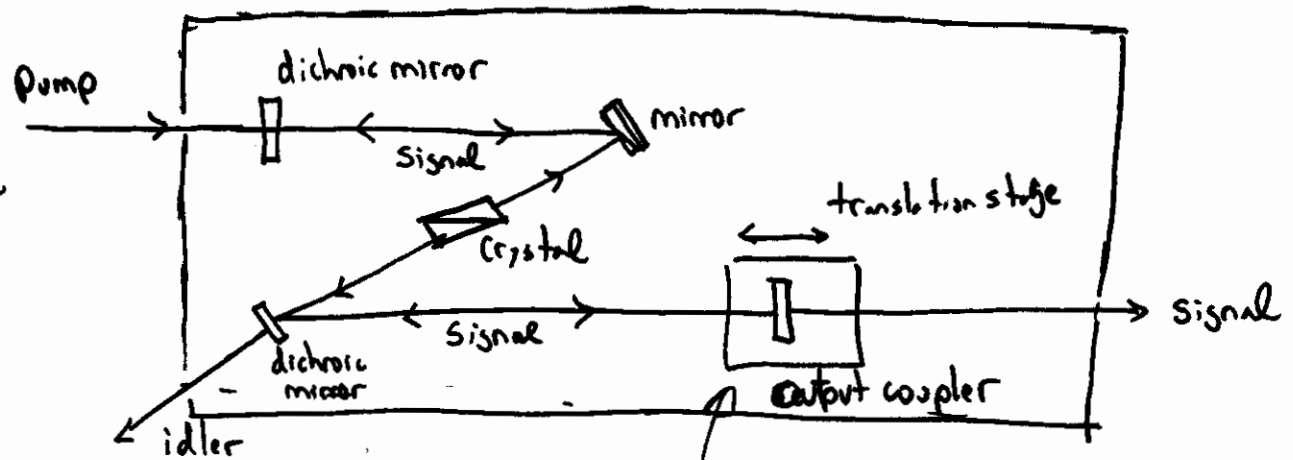
The second order process is modest ~~and~~ even for  $\Delta k = 0$ . ~~Must~~ For efficiency signal + idler generation, the round trip time of the OPO cavity must be matched to the mode locked laser repetition rate.

$$\tau_{RT} = \frac{2L_c}{c} N(\lambda) + \frac{2L_F}{c} = \frac{1}{F} \quad \left\{ \begin{array}{l} L_c \equiv \text{crystal length} \\ L_F \equiv \text{cavity length} \end{array} \right.$$

To match the cavity length & Rep Rate

Use following ~~inner~~ cavity

OPD Cavity



this translation stage with pm resolution is used to get match the cavity length to the repetition rate.

Output coupler (~99% for ws) is on translation stage

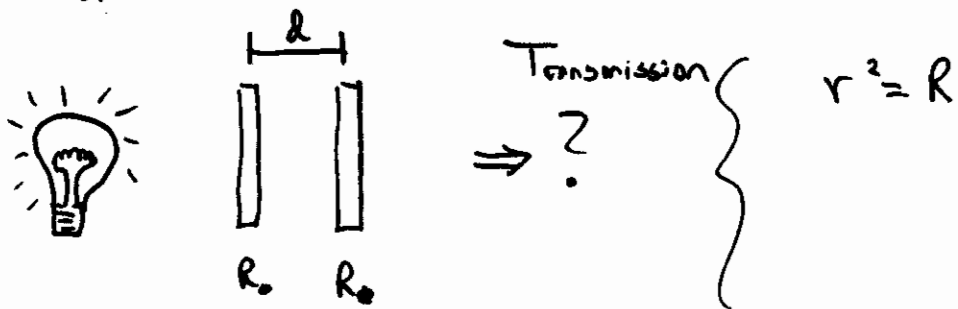
$$\tau_{RT} = \frac{2L_c}{c} N(x) + \frac{2L_f}{c}$$

$$= \frac{1}{f} = T$$



## Review of Cavity modes

Fabry Perot Interferometer : Interference by multiple reflections.



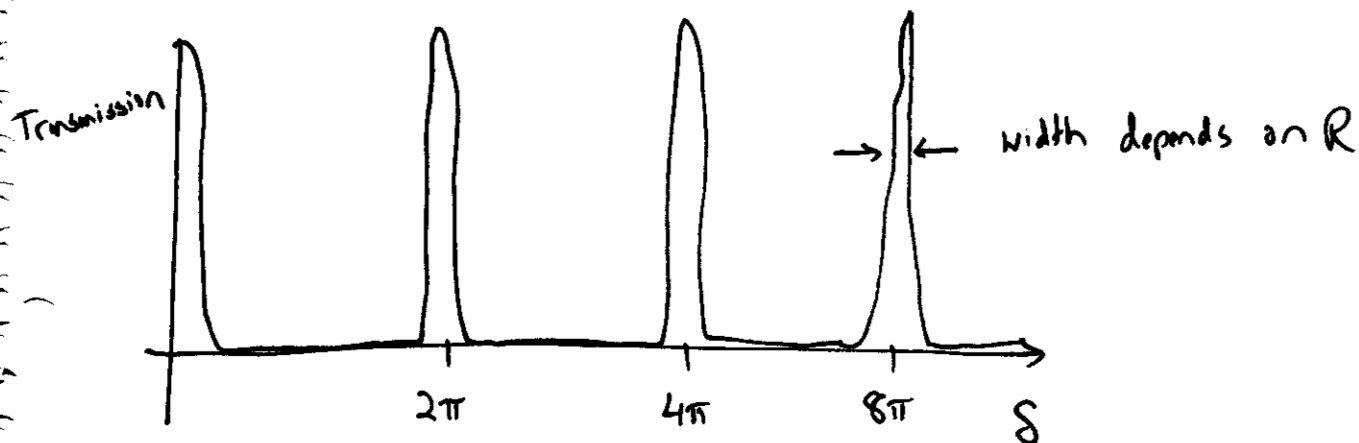
Interference from reflections within cavity causes a variation in the transmission as a function of frequency.

### Transmission

$$\frac{I(\delta)}{I_{\max}} = \frac{1}{\left(1 + \frac{4}{\pi^2} F \sin^2(\delta/2)\right)}$$

$$\mathcal{F} \equiv \text{finesse} \equiv \frac{\text{Free spectral range}}{\text{Smallest resolvable wavelength}} = \frac{\pi}{2} \left( \frac{2r}{1-r^2} \right)$$

$$\delta \equiv \frac{4\pi d n \cos \theta}{\lambda_0} \quad \text{Phase difference}$$



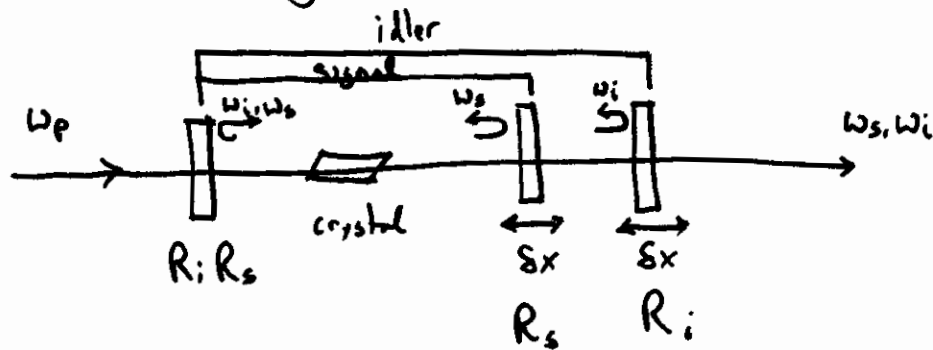
Notice that this is valid for only one wavelength  $\lambda_0$ .  
If we change  $\lambda_0$ , we need to change the distance  $d$  to maximize the transmission of the Fabry Perot interferometer.

For an OPO

An ope, the cavity length must correspond to maximum transmission at either the signal or idler wavelength.

In practice, this is difficult to do for both the signal and idler at the same time. Typically this is accomplished using two cavities.

Double  
Resonant  
OPO



# Lecture 8

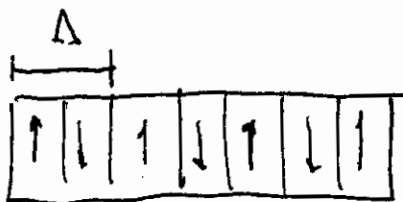
## Quasi-phase matching

Quasi  $\rightarrow$  ?

resembling

Varying Poling

orientate c-axis of material



periodically poled

$d_{\text{eff}} - d_{\text{eff}} \dots$

Let 
$$d(z) = d_{\text{eff}} \sum_{m=1} \frac{2}{m\pi} \sin\left(\frac{m\pi}{2}\right) \exp(ik_m z)$$

Define 
$$d_Q \equiv d_{\text{eff}} \frac{2}{m\pi} \sin\left(\frac{m\pi}{2}\right)$$

$$\Delta k_Q = k_1 + k_2 - k_3 - \frac{2m\pi}{\Lambda}$$

Coupled  $E_y$ 's 
$$\frac{dA_1}{dz} = \frac{2i\omega_1 d_Q}{n_1 c} A_3 A_2^* \exp(\Delta k_Q z)$$

⋮

Optical period when

$$\Lambda = \frac{2\pi}{k_1 + k_2 - k_3} = \underline{\underline{2L_c}}$$

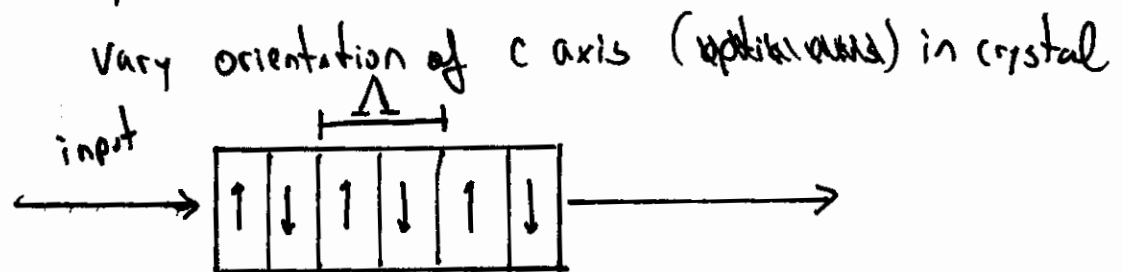
## Lecture 11 Quasi Phase matching

Problems with angle tuning for perfect phase matching

- at some angles propagation is difficult thru the crystal
- walk off
- cannot angle tune cubic crystals, ~~which~~ which typically have larger  $d_{eff}$
- cannot use  $d_{ii}$  terms, which are typically larger than off diagonal terms ( $d_{13}$  etc)
- cannot be used with crystals without birefringence  
e.g. Gallium Arsenide (GaAs)
- birefringence decreases with increasing  $\omega$ .

— Use quasi phase matching instead with periodically poled materials

Periodically poled materials



⇒ fabricated structure, not natural

Inversion  
Inversion of c axis changes the sign of  $d_{eff}$

$$+d_{eff} - d_{eff} + d_{eff} - d_{eff} - \dots$$

The variation of  $d_{eff}$  can compensate for  $\Delta k \neq 0$ !

$$\cancel{d_{\text{eff}} = (-1)^{n-1} |d_{\text{eff}}| \frac{2}{\pi n}}$$

$n \equiv$  order of phase matching

Write down coupled eqs

$$d(z) = d_{\text{eff}} \sum G_m \exp(ik_m z) \quad (m=1) \quad G_m \equiv \frac{2}{m\pi} \sin(m\pi/2) \quad k_m = \frac{2\pi}{\Lambda} m$$

$$\left. \begin{aligned} \frac{dA_1}{dz} &= \frac{2i\omega_1 d_{\text{eff}}}{n_1 c} A_3 A_2^* \exp(\Delta k_Q z) \\ \frac{dA_2}{dz} &= \frac{2i\omega_2 d_{\text{eff}}}{n_2 c} A_1^* A_3 \exp(\Delta k_Q z) \\ \frac{dA_3}{dz} &= \frac{2i\omega_3 d_{\text{eff}}}{n_3 c} A_1 A_2 \exp(\Delta k_Q z) \end{aligned} \right\} \begin{array}{l} \text{Expand } d(z) \\ \text{and use only} \\ \text{the strongest} \\ \text{Fourier} \\ \text{component} \end{array}$$

where  $\Delta k_Q = k_1 + k_2 - k_3 - \frac{2\pi m}{\Lambda}, \quad d_Q = d_{\text{eff}} G_m$

We can determine the optimal period  $\Lambda$

$$\Lambda = \frac{2\pi}{k_1 + k_2 - k_3} \equiv 2L_c$$

for Lithium niobate

$$L_c = 3.4 \mu\text{m}$$

for  $\lambda = 1.06 \mu\text{m}$

Define  $L_c = \frac{\Lambda}{2}$

$$L_c = \frac{\pi}{k_1 + k_2 - k_3}$$

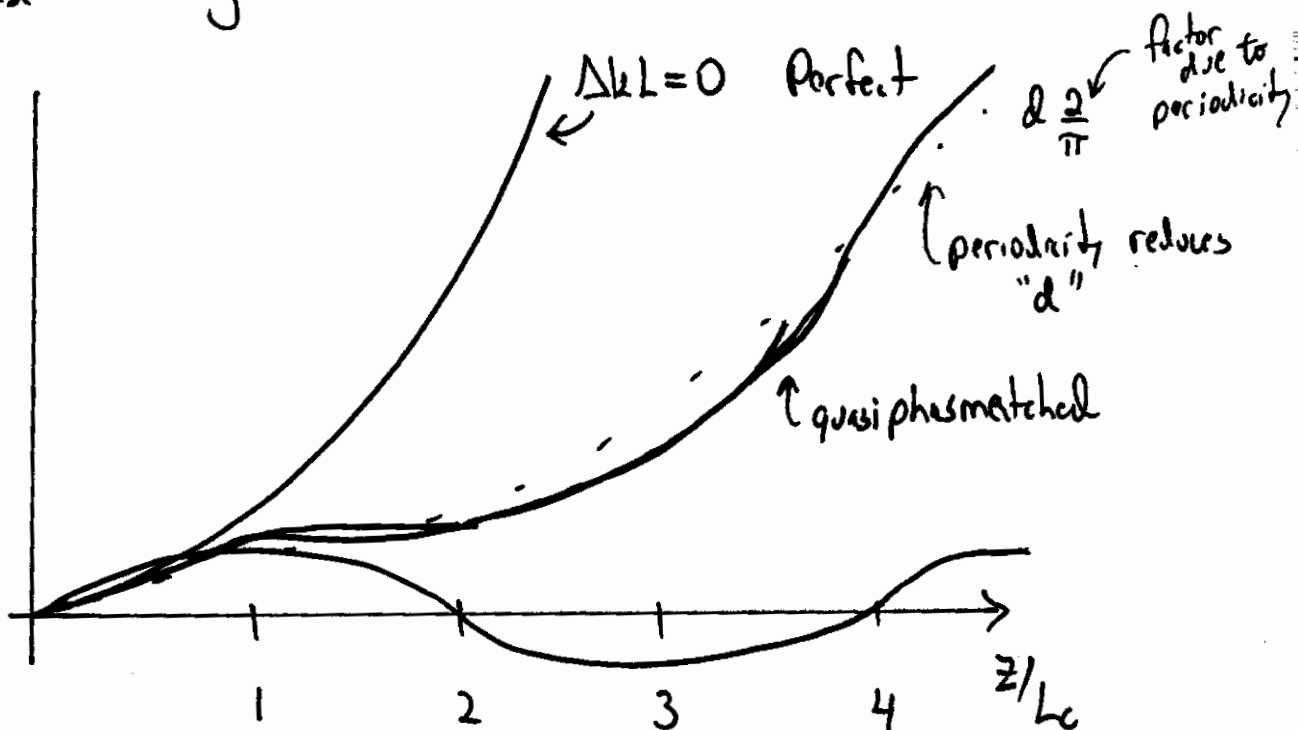
So  $\Lambda = 2L_c$

- Quasi-phase matching is less efficient than perfect phase matching by  $\left(d \frac{2}{\pi}\right)^2$

← However, Quasi phase matching allows one to use  $d_{33}$  which is typically larger

1st order  $\left(\frac{d_{33}}{d_{31}}\right)^2 \left(\frac{2}{\pi}\right)^2 \approx 15$

# Plot Phase matching



From this plot we see ....

QPM is not as efficient as Perfect phase matching but the disadvantage is compensated because with QPM larger die values can be used

LiNbO<sub>3</sub> : Angle phase matching  
Type I (-)  $\Rightarrow d_{31}$   $d_{eff} = (d_{31} \sin \theta) - d_{22} \cos \theta \sin 3\theta$

$$d_{22} = 2.4 \text{ pm/V}$$

$$d_{33} = 31.5 \text{ pm/V}$$

$$d_{31} = -4.52 \text{ pm/V}$$

QPM

$\Rightarrow d_{33}$

$$\left( \frac{d_{33}}{d_{31}} \right)^2 \left( \frac{2}{\pi} \right)^2 \approx 15!$$

1st order  
QPM

~~Efficiency~~ Efficiency of QPM is 15 times larger!

## Temperature tuning

One can change the output wavelength by heating the crystal

$$L_c = L_c(T) \quad T \equiv \text{temperature}$$

Heating the crystal increases  $\Delta$  thus decreasing the generated wavelength  $\lambda_3$ . It also changes the index. Calculate phase matching  $\lambda$  using SNLO program.

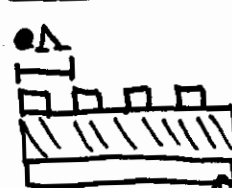
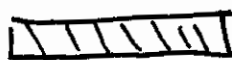
## How does one make a periodically poled crystal?

Quasiphase match is an old idea but at the time there was not a method to create the periodic poling. (circa 1962)

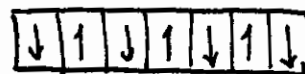
Exposing a crystal to a strong electric field inverts magnetic domains which changes the orientation of def. (1993)

### Procedure

- 1) Lithium Niobate
- 2) Deposit metal mask with desired periodicity
- 3) Apply 21 kV/mm electric field
- 4) Only material under electrodes get the domain reversal



ground plane



The crystal needs to be ferroelectric

Change domain with  $\vec{E}$

No iron (ferro)



## Advantages for QPM

- Use  $d_{ii}$  terms instead of  $d_{ij}$  terms.  $d_{ii} > d_{ij}$  in general.
- Can be used for crystals that are not birefringent.
- Less walk off than for birefringent phase matching.
- Easier alignment
- Waveguide geometry  $\Rightarrow$  Guide the fundamental!!

## Disadvantages

- Periodic poling with strong electric fields can only be done in ferroelectric materials.

Review: Quasiphase matching

Periodically poled materials (Fabricated structure)

Vary sign of  $d_{\text{eff}}$  along length of crystal

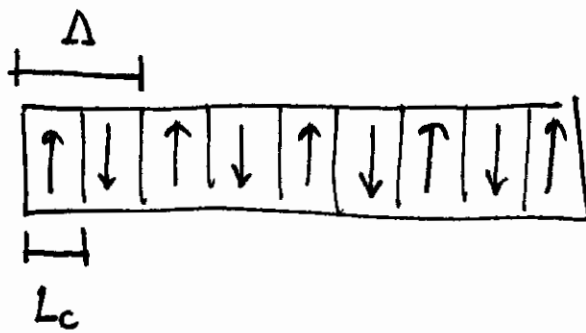
$$d(z) = d_{\text{eff}} \sum_m G_m \exp(i k_m z)$$

$$G_m = \frac{2}{\pi m} \sin(m\pi/2)$$

$$\Delta k_a = k_1 + k_2 - k_3 - \frac{2\pi}{\Lambda} m$$

For optimal period  $\Delta k = 0$

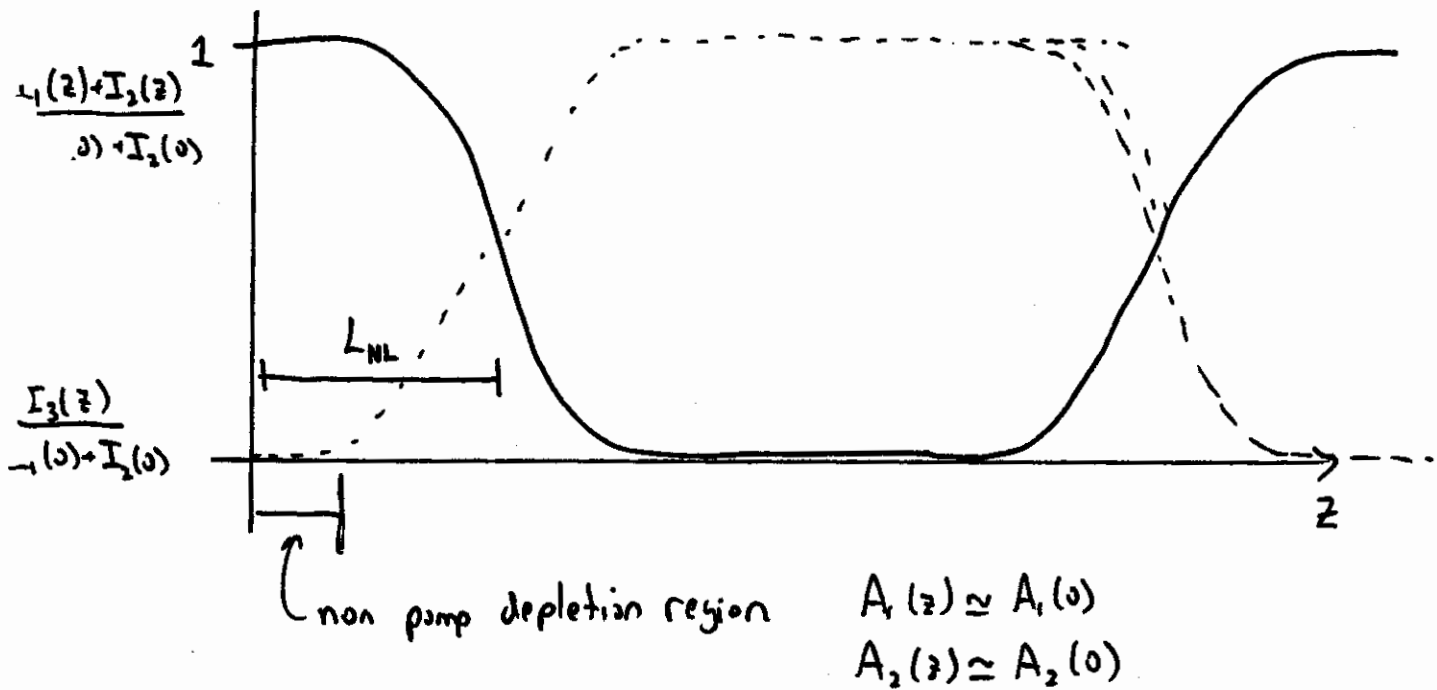
$$\boxed{\Lambda \equiv 2L_c = \frac{2\pi}{k_1 + k_2 - k_3}}$$



⇒ Solve 3 coupled DE using  $d(z)$

Expand  $d(z)$  + keep strongest Fourier component.

For SHG (assuming pump depletion)



Analytic expression

$$\frac{I_3(z)}{I_1(0) + I_2(0)} = \tanh\left(z/L_{NL}\right)$$

where

$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n^2(\omega) n(2\omega) c \lambda_1^2}{I_1(0)}}$$

$$\frac{I_3(z=z_{NL})}{I_1(0) + I_2(0)} \simeq 0.58 \quad \text{at } z = z_{NL}$$

Look again at  $g(\Delta k)$

$$g = \sqrt{-K_1 K_3 + \frac{1}{4} \Delta k^2}$$

$g$  is the smallest when  $\Delta k = 0$

also  $-K_1 K_3$  is a positive #

$$\begin{aligned} -K_1 K_3 &= - \left( \frac{2i\omega_{\text{eff}}}{n_1 c} A_1^* \right) \left( \frac{2i\omega_3}{n_3 c} A_2 \right) \\ &= \frac{4 \omega_{\text{eff}}^2 \omega_1 \omega_3}{n_1 n_3 c^2} I_2 \frac{1}{2\epsilon_0 n_2 c} = \frac{2 \omega_{\text{eff}}^2 \omega_1 \omega_3}{\epsilon_0 n_1 n_2 n_3 c^3} I_2 \end{aligned}$$

Which is a positive quantity.

$$\text{At } \Delta k = 0 \quad g = \sqrt{-K_1 K_3} = \left( \frac{2 \omega_{\text{eff}}^2 \omega_1 \omega_3}{\epsilon_0 n_1 n_2 n_3 c^3} I_2 \right)^{1/2} \left\{ \begin{array}{l} \omega_1 = \frac{2\pi c}{\lambda_1} \\ \omega_3 = \frac{2\pi c}{\lambda_3} \end{array} \right.$$

$$\begin{aligned} \frac{1}{g} &= \left( \frac{\epsilon_0 n_1 n_2 n_3 c^3}{2 \omega_{\text{eff}}^2 \omega_1 \omega_3} I_2^{-1} \right)^{1/2} = \left( \frac{\epsilon_0 n_1 n_2 n_3 c^3 \lambda_1 \lambda_3 I_2^{-1}}{2 \omega_{\text{eff}}^2 (2\pi c)^2} \right)^{1/2} \\ &= \frac{1}{11 \times 10^6} \sqrt{\frac{2 \epsilon_0 n_1 n_2 n_3 c \lambda_1 \lambda_3}{T(n)}} = L_{NL} \end{aligned}$$

## General $L_{NL}$

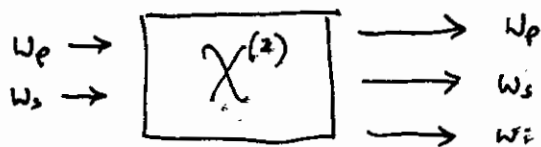
$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_1 n_2 n_3 \lambda_2 \lambda_3}{I_1(0)}} = \frac{1}{\sqrt{-k_1 k_3}}$$

Has different forms for SHG and SFG

- Can rewrite  $g$  for SFG

$$g(\Delta k) = \frac{1}{L_{NL}} \sqrt{1 + \frac{\Delta k^2 L_{NL}^2}{4}}$$

## Optical Parametric generation



- 1) pump + signal beat to give idler field ( $\omega_i$ )
- 2) idler + pump beat to give a difference at the signal field ( $\omega_s$ )

Double beating extra term in polarization which is linear in signal field.

Why ~~are~~ parametric?

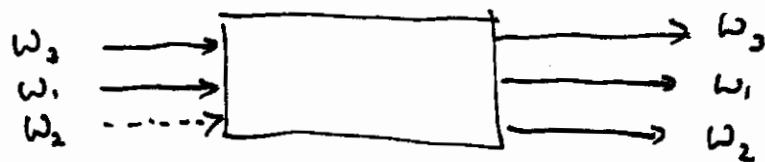
pump field modulates  $\chi^{(2)}$  at pump frequency  
 $\uparrow$   
parameter

OPA : Amplification

OPO : Oscillator

# Lecture 10 : Difference frequency generation and OPO

Consider process  $\omega_2 = \omega_3 - \omega_1$



Here  $\omega_2$  is the generated output.

$$\frac{dA_1}{dz} = \frac{2i\omega_1 d_{\text{eff}}}{n_1 c} A_3 A_2^* \exp(i\Delta k z)$$

$$\frac{dA_3}{dz} = \frac{2i\omega_3 d_{\text{eff}}}{n_3 c} A_1 A_2 \exp(-i\Delta k z)$$

$$\frac{dA_2}{dz} = \frac{2i\omega_2 d_{\text{eff}}}{n_2 c} A_3 A_1^* \exp(i\Delta k z)$$

No pump depletion

Solution for  $\Delta k \neq 0$  and assuming  $A_3(z) \approx A_3(0)$

$$A_1(z) = \left[ A_1(0) \left( \cosh(gz) - \frac{i\Delta k}{2g} \sinh(gz) \right) + \frac{K_1}{g} A_2^*(0) \sinh(gz) \right] e^{i\Delta k/2 z}$$

$$A_2(z) = \left[ A_2(0) \left( \cosh(gz) - \frac{i\Delta k}{2g} \sinh(gz) \right) + \frac{K_2}{g} A_1^*(0) \sinh(gz) \right] e^{i\Delta k/2 z}$$

Where

$$g \equiv \left( K_1 K_2^* - \frac{\Delta k^2}{4} \right)^{1/2} \quad K_j \equiv \frac{2i\omega_j d_{\text{eff}}}{n_j c} A_3(0)$$

Remember the \*

OR for  $A_2(0) = 0$

$$A_1(z) = A_1(0) \left( \cosh gz - \frac{i\Delta k}{2g} \sinh gz \right) \exp(i\Delta k z/2)$$

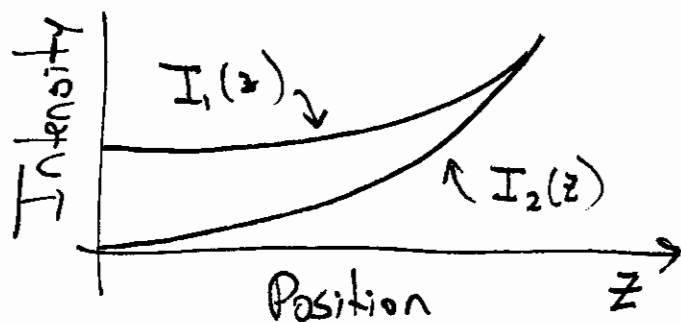
$$A_2(z) = \frac{K_2}{g} A_1(0) \sinh(gz) \exp(i\Delta k z/2)$$

For  $\Delta k = 0$

$$\begin{cases} A_1(z) = A_1 \cosh(z/LNL) \\ A_2(z) = i \left( \frac{n_1 \omega_1}{n_2 \omega_2} \right)^{1/2} \frac{A_2}{|A_1|} A_1^*(0) \sinh(z/L) \end{cases}$$

Important Points

- Fields & Intensities are not harmonic in  $z$
- Monotonic growth of difference frequency



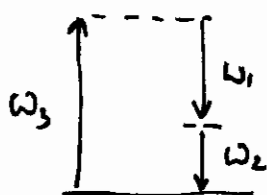
$\omega_1$  is amplified by this process

⇒ This process is known as

Parametric Amplification

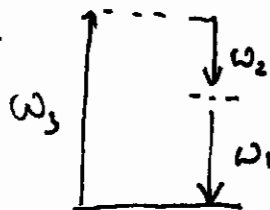
- $\omega_3$  (pump)
- $\omega_1$  is amplified by the process (signal wave)
- $\omega_2$  is created by the process (idler wave)

Consider two cases



(a)

OR



(b)

(a) Presence of  $\omega_1$  causes a transition of  $\omega_2$

(b)  $\omega_2$  stimulates a transition of  $\omega_1$ .

Both of these processes lead to exponential



# Optical Parametric Amplifier (OPA)

Single pass thru crystal

No feedback

Modest gain for single pass

Very useful for, wavelength conversion of a CW laser  
tunable

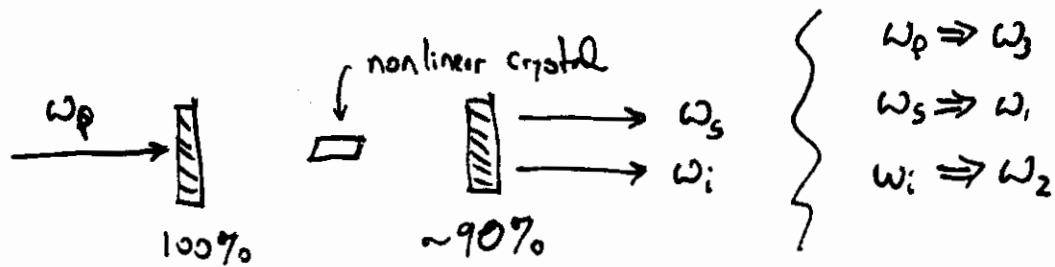
$$\text{gain} \approx \left(L/L_{NL}\right)^2 \sinh^2 \left( \frac{\left(L/L_{NL}\right)^2 + (\Delta k L/2)^2}{\left(L/L_{NL}\right)^2 - (\Delta k L/2)^2} \right)$$

$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_p n_s n_i c \lambda_s \lambda_i}{I_p(0)}} \quad \left\{ \begin{array}{l} p \equiv \text{pump} \\ s \equiv \text{signal} \\ i \equiv \text{idler} \end{array} \right.$$

$$\text{For } \Delta k = 0 \quad \text{gain} \approx \left(L/L_{NL}\right)^2$$

# Optical Parametric Oscillators (OPO)

Put the nonlinear process in a cavity with mirrors that are highly reflecting at  $\omega_1$  or  $\omega_2$

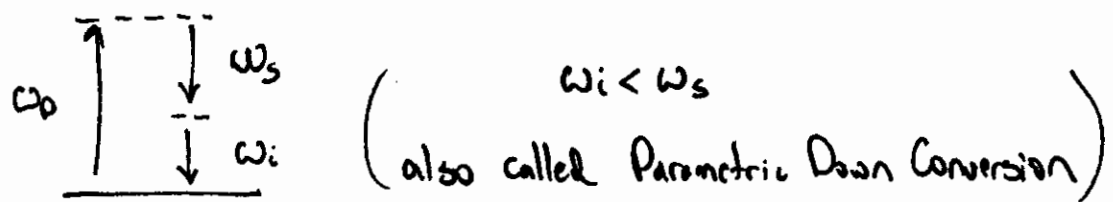


Difference frequency generation leads to the amplification of the lower frequency input field.

$$\omega_i = \omega_p - \omega_s$$

The gain associated with parametric amplification can in the presence of feedback provide an oscillation.

Mirrors can reflect both  $\omega_i$  and/or  $\omega_s$



For the case where  $\Delta k = 0$   $A_2(0) = 0$  and  $A_3(z) \approx A_3(0)$

$$A_1(z) = A_1(0) \cosh(\gamma z) \quad (\text{an exponential function})$$

$$A_2(z) = i \left( \frac{n_1 \omega_2}{n_2 \omega_1} \right)^{1/2} \frac{A_3(0)}{|A_3(0)|} A_1^*(0) \sinh(\gamma z)$$

Both signal + idler experience exponential growth.

**\*\* But is an OPO a laser?!**

Is an OPO a laser?

An OPO Has:

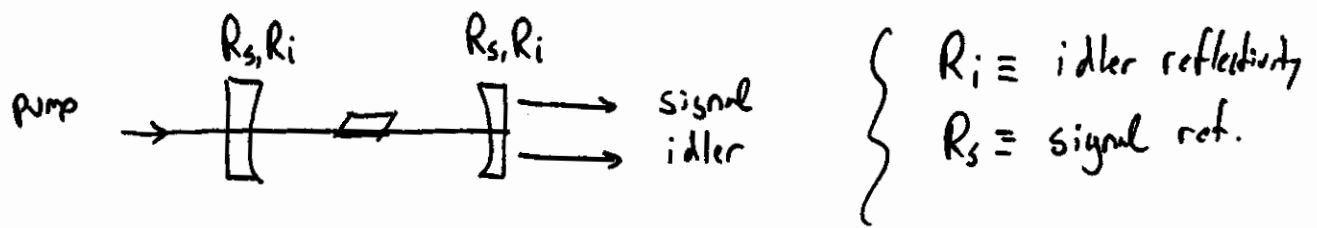
- 1) A pump source
- 2) A cavity for feedback
- 3) Gain at a specific frequency

Answer: No!

A laser has a population inversion caused by the pump. An OPO does not have a population inversion. So it is technically not a laser.

The problem with a laser is saturation when the upper population gets too large. An OPO does not have this problem!

## Threshold for Parametric oscillation for a Doubly Resonant OPO



Threshold of oscillation

Gain per pass  $\equiv$  loss per pass

$$(\exp(2L/L_{NL}) - 1) = (1 - R_s)(1 - R_i)$$

For low loss

$$(L/L_{NL})^2 \simeq (1 - R_s)(1 - R_i)$$

For single resonant OPO

$$(L/L_{NL})^2 \sim 2(1 - R_s)$$

## Tuning and Bandwidth

Wavelength tuning is typically done by temperature tuning.

Must satisfy momentum + energy conservation

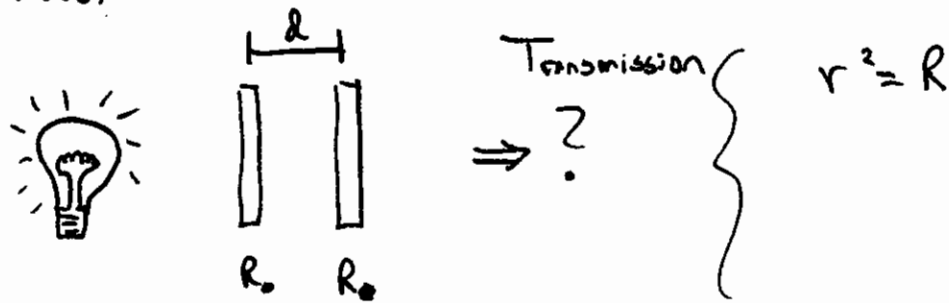
$$\omega_s + \omega_i = \omega_p \quad \vec{k}_s + \vec{k}_i = \vec{k}_p$$

$$\text{Phase Matching} \Rightarrow \omega_p [n(\omega_p) - n(\omega_p - \omega_s)] = \omega_s [n(\omega_s) - n(\omega_p - \omega_s)]$$

Can also be done using angle tuning

## Review of Cavity modes

Fabry Perot Interferometer : Interference by multiple reflections.



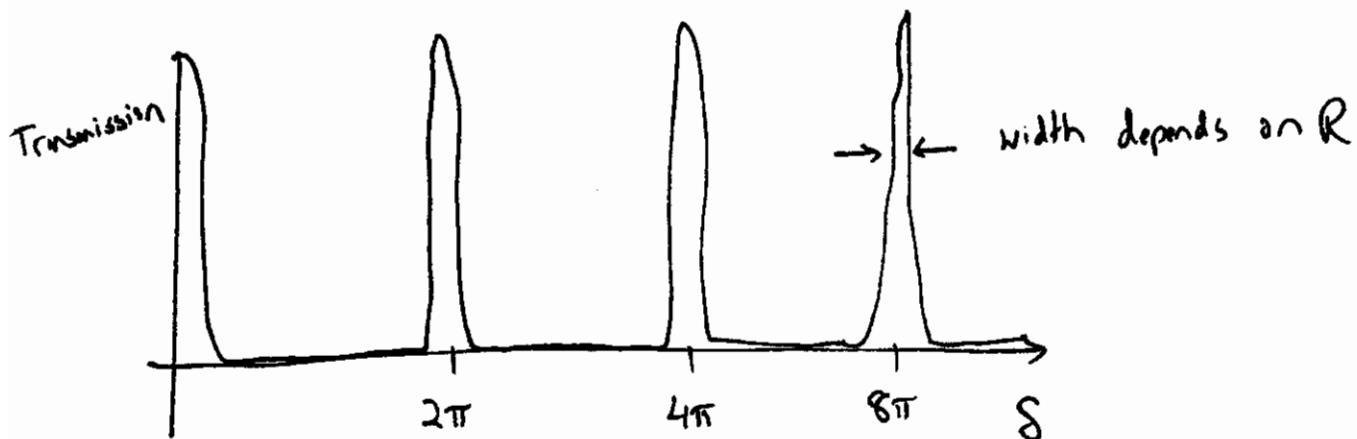
Interference from reflections within cavity causes a variation in the transmission as a function of frequency.

### Transmission

$$\frac{I(\delta)}{I_{\max}} = \frac{1}{\left(1 + \frac{4}{\pi^2} F \sin^2(\delta/2)\right)}$$

$$\mathcal{F} \equiv \text{finesse} \equiv \frac{\text{Free spectral range}}{\text{Smallest resolvable wavelength}} = \frac{\pi}{2} \left( \frac{2r}{1-r^2} \right)$$

$$\delta \equiv \frac{4\pi d n \cos \theta}{\lambda_0} \quad \text{Phase difference}$$

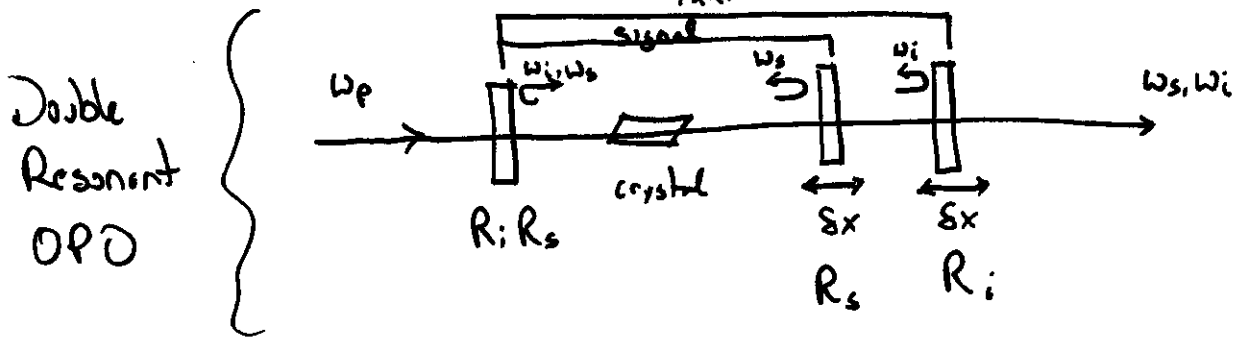


Notice that this is valid for only one wavelength  $\lambda_0$ .  
If we change  $\lambda_0$ , we need to change the distance  $d$  to maximize the transmission of the Fabry Perot interferometer.

### For an OPO

An ope, the cavity length must correspond to maximum transmission at either the signal or idler wavelength.

In practice, this is difficult to do for both the signal and idler at the same time. Typically this is accomplished using two cavities.



## Comments on Homework

1. Pulse calculator  $\Rightarrow$  [www.topica.com](http://www.topica.com)
2. Need to write better explanations for solution.  
Add better written discussions to answers.
3. Average vs. Peak Power

$$P_0 = P_{ave} \frac{T}{\Delta t}$$

Better expression

$$P_0 = \frac{P_{ave}}{\frac{1}{T} \int_{-T/2}^{T/2} \text{sech}^2(\eta t/\Delta t) dt}$$

$\uparrow$  put pulse shape here

$$\eta \equiv 2 \text{sech}^{-1}(\sqrt{2})$$

$$P_0 \approx P_{ave} \frac{T}{\Delta t} \frac{1}{1.134} (\text{sech}^2)$$

4. Converting  $I(\omega)$  to  $I(\lambda)$

Need to scale intensities as long as the abscissa!  
Energy Conservation requires  $|I(\lambda)d\lambda| = |I(\omega)d\omega|$

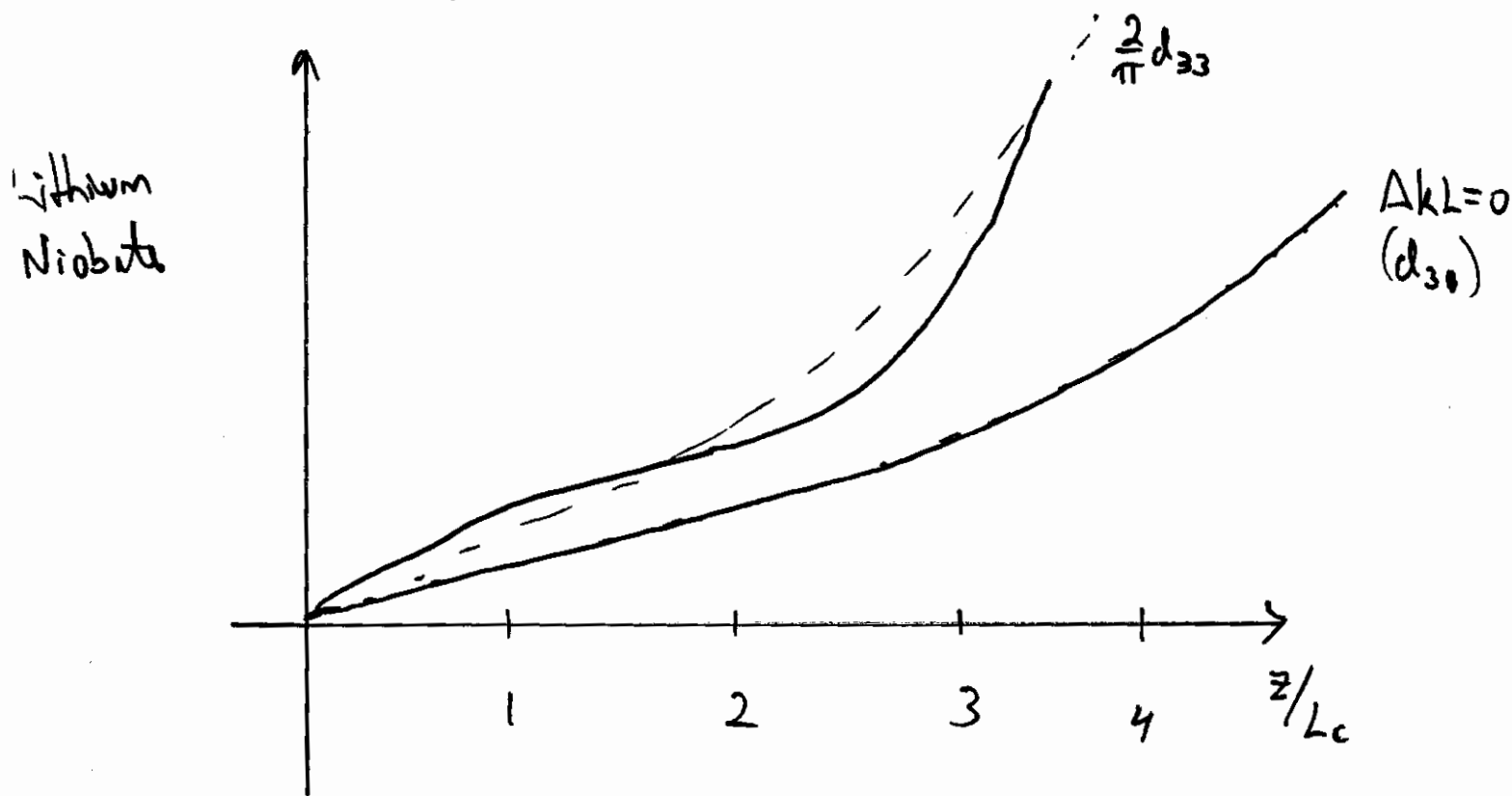
$$\frac{d\lambda}{d\omega} \Rightarrow \frac{\lambda^2}{2\pi c}$$

$$I_{\omega}(\omega) = \frac{\lambda^2}{2\pi c} I_{\lambda}(\lambda)$$

$$\lambda = \frac{2\pi c}{\omega}$$

Important for broad band pulses  $\Delta\lambda > 30\text{nm}$

This graph is not a proper representation of the situation. QPM allows one to use a larger, on diagonal term of die than the off-diagonal term for birefringent phase matching.

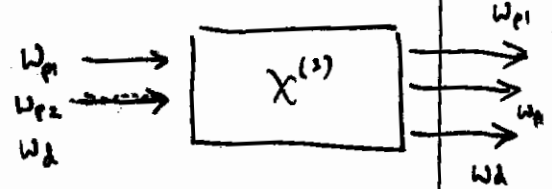




# Difference Frequency generation

$$\omega_d = \omega_{p1} - \omega_{p2}$$

$\swarrow$  pump     $\nwarrow$  signal  
 $\uparrow$  idler



Pump depleted solution

~~Normal~~ solution ( $\Delta k = 0$ )

$$\eta_d \sim \text{sn}^2 \left[ i \frac{z}{L_{NL}}, i\gamma \right]$$

Note that

$$\text{sn}^2 \left[ i \frac{z}{L_{NL}}, i\gamma \right] \rightarrow \sin^2 \left( i \frac{z}{L_{NL}} \right) = \sinh^2 \left( \frac{z}{L_{NL}} \right)$$

$$\text{as } \gamma \rightarrow 0$$

$\uparrow$  strong pump / No pump depletion

Look at Figures 9 & 10

Figure 9.  $\gamma \ll 1$

exponential increase in signal

Figure 10  $\gamma \approx 1$

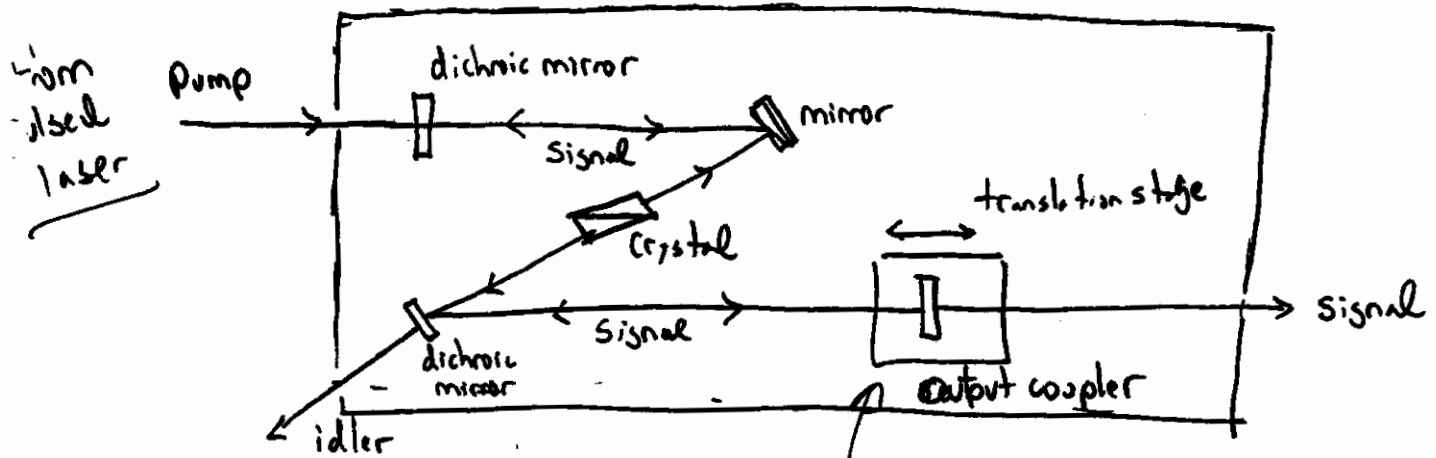
Equal pump & signal photon flux

Figure 13  $\gamma \ll 1$  with pump depletion

To match the cavity length & Rep Rate

Use following master cavity

ODO Cavity



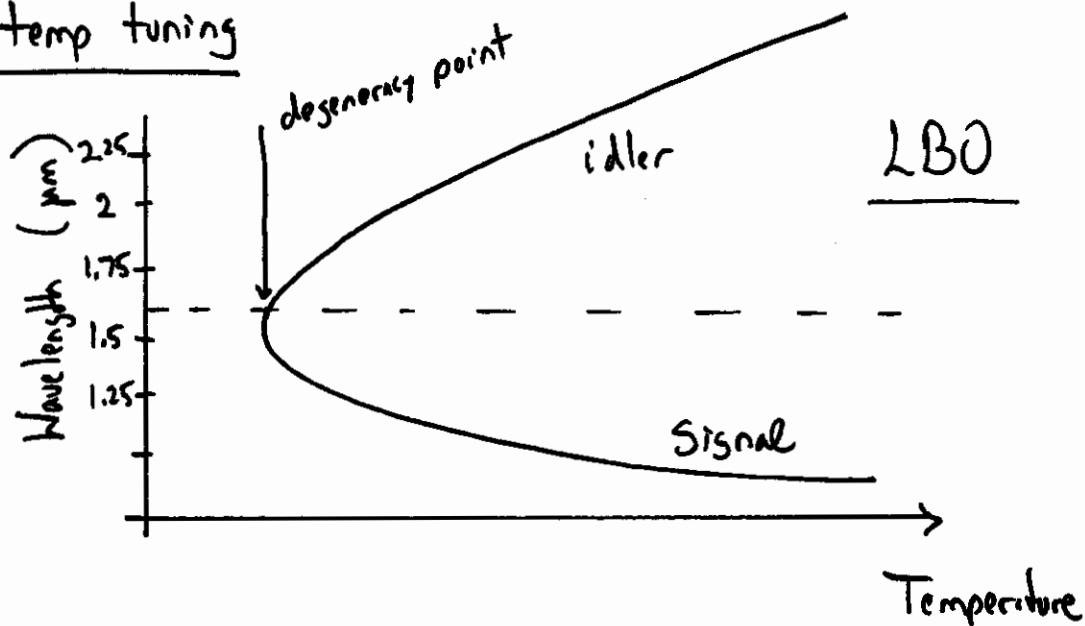
this translation stage with pm resolution is used to get match the cavity length to the repetition rate.

Output coupler (~99% for  $\omega_s$ ) is on translation stage

$$\tau_{RT} = \frac{2L_c}{c} N(\lambda) + \frac{2L_r}{c}$$

$$= \frac{1}{f} = T$$

## Example of temp tuning



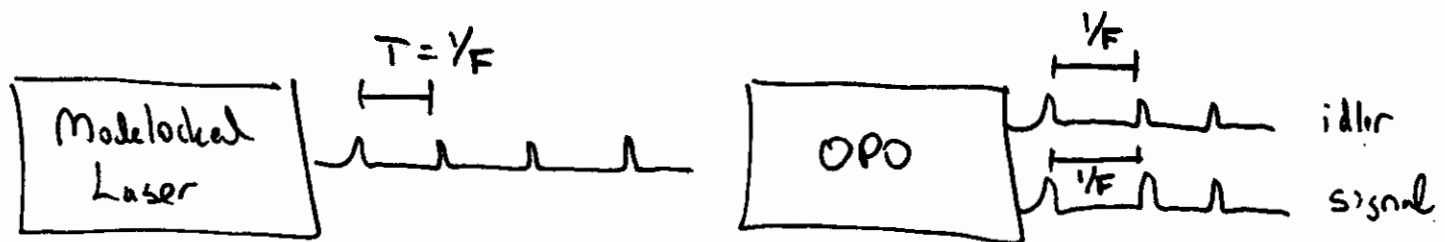
Pump  $\lambda_p = 800\text{nm}$

Huge tuning range  $\left\{ \begin{array}{ll} \text{Signal} & 1 - 1.5 \mu\text{m} \\ \text{idler} & 1.5 - 2.25 \mu\text{m} \end{array} \right.$

## Synchronously Pumped OPO

Use a pulsed laser for the pump

Get tunable wavelength pulsed light  $\Rightarrow$  signal + idler



The second order process is modest ~~as~~ even for  $\Delta k = 0$ . ~~Must~~ For efficiency signal + idler generation, the round trip time of the OPO cavity must be matched to the mode locked laser repetition rate.

$$\tau_{RT} = \frac{2L_c}{c} N(\lambda)_{\text{crystal}} + \frac{2L_F}{c} = \frac{1}{F} \quad \left\{ \begin{array}{l} L_c \equiv \text{crystal length} \\ L_F = \text{cavity} \end{array} \right.$$

$$\mathcal{F}\{\exp(i\omega_0 t)\} = \delta(\omega - \omega_0)$$

$$\mathcal{F}\{\cos(\omega_0 t)\} = \delta(\omega - \omega_0) + \delta(\omega + \omega_0)$$

$$\mathcal{F}\{\sin(\omega_0 t)\} = -i(\delta(\omega - \omega_0) - \delta(\omega + \omega_0))$$

$$\begin{aligned}\mathcal{F}\{\exp(i\omega_0 t)\} &= \mathcal{F}\{\cos \omega_0 t\} + i \mathcal{F}\{\sin \omega_0 t\} \\ &= \delta(\omega - \omega_0)\end{aligned}$$

# Convolutions & Correlations

## Convolution

$$f(t) \otimes g(t) \equiv \int f(\tau) g(t-\tau) d\tau$$

(flip)

FT Convolution th<sup>m</sup>

$$\mathcal{F}\{f(t) \otimes g(t)\} = F(\omega) G(\omega)$$

## Correlation

$$f(t) \star g(t) \equiv \int f(\tau) g^*(\tau-t) d\tau$$

(no flip + star)

## Auto correlation Th<sup>m</sup>

$$\mathcal{F}\{f(t) \star f(t)\} = |\mathcal{F}\{f(t)\}|^2$$
$$= |F(\omega)|^2$$

Spectrum!

So

$$\mathcal{F}\{E(t) \star E(t)\} = I(\omega)$$

## Lecture 12 : SHG with ultrashort pulse

So far we discussed SHG for a monochromatic source (a CW laser). For ultrashort pulses, which are comprised of a bandwidth of spectral components, SHG occurs for all components.

However, perfect phase matching  $\Delta k = 0$  only occurs for one spectral component.

For pulses we discussed the group velocity + group index.

$$N(\lambda) = n - \lambda \frac{dn}{d\lambda} \quad v_g = \frac{c}{N(\lambda)} = \frac{d\omega}{dk}$$

We can define a group velocity for the fundamental  $\omega$  and SHG  $2\omega$ .

$$N_\omega \quad N_{2\omega} \quad \left\{ \quad v_{g\omega} \quad v_{g2\omega} \right.$$

In general the two group velocities will not be the same. This is called the group velocity mismatch (GVM).

$$\boxed{\Delta v_{\text{GVM}} = -v_{g,2\omega} + v_{g,\omega}} = -\frac{c}{N(\lambda/2)} + \frac{c}{N(\lambda)}$$

It is a measure of the delay between the fundamental and SHG pulse. This mismatch leads to a finite phase matching bandwidth between the fundamental + SHG.

But for Type I we phase matching

$$\Delta k(2\omega, \theta) = \frac{2\omega}{c} [n_e(2\omega, \theta) - n_o(\omega)]$$

We can also write the GVM as

$$\Delta V_g = \frac{c}{N(2\omega)} - \frac{c}{N(\omega)}$$

## SHG Spectral filtering

The group velocity mismatch leads to a finite spectral bandwidth for SHG. Find this bandwidth.

$$E_1(z, t) = A_1 \left( t - \frac{1}{v_g(\omega_0)} z \right) \exp(-i(\omega_0 t - k(\omega_0)z))$$

$$E_2(z, t) = E_1(z, t - \tau) \Leftarrow \text{Delayed version of } E_1$$

$$E_{\text{SHG}}(z, t) = A_{\text{SHG}} \left( z, t - \frac{1}{v_g(2\omega_0)} z \right) \exp(-i(2\omega_0 t - k(2\omega_0)z))$$

$$P_{NL}(z, t) = \epsilon_0 \chi^{(2)} E_1 E_2 \Rightarrow \text{assume } \chi \text{ is fast.}$$

should be

$$P_{NL}(z, t) = \epsilon_0 \iint \chi^{(2)}(t-t', t-t'') E_1(z, t-t') E_2(z, t-t'-\tau) dt' dt''$$

## Example of GVM

0.3 mm KDP crystal

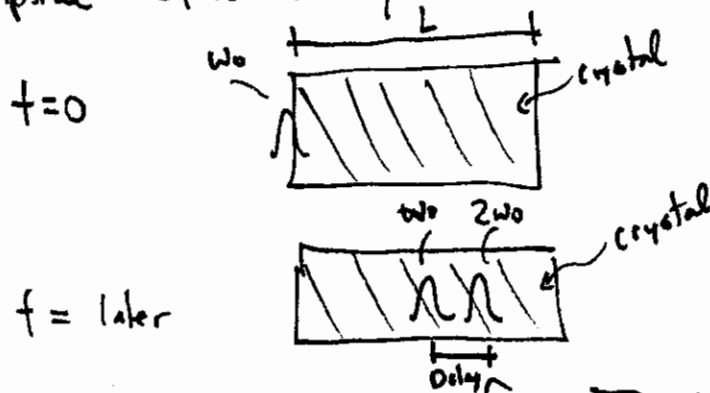
$$\lambda_0 = 620 \text{ nm} \quad \lambda_0/2 = 310 \text{ nm}$$

$$\text{group delay mismatch} = \frac{1}{\Delta v_g} L = 56 \text{ fs}$$

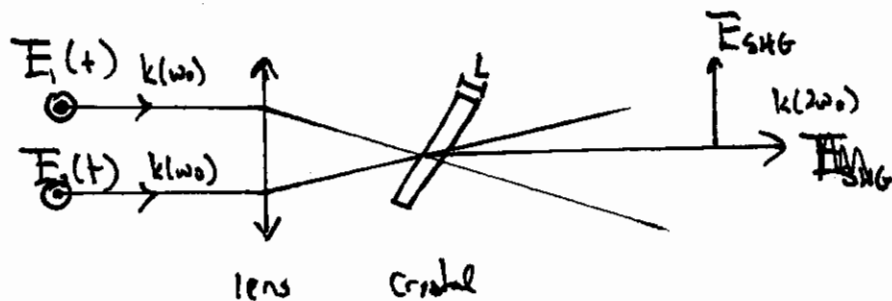
We wish to see how the effect of GVM changes the generated Second harmonic spectrum for SHG.

$$I_{\text{SHG}}(\omega) = ?$$

However, due to GVM the fundamental + SHG pulse will not maintain temporal synchronicity for the entire length of the crystal.



We wish to derive an expression for  $I_{\text{SHG}}(\omega)$  for a noncolinear coupling into the crystal.



$E_1 + E_2$  along ordinary axis.  $E_{\text{SHG}}$  along extraordinary. Note  $E_2(t) = E_1(t - \tau)$   
The result for  $I_{\text{SHG}}(\omega)$  is given by:



$$I_{\text{SHG}}(\omega) = \frac{\sin^2(\Delta k L/2)}{(\Delta k L/2)} I(\omega) \otimes I(\omega)$$

$\Delta k \equiv$  total <sub>phase</sub> mismatch . Write the total mismatch for SHG with a spectral bandwidth.

For SHG with pulse . We need to Taylor expand  $\Delta k(\omega)$

$$\Delta k \approx \left[ k(\omega_0) + k(\omega_0) - k(2\omega_0) \right]$$

phase matching for  $\omega_0 + 2\omega_0$  only  
phase velocity mismatch

$$+ \left[ \frac{\partial k}{\partial \omega} \Big|_{\omega_0} - \frac{\partial k}{\partial \omega} \Big|_{2\omega_0} \right] \omega$$

Group velocity mismatch

$$+ \frac{1}{2} \omega^2 \left[ \frac{\partial^2 k}{\partial \omega^2} \Big|_{\omega_0} - \frac{\partial^2 k}{\partial \omega^2} \Big|_{2\omega_0} \right]$$

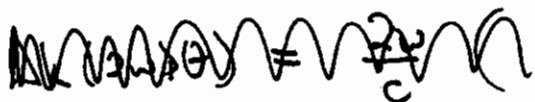
group delay dispersion

This expansion gives terms that will be non zero at the phase matching angle. This implies that perfect phasematching cannot be applied for all spectral components of the pulse.

Consider only phase + group velocity mismatch

$$\Delta k \approx \Delta k_0 + \frac{1}{\Delta V_g} \omega$$

GVM



Write each in terms of its spectrum

$$E_1(z, t) = \mathcal{F}^{-1} \{ A_1(\omega) \} \exp(-i\omega_0 t - k(\omega_0)z)$$

$$E_2(z, t) =$$

$$P_{NL}(z, t) = \epsilon_0 \chi^{(3)} \exp(-i(2\omega_0 t - 2k(\omega_0)z)) e^{-i\omega_0 t}$$

$$\times \mathcal{F} \left\{ \int A_1(\omega - \omega') A_1(\omega') \exp(-i\omega' \tau) d\omega' \right\}$$

Differential Eq using the SVEA

$$\partial_z A_{SHG}(z, t) = -\frac{i2\omega_0 \mu_0 c}{2n} P_{NL}(z, t) \exp(i\Delta k_0 z)$$

$$\text{where } \Delta k_0 = 2k(\omega_0) - k(2\omega_0)$$

Write DE in frequency domain

$$\partial_z A_{SHG}(z, \omega) = \frac{-i\omega_0 \chi^{(3)}}{nc 2\pi} \exp(-i\omega_0 \tau) \exp(i\Delta k z)$$

$$\times \int A_1(\omega - \omega') A_1(\omega') e^{-i\omega' \tau} d\omega'$$

$$\text{where } \Delta k = \Delta k_0 + \frac{1}{\Delta v_g} \omega$$

Integrate from 0 to L

$$A_{\text{SHG}}(\omega, L) \approx e^{-i\omega\tau} [\exp(i\Delta k L/2)] \left[ \frac{\sin(\Delta k L/2)}{\Delta k L/2} \right] \\ \times \int A_1(\omega - \omega') A(\omega') e^{-i\omega'\tau} d\omega'$$

We want the intensity over all  $\tau$ .  $I_{\text{SHG}}(\omega, L) \approx |A_{\text{SHG}}(\omega, L)|^2$

$$I_{\text{SHG}}(\omega) = \frac{\sin^2(\Delta k L/2)}{(\Delta k L/2)^2} \left[ \int [\int A(\omega - \zeta) A(\zeta) e^{-i\zeta\tau} d\zeta] \right. \\ \left. \times [\int A^*(\omega - \eta) A(\eta) e^{-i\eta\tau} d\eta] d\tau \right]$$

But  $\delta(\zeta - \eta) = \int \exp(i(\zeta - \eta)\tau) d\tau$   
(FT of a delta function). Which allows us to  
do the integral over  $\tau$  to get rid of  $\zeta$ .

$$I_{\text{SHG}}(\omega) = \text{sinc}(\Delta k L/2) \int A^*(\omega - \eta) A(\omega - \eta) A^*(\eta) A(\eta) d\eta$$

$$\text{But } A^*(\omega) A(\omega) = I(\omega)$$

So

$$I_{SHG}(\omega) = \text{sinc}^2(\Delta k L/2) \int I_1(\omega - \gamma) I_2(\gamma) d\gamma$$

OR

$$I_{SHG}(\omega) = \text{sinc}^2(\Delta k L/2) I_1(\omega) \otimes I_2(\omega)$$

OR

$$I_{SHG}(\omega) = H(2\omega) I_1(\omega) \otimes I_2(\omega)$$

where  $H(2\omega) = \frac{\text{sin}^2(\Delta k(2\omega, \theta) L/2)}{(\Delta k(2\omega) L/2)^2}$

The filter function  $H(2\omega)$  is evaluated for the case of perfect phase matching

$$\theta = \theta_{pm} \quad \text{where} \quad \Delta k_0 = 0$$

## Major Points

- 1) The width of the SHG spectrum is related to the autoconvolution of the fundamental spectrum.
- 2) Width of  $H(\omega)$  depends on GVM  $\Rightarrow \Delta \nu_g^{-1} L$  and the crystal length.
- 3) Center of  $H(\omega)$  depends on  $\Delta k_0$

## How to calculate the spectral filtering?

- 1) Find  $I_1(\omega)$  and  $\Delta\lambda$  of the fundamental pulse
- 2) Determine  $\Delta k(2\omega, \theta)$  given  $n_e(\lambda) + n_o(\lambda)$  of your given crystal. Set  $\theta = \theta_{pm}$  (perfect phase matching).
- 3) Find  $H(2\omega) = \frac{\sin^2(\Delta k(2\omega, \theta_{pm}) L/2)}{(\Delta k(2\omega, \theta_{pm}) L/2)}$
- 4) ~~Then we can say~~ Determine the SHG spectrum from  $I_1(\omega) \otimes I_1(\omega)$ . Find its spectral width  $\Delta\lambda_{SHG}$
- 5) Determine the filtered SHG spectrum using

$$H(2\omega)(I_1(\omega) \otimes I_1(\omega))$$

- 6) Make sure the filtered spectrum  $\Delta\lambda_{SHG, filtered}$  is not significantly different than  $\Delta\lambda_{SHG}$

## Lecture 15

## Applications for SHG

Back to  $I_{SHG}(\omega) \Rightarrow$  Problem with Weiner result for chirped pulses

$$I_{SHG}(\omega) \approx \text{sinc}^2(AkL/2) \left| \int E_1(\omega') E_1(\omega - \omega') d\omega' \right|^2$$

for transform-limited pulses (or nearly transform-limited pulses)

$$I_{SHG}(\omega) \approx \text{sinc}^2(AkL/2) [I_1(\omega) \otimes I_1(\omega)]$$

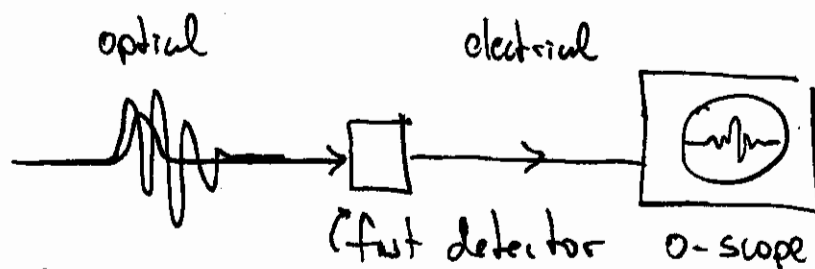
The presence of a phase distortion does not modify the spectral width but does modify the spectral shape

- What about  $I_{SHG}(t)$ ?

The SHG intensity will also be a function of chirp.

## Applications      Pulse measurement

We really want

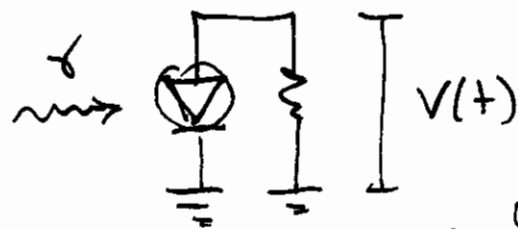


Why can't we do this! Motion of electrons in the detection cannot follow the oscillations of the optical electric field.

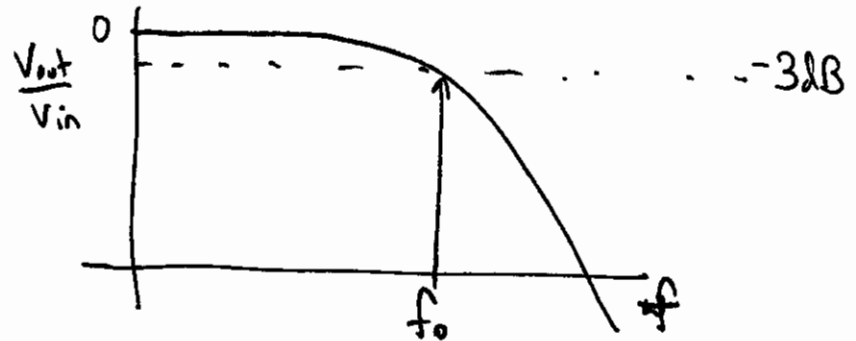
$$V(t) = \int h(t) \otimes I(t)$$

## Really Fast optical detector

Converts optical power to a current, or a voltage



"Bandwidth"  $f_0 \sim 100 \text{ GHz}$



$\frac{1}{f_0} \sim 0.1 \text{ ps}$   $\Leftarrow$  not fast enough to resolve the  
(really more like 1 ps) oscillations of the pulse's electric  
field oscillations

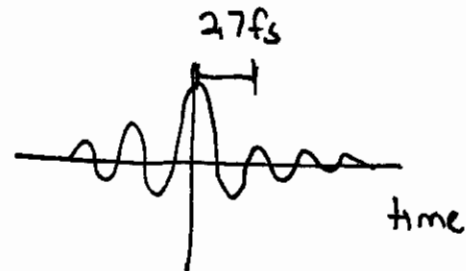
### Example

$$\lambda_0 = 800 \text{ nm}$$

$$\omega_0 = \frac{2\pi c}{\lambda_0} = 2.35 \text{ } 1/\text{fs}$$

$$f_0 = 0.375 \text{ } 1/\text{fs}$$

$$1/f_0 = 2.7 \text{ fs (single cycle)}$$



We need a better method



What do we want to measure?

$$E(t) \equiv \sqrt{I(t)} \exp(i\phi(t))$$

$$I(t) \equiv \overset{\text{Temporal}}{\text{Intensity}} \quad \phi(t) \equiv \overset{\text{Temporal}}{\text{Phase}}$$

OR we can write

$$E(\omega) \equiv \sqrt{I(\omega)} \exp(i\phi(\omega))$$

$$I(\omega) \equiv \underset{\text{intensity}}{\text{spectral}} \quad \phi(\omega) \equiv \text{spectral phase}$$

Can we measure  $E(\omega) + E(t)$  directly  $\Rightarrow$  Difficult.  
earlier measurements provided limited information about  $E(t)$

Intensity Autocorrelation

Gives an estimate of the pulse duration and shape

Really a "guess-estimate"

Measure

$$\underline{A_{ac}(\tau)} = \int I(t) I(t-\tau) dt$$

autocorrelation

How to do this  $\Rightarrow$  use SHG generation

Prove

$$\mathcal{F}\{\Gamma^{(2)}(t)\} = \mathcal{F}\left\{\int E(t) E^*(t-\tau) dt\right\} = I(\omega)$$

$$\mathcal{F}\left\{\int E(t) E^*(t-\tau) dt\right\} = \mathcal{F}\left\{E(t) \overset{\text{convolution}}{\otimes} E(t)\right\}$$

From the convolution theorem  $\mathcal{F}\{g \otimes f\} = \mathcal{F}\{g\} \mathcal{F}\{f\}$

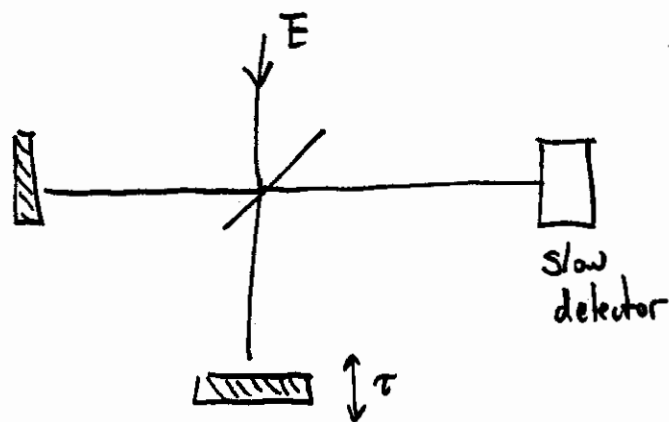
So

$$= \mathcal{F}\{E(t)\} \mathcal{F}\{E^*(t)\}$$

$$= E(\omega) E^*(\omega)$$

$$= I(\omega)$$

Can we use a Michelson Interferometer?!



Measure interferogram

$$I_m(\tau) \sim \int |E(t) - E(t-\tau)|^2 dt$$

$$I_m(\tau) \sim \frac{2 \int |E(t)|^2 dt}{\text{pulse intensity}} - \frac{2 \operatorname{Re} \left\{ \int E(t) E^*(t+\tau) dt \right\}}{\text{interferogram}}$$

$$\mathcal{F}\{E(t) \otimes E(t)\} = E(\omega) E(\omega) = I(\omega)$$

Field autocorrelation  
( $\Gamma^{(2)}(t)$ )

$$\text{But } \mathcal{F}\{\Gamma^{(2)}(t)\} = I(\omega) \\ (\text{the spectrum!})$$

Measuring interferometer is same as measuring the spectrum.

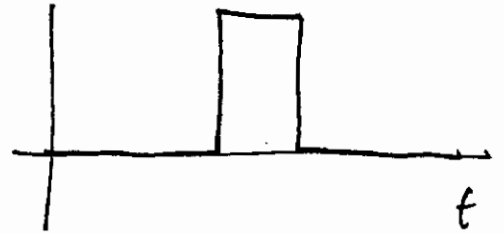
• If you do not have a detector or modulator that is fast compared to the pulse you cannot measure the pulse intensity + phase

Need something that has a faster response  $\Rightarrow \chi^{(2)}$  effects!!

## Mathematical Picture of an autocorrelation.

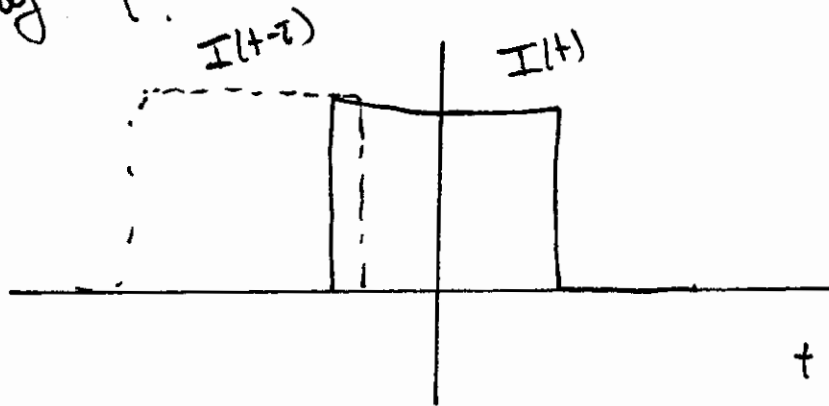
Pulse

$$I(t) = \begin{cases} 1 & |t| \leq \Delta t/2 \\ 0 & \text{elsewhere} \end{cases}$$



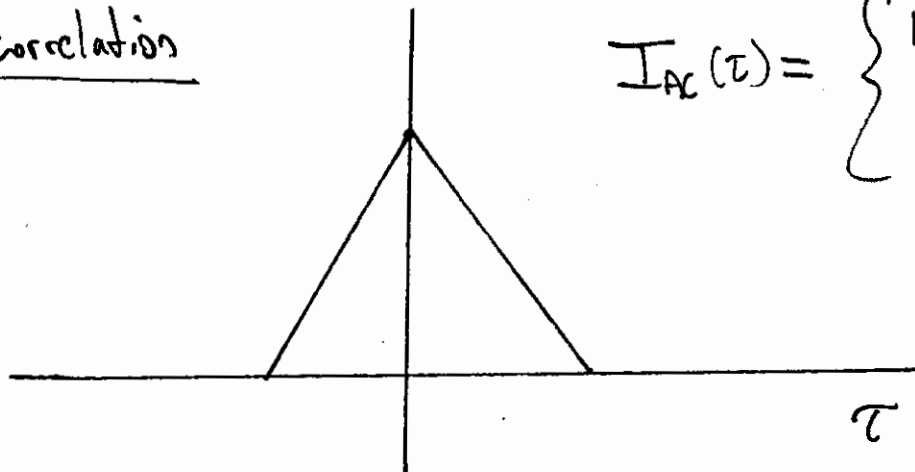
Autocorrelation

Scan a replica of the pulse  $I(t-\tau)$  for all values of  $t$ .



Autocorrelation

$$I_{AC}(\tau) = \begin{cases} 1 - \left| \frac{\tau}{\Delta t_{AC}} \right| & \tau < \Delta t \\ 0 & \text{elsewhere} \end{cases}$$

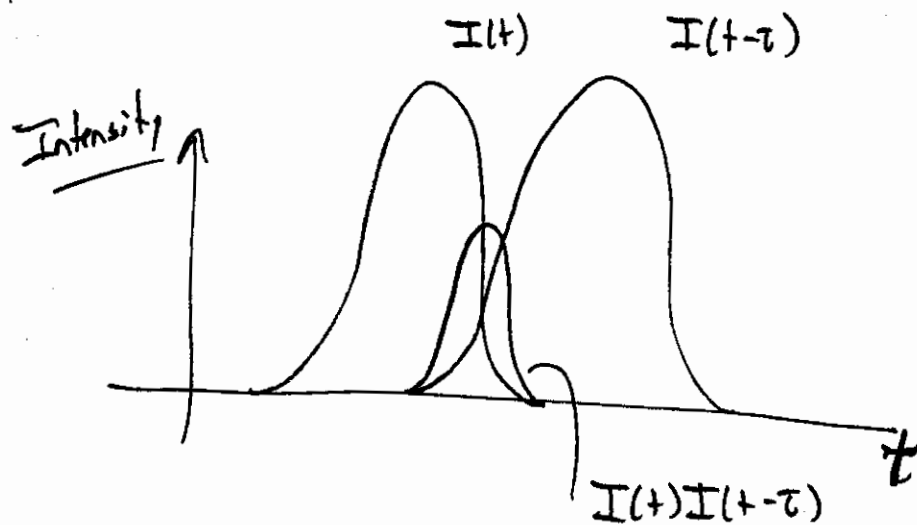


where

$$\Delta \tau_{AC} = \Delta t$$

## Pulse measurement in time domain: Intensity AC

Wish to overlap two pulses in a crystal as a function of delay  $\tau$



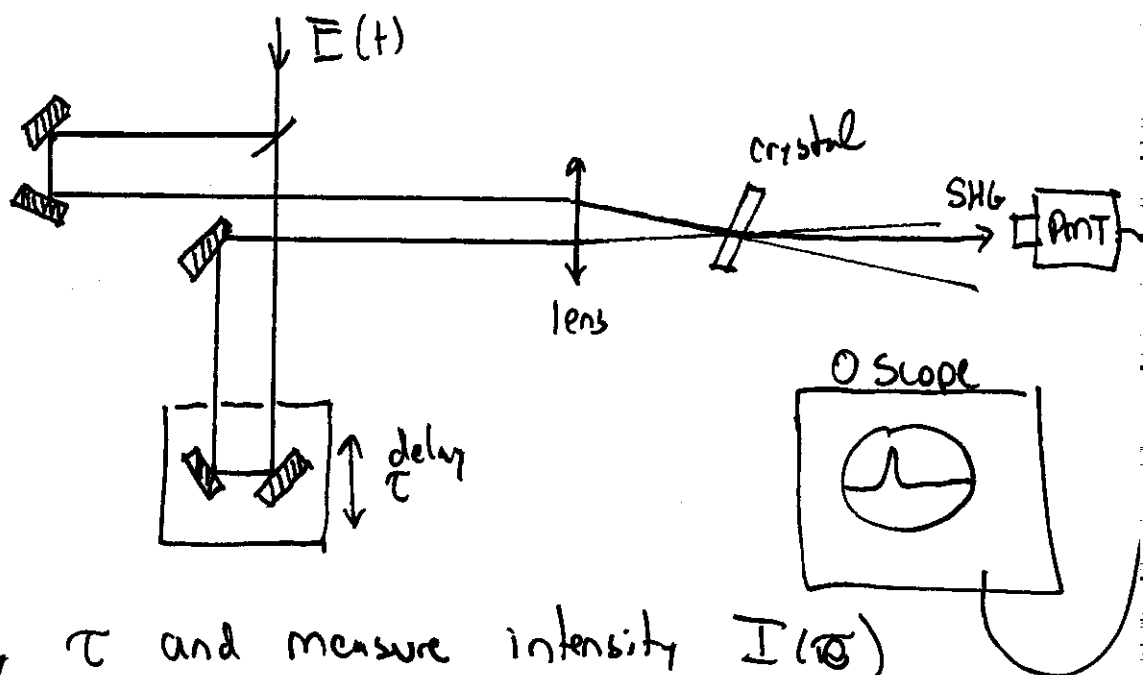
$$I_{AC}(\tau) \equiv \int I(t) I(t-\tau) dt$$

The second harmonic intensity is a function of  $\tau$  and the temporal overlap in the nonlinear crystal

The response of the medium provides the fast temporal resolution to measure the pulse duration.

Note that  $I_{AC}(\tau) = I_{AC}(-\tau)$

## Setup



Scan delay  $\tau$  and measure intensity  $I(\tau)$

Due to the non colinear focusing in the crystal, SHG ~~into~~ into the PMT (photomultiplier tube) will be detected for an ~~over~~ temporal overlap. The SHG intensity as a function of delay will be the autocorrelation of the fundamental intensities.

$$I_{AC}(\tau) \sim \int I(t) I(t-\tau) d\tau$$

## Advantages

- Can scan  $\tau$  at any rate
- gives a "pretty good" estimate of  $\Delta t_{\text{maximum}}$

## Disadvantages

- Need to guess form of  $E(t)$  to get estimate of  $\Delta t$
- Does not measure  $E(t)$  or even  $I(t)$
- Autocorrelations are not unique  $\Rightarrow$  multiple  $E(t)$  will produce the same autocorrelation.
- Cannot not  $dI(t)$  or  $dI(\tau)$

# Comparison of Ultrashort Pulse Functional Forms for the electric field: Gaussian and Sech

Brian Washburn version 1 9/21/07

```
Off[General::spell];
<< Graphics`Graphics`
```

I wish to plot a  $\text{sech}^2$  pulse and a Gaussian pulse with the same intensity full width at half maximum and peak power.

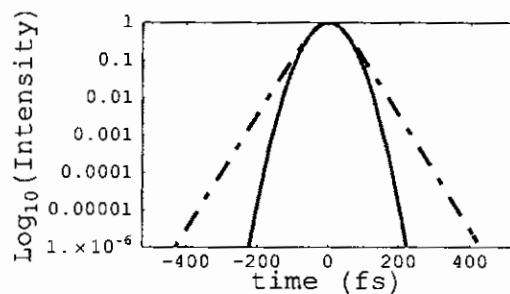
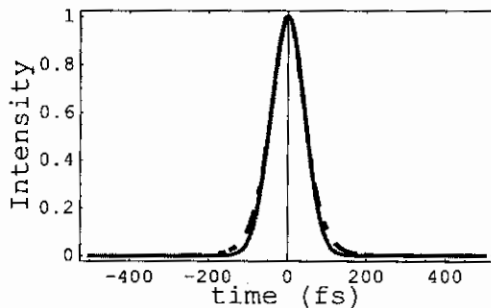
```
 $\Delta t = 100;$   $P_0 = 1;$ 
```

```
 $eg[t_] = \sqrt{P_0} \text{Exp}\left[-2 \text{Log}[2] \left(\frac{t}{\Delta t}\right)^2\right];$   $es[t_] = \sqrt{P_0} \text{Sech}\left[2 \text{ArcSech}\left[\sqrt{0.5}\right] \frac{t}{\Delta t}\right];$   

 $Ig[t_] = eg[t] * \text{Conjugate}[eg[t]];$   $Is[t_] = es[t] * \text{Conjugate}[es[t]];$ 
```

Here I plot both pulse shapes. The Gaussian is the solid line and the  $\text{sech}^2$  is the dotted line. The hyperbolic secant pulse has wider wings, which is quite pronounced on the Log plot.

```
p1 = Plot[{Ig[t], Is[t]}, {t, -500, 500},  
  Frame -> True, PlotRange -> {All, All}, FrameLabel ->  
    {StyleForm["time (fs)", FontSize -> 14], StyleForm["Intensity", FontSize -> 14]},  
  PlotStyle -> {{RGBColor[1, 0, 0], Thickness[0.01]}, {RGBColor[0, 0, 1],  
    Dashing[{0.01, 0.05, 0.05, 0.05}], Thickness[0.01]}}, DisplayFunction -> Identity];  
p2 = LogPlot[{Ig[t], Is[t]}, {t, -500, 500}, Frame -> True, PlotRange -> {All, {10-6, 1}},  
  FrameLabel -> {StyleForm["time (fs)", FontSize -> 14],  
    StyleForm["Log10(Intensity)", FontSize -> 14]},  
  PlotStyle -> {{RGBColor[1, 0, 0], Thickness[0.01]}, {RGBColor[0, 0, 1],  
    Dashing[{0.01, 0.05, 0.05, 0.05}], Thickness[0.01]}}, DisplayFunction -> Identity];  
Show[GraphicsArray[{p1, p2}]];
```



Lecture 10

AC width

$$\Delta t_{AC} = 100 \text{ fs}$$

Assume  $\text{sech}^2$  0.6482

$$(100 \text{ fs}) 0.6482 = \frac{100 \text{ fs}}{1.543} = 64.8 \text{ fs}$$

Assume Gaussian 0.7071

$$100 \text{ fs} (0.7071) = \frac{100 \text{ fs}}{\sqrt{2}} = 70.71 \text{ fs}$$

If actually Gaussian

$$\frac{|64.8 - 70.71|}{70.71} \times 100\% = \boxed{8.4\%}$$

~~AC (Gaussian)~~ ~~Gaussian~~

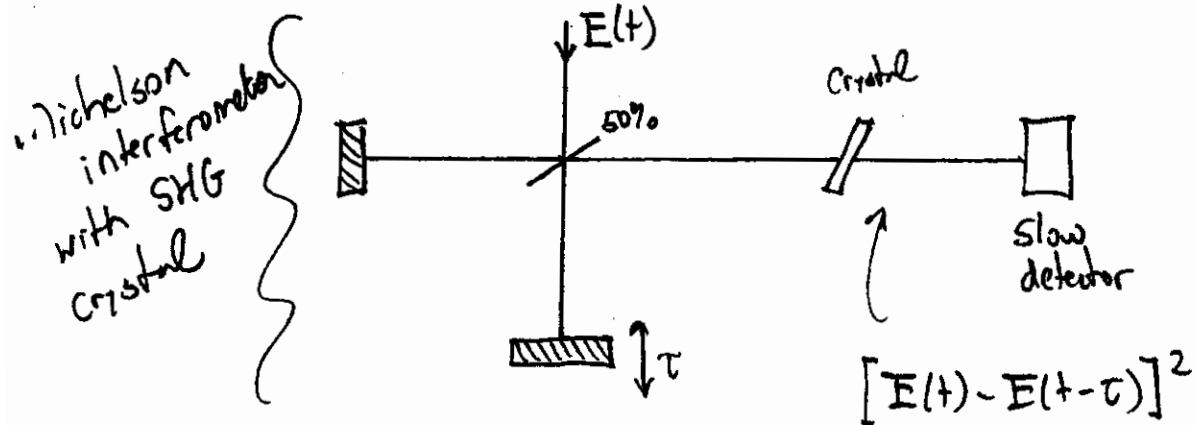
$$AC \left( \text{sech}^2\left(\frac{t}{T}\right) \right) \rightarrow \frac{3}{\sinh^2(1/T)} \left( \frac{1}{T} \coth \frac{1}{T} - 1 \right)$$

$$AC \left( e^{-t^2/T^2} \right) = e^{-t^2/2T^2}$$

Look at Mathematics!!



## Another method: Interferometric Autocorrelator



$$I_{IAC}(\tau) = \int_{-\infty}^{\infty} |E(t) - E(t-\tau)|^2 dt$$

Notice the difference from the Michelson with + ~~and~~ without the SHG crystal

without  $I_m(\tau) \sim \int_{-\infty}^{\infty} |E(t) - E(t-\tau)|^2 dt$

without  $I_{IAC}(\tau) \sim \int_{-\infty}^{\infty} |E(t) - E(t-\tau)|^2 dt$

$$I_{IAC}(\tau) \equiv \int_{-\infty}^{\infty} |E^2(t) + E^2(t-\tau) - 2E(t)E(t-\tau)|^2 dt$$

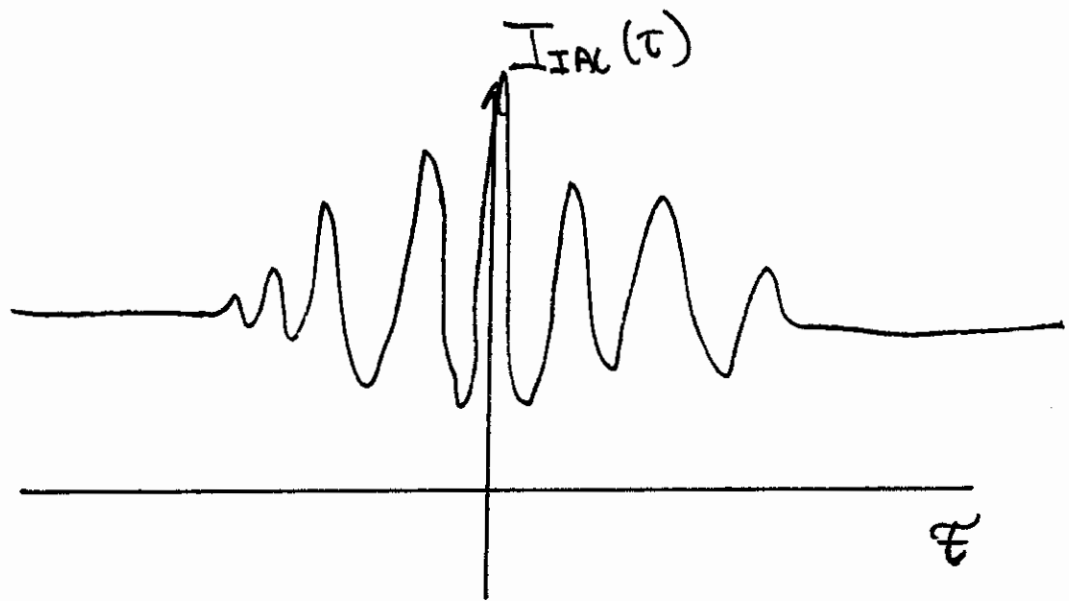
Expand this

$$I_{IAC}(\tau) = \int_{-\infty}^{\infty} (I(t) + I(t-\tau)) dt \quad (\text{Constant})$$

$$+ 4 \int_{-\infty}^{\infty} I(t) I(t-\tau) dt \quad (\text{Intensity AC})$$

$$+ 2 \int_{-\infty}^{\infty} [I(t) + I(t-\tau)] E(t) E^*(t-\tau) dt + c.c. \quad \left( \begin{array}{l} \text{Sum of intensities} \\ \text{weighted interference} \\ (\omega_0) \end{array} \right)$$

$$+ \int_{-\infty}^{\infty} E^2(t) E^{2*}(t-\tau) dt + c.c. \quad \left( \begin{array}{l} \text{Interferogram of second} \\ \text{harmonic} \\ 2\omega_0 \end{array} \right)$$



Advantages :

- Measure pulse duration.
- Qualitative test of phase modulation, Quantitative for linear chirp
- Method to get complete  $E(t)$  using pulse spectrum (RICKS) (RICKS)

# Lecture 16 More Applications of SHG : FROG

Frequency Resolved Optical Gating

Pulse characterization

What do we wish to measure?

Intensity + Phase

$I(t)$

$\phi(t)$

$I(\omega)$

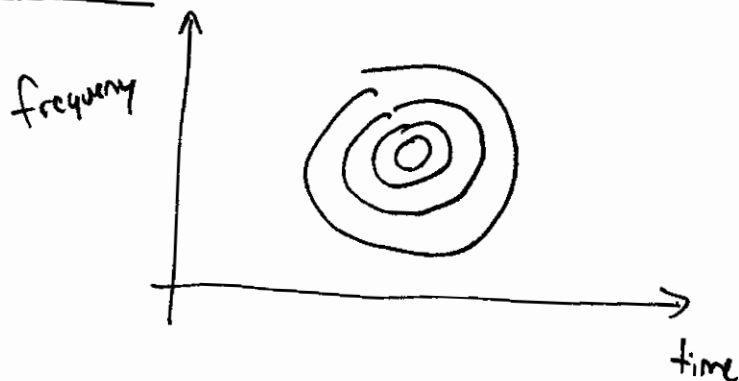
$\phi(\omega)$

Intensity AC does not provide this information

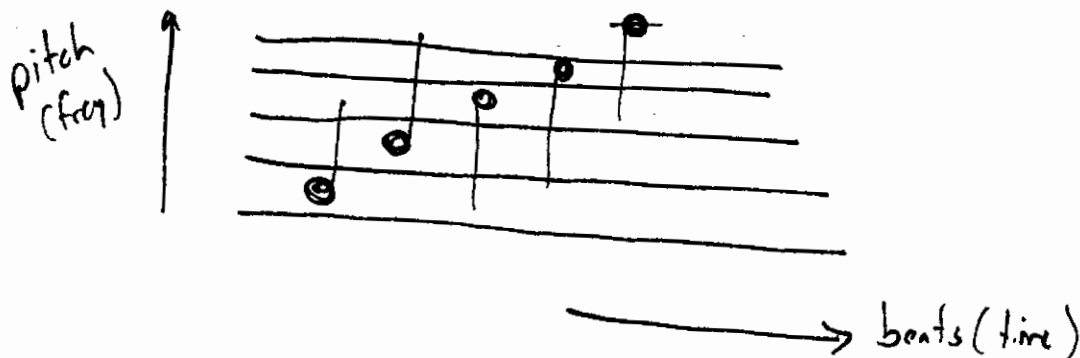
$$I_{AC}(\tau) \sim \int I(t) I(t-\tau) dt$$

Not enough data here to provide intensity + phase. Can we somehow get more data?

Time Frequency Domain



Like a musical score



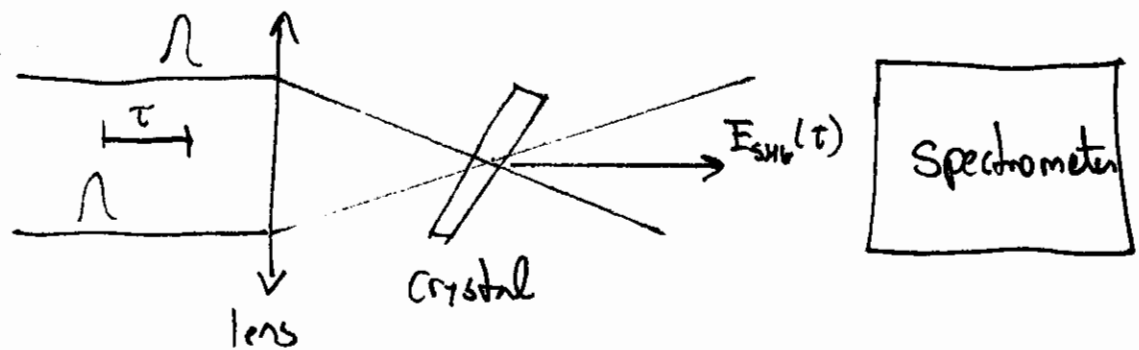
We can set up an experiment to measure the Spectrogram or time-frequency representation of the pulse.

$$I_{\text{FROG}}^{\text{SHG}}(\omega, \tau) = \left| \int_{-\infty}^{\infty} E(t) E(t-\tau) e^{-i\omega t} dt \right|^2$$

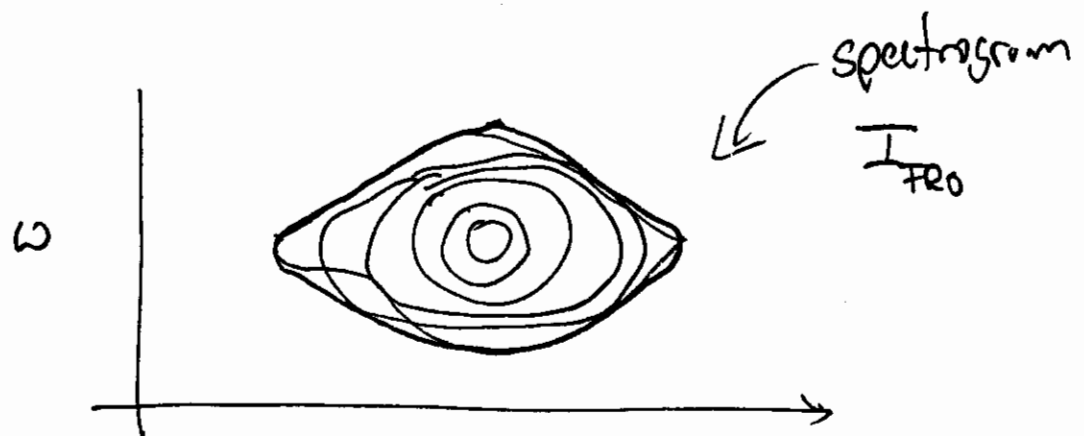
↙
↖

SHG FROG TRACE Form. of nonlinearity

How to do this? Use our intensity autocorrelator . . . .

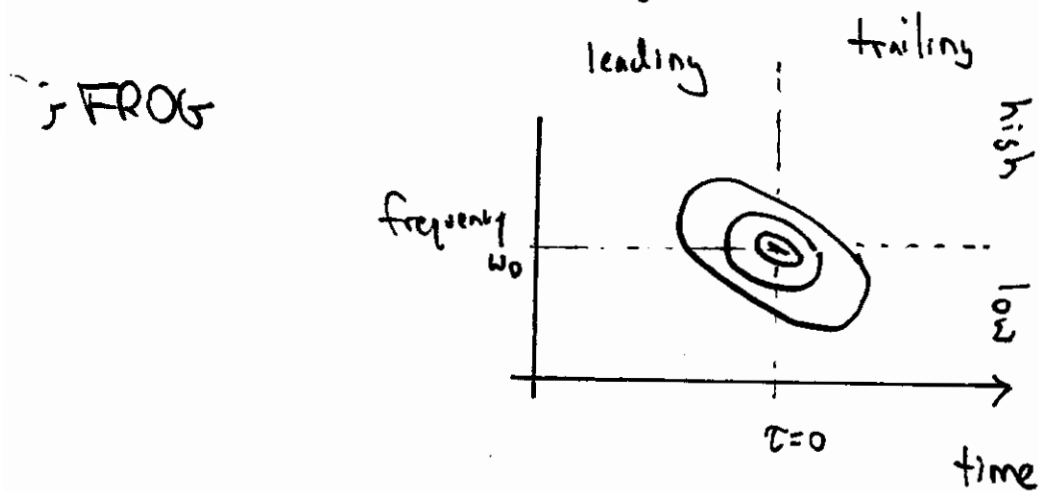


Here we spectrally resolve the SHG as a function of  $\tau$



Spectrally resolved intensity autocorrelation.

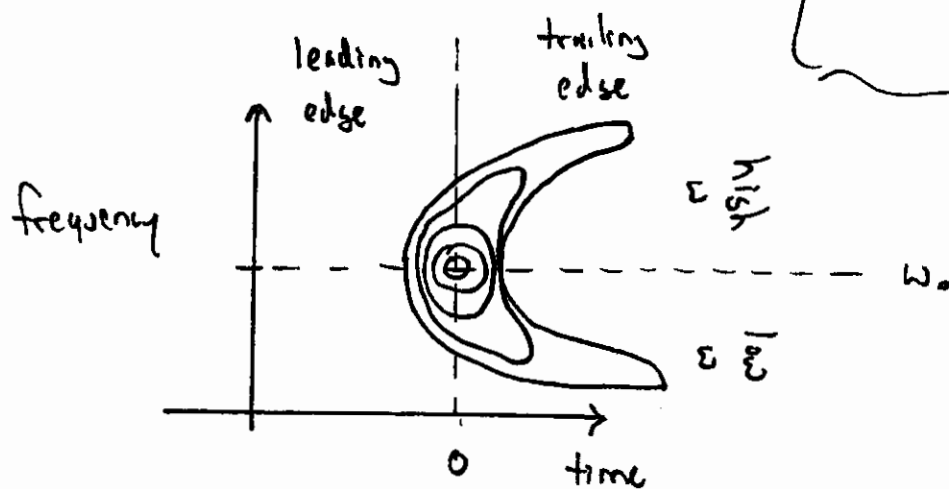
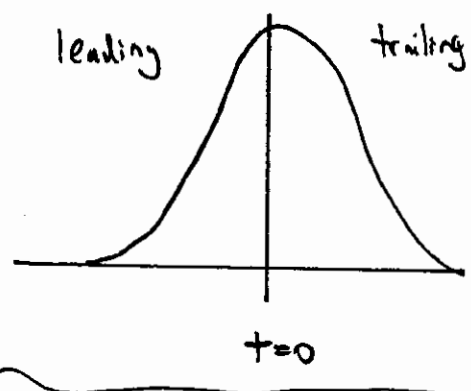
FROG traces are very intuitive



This spectrogram tells me that the higher frequency components of a pulse arrive before the lower frequency components

$\Rightarrow$  negative chirp

Aside: Pulse in time



This shape tells me that the center frequency arrives 1st  
the the ~~very~~ high & low

Except! SHG spectrograms are always symmetric  
about  $\tau + \omega$ !!

Not intuitive!!

# FROG Algorithm : 2D Phase retrieval

An iterative method to find Intensity + phase.

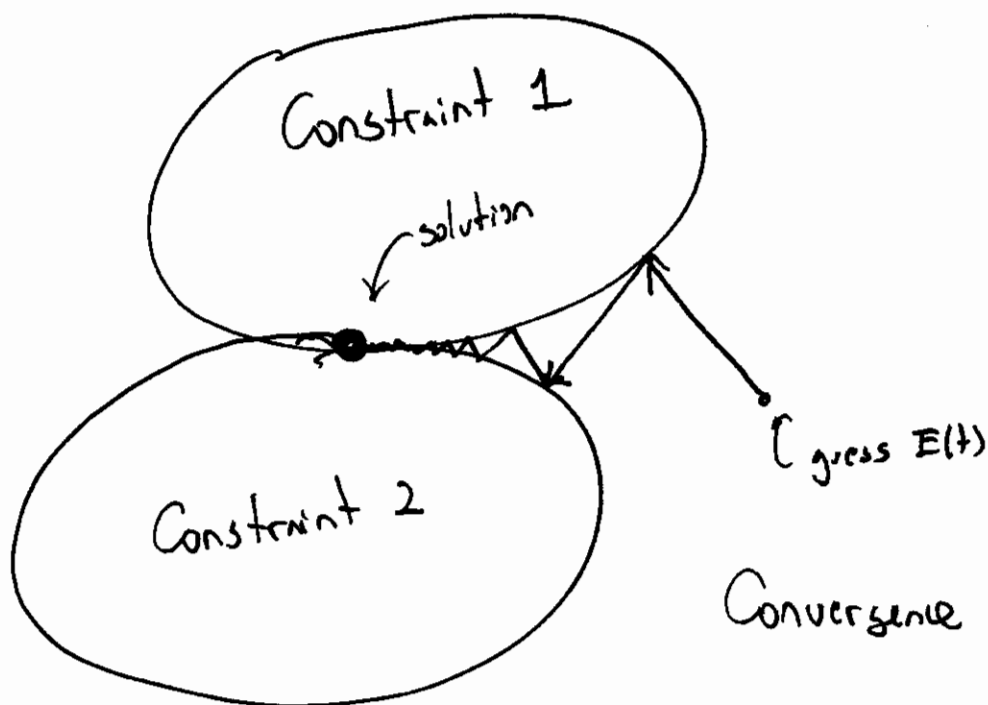
Solution must satisfy two constraints:

Constraint 1 : Set of  $E(t)$  that satisfy

$$I_{sig}(t, \tau) \sim E(t) E(t-\tau)$$

Constraint 2 : Set of  $E(t)$  that satisfy

$$I_{FROG}(\omega, \omega) = \left| \int E_{sig}(t, \tau) \exp(-i\omega t) dt \right|^2$$



Convergence is not guaranteed.

SHG FROG Experimentally is a spectrally resolved intensity autocorrelation.

In general FROG can be used with other nonlinearities.

$$I_{\text{FROG}}(\tau, \omega) = \left| \int E_{\text{sig}}(t, \tau) \exp(-i\omega t) dt \right|^2$$

$$\overline{I_{\text{sig}}}(t, \tau) \sim \begin{cases} E(t) |E(t-\tau)|^2 & \text{polarization gate} \\ E^2(t) E^*(t-\tau) & \text{self diffraction} \\ E(t) E(t-\tau) & \text{SHG} \\ E^2(t) E(t-\tau) & \text{Third harmonic generation} \end{cases}$$

FROG consists of two parts

- 1) Measurement apparatus
- 2) 2D Phase retrieval algorithm  
(FROG Algorithm)



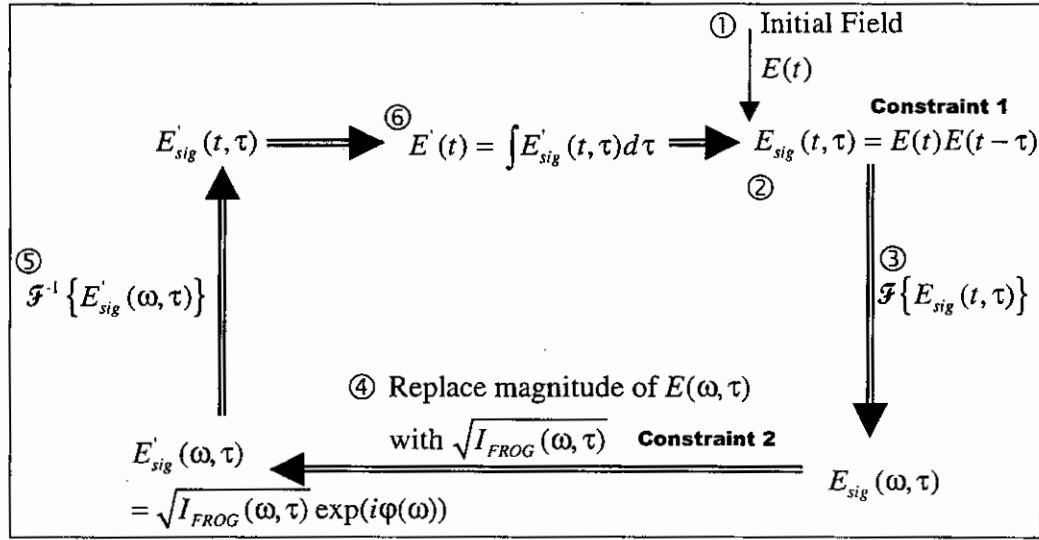


Figure 2.15 The FROG algorithm with generalized projections.

The steps of the FROG algorithm are:

- ① First, an initial guess electric field,  $E(t)$ , is generated, typically intensity noise or Gaussian profile.
- ② The quantity  $E_{sig}(t, \tau)$  is calculated by Eq. (2.15), applying Constraint #1.
- ③ The quantity  $E_{sig}(\omega, \tau)$  is determined using the 1D Fourier transform with respect to  $t$ .
- ④ In the frequency domain, the magnitude of  $E_{sig}(\omega, \tau)$  is replaced by the experimental spectrogram  $\sqrt{I_{FROG}(\omega, \tau)}$  while the phase is kept the same, applying Constraint #2.
- ⑤ The 1D inverse Fourier transform is performed to obtain  $E'_{sig}(t, \tau)$ .
- ⑥ Finally, a new  $E'(t)$  is calculated from  $E'_{sig}(t, \tau)$ .

The new field  $E'(t)$  is used as the new input to step ② and the process repeats. At the

$k^{\text{th}}$  iteration the FROG error  $G$  is calculated by

$$G = \sqrt{\frac{1}{N^2} \sum_{i=1}^N \sum_{j=1}^N \left[ I_{FROG}^{(k)}(\omega_i, \tau_j) - I_{FROG}(\omega_i, \tau_j) \right]^2}. \quad (2.37)$$

## FROG Advantages

- Provides information on intensity & phase (if you trust the algorithm)
- Has "self-checks" built in  
temporal & frequency marginals

## FROG Disadvantages

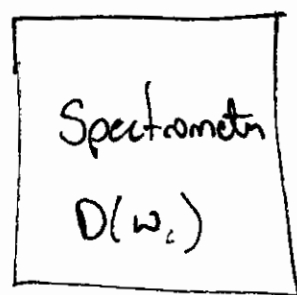
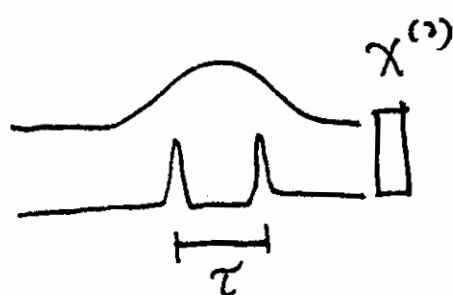
- A bit of a complicated experiment
- Algorithm a "black box"
- Susceptible to systematic errors  
crystal thickness, misalignment, etc.
- SHG FROG does not give the sign of phase distortion.

## Other pulse measurement techniques

### Spectral phase interferometry for direct electric field reconstruction (SPIDER)

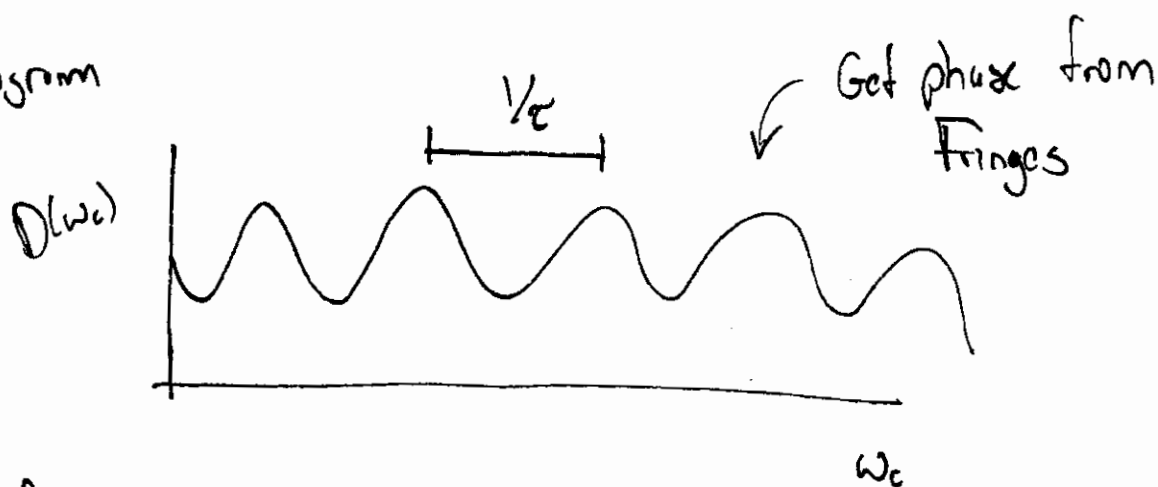
Idea:

Two replicas of the pulse are mixed with a highly chirped pulse in a nonlinear crystal



$$D(\omega_c) = |E_{\text{chirped}}(\omega_c) + E_{\text{signal}}(\omega_c)|^2$$

Get interferogram



Spectral interferometry + mixing in a nonlinear crystal

# Lecture 17

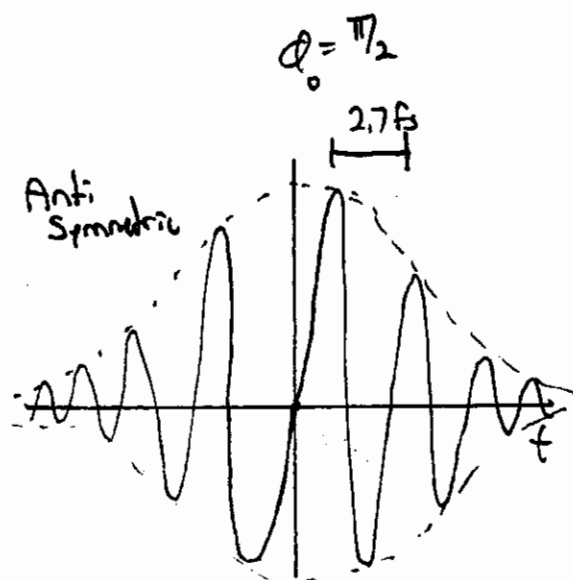
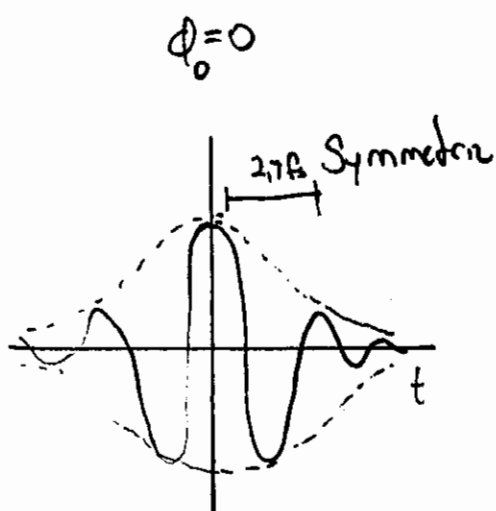
## Carrier Envelope Phase

⇒ The Frequency Comb

Consider the "real" electric field of a pulse

$$E(t) = E_0 \operatorname{sech}(t/\Delta t) \cos(\omega_0 t + \phi_0)$$

absolute phase

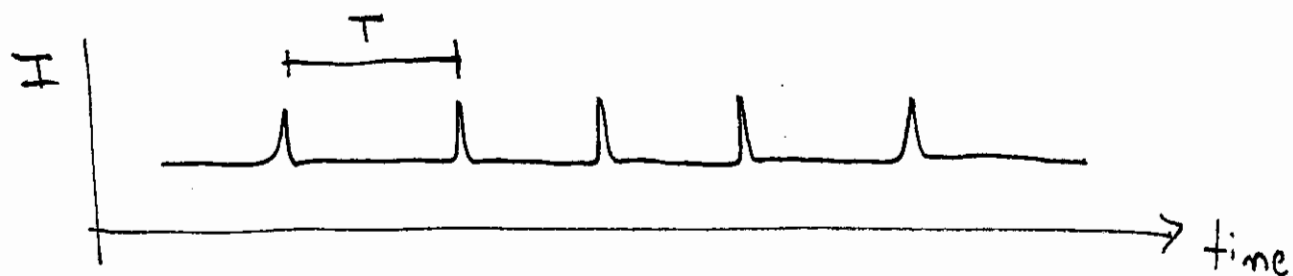


For a 800 nm the period of a single cycle is 2.7 fs

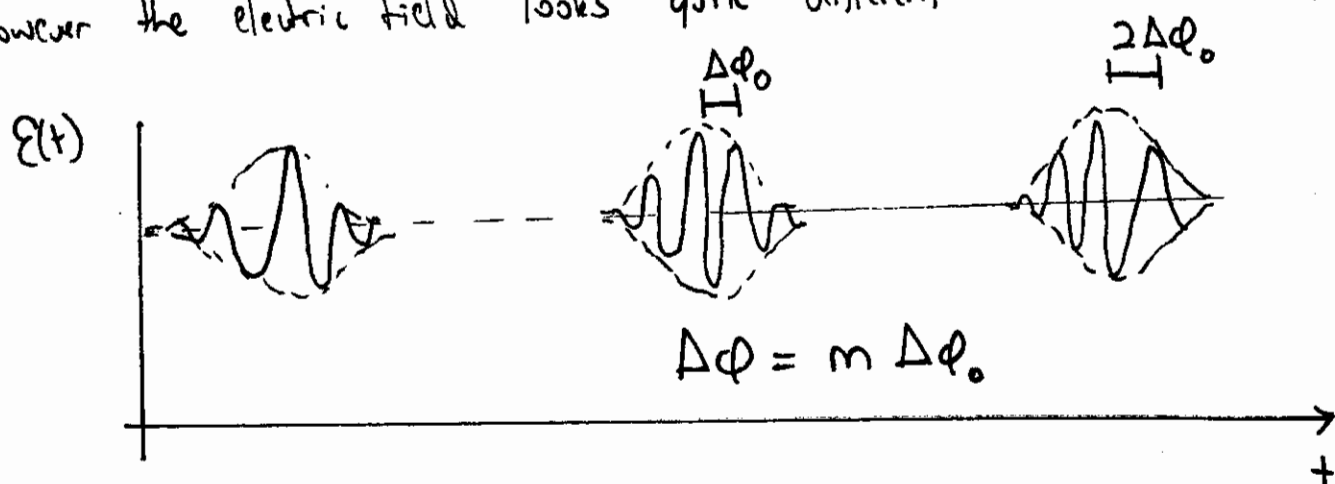
Perhaps we wish to control the absolute phase  $\phi_0$  of a pulse.

But we never have just one pulse. A mode locked laser  
gives many pulses, millions per second!!

We have a pulse train



However the electric field looks quite different



In the laser cavity the group & phase velocities are not the same and not constants over time.

$$\Delta\phi_0 = \left( \frac{1}{v_g} - \frac{1}{v_p} \right) L \omega_0$$

"National Ignition  
Facility  
1.8 MJ  
'3 times a day"

$\Rightarrow$  But  $v_g(t) + v_p(t)$  due to  
cavity fluctuations

Each successive pulse will pick up an extra  $\Delta\phi$  even if  
there are no cavity fluctuations.

# Simple derivation of Comb

Pulse train

$$E(t) = \epsilon_0 \text{sech}(t/\Delta t) e^{i(\omega_0 t + \phi)} \otimes \text{III}(t - T)$$

↑  
Shah function

$$E(t) = \epsilon_0 \text{sech}(t/\Delta t) e^{i(\omega_0 t + \phi)} \otimes \sum_{m=-\infty}^{\infty} \delta(t - m/F)$$

Shah  $\text{III}(t - T) = \sum_{m=-\infty}^{\infty} \delta(t - \frac{m}{F}) \quad F = \frac{1}{T}$

$$\begin{aligned} \mathcal{F}\{E(t)\} &= \mathcal{F}\left\{ \epsilon_0 \text{sech}(t/\Delta t) e^{i(\omega_0 t + \phi)} \otimes \text{III}(t - \frac{m}{F}) \right\} \\ &= \mathcal{F}\left\{ \epsilon_0 \text{sech}(t/\Delta t) e^{i(\omega_0 t + \phi)} \right\} \text{III}(f - mF) \\ &= e^{i\phi} \epsilon_0 \text{sech}\left(\frac{\omega - \omega_0}{\Delta \omega}\right) \text{III}(f - mF) \end{aligned}$$

Spectrum \* Comb

$$E(\omega) = e^{i\phi} \epsilon_0 \text{sech}\left(\frac{\omega - \omega_0}{\Delta \omega}\right) \sum_{m=-\infty}^{\infty} \delta(f - mF)$$

For more complete need to use

$$\sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(i m \Delta \phi_0)$$

$$E(t) = \underbrace{\epsilon_0 \text{sech}(t/\Delta t)}_{e^{-i\phi(t)}} e^{-i(\omega_0 t + \phi)} \otimes \sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(i m \Delta \phi_0)$$

## Derivation of Frequency Comb From a pulse Train

Consider a pulsed electric field in the time domain, ( $\text{sec}^2$ )

$$E(t) = E_0 \text{sech}(\gamma t / \Delta t) \exp(-i\phi(t)) \exp(-i(\omega_0 t + \phi_0)) \quad (1)$$

$$\otimes \sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(-im\Delta\phi_0)$$

Where  $\Delta t \equiv \text{FWHM}$   
 $\omega_0 \equiv \text{carrier frequency}$   
 $\phi_0 \equiv \text{Absolute phase of 1st pulse}$

$\Delta\phi_0 \equiv \text{CEO phase}$   $\gamma \approx 1.763$   
 $F \equiv \text{Rep. Rate}$   
 $\phi(t) \equiv \text{Temporal phase}$

$\otimes \equiv \text{convolution}$

$\delta() \equiv \text{Delta function}$

Fourier Transform this result

$$\mathcal{F}\{E(t)\} =$$

$$\mathcal{F}\left\{ E_0 \text{sech}(\gamma t / \Delta t) \exp(-i\phi(t)) \exp(-i(\omega_0 t + \phi_0)) \otimes \sum \delta(t - m/F) \exp(-im\Delta\phi_0) \right\}$$

Use convolution th<sup>m</sup>

$$\mathcal{F}\{E(t)\} = \mathcal{F}\left\{ E_0 \text{sech}(\gamma t / \Delta t) \exp(-i\phi(t)) \exp(-i(\omega_0 t + \phi_0)) \right\} \times \mathcal{F}\left\{ \sum \delta(t - m/F) \exp(-im\Delta\phi_0) \right\} \quad (2)$$

To compute both FT, the shift th<sup>m</sup> will be used multiple times

$$\mathcal{F}\{f(t-a)\} = \exp(i\omega a) f(\omega) \quad \left| \text{Shift} \right.$$

$$\textcircled{OR} \mathcal{F}\{f(t) \exp(iat)\} = f(\omega-a) \quad \left| \text{Modulation} \right.$$

(the converse th<sup>m</sup> is also called the modulation th<sup>m</sup>)

Derive the modulation thm  $\left\{ \begin{array}{l} \text{A phase shift in the time} \\ \text{domain is a shift in absolute} \\ \text{frequency in the frequency domain.} \end{array} \right.$

$$\begin{aligned} \mathcal{F}\{f(t) \exp(+iat)\} &= \int -f(t) \exp(+iat) \exp(-i\omega t) dt \\ &= \int f(t) \exp(-i(\omega-a)t) dt \\ &= f(\omega-a) \end{aligned}$$

2. the modulation thm on the 1st term of Eq 2.

$$\begin{aligned} \mathcal{F}\{E_0 \operatorname{sech}(\gamma t/\Delta t) \exp(-i\phi(t)) \exp(-i\omega_0 t - i\phi_0)\} \\ = \exp(-i\phi_0) \mathcal{F}\{\overbrace{E_0 \operatorname{sech}(\gamma t/\Delta t) \exp(i\phi(t))}^{\text{complex envelope}} \overbrace{\exp(-i\omega_0 t)}^{\text{carrier}}\} \\ = \boxed{\exp(-i\phi_0) E(\omega - \omega_0)} \quad \textcircled{67} \quad \boxed{\exp(i\phi_0) \operatorname{sech}((\omega - \omega_0)/\Delta\omega) \exp(i\phi(\omega))} \quad (3) \end{aligned}$$

where  $E(\omega - \omega_0)$  is the spectral representation of the electric field centered at  $\omega_0$ .

$$\text{i.e. } E(\omega - \omega_0) = \sqrt{I(\omega - \omega_0)} \exp(i\phi(\omega))$$

$\uparrow$  spectrum                       $\uparrow$  spectral phase

3. Modulation thm on the 2nd term of Eq 2.

$$\mathcal{F}\left\{\sum_n \delta(t - n/F) \exp(-im\Delta\phi_0)\right\}$$

The FT of a comb function is another comb function, with different separation

$$\mathcal{F}\left\{\sum_n \delta(t - n/F)\right\} = \sum_j \delta(\nu - jF) = \sum_j \delta(\omega - j2\pi F)$$



Using the modulation theorem, the exponential term will shift the comb by  $\Delta\phi_0/2\pi$ , thus

$$\mathcal{F}\left\{\sum_n S(t - n/F)\right\}$$

$$\mathcal{F}\left\{\sum_n S(t - n/F) \exp(-in\Delta\phi_0)\right\}$$

$$= \sum_j \delta(\omega - j2\pi F + \Delta\phi_0/2\pi)$$

$$= \boxed{\sum_j \delta(\omega - j2\pi F + \delta_0)} \quad (4)$$

$\uparrow$   
 CEO frequency

Combining (3) & (4)

$$A(\omega) = \exp(i\phi(\omega)) \mathcal{F}\left\{E_0 \text{sech}\right\}$$

$$A(\omega) = E_0 \text{sech}\left(\gamma \frac{(\omega - \omega_0)}{\Delta\omega}\right) \exp(i\phi(\omega))$$

$$\boxed{E(\omega) = E_0 \text{sech}\left(\gamma \frac{(\omega - \omega_0)}{\Delta\omega}\right) \exp(i\phi(\omega)) \left[\sum_j \delta(\omega - j2\pi F + \delta_0)\right] \exp(i\phi_0)}$$

$\Delta\omega \equiv$  spectral FWHM,  $\delta_0 \equiv$  CEO frequency

$\phi(\omega) \equiv$  spectral phase,  $E_0 \equiv$  spectral magnitude.

The goal is to make every pulse in the pulse train to look identical  $\Rightarrow$  Same form of  $E(t)$ .

Why?  $\Rightarrow$  Some experiments with ( $< 20$  fs pulses)  
 @ 800 nm  
 Short pulses depend on the peak time of the peak of  $E(t)$ .

How to write the electric field of a pulse train?

$$E(t) = \left[ E_0 \operatorname{sech}(t/\Delta t) \exp(-i\phi(t)) \exp(-i(\omega_0 t + \phi_0)) \right] \otimes \sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(-i m \Delta \phi_0) \Big] \operatorname{sq}(T_w)$$

where  $F \equiv \text{freq rate} = 1/T$   $\gamma \approx 2 \operatorname{sech}^{-1} \sqrt{2} \approx 1.763$   
 $\omega_0 \equiv \text{carrier frequency}$   
 $\otimes \equiv \text{convolution}$   $\delta \equiv \text{delta function}$   
 $\operatorname{sq}() = \text{unit square function}$   $T_w \equiv \text{time the laser is on}$

The term

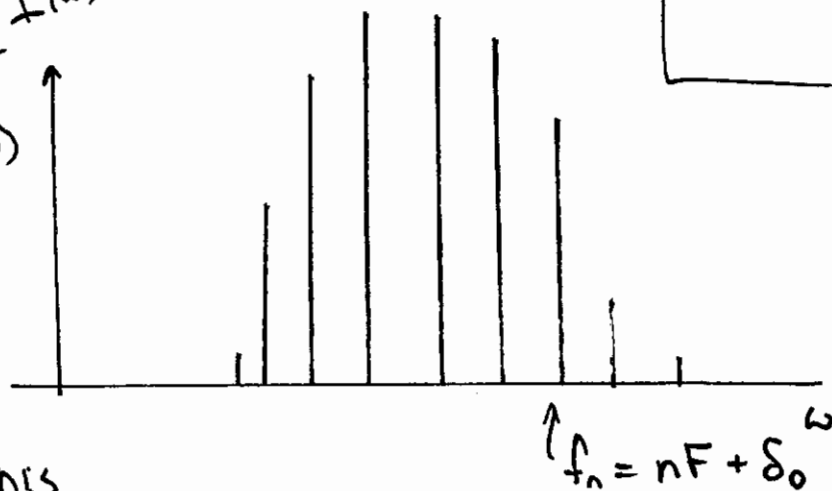
$$\sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(-i m \Delta \phi_0)$$

is an array of delta functions each with phase  $m \Delta \phi_0$ .

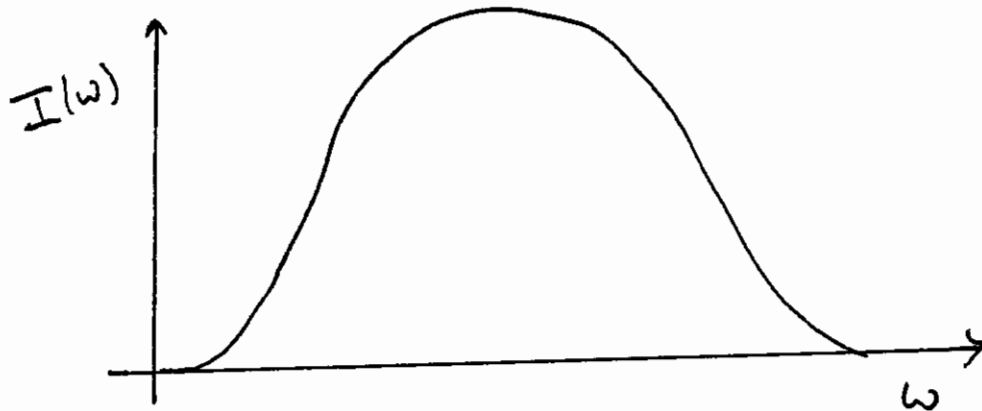
The end result is the Fourier transform is the frequency comb.

Spectrum  $I(\omega)$

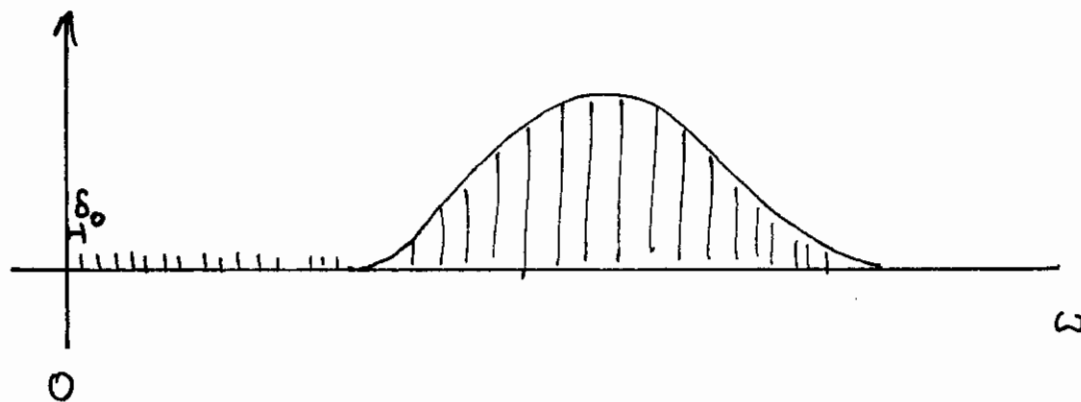
$I(\omega)$



not this



the non zero  $\Delta\phi$  lets the extended comb not to fall at  $\omega=0$



The rate of ~~change~~ change of  $\Delta\phi$  depends on  $\delta_0$ .

If  $\delta_0 = 0$  then  $\Delta\phi = 0$

To get every pulse in the train to have the same electric field we need to detect + fix  $\delta_0$

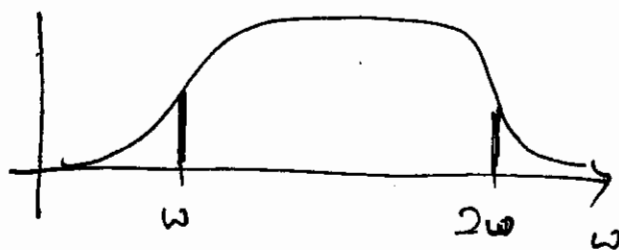
$$\boxed{\delta_0 = \frac{1}{2\pi} F \Delta\phi} \quad (\delta_0, f_0, f_{\text{ref}})$$

To do thing correct we need to detect + fix  $F$  as well.

How to detect  $F$ ?  $\Rightarrow$  Easy, fast photodiode

How to detect  $\delta_0 \Rightarrow$  Hard, use SHG with an octave spanning bandwidth.

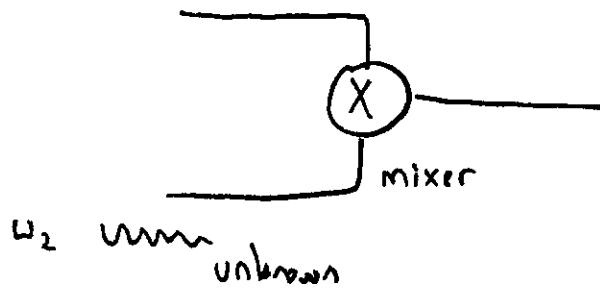
Octave spanning  $\Rightarrow$  spectrum covers  $\omega_0 + 2\omega_0$



Use SHG generation of  $\omega$  and beat against  $2\omega$  portion of the spectrum.

Heterodyne detection : A method to measure a frequency with an ~~unknown~~ known frequency.

$\omega_1$  Known

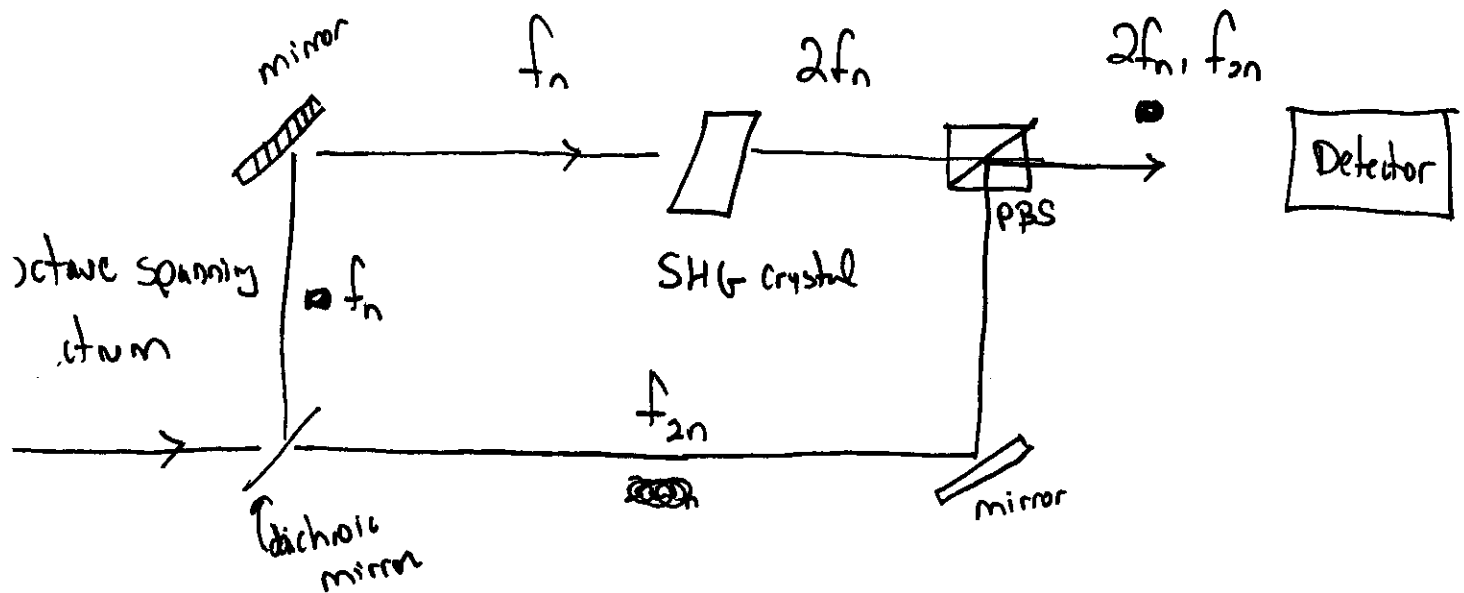


$\omega_1 + \omega_2$

$\omega_1 - \omega_2$

↑ measure this

Beat notes



②

$$f_n = nF + \delta_0$$

Thru SHG  
we get

$$2f_n = 2nF + 2\delta_0$$

But

$$f_{2n} = 2nF + \delta_0$$

We heterodyne  $2f_n + f_{2n}$

$$2f_n - f_{2n} = 2nF + 2\delta_0 - 2nF - \delta_0 = |\delta_0|!!$$

So we can detect both  $F + S_0$ . Can we control them?

Control  $F \Rightarrow$  Easy, just change the cavity length of the mode locked laser.

Control  $S_0 \Rightarrow$  Difficult, we need to change the group velocity independent of the phase velocity

$$\Delta\phi_0 = \left( \frac{1}{v_g} - \frac{1}{v_p} \right) L \omega_c$$

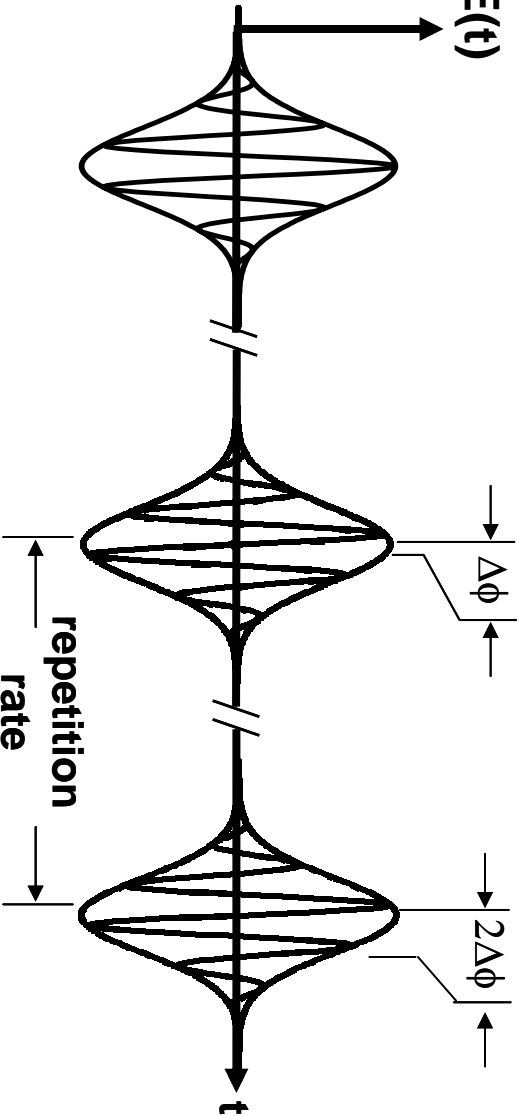
Many ways to do this

- 1) Pump power modulation
- 2) Mirror tilt in the Fourier plane of a prism pair

Note that the detection of  $S_0$  depends on this octave spanning spectrum. Typically lasers do not produce an octave spanning spectrum, we need to do something to the pulse to broaden its spectrum. Thus we need another nonlinear effect to produce the octave spanning spectrum. That nonlinear effect will be a third order nonlinearity.

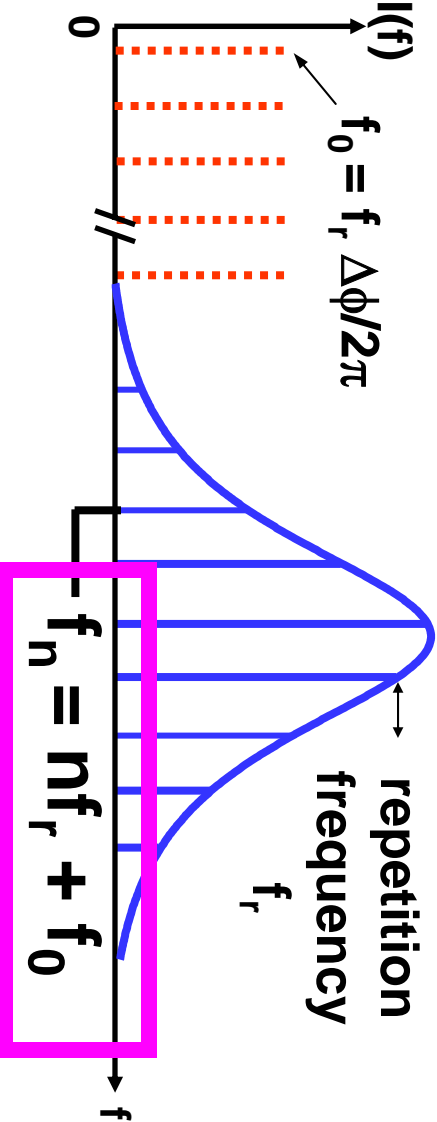
# The Frequency Comb

## Time domain (Pulses in time)



**Carrier-envelope phase slip from pulse to pulse because group and phase velocities differ**

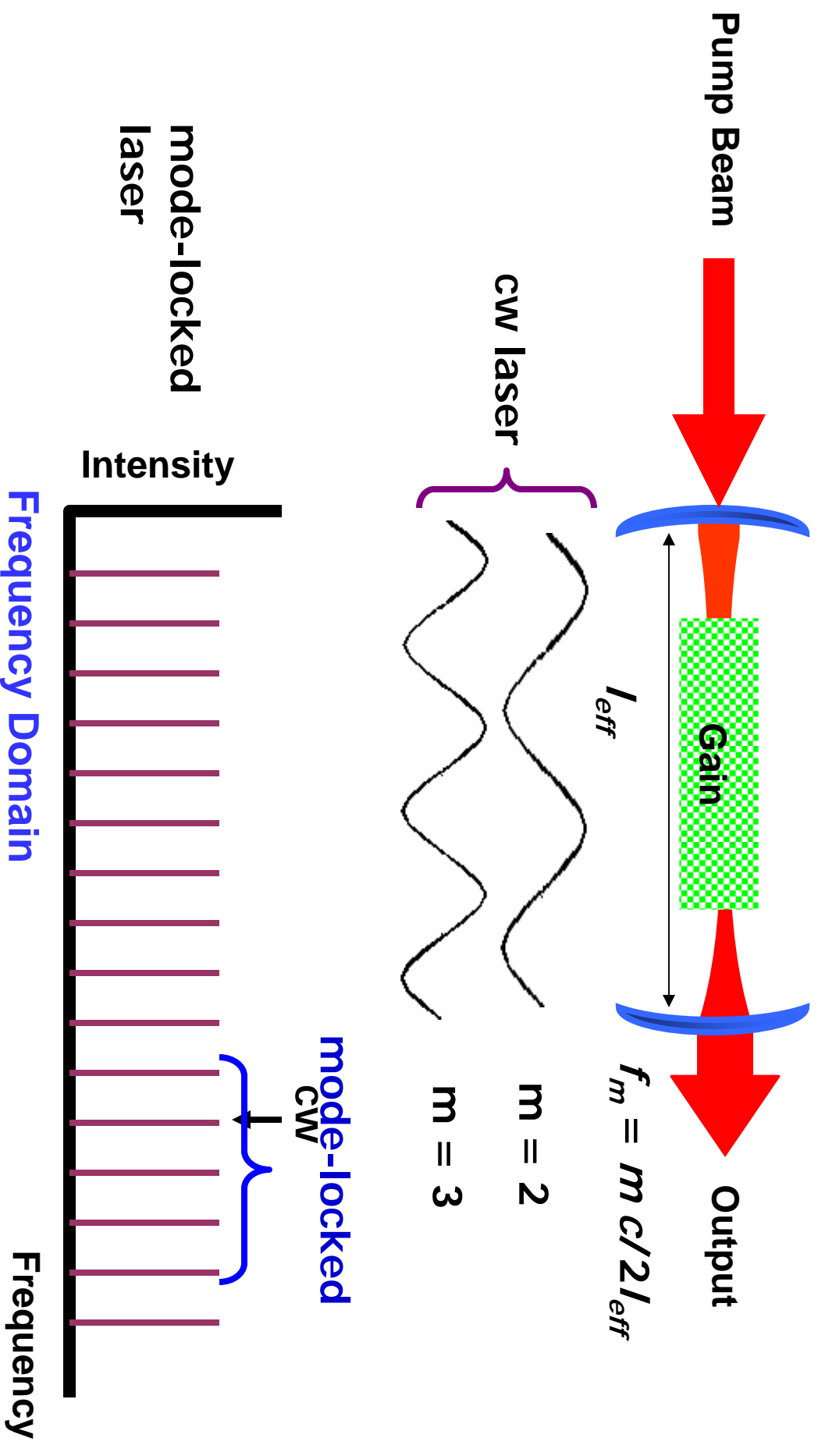
## Frequency domain (Comb of lines)



## Stable frequency comb if

- 1) Repetition rate ( $f_r$ ) locked
- 2) Offset frequency ( $f_o$ ) (phase slip) locked

# Pulsed lasers must give a frequency comb





# Comb-like nature of ultrafast lasers

1979- mode-locked (pulsed) dye laser used as comb  
– 500 ps pulses  $\sim 0.003$  nm,  $\sim 1$  GHz wide

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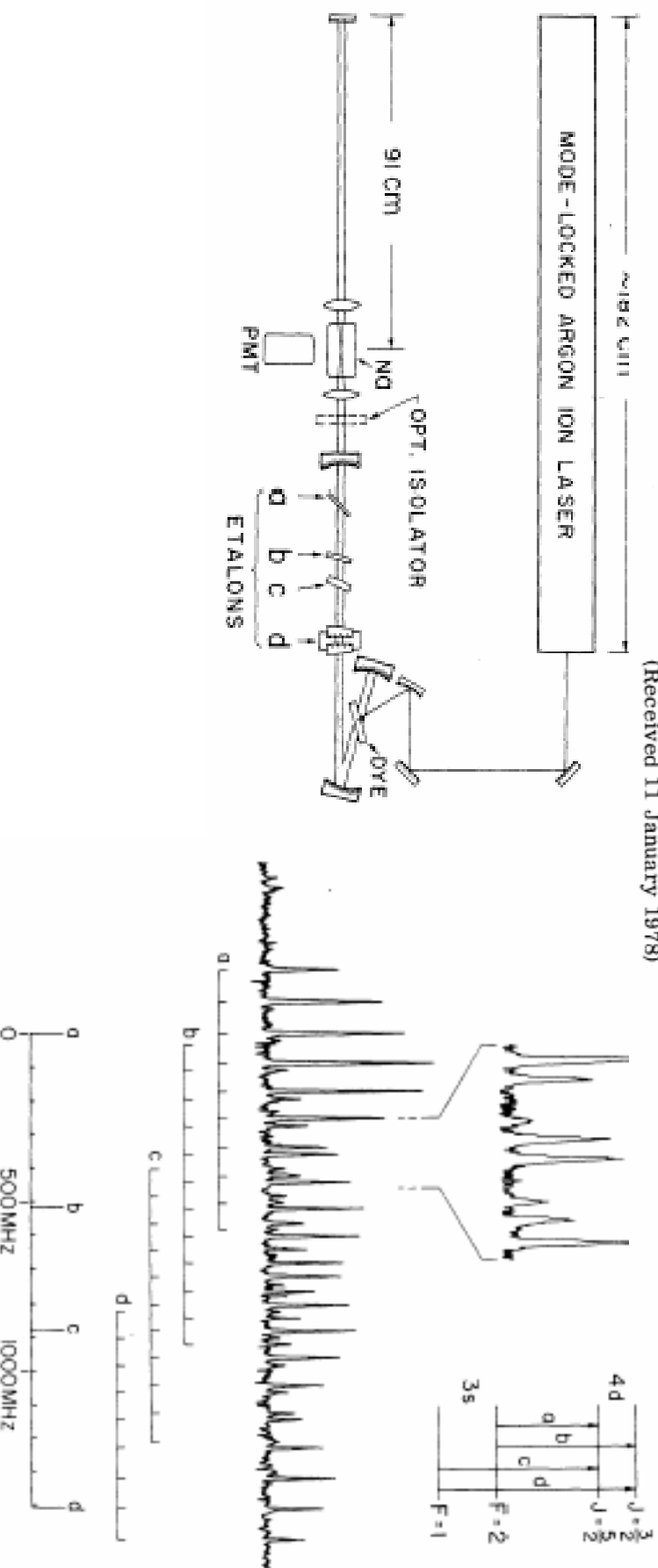
## High-Resolution Two-Photon Spectroscopy with Picosecond Light Pulses

Na

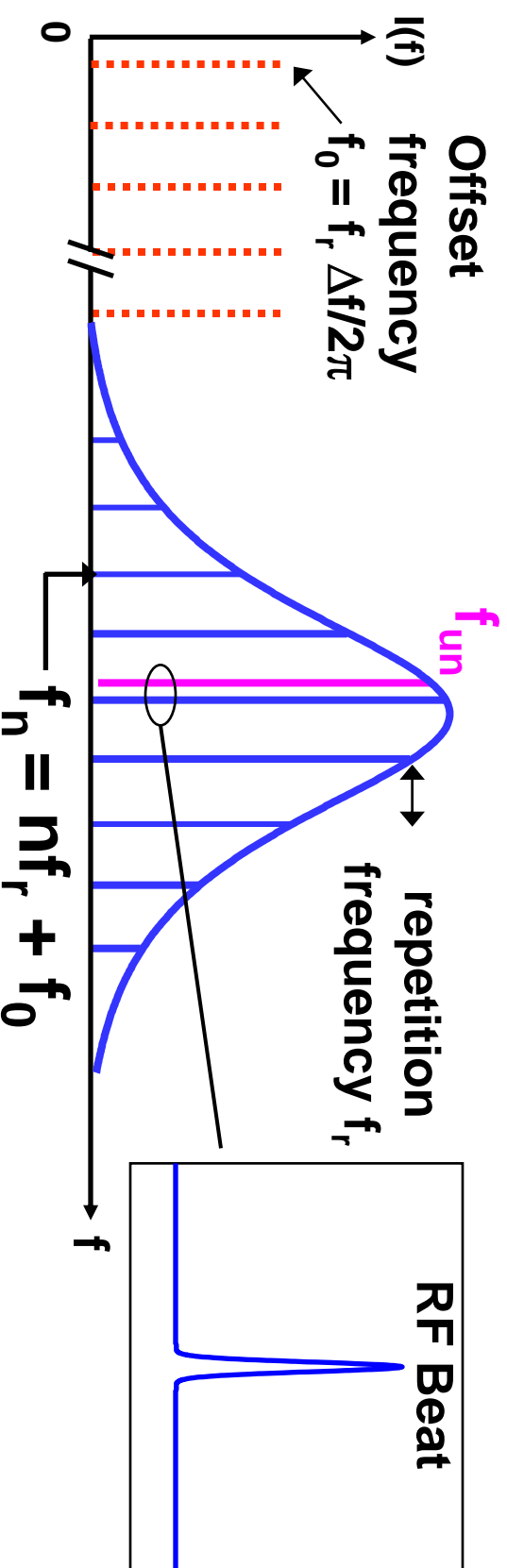
J. N. Eckstein, A. I. Ferguson, and T. W. Hänsch

*Department of Physics, Stanford University, Stanford, California 94305*

(Received 11 January 1978)



# Optical Frequency Metrology



## Frequency comb as a spectral ruler

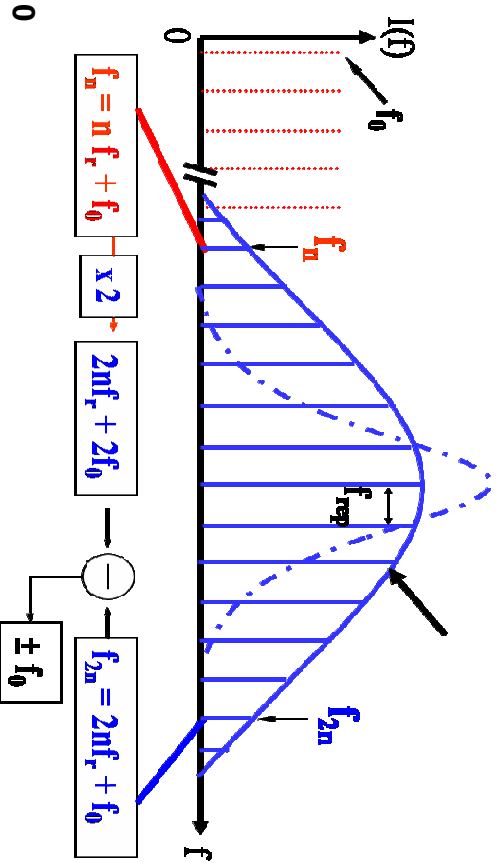
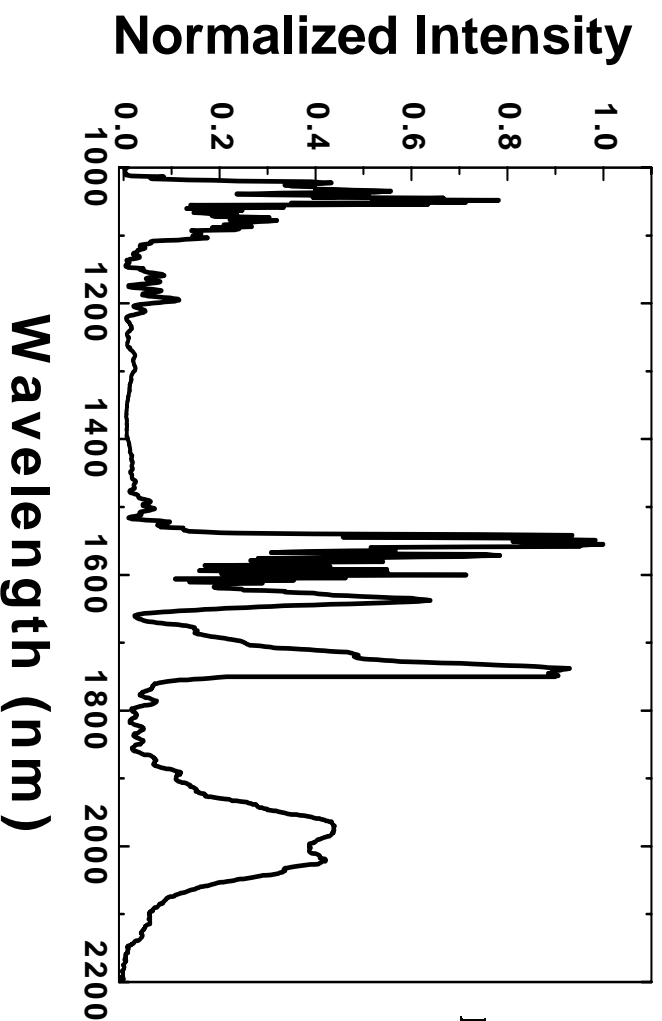
### References:

- Udem, Reichert, Holwartz, Hänsch, *Phys. Rev. Lett.*, vol. 82 (1999)
- Jones et al., *Science*, vol. 288 (2000)
- Udem, Holwartz, Hänsch, *Nature*, vol. 416 (2002)

# Supercontinuum generation for self referencing $f_0$

Supercontinuum spectrum

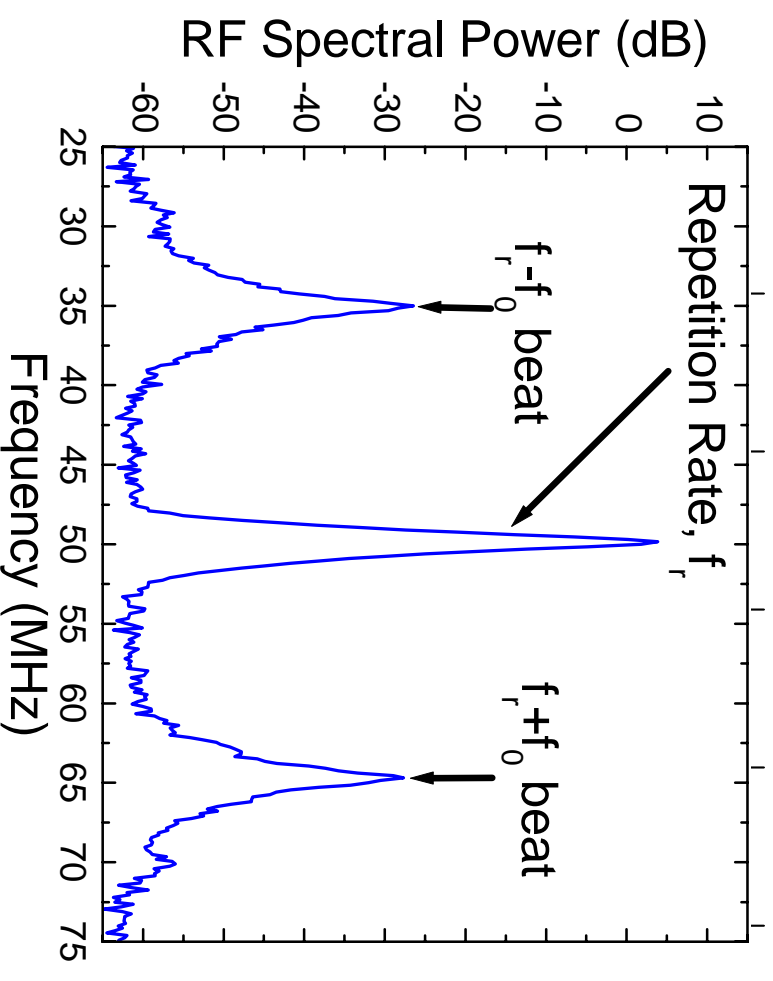
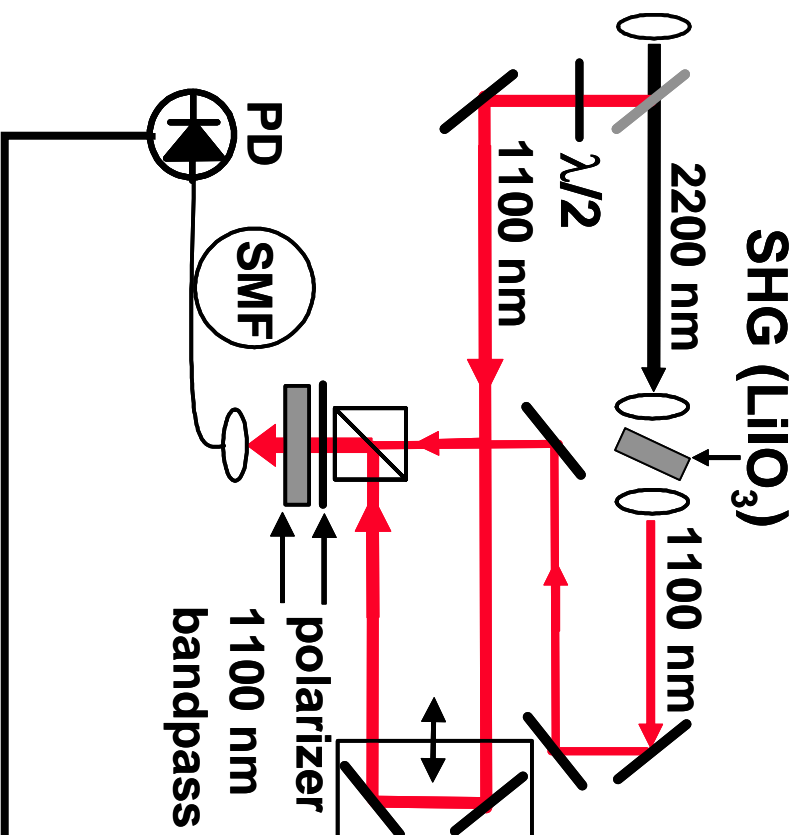
f-to-2f Interferometer



- D. J. Jones, S. A. Diddams, J. K. Ranka, A. Stentz, R. S. Windeler, J. L. Hall, and S. T. Cundiff, "Carrier-envelope phase control of femtosecond mode-locked lasers and direct optical frequency synthesis," Science 288, 635-9 (2000).

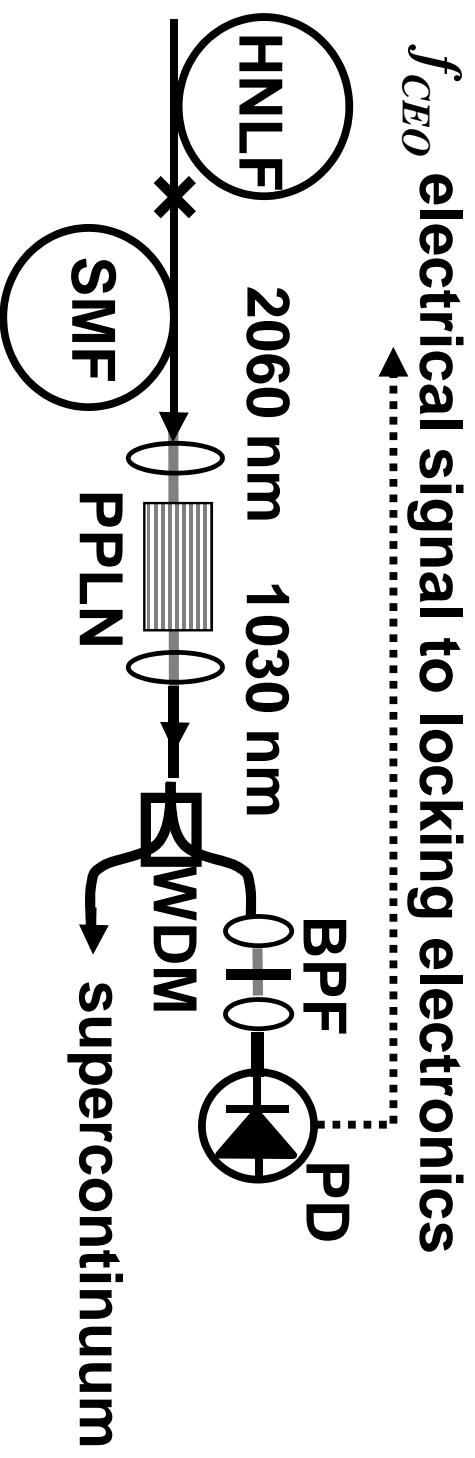
# f-to-2f Interferometer

## f-to-2f interferometer

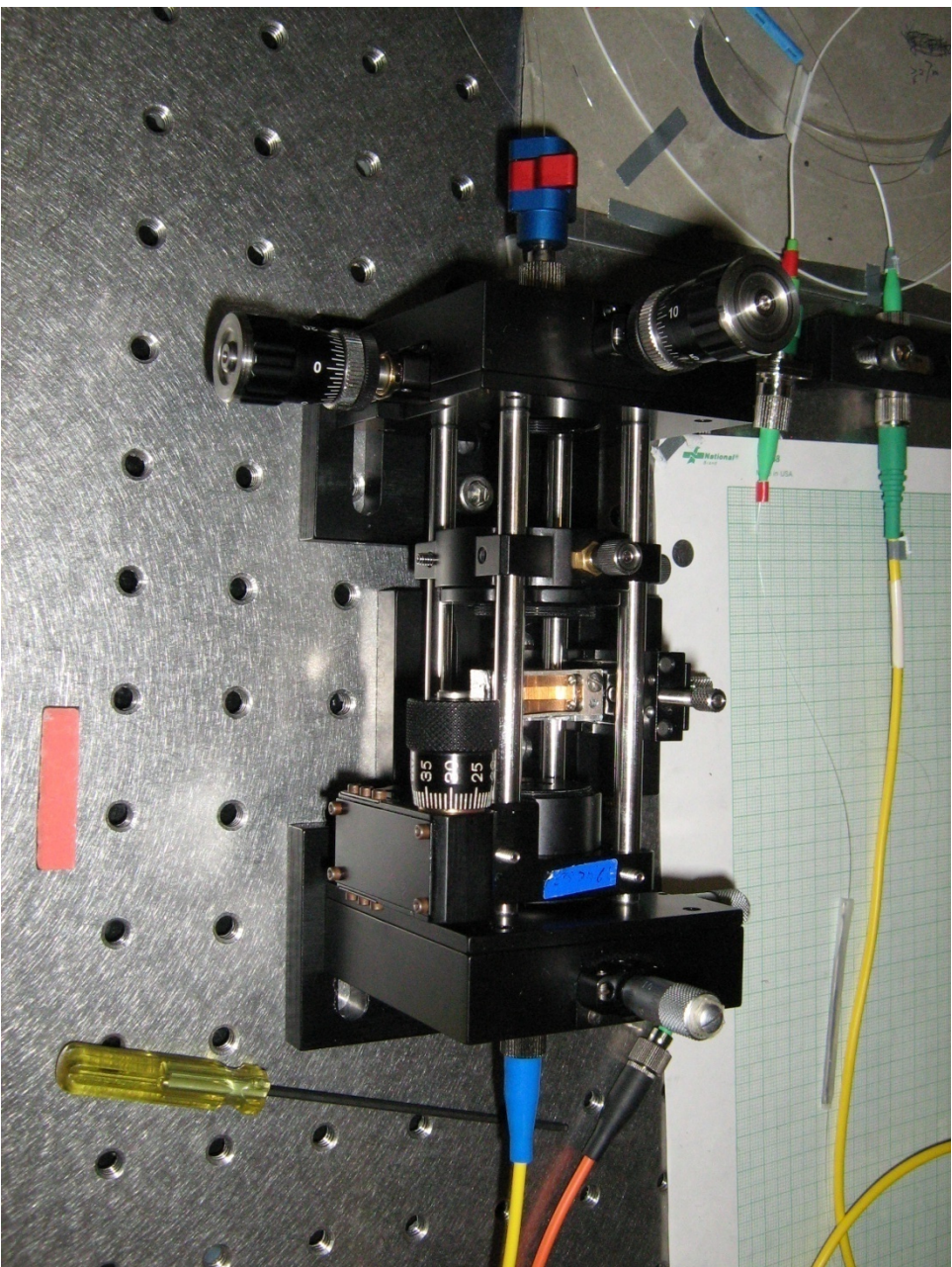


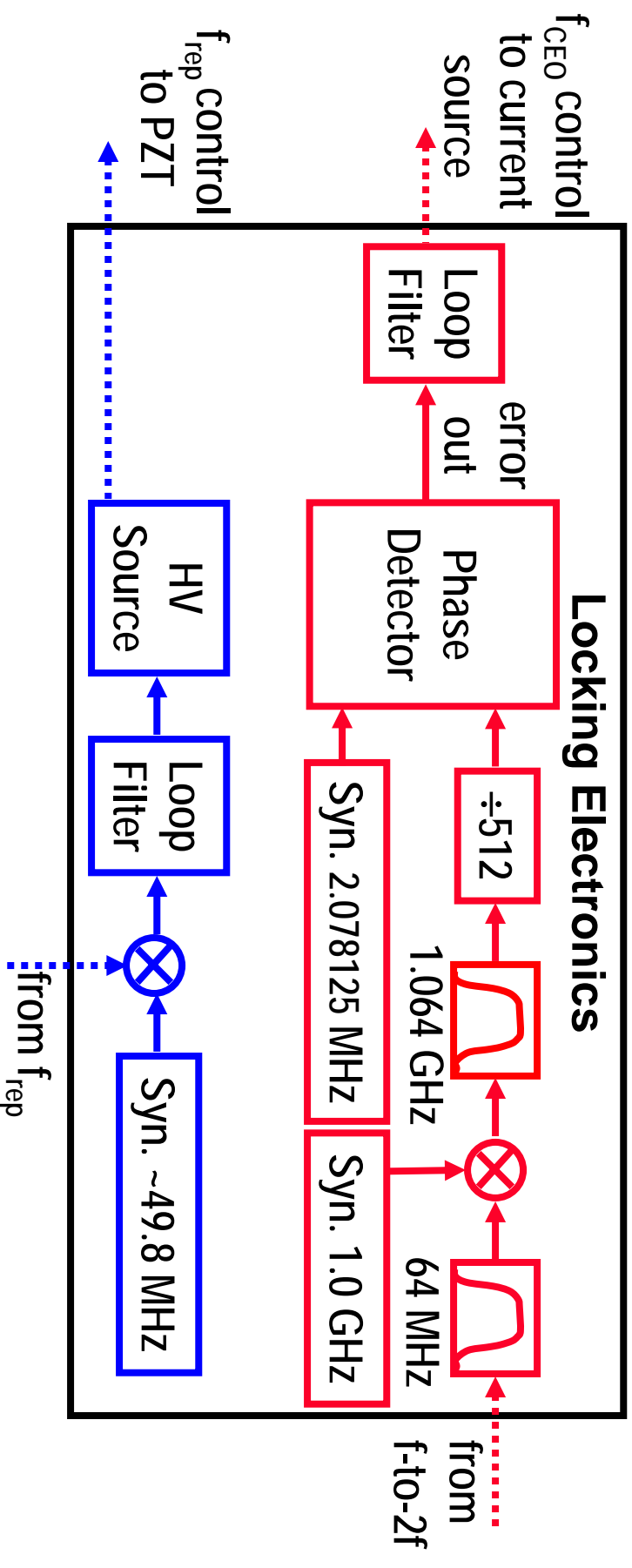
An octave of supercontinuum allow the generation of beat frequencies with a SNR of 30 dB

# Co-linear all fiber geometry

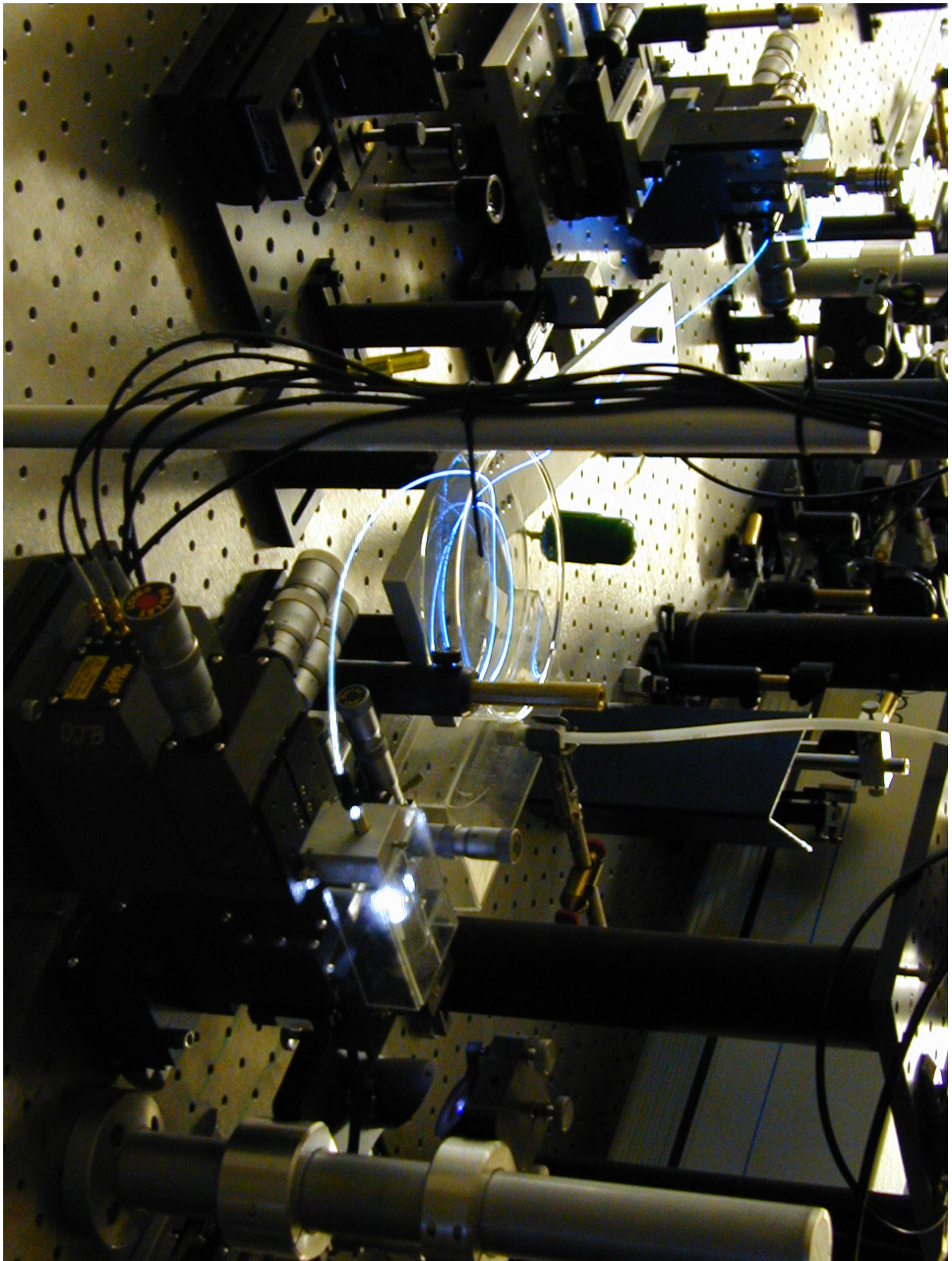


# Colinear f-to-2f Interferometer



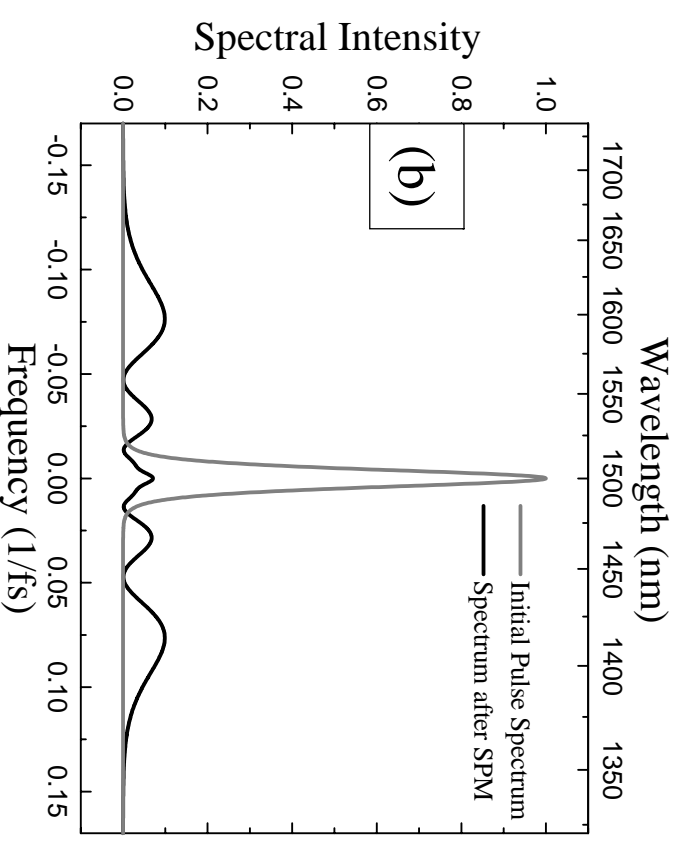
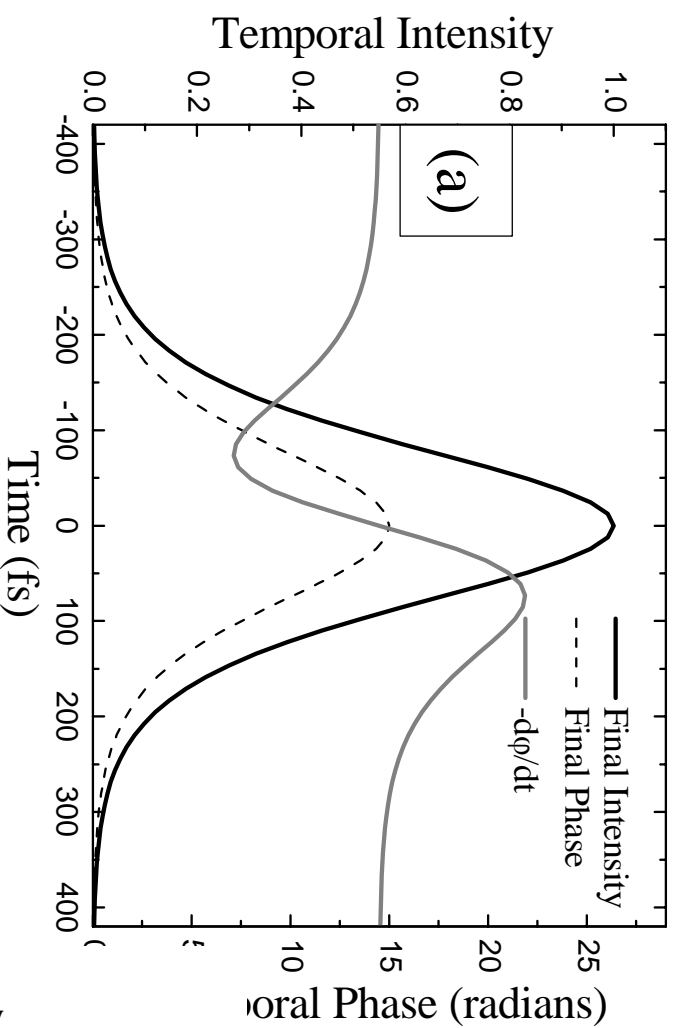








# Nonlinear propagation in fiber: nonlinearity only



Generate new spectral components

## Lecture 11

### Third Order Effects

Need to consider  $2 \times 27 \times 4! = 1944$  complex #'s  
(X)

Even if we have 1  $\chi^{(3)}$  (Kleinman symmetry)

$$(\mathbf{E}_1 + \mathbf{E}_2 + \mathbf{E}_3)^3 \sim 216 \text{ terms}$$

So we will first consider phase matched & then  
unphase matched terms.

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

## Lecture 18

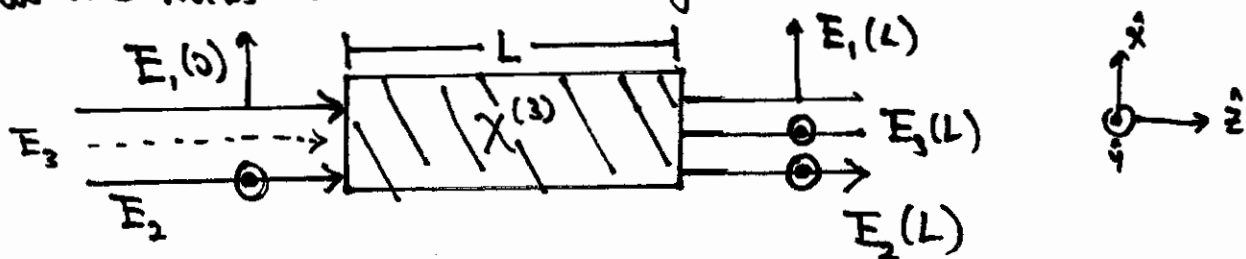
### Four wave mixing & the intensity-dependent index of refraction

Third order nonlinearities involve the interaction of three fields  $E$  to generate a nonlinear polarization

$$P_{NL} = \chi^{(3)} E_1(\omega) E_2(\omega) E_3(\omega)$$

We can, like for  $\chi^{(2)}$  effects, write down the Maxwell's wave equation and solve for the new electric field. In general this process is known as four wave mixing (FWM) since three input fields induce a nonlinear polarization which induces a fourth field  $E$ .

to see how this works consider the case of third harmonic generation:



Inputs  $E_1(\omega) + E_2(\omega)$  which have orthogonal polarizations which both have frequency  $\omega$ . The generated field  $E_3(L)$  has frequency  $3\omega$ .

Write down the electric fields

Before we do this....

Let's consider a simpler case of a FWM of three field with same polarization + frequency



The name for this process is completely degenerate four wave mixing. This will involve the tensor element

$$\chi_{xxxx}^{(3)}(\omega, \omega - \omega, \omega)$$

Completely degenerate FWM leads to a change of the index of refraction that is dependent on the intensity  $I$ . This is also called single field degenerate FWM. The field changes the index of refraction it experiences!! This self modulation leads to two well known effects

- Self phase modulation (SPM)
- Self-Focusing

Let's derive an approximate equation that ~~will~~ show the variation of

Four-wave mixing + the intensity dependent index of refraction.

To get the coupled eqs we will assume

- isotropic media
- SVEA
- far from resonance

Thus  $\chi^{(3)}$  is frequency independent

Define effective nonlinearity ( $I = 2$ )

$$\left( \begin{array}{l} 4: E(t) = E(\omega) e^{i\omega t} + c.c. \\ 8: E(t) = \frac{1}{2} ( \end{array} \right) \chi = \frac{3\mu_0 \omega \chi^{(3)}}{4 n^2 A_{eff}} = \frac{n_2 \omega}{A_{eff} c} \left[ \frac{1}{W m} \right]$$

$$A_{eff} = \pi r^2$$

$$n_2 = \frac{3}{4 n^2 \epsilon_0 c} \chi^{(3)} \left[ \frac{m^2}{W} \right]$$

Want to show

$$n = n_0 + n_2 I$$

Intensity dependent index of refraction.

Now, using a similar process for  $\chi^{(2)}$  we can get 4 coupled DE's

For  $l = 1-4$

$$\Delta\beta = \beta_3 + \beta_4 - \beta_1 - \beta_2$$

$$\frac{\partial A_l}{\partial z} = i\gamma \left[ \underbrace{|A_l|^2 A_l}_{\text{SOM}} + 2 \underbrace{\sum_{j \neq l=1}^4 |A_j|^2 A_l}_{\text{XPM}} + \underbrace{2 A_m A_n A_k^*}_{\text{FWM}} e^{i\Delta\beta z} \right]$$

$k, l, m, n$

$$\gamma = 1 \text{ if } l = 1 \text{ or } 2 \quad k = 3-l, \quad m = 3, \quad n = 4$$

$$\gamma = -1 \text{ if } l = 3 \text{ or } 4 \quad k = 4-l, \quad m = 1, \quad n = 2$$

## Self phase modulation

SPM: Automatically phase matched. One wave with itself  
 $\omega \neq \omega + \omega - \omega \neq 0$

XPM: Two waves (X)

FWM: Four distinct waves

## Solutions

Can solve for pump depletion + phase matching

got 5 coupled eqs  $\Rightarrow$  solve  $\sin^2[ ]$

Let's look at a specific case: completely degenerate FWM (SPM)

$$\vec{k}_1 = \vec{k}_2 = \vec{k}_3 \quad \vec{E}_1 = \vec{E}_2 = \vec{E}_3 \quad \omega_1 = \omega_2 = \omega_3$$

$$P_{NL} \approx 3 \epsilon_0 \chi_{xxxx}^{(3)} \underbrace{|E|^2 E}_{E E^* E} \quad \left\{ \begin{array}{l} \text{really} \\ \chi_{xxxx}^{(3)}(\omega; \omega, -\omega, \omega) \end{array} \right.$$

Write polarization

$$P = P_L + P_{NL} = \epsilon_0 [ \chi^{(1)} \vec{E} + 3 \chi^{(3)} |E|^2 \vec{E} ]$$

so

$$\partial_z^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \epsilon [ \chi^{(1)} + 3 \chi^{(3)} |E|^2 ] \partial_z^2 \vec{E}$$

$$\partial_z^2 \vec{E} - \frac{[1 + \chi^{(1)} + 3 \chi^{(3)} |E|^2]}{c^2} \partial_z^2 \vec{E} = 0$$

$$\text{so} \quad \left[ n^2 - 1 + \chi^{(1)} + 3 \chi^{(3)} |E|^2 \right]$$

If we define

$$n = n_0 + \bar{n}_2 \langle \mathcal{E}^2 \rangle$$

$$\text{with } \mathcal{E}(t) = E(\omega) e^{-i\omega t} + \text{c.c.}$$

$$\langle \mathcal{E}^2 \rangle = 2 |E(\omega)|^2$$

$$\text{And } n = n_0 + 2 \bar{n}_2 |E(\omega)|^2$$

$$\text{So } (n_0 + 2 \bar{n}_2 |E(\omega)|^2)^2 = 1 + \chi^{(1)} + 3 \chi^{(3)} |E|^2$$

Expand to order  $|E|^2$

$$n_0 + 2 \bar{n}_2 |E(\omega)|^2 = 1 + \chi^{(1)} + 3 \chi^{(3)} |E(\omega)|^2$$

Where

$$\boxed{\bar{n}_2 = \frac{3 \chi^{(3)}}{4 n_0} \quad n_0 = \sqrt{1 + \chi^{(1)}}}$$

Lets define  $n_2$  in terms of intensity  $I = 2 n_0 \epsilon_0 c |E(\omega)|^2$

$$2 \bar{n}_2 |E(\omega)|^2 = n_2 I \quad \Rightarrow \quad n_2 = \frac{3}{4 n_0^2 \epsilon_0 c} \chi^{(3)} = \frac{\bar{n}_2}{n_0 \epsilon_0 c}$$

$$\boxed{n_2 = \frac{3 \chi^{(3)}}{4 n_0^2 \epsilon_0 c}}$$

$$\left[ \frac{\text{m}^2}{\text{W}} \right]$$

# Four Wave Mixing Terms

Brian Washburn version 1 9/4/07

`Off[General::spell];`

Here I explicitly expand all the interaction terms for Four Wave Mixing. Each real instantaneous field will be defined as (for  $i=1,2,3$ )

$$\mathcal{E}_i = \frac{1}{2} E_i + \frac{1}{2} E_i^*$$

The nonlinear polarization will be

$$\rho = \chi^{(3)} \epsilon_0 (\mathcal{E}_1 + \mathcal{E}_2 + \mathcal{E}_3)^3$$

where I can write the nonlinear polarization as

$$\rho = \frac{1}{2} P + \frac{1}{2} P^*$$

In general we will be doing expansion of a polynomial of three terms cubed.

$$\text{Expand}[(b + d + g)^3]$$

$$b^3 + 3 b^2 d + 3 b d^2 + d^3 + 3 b^2 g + 6 b d g + 3 d^2 g + 3 b g^2 + 3 d g^2 + g^3$$

Where each term in the polynomial has the form  $b = \frac{1}{2} b_0 + \frac{1}{2} b_0^*$

## ■ FWM Case

Consider the case of FWM with three frequencies  $\omega_1, \omega_2, \omega_3$ . Write out the electric field for this interaction. Here  $A$  is the real instantaneous electric field,  $a$  is the complex field constant and  $ac$  is the complex conjugate of  $a$ . Note, I would use  $E$  for the electric field, but in *Mathematica*  $E$  is the exponential.

$$\begin{aligned} A_1 &= \frac{1}{2} a_1 \text{Exp}[i k_1 z] \text{Exp}[-i \omega_1 t] + \frac{1}{2} a c_1 \text{Exp}[-i k_1 z] \text{Exp}[i \omega_1 t] \\ A_2 &= \frac{1}{2} a_2 \text{Exp}[i k_2 z] \text{Exp}[-i \omega_2 t] + \frac{1}{2} a c_2 \text{Exp}[-i k_2 z] \text{Exp}[i \omega_2 t] \\ A_3 &= \frac{1}{2} a_3 \text{Exp}[i k_3 z] \text{Exp}[-i \omega_3 t] + \frac{1}{2} a c_3 \text{Exp}[i k_3 z] \text{Exp}[-i \omega_3 t] \end{aligned}$$

$$\frac{1}{2} e^{i z k_1 - i t \omega_1} a_1 + \frac{1}{2} e^{-i z k_1 + i t \omega_1} a c_1$$

$$\frac{1}{2} e^{i z k_2 - i t \omega_2} a_2 + \frac{1}{2} e^{-i z k_2 + i t \omega_2} a c_2$$

$$\frac{1}{2} e^{i z k_3 - i t \omega_3} a_3 + \frac{1}{2} e^{i z k_3 - i t \omega_3} a c_3$$

Boyd defines  
this without the  
 $\frac{1}{2}$



$$A_1 = \frac{1}{2} a_1 \text{Exp}[i k z] \text{Exp}[-i \omega t] + \frac{1}{2} a c_1 \text{Exp}[-i k z] \text{Exp}[i \omega t]$$

$$A_2 = \frac{1}{2} a_2 \text{Exp}[i k z] \text{Exp}[-i \omega t] + \frac{1}{2} a c_2 \text{Exp}[-i k z] \text{Exp}[i \omega t]$$

$$A_3 = \frac{1}{2} a_3 \text{Exp}[i k_3 z] \text{Exp}[-i 3 \omega t] + \frac{1}{2} a c_3 \text{Exp}[i k_3 z] \text{Exp}[-i 3 \omega t]$$

$$\frac{1}{2} e^{i k z - i t \omega} a_1 + \frac{1}{2} e^{-i k z + i t \omega} a c_1$$

$$\frac{1}{2} e^{i k z - i t \omega} a_2 + \frac{1}{2} e^{-i k z + i t \omega} a c_2$$

$$\frac{1}{2} e^{-3 i t \omega + i z k_3} a_3 + \frac{1}{2} e^{-3 i t \omega + i z k_3} a c_3$$

Expand the fields

Expand the fields

$$\text{Expand} \left[ \chi_{xxxx} \epsilon_0 \left( \frac{1}{3} A_1 + \frac{1}{3} A_1 + \frac{1}{3} A_1 \right)^3 \right]$$

$$\frac{3}{8} a^2 a c e^{i k z - i t \omega} \epsilon_0 \chi_{xxxx} + \frac{3}{8} a a c^2 e^{-i k z + i t \omega} \epsilon_0 \chi_{xxxx} +$$

$$\frac{1}{8} a^3 e^{3 i k z - 3 i t \omega} \epsilon_0 \chi_{xxxx} + \frac{1}{8} a c^3 e^{-3 i k z + 3 i t \omega} \epsilon_0 \chi_{xxxx}$$

Now I use a factor of 1/3 to account for the degeneracy of three fields, the three fields are not physically distinguishable. For the complex form of the polarization we got

$$P_{NL} = \frac{3}{4} \chi_{xxxx} \epsilon_0 a a c a$$

where the real instantaneous form of the polarization is

$$\rho = \frac{1}{2} P_{NL} + \frac{1}{2} P_{NL}^*$$

So you see I get the term 3/8 as expected.

## Lecture 19

### More on Self phase modulation + $\chi^{(3)}$

Many materials give rise to third order effects

Only materials that have a strong electronic component will offer a fast response

$$\text{Nonlinear Response} \Rightarrow R(t) = \left( \underset{\substack{\uparrow \\ \text{fast} \\ \text{SEM, FWM}}}{S(t)} + \underset{\substack{\uparrow \\ \text{slow} \\ \text{Raman effects}}}{h_R(t)} \right)$$

"Fast"  $\Rightarrow$  Electronic contributions :  $\sim 10^{-15}$  s

"Slow"  $\Rightarrow$  Thermal / vibrational contributions :  $\sim 10^{-12}$  s

Third order materials

- Gases

Noble gases

$\text{CS}_2$

- Liquids

Tea

- Isotropic Solid  
glasses

Leads to nonlinear  
index of Refraction

$$n_2 = \frac{3}{8n_0} \text{Re} \{ \chi_{xxxx}^{(2)} \}$$

FWM  $\Rightarrow$  Due to fast response of the  $\chi^{(3)}$  medium

## Intensity dependent index of Refraction

From last time we derived:

$$n = n_0 + n_2 |E|^2$$

Where

$$n_2 = \frac{3}{8n_0} \text{Re} \{ \chi_{xxxx}^{(3)} \}$$

$n_2$  has units of  $\text{m}^2/\text{V}^2$

Rewrite this equation in terms of Intensity where

$$I = 2^4 \epsilon_0 c n |E|^2$$

So

$$n = n_0 + n_2^I I$$

Where  $n_2^I = \frac{2n_2}{\epsilon_0 c n_0}$  in units of  $\text{m}^2/\text{W}$

Common notation replaces  $\underline{n_2^I}$  with  $\underline{n_2}$  (Redefine)

For fused silica

$$n_2 = 3 \times 10^{-20} \text{m}^2/\text{W}$$

$$n_2 \equiv \left( \frac{2}{\epsilon_0 c n_0} \right) \left( \frac{3}{8n_0} \chi_{xxxx}^{(3)} \right)$$

Self Phase Modulation  $\Rightarrow$  Completely degenerate FWM  
 $\omega = \omega - \omega + \omega$

$$P_{NL} = \frac{3}{4} \epsilon_0 \chi_{xxxx}^{(3)}(\omega, \omega, -\omega, \omega) E E^* E \quad (\text{Boyd * 4})$$

and

$$P_{NL} = \frac{1}{2} (P_{NL} e^{-i\omega t}) + \text{c.c.}$$

$$E = \frac{1}{2} E e^{-i\omega t} + \text{c.c.}$$

Sub into wave eq to Find  $E(z, t)$  generated

$$\partial_z^2 \bar{E} - \mu_0 \epsilon_0 \partial_t^2 E = \mu_0 \partial_t^2 P$$

If we use the slowly varying envelope approximation

$$|k \partial_z E| \gg |\partial_z^2 E|$$

We can rewrite the wave eq as

$$\partial_z E = i \frac{3\mu_0 \epsilon_0 c \omega}{8 n_0} \chi_{xxxx}^{(3)} |E|^2 E$$

Define  $E(z, t) = \sqrt{\frac{P_0}{\pi r^2}} U(\xi, t) \sqrt{\frac{2}{\epsilon_0 c n_0}}$  }  $U(\xi, t) \equiv$  normalized function

$$E(z, t) = \sqrt{\frac{P_0}{\pi r^2}} \sqrt{\frac{2}{\epsilon_0 c n_0}} U(\xi, t)$$

So we have (Remember  $\epsilon_0 \mu_0 = \frac{1}{c^2}$ )

$$\sqrt{\frac{P_0}{\pi r^2}} \partial_z U = i \frac{2 \cdot 3 \mu_0 \epsilon_0 c \omega}{c n_0 8 n_0 \epsilon_0} \chi_{\text{approx}}^{(3)} |U|^2 U \sqrt{\frac{P_0}{\pi r^2}} \left( \frac{P_0}{\pi r^2} \right)$$

So 
$$\partial_z U = \frac{2}{\epsilon_0 c n_0} \left[ \left( \frac{3 \chi^{(3)}}{8 n_0} \right) \frac{\omega}{\pi r^2 c} \right] P_0 |U(t)|^2 U(t)$$

But  $n_2 = \left( \frac{3 \chi}{8 n_0} \right) \left( \frac{2}{\epsilon_0 c n} \right)$  Define the effective nonlinearity  $\gamma$

$$\gamma \equiv \frac{n_2 \omega}{(\pi r^2) c} = \left( \frac{3 \chi^{(3)} \omega}{8 n_0 \pi r^2 c} \right)$$

Thus

$$\partial_z U(t) = i \gamma P_0 |U(t)|^2 U(t)$$

Solution

$$U(z, t) = U(0, t) \exp(i \phi_{NL}(z, t))$$

$$\phi_{NL}(z, t) = \gamma P_0 z |U(0, t)|^2$$

Define nonlinear length  $L_{NL} = \frac{1}{\gamma P_0}$

So 
$$U(z, t) = U(0, t) \exp(i \gamma P_0 z |U(0, t)|^2)$$

Start with

$$\partial_z^2 \mathcal{E}_T - \mu_0 \epsilon_0 \partial_t^2 \mathcal{E}_T = \mu_0 \partial_t^2 \mathcal{P}_{NL} \quad \left( \begin{array}{l} \text{Nonlinear} \\ \text{wave eq} \end{array} \right)$$

$$\mathcal{E}_T = \mathcal{E}_1 + \mathcal{E}_2 + \mathcal{E}_3$$

$$\partial_z^2 \mathcal{E}_T = \partial_z^2 \mathcal{E}_1 + \partial_z^2 \mathcal{E}_2 + \partial_z^2 \mathcal{E}_3$$

$$\partial_z^2 \mathcal{E}_1 = \partial_z \partial_z (E_{01} \exp(ik(\omega)z) \exp(-i\omega t) + \text{c.c.})$$

$$= \partial_z \left( \partial_z E_{01} \exp(\ ) \exp(\ ) + ik E_{01} \exp(\ ) \exp(\ ) + \text{c.c.} \right)$$

$$= \partial_z \left( \partial_z E_{01} - i 2k E_{01} - k^2 E_{01} \right) \exp(ikz - \omega t) + \text{c.c.}$$

$\underbrace{\hspace{10em}}$   
 use  
 this

Self phase modulation: Completely degenerate FWM (Boyd Notation)

$$P_{NL} = 3 \epsilon_0 \chi_{xxxx}^{(3)} (\omega, \omega, -\omega, \omega) E E^* E$$

$$P_{NL} = P_{NL} e^{-i\omega t} + c.c.$$

$$E_i = E_i e^{-i\omega t} + c.c.$$

$$E_T = E_1 + E_2 + E_3$$

$$\partial_z^2 E_T - \mu_0 \epsilon_0 \partial_t^2 E_T = \mu_0 \partial_z^2 P_{NL}$$

Use SVEA  $\left( \partial_z^2 E = \partial_z^2 E - i 2k \partial_z E - k E_0 \right) \exp(i(kz - \omega t)) + c.c.$

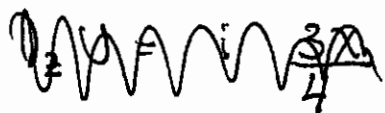
$$\partial_z E = i \frac{3 \mu_0 \epsilon_0 c \omega}{2 n_0} \chi_{xxxx}^{(3)} |E|^2 E \quad (13.3.1)$$

Define  $U(z, t) \equiv \text{Normalized}$  (From SVEA +  $\partial_z^2$ )  
 $(I = 2 n_0 \epsilon_0 c |E|^2)$

$$E(t) = \sqrt{\frac{\rho_0}{\pi r^2}} \sqrt{\frac{1}{2 n_0 \epsilon_0 c}} U(z, t)$$

$$\partial_z U = i \frac{3 \mu_0 \epsilon_0 c \omega}{2 n_0} \chi_{xxxx}^{(3)} |U|^2 U \sqrt{\frac{\rho_0}{\pi r^2}} \frac{\rho_0}{\pi r^2} \sqrt{\frac{1}{2 n_0 \epsilon_0 c}} \frac{1}{2 n_0 \epsilon_0 c}$$

$$\partial_z U = i \frac{3 \mu_0 \omega}{4 n_0^2} \chi^{(3)} |U|^2 U \frac{1}{\pi r^2}$$





$$\partial_z U = i \left( \overbrace{\left( \frac{3\chi^{(3)}}{4n_2^2 \epsilon_0 c} \right)}^{n_2^2} \left( \frac{\omega}{c} \right) \left( \frac{1}{\pi r^2} \right) \right) P_0 |U|^2 U$$

Where  $n_2 = \frac{3\chi^{(3)}}{4n_2^2 \epsilon_0 c}$   $\frac{1}{W \text{ m}^2}$

Define  $\gamma \equiv \frac{n_2 \omega}{(\pi r^2) c}$   $\frac{1}{W \text{ m}}$

Then

~~$$\partial_z U = i \gamma P_0 |U|^2 U$$~~

$$\partial_z U(z,t) = i \gamma P_0 |U(z,t)|^2 U(z,t)$$

Solution

$$U(z,t) = U(0,t) \exp(\phi_{NL}(z,t))$$

$$\phi_{NL}(z,t) = \gamma P_0 z |U(0,t)|^2$$

Define nonlinear length

$$L_{NL} = \frac{1}{\gamma P_0}$$

$$\phi_{NL}(z,t) = \frac{z}{L_{NL}} |U(0,t)|^2$$

- Nonlinear length

$$L_{NL} = \frac{1}{\gamma P_0} \quad \text{in units of meters}$$

distance to travel to experience 1 radian nonlinear phase shift.

- Units for  $n_2$  : Technically  $\text{m}^2/\text{V}^2$   $\chi^{(3)} \rightarrow \text{m}^2/\text{V}^2$  General units  $\left(\chi^{(n)} \rightarrow \frac{\text{m}^{n-1}}{\text{V}^{n-1}}\right)$

Common to quote  $n_2$  in  $\text{m}^2/\text{W}$   $n_2 \Rightarrow \frac{2n_2}{\epsilon_0 c n_0}$

For fused silica  $n_2 = 3 \times 10^{-20} \text{ m}^2/\text{W}$

- Units for the effective nonlinearity

$$\gamma \rightarrow \frac{1}{\text{W m}}$$

- Dispersion length

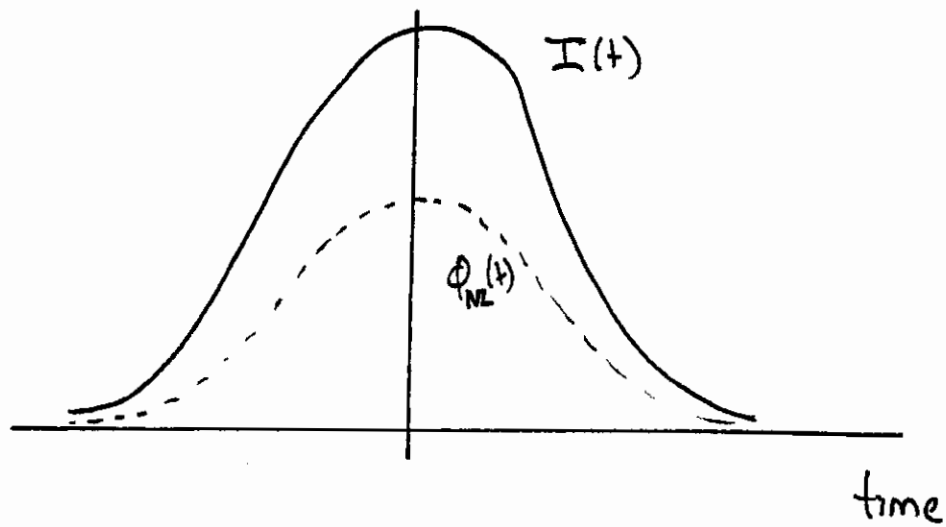
$$L_D = \frac{T_0^2}{|\beta_2|} \quad \text{1/e half width}$$

Where

$$T_0 = \frac{\Delta f \leftarrow \text{FWHM}}{2 \ln(1+\sqrt{2})}$$

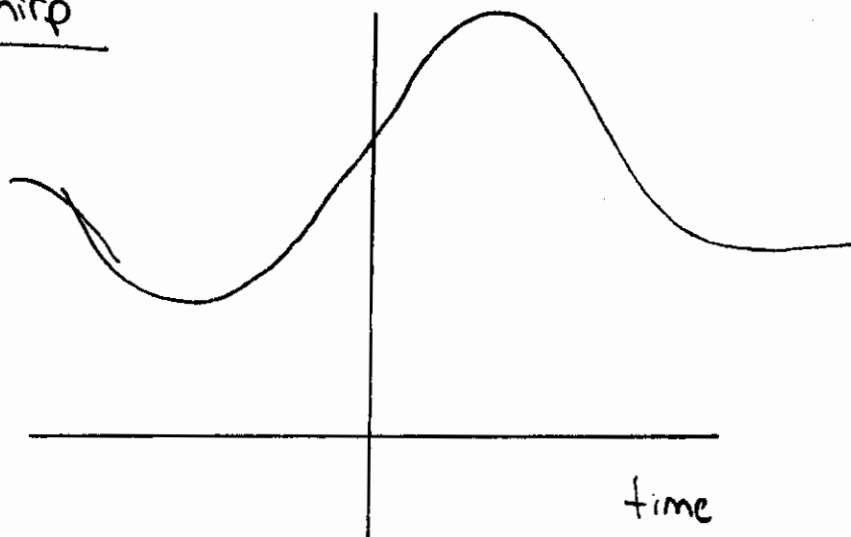
## SPM For Pulses

$$E(t) = E_0 \operatorname{sech}(t/\Delta t)$$



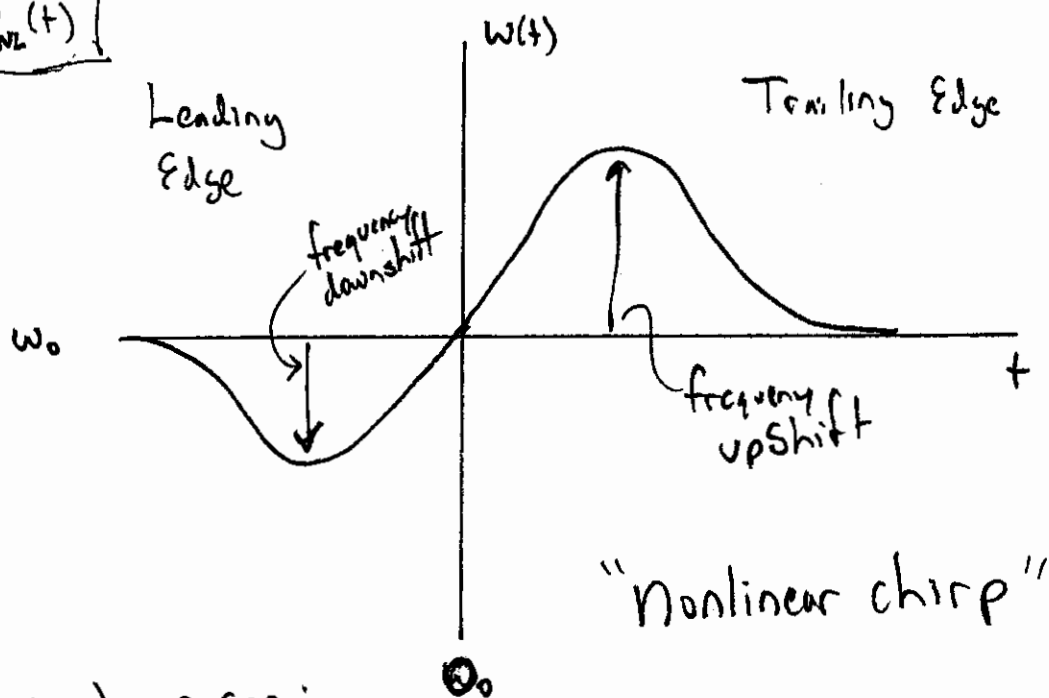
$$\left\{ \begin{array}{l} I(t) \sim \operatorname{sech}^2(t) \\ \phi_{NL}(t) \sim \gamma P_0 \operatorname{sech}^2(t) \\ \text{Chirp} \sim \omega_0 - \partial_t \phi_{NL} \end{array} \right.$$

Chirp



How to think about this chirp!?

$$\omega_0 - \partial_t \phi_{NL}(t)$$



From the graph we see:

- Spectral components in leading edge experience frequency down shift (to lower  $\omega$ )  $\Delta\omega < 0$ .
- Spectral components in trailing edge experience frequency up shift (to higher  $\omega$ )  $\Delta\omega > 0$ .

So the effect of SPM Depends on how a pulse is <sup>initially</sup> chirped by say <sup>by</sup> dispersion. Consider what happens for negatively & positively chirped pulses.

- Negatively chirped pulses

SPM Causes a frequency downshift of the blue components, an upshift of the red components

⇒ Spectral Compression

- Positively Chirped Pulses

SPM Causes a frequency downshift of the red components, an upshift of the blue components. Spectral

## Lecture 20

### Nonlinear Fiber Optics : Phase matching for partially degenerate FWM

As mentioned,  $n_2$  is a very small value, on the order of  $10^{-20} \text{ m}^2/\text{W}$ . However, the phase shift due to a third order nonlinearity can be quite large

$$\phi_{NL}(t) = |U(0,t)|^2 z / L_{NL}$$

Where  $L_{NL} = \frac{1}{\gamma P_0}$  +  $\gamma = \frac{n_2 \omega}{\pi r^2 c}$

We can make  $\phi_{NL}$  large ~~small~~ by having :

- 1) Large Peak Power  $P_0$
- 2) Long distance  $z$
- 3) Small area  $\pi r^2$

4) ~~1)~~

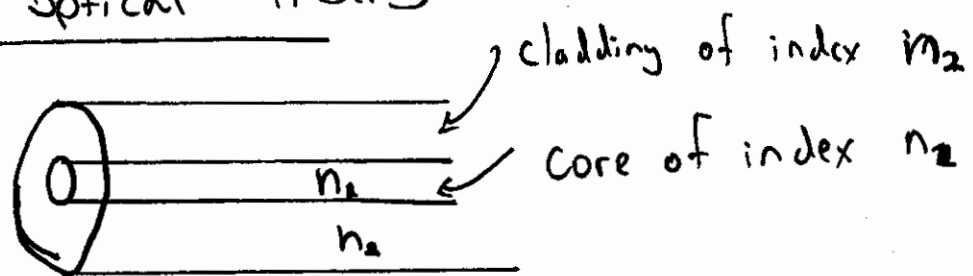
even if  $n_2$  is a small number

This is the reason why to use an optical fiber for  $\chi^{(3)}$  nonlinear medium. An optical fiber offers :

- 1) A small area  $\pi r^2$
- 2) A long interaction length  $z$  without significant loss, Fiber loss 0.2 dB/km @ 1550nm.
- 3) High Peak power  $P_0$  if pulses are used.

## Introduction to optical fibers

cladding radius  
 $\sim 62 \mu\text{m}$   
 Core radius  
 $\sim 5 \mu\text{m}$



Fiber guide light using total internal reflection so

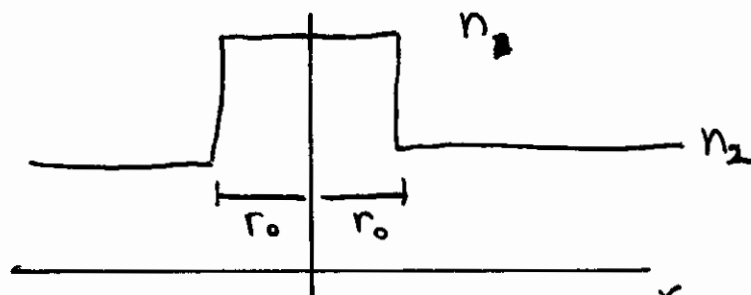
$$n_1 > n_2$$

↑ this is not the nonlinear index!!!

Typically 
$$\Delta n \equiv \frac{n_1^2 - n_2^2}{2n_1^2} \approx \frac{n_1 - n_2}{n_1} \approx 0.008$$

so there is a small index difference between core + cladding.

The core is typically fused silica doped with Ge, the cladding is just fused silica. This is called a step index fiber



## V Parameter

A normalized frequency that takes into account the fiber's structural parameters and frequency

$$V = \frac{n_1(\omega) \omega r_0}{c} \sqrt{n_1^2 - n_2^2}$$

$$V(\omega) = \frac{n_1(\omega) \omega r_0}{c} \sqrt{2 \Delta n}$$

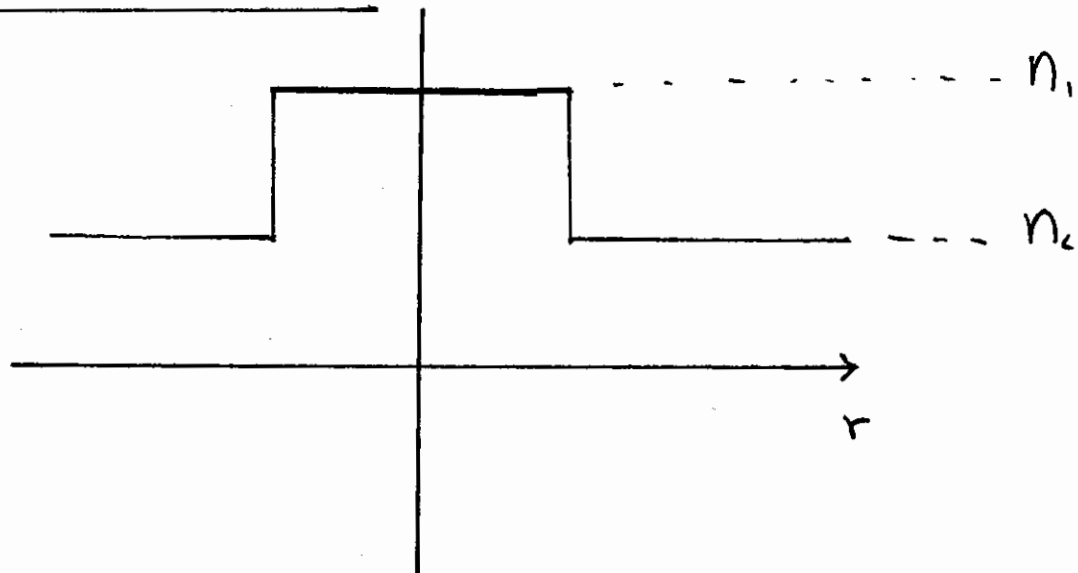
The V parameter is used to determine the cut off wavelength and mode structure of a fiber.

## Normalized propagation constant $b(V)$

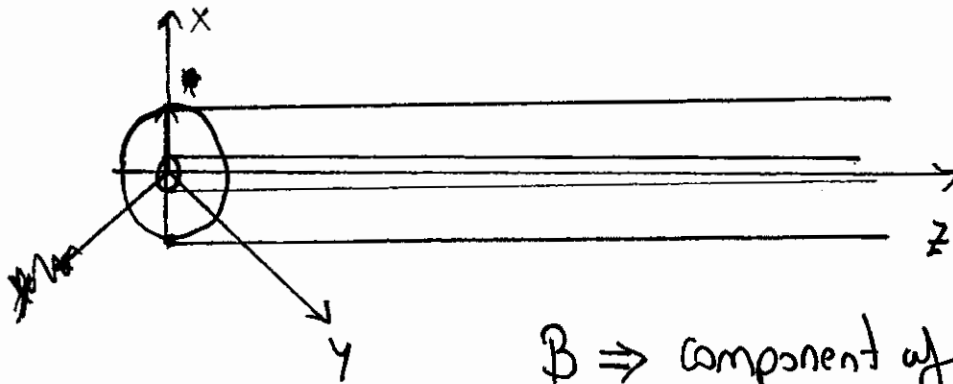
$$b = \left( \frac{\beta^2 - n_2^2 \omega^2 / c^2}{n_1^2 \omega^2 / c^2 - n_2^2 \omega^2 / c^2} \right) = 1 - \frac{r_0^2 (n_1^2 \omega^2 / c^2 - \beta^2)}{V^2}$$

$$\approx \frac{n_{eff} - n_2}{n_1 - n_2} \Rightarrow \text{Relative change of index due to waveguide}$$

# More on fiber Modes



Solve for the electric field  $\vec{E}(x, y, z)$  in fiber



$\beta \Rightarrow$  component of  $\vec{k}$  along  $\hat{z}$

|                 |                         |                                                                              |
|-----------------|-------------------------|------------------------------------------------------------------------------|
| within the core | $E_z \sim e^{i\beta z}$ | <sup>sinusoidal</sup><br><del>exponential</del> $\Rightarrow$ traveling wave |
| outside core    | $E_z \sim e^{-\beta z}$ | exponential $\Rightarrow$ evanescent wave                                    |

Solutions  $E(x, y, z) \Rightarrow$  ~~AA~~ solutions are a set  $\Rightarrow$  modes

|       |                    |                                         |
|-------|--------------------|-----------------------------------------|
| $l=0$ | Lowest mode        | $HE_{11}$ , $(LP_{01})$                 |
| $l=1$ | Higher order modes | $TE_{01}, TM_{01}, HE_{21}$ $(LP_{11})$ |
| $l=2$ | "                  | $EH_{11}, HE_{31}$ $(LP_{21})$          |



## General Electric fields in a fiber

$$\underline{E_z} \quad r < r_0 \quad E_z \sim J_q(u r / r_0) \sin(q\phi)$$

$$r > r_0 \quad E_z \sim K_q(u r / r_0) \sin(q\phi)$$

where  $J_q \equiv$  Bessels function of 1<sup>st</sup> kind (oscillatory)

$K_q \equiv$  <sup>modified</sup> Bessels function of 1<sup>st</sup> kind (exponential)

So within the core we have oscillatory solutions and in the cladding we have exponential (~~even~~ evanescent) solutions.

## Cut off Wavelength $\lambda_c$

$$\lambda_c = \frac{2\pi a}{V_c} \sqrt{n_1^2 - n_2^2}$$

$$\left\{ \begin{array}{l} \text{Single mode} \\ V_c = 2.405 \end{array} \right.$$

The boundary conditions set up a cutoff wavelength where the mode will propagate.

- The lowest order mode does not have cutoff wavelength. ( $HE_{11}$ )
- The next higher mode has a cutoff wavelength ( $TE_{01}$   $TM_{01}$   $HE_{21}$ )
- So for single mode operation we only want the lowest order mode to propagate in the fiber

For single mode operation we want ~~many modes~~  <sup>$\lambda > \lambda_c$</sup>

## Cut off condition

$$\frac{V J_{l-1}(V)}{J_{l-1}(V)} = 0 \Rightarrow \boxed{J_l(V) = 0}$$

For single mode  
 $l=0$

$$J_0(V_c) = 0$$

$\uparrow$   
 $l-1=0$

$$V_c = 2.405$$

(Find cutoff for  $l=1$  modes)

The step index creates a set of boundary conditions for the optical electric field in the fiber.

Just like in a metallic waveguide, one gets modes in the optical fiber.

Each fiber, for a given  $r_0$ , exhibits a cut off wavelength  $\lambda_c$ . Only one mode will propagate if its wavelength is ~~is~~  $\lambda > \lambda_c$

$\left\{ \begin{array}{l} \text{Typically } \lambda_c \approx 1270 \text{ nm for } r_0 = 5 \mu\text{m} \\ \text{The smaller the radius, the shorter the } \lambda_c. \end{array} \right\}$

Single mode fibers are optical fibers used for  $\lambda > \lambda_c$

### Dispersion in optical fibers

Not only does the optical fiber exhibit the dispersion of the fused silica (i.e. material dispersion), the wave guiding modifies the index as well

$$D(\lambda) = D_m(\lambda) + D_w(\lambda)$$

↑  
material  
dispersion

↑ waveguide dispersion

## Dispersion in optical fibers

An optical fiber has dispersion due to 1) material (glass) dispersion and 2) due to the structure of the waveguide.

$$\text{Total Dispersion} = (\text{Material Dispersion}) + (\text{Waveguide Dispersion})$$

The advantage of a fiber is that the ~~total~~ total dispersion can be altered by just changing the waveguide structure.

Like for a bulk material, we describe the wave propagation constant  $\beta(\omega)$  for the fiber.

For a bulk material we characterized the dispersion using

$$\beta(\omega) = \beta_0 + \beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots$$

For bulk

$$\beta_2(\omega) = \frac{1}{c} \left( 2 \frac{dn}{d\omega} + \omega \frac{d^2 n}{d\omega^2} \right) \approx \frac{\lambda^3}{2\pi c^2} \frac{d^2 n}{d\lambda^2}$$

( Group velocity dispersion )

For a fiber we still will use  $\beta(\omega)$  but we cannot use the above expression for  $\beta_2$  since it does not take into account the change in dispersion due to the waveguide.

For a fiber the mode propagation constant  $\beta(\omega)$  can be written as

$$\beta(\omega) = \frac{n_2(\omega)\omega}{c} \sqrt{1 + 2\Delta n b(\omega)}$$

Where

$$b(\omega) \equiv \frac{n_{\text{eff}} - n_2}{n_1 - n_2}$$

$b(\omega)$  is a normalized propagation constant for the fiber. It is related to the effective guide index  $n_{\text{eff}}$  due to the waveguide

Another useful normalized parameter is the  $V$  parameter

$$V(\omega) = \underline{r_0} \omega n_2(\omega) \sqrt{2\Delta n} \equiv \underline{r_0} \omega \sqrt{n_1^2(\omega) - n_2^2(\omega)}$$

## Cutoff Frequency & V

Notice that  $V$  is unitless and it depends on the core radius. The single mode condition is just

$$\frac{V J_{l-1}(V)}{J_l(V)} = 0$$

$J_l \equiv$  Bessel function

~~min~~

$$J_0(V) = 0$$

OR  $V_0 = 2.405$

This is the cutoff frequency for every step index fiber.  
This is why we use a normalized ~~min~~ frequency.

For  $V < V_0$  only the  $HE_{11}$  mode will propagate.  
( $LP_{01}$ )

## Normalized Propagation Constant

A good approximate form for  $b(w)$  is given by

~~mini project~~

$$b(w) = 1 - \left( \frac{1 + \sqrt{2}}{1 + \sqrt[4]{4 + V(w)}} \right)^2$$

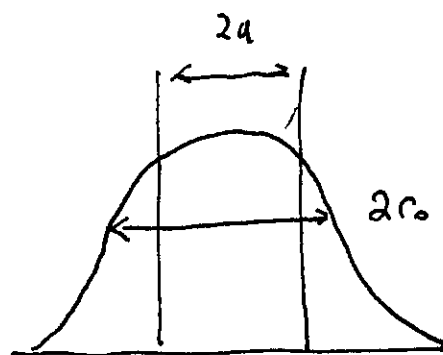
use this for the mini project.

(See Gloge, Applied Optics vol 10  
p 2258, 1971)

Mode field Radius  $r_0$

$$\frac{r_0}{a} \approx 0.65 + \frac{1.619}{V^{3/2}} + \frac{2.879}{V^6}$$

↑ core radius



$$E(r) \sim E_0 \exp(-r^2/r_0^2)$$

$r_0 \sim 1/e^2$  halfwidth  
of intensity  
or  $1/e$  halfwidth  
of field

Effective area in  $\gamma$

$$\gamma = \frac{n_2 \omega}{(\pi r_0)^2 c}$$

↑ mode field radius

## Partially Degenerate FWM in optical fibers

$$\text{Partially Degenerate FWM} \Rightarrow 2\omega_p = \omega_s + \omega_i$$

$$\text{where } 2\omega_p > \omega_i > \omega_s$$

$$\left( \begin{array}{ll} i \equiv \text{idler} & s \equiv \text{signal} \\ p \equiv \text{pump} & \end{array} \right)$$

Notice this "looks" like DFG!

Unlike SPM, this process needs to be phase matched

$$\Delta k = 0$$

However, the waveguide of the fiber adds additional terms to the phase mismatch  $\Delta k$

(DB)

$$\Delta k = \Delta k_m + \Delta k_w + \Delta k_{NL}$$

$\uparrow$  material

$\uparrow$  waveguide

$\uparrow$  nonlinear contribution

where

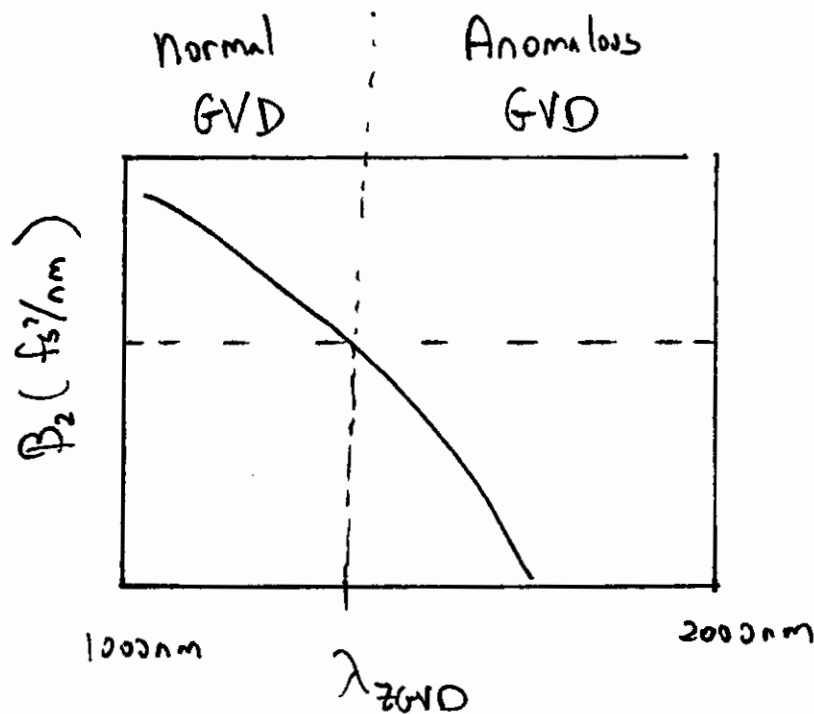
$$\Delta k_m = \frac{1}{c} (n(\omega_s)\omega_s + n(\omega_i)\omega_i - 2n(\omega_p)\omega_p)$$

$$\Delta k_w = \frac{\Delta n}{c} (b(\omega_s)\omega_s + b(\omega_i)\omega_i - 2b(\omega_p)\omega_p)$$

$$\Delta k_{NL} = 2\gamma P_0 \quad \left( \gamma = \frac{n_2 \omega}{c \pi r^2} \text{ as before} \right)$$



Sketch  $\beta_2(\omega)$



$$\lambda_{\text{ZGVD}} \sim 1322 \text{ nm for } r_0 \approx 5 \mu\text{m}$$

Where we defined

$$\beta_2 > 0 \Rightarrow \text{Normal GVD}$$

$$\beta_2 < 0 \Rightarrow \text{Anomalous GVD}$$

Define dispersion length

$$L_D = \frac{T_0^2}{|\beta_2|}$$

$$T_0 \equiv \frac{1}{2} \text{ half width}$$

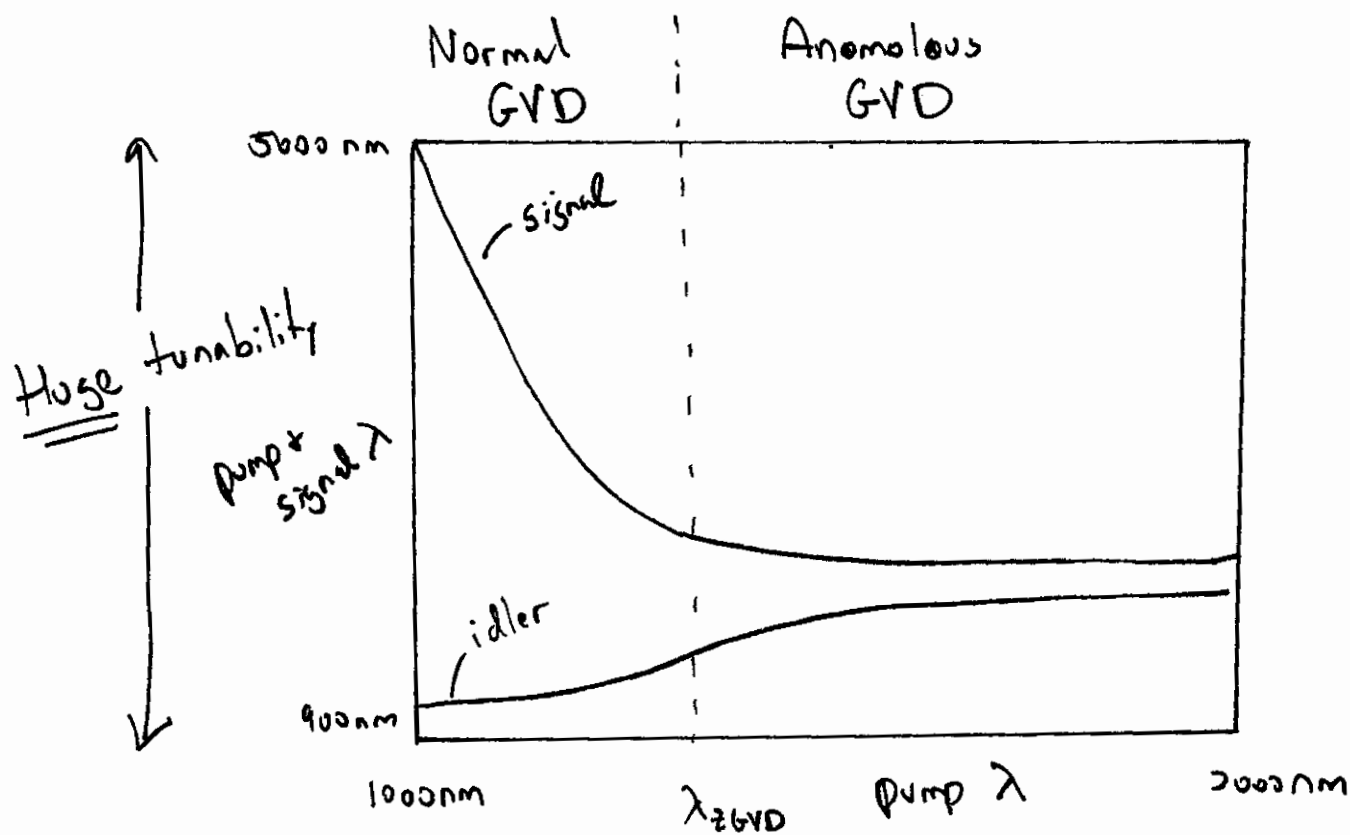
$$T_0 = \frac{\Delta t}{2 \ln(1 + \sqrt{2})}$$

$$\Delta t \equiv \text{FWHM}$$

for  $\text{sech}(t/\Delta t)$  fields

Notice that it really looks familiar to OPO / OPA!

In fact, one can make a fiber-based OPO in this manner. This OPO will have a huge tuning range.



The behavior of the signal + idler is different about the fiber's zero group velocity dispersion wavelength  $\lambda_{zGVD}$

where  $\beta_2(\omega_z) = 0$   
and  $\lambda_{zGVD} \equiv \frac{2\pi c}{\omega_z}$

Remember  $\beta_2(\omega_z) \equiv \frac{\partial^2 \beta(\omega)}{\partial \omega^2} \bigg|_{\omega=\omega_z}$

Remember: In the fiber  $\beta_1 = 1/v$  and  $\beta_2 = 0$

Gain Due to OPA process

$$G(z) = \left| \frac{r}{j} \sinh(gz) \right|^2$$

$$r = 2\gamma A_1(0) A_2(0)$$

$$g^2 = r^2 - (K/2)^2$$

$$K = \Delta\beta + \Delta\beta_{NL} \quad (\Delta\beta)$$

D parameter

$$D(\lambda) = - \frac{2\pi c}{\lambda^2} \frac{d\beta^2}{d\omega}$$

$$= \frac{2\pi c}{\lambda^2} \beta_2$$

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

Small signal gain

$$G_s = \frac{P_s}{P_{s(0)}} = 1 + \left( \frac{\gamma P_p}{g} \sinh(gL) \right)^2$$

$$g = \sqrt{-\Delta k \left( \frac{\Delta k}{4} + \gamma P_p \right)}$$

approx

$$\Delta k = \beta_s + \beta_i - 2\beta_p$$

Agrawal

$$g = \sqrt{(\gamma P_0 r)^2 - ((\Delta k + 2\gamma P_0)/2)^2}$$

$$r = 2 P_1 P_2 / P_0 = \frac{2 P_1 P_2}{P_1 + P_2}$$

~~$$g = \sqrt{(\gamma P_0)^2 - ((\Delta k + 2\gamma P_0)/2)^2}$$~~

$$g = \sqrt{(\gamma P_0)^2 - (\Delta k + 2\gamma P_0/2)^2}$$

$$\gamma P_0 = \left( \frac{\Delta k^2}{4} + 2\gamma P_0 + \gamma^2 P_0^2 \right)$$

$$g = \sqrt{-\Delta k \left( \frac{\Delta k}{4} + \gamma P_p \right)}$$

$$G_A = \frac{P_3(L)}{P_{3(0)}} = \left( 1 + \frac{(\Delta k + 2\gamma P_0)^2}{4g^2} \right) \sinh^2(gL)$$

$$\Delta k = \beta_s + \beta_i - 2\beta_p$$

$$\Delta k < 0$$

## Completely degenerate FWM

$$\bar{k}_1 = \bar{k}_2 = \bar{k}_3 \quad E_1 = E_2 = E_3$$

$$\omega_1 = \omega_2 = \omega_3$$

$$P_{NL} \approx \epsilon_0 \chi_{xxxx}^{(3)} |E|^2 E$$

Into wave eq

$$P = P_L + P_{NL} = \epsilon_0 [\chi^{(1)} E + \chi^{(3)} |E|^2 E]$$

Assume  $\partial_t^2 |E|^2 E \approx |E| \partial_t^2 E$  (SVEA)

$$\partial_z^2 E - \frac{1}{c^2} \partial_t^2 E = \mu_0 \epsilon_0 [\chi^{(1)} + \chi^{(3)} |E|^2] \partial_t^2 E$$

$$\boxed{\partial_z^2 E - \frac{[1 + \chi^{(1)} + \chi^{(3)} |E|^2]}{c^2} \partial_t^2 E = 0}$$

looks like

$$\partial_z^2 E - \frac{n^2}{c^2} \partial_t^2 E = 0 \quad \text{where } n = \sqrt{1 + \chi^{(1)} + \chi^{(3)} |E|^2}$$

$$\text{Remember } n = \sqrt{1 + \chi^{(1)}}$$

Remember:

$$\mu = \mu_0 = \mu_0$$

$$\epsilon = \epsilon_0 = \epsilon_0$$

$$c = \frac{1}{\sqrt{\mu_0 \epsilon_0}} \quad v = \frac{1}{\sqrt{\mu \epsilon}} = \frac{c}{n}$$

Wave eq for a linear material

$$\partial_z^2 E - \frac{n^2}{c^2} \partial_t^2 E = 0$$

or

$$\partial_z^2 E - \mu \epsilon \partial_t^2 E = 0$$

Usually, the linear index of refraction looks like

$$n_0 = \sqrt{1 + \chi^{(1)}}$$

$$n = n_0 \sqrt{1 + \chi^{(3)} |E|^2 / n_0^2}$$

assume the nonlinear term is  $\ll n_0$

$$n \approx n_0 \left( 1 + \chi^{(3)} |E|^2 / 2n_0^2 \right)$$

$$n \approx n_0 + \chi^{(3)} |E|^2 / 2n_0$$

$$n \approx n_0 + n_2 I$$

nonlinear  
index of refraction

$$I \sim |E|^2$$

Really

$$n_2 = \frac{3 \operatorname{Re} \{ \chi_{xxxx}^{(2)} \}}{8 n_0}$$

$$n_2^I = \frac{3\chi}{8n_0} \left( \frac{2}{\epsilon_0 c n_0} \right)$$

## Nonlinear Index of Refraction

All three inputs copolarized  $\Rightarrow \chi_{xxxx}^{(3)}$

$$P_{NL}^{\omega} = \frac{3}{4} \epsilon_0 \chi_{xxxx}(\omega, \omega, -\omega, \omega) |E_0|^2 E_0 e^{i k(\omega) z}$$

Put into wave eq.

$$-i 2k \frac{dE_0}{dz} - k^2 E_0 + \omega^2 \mu_0 \epsilon_0 n_0^2 E_0 = -\frac{3}{4} \omega^2 \mu_0 \epsilon_0 \chi_{xxxx} |E_0|^2 E_0$$

assume  $\frac{dE_0}{dz} = 0$  Solve for  $k^2$

$$k^2 = \text{dispersion} = \omega^2 \mu_0 \epsilon_0 \left( n_0^2 + \frac{3}{4} \chi_{xxxx} |E_0|^2 \right)$$

~~Write~~ Rewrite as . . .

$$n = n_0 \left( 1 + \frac{3 \chi_{xxxx}}{4 n_0^2} |E_0|^2 \right)^{1/2}$$

$$n \approx n_0 + n_2 I_0$$

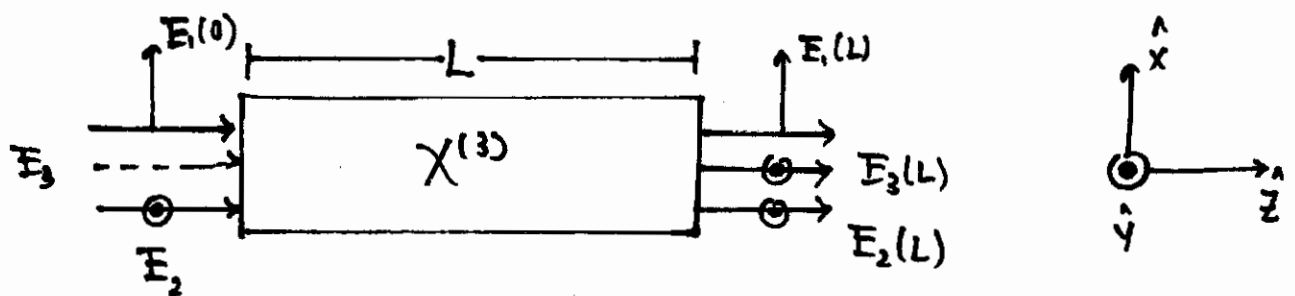
where  $n_2 = \frac{3 \chi_{xxxx}^{(3)}}{8 n_0}$

Notice we never talked about phase matching.

Since this process is completely degenerate, it is automatically phase matched. In general FWM processes must be phase matched.

### third harmonic generation

We expect this to be a phase matched process. We will go back to the more general situation



Inputs  $E_1(0) + E_2(0)$  have frequency  $\omega$  and are orthogonally polarized. The field  $E_3$  has frequency  $3\omega$ .

Write down the field in real instantaneous forms



$$\vec{\hat{E}}_1 = \left[ \frac{1}{2} E_{01} \exp(i k(\omega) z) \exp(-i \omega t) + \text{c.c.} \right] \hat{x}$$

$$\vec{\hat{E}}_2 = \left[ \frac{1}{2} E_{02} \exp(i k(\omega) z) \exp(-i \omega t) + \text{c.c.} \right] \hat{y}$$

and

$$\vec{\hat{E}}_3 = \left[ \frac{1}{2} E_{03} \exp(i k(3\omega) z) \exp(-i 3\omega t) + \text{c.c.} \right] \hat{y}$$

where  $k(\omega) = \frac{n(\omega)\omega}{c}$  +  $k(3\omega) = \frac{n(3\omega)3\omega}{c}$

Make the assumption that  $E_{02} < E_{01}$  +  $E_{03} < E_{01} \Rightarrow$  Strong pump approximation

Find the nonlinear polarization

~~the~~

$$\vec{P}_{NL} = \epsilon_0 \chi^{(3)} (\vec{\hat{E}}_1 + \vec{\hat{E}}_2 + \vec{\hat{E}}_3)^3$$

$$= \epsilon_0 \chi^{(3)} \left[ E_1^3 + E_2^3 + E_3^3 + 6 E_1 E_2 E_3 + 3 E_1^2 E_2 + 3 E_1 E_2^2 + 3 E_1^2 E_3 + 3 E_2^2 E_3 + 3 E_1 E_3^2 + 3 E_2 E_3^2 \right]$$

What a mess! To keep things simple since  $E_{02} < E_{01}$  +  $E_{03} < E_{01}$  we will only keep terms of the product of  $E_{01} \times E_{02}$  or  $E_{01} \times E_{03}$

the resulting  $P_{NL}$  terms will oscillate at  $\omega$  +  $3\omega$

$$\vec{P}_{NL} = \vec{P}_{NL}^{\omega} + \vec{P}_{NL}^{3\omega} \begin{cases} \vec{P}_{NL}^{\omega}(\omega) = \vec{P}_{NL}^{\omega}(\omega) e^{-i\omega t} + \vec{P}_{NL}^{\omega}(\omega) e^{+i\omega t} \\ \vec{P}_{NL}^{3\omega} = \vec{P}_{NL}^{3\omega} e^{-i3\omega t} + \vec{P}_{NL}^{3\omega} e^{+i\omega t} \end{cases}$$

Where

$$\begin{aligned} \mathcal{P}_{NL}^{\omega} = & \frac{3}{4} \epsilon_0 \chi_{yyyy}(\omega, \omega, -\omega, \omega) [2 |E_{01}|^2 E_{02} + E_{01}^2 E_{02}^*] e^{i k(\omega) z} \\ & + \frac{3}{4} \epsilon_0 \chi_{yyyy}(\omega, -\omega - \omega, 3\omega) (E_{01}^*)^2 E_{03} \exp(+i(k(3\omega) - 2k(\omega))z) \end{aligned}$$

$$\begin{aligned} \mathcal{P}_{NL}^{3\omega} = & \frac{6}{4} \epsilon_0 \chi_{yyyy}(3\omega; \omega, \omega, 3\omega) |E_{01}|^2 E_{03} e^{i k(3\omega) z} \\ & + \frac{3}{4} \epsilon_0 \chi_{yyyy}(3\omega; \omega, \omega, \omega) E_{01}^2 E_{02} \exp(+i 3 k(\omega) z) \end{aligned}$$

the wave eq gives

$$\partial_z^2 (\bar{E}_1 + \bar{E}_2 + \bar{E}_3) - \mu_0 \epsilon_0 n^2 \partial_t^2 (\bar{E}_1 + \bar{E}_2 + \bar{E}_3) = \mu_0 \partial_t^2 \bar{\mathcal{P}}_{NL}$$

here we will invoke the slowly varying envelope approximation again

$$|k \partial_z E_{0i}| \gg |\partial_z^2 E_{0i}|$$

We will then get

Remember the slowly varying envelope approximation

$$|k \partial_z E| \gg |\partial_z^2 E|$$

It can be rewritten as

$$\left| \lambda \partial_z (\partial_z E_{oi}) \right| \ll \left| \partial_z E_{oi} \right|$$

The change in the slope of the field envelope over distance  $\lambda$  is much less than the magnitude of the slope itself.

This expression is valid for pulses ~~except~~ except where

$$\boxed{\Delta t \approx \frac{2\pi}{\omega_0}} \Rightarrow \text{at } 800 \text{ nm} \quad \frac{2\pi}{\omega_0} \approx 2\pi \frac{\lambda_0}{2\pi c} = \frac{\lambda}{c} \approx \frac{800 \text{ nm}}{300 \frac{\text{nm}}{\text{fs}}} = 2.67 \text{ fs}$$

$$\left[ (k(\omega))^2 (E_{01} + E_{02}) + i 2 k(\omega) \partial_z E_{02} \right] \exp(ik(\omega)z - i\omega t)$$

$$+ \left( k^2(3\omega) E_{03} + i 2 k(3\omega) \partial_z E_{03} \right) \exp(i k(3\omega)z - i3\omega t)$$

$$- \mu_0 \epsilon_0 n^2(\omega) \omega^2 (E_{01} + E_{02}) \exp(-i\omega t + k(\omega)z)$$

$$- \mu_0 \epsilon_0 n^2(3\omega) (3\omega)^2 (E_{03}) \exp(-i3\omega t + k(3\omega)z)$$

$$= \mu_0 \omega^2 P_{NL}^{\omega} \exp(-i\omega t) + \mu_0 (3\omega)^2 P_{NL}^{3\omega} \exp(-i3\omega t)$$

By separating the above eq in  $\omega + 3\omega$ , we get two coupled DEs

$$\frac{dE_{02}}{dz} = \frac{-i 3\omega}{8 n(\omega)c} \left[ \chi_{yyyy}(\omega, \omega, -\omega, \omega) [2 |E_{01}|^2 E_{02} + E_{01}^2 E_{02}^*] \right. \\ \left. + \chi_{yyyy}(\omega, -\omega, \omega, 3\omega) E_{01}^{*2} E_{03} e^{-i\Delta k z} \right]$$

$$\frac{dE_{03}}{dz} = \frac{-i 3\omega}{8 n(3\omega)c} \left[ 6 \chi_{yyyy}(3\omega, \omega, -\omega, 3\omega) |E_{01}|^2 E_{03} \right. \\ \left. + 3 \chi_{yyyy}(3\omega, \omega, \omega, \omega) E_{01}^2 E_{02} e^{+i\Delta k z} \right]$$

$$\frac{dE_{01}}{dz} = 0$$

$$\text{Where } \Delta k = 3k(\omega) - k(3\omega)$$

$$\begin{aligned}
& \left[ (k(\omega))^2 (E_{01} + E_{02}) + i 2k(\omega) \partial_z E_{02} \right] \exp(ik(\omega)z - i\omega t) \\
& + \left( k^2(3\omega) E_{03} + i 2k(3\omega) \partial_z E_{03} \right) \exp(i k(3\omega)z - i3\omega t) \\
& - \mu_0 \epsilon_0 n^2(\omega) \omega^2 (E_{01} + E_{02}) \exp(-i\omega t + k(\omega)z) \\
& - \mu_0 \epsilon_0 n^2(3\omega) (3\omega)^2 (E_{03}) \exp(-i3\omega t + k(3\omega)z) \\
& = \mu_0 \omega^2 P_{NL}^\omega \exp(-i\omega t) + \mu_0 (3\omega)^2 P_{NL}^{3\omega} \exp(-i3\omega t)
\end{aligned}$$

By separating the above eq in  $\omega + 3\omega$ , we get two coupled DEs

$$\begin{aligned}
\frac{\partial E_{02}}{\partial z} = & \frac{-i 3\omega}{8 n(\omega)c} \left[ \chi_{yyyy}(\omega, \omega, -\omega, \omega) [2 |E_{01}|^2 E_{02} + E_{01}^2 E_{02}^*] \right. \\
& \left. + \chi_{yyxy}(\omega, -\omega, \omega, 3\omega) E_{01}^{*2} E_{03} e^{-i\Delta k z} \right]
\end{aligned}$$

$$\begin{aligned}
\frac{\partial E_{03}}{\partial z} = & \frac{-i 3\omega}{8 n(3\omega)c} \left[ 6 \chi_{yyxy}(3\omega; \omega, -\omega, 3\omega) |E_{01}|^2 E_{03} \right. \\
& \left. + 3 \chi_{yyxy}(3\omega; \omega, \omega, \omega) E_{01}^2 E_{02} e^{+i\Delta k z} \right]
\end{aligned}$$

$$\frac{\partial E_{01}}{\partial z} = 0$$

$$\text{Where } \Delta k = 3k(\omega) - k(3\omega)$$

If we also assume

$$\partial_z \bar{E}_2 \approx 0$$

look familiar

$$\frac{d\bar{E}_3}{dz} = \left( \right) \exp(+i \Delta k z)$$

or

$$\bar{E}_{03}(L) = \frac{-i q \omega \chi_{xyxy}}{8 n(3\omega) c} \bar{E}_{01}^2 \bar{E}_2 L \frac{\sin(\Delta k L/2)}{(\Delta k L/2)} e^{+i \Delta k L/2}$$

$$I_{03} = 2 \epsilon_0 n_3 c |\bar{E}_{03}|^2 \sim \text{sinc}^2(\Delta k L/2) L^2$$

↑ phase match process

$$\text{Where } \Delta k = 3k(\omega) - k(3\omega)$$

Two important features

1)  $I_{03} \sim L^2$

2)  $\text{sinc}^2(\ )$

just like  $\chi^{(3)}$ !

## Lecture 21

## Pulse Propagation in Optical Fibers

### Review

We discussed how the geometry of an optical fiber alters the net dispersion.

$$\beta(\omega) = \frac{n_1(\omega)\omega}{c} \sqrt{1 + 2\Delta n b(\omega)}$$

$b(\omega) \equiv$  normalized propagation constant

$\Delta n \equiv$  index difference (0.008)

Since  $\Delta n$  is small we can write

$$\beta(\omega) \approx \frac{n_1(\omega)\omega}{c} + 2\Delta n b(\omega)$$

$$\beta_{\text{total}} \approx \beta_{\text{material}} + \beta_{\text{waveguide}}$$

### Role of Dispersion on Nonlinear effects

We wish to consider pulse propagation in a  $\chi^{(2)}$  material.

In the absence of dispersion, the only effect is SPM

$$E(t, z) = E(t, 0) \exp(i \phi_{NL}(t))$$

$$\phi_{NL}(t) = |U(t, 0)|^2 z / L_{NL}$$

$$(L_{NL} = \frac{1}{8P_0})$$

This expression was derived assuming small (or zero) material dispersion. What do we get if we assume dispersion.

How to characterize dispersion : GVD

$$\beta_2 \equiv \text{GVD}$$

$$\beta_2 > 0 \quad \text{Normal}$$

$$\beta_2 < 0 \quad \text{Anomalous}$$

Dispersion Length (GVD)

$$L_D \equiv \frac{T_0}{|\beta_2|}$$

$$T_0 \equiv 1/e \text{ halfwidth}$$

$$\left( T_0 = \frac{\Delta t}{2 \ln(1+\sqrt{2})} \quad \Delta t \equiv \text{FWHM for sech}(\ ) \right)$$

→ Gaussian pulse will increase its width by  $\sqrt{2}$  by propagation  $L_D$ .

Compare  $L_D$  +  $L_{NL}$

$$L_{NL} \gg L_D$$

Nonlinear material / consider only SPM

$$L_D \gg L_{NL}$$

Dispersive material / consider only  
GVD

The nonlinear length does not take into account any higher order nonlinearities.



## Derivation of NLSE

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}$$

Assumptions

- 1)  $P_{NL}$  is small
- 2)  $\vec{E}$  is linearly polarized
- 3)  $\Delta\omega/\omega_0 \approx 1$
- 4) SVEA

$$P_{NL} = 3\chi^{(3)} |E|^2 E = \epsilon_0 \epsilon_{NL} E \quad (\text{Boyd notation})$$

3/4 Agreement

Work in Freq. domain

$$\nabla^2 E(\omega) + \overbrace{(1 + \chi^{(1)} + 3\chi^{(3)} |E|^2)}^{\epsilon(\omega)} k_0^2 \vec{E} = 0$$

$$\epsilon(\omega) = 1 + \chi^{(1)} + 3\chi^{(3)} |E|^2$$

Write

$$n = n_0 + n_2 |E|^2 \quad \alpha = \alpha_0 + \alpha_2 |E|^2 \approx \alpha_0$$

Use separation of variables

$$\vec{E}(\vec{r}, \omega - \omega_0) = F(x, y) \overset{\text{slowly varying}}{A(z, \omega - \omega_0)} \exp(i\beta_0 z)$$

Get separate Eqs in wave eq.

$$\partial_x^2 F + \partial_y^2 F + (\epsilon(\omega)k_0^2 - \bar{\beta}^2) F = 0 \quad (1)$$

$$2i\beta_0 \partial_z A + (\bar{\beta}^2 - \beta_0^2) A = 0 \quad (2)$$

Need to solve for  $\bar{\beta}$

Approximate  $\epsilon = (n_0 + \Delta n)^2 \approx n_0^2 + 2n_0 \Delta n$

$$\approx n_0^2 + 2n_0 n_2 |E|^2 + \frac{i\alpha}{2k_0}$$

Solve (1) by 1st order ~~perturbation~~

Replace  $\epsilon$  with  $n_0^2$  Find  $F(x,y) + \beta(\omega)$

Include  $n_2 |E|^2 + \frac{i\alpha}{2k_0}$  in (1)

Find  $\bar{\beta}(\omega) = \beta(\omega) + \Delta\beta$

$$\Delta\beta = \frac{k_0 \int_A (n_2 |E|^2 + i\alpha/2k_0) |E|^2 dA}{\int_A |E|^2 dA}$$
~~$$\Delta\beta = \frac{k_0 \int_A n_2 |F(x,y)|^2 dA}{\int_A |F(x,y)|^2 dA}$$~~

Back to (2)  $\partial_z A = i(\beta(\omega) + \Delta\beta - \beta_0) A$

$\beta(\omega) \Rightarrow$  Taylor series

Back transform to time

$$\partial_z A = -\beta_1 \partial_t A - \frac{i}{2} \beta_2 \partial_t^2 A + i \alpha \beta A$$

$$\partial_z A + \beta_1 \partial_t A + \frac{i}{2} \beta_2 \partial_t^2 A + \frac{\alpha}{2} A = i \gamma |A|^2 A$$

In general

Absorption

dispersion

SPM

$$\partial_z E = -\frac{\alpha}{2} E - \left( \sum_{m=2} \beta_m \frac{i^{m-1}}{m!} \partial_t^m \right) E + i \gamma |E|^2 E$$

rewrite in frame moving with  $v_g = \frac{c}{n} = \beta_1^{-1}$

If we add self steepening + SRS

$$\partial_z E = -\frac{\alpha}{2} E - \left( \sum_{m=2} \beta_m \frac{i^{m-1}}{m!} \partial_t^m \right) E + (1-f_r) \left[ i \gamma |E|^2 E - \frac{2\gamma}{\omega_p} \partial_t^2 (|E|^2 E) \right] + i \gamma F_r \left( 1 + \frac{i}{\omega} \partial_t \right) \left( E \int h_r(t) |E(z, t')|^2 dt' \right)$$

$$\gamma = \frac{n_2 \omega}{c A_{\text{eff}}}$$

$$A_{\text{eff}} = \frac{\left( \int_A |E|^2 dA \right)^2}{\int_A |E|^4 dA}$$

The equation is called the Nonlinear Schrödinger equation because it has the form

$$\partial_z E + \frac{i}{2} \beta_2 \partial_t^2 E - i |E|^2 E = 0$$

for  $q = q(z, t)$

$$k = -\beta$$

$$\partial_z q + k \frac{i}{2} \partial_t^2 q - i |q|^2 q = 0$$

Se

$$\partial_t q - k \frac{i}{2} \partial_z^2 q = 0$$

Swap  $t$  &  $z$

Wave eq

$$\frac{1}{v^2} \partial_t^2 q - \partial_x^2 q = 0$$

Korteweg - de Vries (KdV)

$$\partial_t q + \partial_x^3 q + 6 q \partial_x q = 0$$

Can we derive a more generic wave eq that is valid for both non linear + dispersive effects?

Start with wave eq

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{D}$$

Express this eq in Fourier Domain  $\omega$

$$\nabla^2 E(\omega) + \underbrace{\left( 1 + \chi^{(1)} + \frac{3}{4} \chi^{(3)} |E|^2 \right)}_{\substack{\uparrow \text{linear dispersion} \\ \chi^{(1)} \Rightarrow n_2}} \frac{\omega}{c} E(\omega) = 0$$

$$\epsilon = (n + \Delta n)^2 \approx n^2 + 2n \Delta n$$

$$\Delta n = n_2 |E|^2 + \frac{i\alpha}{2k_0}$$

Find solution of the form

$$E(r, \omega) = F(x, y) A(z, \omega) \exp(i\beta_0 z)$$

$\uparrow$  For a fiber this represents the fiber modes.

Substitution gives two coupled eqs assuming SVEA (call this  $\eta(\omega)$ )

$$(1) \quad \partial_x^2 F + \partial_y^2 F - \left[ \left( 1 + \chi^{(1)} + \frac{3}{4} \chi^{(3)} |E|^2 \right) \frac{\omega^2}{c^2} - \beta^2(\omega) \right] F(x, y) = 0$$

$$(2) \quad 2i\beta_0 \partial_z A(z, \omega) + \underbrace{(\beta^2(\omega) - \beta_0^2)}_{\beta_3(\omega)} A(z, \omega) = 0$$

How to solve this: Use a perturbative solution using terms of

$$\epsilon(\omega) = 1 + \chi^{(1)} + \frac{3}{4} \chi^{(3)} |E|^2$$

### Procedure for solving $\beta(\omega)$

1. Zeroth order  $\epsilon(\omega) = 1 + \chi^{(1)}$ 
  - use  $\epsilon_q(1)$  and solve for  $\beta^{(0)} + F(x, y)$
  - Assume  $F(x, y)$  is a fiber mode (single mode)
2. 1st order  $\epsilon(\omega) = 1 + \chi^{(1)} + \frac{3}{4} \chi^{(3)} |E|^2$ 
  - Sub  $\epsilon(\omega)$  into  $\epsilon_q(1)$  and solve for  $\beta^{(1)}$
  - Sub  $\beta^{(1)} + \beta^{(0)}$  into  $\epsilon_q(2)$

From (2) we get

$$\partial_z E(z, \omega) = i \left[ \beta^{(0)} + \beta^{(1)} - \beta_0 \right] E(z, \omega)$$

$\uparrow$  effect of dispersion

Nonlinearity  $\Rightarrow \beta^{(1)} = \chi |E|^2$   
only

Dispersion  $\Rightarrow \beta_0^o(\omega) = \beta_0 + \beta_1 (\omega - \omega_0)^2 + \frac{1}{2} (\beta_2) (\omega - \omega_0)^3 = \beta(\omega)$   
only  
(and absorption)

Solution

$$\partial_z E = - \underbrace{\left( \sum_{m=1} \beta_m \frac{i^{(m-1)}}{m!} \partial_z^m \right) E}_{\text{Dispersion}} + \underbrace{i\gamma |E|^2 E}_{\text{SPM}}$$

The name of this equation is the nonlinear Schrödinger Equation (NLSE)

A more accurate form of this equation which takes into account higher order effects (self steepening + Raman effect)

$$\begin{aligned} \partial_z E = & -\frac{\alpha}{2} E - \left( \sum_{m=2} \beta_m \frac{i^{m-1}}{m!} \partial_z^m \right) E + (1 + f_R) \left[ i\gamma |E|^2 E - \frac{2\gamma}{\omega_0} \partial_z (E^* E) \right] \\ & + i\gamma f_R \left( 1 + \frac{i}{\omega_0} \partial_z \right) \left( E \int h_R(t) |E(z, t-t')|^2 dt' \right) \end{aligned}$$

Solitons

An analytic solution to the NLSE is of the form

$$E(t) \sim \text{sech}(t/\Delta t) e^{-i\omega_0 t} N \sqrt{P_i}$$

where

$$P_i = \frac{1}{\gamma L_D}$$

$$N^2 = \frac{L_D}{L_{NL}} \equiv (\text{soliton order})^2$$

## Lecture 22

More on pulse propagation in fibers

### Review

Need to consider both dispersive (GVD) and nonlinear effects (SPM)

NLSE

$$\partial_z E = -\frac{i}{2}\beta_2 \partial_t^2 E + i\gamma|E|^2 E$$

$$\partial_z E = \underbrace{\left(-\frac{\alpha}{2}E\right)}_{\text{Absorption}} + \underbrace{\left(\beta_1 \partial_t E + \frac{i}{2}\beta_2 \partial_t^2 E\right)}_{\text{dispersion (GVD)}} + \underbrace{i\gamma|E|^2 E}_{\text{SPM}}$$

An analytic solution is given by

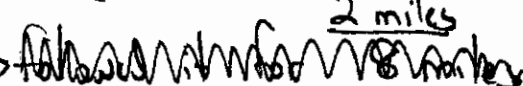
$$E(t) \sim N \sqrt{P_1} \operatorname{sech}(\gamma t / \Delta t)$$

where  $\frac{1}{\gamma L_0} \equiv P_1$        $N^2 = \frac{L_0}{L_{NL}}$  (Soliton order)

Optical Solitons (self-reinforcing solitary wave)

Balancing GVD + SPM

John Scott Russell (~1834) in water

Water wave  $\Rightarrow$   (Union Canal)

See in plasmas + in optics



A 1<sup>st</sup> order soliton occurs to the balanced effects of GVD + SPM

GVD  $\Rightarrow$  Temporal Broadening

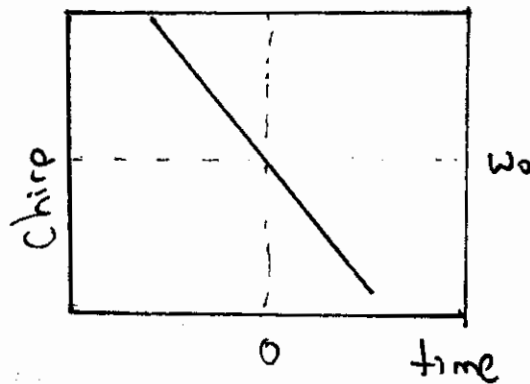
SPM  $\Rightarrow$  Spectral Broadening

For  $N=1$   $L_N = L_D$  look at chirp  $\omega(t) = \omega_0 - 2\phi(t)$

Compare the chirp due to GVD + SPM

Anomalous GVD

$\bullet \beta_2 < 0$

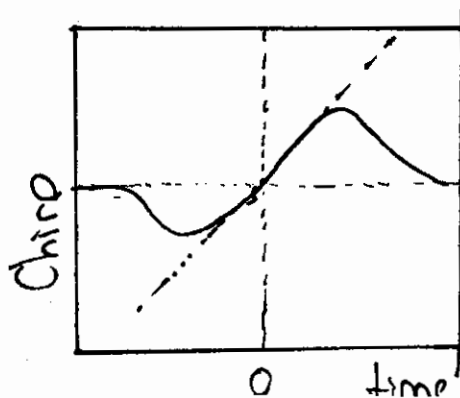


Negative chirp

SPM

$$\phi_{NL}(t) \sim \gamma | \text{sech}(t/\Delta t) |^2 L$$

$$\omega(t) = \omega_0 - 2\gamma L | \text{sech}(t/\Delta t) |^2$$



The slope of the chirp due to SPM across the  $\omega_0$  width of the pulse is equal + opposite to that of anomalous GVD.

## Three properties of solitons

Permanent form

Localized

Interact with other solitons, emerged unchanged.

## Optical Solitons

Experimentally observed in 1973

Result from interplay of  $\lambda$  GVD + SPM  
anomalous

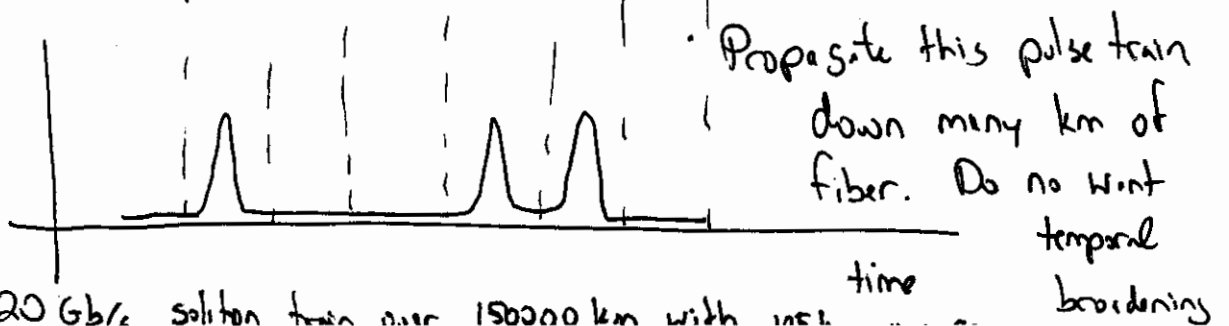
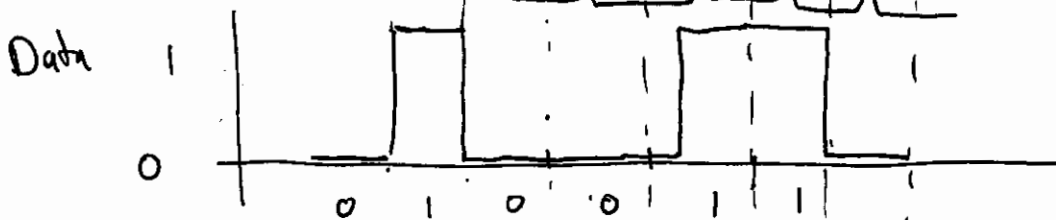
Fundamental Soliton  $N=1$  Constant Shape

Higher order solitons  $N>1$  Periodic Shape

## Applications for solitons $\Rightarrow$ Optical Communications

Encode Data on pulse train

(Time Division  
Multiplexing)



Numbers: 20 Gbit/s soliton train over 150000 km with no

## How to Solve the NLSE: Split Step Fourier Method

Break the fiber in  $n$  steps of length  $h$

Use operator method

Dispersion operator

$$\hat{D} = -\frac{i}{2} \beta_2 \partial_z^2$$

Nonlinearity operator

$$\hat{N} = i \gamma |E|^2$$

Write NLSE

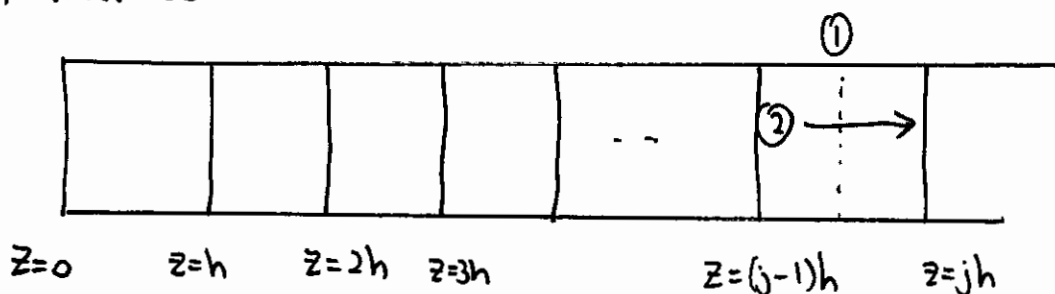
$$\partial_z E = (\hat{D} + \hat{N}) E$$

$$\text{So } E(jh, t) = \exp(\hat{D} + \hat{N}) E((j-1)h, t)$$

If dispersion acts independently of the nonlinearity (assumed for a small step size) then

$$\exp((\hat{D} + \hat{N})h) \approx \exp(\hat{D}h) \exp(\hat{N}h)$$

Solution Method



In general

$$\mathcal{F}\{ \partial_+^n f(t) \} = (i\omega)^n \mathcal{F}\{ f(t) \}$$

1. Calculate nonlinearity at midpoint of step

$$\exp(h\hat{N}) E((j-1)h, t)$$

2. Calculate Dispersion in frequency domain

$$\exp(h\hat{D}(\omega)) \mathcal{F}\{\exp(h\hat{N}) E((j-1)h, t)\}$$

Why? The operator  $\hat{D}$  is a differential operator

$$\hat{D} \sim \partial_t^2$$

However

$$\mathcal{F}\{\partial_t^2\} = (i\omega)^2 \quad \text{(In general, } \mathcal{F}\{\partial_t^n\} = (i\omega)^n \text{)}$$

So  $\hat{D}$  in the Fourier Domain is a multiplicative operator

3. The solution after the step is

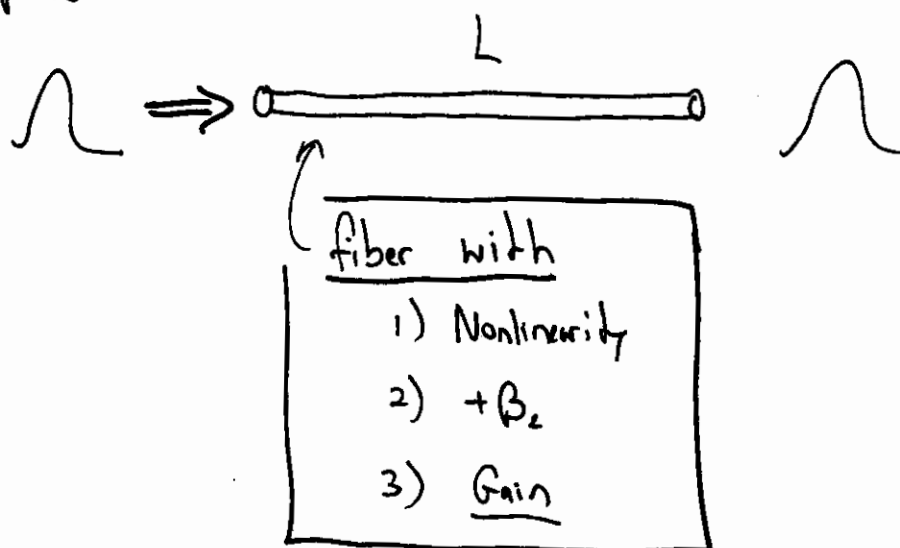
$$E(jh, t) = \mathcal{F}^{-1}\{\exp(\hat{D}h) \mathcal{F}\{\exp(\hat{N}h) E((j-1)h, t)\}\}$$

Repeat procedure over all steps to  $L$ .

## One other "special" Pulse : Similariton

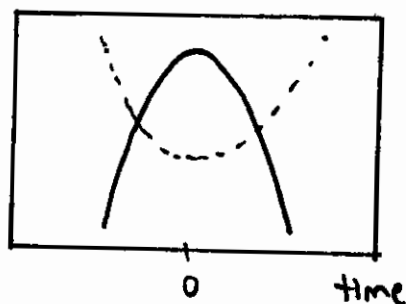
Arb  
pulse

Parabolic pulse  
"Similariton"



In the limit of infinite length the electric field profile is a parabola! It also has a parabolic phase distortion.

$$E(\omega, z) \sim A_0 \sqrt{1 - \frac{\omega^2}{\omega_p^2}} \exp(i \omega^2 / c)$$



Intensity + Phase

Why are similaritons important

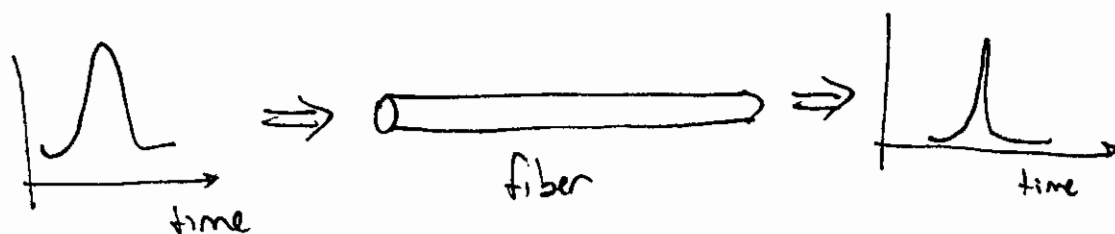
The pulse only has a quadratic phase distortion which can be compressed with GVD only!

# Lecture 23 Applications of $\chi^{(3)}$ Effects

- Ultrashort pulse compression
- Self Focusing and Self Filamentation
- Supercontinuum Generation.
- Nonlinear Switching

## Ultrashort pulse compression in optical fibers

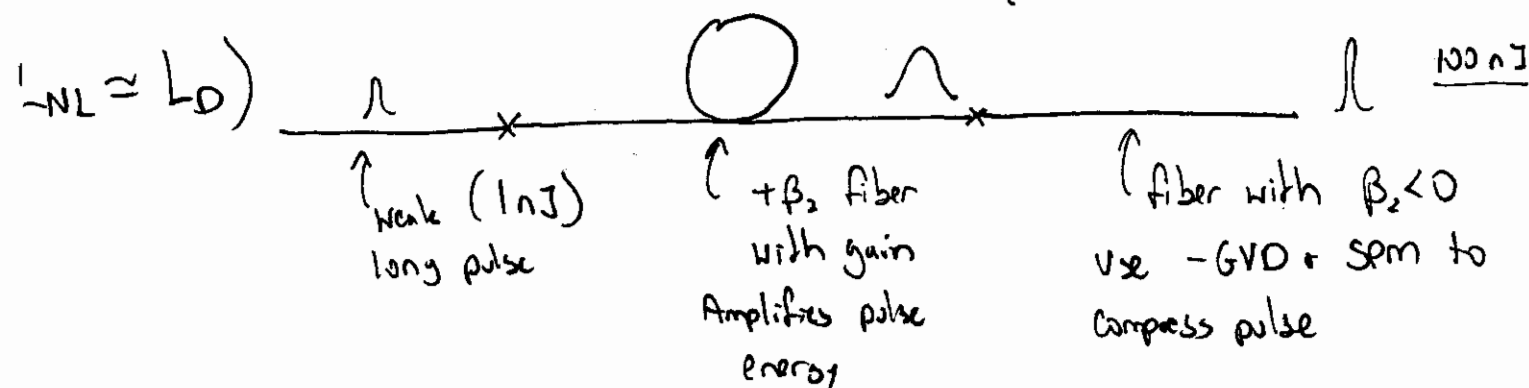
If a fiber exhibits a large nonlinearity with small  $\beta_2$  GVD then the nonlinear spectral broadening can be used for pulse compression.



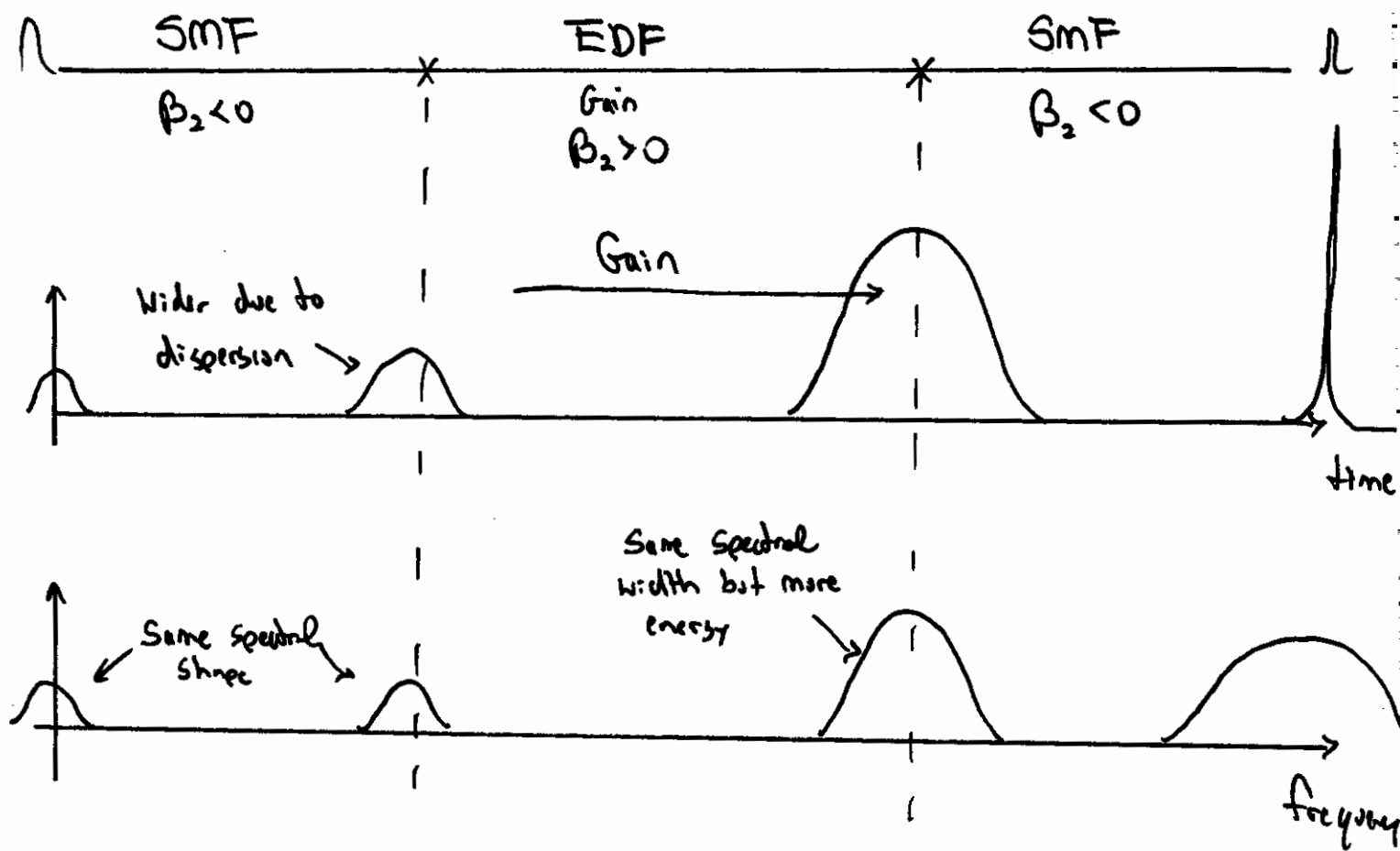
The process works better when the <sup>group velocity</sup> dispersion is near zero and anomalous.

## Anomalous Dispersion

Compression Scheme in optical fibers (Compression & Amplification)  
Compression from 200 fs to 50 fs

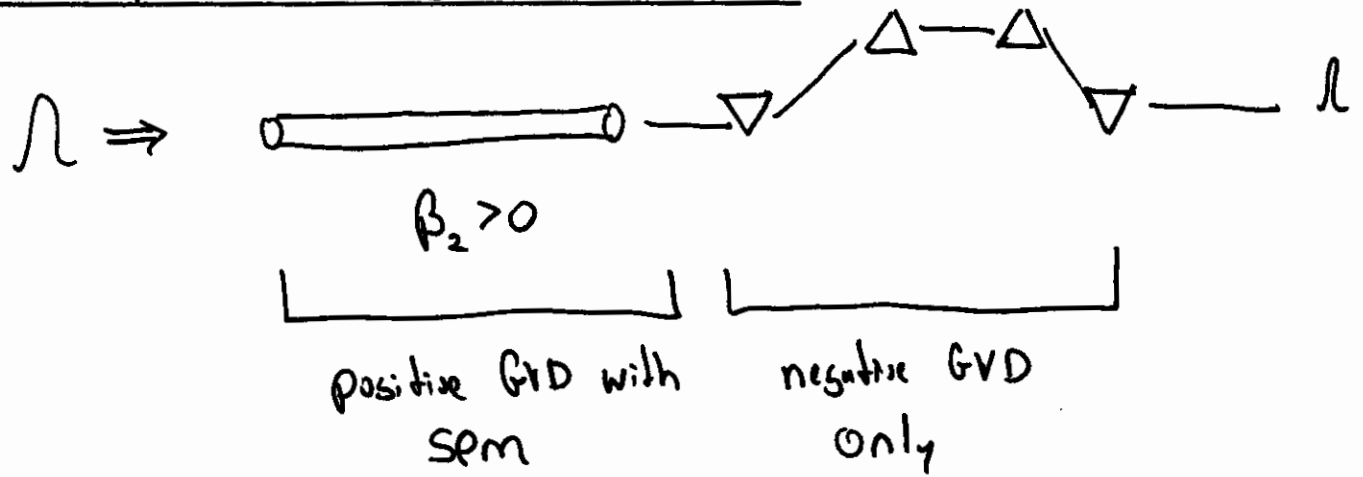


# Pulse compression using negative GVD

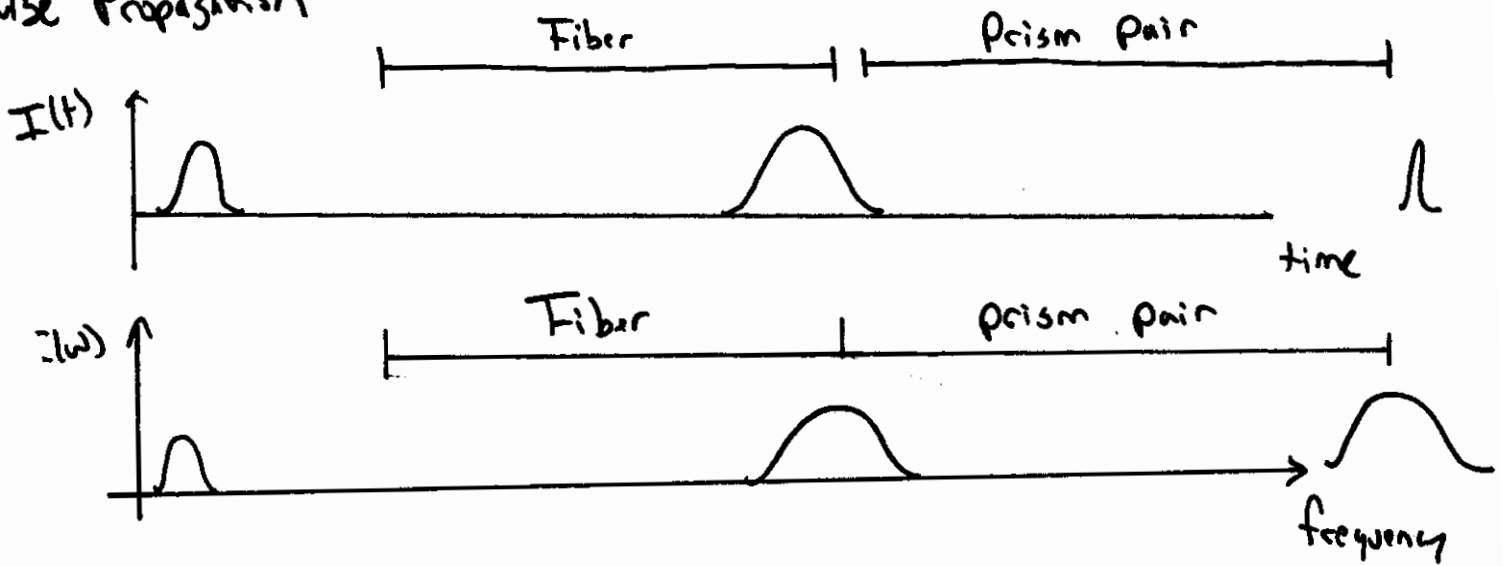




# Pulse Compression using positive GVD

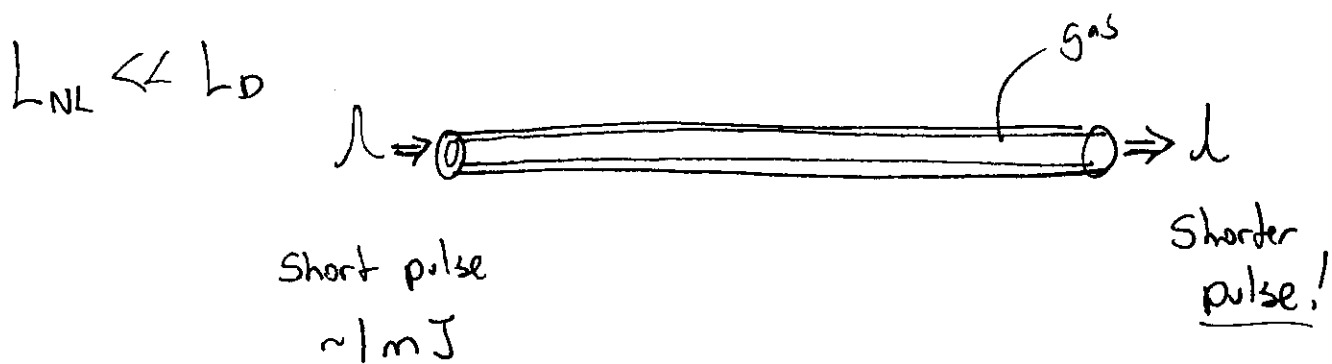


## Pulse Propagation



## Ultrashort pulse compression in Noble Gases.

Specific Noble gases (Ne, etc) exhibit a large  $n_2$  with small dispersion. The SPM ~~interaction~~ due to the gas in the presence of small GVD will cause spectral broadening / temporal compression.



Compression from  $\sim 20 \text{ fs}$  to  $< 5 \text{ fs}$

Using the interaction of SPM + GVD for pulse compression

~~Self Focusing~~  
~~spatial analogy to self~~

# Supercontinuum Generation

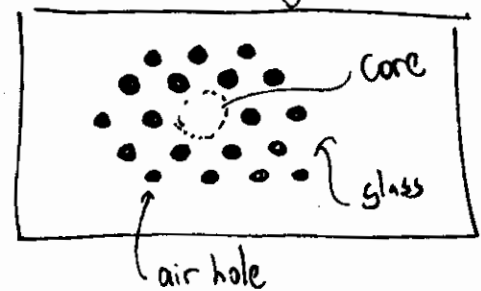
Generation of extremely broadband light ( $\Delta\omega \approx \omega_0$ )

How? Typically two methods

- 1)  $\sim 1$  mJ pulses in quartz or sapphire plate
- 2)  $\sim 1$  nJ pulses in a "special" optical fiber

"special fiber" { microstructured optical fiber  
photonic crystal fiber  
photonic bandgap fiber  
holey fibers

These are optical fibers that have a very small core surrounded by a cladding of air holes + glass.



The presence of the air holes in the cladding reduces the effective cladding index thus increasing  $\Delta n$ . This makes the mode field diameter smaller thus a larger effective nonlinearity  $\gamma$ .

$$\gamma = \frac{n_2 \omega}{C (\pi r_0)^2}$$



Heriot-Watt University

## Department of Mathematics

### John Scott Russell and the solitary wave

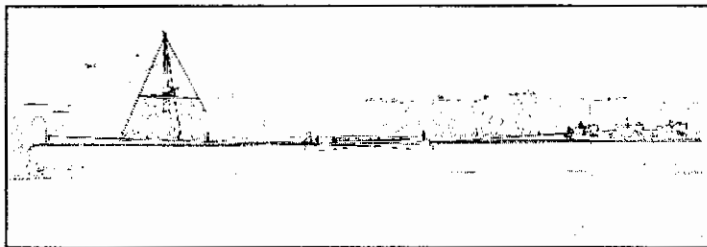


Over one hundred and fifty years ago, while conducting experiments to determine the most efficient design for canal boats, a young Scottish engineer named John Scott Russell (1808-1882) made a remarkable scientific discovery. As he described it in his "Report on Waves": (Report of the fourteenth meeting of the British Association for the Advancement of Science, York, September 1844 (London 1845). pp 311-390. Plates XLVII-LVII).

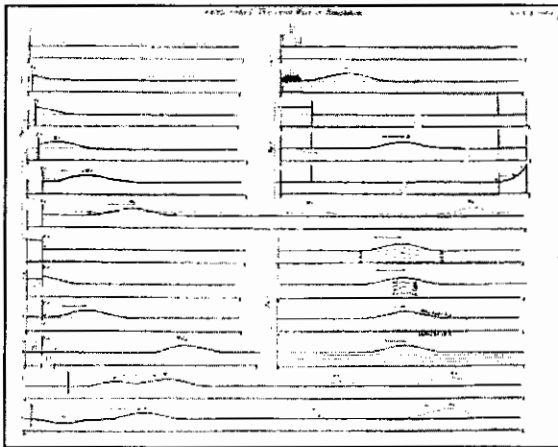
"I was observing the motion of a boat which was rapidly drawn along a narrow channel by a pair of horses, when the boat suddenly stopped - not so the mass of water in the channel which it had put in motion; it accumulated round the prow of the vessel in a state of violent agitation, then suddenly leaving it behind, rolled forward with great velocity, assuming the form of a large solitary elevation, a rounded, smooth and well-defined heap of water, which continued its course along the channel apparently without change of form or diminution of speed. I followed it on horseback, and overtook it still rolling on at a rate of some eight or nine miles an hour, preserving its original figure some thirty feet long and a foot to a foot and a half in height. Its height gradually diminished, and after a chase of one or two miles I lost it in the windings of the channel. Such, in the month of August 1834, was my first chance interview with that singular and beautiful phenomenon which I have called the Wave of Translation".

(Cet passage en français)

This event took place on the Union Canal at Hermiston, very close to the Riccarton campus of Heriot-Watt University, Edinburgh.



Following this discovery, Scott Russell built a 30' wave tank in his back garden and made further important observations of the properties of the solitary wave.

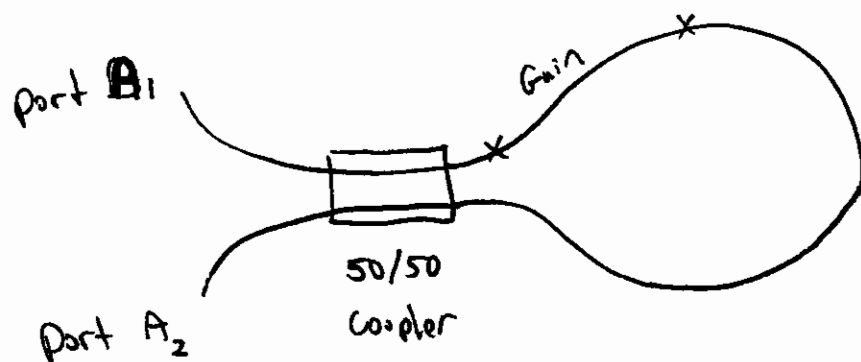


Throughout his life Russell remained convinced that his solitary wave (the "Wave of Translation") was of fundamental importance, but nineteenth and early twentieth century scientists thought otherwise. His fame has rested on other achievements. To mention some of his many and varied activities, he developed the "wave line" system of hull construction which revolutionized nineteenth century naval architecture, and was awarded the gold medal of the Royal Society of Edinburgh in 1837. He began steam carriage service between Glasgow and Paisley in 1834, and made one of the first experimental observations of the "Doppler shift" of sound frequency as a train passes. He reorganized the Royal Society of Arts, founded the Institution of Naval Architects and in 1849 was elected Fellow of the Royal Society of London. He designed (with Brunel) the "Great Eastern" and built it; he designed the Vienna Rotunda and helped to design Britain's first armoured warship (the "Warrior"). He developed a curriculum for technical education in Britain, and it has recently become known that he attempted to negotiate peace during the American Civil War.

It was not until the mid 1960's when applied scientists began to use modern digital computers to study nonlinear wave propagation that the soundness of Russell's early ideas began to be appreciated. He viewed the solitary wave as a self-sufficient dynamic entity, a "thing" displaying many properties of a particle. From the modern perspective it is used as a constructive element to formulate the complex dynamical behaviour of wave systems throughout science: from hydrodynamics to nonlinear optics, from plasmas to shock waves, from tornados to the Great Red Spot of Jupiter, from the elementary particles of matter to the elementary particles of thought.

For a more detailed and technical account of the solitary wave, see for example R K Bullough, "The Wave" *"par excellence", the solitary, progressive great wave of equilibrium of the fluid - an early history of the solitary wave*, in *Solitons*, ed. M Lakshmanan, Springer Series in Nonlinear Dynamics, 1988, 150-281, or "The Spirited Horse,

# Nonlinear Switching    Loop Mirrors

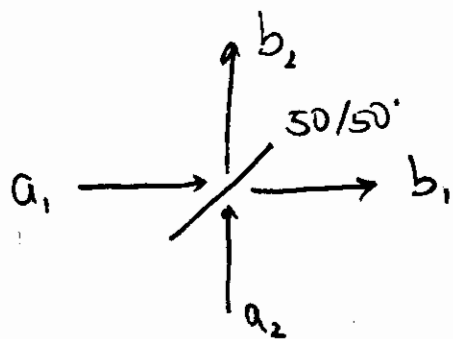


Loop mirror

Fast switch

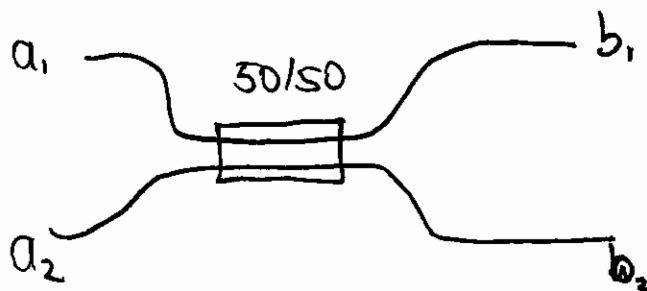
Sagnac Interferometer

## Difference between beam splitter + directional coupler



beam splitter

$\pi$  phase shift between  $b_1$  +  $b_2$



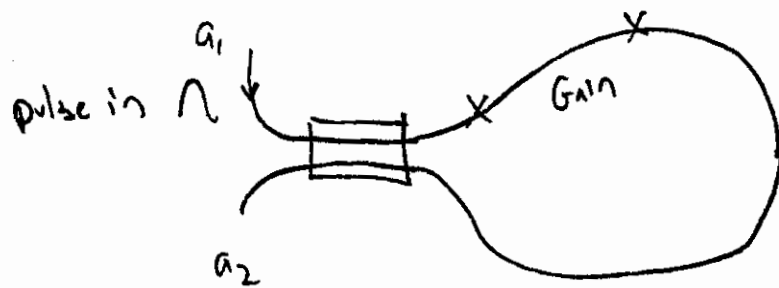
directional coupler

(uses evanescent wave coupling)

$\frac{\pi}{2}$  phase shift between  $b_1$  +  $b_2$  for 50/50.

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} t & e^{i\pi/2\sqrt{1-t^2}} \\ e^{i\pi/2\sqrt{1-t^2}} & t \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

The nonlinear phase shift is asymmetric



$G \equiv \text{Gain}$

The directional coupler will split the input pulse ~~into~~ into two:

- 1) Clockwise : Pulse that goes clockwise get first amplified and then experiences a large nonlinear phase shift
- 2) Counter Clockwise : Pulse that goes counter clockwise goes thru fiber and get a small nonlinear phase then goes thru amplifier

Difference in phase shift between two pulses.

$$\Delta\phi \sim n_2 (G-1) I(t) L$$

If the pulses are weak then  $\Delta\phi = 0$  and the pulse will exit the loop out port  $a_1$ .

If the pulses are strong then  $\Delta\phi \approx \pi$  and the pulse will exit the loop out port  $a_2$ .

# Lecture 25

# Stimulated Raman Scattering

## spontaneous Raman effect (1928)

Scattering of light by vibrations of the medium

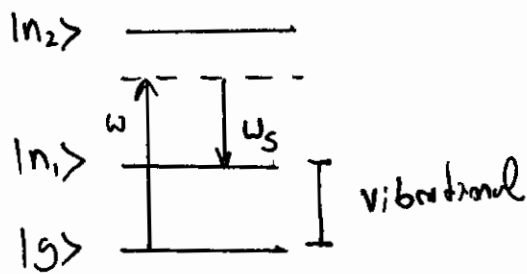
Scattering of photons by optical phonons

New spectral components

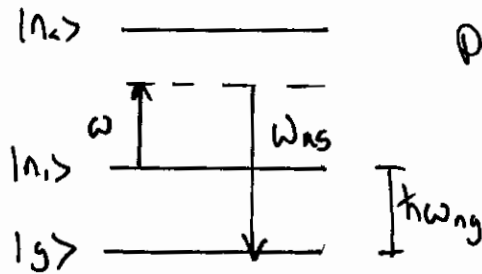
Stokes  $\Rightarrow$  ~~shorter  $\lambda$~~  longer  $\lambda$  / ~~larger  $\omega$~~  smaller  $\omega$

Anti Stokes  $\Rightarrow$  shorter  $\lambda$  / larger  $\omega$

Stokes components are an order of magnitude larger than anti-Stokes.



Stokes



Anti Stokes

Two photon process

Anti-Stokes emission is smaller since at room temp. mostly the  $|g\rangle$  state is populated.

$|n\rangle$  population smaller by  $\exp(-k_B T / \hbar \omega_n)$

Scattering by  $\sim 10^{-6} \text{ cm}^{-1}$   $\sim 10^{-6} \text{ nm}^{-1}$

## - Optical Phonons

quantized lattice vibrations



## Versus Acoustic Phonons



Note : Scattering of photons by acoustic phonons is called Brillouin scattering )

~~Stimulated Raman Scattering  $\Rightarrow$  Strong Pumps~~  
~~Most stronger process~~  
~~Forward scattering process~~

## Properties of Spontaneous Raman Scattering

- Stokes intensity grows linearly with length of Raman material
- Process is weak      Scattering  $\frac{\text{cross section}}{\text{Volume}} \sim 10^{-6} \text{ cm}^{-1}$   
(1 in  $10^6$  will be scattered)
- Two Photon Process



# Stimulated Raman Scattering (SRS)

## Four Photon Process

Slow resonance is excited by two optical fields at two frequencies that differ by the molecular resonant ~~own~~ frequency. These frequencies interact to produce sum + difference frequencies.

This is a nonlinear process since it depends on the product of fields.

Stokes generation dominates since

- upper levels are not previously excited
- phase matched for colinear propagation

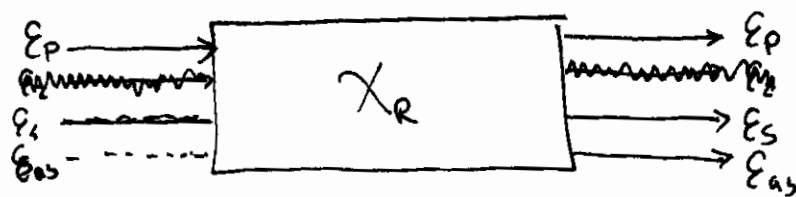
Unlike spontaneous Raman Scattering, SRS is a forward Scattering

## Process of SRS

- Pump wave generates Stokes via spontaneous Raman Scattering.
- The pump is constant so more Stokes photons are generated this also causes a slight excitation of the molecular resonance.
- As the scattered Stokes increases in intensity, the stimulated regime is reached.
- Now the Stokes wave interacts with the pump to further excite resonances, increasing the rate of frequency conversion.

# Classical Description of SRS

Treat medium as collections of atoms



(ignore anti stokes for this)

Atoms will obey the differential eq

$$m\ddot{q} + m\zeta_R\dot{q} + kq = eE \quad (1)$$

where  $q \equiv$  atomic displacement  $k \equiv$  atomic spring const

$\zeta_R \equiv$  Raman damping  $E = E_1 + E_2 = E_p + E_s$

" to find the output electric fields

1) First find  $P$  &  $\chi_R$  We can write

$$P_{NL} = \epsilon_0 N \alpha_p q(z,t) E(z,t) \quad (2)$$

$$P_{NL} = \epsilon_0 \chi_R E \quad (P = P_{NL} + P_L) \quad (3)$$

2) Solve for  $q(z,t)$  using (1)

3) Find  $P$  using (2)

4) Find  $\chi_R$  using (2) & (3)

$$\chi_R = - \frac{\epsilon_0 N \alpha_p^2}{8m\omega_0 \zeta_R} \left( \frac{i + \zeta_R}{1 + \zeta_R^2} \right) \quad \omega_0 \equiv \sqrt{k/m} \quad \zeta_R \equiv \frac{2}{\zeta_R} ((\omega_2 - \omega) - \omega_0)$$

5) Find  $\mathcal{E}_s$  &  $\mathcal{E}_{as}$  by putting  $P = P_M + P_L$  into the wave equation.

Can rewrite wave eq as

$$\frac{dI_s}{dz} = g_R I_p I_s - \alpha_s I_s$$

$$\frac{dI_p}{dz} = -\frac{\omega_p}{\omega_s} g_R I_p I_s - \alpha_p I_p$$

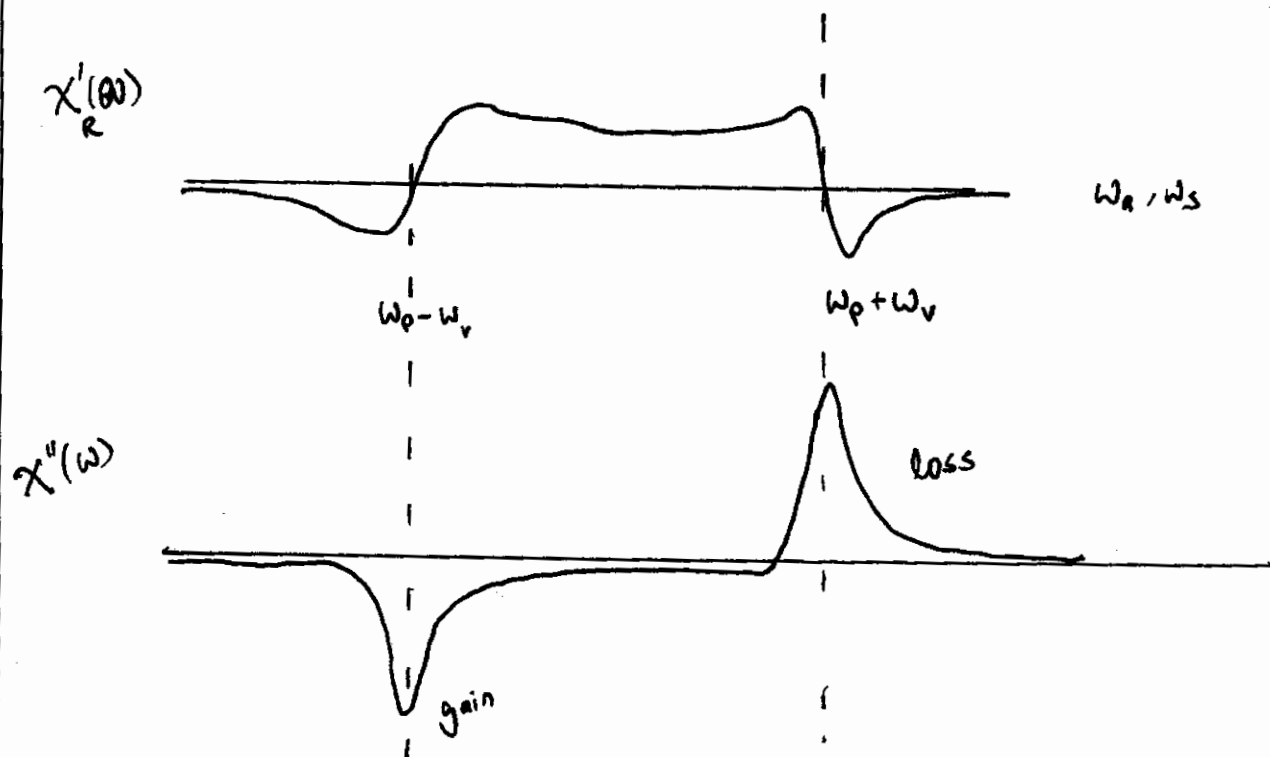
where

$$g_R \equiv \frac{\epsilon_0 N \omega_p \alpha_{pi}^2 \sqrt{\mu_0 \epsilon_0}}{4m \omega_s \zeta_R c n^2} \frac{1}{(1 + S_R^2)}$$

3-0235 --- 50 SHEETS --- 5 SQUARES  
 3-0236 --- 100 SHEETS --- 5 SQUARES  
 3-0237 --- 200 SHEETS --- 5 SQUARES  
 3-0137 --- 200 SHEETS --- FILLER

COMET

# Stokes + Anti Stokes Susceptibilities



# Coupled Eq.

Stokes

$$P_s^{(2)} = 6 \epsilon_0 \chi_R^{(3)}(-\omega_s; \omega_p - \omega_p, \omega_s) |\bar{E}_p|^2 \bar{E}_s$$

Pump

$$P_p^{(2)} = 6 \epsilon_0 \chi_R^{(3)}(-\omega_p; \omega_s, -\omega_s, \omega_p) |\bar{E}_s|^2 \bar{E}_p$$

Lead to coupled Eq.

$$\frac{dA_s}{dz} = i \frac{3\omega_s}{n_s c} \chi_R^{(3)}(\omega_s) |A_p|^2 A_s$$

$$\frac{dA_p}{dz} = i \frac{3\omega_p}{n_p c} \chi_R^{(3)}(\omega_p) |A_s|^2 A_p$$

If not pump depleted  $|A_p| \approx \text{const.}$  get gain on  $A_s$

Note that  $A_s$  Stokes wave does not pick up phase distortions of  $A_p$   $\sim |A_p|$

Where does  $I_s(0)$  come from?  $\Rightarrow$  Spontaneous

~~$$I_s(z) = I_s(0) \exp(g_R I_0 z)$$~~

$$I_s(z) \approx I_0 \exp(g_R I_0 z) \left\{ \begin{array}{l} \text{For } \alpha(\omega_p) \approx 0 \\ \alpha(\omega_s) \approx 0 \end{array} \right.$$

Left  $\approx z$  for  $\alpha$  small

Threshold for SRS  $\Rightarrow$  Gain

$$\frac{dI_s}{dz} = g_r I_p I_s - \alpha_s I_s \quad \left( g_r = \frac{\epsilon_0 N_p \omega_p \alpha_p^2}{4m \omega_s \epsilon_r c n^2} \right)$$

$$\frac{dI_p}{dz} = -\frac{\omega_p}{\omega_s} g_r I_p I_s - \alpha_p I_p$$

For small loss  $\alpha_p \approx 0$   $\alpha_s \approx 0$  we can rewrite

$$\frac{d}{dz} \left( I_s + \frac{\omega_s}{\omega_p} I_p \right) = 0$$

Ignoring pump depletion with loss

$$\frac{dI_p}{dz} = 0$$

$$\frac{dI_s}{dz} = g_r I_0 \exp(-\alpha_p z) I_s - \alpha_s I_s$$

Solution

$$I_s(z) = I_s(0) \exp(g_r I_0 L_{\text{eff}} - \alpha_s L)$$

$$L_{\text{eff}} = \frac{1}{\alpha_p} (1 - \exp(-\alpha_p L))$$

Where does  $I_s(0)$  come from?  $\Rightarrow$  Spontaneous Raman Scattering

Define Raman threshold

~~Threshold~~

Input pump power at which the <sup>output</sup> Stokes power becomes equal to the output pump power.

$$P_s(L) = P_p(L) = P_0 \exp(-\alpha_p L)$$

initial pump power

Approximation

$$g_R P_0^{\text{cr}} L_{\text{eff}} / (\text{Area}) \approx 16$$

( $g_R \approx 10^{-13} \text{ m/W}$ )  
at  $1 \mu\text{m}$  for fused silica

Raman Shift in fused silica

$$\Delta \nu = 440 \text{ cm}^{-1}$$

$$\Delta f = 13.2 \text{ THz}$$

at  $1550 \text{ nm}$

$$\Delta \lambda = \frac{\lambda_0^2}{c} \Delta f = \frac{(1550 \text{ nm})^2}{(300 \text{ nm/fs})} (0.0132 \text{ nm/fs})$$

$$\approx \boxed{105.7 \text{ nm}}$$

at  $800 \text{ nm}$

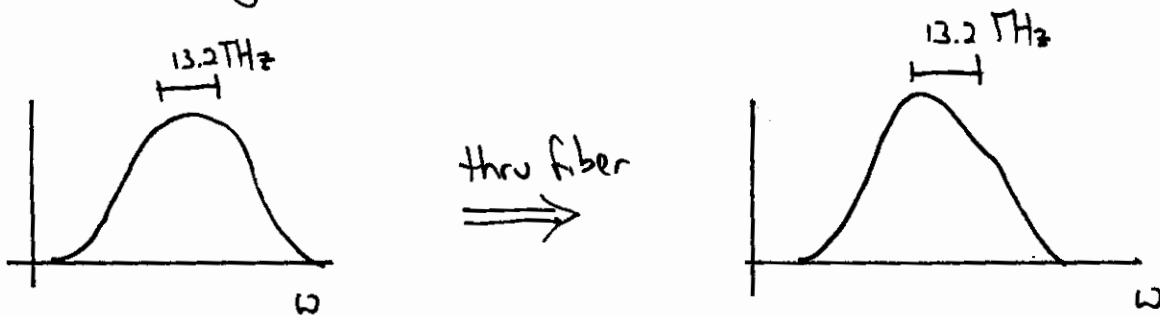
$$\Delta \lambda = \frac{(800 \text{ nm})^2}{300 \text{ nm/fs}} (0.0132 \text{ nm/fs}) = \boxed{28 \text{ nm}}$$

For optical fibers

$$P_0'' = \text{Raman} \quad 100 \text{ W for } 10 \text{ m}$$

## Interpulse Stimulated Raman Scattering

Short pulses may have a bandwidth larger than 13.2 THz. As the pulse propagates, SRS will cause a shift of the spectrum to ~~shorter~~ longer wavelengths.



The process continues thru the length of the fiber. This effect is called the self frequency shift: you can change the center freq. of a pulse via SRS just by propagation in a Raman medium.



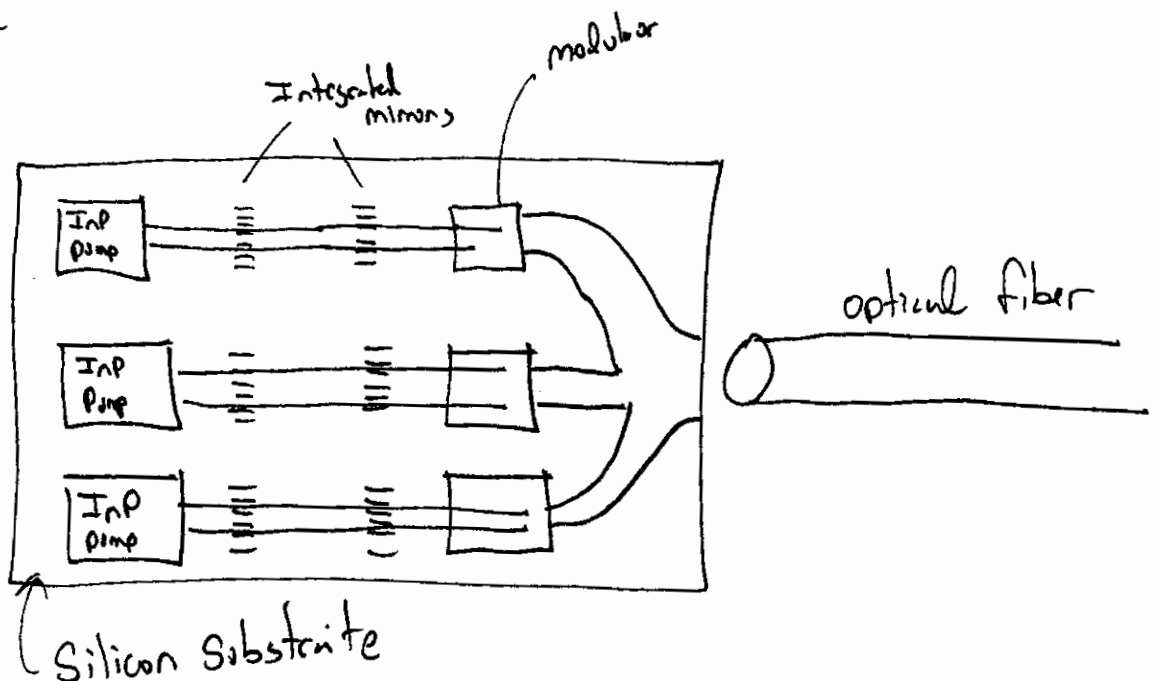
# Intel + the Silicon "Laser"

A few years back, Intel announced the demonstration of a laser using silicon. This was a big deal since silicon does not directly lase (it has an indirect bandgap).  
~~so making a laser in a material that can integrate~~

The silicon laser would allow a laser on your pentium chip, opening the door to computers that use light instead of electrons.

However, the problem here it isn't a laser, but a Raman amplifier. It uses a InP pump laser

Raman effect is 10000 times stronger in Silicon than fused silica

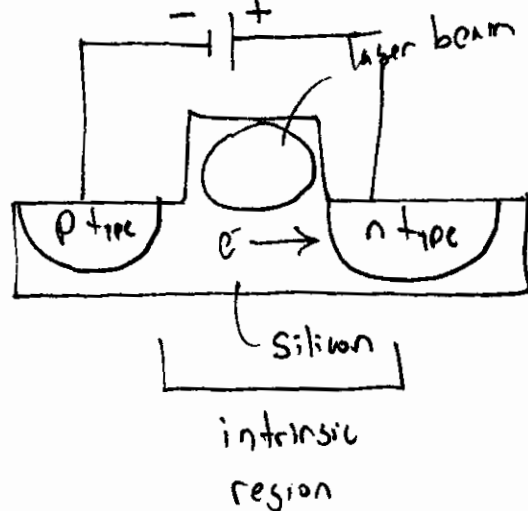


## Two Photon absorption

Silicon is transparent to IR light

For high powers two photons cause an atom to free its electron. ~~These free electrons~~ If the intensity is high enough the rate of generating free electrons will exceed the recombination rate. The free electrons will cause the material to have a higher absorption + prevent lasing + Raman Gain

Intel's solution was to use a p-i-n junction to "Sweep" those electrons out



p  $\equiv$  p-type

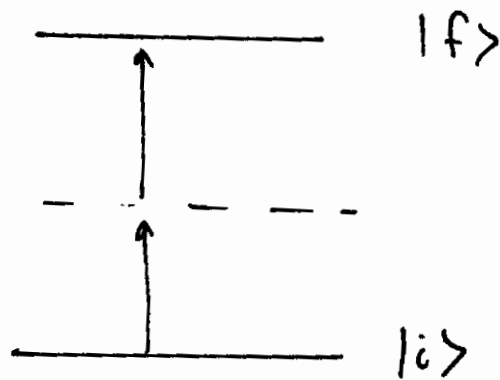
i  $\equiv$  intrinsic

n  $\equiv$  n-type

## Two Photon Absorption

Nonlinear change to the absorption

Two photons simultaneously absorbed to excite a state



absorption cross section is smaller than single photon process.

Corresponds to  $\chi^{(2)}$  process

Raman Active vibration

⇒ No change dipole moment due to atomic displacements.

## Effect of SRS in Fibers

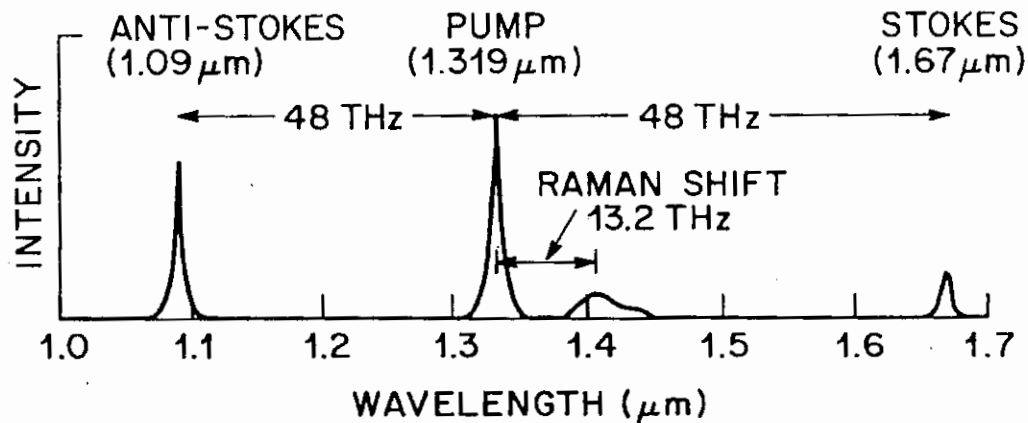
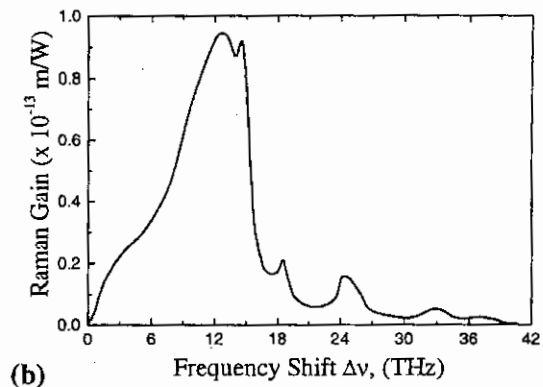
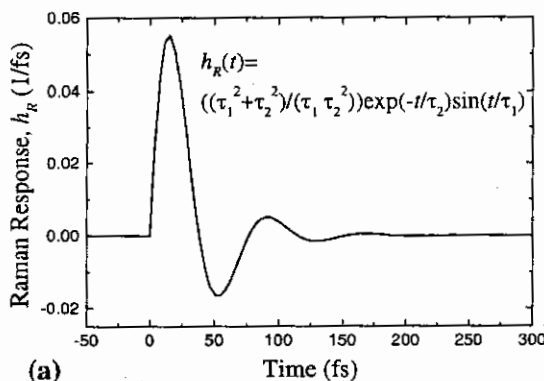


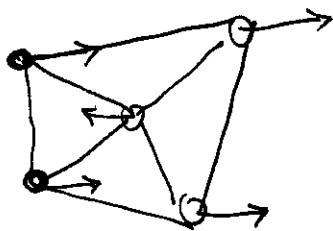
Figure 4-1 FWM Stokes and anti-Stokes components due to propagation in standard SMF near the zero dispersion wavelength (1319 nm). Stimulated Raman scattering also is present which produces spectral components near 1400 nm. Figure reproduced from Ref. [Lin, 1981 #32].

This plot shows Raman Scattering in a optical fiber  
 The vibrations of fused silica has a resonance at 13.2THz  
 Note that the Stoke + anti stokes components are not  
 due to SRS but due to partially degenerate FWM.

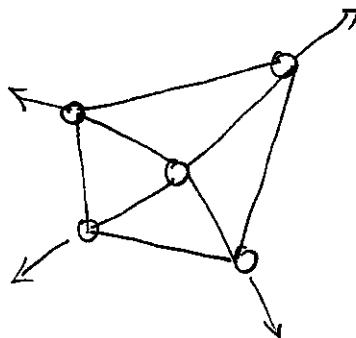


# Raman Stretches in fused silica

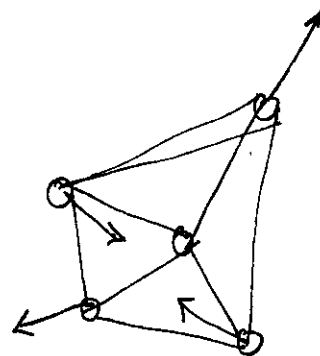
$\text{SiO}_2$  tetrahedra



$1056 \text{ cm}^{-1}$



$800 \text{ cm}^{-1}$



$440 \text{ cm}^{-1}$

Supercontinuum generation in photonic crystal fibers.

Lucent/OFS Microstructure Fiber

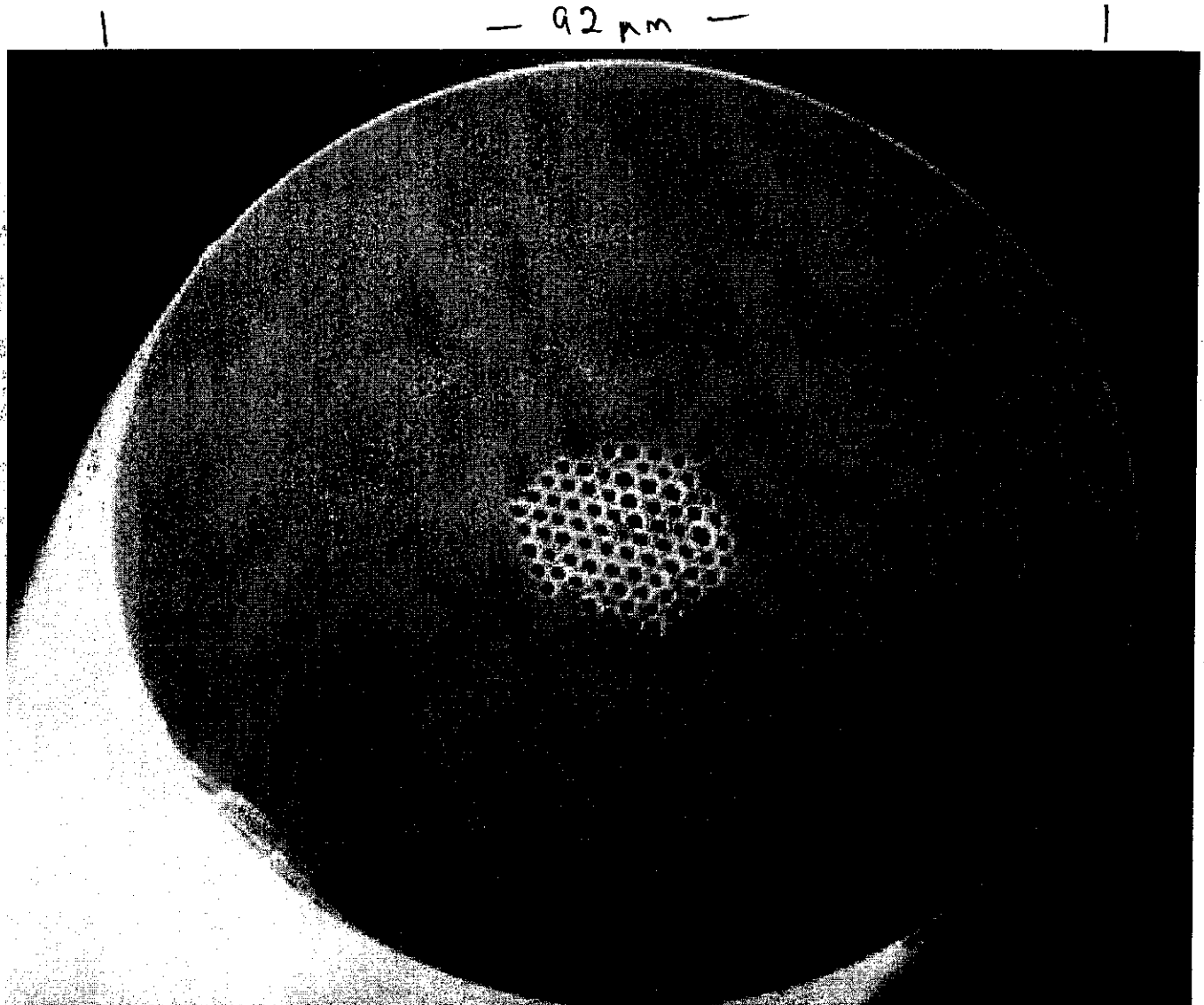
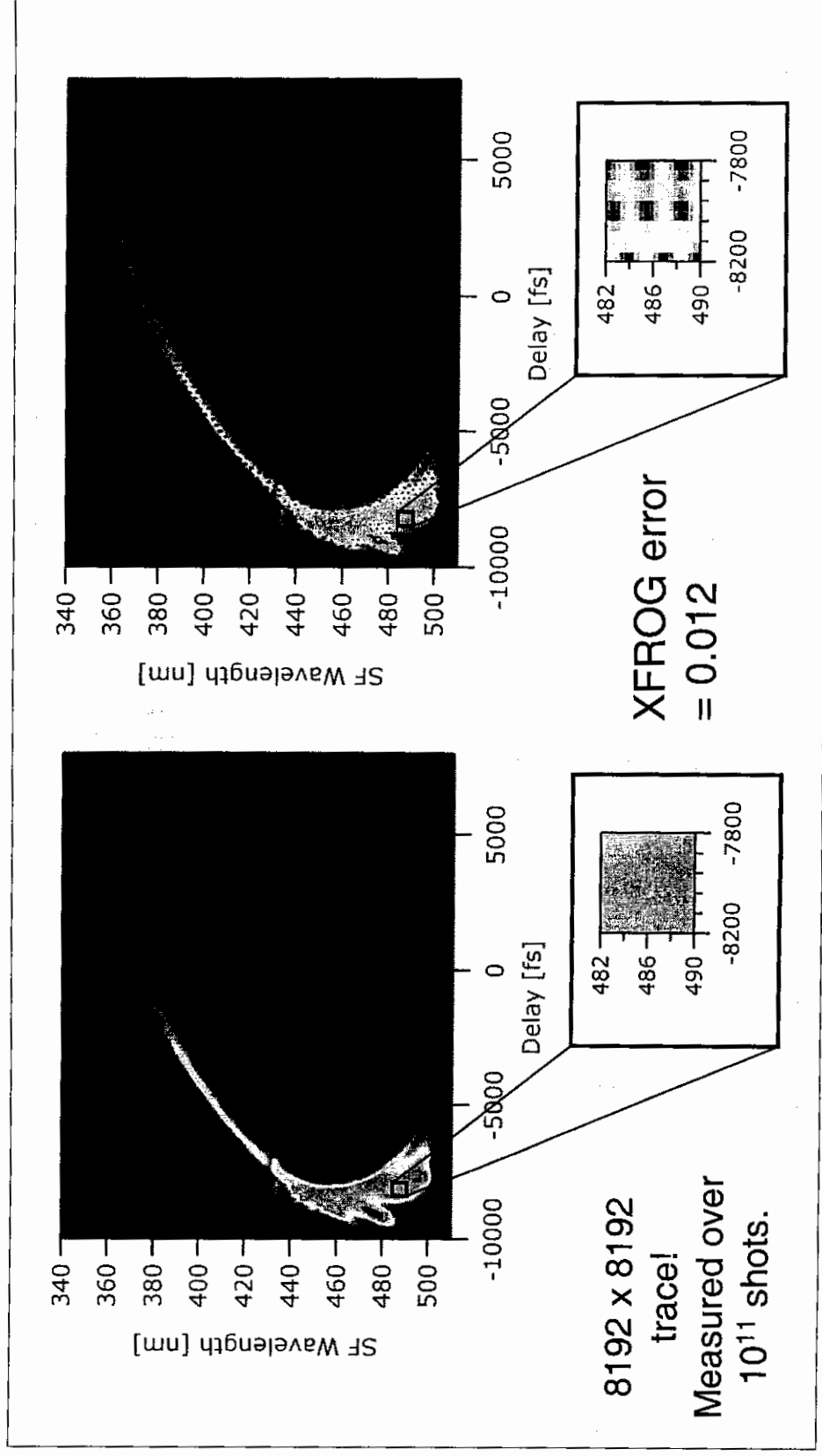


Figure courtesy of OFS

# XFROG measurement of the continuum



While the large-scale structure of each trace is identical, the measured trace lacks the fine-scale structure of the retrieved trace.

From Tebino's Lecture notes

Microstructured optical fibers offer two advantages for supercontinuum generation.

- 1) Large effective nonlinearity  $\left( \gamma \approx 110 \frac{1}{\text{W km}} \right)$   
 $\gamma = 1 \frac{1}{\text{W km}}$
- 2) Small core moves zero GVD ~~to shorter~~ Wavelength to shorter  $\lambda$ .

|                      |                                           |                           |
|----------------------|-------------------------------------------|---------------------------|
| Conventional fiber   | $\lambda_{\text{ZGVD}} = 1300 \text{ nm}$ | diameter $10 \mu\text{m}$ |
| Microstructure fiber | $\lambda_{\text{ZGVD}} = 900 \text{ nm}$  | diameter $4 \mu\text{m}$  |
|                      | $\lambda_{\text{ZGVD}} = 700 \text{ nm}$  | diameter $2 \mu\text{m}$  |

also microstructure fibers offer negative GVD at shorter  $\lambda$ .

For supercontinuum generation to occur

1. Large effective nonlinearity
2. Low loss
3. low or zero GVD at center wavelength

Unfortunately associated with the spectral broadening is temporal breakup of the pulse

5 nJ into 15 cm at 800 nm  $\Rightarrow$   $\begin{cases} \text{Broadening from 500-1200 nm} \\ \text{Temporal Breakup over 3 ps!} \end{cases}$



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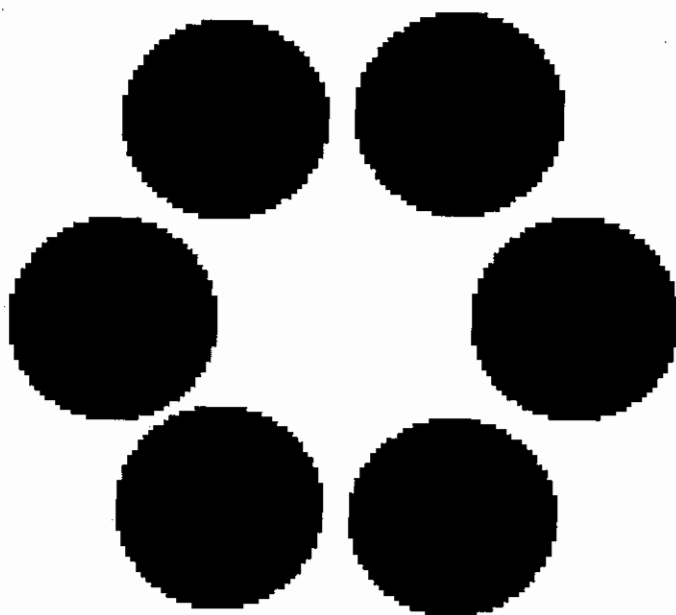
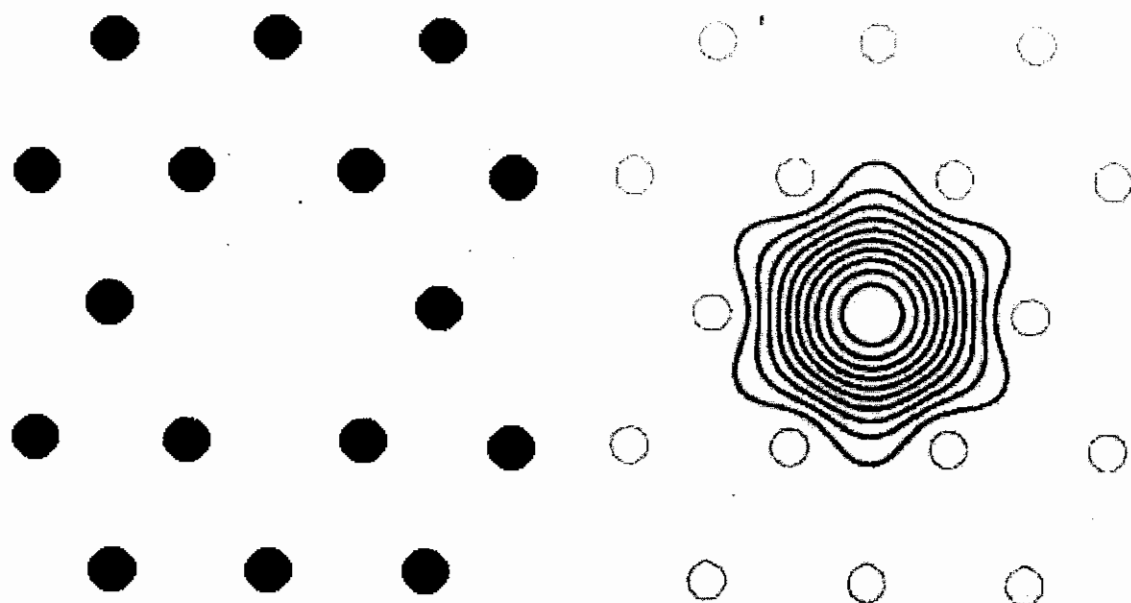
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Unfortunately associated with the spectral broadening is temporal  
~~breakup~~ breakup of the pulse

5 nJ into 15 cm  $\Rightarrow$   $\left\{ \begin{array}{l} \text{Broadening from } 500 - 1200 \text{ nm} \\ \text{Temporal Breakup over } 3 \text{ ps!} \end{array} \right.$

---



Why is Supercontinuum generation important?

1) Remember  $f_0$  detection? Use supercontinuum generation to get  $f_n$  and  $f_{2n}$

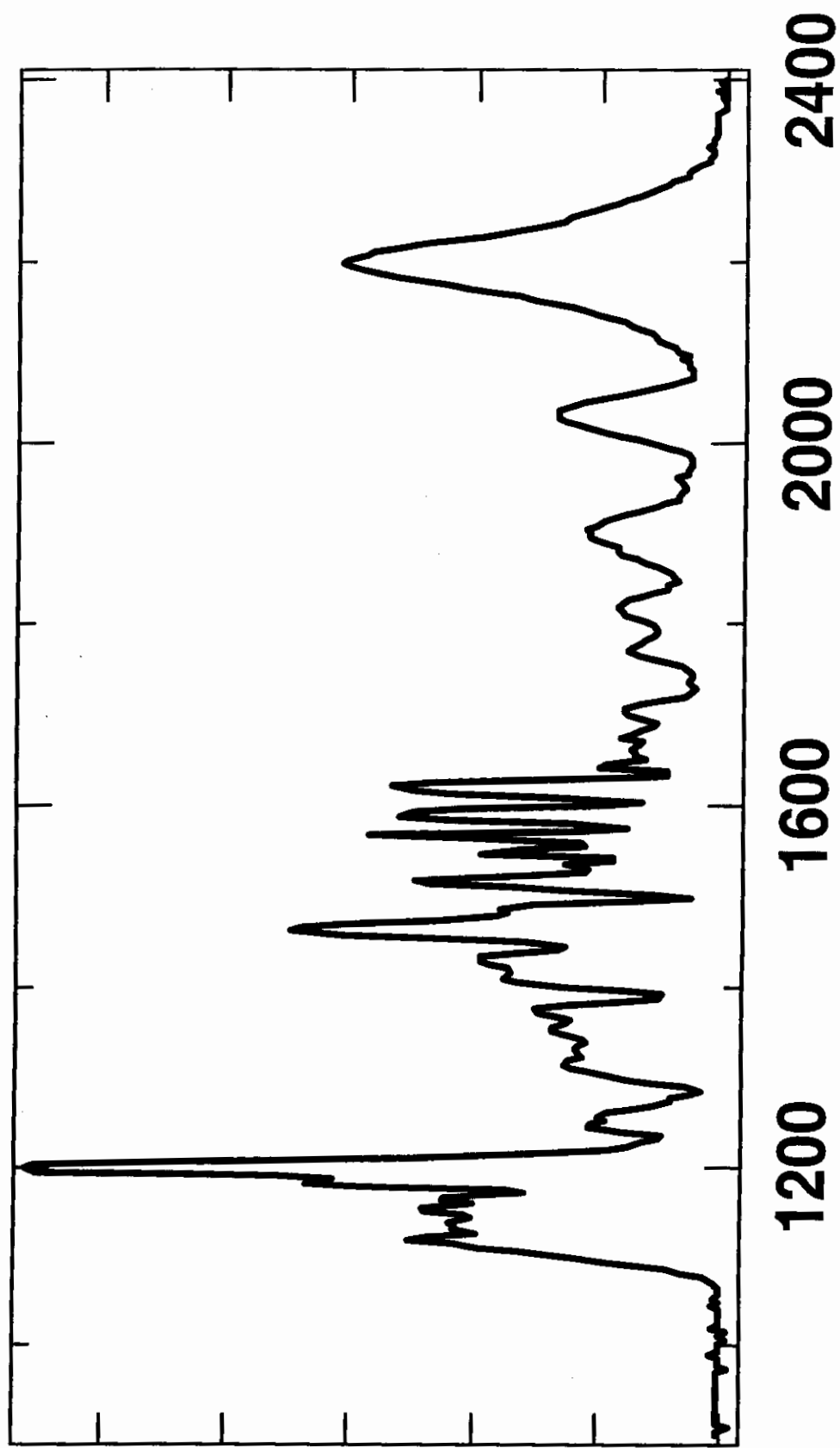
2) Wide spectral shape that is coherent.

How to explain supercontinuum generation

- Complicated non linear process: (Involves SPM, Stimulated Raman Scattering and Self Steepening)

Soliton fission

{ A  $n^{\text{th}}$  order soliton will break up into  $n$  1<sup>st</sup> order solitons

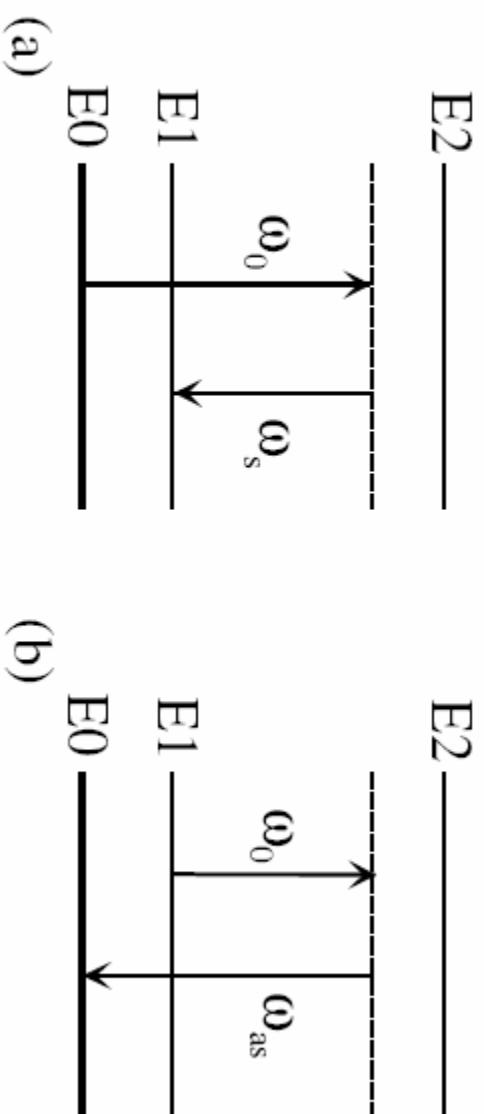


$$\frac{\partial E(z,t)}{\partial z} = -\overbrace{\frac{\alpha}{2}E}^{\text{Absorption}} - \overbrace{\left(\sum_{m=2} \beta_m \frac{i^{m-1}}{m!} \frac{\partial^m}{\partial t^m}\right)E + (1-f_R)}^{\text{Dispersion}} \left\{ \overbrace{i\gamma|E|^2E}^{\text{SPM}} - \overbrace{\frac{2\gamma}{\omega_0} \frac{\partial}{\partial t} (|E|^2E)}^{\text{Self Steepening}} \right\}$$

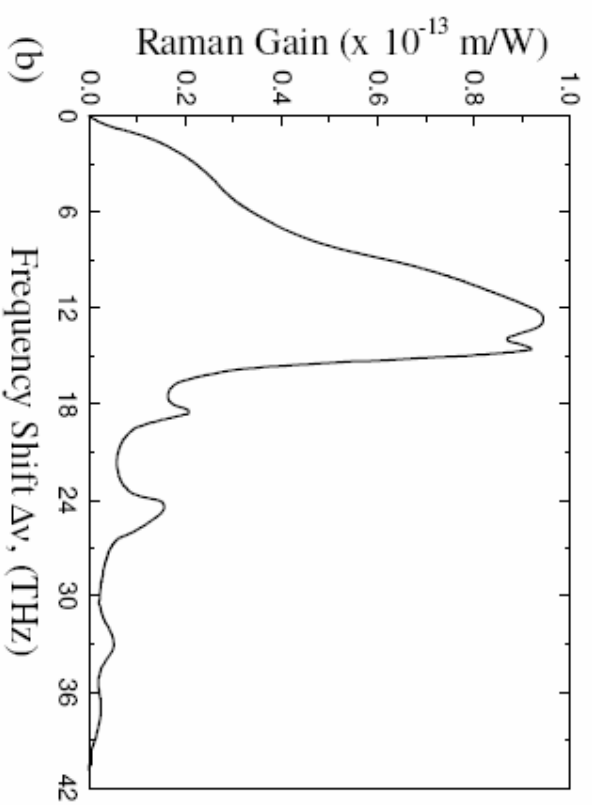
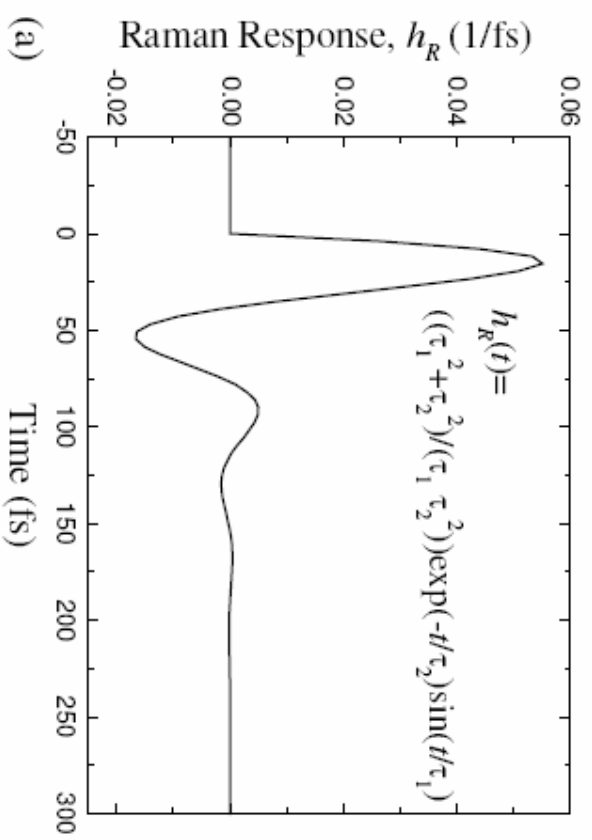
$$+i\gamma f_R \underbrace{\left(1+\frac{i}{\omega_0}\frac{\partial}{\partial t}\right)\left(E\int_0^\infty h_R(t')|E(z,t-t')|^2dt'\right)}_{\text{Raman Effect}}.$$

$$h_R(t)=$$

$$((\tau_1^2+\tau_2^2)/( \tau_1\tau_2^2))\exp(-t/\tau_2)\sin(t/\tau_1)$$



$$g_R(\omega) = \frac{\omega_0}{cn_0} f_R \chi^{(3)} \text{Im}[\mathfrak{F}\{h_R(t)\}]$$



$$\frac{g_R(\Delta\omega_R)P_R}{\alpha T_0^2}[1-\exp(-\alpha L)]\approx 16$$

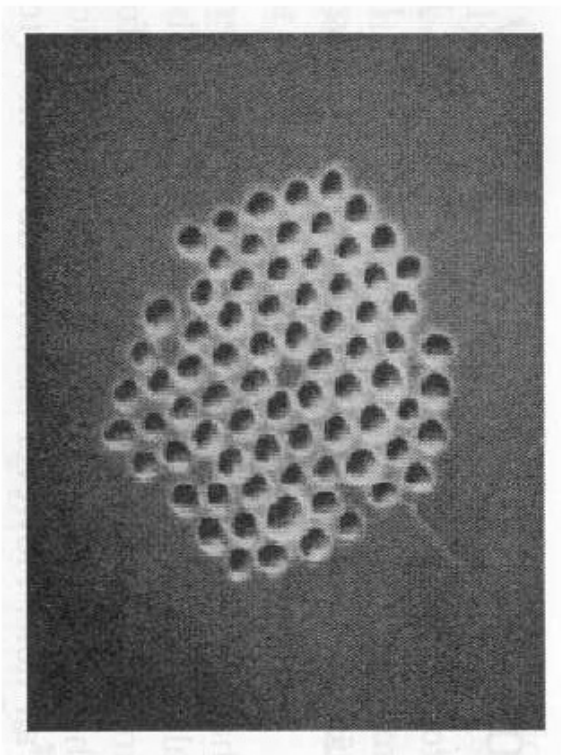
$$g_R(\omega)=\frac{\omega_0}{cn_0}f_R\chi^{(3)}\text{Im}\big[\mathfrak{F}\{h_R(t)\}\big]$$

$$\frac{d\omega_{\text{SFS}}}{dz}=-\frac{\lambda_0}{16n_2}\int\Omega^3\frac{g_R\left(-\Omega/2\pi T_0\right)}{\sinh^2\left(\pi\Omega/2\right)}d\Omega, \tag{3.57}$$

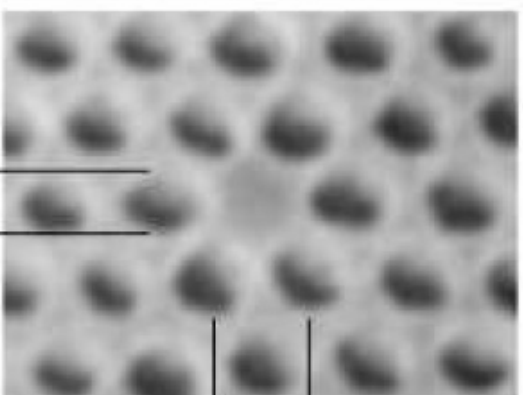
where  $\Omega\equiv(\omega-\omega_0)T_0$ .



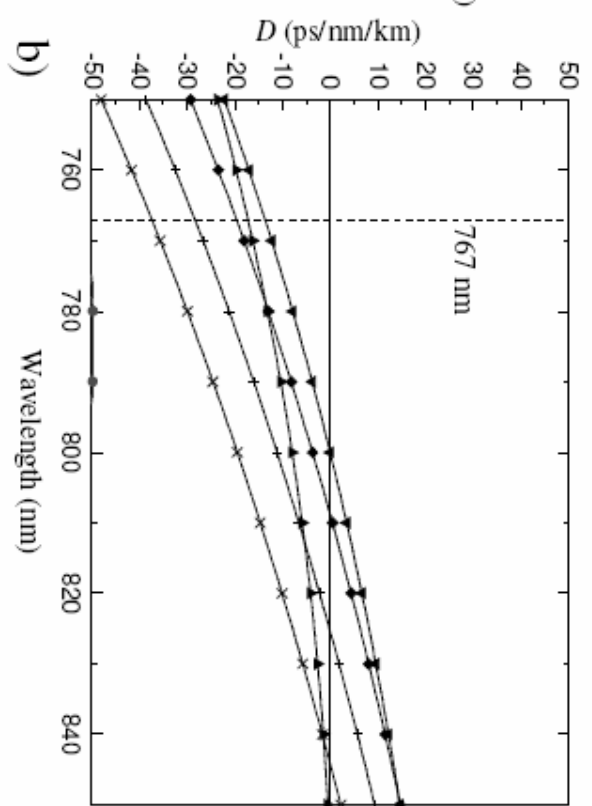
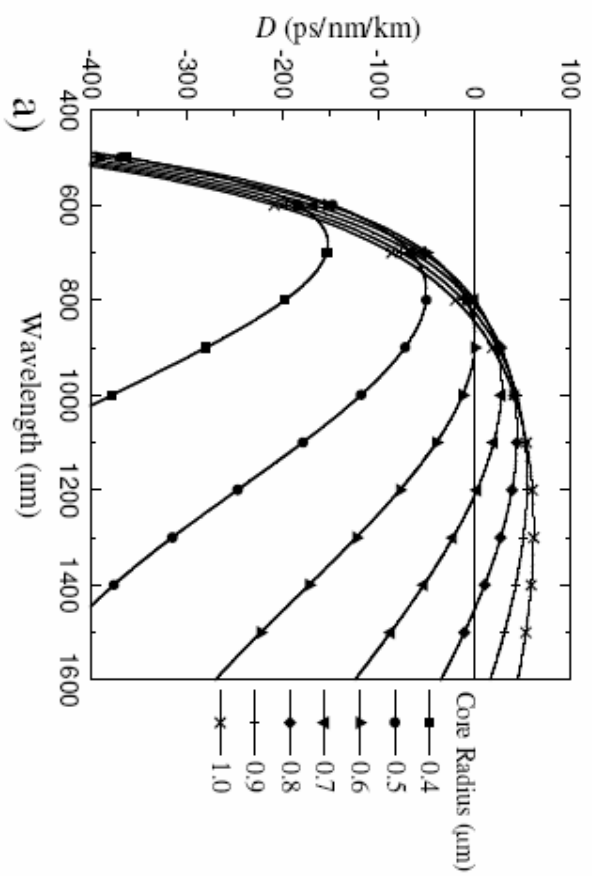
(a)

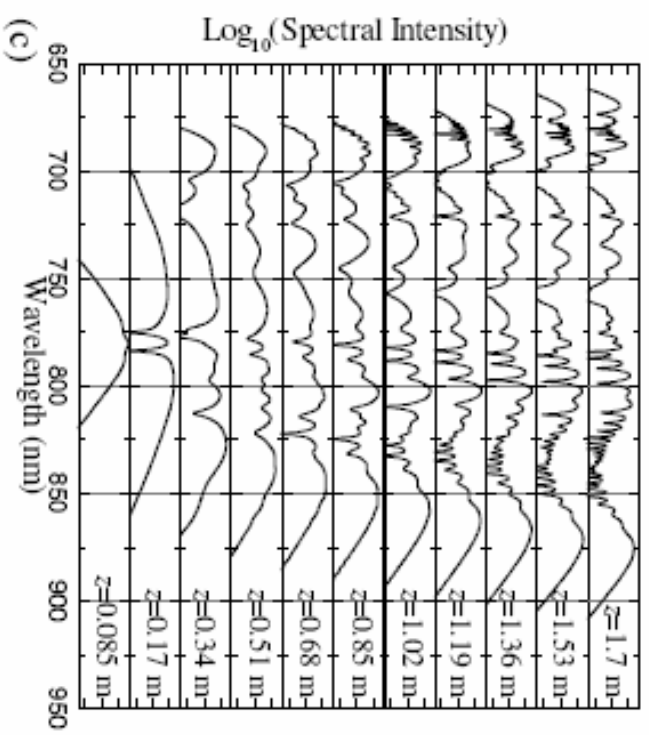
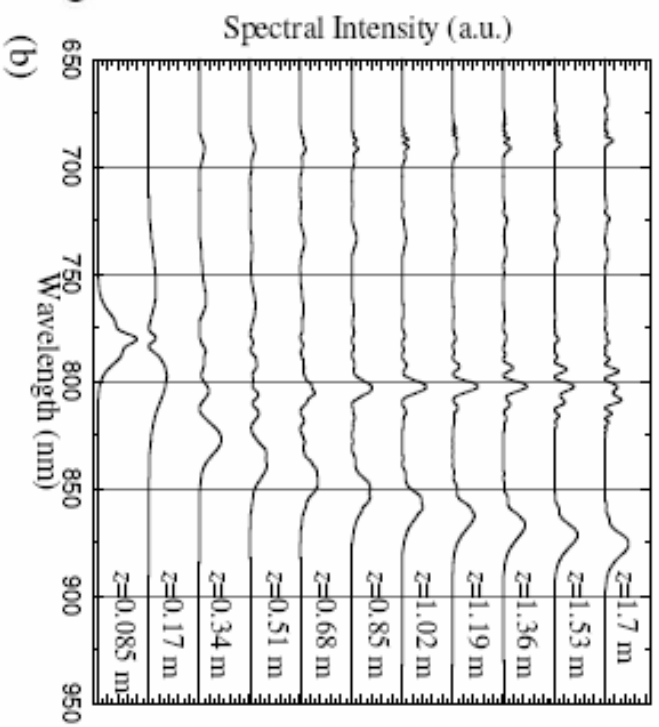
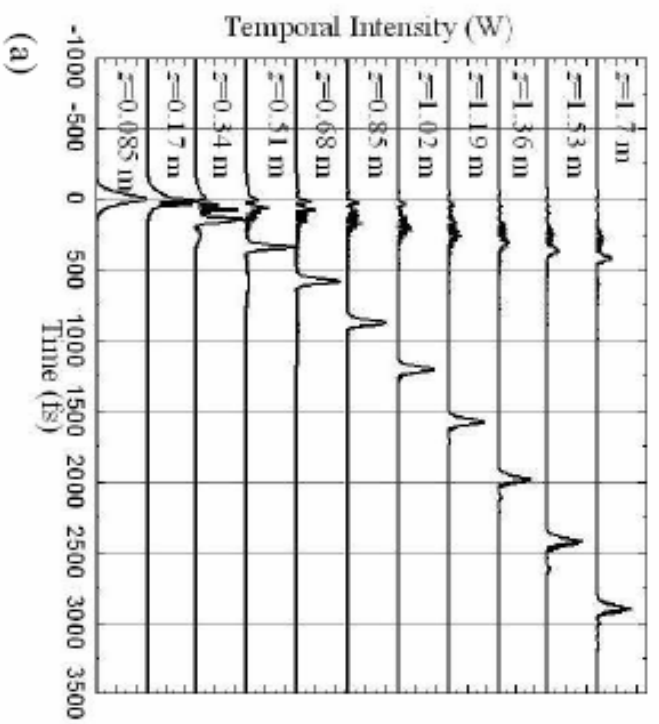


(b)  
1400 nm



1600 nm





# Stokes / Anti-Stokes Coupling

Equations using the SVEA

Extend the previous derivation:  
set susceptibility for Stokes + Antistokes.

$$\frac{dA_p}{dz} = 0$$

$$\frac{dA_s}{dz} = -\alpha_s A_s + K_s A_2^* e^{i\Delta k z}$$

$$\frac{dA_{as}}{dz} = -\alpha_{as}^* A_{as}^* + K_{as}^* A_s e^{-i\Delta k z}$$

Gaussian units (Socor)

$$\alpha_s = \frac{12\pi i \omega_s}{n_s c} \chi_R(\omega) |A_p|$$

$$K_s = \frac{6\pi i \omega_s}{n_s c} \chi_R A_p^2$$

$$\Delta k = 2\bar{k}_p - \bar{k}_s - \bar{k}_{as}$$

$\alpha_s \equiv$  Real part of Raman susceptibility

$K \equiv$

Solutions

$$A_s(z) = \left[ ( ) e^{0z} + ( ) e^{z} \right] e^{+i\Delta k z/2}$$

$$A_2^*(z) = \left[ ( ) e^{5z} + ( ) e^{3z} \right] e^{-i\Delta k/2}$$

$$g_{\pm} = \pm \left[ K_1 K_2 - (\Delta k/2)^2 \right]^{1/2} \Leftarrow \text{Represents coupled gain}$$

$$\approx i \frac{\Delta k}{2} \left[ 1 - i \frac{4\chi_R}{\Delta k} \right]$$

( $- \Rightarrow$  Stokes)  
( $+ \Rightarrow$  anti-stokes)

if  $\Delta k = 0$  anti-stokes wave is strongly coupled to Stokes } Strongly mismatched coupled  
that prevents it to grow exponentially

$\Delta k > 0$  Strong Stokes growth  
 $\Delta k < 0$  Strong anti-Stokes growth } Strongly mismatched Stokes + Antistokes are decoupled

# Coherent Anti-Stokes Raman Scattering (CARS)

- Stimulated process that produces more photons than spontaneous Raman spectroscopy
- Developed in 1965 by the Ford Motor Co (no joke)
- Vibrationally sensitive nonlinear optical technique

## Classical Description

Raman active medium of frequency  $\omega_v$ .

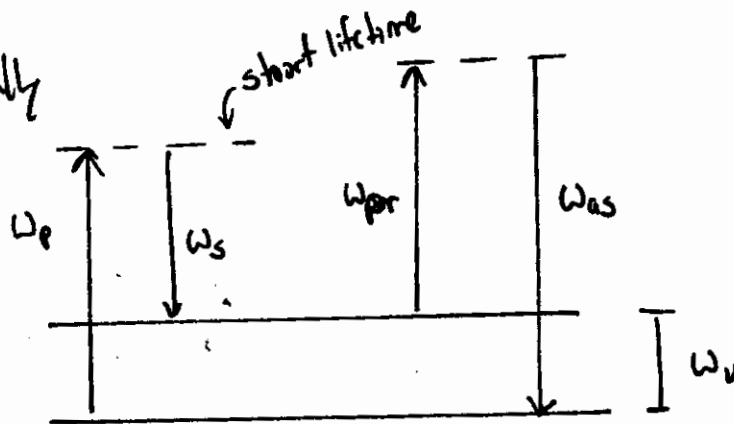
Medium driven by difference  $\omega_p - \omega_s \Rightarrow$  tune  $\omega_p$

When  $\omega_p - \omega_s$  is close to  $\omega_v$  the medium responds with large amplitude.

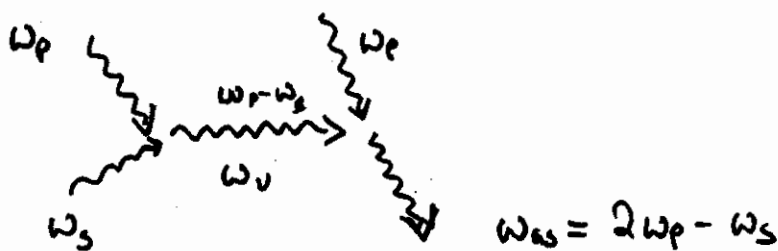
A third probe beam experiences a large change in index due to the large amplitude vibrations

Anti-Stoke light is emitted

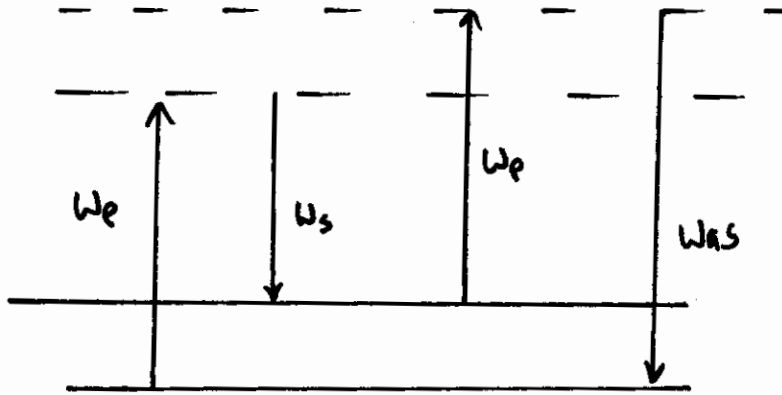
## Quantum mechanically



$$\omega_p = \omega_{pr}$$



Process for gain for Anti-stokes



Parametric  
process

## Quantum Mechanical

- Joint action of pump + Stokes establishes a coupling between the ground state + vibrationally excited state

- molecule is in coherent superposition of the two states

- The probe beam investigates the coherence between states

It promotes to a virtual state

- The molecule falls to the ground state emitting a photon.

- Probe beam interrogates medium superposition of states —

~~Q.1~~ Typically, one seeds this process with a tunable source

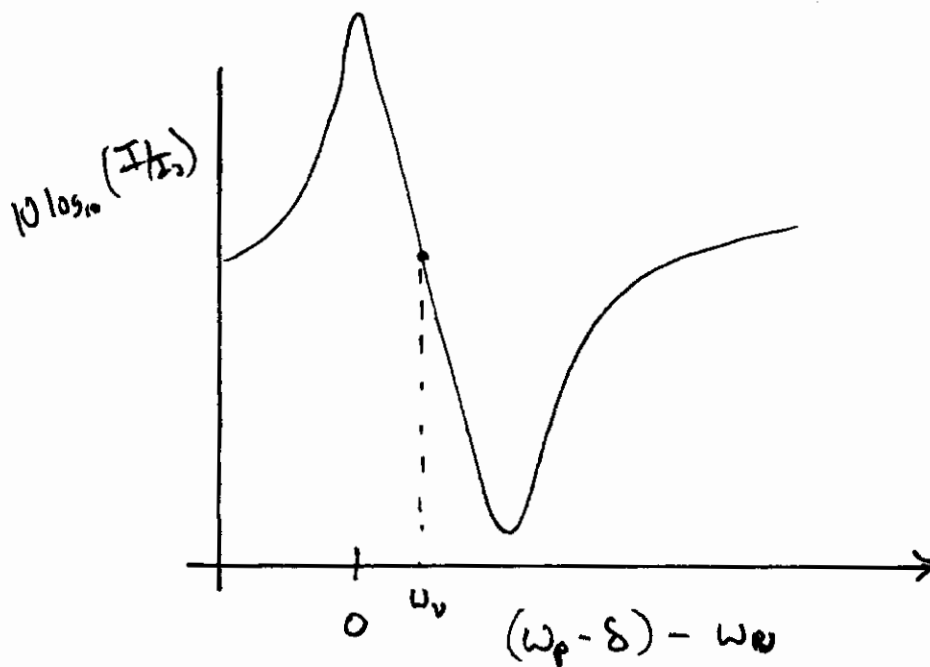


get highest amount of index change when

$$\delta \approx \omega_s$$

OR

$$\omega_p - \delta \approx \omega_u$$





# Review of self focusing

The intensity dependent index of refraction creates a "lens" in the material

$$n = n_0 + n_2 I_0 \left( 1 - \frac{2r^2}{w_0^2} \right)$$

$$\text{So } \phi(r) = \frac{n_0 \omega}{c} L \simeq (n_0 + n_2 I) \frac{n_0 \omega}{c} L - \frac{n_0 \omega}{c} L \left( \frac{2r^2}{w_0^2} \right)$$

Thru the results of Fraunhofer diffraction a lens induces the same phase shift to the wave

$$\boxed{\phi(r) \sim -r^2} \quad \text{lens}$$

The electric field after the lens is

$$E(\vec{r}, t) \exp(i\phi(r))$$

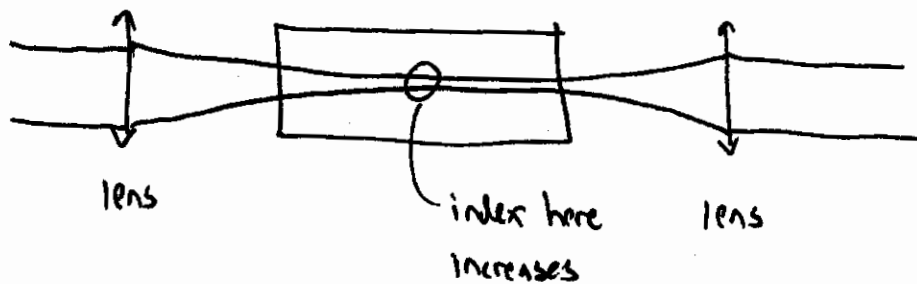
## Domains for the electric field + Analogies

|           | <u>Time / Frequency</u> |                       | <u>position / k-space</u>         |
|-----------|-------------------------|-----------------------|-----------------------------------|
| Linear    | Dispersion              | $\longleftrightarrow$ | Diffraction                       |
| Linear    | GVD                     | $\longleftrightarrow$ | Lens (quadratic phase distortion) |
| Nonlinear | SPM                     | $\longleftrightarrow$ | Self focusing                     |

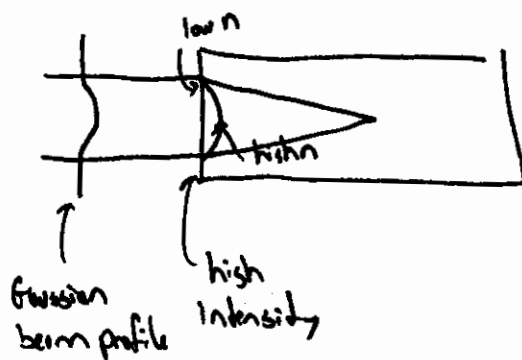
## Lecture 24

### Self focusing : Spatial $\chi^{(3)}$ Effects

- Spatial analog of Self phase modulation
- Intense beam of light modifies the medium (index) it experiences



Since  $n_2 > 0$  the action of self focusing causes a larger index of refraction for high intensities. This ~~mean~~ creates another lens in the material.



Important  
 $\Rightarrow$  Critical Power  
not intensity

### Spatial Solitons (self trapping)

Analog to temporal solitons

Balance of diffractive & nonlinear effects.

Critical Power

$$P_c = \frac{\pi (0.61)^2 \lambda^2}{8 n_0 n_2} = \frac{\lambda^2}{8 \pi n_0 n_2} \quad \boxed{P_c > 1 \text{ MW}}$$

Whole beam self focusing: Continuous wave (Phase distortion)

$$n = n_0 + n_2 I$$

$$n = n_0 + n_2 I_0 \exp(-2r^2/w_0^2)$$

$$n \approx n_0 + n_2 I_0 (1 - 2r^2/w_0^2)$$

Phase delay due to spatial nonlinearity

$$\phi(r) = n k_0 L = n_0 k_0 L + n_2 k_0 L I_0 (1 - 2r^2/w_0^2)$$

$$\phi(r) \sim -2n_2 k_0 L I_0 \frac{r^2}{w_0^2} \Leftrightarrow \left( \begin{array}{l} \text{analogy between} \\ \text{a lens and GVD} \end{array} \right)$$

quadratic spatial phase distortion.

This is what a lens does!

Critical Power is defined where  $\Rightarrow P_c = \frac{\lambda^2}{8\pi n_0 n_2} \sim 1 \text{ MW}$

$$\phi_{\text{self focus}}(r) = \phi_{\text{diffraction}}(r)$$

$$z_{\text{sf}} = \frac{1}{2} \left( \frac{\pi r_0^2 n_0}{\lambda} \right) \frac{1}{(P/P_c - 1)^{1/2}}$$

Self - defocusing

$$\text{Higher order nonlinearities} \sim n_4 I^2$$

## Self trapping

⇒ leads to spatial soliton formation

Critical angle due to total internal reflection

$$\theta_{\text{tir}} = \cos^{-1} \left[ \frac{n_0}{n_0 + n_2 I} \right]$$

becomes equal to diffraction angle

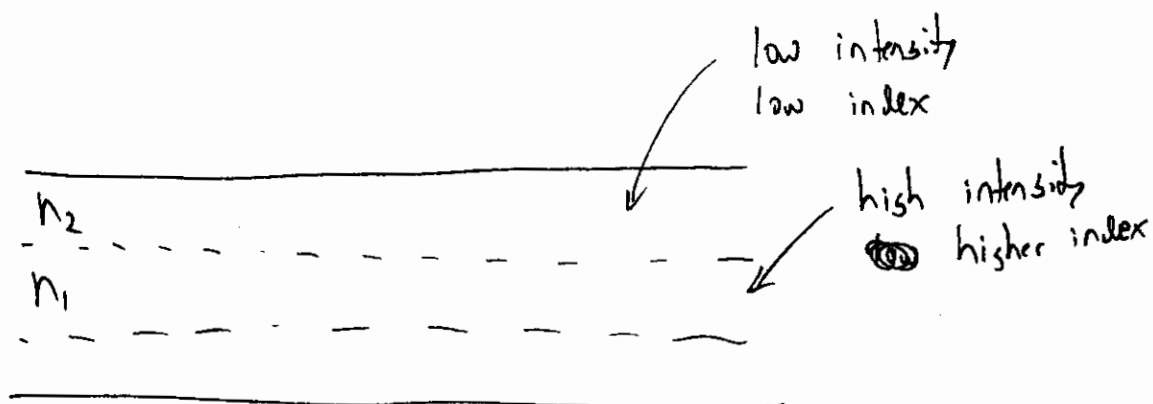
$$\theta_d = \frac{1.22 \lambda}{4 n_0 d_0}$$

( $r_0 \equiv$  beam radius)  
( $d \equiv$  beam diameter)

↑ from diffraction theory

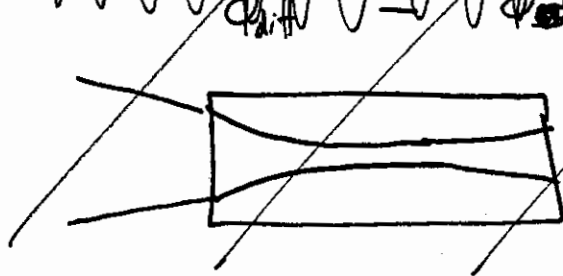
Setting  $\theta_d = \theta_{\text{tir}}$

$$P_{\text{cr}} = \frac{(1.22)^2 \pi \lambda^2}{32 n_0 n_2}$$



$n_1 \geq n_2 \Rightarrow$  total internal reflection.

Another way to look at this Self trapping  
 Critical angle due to total internal reflection  $\equiv$  diffraction  $\theta$   
 Diffraction angle = self focusing angle.



$$P_c = \frac{(1.22)^2 \pi \lambda^2}{32 n_0 n_2}$$

## Example of Self focusing $\Rightarrow$ Kerr lens modelocking

Modelocking is a method to get short pulse formation in a laser cavity thro the coherent addition of cavity modes

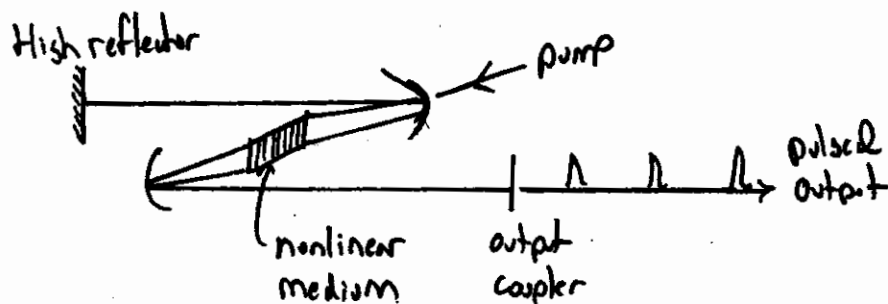


Cavity longitudinal modes

Add up modes to generate pulse train

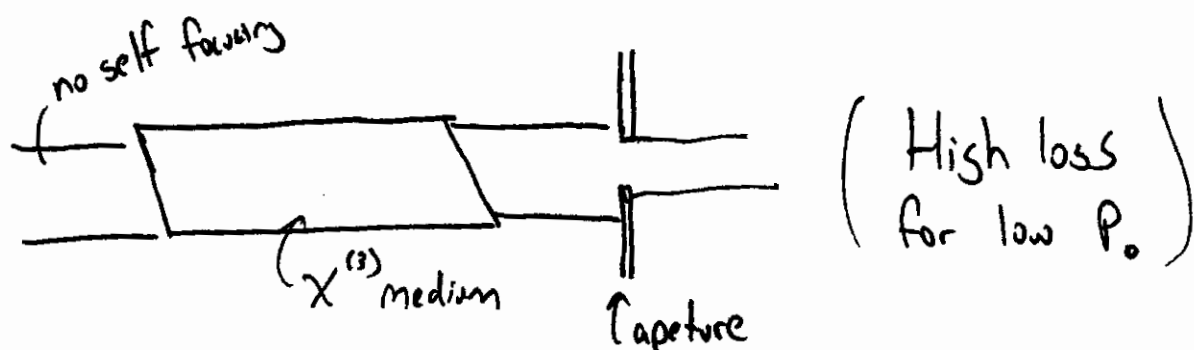
This is typically done by setting up a condition in the cavity that favors high peak powers. (Self amplitude mod).

Kerr-lens  $\Rightarrow$  "lens" due to self focusing in a nonlinear crystal.

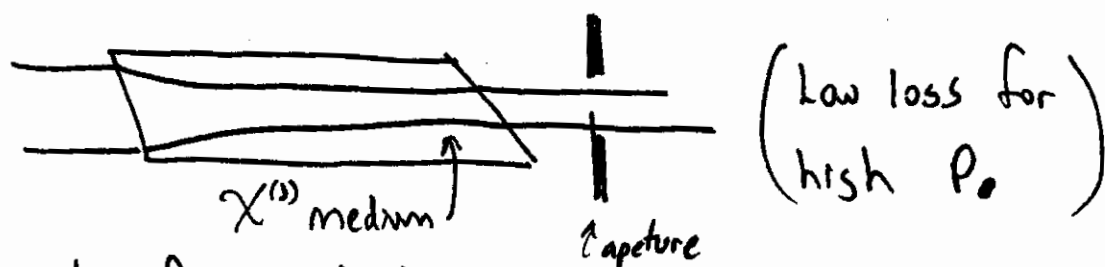


Mode-locked laser

How to use self focusing for mode locking?



Set up a condition where there is low loss for high peak powers

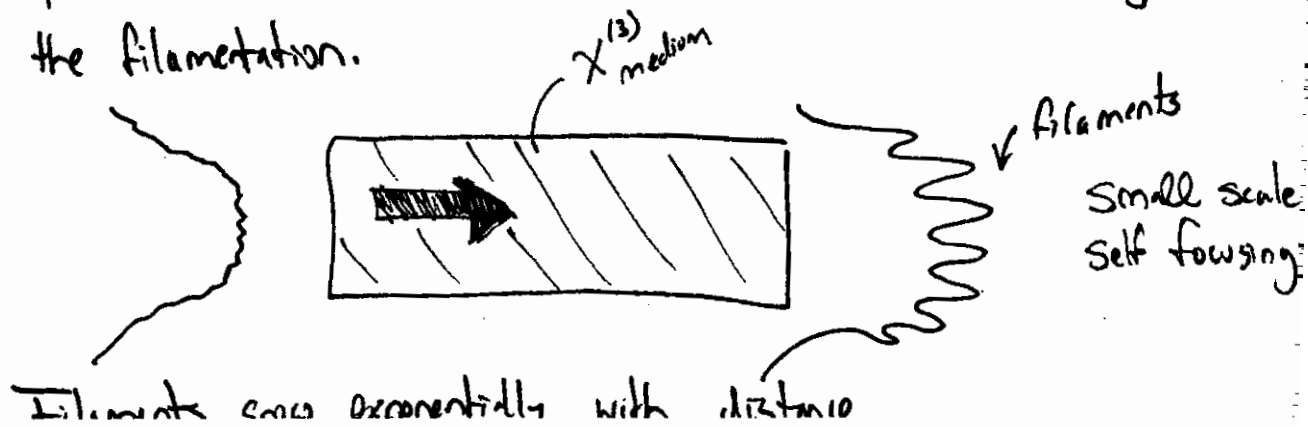


This condition ~~results~~ favors high peak power pulses.

### Self Filamentation

During self focusing a single beam will break up into multiple small beams.

The spatial beam profile will have variations due to quantum noise. These variations each will focus causing the filamentation.



Self filamentation leads to a beam with random intensity distributions

Unfortunately, the power for self filamentation is on the same order of that of self focusing.

Light Bullets

⇒ 3D spatial solitons

Spatial / temporal coupling

# Self Focusing using pulses

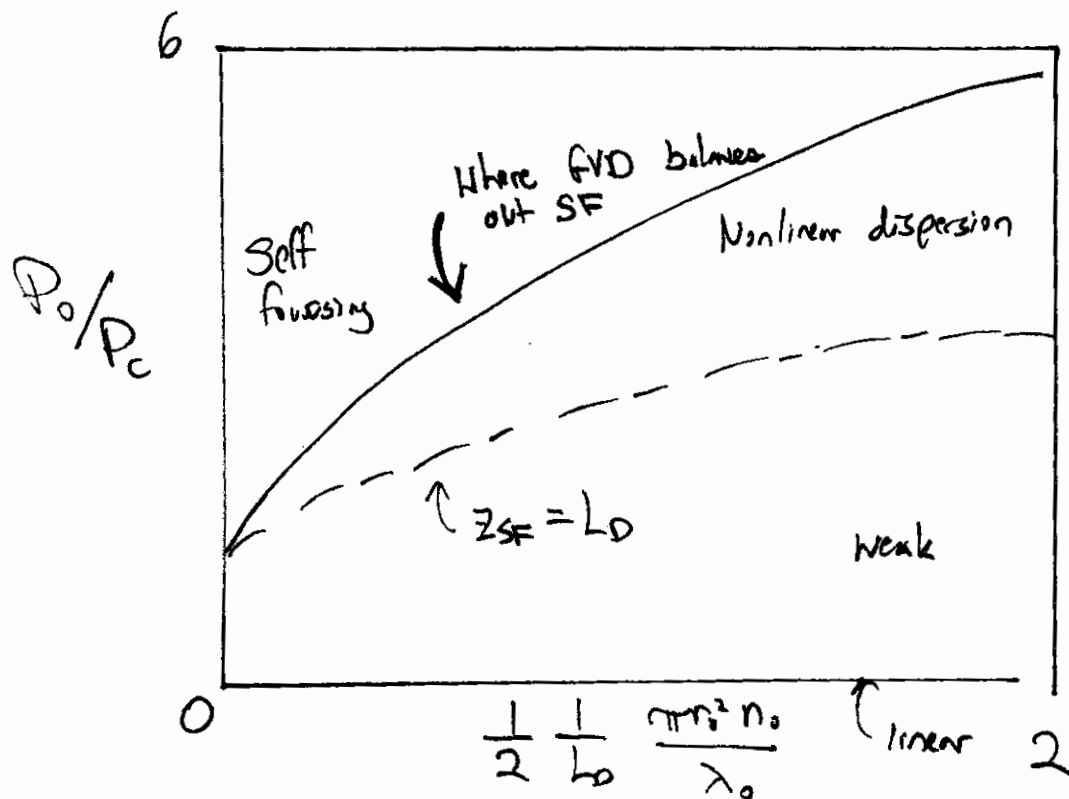
Need to include space-time coupling

Material dispersion  $\Rightarrow$  higher peak powers for self focusing compared to cw case

Need to consider higher order dispersion + nonlinearities

$$\frac{1}{2} \frac{1}{L_0} \left( \frac{\pi n_0^2 n_0}{\lambda_0} \right) \begin{array}{c} \pi n_0^2 \\ \text{---} \\ 2L_0 \end{array} \equiv \text{measure of dispersion relative to diffraction}$$

$$\frac{P_0}{P_{cr}} \equiv \text{Strength of pulse with respect to critical power}$$





## Notes on SPM in gases

- SPM is more complex because it is coupled with self focusing
- Self focusing effects are detrimental for using SPM for temporal compression in gases.
- Ionization in gases modify the beam propagation + produces asymmetric SPM.

Saturation of the nonlinear response

## Self Focusing in Solids

Causes damage tracks (glass)

Fiber fuse effect  $\Rightarrow$  Bad

# Self Focusing using pulses

Need to include space-time coupling

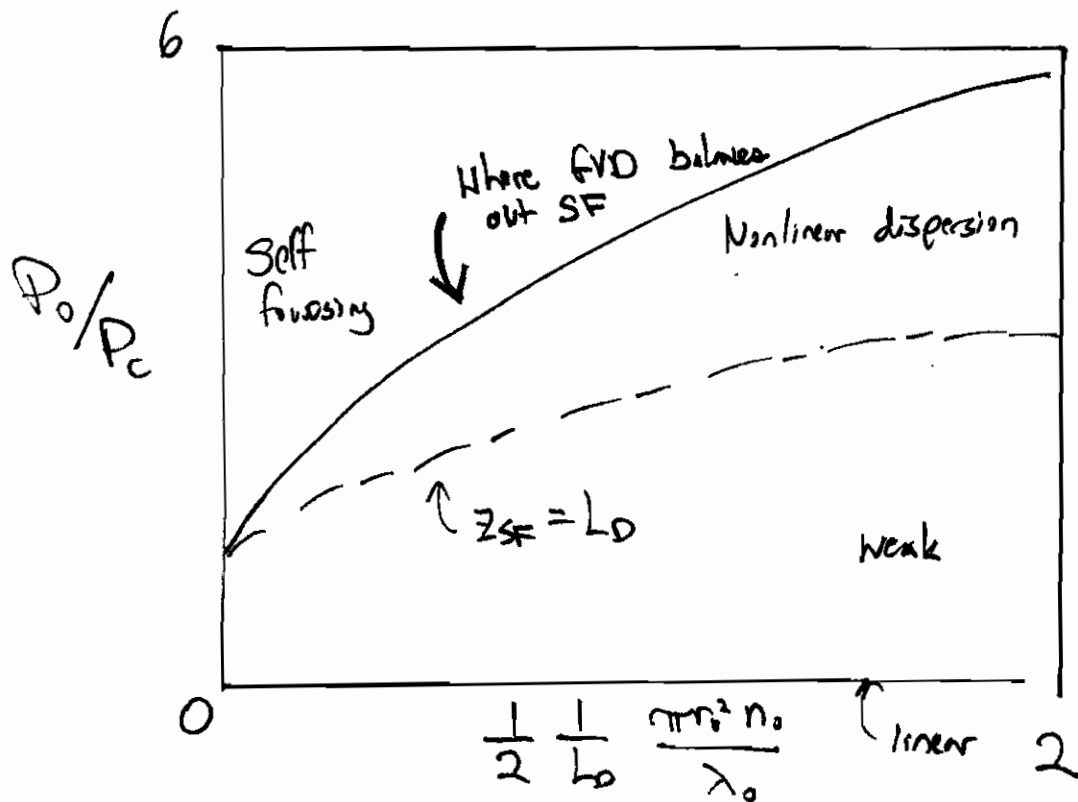
Material dispersion  $\Rightarrow$  higher peak powers for self focusing compared to cw case

Need to consider higher order dispersion + nonlinearities

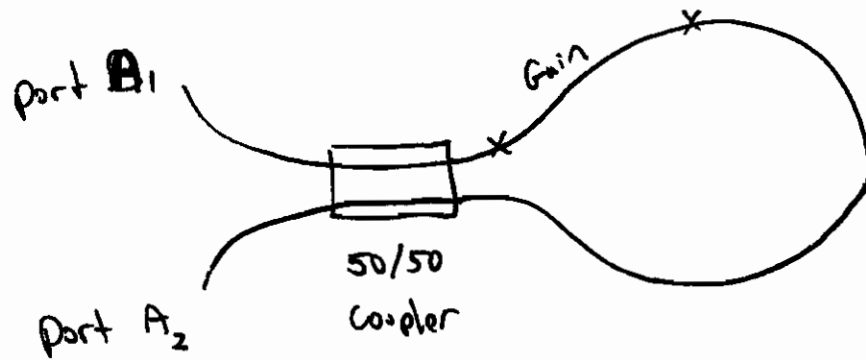
$$\frac{1}{2} \frac{1}{L_0} \left( \frac{\pi n_0^2 n_0}{\lambda_0} \right) \times \text{[Diagram of wave pulses]} \equiv \text{measure of dispersion relative to diffraction}$$

The diagram shows two wave pulses. The first pulse is a simple sinusoidal wave. The second pulse is a more complex wave with a higher frequency and a shorter duration, labeled with  $\pi n_0^2$  above it.

$$\frac{P_0}{P_{cr}} \equiv \text{Strength of pulse with respect to critical power}$$



# Nonlinear Switching    Loop Mirrors

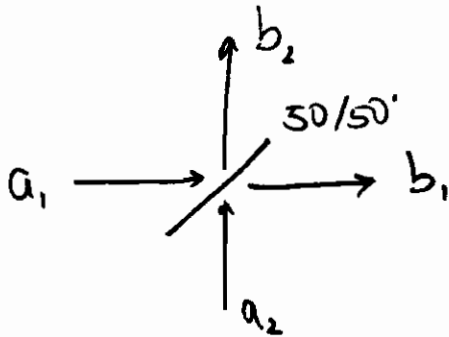


Loop mirror

Fast switch

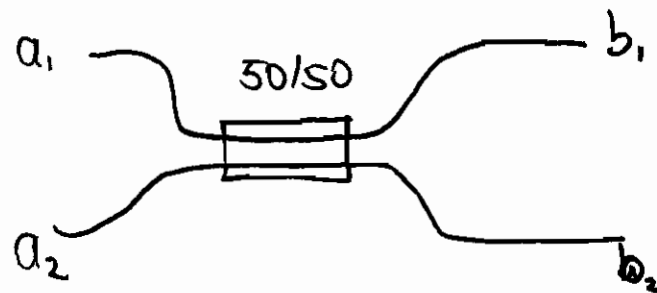
Sagnac Interferometer

## Difference between beam splitter + directional coupler



beam splitter

$\pi$  phase shift between  $b_1$  &  $b_2$



directional coupler

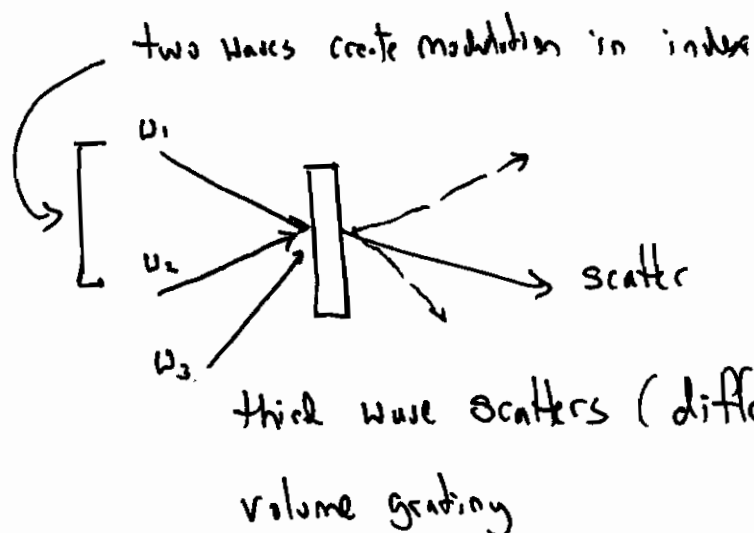
(uses even-odd wave coupling)

$\frac{\pi}{2}$  phase shift between  $b_1$  &  $b_2$  for 50/50.

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} t & e^{i\pi/2\sqrt{1-t^2}} \\ e^{i\pi/2\sqrt{1-t^2}} & t \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

## 3rd order effects : Nonlinear induced gratings self diffraction

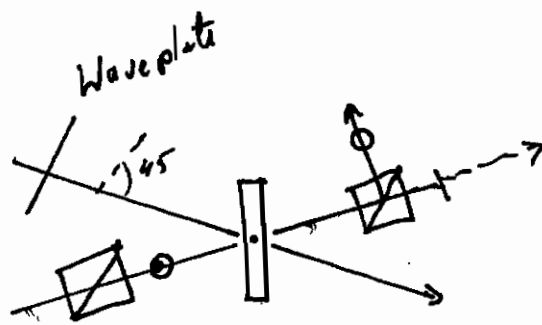
Consider a nonlinear 3rd order process



If  $\omega_1 = \omega_2 = \omega_3 \Rightarrow$  self diffraction  
(used for  $\chi^{(3)}$  FROG)

## Polarization Grating

Also used for  $\chi^{(3)}$  FROG



Rejects beam  
Measure nonlinear  
change in polarization

Good SNR ratio

## Two photon absorption ( $\chi^{(3)}$ effect)

$$\alpha = \alpha_0 + \alpha_2 I$$

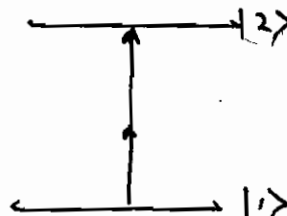
Where

$$\alpha_2 = \frac{3\omega_0}{4nc} \text{Im} \left\{ \chi_{xxxx}^{(3)}(\omega, -\omega, \omega, -\omega) \right\}$$

Can write (single beam)

$$\frac{\partial I}{\partial z} = -\alpha_0 I - \alpha_2 I^2$$

Absorption of two photons



Can also be done using two beams

Saturable absorber (pg 611)

$$\alpha(I) = \frac{\alpha_0}{1 + I/I_s}$$

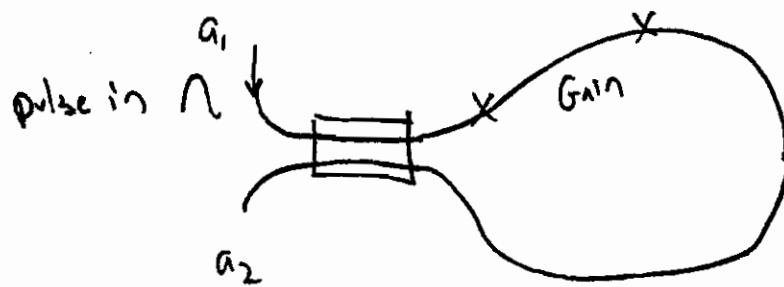
pulse shapes less  
(Weiner)

Needs to be "fast"

SISAM

Semiconductor saturable absorbing mirror

The nonlinear phase shift is asymmetric



$G \equiv \text{Gain}$

The directional coupler will split the input pulse into two:

- 1) Clockwise : Pulse that goes clockwise get first amplified and then experiences a large nonlinear phase shift
- 2) Counter Clockwise : Pulse that goes counter clockwise goes thru fiber and get a small nonlinear phase then goes thru amplifier

Difference in phase shift between two pulses.

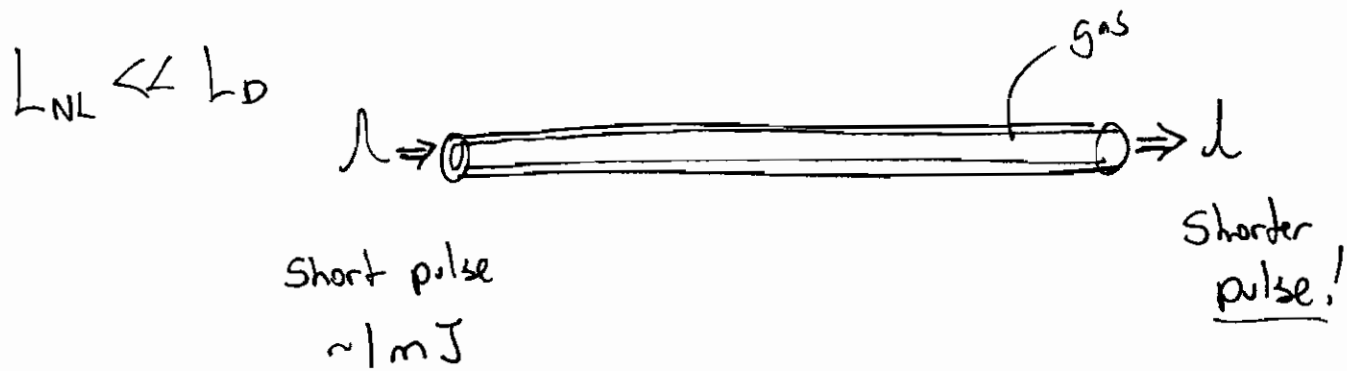
$$\Delta\phi \sim n_2 (G-1) I(t) L$$

If the pulses are weak then  $\Delta\phi = 0$  and the pulse will exit the loop out port  $a_1$ .

If the pulses are strong then  $\Delta\phi \simeq \pi$  and the pulse will exit the loop out port  $a_2$ .

## Ultra-short pulse compression in Noble Gases.

Specific Noble gases (Ne, etc) exhibit a large  $n_2$  with small dispersion. The SPM ~~in the presence~~ due to the gas in the presence of small GVD will cause spectral broadening / temporal compression.



Compression from  $\sim 20 \text{ fs}$  to  $< 5 \text{ fs}$

Using the interaction of SPM + GVD for pulse compression

~~Self Focusing~~  
~~spatial analogy to self~~

## Lecture 14 Higher harmonic generation + attosecond pulse generation

### - Optical Damage

multiphoton absorption

ionization

Dependence on Fluence + pulse duration

### - HHG

Requirements on pulses

- CEP Definition

W<sub>0</sub>

Single cycle intense pulses

Nonlinear optics

- Perturbation

- Strong Field regime

Optical field ionization of atoms

multiphoton

tunnel

above barrier

3 step model of Corkum

Consider effects

absorption  
dephasing

defocusing



3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

- Phase effects

- Phase matching

- Attosecond pulse generation

Train of pulses

~~Rate~~ Streak Camera characterization

Single as pulse

DOG

# Intro

Why HHG?

Huge bandwidth

Cover X ray wavelengths

How related to attosecond pulses?

Wide bandwidth  $\Rightarrow$  Support short pulse

What good are as pulses?

as timescales  $\Rightarrow$  Frozen molecular motion

electronic timescale

$$1 \text{ a.u.} = 2.4 \times 10^{-17} \text{ s} \\ 24 \text{ as}$$

Femtochemistry to attophysics

Use ultrashort pulses in gases to generate HHG

- Need
- nearly single cycle
  - High intensity
  - Phase stabilized

this is hard!

## Single cycle pulses

$$E(t) = A(t) e^{-i\omega_0 t + i\phi_0} + \text{c.c.}$$

What does  $\omega_0$  mean?

$$T_0 = \frac{\lambda_0}{c}$$

$$\omega_0 = \frac{\int_0^\infty \omega |E(\omega)|^2 d\omega}{\int_0^\infty |E(\omega)|^2 d\omega}$$

$$\lambda_0 = 800 \text{ nm} \quad T_0 = 2.67 \text{ fs}$$

Expect concepts of carrier + envelope to fail  
as pulse gets to single cycle

Krause  
claims

$$T_p \geq T_0 \quad \text{valid.}$$

Can use concept of carrier + phase for single cycle.

CEP matters if electrons move in the oscillation  
of one cycle!

Absolute  
phase

$$\phi = \phi_0 + \omega_0 \left( \frac{1}{v_p} + \frac{1}{v_g} \right) z$$

$\Delta\phi$  : phase picked up per pulse

# Nonlinear response of atoms in strong laser field

Show slide #1

- Two regimes
- 1) Perturbative regime  $< 10^{13} \text{ W/cm}^2$
  - 2) Strong Field regime  $> 10^{13} \text{ W/cm}^2$

~~Need~~ Need to consider electron ~~states~~ transitions  
 band  $\rightarrow$  band states  
 bound  $\rightarrow$  free states (ionization)

Perturbative regime : wavefunction within  $a_0$

$$P_{NL} = \epsilon_0 \left[ \chi^{(2)} E^{(2)} + \chi^{(3)} E^3 + \dots \right]$$

response  $\frac{1}{\Delta} < 1 \text{ fs}$

Band-Band

Convergent

$$\frac{\chi^{(k+1)} E^{k+1}}{\chi^{(k)} E^k} \approx \frac{e E_0 a_0}{\hbar \Delta} \ll 1$$

band-band  
perturbative

Band-Free

Keldysh

$$\frac{1}{\gamma} = \frac{e E_0}{\omega \sqrt{2 m \hbar \omega}} = \frac{e E_0 a_0}{\hbar \omega} \ll 1$$

band  
free  
perturbative

where  $a_0 \equiv \hbar / \sqrt{2 m \hbar \omega}$

## Keldysh parameter

$$\gamma = \omega \frac{\sqrt{2m W_b}}{e E_a}$$

$m$  electron mass

$\omega$  laser freq.

$W_b$  (zero field ionization)

binding energy of most weakly bound  $e^-$

$\gamma \equiv$  ratio of laser field tunneling frequency to the laser frequency

$\gamma \gg 1$  multi-photon ionization

$\gamma \ll 1$  tunneling ionization

$$\gamma = \sqrt{\frac{W_b}{2U_p}}$$

$\frac{W_b}{2U_p}$  ionization potential

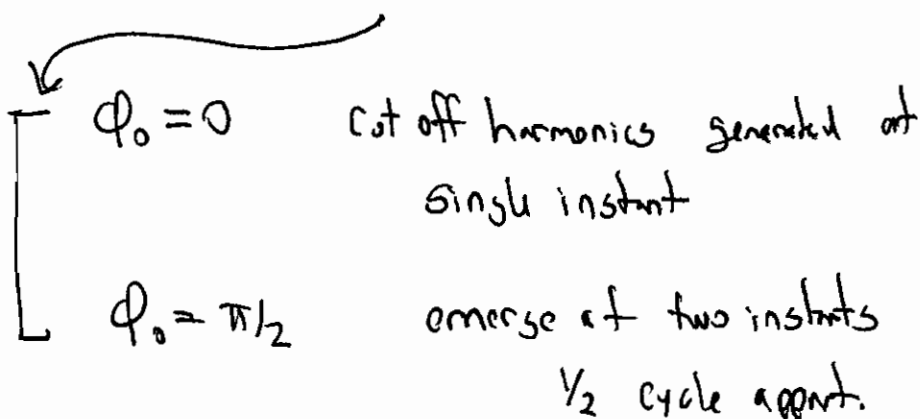
## CEP Sensitivity

Strong field ionization is phase dependent

Fig 46.

Ejection of photo electrons.

Fig 48 , Fig 49



## New Frontiers

Single attosecond pulse Double optical gating (DOG)

- $\omega + 2\omega$  Break symmetry
- use polarization gating equal to one cycle to select one pulse

Longer wavelength sources

$U_p \sim \lambda^2 I$  increase cutoff frequency.

Strong Field regime ( $\frac{1}{\gamma} < 1$ , Wavefunction  $> a_0$ ) ~~not~~

most weakly bound  $e^-$  of energy  $-W_b$   
penetrates barrier at  $x_0$  within fraction  
of laser oscillation cycle  $T_0$

$$> 10^{14} \text{ W/cm}^2$$

Wiggling electron in external field

wiggle amplitude  $a_w = \frac{eE}{m\omega^2}$   $x_0 = \frac{W_b}{eE_0}$

Pondermotive Energy

Cycle averaged KE of  
wiggling  $e^-$

$$V_0 = \frac{e^2 E^2}{4m\omega_0^2}$$

$$\frac{1}{\gamma^2} = \frac{2V_0}{W_b} = \frac{a_w}{2x_0}$$

$e^-$  acquires large KE within fraction of  $T_0$

Classical description  $\Rightarrow$  Corkum  
+ tunneling

$\Rightarrow$  wavefunction of  $e^-$  does not expand over  $T_0$

~~3 Step model~~  $\Rightarrow$  Slides

Cut off  
harmonic

$$\boxed{Nc\omega_0 = W_b + 3.17 U_p} \left\{ \begin{array}{l} U_p \sim \lambda^2 I \end{array} \right.$$

Pulse propagation in gases for HHG (Fig 28)

Optical Field ionization of atoms (Fig 30)

multiphoton ionization  
tunneling  
above barrier

3 Step Model  $\Rightarrow$  Slides

Propagation effects

absorption

dephasing : diff in phase velocity of HHG + driving source

defocusing : free electrons cause defocusing



## Optical Damage

Causes

- Linear absorption

$$< 10^9 \text{ W/cm}^2$$

localized heating

CW + long pulse ( $> 1 \mu\text{s}$ )

- Avalanche breakdown

$$10^9 \text{ W/cm}^2 - 10^{12} \text{ W/cm}^2$$

$$10^9 \text{ W/cm}^2 - 10^{12} \text{ W/cm}^2$$

$< 1 \mu\text{s}$

Dominant mechanism for pulsed lasers in this range.

Free electrons are accelerated by laser field

electrons impact ionize other atoms, producing more  $e^-$

Fraction of energy to  $e^-$  causes local heating

- Multiphoton ionization or absorption

$$10^{12} \text{ W/cm}^2 - 10^{16} \text{ W/cm}^2$$

$$10^{12} \text{ W/cm}^2 - 10^{16} \text{ W/cm}^2 \quad \text{Dominates}$$

$$> 10^{20} \text{ W/cm}^2 \quad \bullet \text{ Single field ionization}$$

Dependence on pulse duration  $\Rightarrow$  Fluence dependence

$$10\text{ps} - 10\text{ns} : \text{Damage} \sim \sqrt{I_p} \quad \text{Fluence}$$

$$\text{Damage Intensity} \sim \frac{1}{\sqrt{I_p}}$$

Damage depends on geometric mean of  
fluence + intensity

Fluence

$$\frac{\text{Energy}}{\text{area}}$$

$$\frac{N h \nu}{\pi r^2}$$

Intensity

$$\frac{\text{Power}}{\text{area}}$$

$$\frac{N h \nu}{\pi r^2} T_p = \frac{F}{T_p}$$

$$\text{III} \quad F \sim \sqrt{T_p}$$

$$I T_p \sim \sqrt{T_p}$$

$$I \sim \sqrt[3]{T_p}$$

$$\text{Damage} \sim \sqrt{IF} \sim \sqrt{T^{-1/2} T^{1/2}} \sim 1$$

No time dependence

# Quantum Mechanical Description of Nonlinear Optical Susceptibilities

Before we used a perturbative soln to a nonlinear oscillator to get the nonlinear response of a material

Lorentz Model

$$\text{Solve } \ddot{x} + 2\gamma\dot{x} + \omega_0^2 x = -eE(t)/m, \quad P = eX E$$

$$\chi^{(1)}(\omega) = \frac{Ne^2/m}{\epsilon_0} \left( \frac{1}{\underbrace{\omega_0^2 - \omega^2 - 2i\gamma\omega}_{D(\omega)}} \right)$$

$$= \frac{Ne^2/m}{\epsilon_0} \frac{1}{D(\omega)}$$

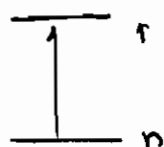
However a real material has many resonances

$$\chi^{(1)}(\omega) = \sum_j \frac{Ne^2/m}{\epsilon_0} \frac{f_j}{\omega_{0j}^2 - \omega^2 - 2i\gamma_j\omega}$$

oscillator strength  $\swarrow$

Our classical solution does not give information about  $f_j$

A quantum mechanical approach will relate  $f_j$  to elements of dipole <sup>transition</sup> matrix  $\mu_{nm}$



$$f_{na} = \frac{2m\omega_{na} |\mu_{na}|^2}{3\hbar e^2}$$

We can also get  $\gamma$  from the quantum mechanical treatment

# Classical Derivation of Nonlinear susceptibilities

2nd order  $\ddot{x} + 2\gamma\dot{x} + \omega_0^2 x + ax^2 = -eE(t)/m$

$$E(t) = (E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t}) + c.c.$$

Solve using perturbative expansion

$$x(t) = \lambda x^{(1)} + \lambda^2 x^{(2)} + \lambda^3 x^{(3)} + \dots$$

Let eqs.  $\lambda [\ddot{x}^{(1)} + 2\gamma\dot{x}^{(1)} + \omega_0^2 x^{(1)}] = -eE(t)/m \quad \lambda \quad (1)$

$$\lambda^2 [\ddot{x}^{(2)} + 2\gamma\dot{x}^{(2)} + \omega_0^2 x^{(2)} + a(x^{(1)})^2] = (0) \lambda \quad (2)$$

$$\lambda^3 [\ddot{x}^{(3)} + 2\gamma\dot{x}^{(3)} + \omega_0^2 x^{(3)} + a(x^{(1)}x^{(2)})] = (0) \lambda \quad (3)$$

Soln

$$x^{(1)}(t) = -\frac{e}{m} \frac{E_j}{(\omega_0^2 - \omega_j^2 - 2i\omega_j\gamma)} \quad j=1,2$$

$$x^{(2)}(2\omega_2) = \frac{-a(e/m)^2 E^2}{D(2\omega_2)D^2(\omega_2)}$$

$$x^{(2)}(\omega_1 + \omega_2) =$$

$$x^{(2)}(\omega_1 - \omega_2) =$$

Find  $x^{(2)} \quad P^{(2)} = \epsilon_0 x^{(2)}(E \dots)$

Get  $\chi^{(2)}(2\omega_j; \omega_1, \omega_2) \quad \chi^{(2)}(\omega_1 + \omega_2; \omega_1, \omega_2) \quad \chi(\omega_1 - \omega_2; \omega_1, -\omega_2)$

Example

$$\chi^{(2)}(\omega_1 + \omega_2; \omega_1, \omega_2) = \frac{N e^2 / m^2 a}{\epsilon_0 D(\omega_1 + \omega_2) D(\omega_1) D(\omega_2)}$$

QM gives us

- 1) How  $\chi^{(n)}$  depends on dipole transition moments + atomic energy levels
- 2) internal symmetries
- 3) Make numerical values of  $\chi^{(n)}$

Works well for atomic vapors

- Use
- 1) time dependent perturbation theory
  - 2) Density matrix

Time ordering of perturbation leads to different terms in the susceptibility

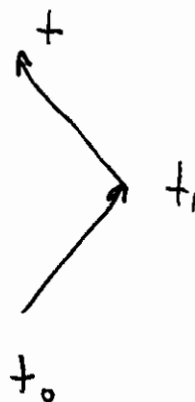
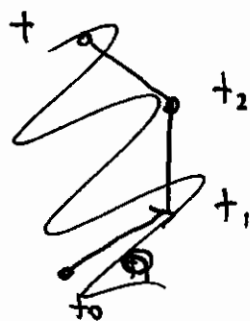
Account for terms using diagrams (Double sided

# Timing ordering

$$|g\rangle \rightarrow |m\rangle \rightarrow |n\rangle \rightarrow |f\rangle$$

virtual transitions

time ↑



$$\frac{i}{\hbar} \int_{t_0}^+ \int_{t_0}^{t_1} U(t, t_0) U(t_2, t_0) \times U^{(1)}(t_2, t) dt_2 dt_1$$

## Lecture 27 Quantum Mechanical Description of Nonlinear optical susceptibilities

so far, we have describe nonlinear optics in classical terms treating the medium as a collection of dipoles with a continuous spread of energies.

The question is, do we lose "Something" treating the system classically?

Does a quantum description provide more or a better explanation?

To answer these questions, we will need to develop a quantum treatment.

More specifically, we will use a semi-classical treatment

- treat material quantum mechanically
- treat  $E/m$  field classically

We can do this since the number of photons are large. (High intensities)

Now we really have not discussed what a photon is, this will come later in our discussion of quantum optics

### Density Matrix Formalism

A single quantum mechanical state can be described by the state vector

$$|\psi\rangle = \sum_n c_n |\psi_n\rangle$$

This is a pure state. If I have a collection of  $N$  quantum systems I cannot use a state vector to describe the total system. This is called a mixed state. Here, we have an ensemble of  $N$  systems,  $n_i$  are in state  $|\psi_i\rangle$

The ensemble is described by an occupancy number  $n_i$

A way to assemble the information on an ensemble is the density matrix

$$\hat{\rho} = \sum_i p_i |\psi_i\rangle \langle \psi_i|$$

$$p_i = \frac{n_i}{N}$$

probability to be  
picked Randomly

pure state :  $p_i = 0$  except one state

Ensemble average of property  $\Omega$

$$\langle \bar{\Omega} \rangle = \sum_i p_i \langle \psi_i | \Omega | \psi_i \rangle = \text{Tr}(\hat{\rho} \Omega)$$

Here there are two averaging 1) Quantum average  $\langle \psi_i | \Omega | \psi_i \rangle$   
for each system  $i$

2) Classical average over different states  $|\psi_i\rangle$

Consider

$$\text{Tr}(\Omega \hat{\rho}) = \sum_j \langle j | \Omega \hat{\rho} | j \rangle$$

$$= \sum_i \sum_j \langle j | \Omega | i \rangle \langle i | j \rangle p_i$$

$$= \sum_j \sum_i \langle i | j \rangle \langle j | \Omega | i \rangle p_i$$

$$= \sum_i \langle i | \Omega | i \rangle p_i \quad (\text{use definition of } \rho)$$

Remember orthonormality,  
 $1 = \sum_j |j\rangle \langle j|$



$$\text{But } 1 = \sum_j |j\rangle \langle j|$$

So

$$\text{Tr}(\Omega \rho) = \sum_i \langle i | \Omega | i \rangle \rho_i$$

$$= \langle \bar{\Omega} \rangle \Rightarrow \text{Ensemble average of } \Omega$$

The density matrix contains all statistical information on the ensemble.

~~Define density matrix operator~~

$$\hat{\rho} = |j\rangle \langle j|$$

$$\text{Tr}(\hat{\rho}) = \sum_n \langle n | j \rangle \langle j | n \rangle$$

For a pure state

$$\hat{\rho} = |\psi_i\rangle \langle \psi_i|$$

$$\text{Tr}(\hat{\rho}) = \sum_j \sum_i \langle \psi_i | \psi_i \rangle \langle \psi_i | \psi_i \rangle \rho_i = \rho_i$$

$$\text{Tr}(\hat{\rho}) = \sum_n \langle n | \hat{\rho} | n \rangle = \sum_i \sum_n \langle n | i \rangle \rho_i \langle i | n \rangle$$

$$= \sum_i \sum_n \rho_i \langle i | n \rangle \langle n | i \rangle = \sum_i \rho_i \langle i | i \rangle = 1$$

$$\Rightarrow \text{Also } \text{Tr}(\hat{\rho}^2) = 1$$

$$\text{pure state } \rho^2 = \rho$$

$$\text{Tr}(\hat{\rho} \hat{\Omega}) = \sum_j \langle j | \hat{\rho} \hat{\Omega} | j \rangle$$

$$= \sum_j \sum_i \langle j | i \rangle \langle i | \hat{\Omega} | j \rangle \rho_i$$

$$= \sum_j \sum_i \langle i | \hat{\Omega} | j \rangle \langle j | i \rangle \rho_i$$

$$= \sum_i \langle i | \hat{\Omega} | i \rangle \rho_i = \langle \hat{\Omega} \rangle$$

COMET

3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

## Important Result

$$\begin{aligned} \text{Tr}(\hat{\rho}^2) &= 1 \\ \text{Tr}(\hat{\rho}^2) &\leq 1 \end{aligned}$$

pure state / ensemble

mixed state

The density operator describes a mixed state

More properties of the density operator

$$\rho^\dagger = \rho$$

$$\rho^2 = \rho \quad (\text{pure ensemble})$$

$$\text{Tr} \rho = 1$$

$$\text{Tr}(\rho^2) \leq 1$$

We can express the density matrix in matrix space

$$\rho_{nm} = \sum_i p_i C_m^* C_n$$

where  $\psi = \sum_n C_n |n\rangle$

↑ energy eigenstates to Schrödinger Eq

## Physical Interpretation of density matrix

Diagonal Elements : probability of state system to be in eigenstate  $n \Rightarrow \rho_{nn}$

Off diagonal elements : "Coherence" between levels  $n + m$

$\rho_{nm} \neq 0$  if there is a coherent superposition of  
 $\underbrace{\quad}_{|n\rangle} \quad \underbrace{\quad}_{|m\rangle}$

Write Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{V}(t)$$

Interaction  $\rightarrow$  dipole

$$V(t) = -\hat{\mu} \cdot \vec{E}(t)$$

$$\vec{\mu} = e\vec{r}$$

$\uparrow$  classical E/m field

$$[\hat{H}, \hat{p}] = [\hat{H}_0, \hat{p}] + [\hat{V}(t), \hat{p}]$$

$\hat{H}_0$  satisfies time independent Schrödinger Eq

$$\begin{aligned} H_0 |\psi_n\rangle &= E_n |\psi_n\rangle \\ \text{or } H_{0,nm} &= E_n \delta_{nm} \end{aligned} \quad \left\{ \begin{array}{l} |\psi_n\rangle \\ \text{eigen solutions to} \\ \text{time-independent S.E.} \end{array} \right.$$

So

$$\begin{aligned} [\hat{H}_0, \hat{p}] &= \hat{H}_0 \hat{p} - \hat{p} \hat{H}_0 = \sum_v (H_{0,nv} p_{vm} - p_{nv} H_{0,vm}) \\ &= \sum_v (E_n \delta_{nv} p_{vm} - p_{nv} \delta_{vm} E_m) \\ &= E_n p_{nm} - E_m p_{nm} = (E_n - E_m) p_{nm} \end{aligned}$$

Define  $\omega_{nm} \equiv \frac{E_n - E_m}{\hbar}$

$$\dot{\rho}_{nm} = -i \omega_{nm} \rho_{nm} - \frac{i}{\hbar} [\hat{V}, \hat{\rho}]_{nm} - \frac{1}{T_2} (\rho_{nm} - \rho_{nm}^{eq})$$

solution  $\Rightarrow$  Expand  $\rho(t) = \sum_n \rho^{(n)}(t)$

$$\rho^{(n)}(t) = \left(\frac{1}{i\hbar}\right)^n \int_{t_0}^t dt_1 \int_{t_0}^{t_1} dt_2 \cdots \int_{t_0}^{t_{n-1}} dt_n [V(t_1), [V(t_2), \dots [V(t_n), \rho(t_0)] \dots]]$$

(Dyson Series)

Note  $t_0 \leq t_n \leq t_{n-1} \cdots \leq t_1 \leq t \Leftarrow$  time ordering

$\rho^{(n)}$  contains  $2^n$  terms

$$[V(t_1), [V(t_2), \rho(t_0)]] = V(t_1) V(t_2) \rho(t_0) - V(t_1) \rho(t_0) V(t_2) \\ - V(t_2) \rho(t_0) V(t_1) + \rho(t_0) V(t_2) V(t_1)$$

Potential in interaction picture

$$V_I(t) = U^\dagger (\mu \cdot E) U$$

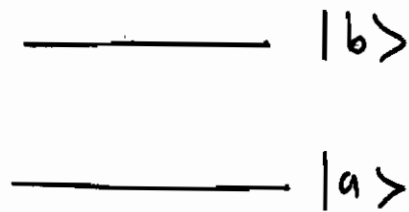
$$U \equiv \text{unitary matrix} \\ \exp(-i H_0 t / \hbar)$$

density matrix in interaction picture

$$\hat{\rho}_I = U^\dagger \rho U$$

The off diagonal terms are important since they are proportional to an induced dipole moment.

Let's look at an example: Two level ~~system~~ atom



Describe specific state  $s$

$$|\psi_s\rangle = c_a^s |a\rangle + c_b^s |b\rangle$$

Density matrix

$$\hat{\rho} \Rightarrow \begin{pmatrix} \rho_{aa} & \rho_{ab} \\ \rho_{ba} & \rho_{bb} \end{pmatrix}$$

$$\rho_{nm} = \sum_s \rho_s c_m^{s*} c_n^s$$

Dipole moment operator

$$\hat{\mu} \Rightarrow \begin{pmatrix} 0 & \mu_{ab} \\ \mu_{ba} & 0 \end{pmatrix}$$

$$\mu_{ij} = -e \langle i | \hat{z} | j \rangle$$

Find expectation value of dipole  $\langle \bar{\mu} \rangle = \text{Tr}(\hat{\rho} \hat{\mu})$

$$\hat{\rho} \hat{\mu} \Rightarrow \begin{pmatrix} \rho_{aa} \mu_{ab} & \rho_{ab} \mu_{ab} \\ \rho_{bb} \mu_{ba} & \rho_{ba} \mu_{ab} \end{pmatrix}$$

Then

$$\boxed{\langle \bar{\mu} \rangle = \text{Tr}(\hat{\rho} \hat{\mu}) = \rho_{ab} \mu_{ba} + \rho_{ba} \mu_{ab}}$$

### Example Time dependence for two level system

$$\dot{\rho}_{ba} = -i \omega_{ba} \rho_{ba} - \frac{1}{T_2} \rho_{ba}$$

$$\dot{\rho}_{bb} = \frac{1}{T_1} (\rho_{aa} - \rho_{bb}^*)$$

↖ equilibrium levels

$$\rho_{ab}(t) = \rho_{ba}^*(t) \quad \rho_{aa}(t) = 1 - \rho_{bb}(t)$$

### Back to perturbation theory ( $U(t)U^\dagger(t') = U(t-t')$ )

→ in the interaction picture

$$\begin{aligned} V_I(t_1) \rho_I(t_0) V_I^\dagger(t_2) &= U^\dagger(t_1) [-\mu \cdot E(t_1)] U(t_1) U^\dagger(t_0) \rho^{(0)}(t_0) U(t_0) \\ &\quad U^\dagger(t_2) [-\mu \cdot E(t_2)] U(t_2) \\ &= U(t-t_1) (-\mu \cdot E) U(t_1-t_0) \rho^{(0)}(t_0) U(t_0-t_2) [-\mu \cdot E(t_2)] U(t_2-t) \end{aligned}$$

for 2nd order

$$\left(\frac{1}{i\hbar}\right) \int_{t_0}^+ dt_1 \int_{t_0}^{t_1} dt_2 U(t-t_1) [-\mu \cdot E(t_1)] U(t_1-t_0) \rho^{(0)}(t_0) U(t_0-t_2) [-\mu \cdot E(t_2)] U(t_2-t)$$

For  $n^{\text{th}}$  order we have  $\Rightarrow 2^n n!$  terms!  
time ordering

# Lecture 28

# Nonlinear Optical Perturbation Theory

We wish to solve for the time dependence of  $\hat{\rho}$ . Use Liouville Eq.

$$\dot{\rho}_{nm} = \frac{-i}{\hbar} [\hat{H}, \rho]_{nm} \quad \text{(interaction picture)} \quad (1)$$

or  
(Dirac picture)

$$\hat{H}(t) = \hat{V}(t) + \hat{H}_0$$

However, we include a phenomenological damping term

$$\dot{\rho}_{nm} = \frac{-i}{\hbar} [\hat{H}, \hat{\rho}]_{nm} - \gamma_{nm} (\rho_{nm} - \rho_{nm}^{eq})$$

$\rho_{nm}$  relates to  $\rho_{nm}^{eq}$  at rate  $\gamma_{nm}$

Specifically

$$\rho_{nn}^{eq} = 0 \quad \text{for } n \neq m$$

$$\gamma_{nm} = \gamma_{mn} \equiv 1/T_2 \Rightarrow \text{dephasing rate}$$

So

$$\dot{\rho}_{nm} = \frac{-i}{\hbar} [\hat{H}, \hat{\rho}]_{nm} - \frac{1}{T_2} (\rho_{nm} - \rho_{nm}^{eq}) \quad (2)$$

If we ignore the dephasing, the differential eq (1) can be solved

| Quantum "Pictures" | Heisenberg       | Interaction         | Schrödinger               |
|--------------------|------------------|---------------------|---------------------------|
| State Ket          | No change        | Evolution by $V(t)$ | Evolution by $H(t)$       |
| Observable         | Evolution by $H$ | Evolution by $H_0$  | No change <sup>very</sup> |



# Some notes on Quantum Pictures

|       | Heisenberg picture | Schrödinger Picture |
|-------|--------------------|---------------------|
| State | Stationary         | Moving              |
| Obs.  | Moving             | Stationary          |
| Time  | moving oppositely  | Stationary          |

Moving State

$$\left\{ \begin{array}{l} c(t) = \langle a' | (U | a, t=0 \rangle) \text{ SP} \\ c(t) = (\langle a' | U) | a, t=0 \rangle \text{ HP} \end{array} \right.$$

## Schrödinger Picture

State ket has  
time dependence

$$|\alpha, t_0; t\rangle = U(t, t_0) |\alpha, t_0\rangle$$

$$U(t, t_0) = \exp\left(\frac{-i H(t-t_0)}{\hbar}\right)$$

## Heisenberg Picture

Observables have time  
dependence

$$\frac{d\Omega^{(H)}}{dt} = \frac{-i}{\hbar} [H, \Omega^{(H)}]$$

$$\frac{d\Omega^{(H)}}{dt} = \frac{1}{i\hbar} [\Omega^{(H)}, H]$$

or

$$\Omega^{(H)} = U^\dagger \Omega^{(S)} U$$

Relationship between Schrödinger + Heisenberg Pictures

$$\boxed{\Omega^{(H)} = U^\dagger \Omega^{(S)} U}$$

## Time dependences of Ensemble systems

Expectation values are a function of time

$$\begin{pmatrix} \sum_s c_a^s(t) c_a^{s*}(t) & \sum_s c_a^s(t) c_b^{s*}(t) \\ \sum_s c_b^s(t) c_a^{s*}(t) & \sum_s c_b^s(t) c_b^{s*}(t) \end{pmatrix}$$
$$= \begin{pmatrix} \sum_s |c_a^s(t)|^2 & \sum_s c_a(t) c_b^*(t) \\ \sum_s c_b^s(t) c_a^{s*}(t) & \sum_s |c_b^s(t)|^2 \end{pmatrix}$$

On diagonal terms  $\Rightarrow$  positive + Real

off diagonal terms  $\Rightarrow$  negative or complex

Coherences decay due to dephasing : Rates

Cancellation of emitted light.

Ensemble average of atomic wavefunctions add to zero over time

Two timescales

|                                   |                      |                                        |
|-----------------------------------|----------------------|----------------------------------------|
| $T_1 \Rightarrow$ relaxation time | $P_{aa}$ or $P_{bb}$ | (level lifetime)                       |
| $T_2 \Rightarrow$ dephasing time  | $P_{ab}$ or $P_{ba}$ | (coherence lifetime)<br>collision rate |

Typically  $T_2 \ll T_1$

# Time scales

| Medium                                          | $T_1$ (s)            | $T_2$                 | $\sigma$ (cm <sup>2</sup> ) |
|-------------------------------------------------|----------------------|-----------------------|-----------------------------|
| Solids<br>doped with resonant<br>atomic systems | $10^{-3} - 10^{-6}$  | $10^{-11} - 10^{-14}$ | $\sim 10^{-20}$             |
| dye molecules                                   | $10^{-8} - 10^{-12}$ | $10^{-13} - 10^{-14}$ | $\sim 10^{-16}$             |
| Semiconductors                                  | $10^{-4} - 10^{-12}$ | $10^{-12} - 10^{-14}$ |                             |

$T_1 \Rightarrow$  lifetime, longitudinal relaxation time

$T_2 \Rightarrow$  dephasing time, transverse relaxation time

Deriving the Polarization using perturbation theory  $\Rightarrow \chi^{(n)}$

$$\langle \bar{P} \rangle = \langle \bar{P}^{(0)} \rangle + \langle \bar{P}^{(1)} \rangle + \langle \bar{P}^{(2)} \rangle + \dots$$

Where  $\langle \bar{P}^{(n)} \rangle = T_r \langle \rho^{(n)} \bar{P} \rangle$

where

$$\begin{cases} V = e \vec{r} \cdot \vec{E} & \vec{P} = -Ne \vec{r} & N \equiv \frac{\# \text{ dipoles}}{\text{volume}} \\ E = E_1(\omega_1) + E_2(\omega_2) + \dots \end{cases}$$

(50)

$$\chi_{ij}^{(1)} = \frac{P_i^{(1)}(\omega)}{\epsilon_0 E_j(\omega)}$$

$$\chi_{ijkl}^{(3)} = \frac{P_i^{(3)}(\omega)}{\epsilon_0 E_j(\omega_1) E_k(\omega_2) E_l(\omega_3)}$$

$$\chi_{ijk}^{(2)} = \frac{P_i^{(2)}(\omega)}{\epsilon_0 E_j(\omega_1) E_k(\omega_2)}$$

Lets write out the first ~~two~~<sup>2nd</sup> order solutions for  $\rho_{nm}^{(n)}$

$$\rho_{nm}^{(1)}(\omega_j) = \frac{[V(\omega_j)]_{nm}}{\hbar(\omega_j - \omega_{nm} + i1/T_2)} (\rho_{mm}^{(0)} - \rho_{nn}^{(0)})$$

$$\rho_{nm}^{(2)}(\omega_j + \omega_k) = \frac{[V(\omega_j), \rho^{(0)}(\omega_k)]_{nm} + [V(\omega_k), \rho^{(1)}(\omega_j)]_{nm}}{\hbar(\omega_j + \omega_k - \omega_{nm} + i1/T_2)}$$

now we use

$$\langle \bar{\rho}^{(n)} \rangle = \text{Tr}(\rho^{(n)} \bar{\rho})$$

• find the polarization using the  $\rho^{(n)}$  from the Dyson series

For  $\rho^{(1)} \Rightarrow$  Two term  $\boxed{(2^n n!) \Rightarrow}$  Terms from Dyson Series

$\rho^{(2)} \Rightarrow$  Eight terms

$\rho^{(3)} \Rightarrow$  48 terms

in to find  $\chi^{(n)}$  use the above expressions

$$\chi^{(2)} = \frac{\rho^{(2)}}{E(\omega) E(\omega)}$$

$$\chi^{(2)} = -\frac{Ne^2}{\hbar} \left[ \sum_{s,n,n'} \left( \begin{matrix} \text{terms from} \\ \text{perturbation} \\ \text{theory} \end{matrix} \right) \right]$$

Expand terms from the Dyson series : one term

In interaction picture

$$V_I(t) = U^\dagger(t) (-\vec{\mu} \cdot \vec{E}) U(t) \quad U(t) = \exp(-i \hat{H}_0 t / \hbar)$$

So  $V_I(t_1) \rho_I(t_0) V_I(t_2)$

$$= U^\dagger(t_1) [-\vec{\mu} \cdot \vec{E}(t_1)] U(t_1) U^\dagger(t_0) \overbrace{\rho_I^{(0)}(t_0)}^{\text{Right}} U(t_0)$$

$$\left. \begin{aligned} & \rho_I(t) = U^\dagger(t) \rho(t) U(t) \\ & \left. \begin{aligned} & U^\dagger(t_2) (-\vec{\mu} \cdot \vec{E}(t_2)) U(t_2) \\ & \text{left} \end{aligned} \right\} \end{aligned} \right\}$$

So  $\Rightarrow = \underbrace{[U(t-t_1) [-\vec{\mu} \cdot \vec{E}(t_1)] U(t_1-t_0)]}_{\text{Left side (ket evolution)}}$

Left side (ket evolution)

propagation from

$$t_0 \rightarrow t_1 \rightarrow t$$

Right

Right side (ket evolution)

propagation from

$$t_0 \rightarrow t_2 \rightarrow t$$

This is just one term, there will be many more.

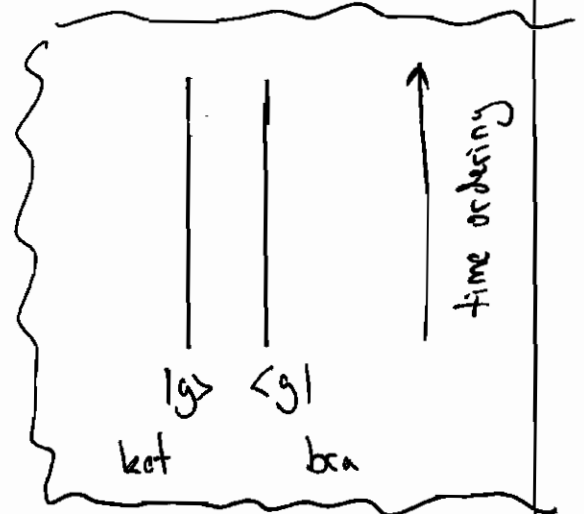
We need two sided diagram to handle both the ket + bra evolution

# Double sided Feynman Diagrams (Yee + Gustafson) PRA 18 4 1979

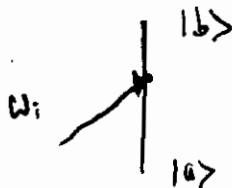
Used to keep track of terms in perturbation calculations  
The density matrix involves products of two wave functions  
so two diagrams are needed.

Diagrams give a simple picture of the corresponding physical process, allowing one to write down the corresponding mathematical expression.

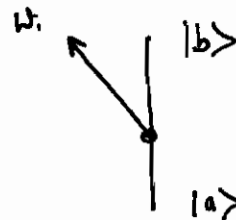
- 1) Start at  $|g\rangle \rho_{gg}^{(0)} \langle g|$
- 2) Draw ket left, bra right
3. Consider a vertex from  $|a\rangle \rightarrow |b\rangle$



ON LEFT (ket)



absorption



emission

matrix elements  ~~$\frac{1}{i\hbar} \langle b | H_I(\omega_i) | a \rangle$~~

$$\frac{1}{i\hbar} \langle b | H_I^+(\omega_i) | a \rangle$$

$$\frac{1}{i\hbar} \langle b | H_I(\omega_i) | a \rangle$$

emission

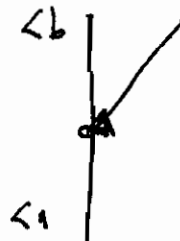
absorption

ON RIGHT (bra)

adjoint between bra + ket



emission



Absorption

$$-\frac{1}{i\hbar} \langle b | H_I^+ | b \rangle$$

emission

$$-\frac{1}{i\hbar} \langle b | H | b \rangle$$

abs.

4. Propagation from  $j^{\text{th}}$  vertex to  $(j+1)$  along  $|l\rangle\langle k|$

$$T_l = \pm \left[ \sum_{m=1}^j (\pm \omega_m - \omega_{ek} + i \frac{\langle T_2 \rangle_{ek}}{\hbar}) \right]^{-1} \frac{1}{\hbar}$$

$\uparrow$   
 $\begin{matrix} + \text{ ket side} \\ - \text{ bra side} \end{matrix}$

Sign of term  $\omega_m$

|          | left (ket) | Right (bra) |
|----------|------------|-------------|
| Abs.     | +          | -           |
| emission | -          | +           |

From

Time ordering

$n$  interactions

$k$  on left (ket)

$n-k$  on right (bra)

# possible  $\frac{n!}{k! (n-k)!}$

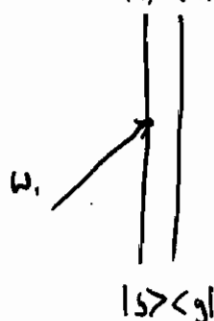
$$\chi^{(n)} = - \frac{N_p^2}{\hbar} \left( \sum_{\text{terms}} \dots \right)$$

# Example : Linear optics absorption & emission

one photon, no virtual processes

$|g\rangle$  to  $|n\rangle$

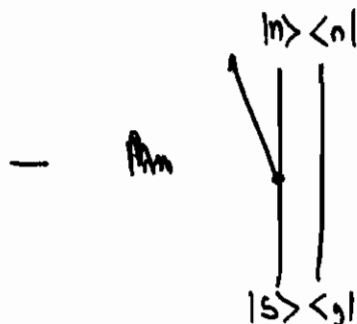
absorption



$$+ \frac{\rho_{gg} \mu_{gn}^{(i)} \mu_{ng}^{(i)}}{\hbar (-\omega_{ng} + \omega_i + i \frac{1}{T_2})_{ng}}$$

Complex  
Lorentzian

emission



$$\frac{1}{\hbar} (\omega - \omega_{gn} + i \frac{1}{T_2})^{-1}$$

$$= \frac{1}{\hbar} (\omega + \omega_{ng} + i \frac{1}{T_2})^{-1}$$

$$- \frac{\rho_{nn} \mu_{ng}^{(i)} \mu_{gn}^{(i)}}{\hbar (\omega + \omega_{ng} + i \frac{1}{T_2})}$$

use

$$\rho_{nn} = 1 - \rho_{gg}$$

$$\chi_{ij}^{(i)} = \rho_{gg}^{(i)} \frac{N}{\hbar} \left[ \sum_{gn} \frac{\mu_{ng}^{(i)} \mu_{gn}^{(j)}}{(\omega + \omega_{ng} + i \frac{1}{T_2})_{ng}} + \frac{\mu_{ng}^{(j)} \mu_{gn}^{(i)}}{\omega - \omega_{ng} + i \frac{1}{T_2})_{ng}} \right]$$



To get classical result define ~~open~~ oscillation strength + keep resonant term

$$f_{nj} = \frac{2m\omega_{nj} |\mu_{nj}|^2}{3\hbar e^2} \quad p_{nj}^{(1)} \approx 1$$

$$\chi_{ij}^{(1)} \approx \sum_j f_{nj} \frac{Ne^2/m}{(\omega_{nj}^2 - \omega^2 - 2i\omega_{nj} \gamma_{T2})}$$

Boyd  
3.5.25

QM more than one resonant frequency

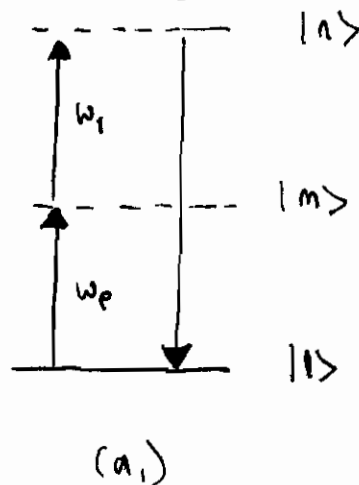
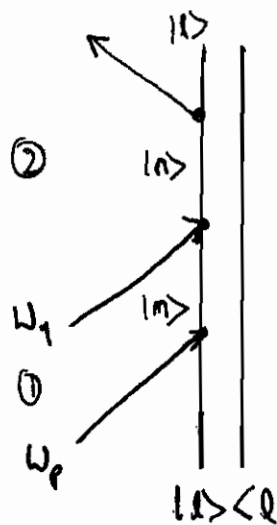
$\omega_{nj}$

Strengths  $\Rightarrow$  oscillator

# Second Harmonic generation

(Follow Boyd)

8 Terms



Term

$$\frac{\mu_{1n}^i \mu_{nm}^j \mu_{me}^k}{[(\omega_{n1} - \omega_p - \omega_1) - i\gamma_{n1}][\omega_{me} - \omega_p - i\gamma_{me}]}$$

①

$$\frac{\mu_{me}}{[(\omega_{me} - \omega_p) - i\gamma_{me}]}$$

②

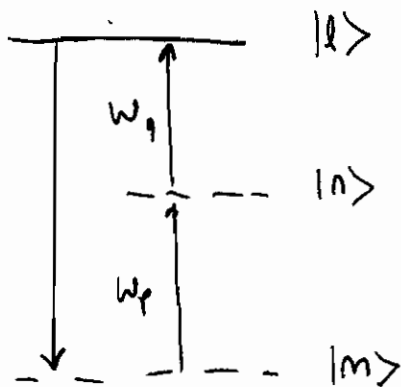
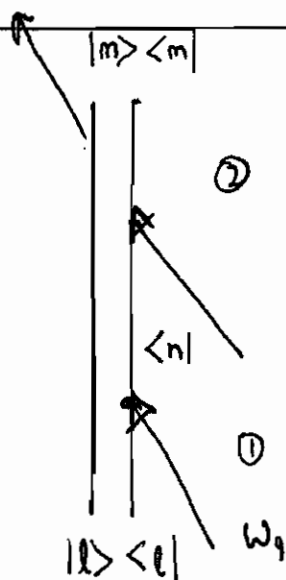
$$\frac{\mu_{nm}}{[(\omega_{n1} - \omega_p - \omega_1) - i\gamma_{n1}]}$$

} operate on  
ket side!

$$P_{ee}^{(0)} \rightarrow P_{me}^{(1)} \rightarrow P_{ne}^{(2)}$$

Term

$$\frac{\mu_{en}^j \mu_{nm}^k \mu_{mo}^l}{[(\omega_{me} + \omega_p + \omega_l) + i\gamma_{me}] [\omega_{ne} + \omega_l + i\gamma_{ne}]} \quad (2)$$



$$\rho_{\theta\theta}^{(0)} \rightarrow \rho_{\theta n}^{(1)} \rightarrow \rho_{\theta n^2}^{(2)}$$

operate on ~~mem~~ bra  
side

3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

COMET

$$U(t_1) [-\mu \cdot E] U(t_1 - t_0) \quad p^0(t_0) \quad U(t_0 - t_2) [-\mu \cdot E(t_2)] \quad U(t_2 - t)$$

The calculation can be done, which will have 48 terms, and is not, however, is discussed in the denominators, the ability can then be reduced in the expression for

$$\frac{\langle n n' (r_j) n' g}{(\omega_2 - \omega_{ng})}$$

ules per unit volume, the for gases or molecular an distribution. For solids structure, the eigenstates are distribution. The expression since the band states form the resonant denominators with the photon wavevec- the form<sup>3</sup>

$$\begin{aligned} & \frac{\langle c', q | r_k | v, q \rangle}{\omega_{cv}(q)} \\ & \frac{\langle c', q | r_j | v, q \rangle}{\omega_{cv}(q)} \\ & \frac{\langle c', q | r_i | v, q \rangle}{\omega_{cv}(q)} \\ & \frac{\langle c', q | r_l | v, q \rangle}{\omega_{cv}(q)} \\ & \frac{\langle c', q | r_m | v, q \rangle}{\omega_{cv}(q)} \\ & \frac{\langle c', q | r_n | v, q \rangle}{\omega_{cv}(q)} \} f_v(q) \end{aligned} \quad (2.18)$$

are the band indices, and arising from the induced factor  $L^{(n)}$  should then

appear as a multiplication factor in  $\chi^{(n)}$ . We discuss the local field correction in more detail in Section 2.4. For Bloch (band-state) electrons in solids with wavefunctions extended over many unit cells, the local field tends to get averaged out, and  $L^{(n)}$  may approach 1.

## 2.3 DIAGRAMMATIC TECHNIQUE

Perturbation calculations can be facilitated with the help of diagrams. Feynman diagrams have been used in perturbation calculations on wavefunctions. Here, since the density matrices involve products of two wavefunctions, perturbation calculations require a kind of double-Feynman diagram. We introduce in this section a technique devised by Yee and Gustafson.<sup>6</sup> Only the steady-state response is considered here.

The important aspects of any diagrammatic technique are that the diagrams provide a simple picture to the corresponding physical process as well as allowing one to write down immediately the corresponding mathematical expression. It is essential to find the complete set of diagrams for a perturbation process of a given order. The scheme we adopt for calculating  $\rho^{(n)}$  involves in each diagram a pair of Feynman diagrams with two lines of propagation, one for the  $|\psi\rangle$  side of  $\rho$  and the other for the  $\langle\psi|$  side. Figure 2.1 shows one of

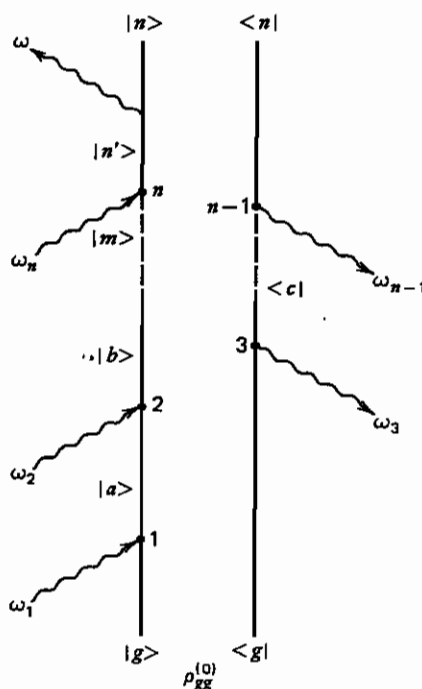



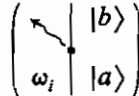
Fig. 2.1 A representative double-Feynman diagram describing one of the many terms in  $\rho^{(n)}(\omega = \omega_1 + \omega_2 + \dots + \omega_n)$ .

the many diagrams describing the various terms in  $\rho^{(n)}(\omega = \omega_1 + \omega_2 + \dots + \omega_n)$ . The system starts initially from  $|g\rangle\langle g|$  with a population  $\rho_{gg}^{(0)}$ . The ket state propagates from  $|g\rangle$  to  $|n'\rangle$  through interaction with the radiation field at  $\omega_1, \omega_2, \dots, \omega_n$ , and the bra state propagates from  $\langle g|$  to  $\langle n|$  through interaction with the field at  $\omega_3, \dots, \omega_{n-1}$ . Then, the final interaction with the output field at  $\omega$  puts the system in  $|n\rangle\langle n|$ . Through permutation of the interaction vertices and rearrangement of the positions of the vertices on the lines of propagation, the other diagrams for  $\rho^{(n)}$  can also be drawn.

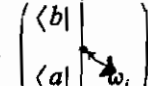
The microscopic expression for a given diagram can now be obtained using the following general rules describing the various multiplication factors:

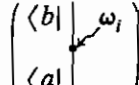
- 1 The system starts with  $|g\rangle\rho_{gg}^{(0)}\langle g|$ .
- 2 The propagation of the ket state appears as multiplication factors on the left, and that of the bra state on the right.
- 3 A vertex bringing  $|a\rangle$  to  $|b\rangle$  through absorption at  $\omega_i$  on the left (ket) side of the diagram is described by the matrix element  $(1/i\hbar)\langle b|\mathcal{H}_{\text{int}}(\omega_i)|a\rangle$

with  $\mathcal{H}_{\text{int}}(\omega_i) \propto e^{-i\omega_i t}$  (denoted by  in Fig. 2.1). If it is emission

() instead of absorption, the vertex should be described by

$(1/i\hbar)\langle b|\mathcal{H}_{\text{int}}^\dagger(\omega_i)|a\rangle$ . Because of the adjoint nature between the bra and ket sides, an absorption process on the ket side appears as an emission process on the bra side, and vice versa.\* Therefore, on the right (bra) side

of the diagram, the vertices for emission () and absorption

() are described by  $-(1/i\hbar)\langle a|\mathcal{H}_{\text{int}}(\omega_i)|b\rangle$  and  $-(1/i\hbar)\langle a|\mathcal{H}_{\text{int}}^\dagger(\omega_i)|b\rangle$ , respectively.

- 4 Propagation from the  $j$ th vertex to the  $(j+1)$ th vertex along the  $|l\rangle\langle k|$  double lines is described by the propagator  $\Pi_j = \pm[i(\sum_{i=1}^j \omega_i - \omega_{lk} + i\Gamma_{lk})]^{-1}$ . The frequency  $\omega_i$  is taken as positive if absorption of  $\omega_i$  at the  $i$ th vertex occurs on the left or emission of  $\omega_i$  on the right; it is taken as negative if absorption of  $\omega_i$  occurs on the right or emission on the left.
- 5 The final state of the system is described by the product of the final ket and bra states, for example,  $|n'\rangle\langle n|$  after the  $n$ th vertex in Fig. 2.1 for  $\rho^{(n)}$ .
- 6 The product of all factors describes the propagation from  $|g\rangle\langle g|$  to  $|n'\rangle\langle n|$  through a particular set of states in the diagram. Summation of these

\*If the field is also quantized,  $\mathcal{H}_{\text{int}}(\omega_i)$  operating on a ket state will annihilate a photon at  $\omega_i$ , while if operating on a bra state it will create a photon.

$\rho^{(n)}(\omega = \omega_1 + \omega_2 + \dots +$   
population  $\rho_{gg}^{(0)}$ . The ket state  
the radiation field at  
to  $\langle n|$  through interaction  
with the output field at  
of the interaction vertices  
in the lines of propagation,  
can now be obtained using  
multiplication factors:

Multiplication factors on the  
at  $\omega_i$  on the left (ket) side  
element  $(1/i\hbar)\langle b|\mathcal{H}_{\text{int}}(\omega_i)|a\rangle$   
Fig. 2.1 . If it is emission

should be described by  
ture between the bra and  
appears as an emission  
ore, on the right (bra) side  
and absorption  
 $(\omega_i)|b\rangle$  and  $-(1/i\hbar)\langle a|$

vertex along the  $|l\rangle\langle k|$   
 $\omega_i = \pm[i(\sum_{j=1}^l \omega_j - \omega_{lk} +$   
sorption of  $\omega_i$  at the  $i$ th  
the right; it is taken as  
emission on the left.  
duct of the final ket and  
in Fig. 2.1 for  $\rho^{(n)}$ .  
from  $|g\rangle\langle g|$  to  $|n'\rangle\langle n|$   
n. Summation of these  
nihilate a photon at  $\omega_i$ , while

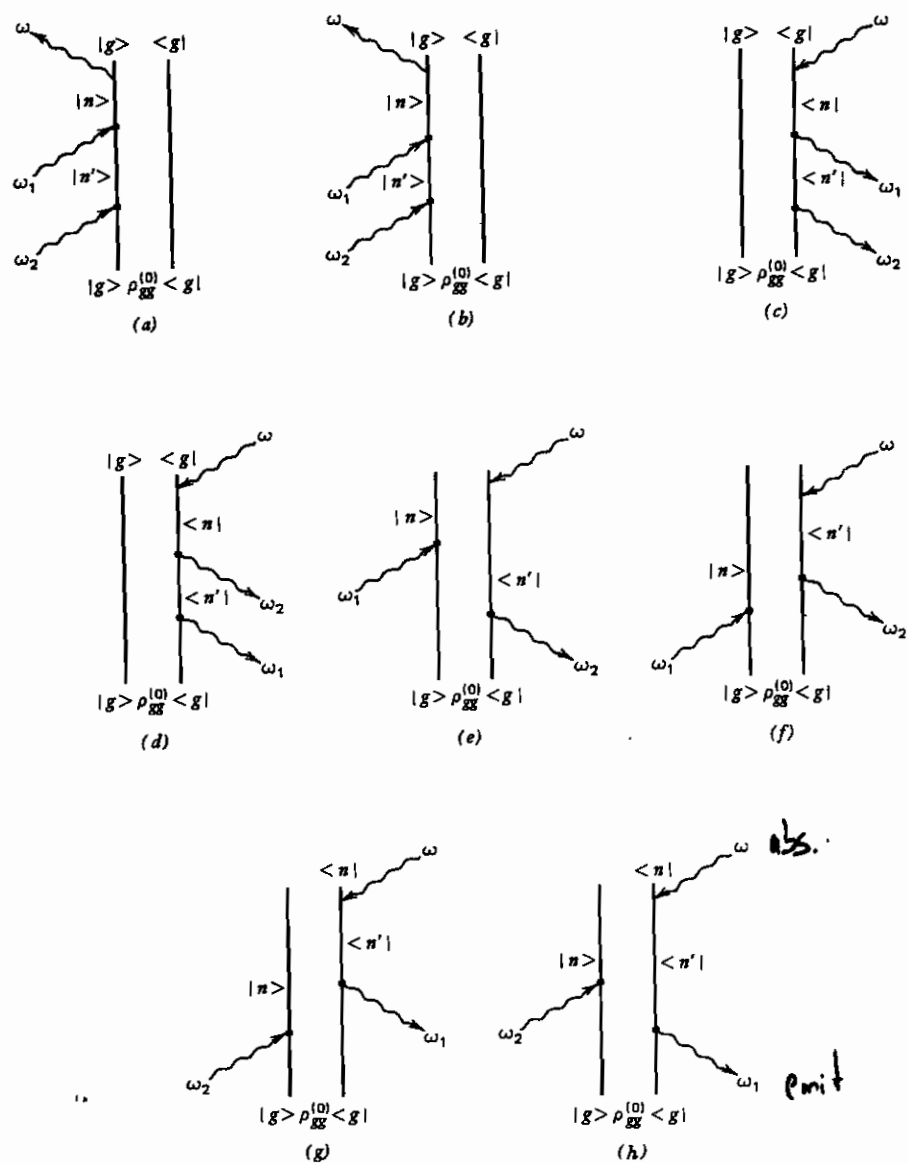


Fig. 2.2 The complete set of eight diagrams for the eight terms in  $\rho^{(2)}(\omega = \omega_1 + \omega_2)$ .

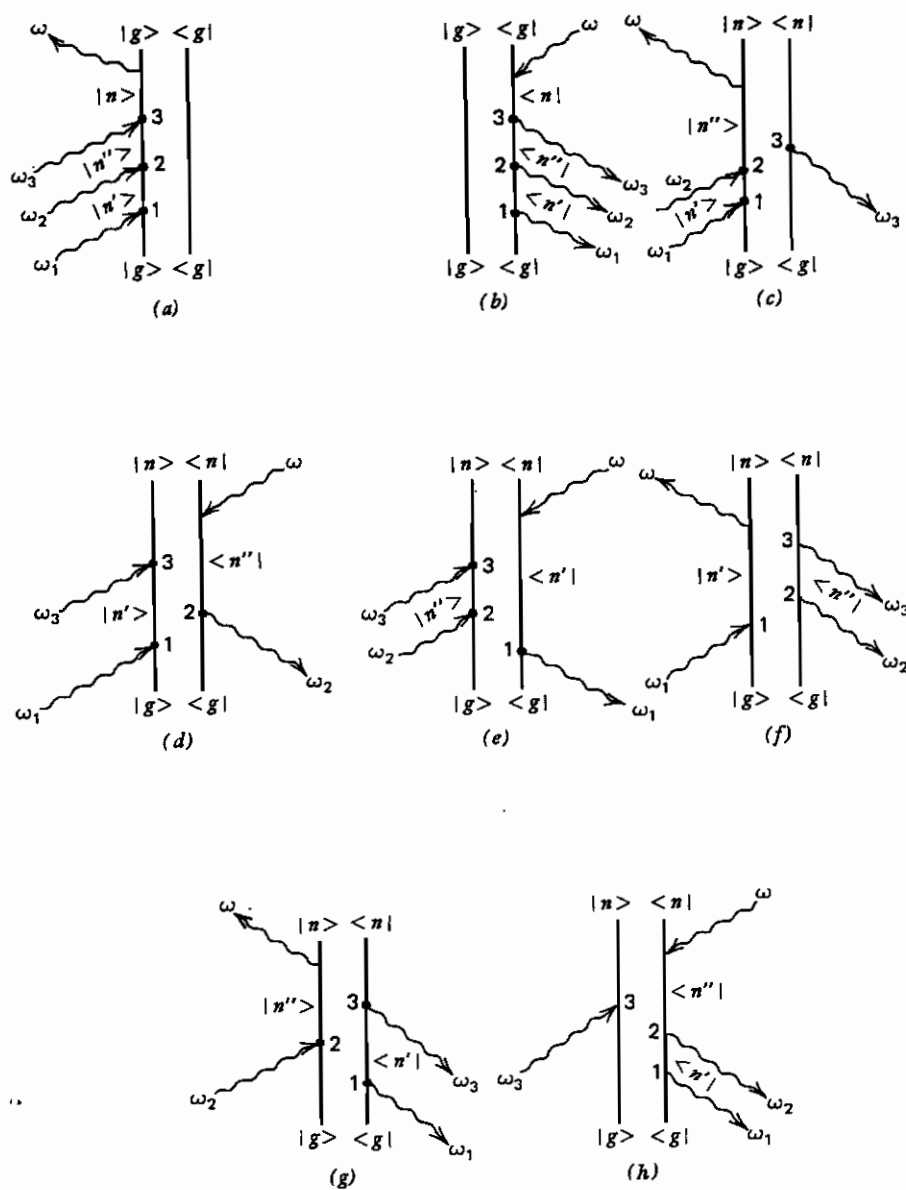


Fig. 2.3 The eight basic diagrams for  $\rho^{(3)}(\omega = \omega_1 + \omega_2 + \omega_3)$ .



## Feynman diagrams for continuous wave case

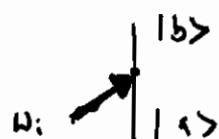
Used to keep track of terms in perturbation calculations.  
The density matrix involves products of two wavefunctions so  
Two diagrams are needed.

All diagrams give a simple picture of the corresponding  
physical process, allowing one to write down the corresponding  
mathematical expression.

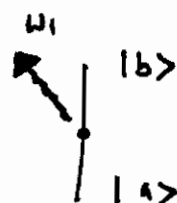
- 1) Start system at  $|g\rangle \rho_{ss}^{(0)} \langle g|$
- 2) Draw ket on left, bra at right
3. A vertex bringing  $|a\rangle$  to  $|b\rangle$



ket on left



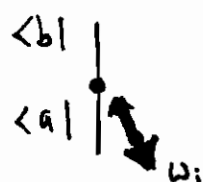
absorption



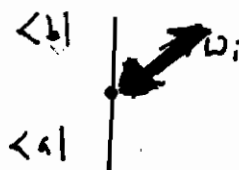
emission

$\Rightarrow$  matrix elements  $(1/i\hbar) \langle b | V | a \rangle$

on Right



emission



absorption

$\Rightarrow$  matrix elements  $-(1/i\hbar) \langle a | V | b \rangle$

4. Propagation from  $j^{\text{th}}$  vertex to  $(j+1)$  along  $|l\rangle \langle k|$  described by

$$\frac{1}{\hbar} \pm \left[ \sum_{i=1}^j \omega_i - \omega_{ek} + i/ T_2 \right]^{-1}$$

+ ket side absorption  
- bra side absorption

5.

|                     | <u>left</u>     | <u>right</u>    |
|---------------------|-----------------|-----------------|
| <u>Sign of term</u> | + if absorption | - if absorption |
|                     | - if emission   | + if emission   |

(OR)

|          | <u>left</u> | <u>Right</u> |
|----------|-------------|--------------|
| Abs.     | +           | -            |
| Emission | -           | +            |

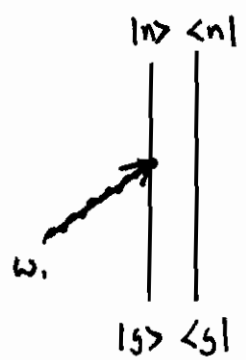
Term Sign  $\pm [ ]^{-1}$

Final State of system given by final ket + bra

Product of all factors yields the susceptibility

Example: Linear optics - Absorption

Involves only one photon  
No virtual processes  
 $|g\rangle$  to  $|n\rangle$



Absorption

So

$$\frac{\cancel{\rho_{ss}} \cancel{M_{gn}^{(1)}} + \rho_{ss} M_{gn}^{(1)} M_{ng}^{(1)}}{\hbar (\omega_{ng} + \omega_i + i \frac{1}{T_2}_{ng})}$$

To get classical result define oscillator strength

$$f_{ng} \equiv \frac{2m\omega_{ng} |M_{ng}|^2}{3\hbar e^2}$$

This is a complex Lorentzian function like we derived using our classical harmonic oscillator model.



$$\frac{1}{\hbar} (\omega - \omega_{sn} + i \frac{1}{T_2})^{-1}$$

$$\hbar (\omega + \omega_{ng} + i \frac{1}{T_2})^{-1}$$

$$\text{Term} \Rightarrow - \frac{\rho_{nn} \mu_{ng}^{(i)} \mu_{sn}^{(i)}}{\hbar (\omega + \omega_{ng} + i \frac{1}{T_2})}$$

$$\text{But } \rho_{nn} = \rho_{ss} + 1$$

$$\chi_{ij}^{(1)} = \rho_{ss}^{(0)} \frac{N}{\hbar} \left[ \sum_{sn} \frac{\mu_{ng}^{(i)} \mu_{sn}^{(j)}}{(\omega + \omega_{ng} + i \frac{1}{T_{2ng}})} + \frac{\mu_{ng}^{(j)} \mu_{sn}^{(i)}}{(\omega - \omega_{ng} + i \frac{1}{T_{2ng}})} \right]$$

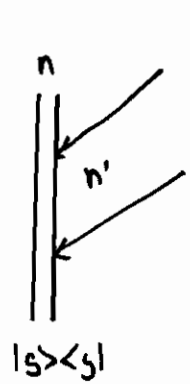
To get classical result, define the oscillator strength

$$f_{ng} = \frac{2m\omega_{ng} |\mu_{ng}|^2}{3\hbar e^2} \quad \rho_{ss}^{(0)} = 1$$

Dropping non resonant term

$$\chi_{ij}^{(1)} \approx f_{ng} \frac{Ne^2/m}{(\omega_{ng}^2 - \omega^2 - 2i\omega \frac{1}{T_2})} \leftarrow \text{Lorentzian}$$

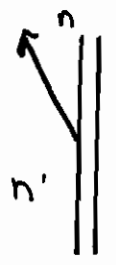
Absorption on bra side



$$\omega_2 - (\omega_2 + \omega_1 - \omega_{n'g} + 1/T_2)^{-1}$$

$$-(\omega_1 - \omega_{ng} + 1/T_2)^{-1}$$


Emission on ket side



$$(\omega_1 + \omega_{nn'} + 1/T_2)^{-1}$$

$$= (\omega_1 + \omega_{n'n} + 1/T_2)^{-1}$$

Emission on bra side

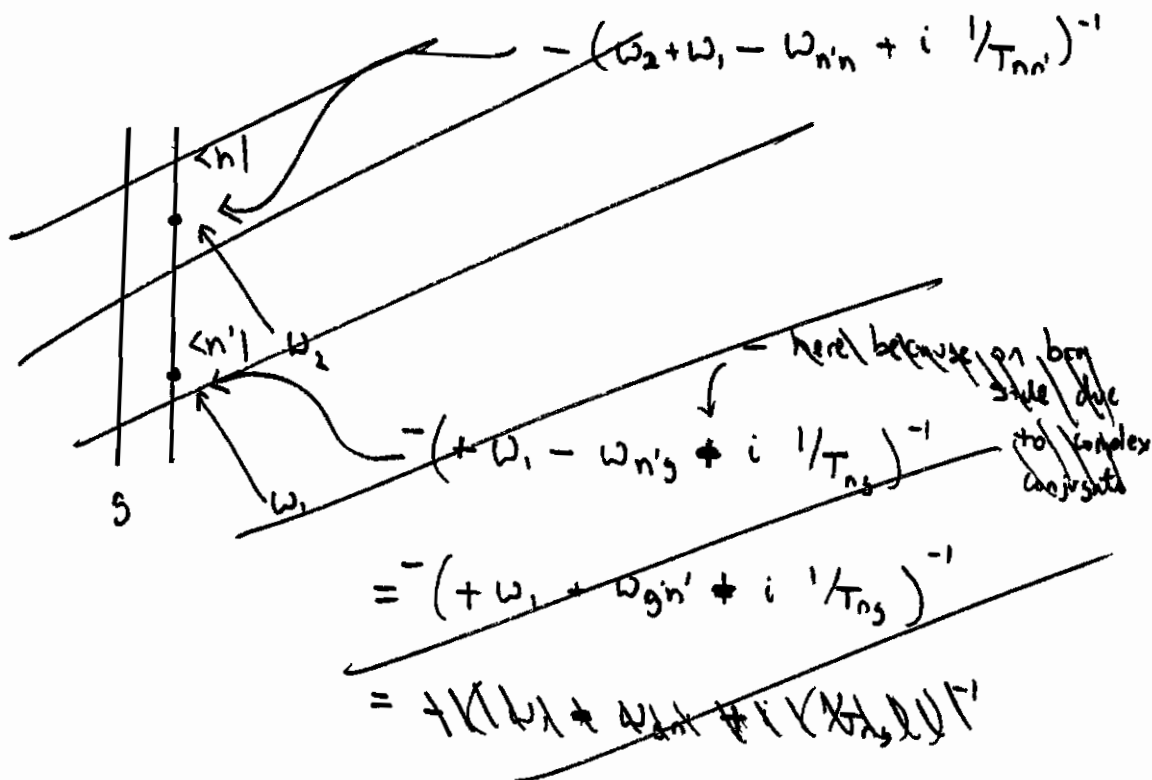
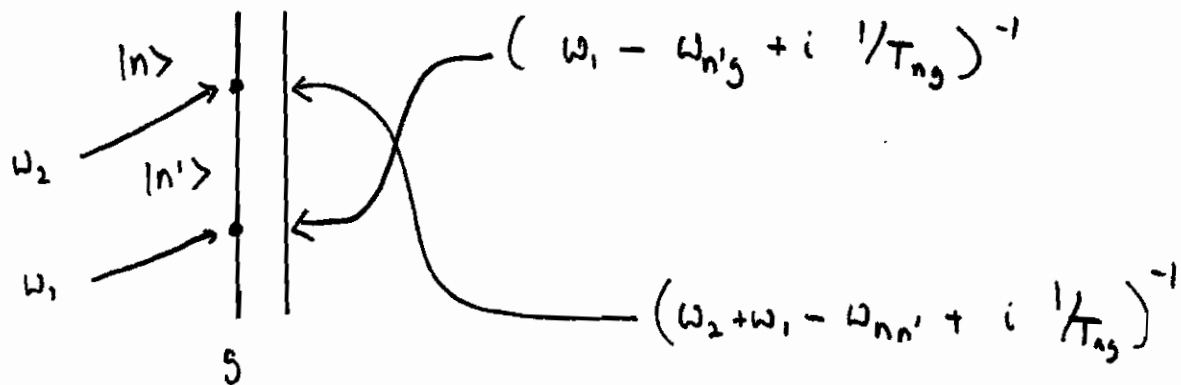


$$-(\omega_1 + \omega_{n'n} + 1/T_{2,n'n})^{-1}$$

$$= -(\omega_1 + \omega_{nn'} + 1/T_{2,n'n})^{-1}$$

# More Examples

Absorption



So

$$\chi_{ij}^{(1)} = + \frac{Ne^2}{\hbar} \left( \frac{p_{ss} \mu_{gn}^{(i)} \mu_{ng}^{(j)}}{-\omega_{ng} + \omega_i + i(\Gamma_s)_{ng}} \right)$$

Relate to classical  
result by defining  
oscillator strength

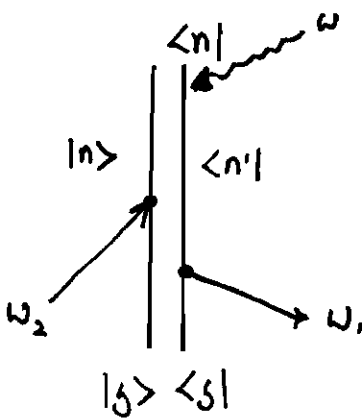
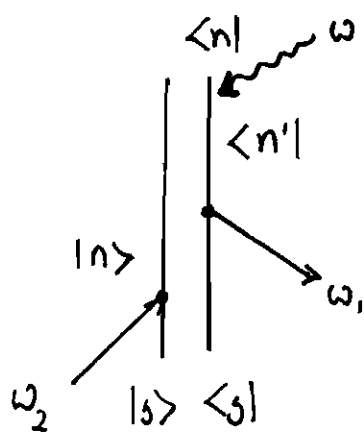
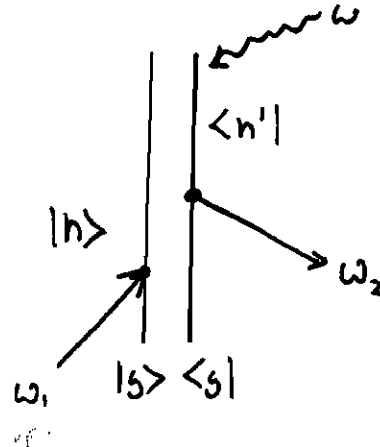
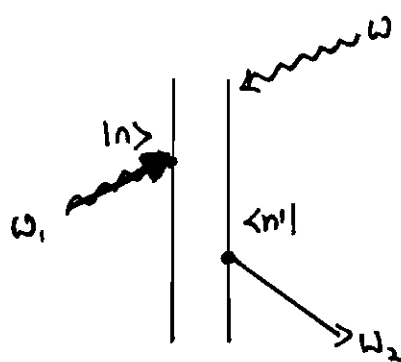
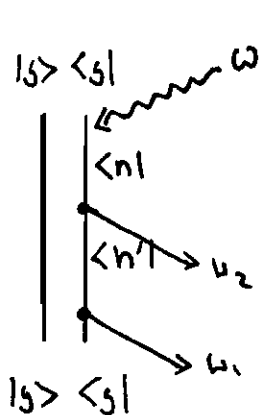
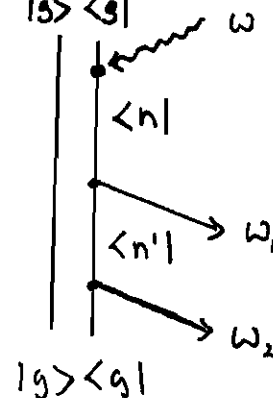
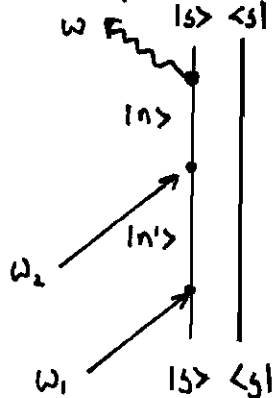
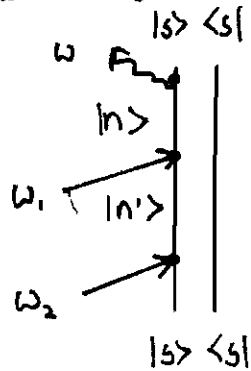
$$f_{ng} = \frac{2m\omega_{ng} |\mu_{ng}|^2}{3\hbar e^2}$$

## 2nd order Susceptibility

Draw Eight Diagrams for

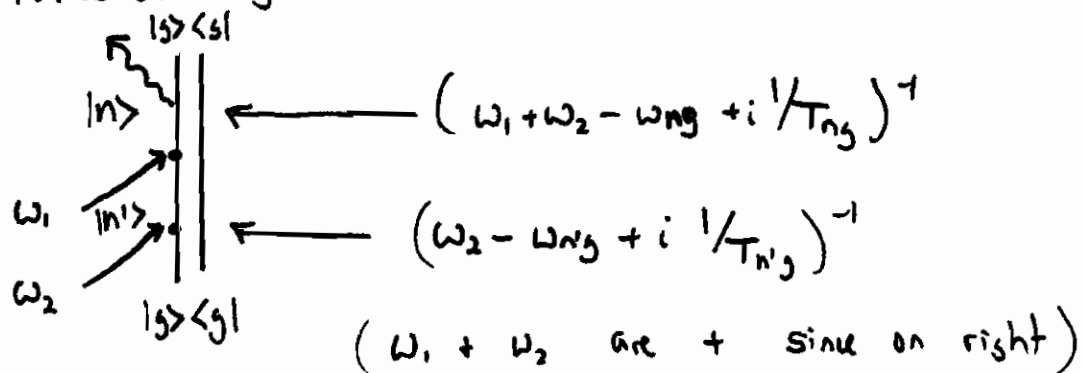
$\rho^{(2)}(\omega = \omega_1 + \omega_2)$

$p_{ss} \approx 1$   
(Three photon process)



Write down terms for  $\chi^{(3)}$

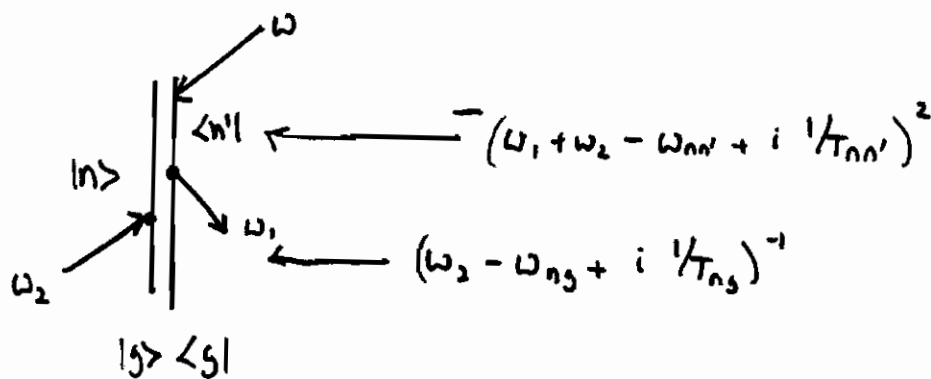
We have a total of eight terms



The term corresponding to this figure is

$$+ \frac{\mu_{s n}^{(2)} \mu_{n' n}^{(1)} \mu_{n' g}^{(2)} \rho_{ss}^{(0)}}{\hbar^2 (\omega_1 + \omega_2 - \omega_{ng} + i/\tau_{ng}) (\omega_2 - \omega_{ng} + i/\tau_{n'g})}$$

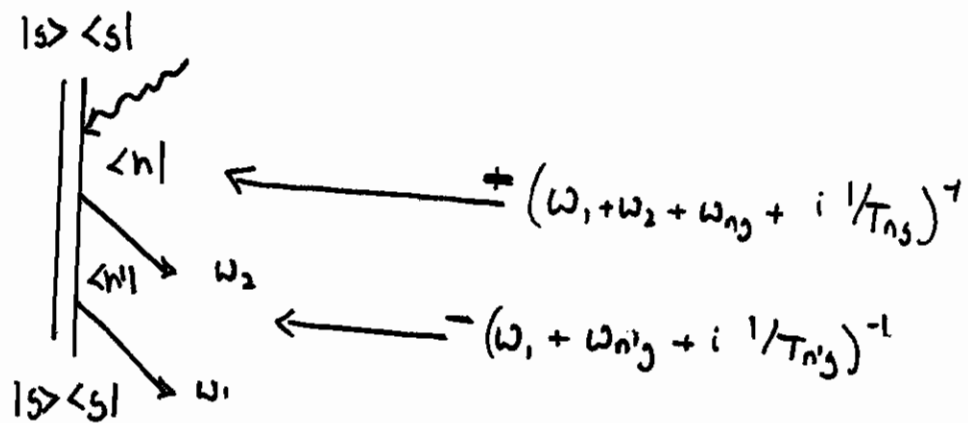
Another figure



$$- \frac{\mu_{ng}^{(2)} \mu_{n' n}^{(1)} \mu_{s n'}^{(2)} \rho_{ss}^{(0)}}{\hbar^2 (\omega_1 + \omega_2 - \omega_{nn'} + i/\tau_{nn'}) (\omega_2 - \omega_{ng} + i/\tau_{ng})}$$

$\left( \mu_{n' n}^{(2)} \Rightarrow \begin{array}{l} 2 \Rightarrow \text{field 2} \\ n' \Rightarrow \text{final state} \end{array} \quad n \Rightarrow \text{initial state} \right)$

Another figure



$$+ \frac{\mu_{sn'}^{(1)} \mu_{n'n}^{(2)} \mu_{n3}^{(3)} \rho_{ss}^{(3)}}{\hbar^2 (\omega_1 + \omega_2 + \omega_{n3} + i / T_{n3}) (\omega_1 + \omega_{n3} + i / T_{n3})}$$

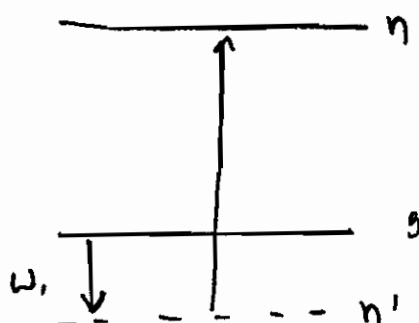
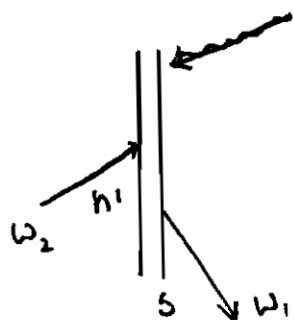
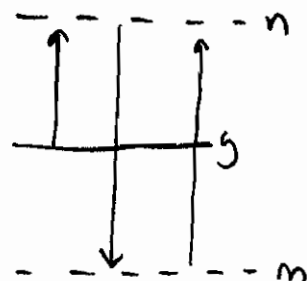
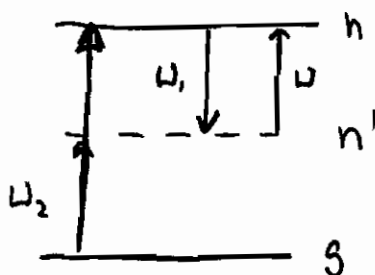
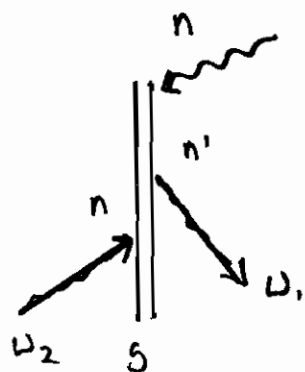
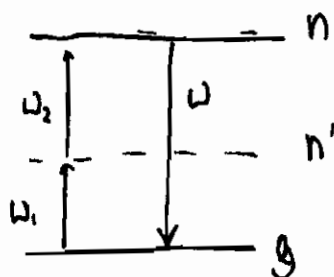
What are the other states  $|n\rangle$  &  $|n'\rangle$ ?

These are virtual transitions

Transitions that occur & do not conserve energy.



# Physical Interpretation



# Intro to Quantum Optics : What is a photon?

Introduction into the quantum nature of light  $\Rightarrow$  Feynman nature of Science

## Issues with "Wave-particle duality" (~~Bad term~~)

Nature of language / Need to be careful

~~properties~~ "light exhibits wave + particle properties"

Two mutually inconsistent descriptions : particle + wave

Concepts from Newton + Huygens

Newton - corpuscular (not photons)

Huygens - 'waves' (different from modern)

(However, some will argue that Newton understood the dual nature of light (used particle + wave descriptions in Opticks))

## Why is 'wave-particle duality' a potentially bad term?

Can be misrepresented/~~as~~ misinterpreted as light 'acting' as a particle or wave depending on experiment.

light doesn't 'act', light just is!

Simple wave-particle description is flawed as we will see thru the next lectures

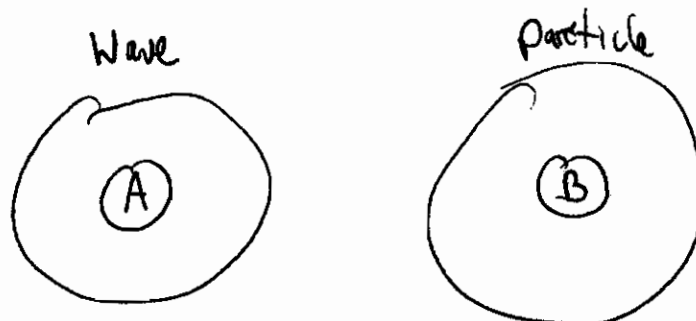
## Issues with duality

Particle / wave      mutually exclusive concepts  
↑                    ↑  
localized          everywhere

### Problem with duality

Perform Exp. A      See particle nature

Venn  
Diagrams



Perform Exp. B      See wave nature

Light ~~neither~~ exhibits very different properties

Does it 'act' in a particular way?

No!

Light doesn't 'act', it 'is' . . . .

Light isn't a particle or a wave, its light.

Experiments 'experience' either property but not both

⇒ Spork analogy

Spork?

Spork = spoon + fork

Spork is not a spoon, its not a fork

However, when we use a spork, we experience its 'spoon-like' nature or its 'fork-like' nature, but never both at the same time.

Problems with

Understanding Duality

Western vs. Eastern Philosophy

Subjects + Objects → Aristotle (Western)

One thing having two mutually exclusive properties → <sup>LaoTzu/Laozi</sup> (Dao)  
(Eastern)

Aristotle → origins of scientific method.

Bacon, etc. ...

## A better term... Principle of Complementarity.

A experiment can reveal the particle nature of light or the wave nature of light, but never both

Quantum mechanics allows one to ~~measure~~<sup>know</sup> one observable but not know a second, non commuting observable.

More about this later....

---

I cannot tell you about the quantum nature of light, must come to understand this on your own....

Thus, we will look closely at <sup>seminal</sup> experiments that tried to elucidate the quantum nature of light, starting with the photo electric effect.

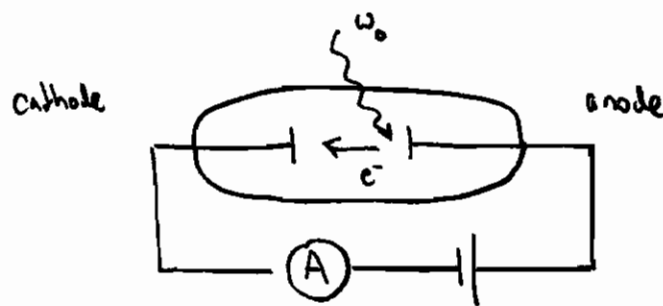
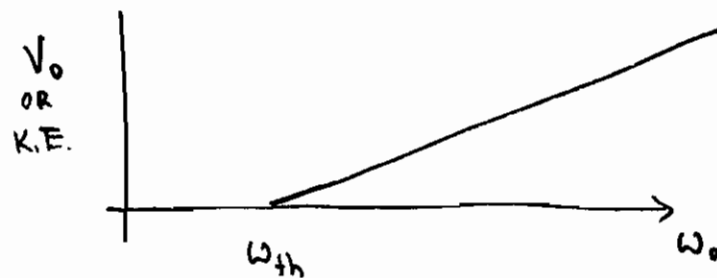
With these experiments in mind, we will develop a quantum theory of light.

# Lecture 29 What is a Photon? Part 1: Photoelectric effect

## Experiments of Lenard (1902) of light striking a metal

### Observations

- Electrons are emitted a times very shortly after the onset of illumination ( $\sim 10^{-9}$ s)
- Photocurrent rises linearly with light intensity
- Current to cathode decreases with increasing retarding potential, zero at stopping voltage  $V_0$
- Stopping potential  $V_0$  is linearly proportional to  $\omega_0$  and shows a threshold frequency  $\omega_{th}$



$V_0 \Rightarrow$  applied stopping voltage

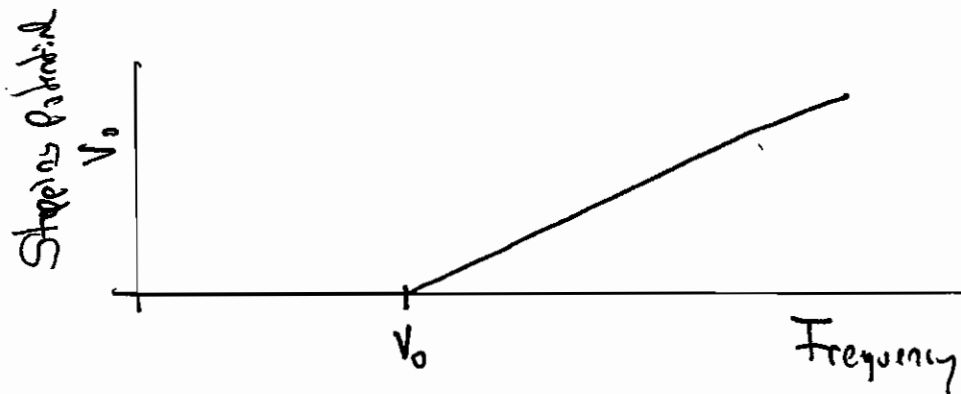
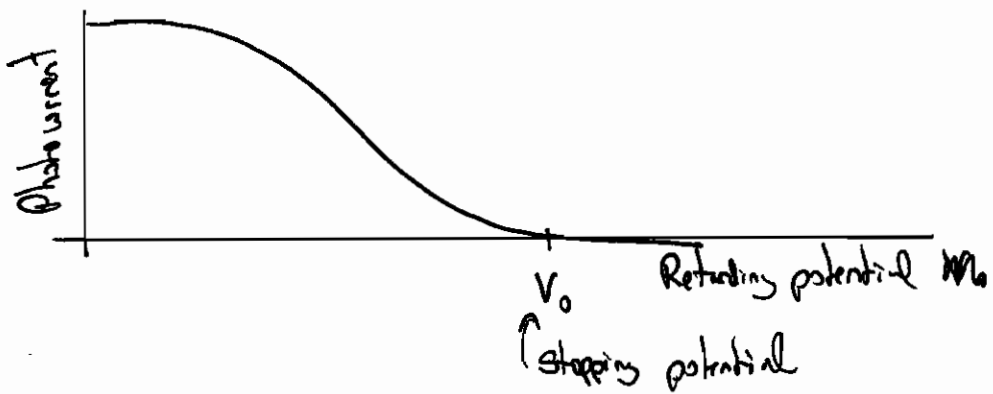
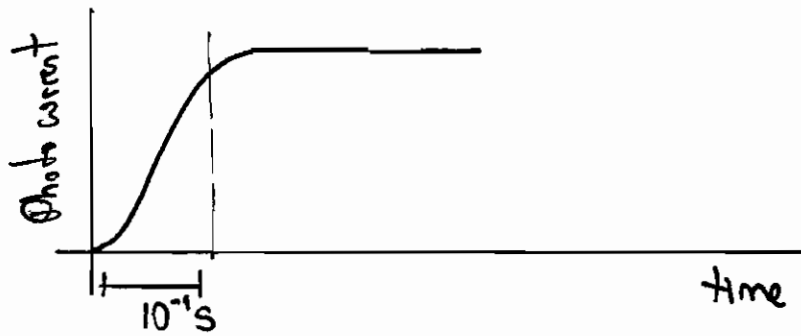
It was thought that.....

Classical  $E/m$  does not explain observed behavior

(or does it!!!)

(Sun Tzu Hsueh)

# Results from Lenard



$$h\nu = KE + \phi$$

$$KE = V_0 \cdot e$$

COMET

3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

## Einstein's Description (1906)

- Started with thermodynamical considerations
- Considered Blackbody radiation

" monochromatic radiation at low density behaves with respect to theory of heat as if it consisted of independent energy quanta of magnitude  $h\nu$ . "

" if this is the case it is natural to investigate whether the laws of generation & transformation of light are such a kind as if light would consist of such energy quanta. "

$$h\nu_0 = KE + \phi_0$$

$$h\nu = \frac{1}{2}mv^2 + \phi_0$$

The energy of a material oscillator with resonance frequency  $\nu_0$  interacting with the radiation field can only take discrete values of  $n h\nu_0$ .  
↑ work function

As Einstein said

" our concept and the properties of the light electric field observed by Mr. Lenard, as far as I can see, are not in contradiction. "

## Einstein comment on nonlinear optics

" the # of energy quanta per unit volume being simultaneously converted is so large that an energy quantum of light generated can obtain its energy from several generating quanta. "

1921 Nobel Prize

" Services to Theoretical Physics, especially for his discovery of the law of photoelectric effect "



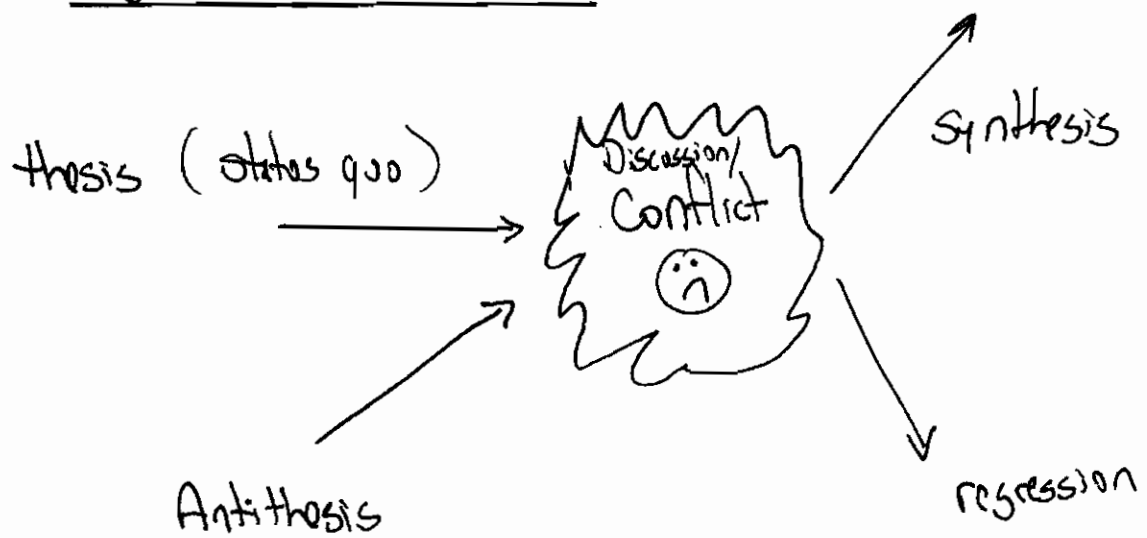
Photons (by name) 1926

Gilbert Lewis

"hypothetical new atom ... photon"

"hypothetical new entities as a particle of light ...  
spends a minute fraction of its existence as a  
carrier of radiant energy, the rest of the time as  
an important structural element of the atom"

# Hegelian Dialectic



Discussion of Harbury Brown + Twiss

# Hanbury Brown & Twiss (Paul)

intensity Fluctuation : photon statistics

measuring diameters of fixed stars

stellar interferometer

Shifting mirrors

$$d \alpha_0 \approx \lambda \quad (d \alpha_0 = 1.22 \lambda)$$

$\uparrow$  star's angular diameter  
 $\uparrow$  distance between mirrors (HBT eq 3)

Measure 0.02 arc seconds

HBT  $\Rightarrow$  Measured correlations <sup>of intensities</sup> at different positions  
phase no longer appeared in measurement

photocurrents

Spatial coherence of starlight

$\Rightarrow$  transverse coherence length

$$\Delta I_1(t) \approx \Delta I_2(t)$$

$$\overline{I_1(t) I_2(t)} = \bar{I}^2 + \overline{\Delta I_1^2}$$

$d < l_{\text{trans}}$

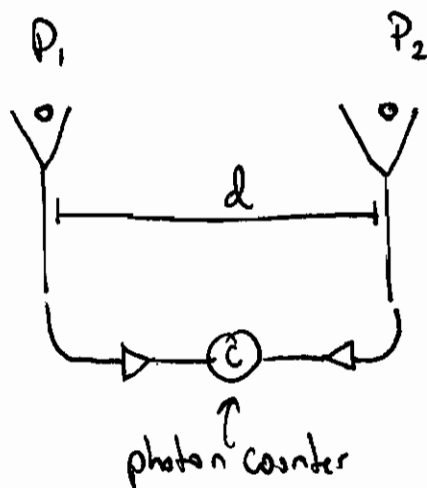
$l \equiv$  coherence length

For  $d > l_{\text{trans}}$

$$\overline{\Delta I_1(t) \Delta I_2(t)} = 0$$

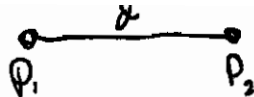
$$\text{so } \overline{I_1(t) I_2(t)} = \bar{I}^2$$

$$\overline{\Delta I(t)} = \overline{I(t) - \bar{I}}$$



Experimental  
Idea

First attempted with radio waves  
Then at optical frequencies.



# Intensity Correlations

$I_1 \equiv$  intensity at point 1,  $I_2$  intensity at point 2

$$\Delta I_1(t) \approx \Delta I_2(t) \quad d < l_c$$

Where  $d$  distance between ~~mirrors~~ ~~(sources)~~ detectors / point 1 ( $P_1$ ) + point 2 ( $P_2$ )

$l_c$  coherence length

$\bar{I}$  time averaged value of intensity

$$\Delta I(t) \equiv I(t) - \bar{I}$$

Correlator looks at time average product of  $I_1(t) I_2(t)$

$$\overline{I_1(t) I_2(t)} = \bar{I}^2 + \overline{\Delta I_1(t) \Delta I_2(t)}$$

with  $\Delta I = 0$

When  $d > l_c$ , the intensities at  $P_1$  +  $P_2$  ~~fluctuate~~ ~~fluctuate~~ fluctuate independently

$$\overline{\Delta I_1(t) \cdot \Delta I_2(t)} = 0 \quad d > l_c$$

So

$$\overline{I_1(t) I_2(t)} = \bar{I}^2 \quad d > l_c$$

Decrease in correlation with increasing  $d$

## Photon counting

respons prob.  
of  
detector  $\sim$  instantaneous intensity  
on surface

$n(t; T)$  counted within  $t - T/2$  to  $t + T/2$

$T \equiv$  integration time

Intensity correlations

$$n_1(t; T) n_2(t; T)$$

Count coincidences : events at same time

$$\sim I_1(t) I_2(t)$$

$\Rightarrow$  HBT Saw more coincidences for  $d < l_{\text{trans}}$

For  $d < l_c$

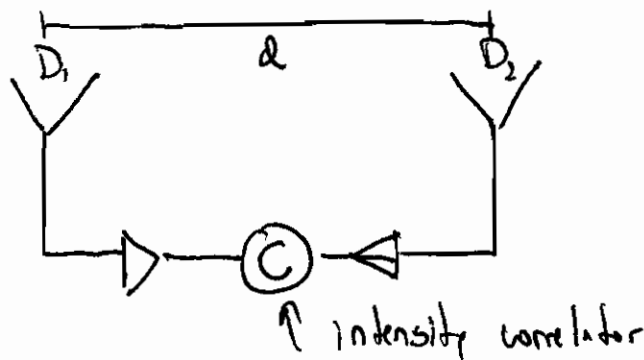
When a photon was observed at  $P_1$ ,  
the probability for detecting a photon at  $P_2$   
was larger than the ~~case~~ random case.

How does a photon "know" its surroundings.

$\Rightarrow$  Star1 ~~demo~~ demo  
two atoms

# Review HBT

Wanted to measure stellar diameters of stars.

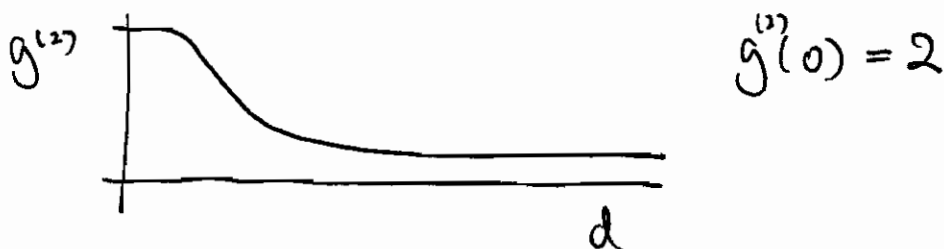


$$d \propto \lambda$$

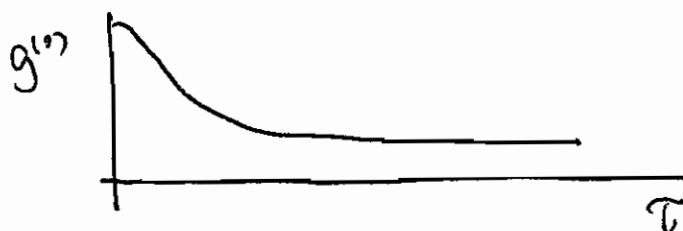
↑ ↑ star's angular diameter  
distance

Measure intensity correlations as a function of  $d$   
Spatial coherence of starlight

For  $d = 0$  Saw strong correlation between detectors



Repeat measurement for time  $\tau$ . Saw strong correlation at short times



Correlations  $g^{(2)} = 2$

The probability for detection of photon at  $D_1$  was +  $D_2$  was

$$g^{(2)}(0) = 2$$

If a photon is detected at  $D_1$ , there was a greater than random probability of detecting a photon at  $D_2$ .

HBT Effect  $\Rightarrow$  Photon bunching

As we will see, classical physics explains this.

However a naive photon picture does not\*. We need a better picture (developed by Glauber, Fano, etc.)

Let's look at a simplified version of HBT experiment.

|           |           |
|-----------|-----------|
| * Purcell | Bosons    |
| Glauber   | Classical |

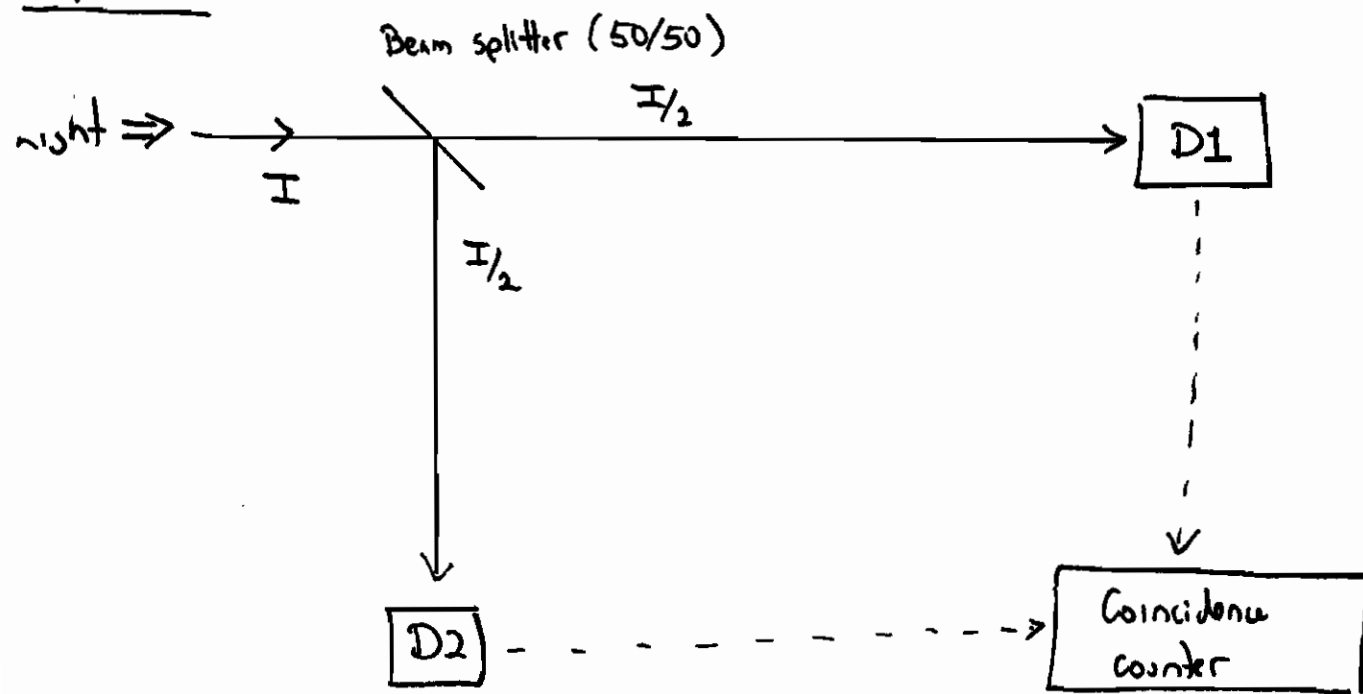


# Hanbury-Brown + Twiss Experiment (1956)

How to design an experiment to detect single photons?!

- photon  $\Rightarrow$  particle at one place
- Experiment to determine the position (here or there) of a photon
- Single photon, two detectors  
Do these detectors "click" at the same time?

## Experiment



Do detectors  $D1 + D2$  "click" at the same time. Within the particle picture they should not.

# - Coincidence ~~step~~ detection

Rewards a count only if both detectors "click" at the same time

Experiment: Send light to beam splitter and measure the number of coincidences counts relative to the number of individual counts on the detector.

anti correlation parameter

$$A = \frac{P_c}{P_1 P_2}$$

where

$P_c \equiv$  probability of coincidence

$P_1 \equiv$  measured prob. of detector 1 responding

$P_2 \equiv$  measured prob. of detector 2 responding

## Outcomes

— Light as particles

~~Photons (particles)~~  $A = 0$

✓ ~~Light as waves~~ / / /  ~~$A \neq 0$~~

— Light as a wave

— If detectors click randomly + independent  $A = 1$

more specifically

(predicted by wave theory)  $\left( P_{\text{both click}} = (P_{\text{one clicks}}) (P_{\text{other clicks}}) \right)$

Independent of intensity

$$P_c = P_1 P_2 \quad \text{so} \quad A = 1$$

— If two detectors clicking together more often than random "Blick-Blick" "Klick-Klick"

$$A \geq 1$$

"Clustering"

Write in terms of experimental results

Probability of detection

$$P_{1, \text{ or } 2} = \frac{N_{1,2}}{\left(\frac{T}{\Delta t}\right)}$$

$\Delta t \equiv$  time resolution

$T \equiv$  experiment time

so

$$A = \frac{N_c}{N_1 N_2} \left(\frac{T}{\Delta t}\right)$$

Hanbury-Brown + Twiss

Quantum mechanics Prediction

for small intensities single photons are not split by the photodetector so  $(A=0)$  (anticorrelation)

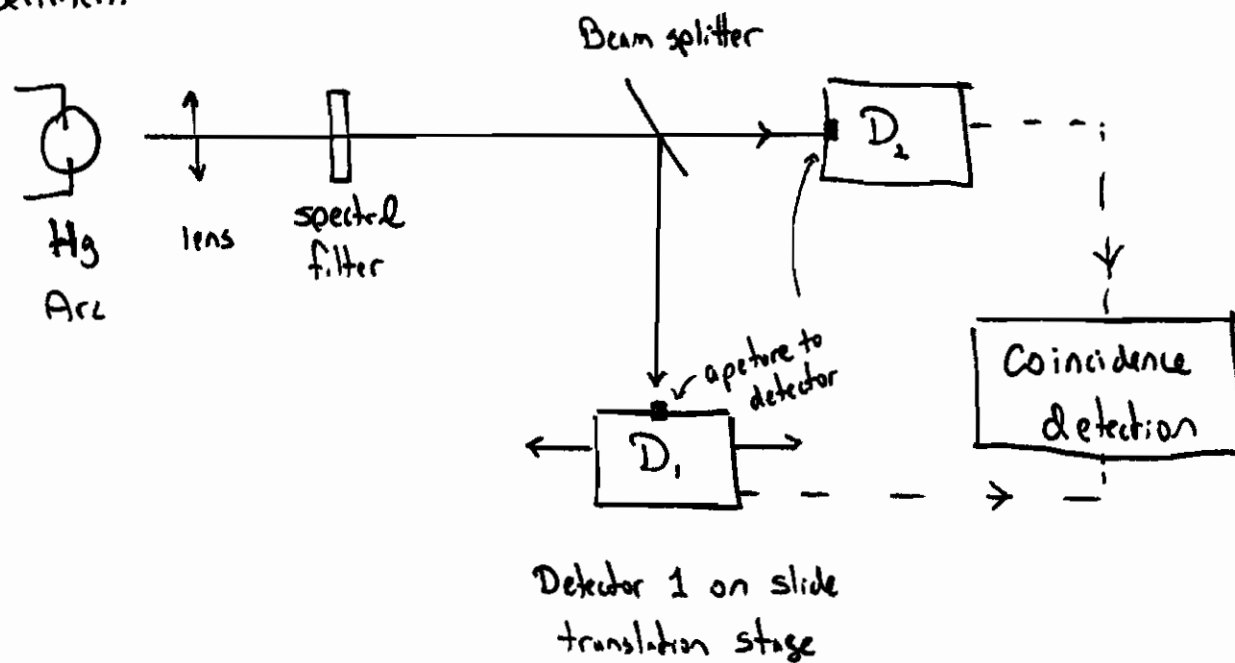
Wave theory Prediction

No matter the intensity of light at beam splitter it is halved in both directions, so random coincidences would be expected  $(A=1)$

$\Rightarrow$  They found the opposite result  $A=2!!$

When a click occurred at one detector they found a higher than random probability that a click had simultaneously occurred at the other.

# Experiment



This experiment failed to demonstrate the existence of photons and the indivisibility of light. It showed that light travels thru space bunched up, you can divide the bunches in half but the bunches arrive at the same time

Origins of quantum optics  $\Rightarrow$  understanding photon correlations

But is this classical or quantum description?

- ~~But~~ classical Description

Light classically  
Detectors quantum mechanically

Note  
They describe things classically?

Probability for transition

$$P_1 = \alpha_1 I \Delta t$$

$$P_2 = \alpha_2 I \Delta t$$

$$P_c = \alpha_1 \alpha_2 I^2 (\Delta t)^2$$

$$A = \frac{\alpha_1 \alpha_2 \langle I^2 \rangle (\Delta t)^2}{(\alpha_1 \langle I \rangle \Delta t)(\alpha_2 \langle I \rangle \Delta t)} = 1 \Rightarrow \text{Not their result}$$

Result if use laser.

(Divide out detector response)

however if the light source produced a time varying intensity  $\langle I \rangle$  produced by a collection of atoms:

$$P_1 = \alpha_1 \langle I \rangle \Delta t$$

$$P_2 = \alpha_2 \langle I \rangle \Delta t$$

$$P_c = \alpha_1 \alpha_2 \langle I^2 \rangle \Delta t$$

↑  
ave of intensity squared

Then

$$A = \frac{\langle I^2 \rangle}{\langle I \rangle^2}$$

However  $\langle I^2 \rangle \geq \langle I \rangle^2$  (Cauchy Schwartz inequality)

Thus  $A \geq 1$

What happens when you use a laser?!

$A = 1$  since the intensity fluctuations are small

$$\boxed{\langle I^2 \rangle = \langle I \rangle^2}$$

(For more details see Chapter 5  
Gerry & Knight)

from this experiment they noticed ...

for  $d < \lambda_{\text{max}}$  when a <sup>click</sup> photon measured at  $D_1$ ,  
the probability of detecting a "click" at  $D_2$  was larger  
than the random case.

Does a photon "know" the outcome of the two detectors?!

Classical

## Coherence Functions

2nd order (in Field)  $g^{(2)}(\vec{r}_1, \vec{r}_2, t)$

$$g^{(2)} = \frac{\langle E(\vec{r}_1, t) E(\vec{r}_2, t) \rangle}{\langle E(\vec{r}_1, t) E^*(\vec{r}_1, t) E(\vec{r}_2, t) E^*(\vec{r}_2, t) \rangle}$$

Michelson  
Interferometer

Values of  $g^{(2)}$   $0 < g^{(2)} < 1$

$g^{(2)} = 1$  complete coherence

$0 < g^{(2)} < 1$  partial coherence

$g^{(2)} = 0$  incoherent

4th order (in Field) Intensity

$$\begin{aligned} g^{(2)}(\vec{r}_1, \vec{r}_2, t) &= \frac{\langle E^*(\vec{r}_1, t) E(\vec{r}_1, t) E^*(\vec{r}_2, t) E(\vec{r}_2, t) \rangle}{\langle E(\vec{r}_1, t) E^*(\vec{r}_1, t) \rangle \langle E(\vec{r}_2, t) E^*(\vec{r}_2, t) \rangle} \\ &= \frac{\langle I(\vec{r}_1, t) I(\vec{r}_2, t) \rangle}{\langle I(\vec{r}_1, t) \rangle \langle I(\vec{r}_2, t) \rangle} \end{aligned}$$

values for  $g^{(2)}$   $1 < g^{(2)} < \infty$

$$g^{(2)}(0) = \frac{\langle I^2(t) \rangle}{\langle I(t) \rangle^2}$$

Cauchy inequality  $\langle I(t)^2 \rangle \geq \langle I(t) \rangle^2$

Intensity  
correlator  
HBT

## Values of $g^{(1)}$ & $g^{(2)}$

— For laser light  $\boxed{g^{(2)}(\tau) = 1}$  (Coherent State)

Photons  
arrive  
randomly

{ Probability of Delayed coincidence is  
independent of time

Poisson distribution

$$\boxed{P_n = e^{-\bar{n}} \frac{\bar{n}^n}{n!}}$$

— Thermal light (Single mode) (Chaotic light)

$$\boxed{g^{(2)}(\tau) = 2}$$

HBT Effect

Start from density matrix approach of Thermal Fields  
(chpt. 2.5)

$$\boxed{P_n = \frac{\bar{n}^n}{(1 + \bar{n})^{n+1}}}$$

Bose - Einstein

$$g^{(2)}(\tau) = 1 + \frac{\langle (\Delta \hat{n})^2 \rangle - \langle \hat{n} \rangle^2}{\langle \hat{n} \rangle^2}$$

For Thermal light

$$g^{(2)} = 1 + |g^{(1)}|^2$$

↑  
Uncorrelated detection  
(shot noise)  
independent noise

↑  
Correlated detection  
(excess noise)  
beat notes of random waves



## Back to Stellar Astronomy

HBT used intensity correlation ( $g^{(2)}$ ) instead of Michelson ( $g^{(1)}$ ) because path lengths from source must be nearly equal for using a Michelson. Also atmospheric issues causes phase fluctuations. An intensity correlator is ~~is~~ insensitive to both problems.

## Photon bunching (Pav) + Light sources

Coincidence rate  $\tau < t_c$  is higher since

$$\overline{I^2(\tau)} \underset{\text{is smaller than}}{\overset{\Delta}{\neq}} \overline{I(t)I(t+\tau)} \quad \text{for } \tau < t_c$$

For  $\tau \gg t_c$ , coincidences are random

Need detector with  $T < t_c$

$$t_c \sim \frac{1}{\Delta\nu}$$

## Polarized Thermal Light

Photons follow Bose-Einstein distribution

Max at  $n=0$

$$P_n = \frac{\bar{n}^n}{(\bar{n} + 1)^{n+1}}$$

Variance

$$\begin{aligned} \Delta n^2 &= \langle n^2 \rangle - \langle n \rangle^2 \\ &= \langle n \rangle^2 + \langle n \rangle \end{aligned}$$

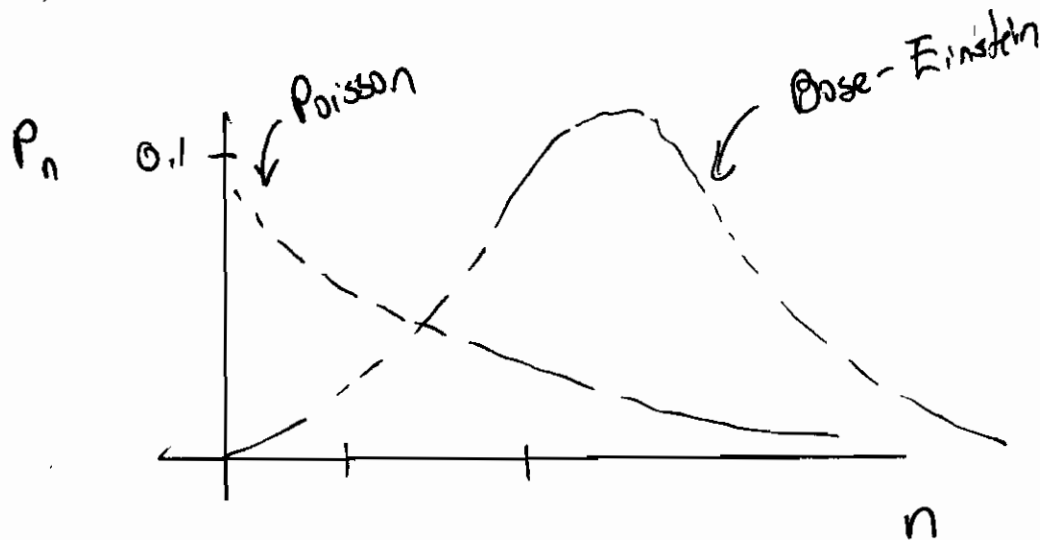
↑  
prob of not finding any photons is  
larger than the prob. of finding any given # of photons

photon # fluctuates strongly

Monochromatic light: Poisson distribution

$$P_n = e^{-\bar{n}} \frac{\bar{n}^n}{n!}$$

Maximum near  $\bar{n}$



Poisson  $\equiv$  completely random process

OK

$$T > T_c \quad \checkmark$$

Onset of lasing Fig 8.8

Scattered laser light  $\Rightarrow$  pseudothermal

# Fano's Quantum Interpretation

AJP 29 p. 339 (1961)

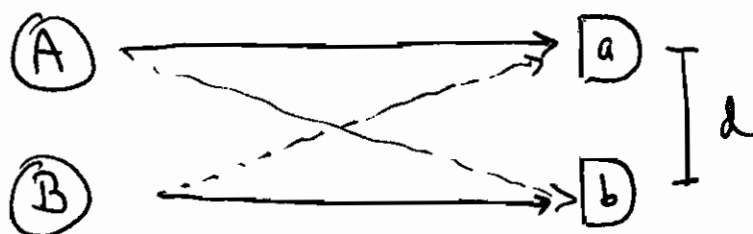
looked at intensity correlations of light emitted by atoms

We can apply this to HBT experiment schematically

Sources

Detectors

$$R = \frac{1}{2}(a + d)$$



Probabilities

Solid  $\langle a|A \rangle \langle b|B \rangle$

Dashed  $\langle a|B \rangle \langle b|A \rangle$

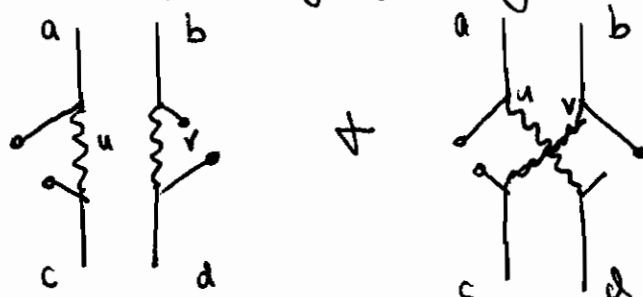
If photons are indistinguishable, amplitudes <sup>interfer</sup> constructively to get joint detection larger than individual events.

$$\langle a|A \rangle \langle b|B \rangle \text{ interferes with } \langle a|B \rangle \langle b|A \rangle$$

If  $d > \lambda_c$ , interference 'washes out'

$$\frac{ad}{R\lambda} > 1 \quad (\text{Eq 34})$$

Paper actually looks at process of pairs of atoms



Feynman diagrams

Photoelectric effect revisited: Lamb & Scully (1969)

"The Photoelectric Effect without photons"

Jaynes &

Lamb & Scully develop a semi-classical theory for the photoelectric effect  $\Rightarrow$  no more need for photons

Photoelectric effect is not a proof of the existence of photons.

## Summary

This theory along with the failing of experiments to detect photons raised a few questions. What is the nature of a photon? Are there really photons? Do they exist?! Or are they 'ifacts of the tools we used to investigate light?!

The problem with the photoelectric effect & Hanbury-Brown Twiss Experiment was in the light source they used.

Anticorrelations are expected if the source produces light in an eigenstate of the photon number operator.

For both experiments ~~a large number of photons were used~~, using a quantum description, a large # of photons were used.

If another experiment is designed which uses one photon (that is an eigenstate of a photon number operator) then we would expect an anticorrelation  $A=0$ .

- Read: B, Monday

- 1) Aspect et al Europhys Lett 1 (4) p 173 (1986)
- 2) Walther et al Phys Rev ~~Let~~A vol 35, 6, 1987

Survey  $\Rightarrow$  out tonight

Questions: (About Hanbury-Brown + Twiss Experiment)

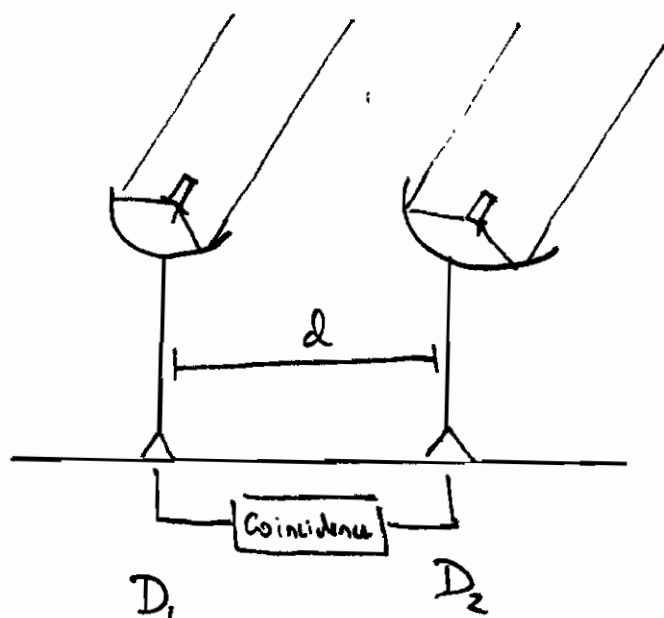
1. Why Did Hartley, Brown + Twiss measure coincidences in "cathodes aligned" positions + no coincidences in "cathodes not aligned" positions?
2. Why did Brannen et al not measure any coincidences?
3. Given Brannen et al experiment, what is the one thing they need in order to observe coincidences.

How would this "one thing" solve their problems?

(Brannen + Ferguson Nbre 1956)

Notes {  $\tau_0 \sim 10^{-11} \text{ s}$   
resolving time 10 ns  $\Rightarrow$  For Brannen et al  
So  $T \approx$  resolving time  
 $\frac{\tau_0}{T} = \frac{10^{-11}}{10^{-8}} \approx 10^{-3}$  } Correlations  $10^{-3}$

Where did this experiment come from?: Radio astronomy



Measurement of spatial coherence of "light" from a star

- instantaneous phase of  $E$  changes slightly within coherence area.
- see similar time evolution between detectors if  $d$  is shorter than the transverse coherence length.

$$\Delta I_1 \approx \Delta I_2 \quad \text{for } d < l_{coh}$$

$$\Delta I = I(t) - \bar{I}$$

Correlator gives  $\overline{I_1(t) I_2(t)}$

$$\overline{I_1(t) I_2(t)} = \bar{I}^2 + \overline{\Delta I_1^2} \quad d < l_{coh}$$

For  $d > l_{coh}$

$$\overline{I_1(t) I_2(t)} = \bar{I}^2$$

Decrease in intensity correlations.

Instead of  $d$  we can use the star's angular diameter.

$$d \approx 188m$$

$$\Delta \text{diameter} \approx 0.0005 \text{ arc sec.}$$

## Lecture 30 Aspect Experiments in 1986

here, we will discuss two experiments performed by A. Aspect et al in Europhys. Lett. 1 (4) pp 173-179 (1986)

Both experiments used an atomic cascade as a light source. and a triggered detection scheme. The source provided single photons unlike the experiment of Hanbury-Brown + Twiss.

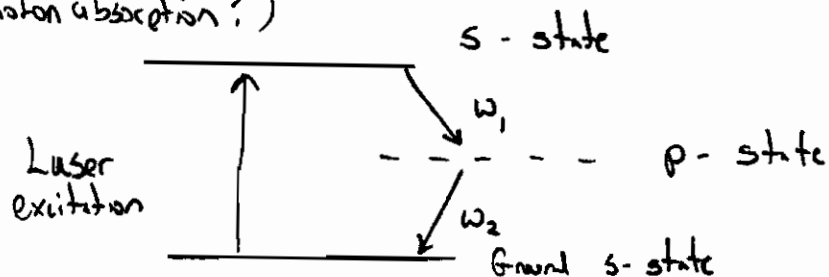
### Two Experiments

1. Test anticorrelation of the source  
(similar to Hanbury-Brown + Twiss)
2. Single photon interference experiments

### the "single photon" source.

Laser excitation of Ca atoms to a state that would decay by emitting two photons instead of one.

(Two photon absorption?)



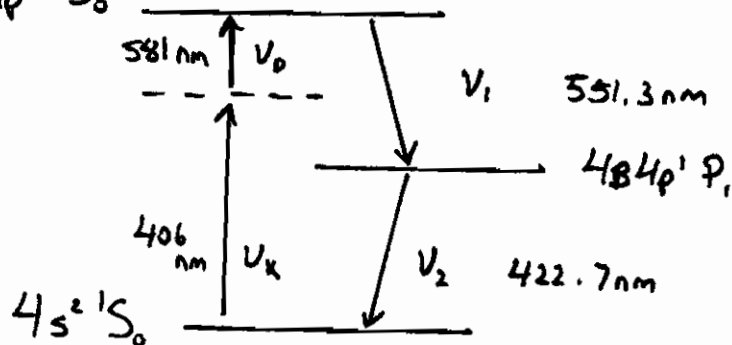
How to detect these photon  $\omega_2$  from all other photons  $\Rightarrow$  triggered detection



~~Experiment~~

$4p^2\ ^1S_0$

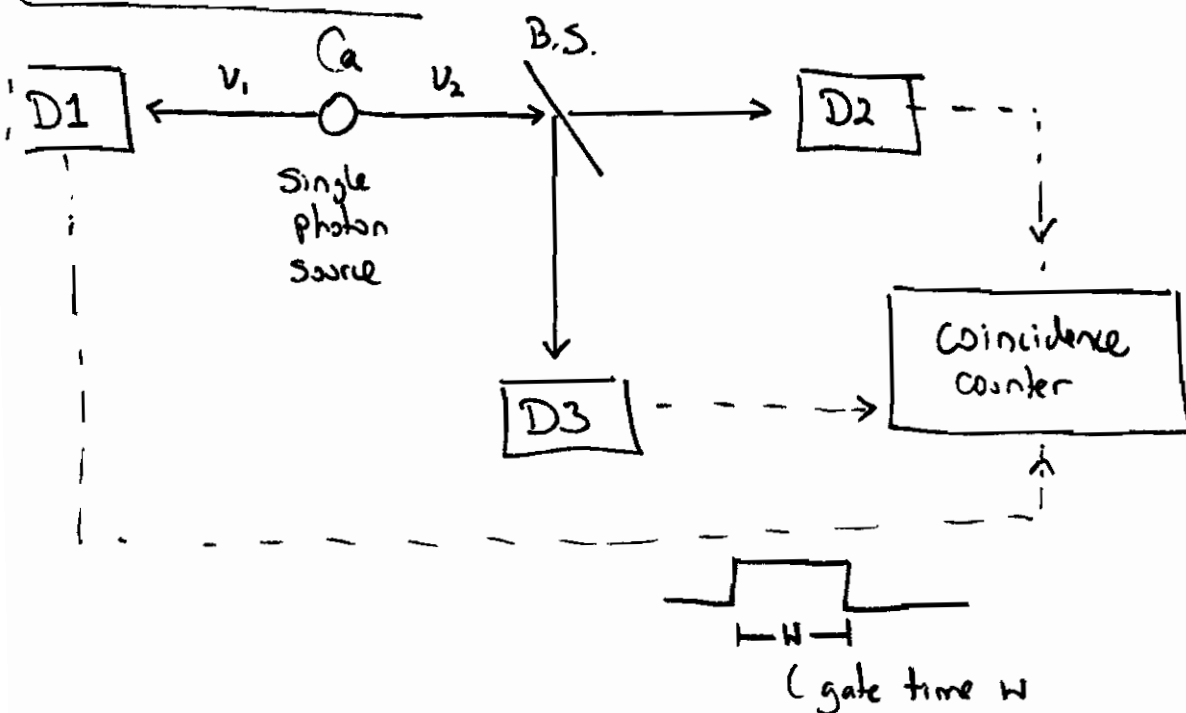
Energy levels  
of  
Ca



Some  
numbers

240 coincidences/s  
90 accidental coincidences/s  
1005  $\rightarrow$  150 true coincidences

## Detection Scheme



Photon  $\nu_1$  triggers gate of duration  $W$

Look for coincidences during time  $W$ . Reject coincidences for times "outside" of  $W$ .

They measured  $A=0$ ! Single photons measured at last.

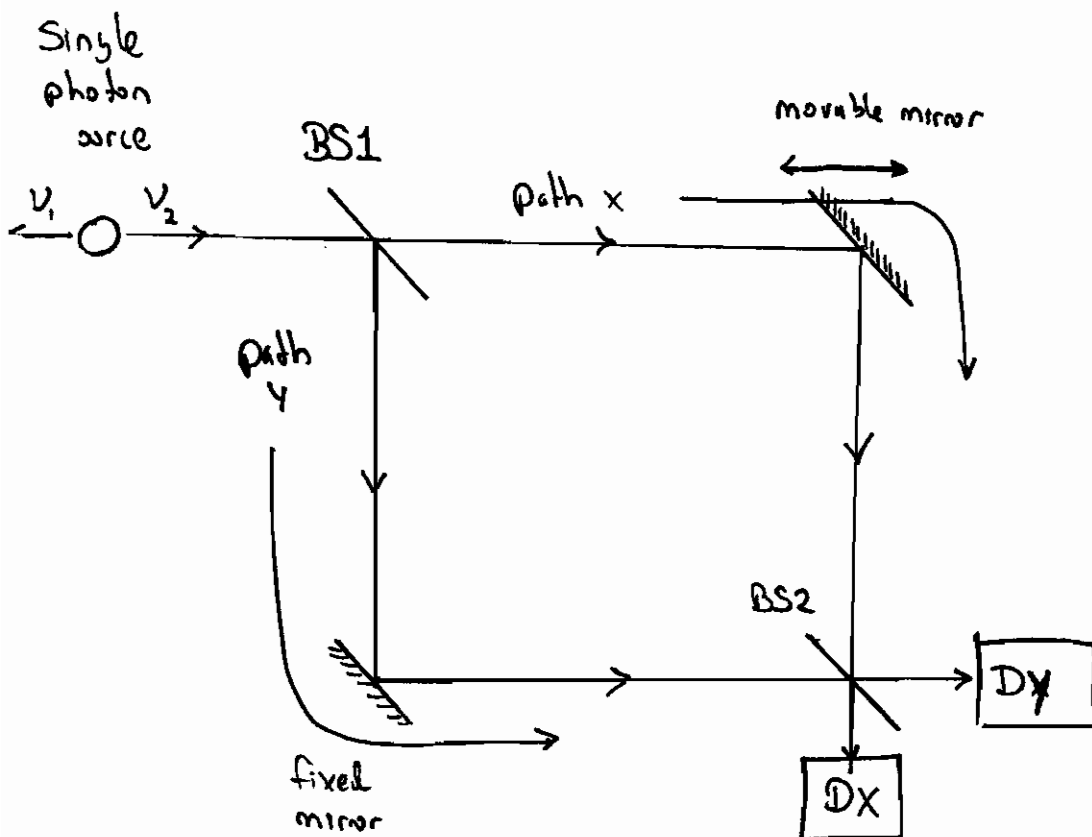
Clear evidence at last for the existence of photons.

What has been shown here?

Individual particles from their source were either reflected or transmitted, going one way or another, but never both

## Experiment 2 : Single photon interference (huh?!)

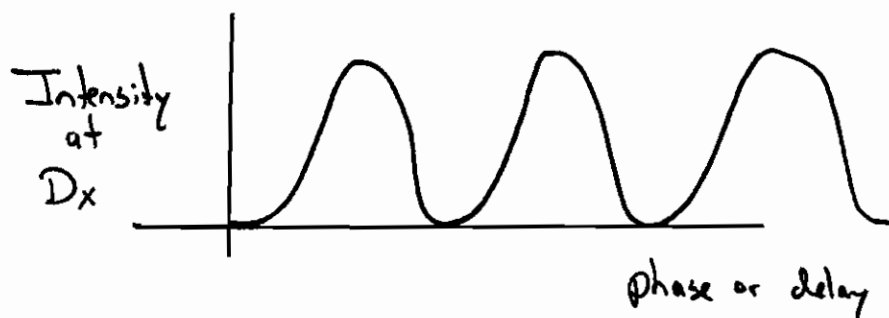
Allow single photons to enter a Mach-Zehnder Interferometer.



moving the mirror put a phase difference between the "arms" of the Mach Zehnder Interferometer.

What would happen if light is a wave?

One would see interference fringes as a function of changing the position of the movable mirror (just like for mimiproject 1).

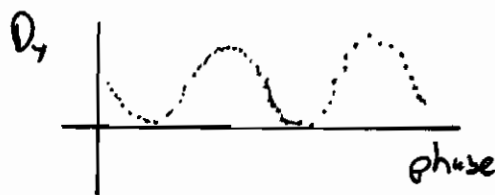
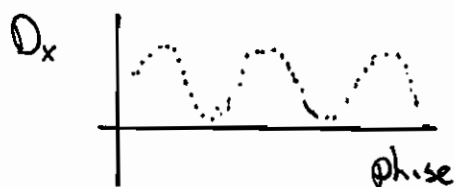


What would happen if light is particle?

From experiment #1 we know that the photon goes one way or the other at the BS. We would not expect any interference.

What happened?

They saw an interference pattern as they acquired counts!



Does the photon take both paths?!

Does the photon interfere with itself?!

- Wave-particle duality Can wave-particle duality explain this?

Does light "know" when to behave like a wave and when to behave like a particle?!

# Explain HBT Classically

Chaotic light  $\Rightarrow$  Thermal Source

radiative  
Doppler  
collisional broadening } Kinetic Theory of gases

Atom 1

$$E_1(t) = E_0 \exp(i\omega_0 t + i\phi_1(t))$$

phase changes per collision  $\left\{ \begin{array}{l} \text{Prob} \\ p(\tau) d\tau = \frac{1}{\tau_0} \exp(-\tau/\tau_0) d\tau \end{array} \right.$

$$E(t) = \sum E_i(t)$$
$$= E_0 \exp(-i\omega_0 t) a(t) \exp(i\phi(t))$$
$$I(t) = \frac{1}{2} \epsilon_0 c E^2 a^2(t)$$

From  
London

HBT Measured 2<sup>nd</sup> order correlations of chaotic light  
For Lorentzian of width  $\gamma$

$$\langle (\bar{I}(t_1) - \bar{I})(\bar{I}(t_2) - \bar{I}) \rangle = \bar{I}^2 \exp(-2\gamma |t_1 - t_2|)$$

~~this appears~~ OR  $\langle \bar{I}(t_1) \bar{I}(t_2) \rangle = \bar{I}^2 (\exp(-2\gamma |t_1 - t_2|) + 1)$

So  $g^{(2)} = \exp(-2\gamma |t_1 - t_2|) + 1$

Measuring correlated <sup>intensity</sup> fluctuations

vs. correlated intensities

$$g^{(2)} = \frac{\langle \bar{I}(t_1) \bar{I}(t_2) \rangle}{\langle \bar{I}(t_1) \rangle \langle \bar{I}(t_2) \rangle}$$

$$\langle \bar{I}(t_1) \rangle = \langle \bar{I}(t_2) \rangle = \bar{I}$$

$$g^{(2)} = \frac{\langle \bar{I}(t_1) \bar{I}(t_2) \rangle}{\bar{I}^2} \quad \left\{ \begin{array}{l} \text{Cauchy} \\ \langle \bar{I}(t)^2 \rangle \geq \langle \bar{I}(t) \rangle^2 \end{array} \right.$$

For these light sources  $p[\bar{I}(t)] = \frac{1}{\bar{I}} \exp(-\bar{I}(t)/\bar{I})$

$$\boxed{\langle \bar{I}(t)^n \rangle = n! \bar{I}^n}$$

At  $t=0$

$$g^{(2)} = \frac{2 \bar{I}^2}{\bar{I}^2} = 2$$

For  $\tau_r < \tau_c$   $\tau_r \equiv$  response time

$$\langle (\bar{I}(t_1) - \bar{I})(\bar{I}(t_2) - \bar{I}) \rangle \approx \bar{I}^2$$

For  $\tau_r > \tau_c$

"

"

=

$$\bar{I}^2 / 8\tau_r$$

COMET

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

# HBT : Why $g^{(2)} = 2$ Classically

Thermal source  $\rightarrow$  chaotic light

Average long time compared to  $\tau_c$ . Measure at two different times.

$$\langle E^*(t_1) E(t_2) \rangle = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T E^*(t_1) E(t_2 - t_1) dt_1$$

1st order correlation function of fields. Related to  $g^{(1)}$

For a Lorentzian  $\langle E^*(t_1) E(t_2) \rangle = \frac{2\bar{I}}{\epsilon_0 c} \exp(i\omega_0 \tau - \gamma |\tau|)$

$$\tau = t_1 - t_2$$

$$g^{(1)} = \exp(-\gamma |\tau|)$$

Lorentzian  
 $\gamma \equiv$  width

$$g^{(1)} = \exp(-\frac{1}{2} \gamma^2 \tau^2)$$

Gaussian ~~curve~~  $\gamma \equiv$  width

## HBT (2nd order)

$$\langle (\bar{I}(t_1) - \bar{I})(I(t_2) - \bar{I}) \rangle = \langle \bar{I}(t_1) \bar{I}(t_2) \rangle - \bar{I}^2$$

Where  $\langle I(t_1) \rangle = \langle I(t_2) \rangle = \bar{I}$

Put Fields in

$$\langle \bar{I}(t_1) \bar{I}(t_2) \rangle = \left( \frac{1}{2} \epsilon_0 c \right)^2 | \langle E^*(t_1) E(t_2) \rangle |^2 + \bar{I}^2$$

For Lorentzian

$$\langle \bar{I}(t_1) \bar{I}(t_2) \rangle = \bar{I}^2 (\exp(-2\gamma|\tau|) + 1)$$

• but always

$$\langle \bar{I}(t)^2 \rangle = 2\bar{I}^2$$

$$\begin{aligned} \underline{\underline{\text{HBT}}} \quad \langle (\bar{I}(t_1) - \bar{I})(\bar{I}(t_2) - \bar{I}) \rangle \\ = \bar{I}^2 \exp(-2\gamma|t_1 - t_2|) \end{aligned}$$

$$g^{(2)} = \exp(-2\gamma|\tau|) + 1$$

$$\text{If } \gamma \rightarrow 0 \quad \exp(-x) \rightarrow 1$$

$$g^{(2)} \rightarrow 2$$

$g^{(2)}$  approaches laser for larger  $\gamma|\tau|$

vs  $g^{(1)}$  approaches laser for small  $\gamma|\tau|$



HBT: Why  $g^{(2)} = 2$  Q.M.

Using Quantum Coherence Functions

$$g^{(2)} = \frac{\langle m_1 m_2 \rangle}{\bar{m}^2}$$

For Lorentzian  $\langle m_1 m_2 \rangle = \bar{m}^2 (\exp(-2\delta|\tau|) + 1)$

HBT  $\langle (m_1 - \bar{m})(m_2 - \bar{m}) \rangle = \langle m_1 m_2 \rangle - \bar{m}^2$

~~Chaotic light~~

~~$$E(t) = E_0 \exp(-i\omega_0 t + i\phi(t))$$~~

~~$\uparrow$  change per collision~~

~~$$E(t) = E_0 \exp(i\omega_0 t) a(t) \exp(i\phi(t))$$~~

~~$$\bar{I}(t) = \frac{1}{2} \epsilon_0 c E_0^2 a^2(t)$$~~

## Lecture 31 What is a photon? Part 3: Delayed Choice Experiment

Wave particle duality: Does this explain the Aspect Experiment?

Sometimes a wave / Sometimes a particle.

Photon "conspiracy theory" ~~to~~ to understand the wave/particle duality.

~~WHAT "senses" an experiment & should demonstrate its wave or particle properties!?~~

⇒ As the photon leaves a source, it "senses" the experiment and behaves accordingly, like a particle or wave.

Does this happen?! Test this: ...

### Delayed choice Experiment of John Wheeler

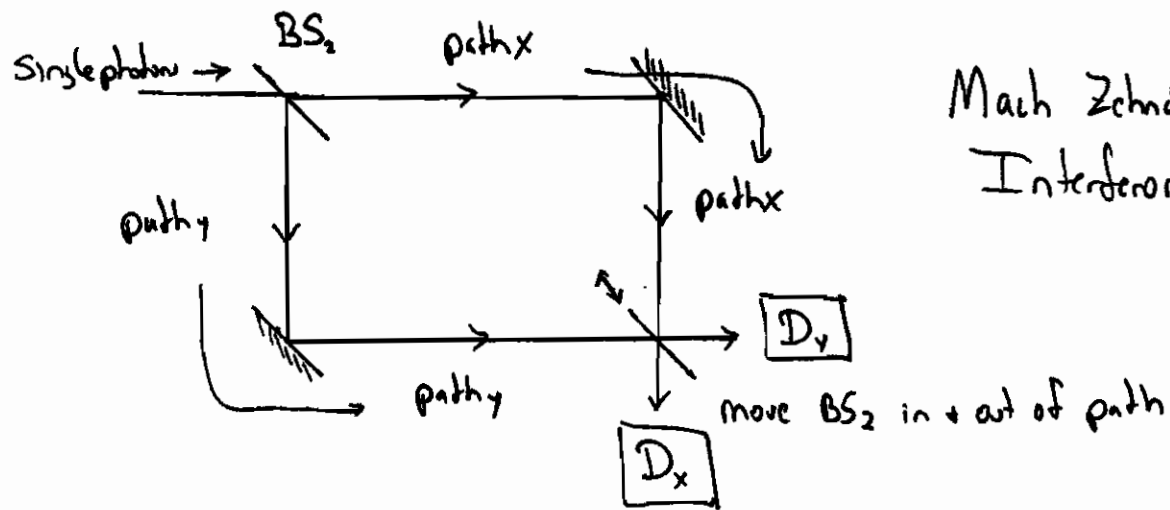
Ref. Wheeler "Mathematical Foundations of Quantum Mechanics" p. 9-48

- States that "conspiracy" theory is wrong. Also shows that wave-particle duality is more complicated
- Experiment of Delayed Choice
  - able to detect either particles (anticoincidence) or waves (interference)
  - Designed so that choice of which aspect to observe is delayed after the photon has "decided"

How to do this ⇒ make BS2 in the MZ movable.

Delayed Choice Experiments PRA 35, 6 p 2532 (1987)

# Delayed Choice Experiment



What to expect?

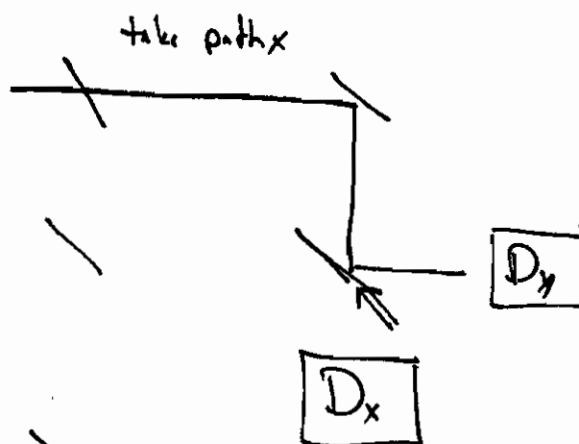
- Single photon enters MZ
- Without  $BS_2$  Detectors  $D_x$  &  $D_y$  ascertain a specific path for the photon  
 $\Rightarrow$  Like Aspect Experiment #1 (anticorrelation exp.)
- With  $BS_2$  Expect to get interference  
Lose all information on the path the photon takes

The idea is to insert  $BS_2$  after the photon has entered the MZ interferometer

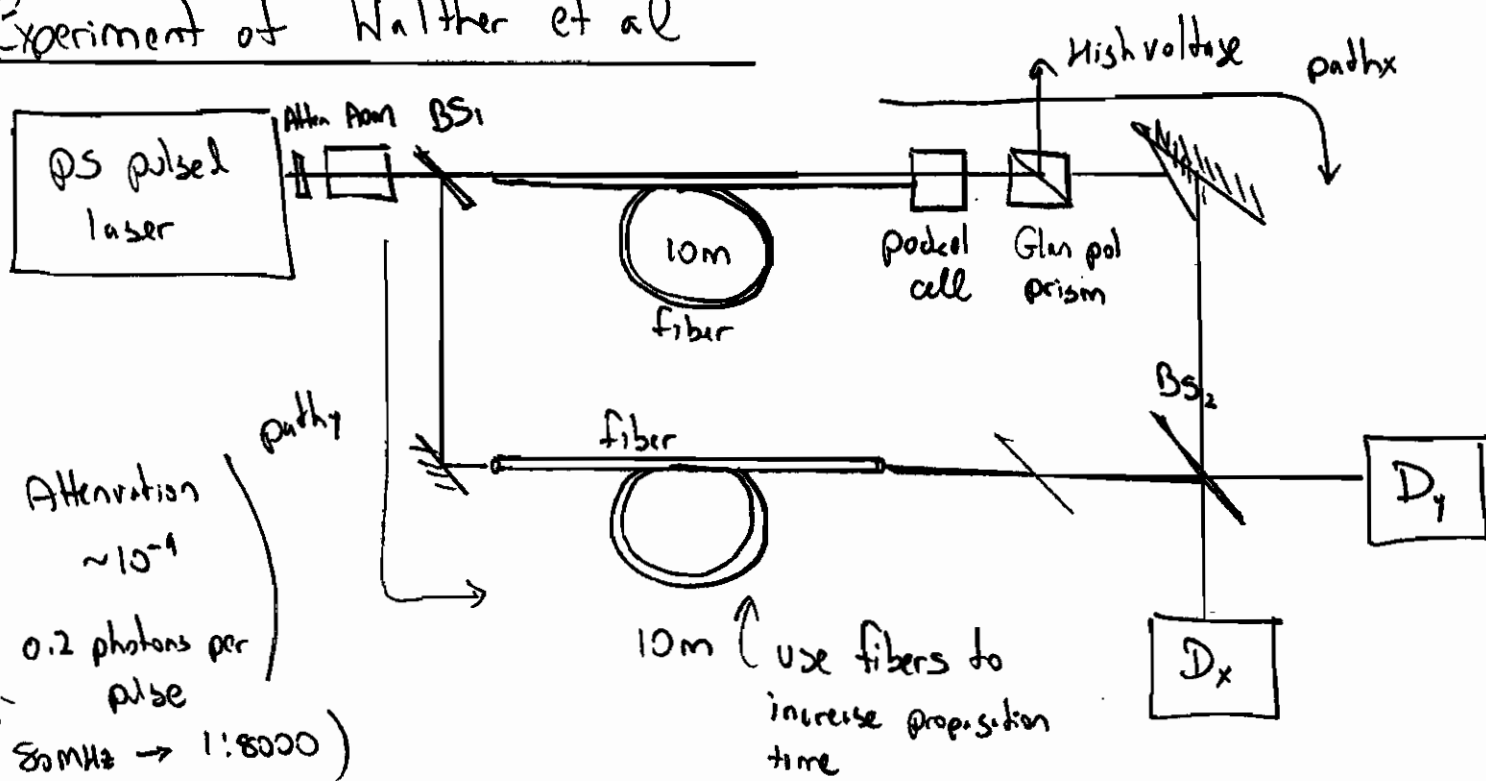
According to "conspiracy theory" the last minute insertion of  $BS_2$  should "fool" light

## Example

- 1) No  $BS_2$
- 2) Light takes path x or y
- 3) Insert  $BS_2$
- 4) Should observe interference?!!  
(~~no~~ according to conspiracy theory)



## Experiment of Walther et al



Attenuation  $\sim 10^{-4}$   
0.2 photons per pulse  
80 MHz  $\rightarrow$  1:8000

- Switching time 5 ns
- Voltage High  $\Rightarrow$  Light reaching  $BS_2$  came from path y
- Voltage Low  $\Rightarrow$  Light can take both paths  $\Rightarrow$  interference.

Used pulsed light source

Path of interferometer arms

increased 30 ns by 10 m Fiber

Give time for Polarizing cell to operate

# Speed of light

Light travels... in vacuum

in 100 fs  $\Rightarrow$  30  $\mu$ m

in 500 fs  $\Rightarrow$  150  $\mu$ m

in 1 ns  $\Rightarrow$  30 cm

Thickness of hair  
Ruler length

In fiber

Light slower by 1.45

1 ns  $\Rightarrow$  30 cm (1.45) = 43.50 cm

## Pockels Cell

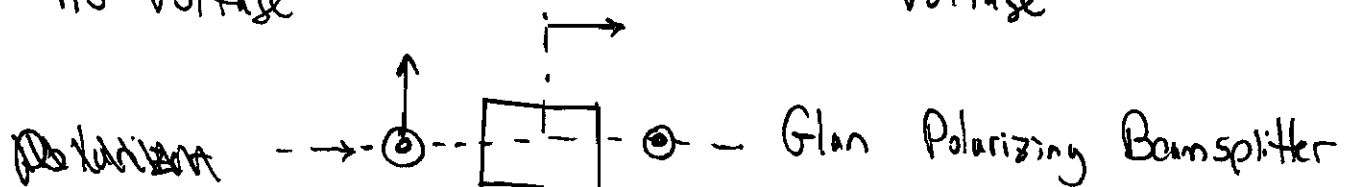
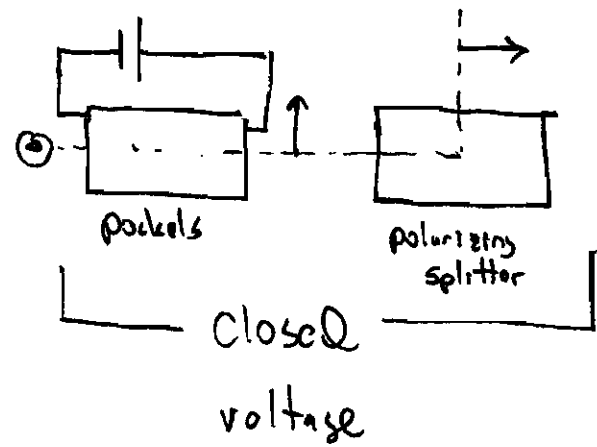
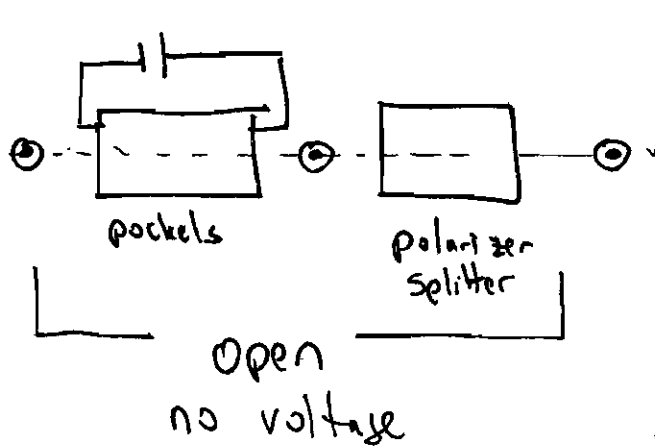
Applied electric field rotates input polarization by 90°

~~A polarizer filter~~

Birefringent crystal with electro-optic effect

Rotate polarization ellipse by applying voltage

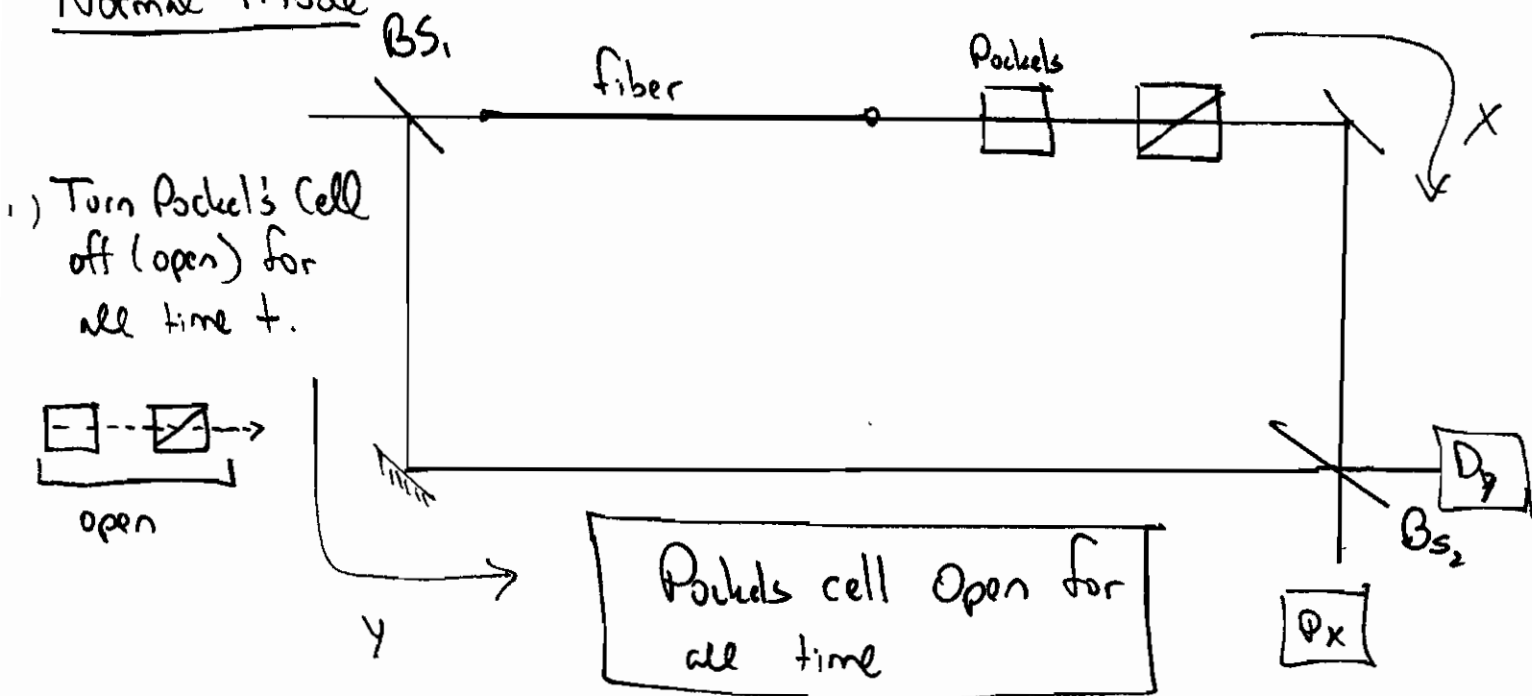
With combination of polarizer make polarization dependent switch



## Review

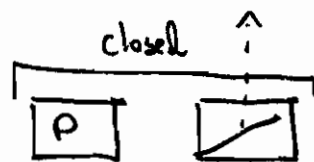
- Aspect et al
- Ask "which path did the photon take?"
- What does the photon interfere with to get the interference pattern?
- Does it interfere with itself?!

## Normal Mode



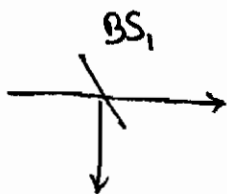
## Delayed Choice Mode

1)  $t=0$  Pockel's Cell closed  $\Rightarrow$

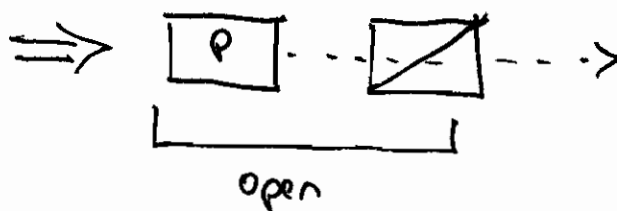


2) ~~BS1~~ Open

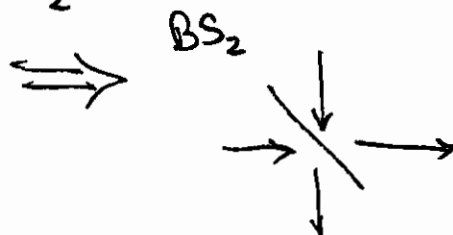
$t=1\text{ns}$  Light passes BS<sub>1</sub>  $\Rightarrow$



5ns  
3)  $t=6\text{ns}$  Pockel's Cell Open  $\Rightarrow$



4)  $t \approx 30\text{ns}$  Light passes BS<sub>2</sub>  $\Rightarrow$



"Normal" mode Podiat's Cell <sup>(low voltages)</sup> open when pulse reaches  $BS_2$  and for the whole experiment

"Delayed Choice" mode Podiat's Cell Closed + opened 5ns after pulse has passed  $BS_1$  Pulse in fiber at this time

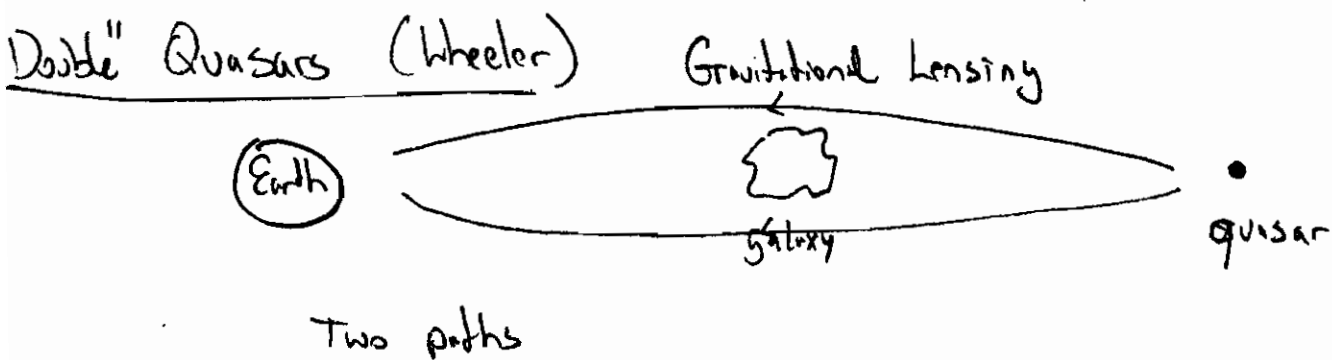
$N_0 \equiv$  Normal Mode

$N_+ \equiv$  Delayed Choice

## Results

- No matter when  $BS_2$  was inserted an interference pattern was observed.
- If experiment began with  $BS_2$  in place then removed interference was not observed.

Light is not Fooled; when the apparatus is changed after light has made its "choice" the light still makes the correct choice.



Two possible paths  $\Rightarrow$  interfere can arise

Insert BS  $\Rightarrow$  see Interference

No BS      see two images

BS in place  
photon has already traveled  
billions of yrs. via both paths  
What possible difference does inserting the BS



Light has already traveled for billion of years.

What difference does inserting the BS make in the history of the light?!

Do our actions at this present moment have consequences that stretch back to the ~~remote~~ past?

"Smoky Dragon" (J. Wheeler)

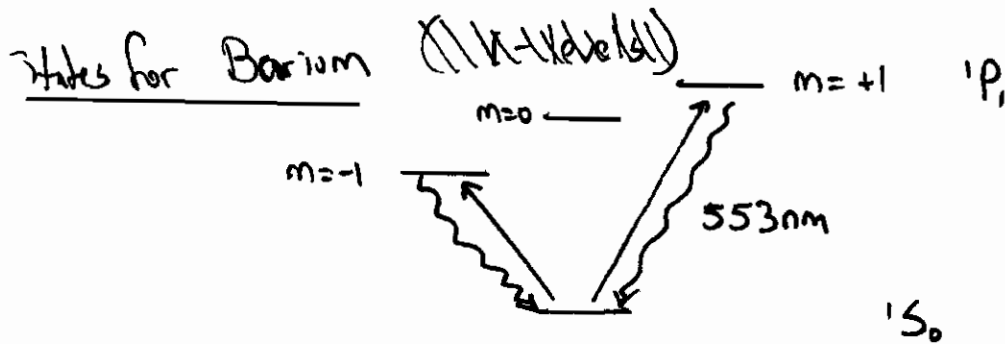
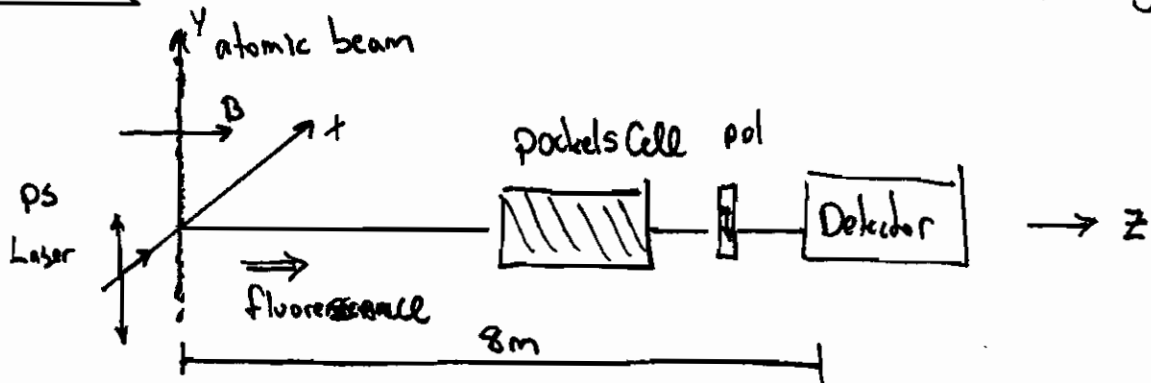
Simultaneously existing in everywhere in the interferometer.  
which suddenly bends to bite the detector.

# Quantum Beat Measurements (Walther et al)

- Atomic beam of Barium atoms
- Magnetic field applied  $\perp$  to direction of atomic beam
- Spectral Measurement of Delayed Choice

## Experimental Setup

Magnetic Field causes <sup>Zeeman</sup> splitting



Less than one photon per pulse

Interference between two paths

$$\left. \begin{array}{l} 1) |0\rangle \rightarrow |+1\rangle \rightarrow |0\rangle \\ 2) |0\rangle \rightarrow |-1\rangle \rightarrow |0\rangle \end{array} \right\} \sigma^+$$

Delayed Choice requires one path remains blocked until the emitted photon arrives at detection system.

Use Pockel's Cell again

$\sigma^+$  transmitted  
 $\sigma^-$  blocked

## What does Pockels Cell Do here?

When the Pockels cell is on:

- The light from the  $|0\rangle \rightarrow |+1\rangle \rightarrow |0\rangle$  path is changed to linearly polarized light which is transmitted by the polarizer.
- The light from the  $|0\rangle \rightarrow |-1\rangle \rightarrow |0\rangle$  path ~~is not~~ is changed to linearly polarized light and is blocked by the polarizer.

The Pockels Cell effectively blocks a path as in a similar manner as in the Mach Zehnder interferometer experiment.

See ~~no~~ interference when both paths are present, see no interference if one path is blocked.

## Results

### - Normal Mode

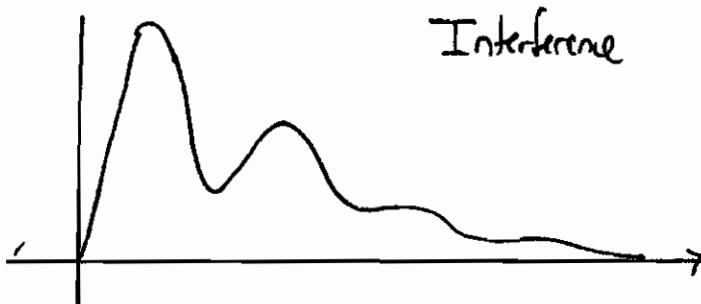
Pockels Cell open  $\Rightarrow$  See interference  
(No voltage)

Apply voltage / Pockels Cell Closed  $\Rightarrow$  See exponential decrease

~~Delayed Choice~~

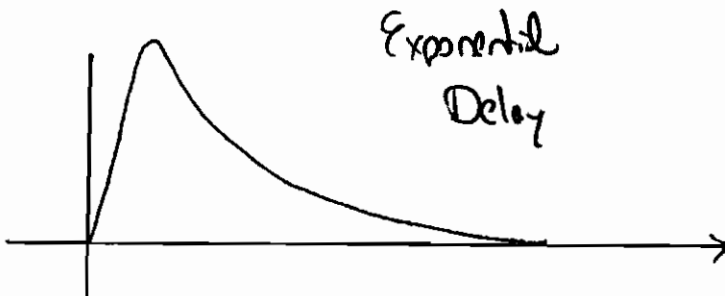
~~Apply voltage to pockels cell at different times.~~

Type I



Pockels cell zero (open)

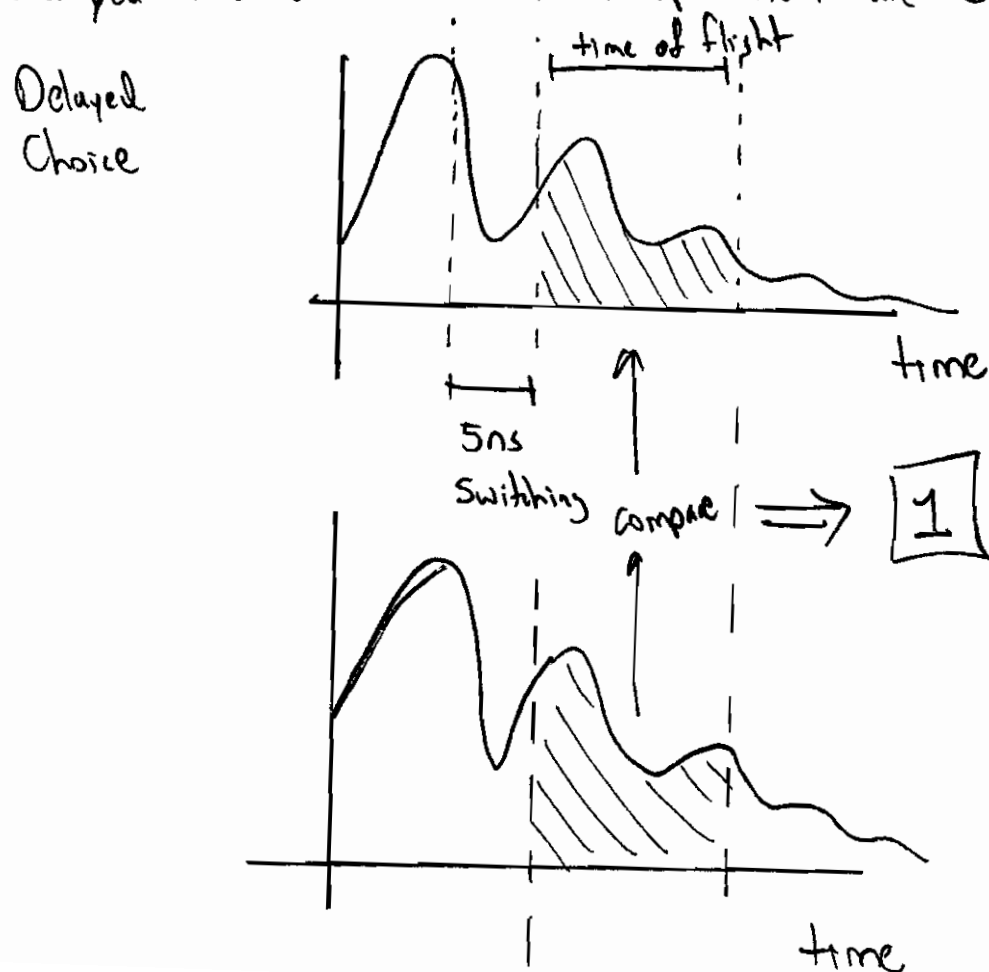
Type II



Pockels cell voltage high  
(closed)

## Delayed Choice Experiment with Ba atoms

- Turn on Pockels Cell
- Turn off at different times 2ns 17ns 29ns
- See modulation of exponential decay after switching cell.
- They compare the normal operation to Delayed choice operation after switching the pockels cell after 4ns
- (Use ~~Data~~ Data from 10-30ns)
- They show that the data from 10-30ns for both the delayed choice and normal operation are basically the same.



# Complementarity and Quantum Beats

## Principle of Complementarity

- { Classical Physics  $\Rightarrow$  unity  
Quantum Mechanics  $\Rightarrow$  duality of two complementary pictures  
particle  $\Leftrightarrow$  wave are complements

— Refers to what quantum mechanics allows us to know.  $\Rightarrow x$  or  $p$   
+ or  $E$

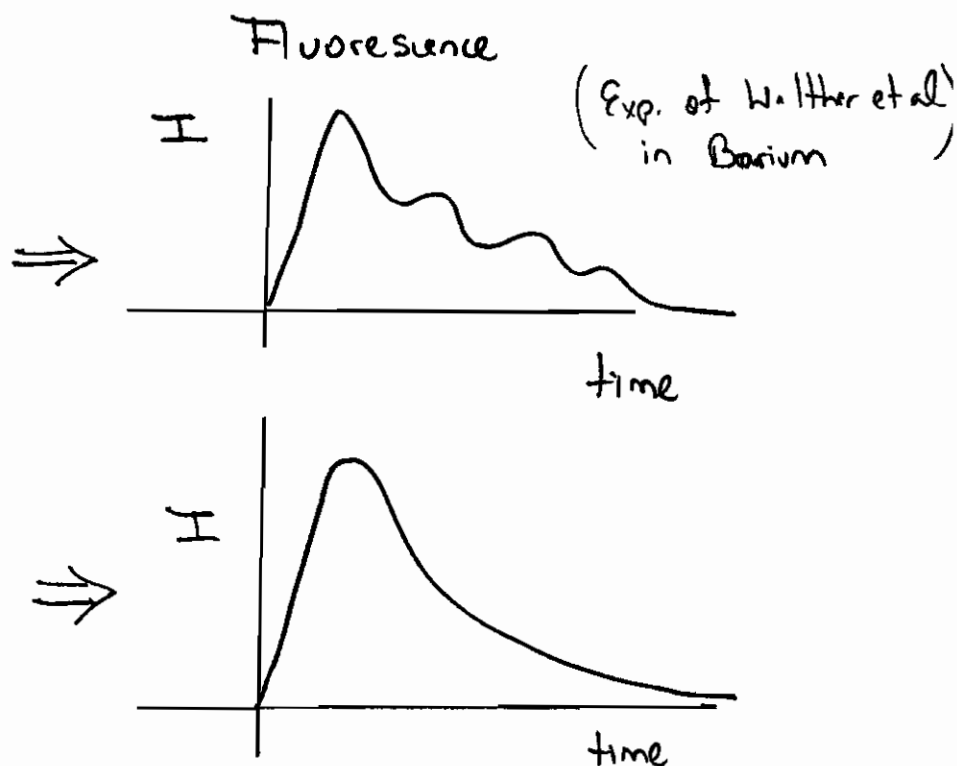
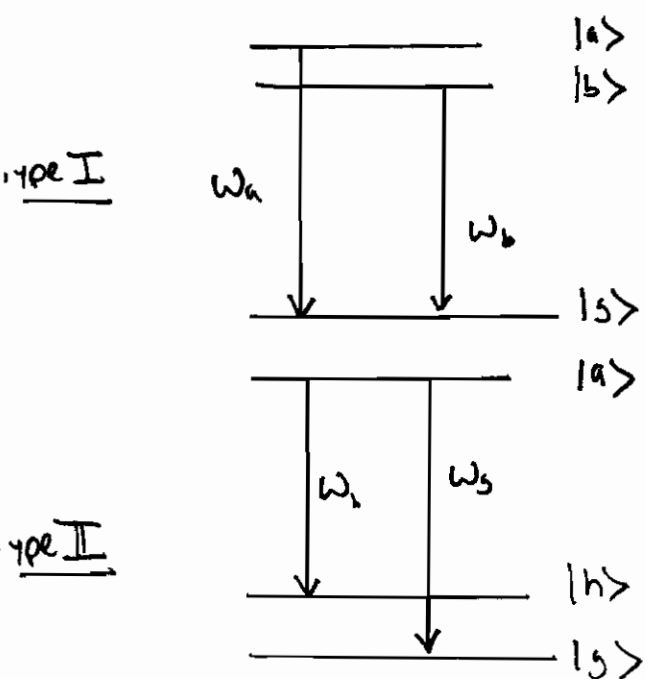
— What experiment allows us to know.

Knowledge of which path and the possibility of observing an interference pattern.

— All are mutually exclusive concepts

## Complementarity + Information : Quantum Beats

### Two Experiments



Why don't see beats for type II but see beats for Type I?

complementarity:

If it is possible to distinguish by which history the atom has gone from initial to final state, then no beats will occur.

Do not need to perform experiment, sufficient that it is only possible!

Information (or lack of) leads to detection of beats.

Type I

Detector Cannot tell which "path" generated a photon

{

cannot tell

 $|g\rangle \rightarrow |a\rangle \rightarrow |g\rangle$ 

OR

 $|g\rangle \rightarrow |b\rangle \rightarrow |g\rangle$

- lack of information  $\Rightarrow$  interference / beats
- paths are indistinguishable

~~Type III~~

Wavefunction

$$|\psi\rangle = C_1(t) |g\rangle |n_a\rangle + C_2 |g\rangle |n_b\rangle$$

$$i^2 = |\langle \psi | \psi \rangle|^2 = (|C_1|^2) + (|C_2|^2) + \underbrace{C_1^* C_2 e^{i(\omega_a - \omega_b)t} \langle g | g \rangle}_{\text{interference term!}}$$

Type II

Paths here are not indistinguishable!

Do not expect interference.

1) Excite to  $|a\rangle$

2) Decay to either  $|g\rangle$  or  $|h\rangle$ . But one knows the final state

Can tell difference

$$\begin{array}{l} |g\rangle \rightarrow |a\rangle \rightarrow |g\rangle \\ |a\rangle \rightarrow |a\rangle \rightarrow |h\rangle \end{array}$$

## Wavefunction

$$|4\rangle = C_1 |g\rangle |n_g\rangle + C_2 |h\rangle |n_h\rangle$$

$$\langle 4|4\rangle^2 = |C_1|^2 + |C_2|^2 + \underbrace{C_1^* C_2 e^{-i(\omega_g - \omega_h)t} \langle g|h\rangle}_{= \text{Zero!}}$$

= Zero!

since  $\langle g|h\rangle = 0$

— orthogonal states

~~Q~~ Amount of information  $\Rightarrow$  Fringe Contrast / Sharpness

Go Back to the experiment of Walther et al. By turning the Pockel's Cell on (closed) one could tell the paths and thus the interference when away.

Now to the good stuff . . . .



Single Mode states

$E/m \Rightarrow$  Harmonic oscillators

represent  $E + B$  as operators

Consider radiation field in 1D cavity  
perfectly conducting walls.

$$E_x(z, t) = \sqrt{\frac{2\omega^2}{V\epsilon_0}} q(t) \sin(kz)$$

$$B_y(z, t) = \frac{\mu_0 \epsilon_0}{k} \sqrt{\frac{2\omega^2}{V\epsilon_0}} \dot{q}(t) \cos(kz)$$

Total Energy

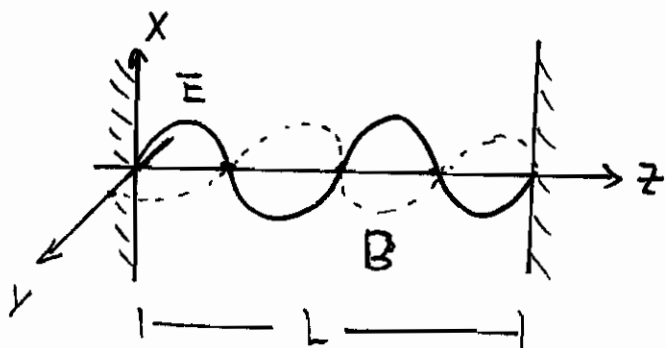
$$\cancel{\frac{1}{2} \epsilon_0 E \cdot E} + \cancel{\frac{1}{2} \mu_0 B \cdot B} \quad \text{D.H.}$$
$$\textcircled{1} \quad \frac{1}{2} (\epsilon_0 E^2 + \frac{1}{\mu_0} B^2) = W$$

$$H = \frac{1}{2} \int dV (\epsilon_0 E^2 + \frac{1}{\mu_0} B^2)$$

$$H = \frac{1}{2} (p^2 + \omega^2 q^2) \Leftarrow \text{Hamiltonian for single harmonic motion}$$

## Field Quantization

- Consider light in a cavity of perfectly conducting walls
- Get standing waves
- Electric field along  $\hat{x}$ , Magnetic Field along  $\hat{y}$



$$E_x(z, t) = \left( \frac{2\omega^2}{V\epsilon_0} \right)^{1/2} q(t) \sin(kz)$$

$$B_y(z, t) = \frac{\mu_0\epsilon_0}{k} \left( \frac{2\omega^2}{V\epsilon_0} \right)^{1/2} \dot{q}(t) \cos(kz)$$

$$\begin{cases} k = \frac{\omega n}{c} & n=1 \\ \omega_m = c \left( \frac{m\pi}{L} \right) \\ V \equiv \text{volume} \end{cases}$$

$q(t) \Rightarrow$  canonical position

$\dot{q}(t) = p(t) \Rightarrow$  canonical momentum

Classical Energy or Hamiltonian

$$H = \frac{1}{2} \int dV \left( \epsilon_0 E_x^2 + \frac{1}{\mu_0} B_y^2 \right)$$

Write in terms of canonical terms

$$H = \frac{1}{2} (p^2 + \omega^2 q^2)$$

The system we have described is a harmonic oscillator. ~~So~~  
~~Therefore~~ Thus we can use our quantum description  
of the harmonic oscillator

$$[\hat{q}, \hat{p}] = i\hbar$$

### Quantized fields

$$\hat{E}_x(z, t) = \left(\frac{2\omega^2}{V\epsilon_0}\right)^{1/2} \hat{q}(t) \sin(kz)$$

$$\hat{B}_y(z, t) = \left(\frac{2\omega^2}{V\epsilon_0}\right)^{1/2} \hat{p}(t) \cos(kz)$$

$$\hat{H} = \frac{1}{2} (\hat{p}^2 + \omega^2 \hat{q}^2)$$

$\hat{p}$  &  $\hat{q}$  are Hermitian (observables)

Introduce non Hermitian operators

$\hat{a}^+$  creation  
 $\hat{a}$  annihilation

$$\begin{aligned} \hat{a}^+ &= \frac{1}{\sqrt{2\hbar\omega}} (\omega\hat{q} - i\hat{p}) \\ \hat{a} &= \frac{1}{\sqrt{2\hbar\omega}} (\omega\hat{q} + i\hat{p}) \end{aligned}$$

so

$$\hat{E}_x = \epsilon_0 (\hat{a} + \hat{a}^+) \sin kz$$

$$\epsilon_0 \equiv \sqrt{\hbar\omega/\epsilon_0 V}$$

$$\hat{B}_y = B_0 \frac{1}{i} (\hat{a} - \hat{a}^+) \cos kz$$

$$B_0 \equiv \mu_0/k \sqrt{\frac{\epsilon_0 \hbar \omega^3}{V}}$$

Also  $[\hat{a}, \hat{a}^+] = 1$

$$H = \hbar\omega (\hat{a}^+ \hat{a} + \frac{1}{2})$$

## Time Dependence of $\hat{a}$ & $\hat{a}^\dagger$

Heisenberg picture  $\Rightarrow$  Liouville Eq (as we did before)

$$\frac{d\hat{a}}{dt} = \frac{i}{\hbar} [H, \hat{a}] = -i\omega \hat{a}$$

Solution

$$\hat{a}(t) = \hat{a}(0) e^{-i\omega t}$$

For  $\hat{a}^\dagger$

$$\hat{a}^\dagger(t) = \hat{a}^\dagger(0) e^{i\omega t}$$

$$\text{Show } \hat{H}\hat{a} = \hat{a}\hat{H} - \hat{a}\hbar\omega$$

$$\hat{H}\hat{a}^\dagger = \hat{a}^\dagger\hat{H} + \hat{a}^\dagger\hbar\omega$$

## Number Operator $\hat{n}$

$\hat{n} \equiv \hat{a}^\dagger \hat{a} \Rightarrow$  eigenstate  $|n\rangle$  with energy  $E_n$

Thus

$$\hat{H}|n\rangle = \hbar\omega(\hat{a}^\dagger \hat{a} + \frac{1}{2})|n\rangle = E_n|n\rangle$$

write

$$\hbar\omega(\hat{a}^\dagger \hat{a} + \frac{1}{2})(\hat{a}^\dagger|n\rangle) = (E_n + \hbar\omega)(\hat{a}^\dagger|n\rangle)$$

$$\hat{H}(\hat{a}^\dagger|n\rangle) = (E_n + \hbar\omega)(\hat{a}^\dagger|n\rangle)$$

eigenstate

$\hat{a}^\dagger|n\rangle$  has eigenvalue  $E_n + \hbar\omega$

$\hat{a}^\dagger \Rightarrow$  creates quantum of energy  $\hbar\omega$

also

$$\hat{H}(\hat{a}|n\rangle) = (E_n - \hbar\omega)(\hat{a}|n\rangle)$$

So 
$$\boxed{E_n = \hbar\omega(n + \frac{1}{2})}$$

Show  $E_n + \hbar\omega$  is eigenvalue of  $a^+|n\rangle$

OR

$$\hat{H}|n\rangle = \hbar\omega \left( a^+a + \frac{1}{2} \right) |n\rangle = E_n |n\rangle$$

multiply  $a^+$  on Left

$$\left\{ \begin{array}{l} \hbar\omega (a^+a + \frac{1}{2}) |n\rangle = E_n |n\rangle \\ \hbar\omega (a^+a^+a + \frac{1}{2}a^+) |n\rangle = E_n a^+ |n\rangle \end{array} \right.$$

$$aa^+ - a^+a = 1 \quad \text{So} \quad a^+a = aa^+ - 1$$

~~$\hbar\omega (a^+a^+a + \frac{1}{2}a^+) |n\rangle = E_n a^+ |n\rangle$~~

$$\hbar\omega (a^+aa^+ - a^+ + \frac{1}{2}a^+) |n\rangle = E_n (a^+|n\rangle)$$

$$\hbar\omega (a^+a + \frac{1}{2}) (a^+|n\rangle) = (E_n + \hbar\omega) (a^+|n\rangle)$$

$$\text{So} \quad H(\hat{a}^+|n\rangle) = (E_n + \hbar\omega) (a^+|n\rangle)$$

$\uparrow$   
eigenvalue

Similarly

$$H(\hat{a}|n\rangle) = (E_n - \hbar\omega) (a|n\rangle)$$

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

$$\text{Show } \hat{H} \hat{a}^\dagger = \hat{a}^\dagger \hat{H} + \hbar \omega \hat{a}^\dagger$$

$$\text{use } [a, a^\dagger] = 1$$

$$H a^\dagger = \hbar \omega (a^\dagger a a^\dagger + \frac{1}{2} a^\dagger)$$

$$(a a^\dagger = 1 + a^\dagger a)$$

$$= \hbar \omega (a^\dagger a^\dagger a + a^\dagger + \frac{1}{2} a^\dagger)$$

$$= \cancel{a^\dagger} (a^\dagger (\hbar \omega (a^\dagger a + \frac{1}{2}))) + \hbar \omega a^\dagger$$

$$= a^\dagger H + \hbar \omega a^\dagger$$

Then

$$H(\hat{a}^\dagger |n\rangle) = \cancel{H(a^\dagger |n\rangle)} (a^\dagger |n\rangle)$$

$$= \cancel{H(a^\dagger |n\rangle)}$$

$$= (a^\dagger H + a^\dagger \hbar \omega) |n\rangle$$

$$= a^\dagger H |n\rangle + \hbar \omega a^\dagger |n\rangle$$

$$= (E_n + \hbar \omega) (a^\dagger |n\rangle) \checkmark$$

Zero point energy  $n=0$

$$\hat{H}|0\rangle = \hbar\omega \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right) |0\rangle = \frac{1}{2} \hbar\omega |0\rangle$$

$$\frac{1}{2} \hbar\omega \Rightarrow \text{zero energy}$$

$$\hat{a}|0\rangle = 0$$

$$\hat{a}^\dagger|0\rangle = |1\rangle$$

Normalizing Number States

$$\hat{n}|n\rangle = n|n\rangle$$

$$\langle n|n\rangle = 1$$

$$\hat{a}|n\rangle = c_n |n-1\rangle$$

$$\Rightarrow c_n = \sqrt{n}$$

$$\hat{a}^\dagger|n\rangle = \sqrt{n+1} |n+1\rangle$$

$$\hat{a}|n\rangle = \sqrt{n} |n-1\rangle$$

$$\hat{a}^\dagger|n\rangle = \sqrt{n+1} |n+1\rangle$$

$$|n\rangle = \frac{(\hat{a}^\dagger)^n}{\sqrt{n!}} |0\rangle$$

Non vanishing elements

$$\langle n-1|\hat{a}|n\rangle = \sqrt{n}$$

$$\langle n+1|\hat{a}^\dagger|n\rangle = \sqrt{1+n}$$

## Lecture 33 Multimode Fields

$|n\rangle$  has a well defined energy but not of field since  
 $\langle n | \hat{E}_x | n \rangle = 0$  (expectation of  $\sin()$ )

But the energy density which is proportional to  $E^2$  is not zero

$$\langle n | \hat{E}_x^2 | n \rangle = 2\epsilon_0 \sin^2(kz) (n + \frac{1}{2})$$

$\uparrow$  energy density

Variance of  $\hat{E}$

$$\langle (\Delta \hat{E}_x)^2 \rangle = \langle \hat{E}_x^2 \rangle - \langle \hat{E}_x \rangle^2 =$$

so

$$\Delta E_x = \sqrt{2\epsilon_0 \sin^2(kz)} \sqrt{n + \frac{1}{2}}$$

which is valid for even  $n=0$ ,  $\Rightarrow$  Vacuum fluctuations

Commutation between  $\hat{n} + \hat{E}$

$$[\hat{n}, \hat{E}_x] = \epsilon_0 \sin(kz) (\hat{a}^\dagger - \hat{a})$$

or

$$\Delta n \Delta E_x \geq \frac{1}{2} \epsilon_0 |\sin(kz)| |\langle \hat{a}^\dagger - \hat{a} \rangle|$$

If field is accurately known, # of photons is uncertain

$\Rightarrow$  Amplitude & phase in QM cannot be both well defined.



## Classical phase space

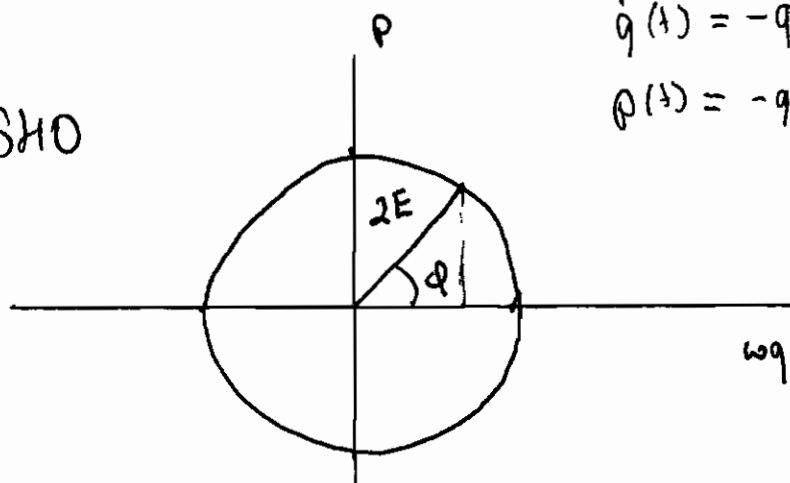
$$2E = 2H = p^2 + \omega_0^2 q^2$$

$$q(t) = q_0 \cos \omega_0 t$$

$$\dot{q}(t) = -q_0 \omega_0 \sin \omega_0 t$$

$$p(t) = -q_0 \omega_0 \sin \omega_0 t$$

SHO



$$\tan \phi = \frac{p}{\omega_0 q} = \frac{-q_0 \omega_0 \sin \omega_0 t}{\omega_0 q_0 \cos \omega_0 t} = -\tan \omega_0 t = \tan(-\omega_0 t)$$

$$\boxed{\phi(t) = -\omega_0 t}$$

$$\phi(t) \sim \omega_0 t$$

## Classical

$$L = T - U$$

$$L = L(q, \dot{q})$$

$$= \cancel{m} \frac{1}{2} m v^2 - \frac{1}{2} k x^2$$

$$= \frac{1}{2} m \dot{q}^2 - \frac{1}{2} k q^2$$

$$H(p, q) = p\dot{q} - L(q, \dot{q})$$

$$\left\{ \begin{array}{l} \dot{q} = p/m \end{array} \right.$$

$$= p \frac{p}{m} - \frac{1}{2} m \frac{p^2}{m^2} + \frac{1}{2} k q^2$$

$$= p^2/2m + \frac{1}{2} k q^2 = \frac{p^2}{2m} + \frac{1}{2} \omega_0^2 m q^2$$

For number states

$$\langle x_1 \rangle = \langle n | \hat{x}_1 | n \rangle = \langle n | \hat{x}_2 | n \rangle = 0$$

$$\langle x_1^2 \rangle = \langle n | \hat{x}_1^2 | n \rangle = \langle n | \hat{x}_2^2 | n \rangle = \frac{1}{4}(2n+1)$$

Thus the variance

$$\begin{aligned} \langle (\Delta x_1)^2 \rangle &= \langle x_1^2 \rangle - \langle x_1 \rangle^2 \\ &= \frac{1}{4}(2n+1) \end{aligned}$$

For vacuum  $n=0$

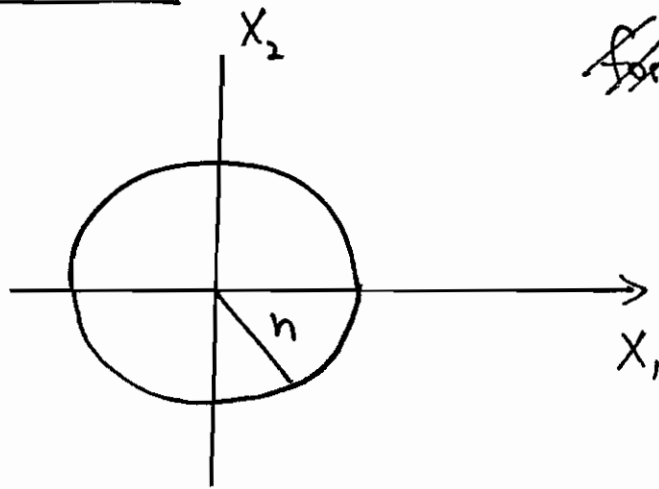
$$\boxed{\langle (\Delta x_1)^2 \rangle_{vac} = \frac{1}{4}}$$

Vacuum squeezing

# Phase space pictures

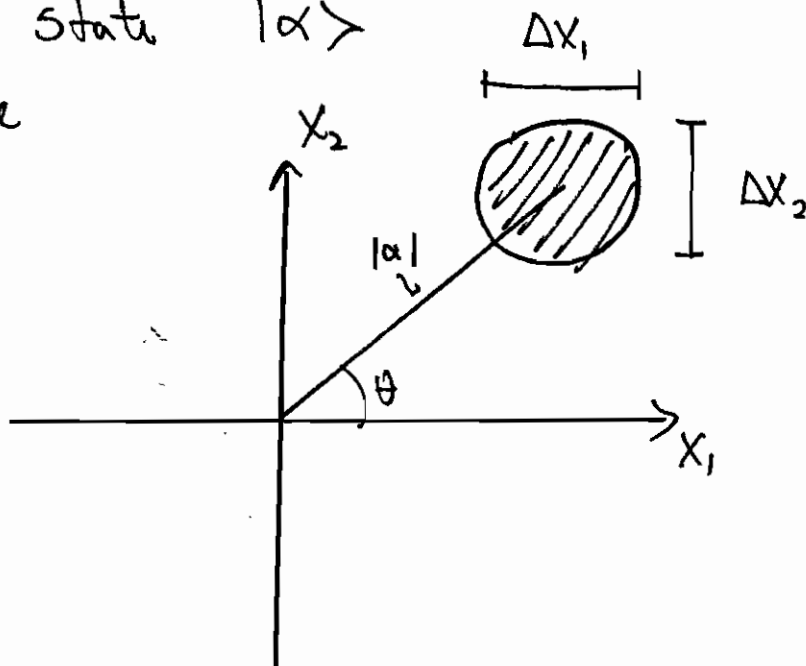
For  $|n\rangle$   
(number state)

i Circle

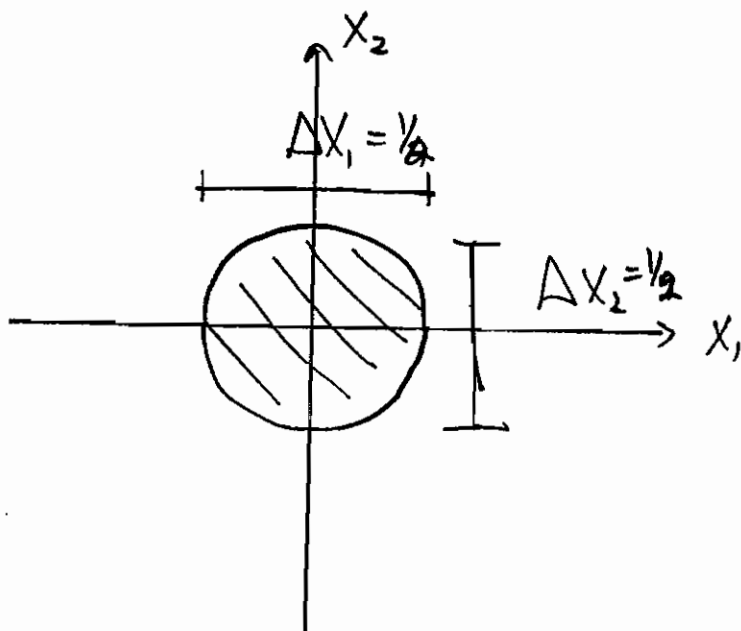


~~For  $|n\rangle$~~

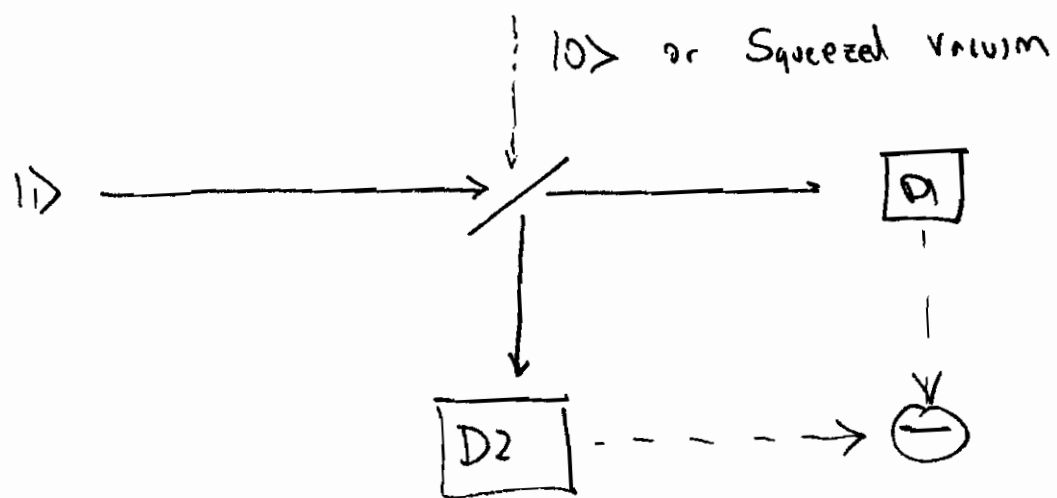
For a coherent state  $|\alpha\rangle$   
a filled circle



For vacuum



# Balanced Detection with Squeezed Light



In analogy with  $\Delta + \Delta E$  we get number/phase

$$\Delta n \Delta \phi \geq 1$$

## Quadrature Operators

Write out time dependence

$$\hat{E}_x = \epsilon_0 (\hat{a} e^{-i\omega t} + a^\dagger e^{i\omega t}) \sin(kz)$$

Define quadrature operators

$$\hat{X}_1 = \frac{1}{2} (\hat{a} + \hat{a}^\dagger) \quad \text{"In-phase"}$$

$$\hat{X}_2 = \frac{1}{2i} (\hat{a} - \hat{a}^\dagger) \quad \text{"In-quadrature" (90° out of phase)}$$

$$S_0 \quad [\hat{x}_1, \hat{x}_2] = i/2 \Rightarrow \langle (\Delta x_1)^2 \rangle \langle (\Delta x_2)^2 \rangle \geq 1/16$$

And  $\langle n | x^2 | n \rangle = \frac{1}{4}(2n+1)$  in-phase in-quadrature

$$\hat{E}_x = 2 E_0 \sin(kz) (\hat{X}_1 \cos \omega t + \hat{X}_2 \sin \omega t)$$

*Handwritten signature*

Valuon minimizes the ~~uncertainty~~ product

~~$\langle \hat{X}_1^2 \rangle = \frac{1}{4} = \langle \hat{X}_2^2 \rangle$~~

~~Number states~~

~~$$\langle n | x_1^2 | n \rangle = \frac{1}{4} (2n+1)$$~~

~~Squeezed Vacuum~~  $\Rightarrow$

~~Mini project~~  
Charmin

So

~~$\langle X^2 \rangle = \frac{1}{2} n$~~

Relationship between  ~~$X_1$~~   $\hat{X}_1 + \hat{X}_2 + \hat{q} + \hat{p}$

$$\hat{X}_1 = \frac{1}{2} (\hat{a} + \hat{a}^\dagger)$$

$$= \frac{1}{2} \sqrt{\frac{1}{2\hbar\omega}} \left[ \omega\hat{q} + i\hat{p} + \omega\hat{q} - i\hat{p} \right]$$

$$\boxed{\hat{X}_1 = \omega\hat{q} \quad \frac{1}{2} \sqrt{\frac{1}{2\hbar\omega}}}$$

$$\hat{X}_2 = \frac{1}{2} i (\hat{a} - \hat{a}^\dagger)$$

$$= \frac{1}{2} \sqrt{\frac{1}{2\hbar\omega}} \frac{1}{i} (\omega\hat{q} + i\hat{p} - \omega\hat{q} + i\hat{p})$$

$$\boxed{\hat{X}_2 = + \frac{1}{2} \sqrt{\frac{1}{2\hbar\omega}} \hat{p}}$$

$$[\hat{X}_1, \hat{X}_2] = i/2$$

## Review

### Number states

$$\hat{H}|n\rangle = E_n |n\rangle = \hbar\omega (n + 1/2)$$

$$\hat{a}^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle$$

$$\hat{a} |n\rangle = \sqrt{n} |n-1\rangle$$

$$\hat{n} = \hat{a}^\dagger \hat{a}$$

$$\begin{aligned}\langle n | \hat{n} | n \rangle &= \langle n | \hat{a}^\dagger \hat{a} | n \rangle = \langle n | \hat{a}^\dagger \sqrt{n} | n-1 \rangle \\ &= \langle n | n-1+1 \rangle \sqrt{n} \sqrt{n} \\ &= n \langle n | n \rangle\end{aligned}$$

### Quadrature operators $\hat{X}_1 + \hat{X}_2$

$$[\hat{X}_1, \hat{X}_2] = i/2$$

Implies  $\langle (\Delta X_1)^2 \rangle \geq 1/4$   
or  $\langle (\Delta X_2)^2 \rangle \geq 1/4$

$$\langle (\Delta X_1)^2 \rangle \langle (\Delta X_2)^2 \rangle \geq 1/16$$

For a general state

$$\Delta X_1, \Delta X_2 \geq 1/4$$

For number states

$$\langle n | \hat{X}_1^2 | n \rangle = \frac{1}{4} (2n+1) = \frac{n}{2}$$

$$\langle n | \hat{X}_2 | n \rangle = 0$$

S<sub>0</sub>

$$\begin{aligned}\langle (\Delta X_1)^2 \rangle &= \langle X_1^2 \rangle - \langle X_1 \rangle^2 \\ &= \frac{1}{4} (2n+1)\end{aligned}$$

For vacuum state  $|0\rangle$  + coherent state

$$\Delta X_1 \Delta X_2 \approx 1/4$$

$$\langle (\Delta X_1)^2 \rangle_{\text{vac}} = \frac{1}{4} = \langle X_1^2 \rangle$$

Chapter 7<sup>h</sup>

We can create a squeezed vacuum state such that  $|4_s\rangle$

$$\langle (\Delta X_1)^2 \rangle < \frac{1}{4} \quad \textcircled{\text{OR}}$$

$$\langle (\Delta X_2)^2 \rangle < \frac{1}{4}$$



Review : Generalized uncertainty relationship

$$\langle (\Delta \hat{A})^2 \rangle \langle (\Delta \hat{B})^2 \rangle \geq \frac{1}{4} | \langle [\hat{A}, \hat{B}] \rangle |^2$$

Where  $\langle (\Delta \hat{A})^2 \rangle^*$  is the variance

$$\langle (\Delta \hat{A})^2 \rangle = \langle \hat{A}^2 \rangle - \langle \hat{A} \rangle^2$$

$\Delta A$  ~~mean~~ is called the root mean square deviation from mean

(Notice no bracket)  
for specific ~~AA~~ basis

$$\Delta A \Delta B \geq \frac{1}{2} | \langle [A, B] \rangle |^2$$

# Multimode Fields

## Procedure

- 1) Write  $\mathbf{E} + \mathbf{B}$  in terms of  $\vec{A}$   
(Coulomb gauge)

using Maxwell's eqs.

- 2) Consider E/m Fields in cubic cavity of length  $L$

- 3) Integrate of all possible modes in 3D

- 4) Write  $\vec{A}(\mathbf{r}, t) = \sum_{\mathbf{k}s} \hat{e}_{\mathbf{k}s} (A_{\mathbf{k}s}(t) e^{i\mathbf{k} \cdot \mathbf{r}} + A_{\mathbf{k}s}^*(t) e^{-i\mathbf{k} \cdot \mathbf{r}})$   
Sum of plane waves

- 5) Write  $\mathbf{E} + \mathbf{B}$  in terms of  $\vec{A}(\mathbf{r}, t)$  above, use Coulomb gauge

- 6) Find total energy using  $H = \int_V \left( \frac{1}{2} \epsilon_0 \mathbf{E} \cdot \mathbf{E} + \frac{1}{\mu_0} \mathbf{B} \cdot \mathbf{B} \right) dV$   
 $H$  in terms of  $A$

- 7) Write  $A_{\mathbf{k}s}$  in terms of  $q_{\mathbf{k}s} + p_{\mathbf{k}s} \Rightarrow$  Get SUM  
Both Hamiltonian

- 8) Use operator form of  $\hat{q}_{\mathbf{k}s} + \hat{p}_{\mathbf{k}s}$

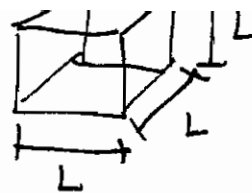
- 9) Define  $\hat{a}_{\mathbf{k}s} + \hat{a}_{\mathbf{k}s}^*$  as before

- 10) Write  $\hat{H}$

11) Write  $\hat{A}_{\vec{k}s}$  in terms of  $\hat{a}_{\vec{k}s}$   
+ write  $\hat{A}(\vec{r}, t)$

12) Write  $\textcircled{A} \hat{E}(\vec{r}, t) + \hat{B}(\vec{r}, t)$   
in terms of  $\hat{a}_{\vec{k}s}, \hat{a}_{\vec{k}s}^{\dagger}$

# Multi mode fields



Generalization of single mode result

Cubical Cavity with periodic boundary conditions

Express field in terms of the vector potential  $\vec{A}$

$$\begin{aligned} \vec{E} &= -\partial_t \vec{A} & \vec{B} &= \vec{\nabla} \times \vec{A} \\ \nabla^2 \vec{A} - \frac{1}{c^2} \partial_t^2 \vec{A} &= 0 \end{aligned} \quad \left\{ \begin{array}{l} \text{Coulomb gauge} \\ \vec{\nabla} \cdot \vec{A} = 0 \end{array} \right.$$

The boundary conditions impose

$$k_x = \frac{2\pi}{L} m_x \quad k_y = \frac{2\pi}{L} m_y \quad k_z = \frac{2\pi}{L} m_z$$

Total # of modes in  $k$  space

$$\Delta m = 2 \left( \frac{L}{2\pi} \right)^3 \Delta k_x \Delta k_y \Delta k_z$$

$$dm = 2 \frac{V}{8\pi^3} dk_x dk_y dk_z = 2 \frac{V}{8\pi^3} k^2 dk \sin\theta d\theta d\phi$$

(Go to next page)

The vector potential can be express a superposition of plane waves

$$\vec{A}(\vec{r}, t) = \sum_{\vec{k}, s} \hat{e}_{\vec{k}s} \left( A_{\vec{k}s}(t) e^{i\vec{k} \cdot \vec{r}} + A_{\vec{k}s}^*(t) e^{-i\vec{k} \cdot \vec{r}} \right)$$

Sum over  $\vec{k} \rightarrow$  sum over  $m$

Sum over  $s \rightarrow$  two polarizations orthogonal

Get time dependences of  $A_{\vec{k}s}$  from Wave Eq

$$A_{\vec{k}s}(t) = A_{\vec{k}s} e^{-i\omega_k t}$$

do continuous integral  
 $\sum_{\vec{k}} \rightarrow \frac{V}{(2\pi)^3} \int d^3k$

$$dm = 2 \frac{V}{8\pi^3} dk_x dk_y dk_z$$

Integrate over solid angle  $d\Omega = \sin\theta d\theta d\phi$

$$\left. \begin{array}{l} \# \text{ of modes} \\ \text{in all directions} \\ \text{from range} \\ k \text{ to } dk \end{array} \right\} = V \frac{k^2}{\pi^2} dk = V p_k dk$$

$p_k dk \equiv$  mode density  
# of modes per unit volume

~~For  $dm$~~  Using  $k = \omega_k/c$

we have  $dm = 2 \frac{V}{8\pi^3} \frac{\omega_k^2 k}{c^3} d\omega_k d\Omega$

Integrate over  $d\Omega$

$$\left. \begin{array}{l} \# \text{ modes in all} \\ \text{directions with} \\ \omega_k \text{ to } \omega_k + d\omega \end{array} \right\} \Rightarrow V \frac{\omega_k^2}{\pi^2 c^3} d\omega_k = V p(\omega_k) d\omega_k$$

Write  $\vec{A}$

$$\vec{A}(\vec{r}, t) = \sum_{\vec{k}, s} \left( A_{\vec{k}s}(t) e^{i\vec{k} \cdot \vec{r}} + A_{\vec{k}s}^*(t) e^{-i\vec{k} \cdot \vec{r}} \right)$$

—  $\vec{k}$  sum over  $m_x, m_y, m_z$

—  $s$  polarization

$$\hat{e}_{\vec{k}s} \cdot \hat{e}_{\vec{k}s'} = \delta_{ss'}$$

$$\text{and } \vec{k} \cdot \hat{e}_{\vec{k}s} = 0$$

transversality / coulomb gauge

$$\hat{e}_{\vec{k}1} \times \hat{e}_{\vec{k}2} = \frac{\vec{k}}{|\vec{k}|}$$

Replace sum with  $\sum_{\vec{k}} \frac{V}{(2\pi)^3} \int k^2 dk$

Get Fields in terms of  $A_{\vec{k}s}$

Write Electric + Magnetic fields

$$\mathbf{E}(\mathbf{r}, t) = i \sum_{\mathbf{k}, s} \omega_k \hat{\mathbf{e}}_{ks} \left[ A_{\mathbf{k}s} e^{i(\mathbf{k} \cdot \mathbf{r} - \omega_k t)} - A_{\mathbf{k}s}^* e^{-i(\mathbf{k} \cdot \mathbf{r} - \omega_k t)} \right]$$

$$\mathbf{B}(\mathbf{r}, t) = \frac{i}{c} \sum_{\mathbf{k}, s} \omega_k \left( \frac{\mathbf{k}}{|\mathbf{k}|} \times \hat{\mathbf{e}}_{ks} \right) \left[ A_{\mathbf{k}s} e^{i(\mathbf{k} \cdot \mathbf{r} - \omega_k t)} - A_{\mathbf{k}s}^* e^{-i(\mathbf{k} \cdot \mathbf{r} - \omega_k t)} \right]$$

Write Hamiltonian by integrating over volume Start from  $H = \frac{1}{2} \int_V (\epsilon_0 \mathbf{E}^2 + \frac{1}{\mu_0} \mathbf{B}^2) dV$

$$H = 2 \epsilon_0 V \sum_{\mathbf{k}, s} \omega_k^2 A_{\mathbf{k}s} A_{\mathbf{k}s}^*$$

Introduce canonical variables  $\bar{q}_{\mathbf{k}s} + \bar{p}_{\mathbf{k}s}$

$$A_{\mathbf{k}s} = \frac{1}{2 \omega_k \sqrt{\epsilon_0 V}} (\omega_k \bar{q}_{\mathbf{k}s} + i \bar{p}_{\mathbf{k}s})$$

Vector potential  
in terms of  $\bar{q} + \bar{p}$

Then

$$H = \frac{1}{2} \sum_{\mathbf{k}, s} (\bar{p}_{\mathbf{k}s}^2 + \omega_k^2 \bar{q}_{\mathbf{k}s}^2)$$

Classical field

operators  
quantize

$$\hat{p}_{\mathbf{k}s} + \hat{q}_{\mathbf{k}s}$$

$$[\hat{q}_{\mathbf{k}s}, \hat{p}_{\mathbf{k}'s'}] = i \hbar \delta_{\mathbf{k}\mathbf{k}'} \delta_{ss'}$$

$$\hat{H} = \sum_{\mathbf{k}, s} \hbar \omega_k \left( \hat{a}_{\mathbf{k}s}^\dagger \hat{a}_{\mathbf{k}s} + \frac{1}{2} \right) \quad \hat{n}_{\mathbf{k}s} = \hat{a}_{\mathbf{k}s}^\dagger \hat{a}_{\mathbf{k}s}$$

Same as before

$$\hat{a}_{ks} = \frac{1}{\sqrt{2\hbar\omega_k}} (\omega_k \hat{q}_{ks} + i\hat{p}_{ks})$$

$$\hat{a}_{ks}^\dagger = \frac{1}{\sqrt{2\hbar\omega_k}} (\omega_k \hat{q}_{ks} - i\hat{p}_{ks})$$

lead to

$$\hat{A}_{ks} = \sqrt{\frac{\hbar}{2\omega_k \epsilon_0 V}} \hat{a}_{ks}$$



For  $j^{\text{th}}$  mode and all modes

$$\hat{H} = \sum_j \hbar \omega_j (\hat{n}_j + 1/2)$$

Field Hamiltonian for all  
modes  $\hat{n}_j | n_1 n_2 \dots n_j \dots \rangle$

where  $\hat{n}_j = \hat{n}_{\vec{k}_j s_j}$

$$\hat{n}_j |\{n_j\}\rangle$$

$$= n_j | n_1 n_2 \dots n_j \dots \rangle$$

Multimode photon number state

$$|n_1 n_2 \dots \rangle = |n_1\rangle |n_2\rangle |n_3\rangle \dots \quad \text{mode } j$$

$$= |\{n_j\}\rangle$$

So

$$\hat{H} |\{n_j\}\rangle = E |\{n_j\}\rangle$$

$\uparrow$  all modes

using  
Loudon's  
notation

Multimode are orthogonal

$$\langle n_1 n_2 \dots n_j \dots | n_1 n_2 \dots \rangle = \delta_{n_1 n_1'} \delta_{n_2 n_2'} \dots$$

operation by  $\hat{a}_j$

$$\hat{a}_j | n_1 n_2 \dots n_j \dots \rangle = \sqrt{n_j} | n_1 n_2 \dots n_{j-1} \dots \rangle$$

Multimode vacuum

$$|\{0\}\rangle = |0_1, 0_2 \dots \rangle$$

$$|\{n_j\}\rangle = \prod_j \frac{(\hat{a}_j^\dagger)^{n_j}}{\sqrt{n_j!}} |\{0\}\rangle$$

Back to the fields

Now

$$\hat{A}_{\vec{k}s} = \sqrt{\frac{\hbar}{2\omega_k \epsilon_0 V}} \left[ \hat{a}_{\vec{k}s} e^{i(\vec{k} \cdot \vec{r} - \omega_k t)} + \hat{a}_{\vec{k}s}^\dagger e^{-i(\vec{k} \cdot \vec{r} - \omega_k t)} \right]$$

complex amplitude

nd

$$\hat{E}(\vec{r}, t) = i \sum_{\vec{k}, s} \sqrt{\frac{\hbar \omega_k}{2 \epsilon_0 V}} \hat{e}_{\vec{k}s} \left( \hat{a}_{\vec{k}s} e^{i(\vec{k} \cdot \vec{r} - \omega_k t)} - \hat{a}_{\vec{k}s}^\dagger e^{-i(\vec{k} \cdot \vec{r} - \omega_k t)} \right)$$

$$\hat{a}_{\vec{k}s}(t) = \hat{a}_{\vec{k}s}(0) e^{-i\omega_k t}$$

$$\hat{E}(\vec{r}, t) = i \sum_{\vec{k}, s} \sqrt{\frac{\hbar \omega_k}{2 \epsilon_0 V}} \hat{e}_{\vec{k}s} \left[ \hat{a}_{\vec{k}s}(t) e^{i\vec{k} \cdot \vec{r}} - \hat{a}_{\vec{k}s}^\dagger(t) e^{-i\vec{k} \cdot \vec{r}} \right]$$

$$= \hat{E}^{(+)}(\vec{r}, t) + \hat{E}^{(-)}(\vec{r}, t)$$

$$\hat{E}^{(-)} = (\hat{E}^{(+)})^\dagger$$

Collective  
annihilation

Collective  
creation

# Thermal Fields (HBT)

Black body source

In analogy to statistical mechanics

Classical

$$P_n = \frac{\exp(-E_n/k_B T)}{\sum_n \exp(-E_n/k_B T)} = \frac{\exp(-E_n/k_B T)}{Z}$$

Quantum

$$\hat{\rho}_{Th} = \frac{\exp(-\hat{H}/k_B T)}{\text{Tr}(\exp(-\hat{H}/k_B T))} \quad \begin{aligned} \hat{H} &= (\hat{a}^\dagger \hat{a} + 1/2) \hbar \omega \\ E_n &= (n + 1/2) \hbar \omega \end{aligned}$$

where

$$\begin{aligned} \text{Tr}(\exp(-\hat{H}/k_B T)) &= \sum_{n=0}^{\infty} \langle n | \exp(-\hat{H}/k_B T) | n \rangle \\ &= \sum_n \exp(-E_n/k_B T) \\ &= Z \quad \text{partition function} \end{aligned}$$

$$Z = \exp(-\hbar \omega / 2 k_B T) \sum_n \exp(-n \hbar \omega / k_B T)$$

(sum for  $\exp(\hbar \omega / k_B T) < 1$ )

$$\frac{1}{1 - \exp(-\hbar \omega / k_B T)}$$

$$Z = \frac{\exp(-\hbar\omega/2k_B T)}{1 - \exp(-\hbar\omega/k_B T)}$$

$$P_n = \langle n | \hat{\rho}_{Th} | n \rangle = \frac{1}{Z} \exp(-E_n/k_B T)$$

get classical result

Find average number of photons  $\bar{n}$

$$\bar{n} = \langle \hat{n} \rangle = \text{Tr}(\hat{n} \hat{\rho}_{Th}) = \sum \langle n | \hat{n} \rho_{Th} | n \rangle$$

$$= \sum_n n P_n$$

$$= \exp(-\hbar\omega/2k_B T) \frac{1}{Z} \sum_n n \exp(-\hbar\omega n/k_B T)$$

Box

$$\bar{n} = \frac{1}{\exp(\hbar\omega/k_B T) - 1}$$

$$\left\{ \begin{array}{ll} \bar{n} \approx \frac{k_B T}{\hbar\omega} & k_B T \gg \hbar\omega \\ \bar{n} \approx \frac{\hbar\omega}{k_B T} & k_B T \ll \hbar\omega \end{array} \right.$$

At room temp average # of photons is very small

At  $T = 6000K$   $\bar{n} \approx 10^{-2}$  light bulb.

~~Prob for finding photons~~

~~$$\hat{\rho}_{Th} = \frac{1}{1 + \bar{n}} \sum_n \left( \frac{\bar{n}}{1 + \bar{n}} \right)^n |n\rangle \langle n|$$~~

~~$$P_n = \frac{\bar{n}^n}{1 + \bar{n}}$$~~

Using

$$\hat{\rho}_n = \frac{1}{1+\bar{n}} \sum_n \left( \frac{\bar{n}}{1+\bar{n}} \right)^n |n\rangle\langle n| \quad (2.138)$$

And

$$\hat{\rho}_{\text{ph}} = \sum_n P_n |n\rangle\langle n| \quad (\text{see next page})$$

$$P_n = \frac{\bar{n}^n}{(1+\bar{n})^{n+1}}$$

→ photon distribution from HBT

Fluctuations in average photon #

$$\langle (\Delta n)^2 \rangle = \langle \hat{n}^2 \rangle - \langle \hat{n} \rangle^2$$

$$\langle \hat{n}^2 \rangle = \text{Tr}(\hat{n}^2 \rho_{\text{ph}}) = \bar{n} + 2\bar{n}^2$$

So  $\langle (\Delta n)^2 \rangle = \bar{n} + \bar{n}^2$

$\Delta n = \sqrt{\bar{n} + \bar{n}^2}$

Fluctuations of  $\hat{n}$  are larger than average  $\bar{n}$

At room temp

~~At room temp~~

$k_B T \sim 25 \text{ meV}$

optical  $\sim \hbar \omega \sim 1 \text{ eV}$

$\bar{n} \sim$

$$\Delta n = \sqrt{\bar{n} + \bar{n}^2}$$

$$\Delta n > \bar{n}$$

$$\hat{\rho}_{Th} = \sum_{n=0}^{\infty} \sum_{n'=0}^{\infty} |n'\rangle \langle n'| \rho$$

$$\hat{\rho}_{Th} = \sum_{n'=0}^{\infty} \sum_{n=0}^{\infty} \overbrace{|n'\rangle \langle n'|}^1 \rho_{Th} \overbrace{|n\rangle \langle n|}^1$$

$$\hat{\rho}_{Th} = \frac{1}{Z} \sum_n \exp(-E_n/k_B T) |n\rangle \langle n|$$

$$\hat{\rho}_{Th} = \frac{1}{Z} \sum_n \rho_n |n\rangle \langle n|$$

B.t

$$\exp(-\hbar\omega/k_B T) = \frac{\bar{n}}{1+\bar{n}}$$

$$E_n = (1/2 + n)\hbar\omega$$

$$Z = \cancel{\frac{\bar{n}}{1+\bar{n}}} \cancel{\frac{1}{-\frac{\bar{n}}{\bar{n}+1}}} =$$

$$\text{So } \exp(-\hbar\omega n/k_B T) = \left(\frac{\bar{n}}{1+\bar{n}}\right)^n$$

$$Z = \sum_n$$

At room temp

$$k_B T \sim 25 \text{ meV}$$

$$\hbar \omega \sim 2 \text{ eV}$$

$\bar{n}$  Big or small #s at room temp.

$$\bar{n} \sim 1.8 \times 10^{-35}$$

$$\frac{\hbar \omega}{k_B T} \approx 80 = \frac{2}{0.025}$$
$$\approx 2 \times 40$$

$$\bar{n} = \frac{1}{\exp(80) - 1} \approx \exp(-80)$$
$$\approx 10^{-35}$$

$$\Delta \bar{n} = 10^{-18}$$

$$\frac{\Delta \bar{n}}{\bar{n}} \approx 10^{17}$$
$$\approx \frac{1}{\sqrt{\bar{n}}}$$

Fluctuations dominate the source

$$T = 3000$$

$$hc = 1240 \text{ eV} \cdot \text{nm}$$

$$k_B = 8.62 \times 10^{-5} \text{ eV/K}$$

$$\hbar\omega = 2 \text{ eV}$$

$$k_B T = 0.25 \text{ eV}$$

$$\frac{\hbar\omega}{k_B T} = 7.73$$

$$\bar{n} \approx 0.00437 \text{ photons at } 2 \text{ eV}$$

$$\Delta \bar{n} \approx 0.0209$$

$$\frac{\Delta \bar{n}}{\bar{n}} \approx 47$$



To get Planck's Law

$$\langle \bar{E} \rangle = \hbar \omega \bar{n}$$

Average energy

To get Planck's Law

multiply average energy of photons  
by the density of modes in  $\omega$  in  
a unit volume

Ave Energy

$$\bar{U}(\omega) = \hbar \omega \bar{n}$$



$$\frac{\omega^2}{\pi^2 c^3}$$

Eq. (2.75)

$$\bar{U}(\omega) = \frac{\hbar \omega^3}{\pi^2 c^3} \frac{1}{\exp(\hbar \omega / k_B T) - 1}$$

Black body spectrum

# Lecture 34 Coherent States

Review  $\langle n | E_x | n \rangle = 0$

Brief discussion on the quantum phase

Dirac

$$\hat{a} = e^{i\hat{\phi}} \sqrt{\hat{n}} \quad \hat{a}^\dagger = \sqrt{\hat{n}} e^{-i\hat{\phi}}$$

$$[\hat{n}, \hat{\phi}] = i$$

$$\Delta n \Delta \phi \geq \frac{1}{2}$$

Problems with this definition:

1)  $\hat{\phi}$  is not Hermitian

Eg. If  $\hat{\phi}$  is Hermitian then  $e^{i\hat{\phi}}$  is unitary

But  $(e^{i\hat{\phi}})^\dagger e^{i\hat{\phi}} = 1$  but  $e^{i\hat{\phi}} (e^{i\hat{\phi}})^\dagger \neq 1$

2) Is  $\hat{\phi}$  an angle operator?

Its not periodic

$$-\infty < \phi < \infty$$

$$\psi(\phi) \neq \psi(\phi + 2\pi)$$

And  $\Delta \phi > 2\pi$

Another approach Susskind - Glogower operators (SG)

operators analogous to exponential phase factor  $e^{i\phi}$

$$\hat{E} \rightarrow e^{i\phi}$$

$$\hat{E}^\dagger \rightarrow e^{-i\phi}$$

not field

$$\hat{E} = \sum_{n=0}^{\infty} |n\rangle \langle n+1| = (\hat{a}\hat{a}^\dagger)^{-1/2} \hat{a}$$

$$\hat{E}^\dagger = \sum_{n=0}^{\infty} |n+1\rangle \langle n| = \hat{a}^\dagger (\hat{a}\hat{a}^\dagger)^{-1/2}$$

Vacuum spoils the unitarity of  $\hat{E}$

$$\hat{E}\hat{E}^\dagger = 1$$

$$\hat{E}^\dagger\hat{E} = 1 - |0\rangle\langle 0|$$

Unitary for large  $n \Rightarrow$  approx unitary !!

## Quantum phase (London) (SG operators)

$$\begin{aligned} \exp(i\hat{\phi}) &= (\hat{n}+1)^{-1/2} \hat{a} \\ \exp(-i\hat{\phi}) &= \hat{a}^\dagger (\hat{n}+1)^{-1/2} \end{aligned}$$

Use instead of  
 $\hat{E} + \hat{E}^\dagger$

$$\exp(i\hat{\phi}) \exp(-i\hat{\phi}) = 1$$

but

$$\exp(-i\hat{\phi}) \exp(i\hat{\phi}) \neq 1$$

For number states

$$\exp(-i\hat{\phi}) \exp(i\hat{\phi}) = 1 - |0\rangle\langle 0|$$

Not unitary

$\exp(i\hat{\phi})$  &  $\exp(-i\hat{\phi})$  are not Hermitian

Write Hermitian operators  $\hat{C}$  &  $\hat{S}$

$$\hat{C} = \cos \hat{\phi} = \frac{1}{2} (\exp(i\hat{\phi}) + \exp(-i\hat{\phi}))$$

$$\hat{S} = \sin \hat{\phi} = \frac{1}{2i} (\exp(i\hat{\phi}) - \exp(-i\hat{\phi}))$$

$$[\cos \hat{\phi}, \sin \hat{\phi}] = (\hat{a}^\dagger (\hat{n}+1)^{-1} \hat{a} - 1) / 2i$$

For  $|n\rangle \Rightarrow [\cos \hat{\phi}, \sin \hat{\phi}] = \frac{1}{2} i |0\rangle\langle 0|$

commutes for all states except vacuum

$$\cos^2 \hat{\phi} + \sin^2 \hat{\phi} = 1 - \frac{1}{2} |0\rangle\langle 0|$$

# Relationship between phase & number of photons

$$[\hat{n}, \exp(i\hat{\phi})] = -\exp(i\hat{\phi})$$

$$[\hat{n}, \exp(-i\hat{\phi})] = \exp(-i\hat{\phi})$$

$$[\hat{n}, \cos\hat{\phi}] = -i \sin\hat{\phi}$$

$$[\hat{n}, \sin\hat{\phi}] = i \cos\hat{\phi}$$

So

$$\Delta n \Delta(\sin\hat{\phi}) \geq \frac{1}{2} |\langle \cos\hat{\phi} \rangle|$$

$$\Delta n \Delta(\cos\hat{\phi}) \geq \frac{1}{2} |\langle \sin\hat{\phi} \rangle|$$

}  $\Delta$  - rms deviation

For number states  $\Delta n = 0$  (Get  $0=0$ )

$$\langle n | \sin\hat{\phi} | n \rangle = \langle n | \cos\hat{\phi} | n \rangle = 0$$

$$\langle n | \sin^2\hat{\phi} | n \rangle = \langle n | \cos^2\hat{\phi} | n \rangle = \begin{cases} \frac{1}{2} & n \geq 1 \\ \frac{1}{4} & n = 0 \end{cases}$$

/

So for  $n \geq 1$   $\Delta(\sin\hat{\phi}) = \frac{1}{\sqrt{2}} = \Delta(\cos\hat{\phi})$

corresponds means that to a phase angle equally likely to have any value from 0 to  $2\pi$ .

11/11/10

Review of number states  $|n\rangle$ 

$$\hat{n}|n\rangle = n|n\rangle$$

Problem number Amplitude / # of photons

$$\langle n | \hat{E}_x | n \rangle = 0$$

$$\langle n | \hat{E}_x^2 | n \rangle = 2\epsilon_0 \sin^2 k_z (n + 1/2)$$

Number of photons is well defined

$$\text{So } \langle \Delta E^2 \rangle = \langle E_x^2 \rangle - \langle E_x \rangle^2 = 2\epsilon_0 \sin^2 k_z (n + 1/2)$$

$$\Delta E = \sqrt{2} \epsilon_0 \sin k_z \sqrt{n + 1/2} \equiv \Delta E_n = \sqrt{\frac{2\hbar\omega}{\epsilon_0 V}} \sqrt{n + 1/2}$$

Phase

$$\Delta n \Delta(\sin \hat{\phi}) \geq \frac{1}{2} |\langle \cos \hat{\phi} \rangle| \quad (\text{in general})$$

$$\text{But for \# states } \Delta n = 0 \text{ and } \langle \cos \hat{\phi} \rangle = 0$$

Phase

$$\langle n | \sin \hat{\phi} | n \rangle = \langle n | \cos \hat{\phi} | n \rangle = 0$$

$$\langle n | \sin^2 \hat{\phi} | n \rangle = \langle n | \cos^2 \hat{\phi} | n \rangle = \begin{cases} 1/2 & n \geq 1 \\ 1/4 & n = 0 \end{cases}$$

$$[\cos \hat{\phi}, \sin \hat{\phi}] = \frac{1}{2} i |0\rangle\langle 0|$$

$$\text{So for } n \geq 1 \quad \Delta(\sin \hat{\phi}) = 1/\sqrt{2}$$

number state is equally likely to have any phase from 0 to  $2\pi$ .  
 phase is not well defined for  $|n\rangle$

Commutation between  $n$  and  $\phi$ 

$$\Delta n \Delta(\sin \hat{\phi}) \geq \frac{1}{2} |\langle \cos \hat{\phi} \rangle| \quad \text{In general}$$

for  $|n\rangle$ 

$$\uparrow \\ 0$$

$$\uparrow \\ 0$$

$$\text{but } \Delta(\sin \hat{\phi}) = 1/\sqrt{2} \quad (\text{Not } \infty)$$

3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

COMET

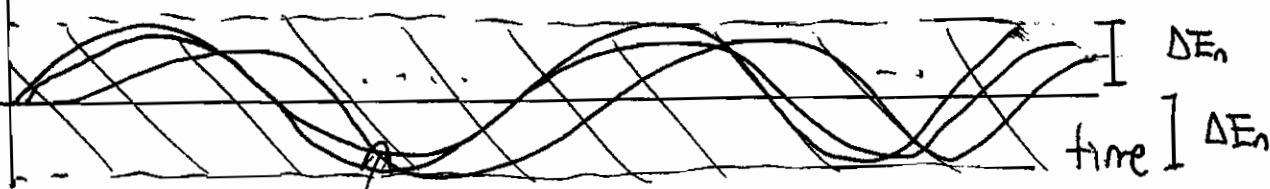
Can we draw a picture of the electric field of a number state? ~~no~~

Classical wave

Well defined amplitude + phase



Number state  $|n\rangle$



made up of sine waves of same amplitude but phase from 0 to  $2\pi$

At any point

but

$$\langle n | \hat{E}_x | n \rangle = 0$$

$$\langle n | \hat{A}_x | n \rangle \neq 0$$

$$\Delta E = \Delta E_n$$

$$[\cos \hat{\phi}, \sin \hat{\phi}] = \frac{1}{2}i |0\rangle\langle 0|$$

# Eigenstates of $\hat{\phi}$

$$e^{i\hat{\phi}} |\phi\rangle = e^{i\phi} |\phi\rangle$$

where

$$|\phi\rangle = \sum_{n=0}^{\infty} e^{in\phi} |n\rangle$$

(London  $|\phi\rangle = \lim_{s \rightarrow \infty} \frac{1}{\sqrt{1+s}} \sum_{n=0}^s \exp(in\phi) |n\rangle$ )

$|\phi\rangle$  not normalizable or orthogonal

$$\langle \phi | \phi' \rangle \neq \delta(\phi - \phi')$$

(London  $\phi^{\frac{1}{2}}$  is normal + orthogonal)

$$\langle \phi | \cos \hat{\phi} | \phi \rangle = \cos \phi \quad \text{in } \lim_{s \rightarrow \infty}$$

$$\langle \phi | \sin \hat{\phi} | \phi \rangle = \sin \phi \quad \text{in } \lim_{s \rightarrow \infty}$$

$\cos \hat{\phi}$  is not a strict eigenstate of  $|\phi\rangle$

$|\phi\rangle$  is not a strict eigenstate of  $e^{i\hat{\phi}}$

because of the commutation result  $[\cos \hat{\phi}, \sin \hat{\phi}]$

Problem

$$\cos \hat{\phi} \neq \sin \hat{\phi}$$

do not commute

only one element of commutation matrix does not vanish

## Physical properties of single mode number states $|n\rangle$

$n$  photons excited in cavity  $\Delta n = 0$

$$\langle n | \cos \phi | n \rangle = \langle n | \sin \phi | n \rangle = 0$$

$$\langle n | \cos^2 \phi | n \rangle = \langle n | \sin^2 \phi | n \rangle = \begin{cases} 1/2 & n \neq 0 \\ 1/4 & n = 0 \end{cases}$$

$$n \geq 1 \Rightarrow \Delta(\cos \phi) = \Delta(\sin \phi) = 1/\sqrt{2}$$

(phase is equally likely from 0 to  $2\pi$ )

consistent with uncertainty relationships

Show that  $E/m$  with  $|n\rangle$  has definite amplitude  
but  $\phi$  is random from 0 to  $2\pi$

$$(\Delta n) \Delta(\cos \phi) \geq \frac{1}{2} |\langle \sin \phi \rangle|$$

Consider  $\hat{E}_x$  (electric field)

$$\langle n | \hat{E}_x | n \rangle = 0$$

$$\langle n | \hat{E}_x^2 | n \rangle = (\hbar \omega / \epsilon_0 V) (n + 1/2)$$

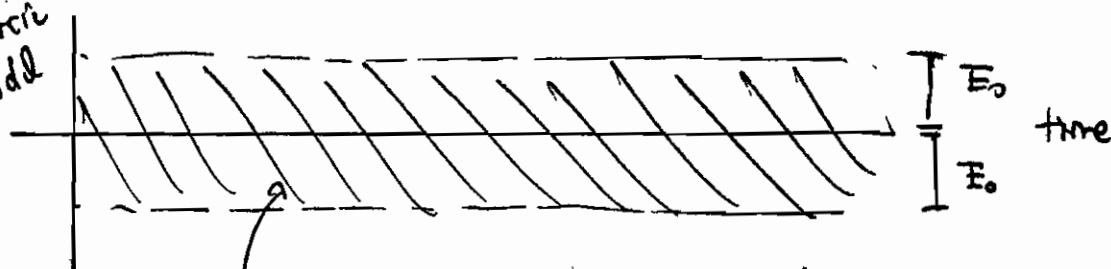
$$\Delta E = \sqrt{\hbar \omega / \epsilon_0 V} \sqrt{n + 1/2}$$

$$\text{well defined amplitude } E_0 = \sqrt{\frac{2\hbar \omega}{\epsilon_0 V}} \sqrt{n + 1/2}$$

but not a well defined phase



Electric field



Sum of multiple sine waves of  
freq  $\omega$  with phases 0 to  $2\pi$

$$\Delta E_x = E_0$$

Physical properties of single mode phase states  $|\phi\rangle$

$$\Delta(\cos \phi) = \Delta(\sin \phi) = 0 \quad \lim_{S \rightarrow \infty}$$

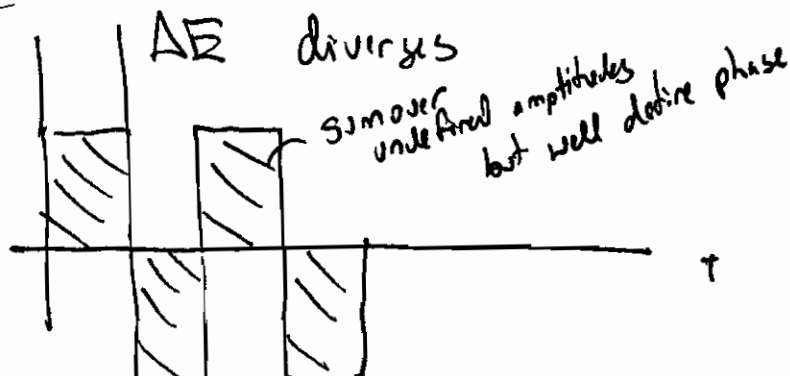
$$\langle \phi | \hat{n} | \phi \rangle = \lim_{S \rightarrow \infty} \frac{1}{2} S \approx \infty$$

$$\langle \phi | \hat{n}^2 | \phi \rangle = \lim_{S \rightarrow \infty} \frac{1}{6} S (2S+1) = \infty$$

$$\langle \phi | \hat{E}_x | \phi \rangle = -2 \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} \sin(\phi) \lim_{S \rightarrow \infty} \frac{1}{S+1} \sum_{n=0}^S \sqrt{n+1}$$

Diverges!

$\Delta E$  diverges



## Eigenstate of $\hat{E}$

$$\hat{E}|\phi\rangle = e^{i\phi}|\phi\rangle$$

$$\text{where } |\phi\rangle = \sum_{n=0}^{\infty} e^{in\phi}|n\rangle$$

## Phase distribution

$$P(\phi) \equiv \frac{1}{2\pi} |\langle\phi|\psi\rangle|^2$$

$$\int_0^{2\pi} P(\phi) d\phi = 1$$

How to relate this phase to experimental measurements?

$|\phi\rangle$  probability to measure phase

Measuring phase difficult classically + quantum mechanically.

→ Photon number states have uniform phase distribution over range 0 to  $2\pi$ . No well defined phase

## Distribution of Phase for $|n\rangle$

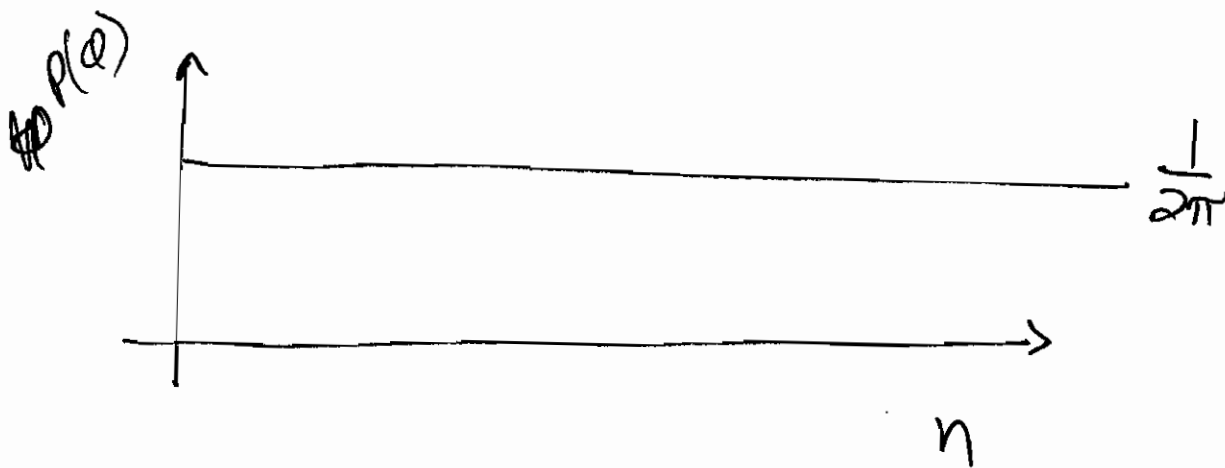
Uniform probability density

$$\Rightarrow P(\phi) = \frac{1}{2\pi} \quad \text{all but } c_n = 1$$

$$\text{with } \Delta\phi = \frac{\pi}{\sqrt{3}} \quad \langle\phi\rangle = \pi \quad \langle\phi^2\rangle = \frac{4\pi^2}{3}$$

Uniform distribution over 0 to  $2\pi$

True for all number states



uniform phase distribution : work it out

$$P(\phi) = \frac{1}{2} |\langle \phi | \psi \rangle|^2$$

$$= \frac{1}{2} \left| \sum_n \langle \phi | c_n | n \rangle \right|^2$$

orig

$$= \frac{1}{2\pi} \left| \sum_n \sum_m \langle m | e^{-im\phi} c_n | n \rangle \right|$$

$$m=n$$

$$= \boxed{\frac{1}{2\pi}}$$

## Vacuum Fluctuations + zero pt. energy

$$\Delta E_x = \epsilon_0 \sin(kz)$$

origin  $\Rightarrow$  non commutability of  $\hat{a}$  +  $\hat{a}^\dagger$

Problem: Universe has infinite # of radiation modes  
each with energy  $\hbar\omega/2$

$$E_{\text{ZPE}} = \frac{\hbar}{2} \sum \omega \rightarrow \infty$$

Energy differences  $\Rightarrow$  Renormalization.

ZPE  $\Rightarrow$  Lamb shift      Spontaneous emission  
Casimir Effect

### Lamb Shift

— Theory predicts  $2^3P_{1/2}$  +  $2^3P_{3/2}$  levels  
should be degenerate.

— Experiment showed  $2^3S_{1/2}$  higher  
than  $2^3P_{1/2}$  by 1000 MHz.

— Bethe explained this split due to the  $e^-$  interacting with ZPE

$$\Delta E/\hbar \sim 1000 \text{ MHz}$$

Electrons interact with fluctuating zero point electric field and ~~prob~~ proton coulomb ~~the~~ potential

Result of Taylor expansion  $V(r+\Delta r) - V(r) = \Delta V$

$$\langle \Delta V \rangle = \frac{1}{6} \langle (\Delta r)^2 \rangle \nabla^2 V$$

$$\Delta E = \frac{1}{6} \langle (\Delta r)^2 \rangle \underbrace{\langle n l m_e | \nabla^2 V | n l m_e \rangle}$$

Only contribute for wavefunction that  $\psi(0) \neq 0$ . Thus only, S states ( $l=0$ ) show a shift

Get  $\langle (\Delta r)^2 \rangle$  by assuming that important field frequencies exceed atomic resonances, lower frequencies be shielded by atomic binding an unable to influence the  $e^-$ . Solve for harmonic driving force

$$\Delta E = \frac{2}{3} \left( \frac{e^2}{\hbar c} \right)^2 \frac{\hbar}{m c} \frac{\hbar c}{\pi^2 n^3 a_0^3} \int_{\nu_0}^{\nu_f} \frac{1}{\nu} d\nu$$

Freq of electron orbit

$$\nu_0 = \frac{e^2}{\hbar a_0^3 n^3}$$

$$\nu_f = m c^2 / \hbar$$

(nonrelativistic)

## Review

Show

$$\langle n | \hat{E}_x | n \rangle = 0 \quad \langle \hat{E}(t) \rangle$$

We want some expectation value that varies sinusoidally with time

$$\langle \ddot{x} | E_x | \ddot{x} \rangle \approx \sin(\omega t)$$

Need some state that looks more like the classical harmonic oscillator

# Coherent States

→ How to get classical limit?

⊗ We should get classical limit as  $n \rightarrow \infty$

But  $\langle n | \hat{x} | n \rangle = 0$  even if  $n \rightarrow \infty$ !!

Fixed point in space in classical field oscillates sinusoidally

But  $\langle n \rangle$  does not!

## Coherent States

"most classical" quantum states of harmonic oscillator.

(Anibal Fern: All states of light are quantum states, but some states are more quantum than others....)

→ Want non zero expectation value of  $\hat{x}$ .

Need superposition of  $|n\rangle$  differing by  $\pm 1$

Seek eigenstates of  $\hat{a}$

$$\hat{a} |\alpha\rangle = \alpha |\alpha\rangle$$

"Right" eigenstate

$$\langle \alpha | \hat{a}^\dagger = \alpha^* \langle \alpha |$$

$|n\rangle \Rightarrow$  complete set so

$$|\alpha\rangle = \sum_{n=0}^{\infty} C_n |n\rangle$$



Operate  $b, \hat{a}$

$$\hat{a}|\alpha\rangle = \sum_{n=1}^{\infty} c_n \sqrt{n} |n-1\rangle = \alpha \sum_{n=0}^{\infty} c_n |n\rangle$$

So  $c_n \sqrt{n} = \alpha c_{n-1}$  Same  $n$

$$c_n = \frac{\alpha}{\sqrt{n}} c_{n-1} = \frac{\alpha^2}{\sqrt{n(n-1)}} c_{n-2} = \dots = \frac{\alpha^n}{\sqrt{n!}} c_0$$

So

$$|\alpha\rangle = c_0 \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle$$

ind  $c_0$  by normalization

$$\langle \alpha | \alpha \rangle = 1 = |c_0|^2 e^{|\alpha|^2}$$

so  $c_0 = e^{-|\alpha|^2/2}$

Thus

$$|\alpha\rangle = \exp(-\frac{1}{2} |\alpha|^2) \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle$$

Write out electric field and expectation value

$$\langle \alpha | \hat{E}_x | \alpha \rangle = 2|\alpha| \sqrt{\frac{\hbar \omega}{2\epsilon_0 V}} \sin(\omega t - \vec{k} \cdot \vec{r} - \theta)$$

$$\text{ins } \vec{E} = i \sqrt{\frac{\hbar}{2\epsilon_0 V}} \left( \hat{a} e^{i\vec{k} \cdot \vec{r} - \omega t} + \hat{a}^\dagger e^{-i\vec{k} \cdot \vec{r} - \omega t} \right)$$

$$\alpha = |\alpha| e^{i\theta} \left\{ \begin{array}{l} \langle \alpha | \hat{E}_x | \alpha \rangle = 2|\alpha| \left( \frac{\hbar \omega}{2\epsilon_0 V} \right)^{1/2} \sin(\omega t - \vec{k} \cdot \vec{r} - \theta) \end{array} \right.$$

And

$$\Delta E_x = \langle (\Delta \hat{E}_x)^2 \rangle^{1/2} = \sqrt{\frac{\hbar \omega}{2\epsilon_0 V}}$$

True for all ~~the~~  $n$ .

For quadratic operators

$$\langle (\Delta \hat{X}_1)^2 \rangle_\alpha = \frac{1}{4} = \langle (\Delta \hat{X}_2)^2 \rangle_\alpha$$

minimum  
uncertainty relation  
Fluctuation of vacuum

physical meaning of  $\alpha$ ?

$|\alpha| \equiv$  related to field amplitude

$$\bar{n} \equiv \langle \alpha | \hat{n} | \alpha \rangle = |\alpha|^2 \quad \text{Average photon \#}$$

$$\boxed{\bar{n} = |\alpha|^2}$$

$$\langle \alpha | \hat{n}^2 | \alpha \rangle = \bar{n}^2 + \bar{n}$$

$$\text{so } \Delta n = \sqrt{\langle \hat{n}^2 \rangle - \langle \hat{n} \rangle^2} = \sqrt{\bar{n}}$$

Poissonian  
(Not like thermal system)

## Relationship between photons + Poisson distribution

- Bernolli variable : Photon? YES OR NO
  - $P_n$  is small
  - $n$  is large
- } Detection of particles (shot noise)

## Horse kick deaths : Poissonian distribution

- Bernolli variable : Death? YES/NO
- $P_n$  is small  $\left( \lambda = 0.61 = \frac{122}{200} \text{ fatality rate} \right)$   
deaths/corp-yr.
- $n$  is large (200 here)

$$P(X=x) = \frac{\lambda^x e^{-\lambda}}{x!}$$

$\lambda$  is a rate  
 $\lambda T = \# \text{ of events}$

## 1898 Bertkiewicz Horse kick deaths of Poisson Clearly

| 10 corps<br>20 yrs. | # Deaths<br>$x$ | Observed corp-yrs<br>for $x$ deaths | Poisson with $\lambda = 0.61$ |
|---------------------|-----------------|-------------------------------------|-------------------------------|
|                     | 0               | 109                                 | 108.7                         |
|                     | 1               | 65                                  | 66.3                          |
|                     | 2               | 22                                  | 20.2                          |
|                     | 3               | 3                                   | 4.1                           |
|                     | 4               | 1                                   | 0.6                           |

$\lambda = \frac{122}{200} = 0.61 \text{ deaths per corp-yr.}$

$122 = 0(109) + 1(65) + 2(22) + 3(3) + 4(1)$

For case where  $\Delta n = \sqrt{\bar{n}}$

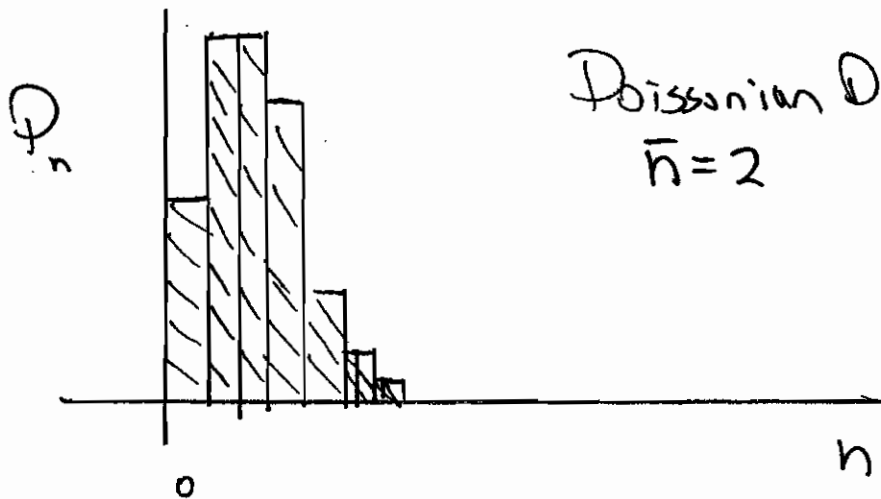
Variance = sq rt. of average

Poissonian distribution with mean  $\bar{n}$

$$\frac{\Delta n}{\bar{n}} = \frac{1}{\sqrt{\bar{n}}}$$

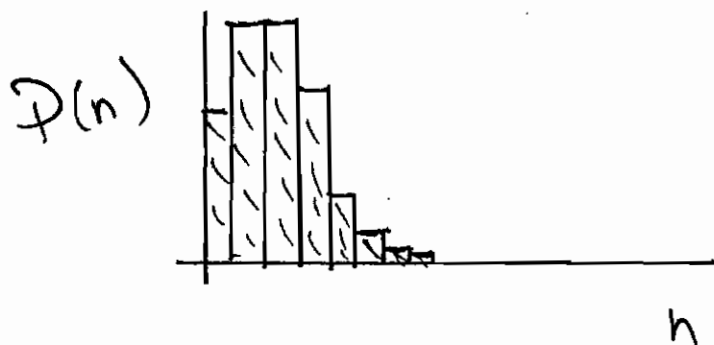
For  $n$  photons

$$\begin{aligned} P_n &= |\langle n | \alpha \rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} \\ &= e^{-\bar{n}} \frac{\bar{n}^n}{n!} \end{aligned}$$



Poissonian Distribution  
 $\bar{n} = 2$

## Poisson Distribution



expectation value

$$\bar{E}_x = i \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} \left( \hat{a} e^{i(\vec{k} \cdot \vec{r} - \omega t)} + \hat{a}^\dagger e^{-i(\vec{k} \cdot \vec{r} - \omega t)} \right)$$

$\Downarrow$

$$\langle \alpha | \bar{E}_x | \alpha \rangle = \langle \alpha |$$

$$| \alpha \rangle$$

$$\langle \alpha | \hat{a} | \alpha \rangle = \langle \alpha | \alpha \rangle \alpha$$

$$\langle \alpha | \hat{a}^\dagger | \alpha \rangle = \alpha^* \langle \alpha | \alpha \rangle$$

$$\left\{ \begin{array}{l} \text{Since} \\ \hat{a} | \alpha \rangle = \alpha | \alpha \rangle \\ \langle \alpha | \hat{a}^\dagger = \alpha^* \langle \alpha | \end{array} \right\}$$

$$\therefore \langle \alpha | \bar{E}_x | \alpha \rangle = i \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} \left( \alpha e^{(+)} - \alpha^* e^{(-)} \right)$$

write  $\alpha = |\alpha| e^{i\theta}$

$$\langle \alpha | \bar{E}_x | \alpha \rangle = i \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} |\alpha| \left( e^{i\theta} e^{(+)} - e^{-i\theta} e^{(-)} \right)$$

$$\langle \alpha | \bar{E}_x | \alpha \rangle = 2 |\alpha| \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} \sin(\omega t - \vec{k} \cdot \vec{r} - \theta)$$

$$\alpha |\hat{E}_x^2| \alpha \rangle = \frac{\hbar \omega}{2\epsilon_0 V} (1 + 4|\alpha|^2 \sin^2(\dots))$$

$$(\Delta E_x)_\alpha = \sqrt{\langle (\Delta \hat{E}_x)^2 \rangle} = \sqrt{\langle \hat{E}_x^2 \rangle - \langle E_x \rangle^2} = \sqrt{\frac{\hbar \omega}{2\epsilon_0 V}}$$

independent of n !!

$$(\Delta E_x)_\alpha = \sqrt{\frac{\hbar \omega}{2\epsilon_0 V}}$$

therefore

$$(\Delta E_x)_n = \sqrt{2\epsilon_0} \sin(kz) \sqrt{n + \frac{1}{2}}$$

- quadrature operators

$$\langle (\Delta \hat{X}_1)^2 \rangle_\alpha = \frac{1}{4} = \langle (\Delta \hat{X}_2)^2 \rangle_\alpha$$

coherent states have the fluctuations of the vacuum!!

## Phase distribution of coherent states

$$P(\phi) = \frac{1}{2\pi} |\langle \phi | \alpha \rangle|^2$$
$$= \frac{1}{2\pi} e^{-|\alpha|^2} \left| \sum_{n=0}^{\infty} e^{in(\phi-\theta)} \frac{|\alpha|^n}{\sqrt{n!}} \right|^2$$

For large  $n$  Poissonian  $\rightarrow$  Gaussian

$$P(\phi) = \sqrt{\frac{2|\alpha|^2}{\pi}} \exp(-2|\alpha|^2(\phi-\theta)^2)$$

peaked at  $\phi = \theta$

"Near"

Coherent states  $\Leftrightarrow$  Classical States

- 1) Expectation value of  $\vec{E}$  has form of classical expression
- 2) Fluctuations of  $\vec{E}$  are same as vacuum.
- 3) Fluctuations in fractional uncertainty for photon number decrease with increasing average photon #

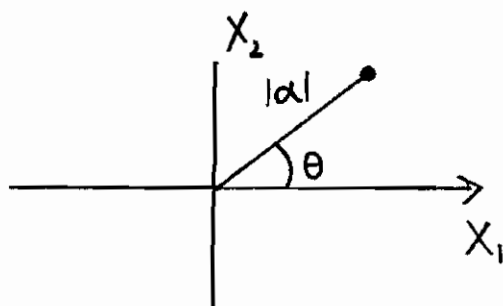
$$\frac{\Delta n}{\bar{n}} = \frac{1}{\sqrt{\bar{n}}} \quad \left( \frac{\Delta n}{\bar{n}} = \frac{\sqrt{\bar{n} + \bar{n}^2}}{\bar{n}} \text{ for Thermal} \right)$$
$$\bar{n} \rightarrow \infty \quad \left( \bar{n} \rightarrow \infty \right)$$
$$\frac{\Delta n}{\bar{n}} \rightarrow 0 \quad \left( \frac{\Delta n}{\bar{n}} \rightarrow 1 \text{ for Thermal} \right)$$

- 4) States become well localized in phase space with increasing average photon number ( $\bar{n}$ ).

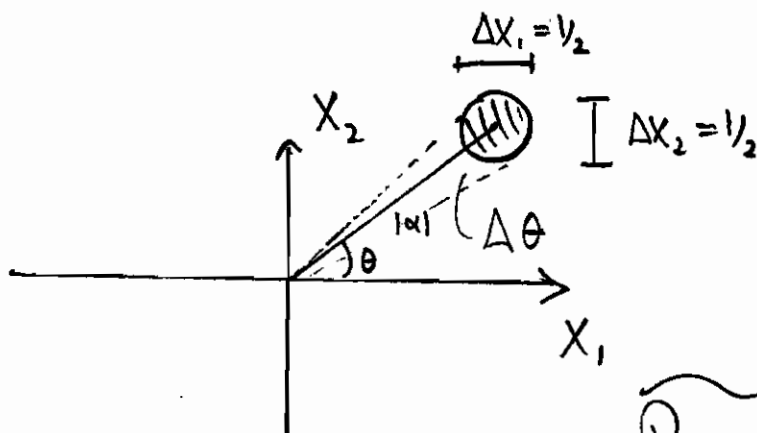
See Fig 3.3  $\Rightarrow$  Fuzzy Sphere

# Phase Space Pictures of Coherent States

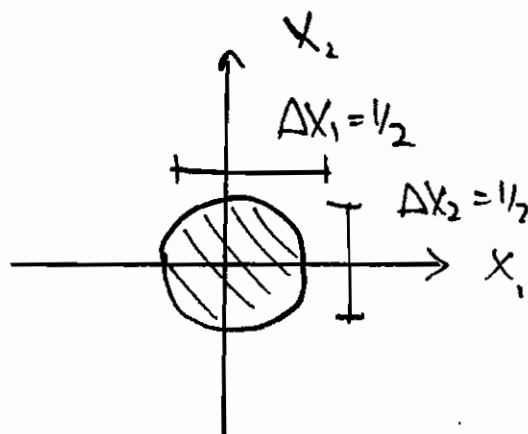
Classical  
Field



Quantum  
Field



Vacuum

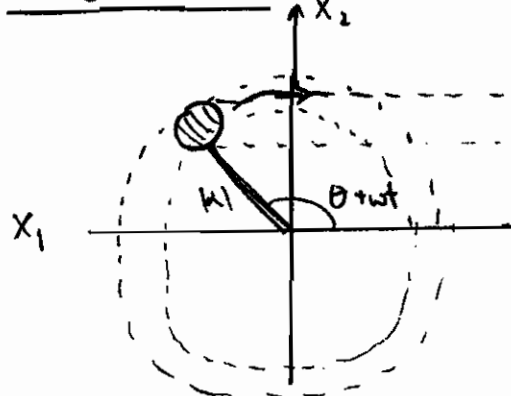


Remember

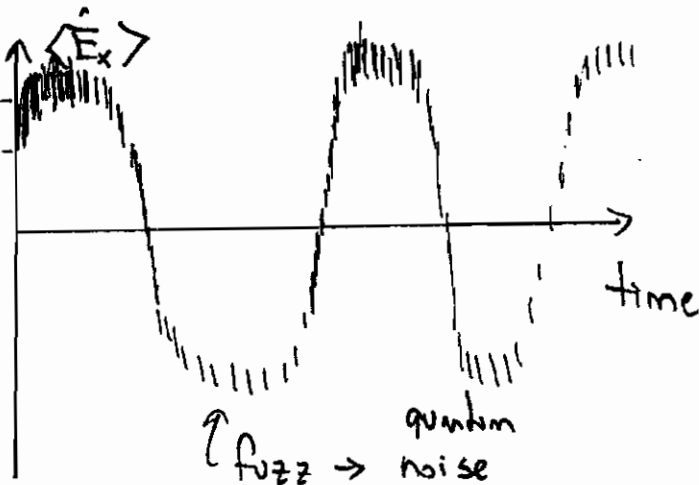
$$\langle \alpha | E_x | \alpha \rangle$$

$$\equiv \sin(\omega t - \vec{k} \cdot \vec{r} - \theta)$$

Time evolution



Projection  
⇒





## More properties on coherent states

Time evolution  $\Rightarrow$  coherent state remains a coherent state

$$\begin{aligned} |\alpha, t\rangle &= \exp(-i \hat{H} t / \hbar) |\alpha\rangle \\ &= e^{-i\omega t/2} e^{-i\frac{\hbar\omega}{\hbar} \hat{n}} |\alpha\rangle \end{aligned} \quad \left\{ \begin{array}{l} \hat{H} = (\hat{a}^\dagger \hat{a} + 1/2) \hbar\omega \\ \hat{H} = (\hat{n} + 1/2) \hbar\omega \end{array} \right.$$

$$= e^{-i\omega t/2} e^{-i\omega t \hat{n}} |\alpha\rangle$$

$$= e^{-i\omega t/2} e^{-i\omega t |\alpha|^2} |\alpha\rangle \Rightarrow \text{another coherent state}$$

Coherent States are not orthogonal

Number states are orthogonal and complete

$$|\langle \beta | \alpha \rangle|^2 = \exp(-|\beta - \alpha|^2) \neq 0$$

$$\langle \alpha | \beta \rangle = \exp(-\frac{1}{2}|\alpha|^2 - \frac{1}{2}|\beta|^2 + \alpha^* \beta)$$

nearly orthogonal for large  $|\beta - \alpha|^2$

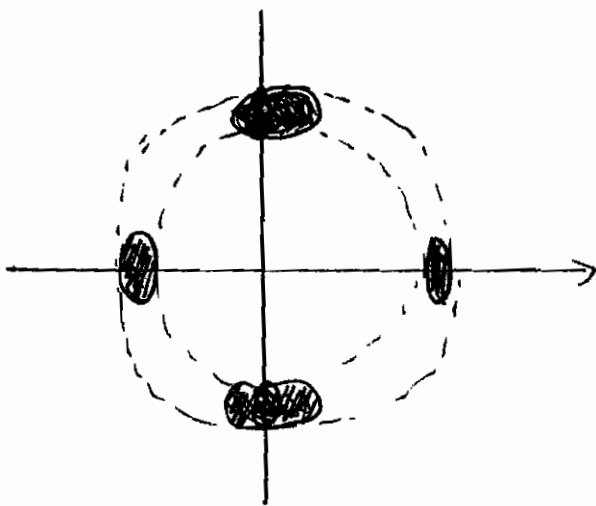
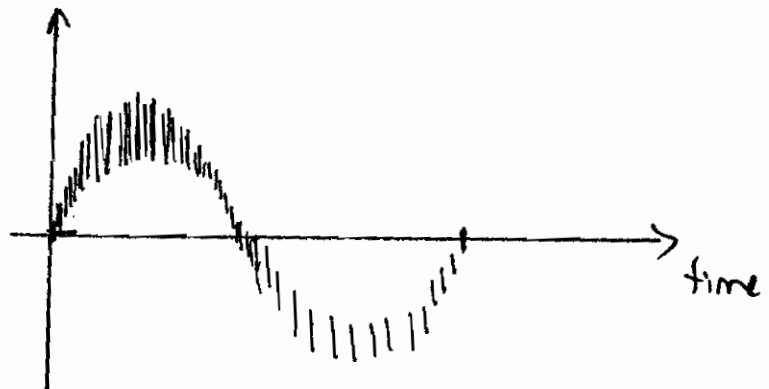
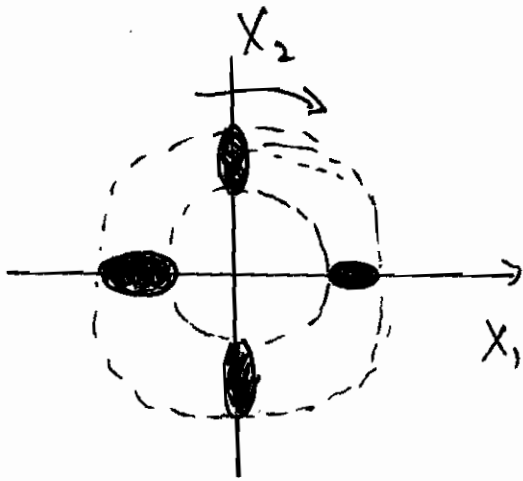
Completeness

$$\int |\alpha\rangle \langle \alpha| \frac{d^2 \alpha}{\pi} = 1$$

Coherent States not linearly independent

Overcomplete more than enough states

# squeezed States



$$\langle \alpha e^{-i\omega t} | \hat{E}_x | \alpha e^{i\omega t} \rangle = 2\epsilon_0 \sin(kz) \cos \omega t$$

Time evolution + fluctuations  $\Rightarrow$  projection on  $\langle X_1 \rangle$  axis

## 'coherent States as Quantum Classical' States

- 1) Expectation value has form of classical
- 2) Fluctuations of  $\hat{E}$  are same as vacuum
- 3) Fluctuations of fractional uncertainty for  $n$  decrease with increasing  $\bar{n}$   $\frac{\Delta n}{\bar{n}} = \frac{1}{\sqrt{\bar{n}}}$   $\Delta n = \sqrt{\bar{n} - \bar{n}^2}$
- 4) States become well localized in phase with  $n \rightarrow \infty$ .

# Lecture 35 More on coherent states

$$|\alpha\rangle = \exp(-\frac{1}{2}|\alpha|^2) \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle \quad \text{Coherent States}$$

$$|\phi\rangle = \sum_{n=0}^{\infty} e^{in\phi} |n\rangle$$

~~Poisson Distribution~~

$$\frac{e^{-\lambda} \lambda^x}{x!}$$

~~Bortkiewicz  $\Rightarrow$  Horse Kick Deaths of Prussian Cavalry~~

10 Corps  
20 yrs

Phase States

| # Deaths<br>X | Observed Corp-years<br>for X deaths |
|---------------|-------------------------------------|
| 0             | 109                                 |
| 1             | 65                                  |
| 2             | 22                                  |
| 3             | 3                                   |
| 4             | 1                                   |

(For 1 corp  
65 yrs for 1 death)  
200 corp years  
122 deaths

n distribution

$$P(n) = |\langle n | \alpha \rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} \Leftarrow \text{Poisson Distribution}$$

phase distribution

$$P(\phi) = \frac{1}{2\pi} |\langle \phi | \alpha \rangle|^2 = \frac{1}{2\pi} e^{-|\alpha|^2} \left| \sum_{n=0}^{\infty} e^{in(\phi-\theta)} \frac{|\alpha|^n}{\sqrt{n!}} \right|^2$$

$$\text{use } \alpha = |\alpha| e^{i\theta}$$

For large  $|\alpha|^2$  Poisson distribution becomes Gaussian

$$P(\phi) = \sqrt{\frac{2|\alpha|^2}{\pi}} \exp(-2|\alpha|^2(\phi-\theta)^2)$$

or n distribution      Poisson

$$\begin{aligned}\langle \alpha | \hat{n} | \alpha \rangle &= \langle \alpha | a^\dagger a | \alpha \rangle \\ &= (\langle \alpha | a^\dagger) (a | \alpha) \\ &= \alpha^* \alpha = |\alpha|^2 \equiv \bar{n}\end{aligned}$$

$$\langle \alpha | \hat{n}^2 | \alpha \rangle = |\alpha|^4 + |\alpha|^2 = \bar{n}^2 + \bar{n}$$

So

$$\begin{aligned}\Delta n &= \sqrt{\langle \hat{n}^2 \rangle - \langle \hat{n} \rangle^2} = \sqrt{(\bar{n}^2 + \bar{n}) - \bar{n}^2} \\ &= \sqrt{\bar{n}^2 + 2\bar{n}\bar{n} + \bar{n}^2 - \bar{n}^2} \\ &= \sqrt{\bar{n}}\end{aligned}$$

Average value       $\bar{n}$   
Standard deviation       $\sqrt{\bar{n}}$

Binomial distribution as  $n \rightarrow \infty$

## Details

$$P(n) = |\langle n | \alpha \rangle|^2 = \left| \sum_{m=0}^{\infty} e^{-|\alpha|^2/2} \frac{\alpha^m}{\sqrt{m!}} \langle m | n \rangle \right|^2$$

$$= \frac{\alpha^n (\alpha^n)^*}{n!} e^{-|\alpha|^2}$$

$$= \frac{|\alpha|^{2n}}{n!} e^{-|\alpha|^2}$$

$$P(\phi) = \frac{1}{2\pi} |\langle \phi | \alpha \rangle|^2 = \frac{1}{2\pi} \left| \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} e^{-im\phi} \langle m | n \rangle \frac{\alpha^n}{\sqrt{n!}} e^{-|\alpha|^2/2} \right|^2$$

$$= \frac{1}{2\pi} \left| \sum_{n=0}^{\infty} e^{+in(\phi+\theta)} \frac{|\alpha|^n}{n!} \right|^2 e^{-|\alpha|^2/2^2}$$

Lecture

11/16/10

Displacement operator

Beam splitters & Interferometers (Chp. 6)

Read Einstein Podolsky Rosen (EPR) Phys Rev 1935  
47

Lecture schedule

Nov. 16  
Nov. 17  
Nov. 18

Beam splitters  
Fibers Beam splitters  
Bell's Theorem + quantum entanglement

~~Nov 20~~  
~~Nov 22~~

~~Dec 1~~

Nov 30

Optical tests of EPR

Dec 2

~~Squeezed States~~ Optical tests of EPR

Dec 8

(cancel?) Quantum coherence Functions

Dec 9

Dec 14

] Final projects

Review

Coherent states  $\langle \alpha |$   
most classical of quantum states

$$\langle \alpha | \hat{E}_1 | \alpha \rangle \approx 2 \sqrt{\frac{\hbar \omega}{2\epsilon_0 V}} |\alpha| \sin(\omega t - \mathbf{k} \cdot \mathbf{r} - \theta)$$

$$\langle \alpha | n | \alpha \rangle = |\alpha|^2 \left\{ \Delta E = \sqrt{\frac{\hbar \omega}{2\epsilon_0 V}} \right. \quad (\text{same as vacuum})$$

$$P_n = e^{-\bar{n}} \frac{\bar{n}^n}{n!} = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} \quad (\text{Poisson})$$

$$P(\phi) \approx \exp(-2|\alpha|^2(\phi - \theta)^2) \quad (\text{Gaussian})$$

Ask:

Point of last lecture?

$$\frac{\Delta n}{\bar{n}} = \frac{1}{\sqrt{\bar{n}}}$$

Review : Coherent states  $|\alpha\rangle$

most classical of quantum states

Field

$$\langle \alpha | \hat{E}_x | \alpha \rangle = 2 \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} |\alpha| \sin(\omega t - \mathbf{k} \cdot \mathbf{r} - \theta)$$

$$\Delta E_x = \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}}$$

So 
$$\frac{\Delta E_x}{\langle E_x \rangle} = \frac{\sqrt{\hbar \omega / 2 \epsilon_0 V}}{2 \sqrt{\hbar \omega / 2 \epsilon_0 V} |\alpha| \sin(\omega t - \mathbf{k} \cdot \mathbf{r} - \theta)} = \boxed{\frac{1}{2 |\alpha| \sin(\omega t - \mathbf{k} \cdot \mathbf{r} - \theta)}}$$

Prob for n

$$P_n = e^{-|\alpha|^2} \frac{(|\alpha|^2)^n}{n!}$$

$$\langle \alpha | \hat{n} | \alpha \rangle = |\alpha|^2$$

$$\Rightarrow \bar{n} = |\alpha|^2$$

$$P_n = e^{-\bar{n}} \frac{\bar{n}^n}{n!}$$

(Poissonian)

$$\Delta n = \sqrt{\bar{n}}$$

So 
$$\boxed{\frac{\Delta n}{\bar{n}} = \frac{1}{\sqrt{\bar{n}}}}$$

Prob for  $\phi$

$$P(\phi) \simeq \exp(-2|\alpha|^2(\phi - \theta)^2) \quad (\text{Gaussian})$$

$$\text{width } \frac{1}{|\alpha|^2} = \frac{1}{\bar{n}}$$



Classical limit  $\bar{n} \rightarrow \infty$  for  $|\alpha\rangle$

$$\frac{\Delta n}{\bar{n}} = \frac{1}{\sqrt{\bar{n}}} \rightarrow 0$$

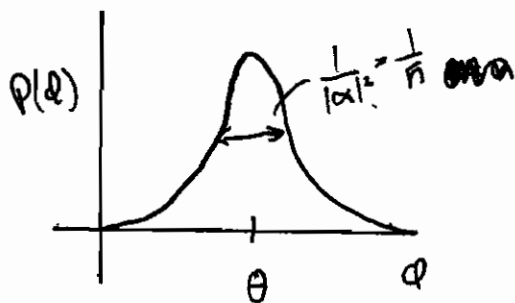
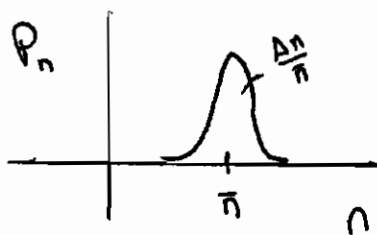
Remember  $|\alpha|^2 = \bar{n}$

$$P_n = e^{-\bar{n}} \frac{\bar{n}^n}{n!}$$

get narrower as  $\bar{n} \rightarrow \infty$

$$P(\phi) \approx \exp(-2|\alpha|^2(\phi - \theta)^2) \text{ gets narrower as } \bar{n} \rightarrow \infty$$

The state  $|\alpha\rangle$  looks more classical with a well defined amplitude + phase



The variance of the ~~of~~ electric field remains constant

$$\Delta E_x = \sqrt{\frac{\hbar \omega}{2\epsilon_0 V}}$$

$$\text{But } \frac{\Delta E_x}{\langle E_x \rangle} = \frac{\sqrt{\frac{\hbar \omega}{2\epsilon_0 V}}}{2\sqrt{\bar{n}} \sin(\ ) \sqrt{\frac{\hbar \omega}{2\epsilon_0 V}}}$$

$$\approx \frac{1}{\sqrt{\bar{n}}}$$

goes to zero as  $\bar{n} \rightarrow \infty$

Becomes more 'classical'  
with  $\Delta \bar{n} \rightarrow 0$

# Displaced vacuum states

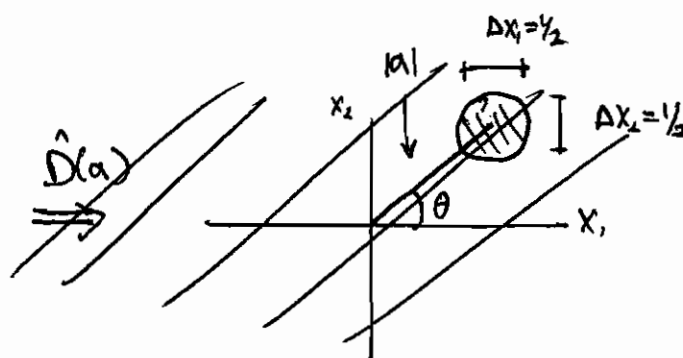
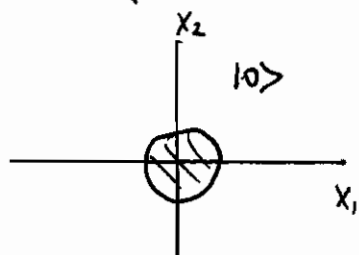
Displacement operator  $\hat{D}(\alpha)$

$$\hat{D}(\alpha) = \exp(\alpha \hat{a}^\dagger - \alpha^* \hat{a})$$

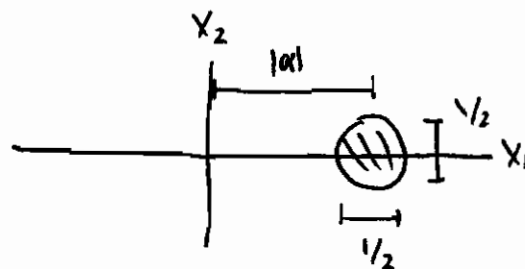
Get coherent state  $|\alpha\rangle = \hat{D}(\alpha) |0\rangle$

$$|\alpha\rangle = e^{-\frac{1}{2}|\alpha|^2} \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle$$

In phase space



$\hat{D}(\alpha)$   
 $\Rightarrow$



if  $\alpha$  is real

# Quantum mechanics of Beam splitters

From Energy cons.

$$|r| = |r'| \quad |t| = |t'|$$

$$|r|^2 + |t|^2 = |r'|^2 + |t'|^2 = 1$$

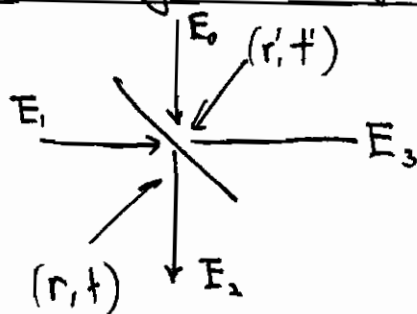
$$r^* t + r' t'^* = 0$$

$$r^* t' + r' t^* = 0$$

$$r = |r| e^{i\theta_r} \quad t = |t| e^{i\theta_t}$$

$$\theta_t - \theta_r + \theta_{t'} - \theta_{r'} = \pm \pi$$

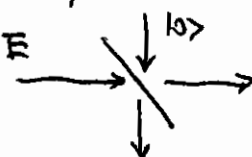
Classically  
(Miniproject 1)



Scattering matrix

$$\begin{pmatrix} E_2 \\ E_3 \end{pmatrix} = \begin{pmatrix} t' & r \\ r' & t \end{pmatrix} \begin{pmatrix} E_0 \\ E_1 \end{pmatrix}$$

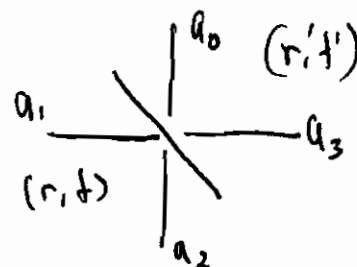
Need to write scattering matrix quantum mechanically. Keep all 4 ports even if one is vacuum state



Write scattering matrix in terms of  $\hat{a}$

$$\hat{a}_2 = r \hat{a}_1 + t' \hat{a}_0$$

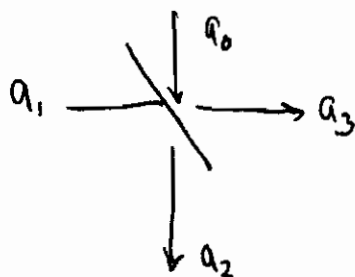
$$\hat{a}_3 = t \hat{a}_1 + r' \hat{a}_0$$



Have same relationships with  $r', t', r + t$  above.

$$\begin{pmatrix} \hat{a}_2 \\ \hat{a}_3 \end{pmatrix} = \begin{pmatrix} t' & r \\ r' & t \end{pmatrix} \begin{pmatrix} \hat{a}_0 \\ \hat{a}_1 \end{pmatrix}$$

Example 50/50 Beamsplitter



$$\theta_r - \theta_t = \pi/2$$

~~$$t = \frac{1}{\sqrt{2}}, r = \frac{1}{\sqrt{2}}$$~~

$$t = t' \quad r = r'$$

$$\left( \text{Let } t = \frac{1}{\sqrt{2}} \quad r = \frac{1}{\sqrt{2}} e^{i\pi/2} \right)$$

$$\hat{a}_2 = \frac{1}{\sqrt{2}} \hat{a}_0 + \frac{1}{\sqrt{2}} e^{i\pi/2} \hat{a}_1 = t \hat{a}_0 + r \hat{a}_1$$

$$\boxed{\hat{a}_2 = \frac{1}{\sqrt{2}} (\hat{a}_0 + i \hat{a}_1)}$$

$$\hat{a}_3 = r \hat{a}_0 + t \hat{a}_1 = \frac{1}{\sqrt{2}} e^{i\pi/2} \hat{a}_0 + \frac{1}{\sqrt{2}} \hat{a}_1$$

$$\boxed{\hat{a}_3 = \frac{1}{\sqrt{2}} (\hat{a}_0 + i \hat{a}_1)}$$

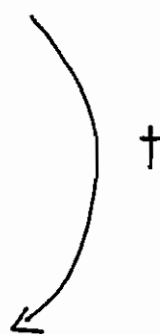
If we solve for  $\hat{a}_0 + \hat{a}_1$

$$\hat{a}_1 = \frac{1}{\sqrt{2}} (-i \hat{a}_2 + \hat{a}_3)$$

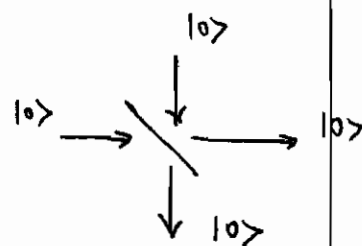
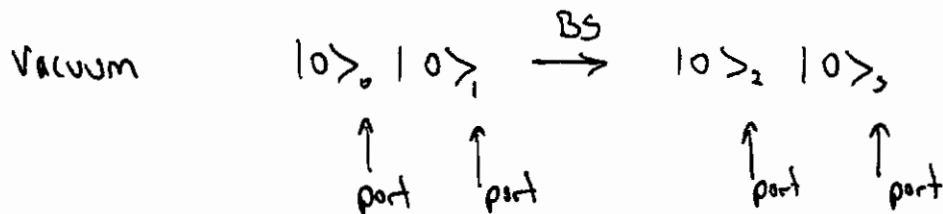
$$\hat{a}_0 = \frac{1}{\sqrt{2}} (\hat{a}_2 + i \hat{a}_3)$$

also

$$\hat{a}_1^\dagger = \frac{1}{\sqrt{2}} (i \hat{a}_2^\dagger + \hat{a}_3^\dagger)$$



For given input, what is the output?



One port number state

$$|0\rangle_0 |1\rangle_1 \xrightarrow{BS} |a_1^+ \rangle_0 |0\rangle_1$$

$$|0\rangle_0 |1\rangle_1 = \hat{a}_1^+ |0\rangle_0 |0\rangle_1$$

use

$$\hat{a}_1^+ = \frac{1}{\sqrt{2}} (i \hat{a}_2^+ + \hat{a}_3^+)$$

so

$$|0\rangle_0 |1\rangle_1 \xrightarrow{BS} \frac{1}{\sqrt{2}} (i \hat{a}_2^+ + \hat{a}_3^+) |0\rangle_2 |0\rangle_3$$

$$|0\rangle_0 |1\rangle_1 \xrightarrow{BS} \frac{1}{\sqrt{2}} (i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3)$$

What does this say? A single photon (number state) with vacuum on the other port will be transmitted or reflected with equal probability  $\Rightarrow$  Aspect Experiments!

This is an entangled state : cannot be written as simple product of states of individual modes 2 + 3.

Schrödinger  
Cat

$$|\psi_{cat}\rangle = \frac{1}{\sqrt{2}} (|Dead\rangle_1 |Alive\rangle_2 + |Alive\rangle_1 |Dead\rangle_2)$$

Prob. to find photon at port 2 + 3,

$$| \langle 1 | \langle 1 | \left[ \frac{1}{2} (i | 1 \rangle_2 | 0 \rangle_3 + | 0 \rangle_2 | 1 \rangle_3) \right] |^2$$

$$= 0$$

Prob. to find photon at port 3

$$| \langle 0 | \langle 1 | \left[ \frac{1}{2} (i | 1 \rangle_2 | 0 \rangle_3 + | 0 \rangle_2 | 1 \rangle_3) \right] |^{1/2} = \frac{1}{2}$$

~~Prob. to find photon at Port 2~~

~~$$| \langle 1 | \langle 1 |$$~~

## Probability to find photon in one arm

$$\text{Let } |4\rangle = \frac{1}{\sqrt{2}} (i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3)$$

$$|\langle 0|_2 \langle 1|_3 |4\rangle|^2 = \frac{1}{2} |i \langle 0|_2 |1\rangle_2 \langle 1|_3 |0\rangle_3 + \langle 0|_2 |0\rangle_2 \langle 1|_3 |1\rangle_3|$$

$$= \frac{1}{2}$$

$$|\langle 1|_2 \langle 0|_3 |4\rangle|^2 = \frac{1}{2}$$

photon has equal prob. to be transmitted or reflected

Aspect exp. with  $A=0$

Entangled state  
No classical analogy!

## Density Matrix for BS (mixed states)

Input  
 $|0\rangle$  at 0  
 $|1\rangle$  at 1

$$\hat{\rho}_{in} = |1\rangle_1 \langle 1|_1 |0\rangle_2 \langle 0|_2$$

Density matrix before BS

use this to compute the observables...

~~prob. to find photon at port 1~~ Find  $\hat{\rho}_0 + \hat{\rho}_1$

$$\hat{\rho}_0 = \text{Tr}_1(\hat{\rho}_{in}) = \sum_{n=0}^{\infty} \langle n|_1 \hat{\rho}_{in} |n\rangle_1 =$$

$$\text{Sum over port 1 states} = \langle 1|_1 |1\rangle_1 \langle 1|_1 |0\rangle_2 \langle 0|_2$$

$$\hat{\rho}_0 = |0\rangle_2 \langle 0|_2$$

Similarly

$$\hat{\rho}_1 = \text{Tr}_0(\hat{\rho}_{in}) = |1\rangle_1 \langle 1|_1$$

prob to find vacuum at port 0

$$\langle 0 | \hat{\rho}_0 | 0 \rangle = 1$$

prob. to find photon at port 1

$$\langle 1 | \hat{\rho}_1 | 1 \rangle = 1$$

Compute the observs

Density matrix <sup>after</sup> BS

$$\hat{\rho}_{23} = \frac{1}{2} \left[ |1\rangle_2 |0\rangle_3 \langle 1|_2 \langle 0|_3 + |0\rangle_2 |1\rangle_3 \langle 0|_2 \langle 1|_3 \right. \\ \left. + i \left( |1\rangle_2 |0\rangle_3 \langle 0|_2 \langle 1|_3 - |0\rangle_2 |1\rangle_3 \langle 1|_2 \langle 0|_3 \right) \right]$$

Make measurement on Port 2

$$\hat{\rho}_2 = \text{Tr}_3 (\hat{\rho}_{23}) = \sum_{n=0}^{\infty} \langle n | \hat{\rho}_{23} | n \rangle_3$$

↑ sum over port 3 states

$$\hat{\rho}_2 = \frac{1}{2} \left[ |0\rangle_2 \langle 0| + |1\rangle_2 \langle 1| \right]$$

OR

$$\hat{\rho}_2 = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{pmatrix}$$

No off diagonal terms



Probability to find photon at Port 2

$$\langle \frac{1}{2} | \hat{p}_2 | \frac{1}{2} \rangle = \frac{1}{2}$$

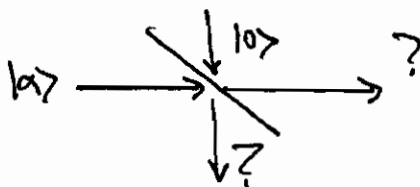
Same for Port 1

Beamsplitting a coherent state (most classical quantum state)

What to expect? Beam splitter 'splits' fields by  $1/\sqrt{2}$   
with  $\pi/2$  phase shift

The classical result.

Initial State



$$|0\rangle_2 |\alpha\rangle_1 = \hat{D}_1(\alpha) |0\rangle_2 |0\rangle_1$$

where  $\boxed{\hat{D}_1(\alpha) = \exp(\alpha \hat{a}_1^\dagger - \alpha^* \hat{a}_1)}$

$$|0\rangle_2 |\alpha\rangle_1 \xrightarrow{BS}$$

$$\exp \left[ \frac{\alpha}{\sqrt{2}} (i \hat{a}_2^\dagger + \hat{a}_3^\dagger) - \frac{\alpha^*}{\sqrt{2}} (i \hat{a}_2 + \hat{a}_3) \right] |0\rangle_2 |0\rangle_3$$

$$= \sum_{n=0}^{\infty} \frac{1}{n!} \left( \frac{i\alpha}{\sqrt{2}} \right)^n \exp(-1/2 |\alpha/\sqrt{2}|^2) |n\rangle_2$$

$$* \sum_{m=0}^{\infty} \left( \frac{\alpha}{\sqrt{2}} \right)^m \frac{1}{m!} \exp(-1/2 |\alpha/\sqrt{2}|^2) |m\rangle_3$$

$$= |i\alpha/\sqrt{2}\rangle_2 | \alpha/\sqrt{2} \rangle_3 \Rightarrow \text{output is not entangled.}$$

Note!  
use derivation below

$$\text{So } |0\rangle_0 |\alpha_1\rangle \xrightarrow{\text{BS}} |e^{i\pi/2} \frac{\alpha}{\sqrt{2}}\rangle_2 | \frac{\alpha}{\sqrt{2}} \rangle_3$$

- Not entangled
- Both are coherent states with amplitude  $\alpha/\sqrt{2}$  + are  $\pi/2$  out of phase

↖  
Classical result

Details from above

$$|0\rangle_0 |\alpha\rangle_1 \xrightarrow{\text{BS}} \exp \left[ \frac{\alpha}{\sqrt{2}} (i \hat{a}_2^\dagger + \hat{a}_3^\dagger) - \frac{\alpha^*}{\sqrt{2}} (i \hat{a}_2 + \hat{a}_3) \right] |0\rangle_2 |0\rangle_3$$

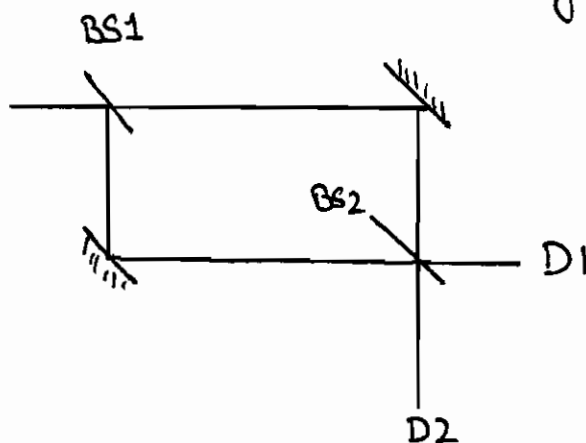
$$\xrightarrow{\text{BS}} \exp \left[ \left( \frac{i\alpha}{\sqrt{2}} \right) \hat{a}_2^\dagger - \left( \frac{-i\alpha^*}{\sqrt{2}} \right) \hat{a}_2 \right] \exp \left[ \frac{\alpha}{\sqrt{2}} \hat{a}_3^\dagger - \left( \frac{\alpha^*}{\sqrt{2}} \right) \hat{a}_3 \right] |0\rangle_2 |0\rangle_3$$

$$\xrightarrow{\text{BS}} D_2(i\alpha) D_3(\alpha) |0\rangle_2 |0\rangle_3$$

$$\xrightarrow{\text{BS}} |i\alpha/\sqrt{2}\rangle_2 | \alpha/\sqrt{2} \rangle_3$$

## Two photons in Beam splitter

We wish to describe the experiment of Aspect et al



We have describe a BS with one photon, now we must consider a BS with two photons.  $|1\rangle_0 |1\rangle_0$

$$|1\rangle_0 |1\rangle_0 \xrightarrow{BS} \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} (\hat{a}_2^\dagger + i\hat{a}_3^\dagger)(i\hat{a}_2^\dagger + \hat{a}_3^\dagger) |0\rangle_2 |0\rangle_3$$

$$\left. \begin{array}{l} \text{Use commutation results} \\ [\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij} \\ [\hat{a}_i, \hat{a}_j] = [\hat{a}_i^\dagger, \hat{a}_j^\dagger] = 0 \\ i, j = \{1, 2, 3\} \end{array} \right\} \begin{array}{l} = i/2 (\hat{a}_2^\dagger \hat{a}_2^\dagger + \hat{a}_3^\dagger \hat{a}_3^\dagger) |0\rangle_2 |0\rangle_3 \\ = i/2 (|2\rangle_2 |0\rangle_3 + |0\rangle_2 |2\rangle_3) \end{array}$$

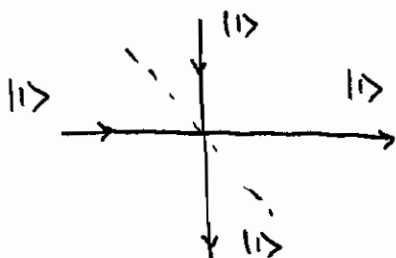
Two photons out one port only

Here, two indistinguishable processes causes interference

D1 & D2 will not register simultaneous counts

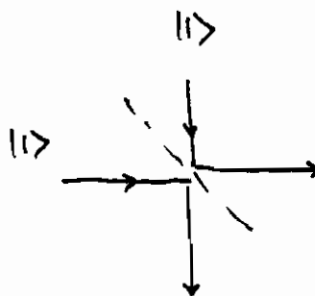
- Not result of particle-like nature of photons.
- Caused by interference between two ways to get the absent  $|1\rangle_2 |1\rangle_3$  output state where both photons are transmitted.

Two states



Both photons transmitted

$$|1\rangle_0 |1\rangle_1 \xrightarrow{BS} |1\rangle_2 |1\rangle_3$$



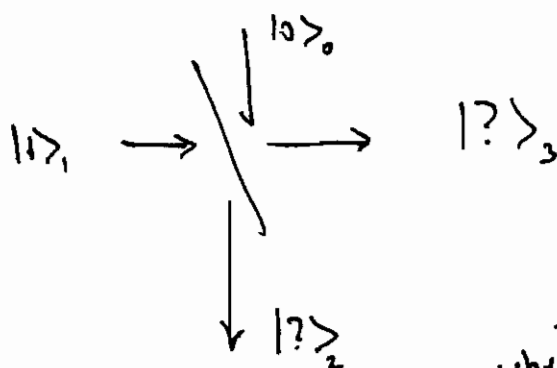
Both photons reflected

$$|1\rangle_2 |1\rangle_3$$



Two states interfere  
destructively with each other



Review

why?

$$|0\rangle_0 |1\rangle_1 \xrightarrow{BS} \frac{1}{\sqrt{2}} \left( i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3 \right)$$

~~why?~~Why  $i$ ?phase shift  $\pi/2$ 

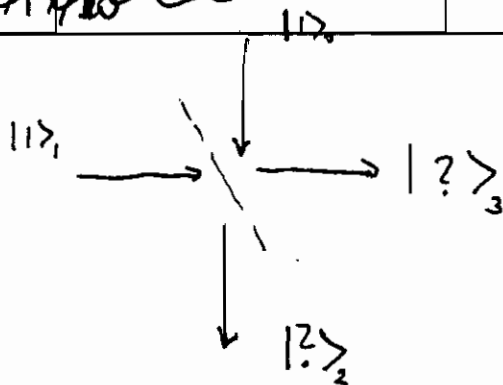
$$e^{i\pi/2} = i$$

$$50/50 \Rightarrow 1/\sqrt{2}$$

This is an entangled state

Leech 11/19/20

Review



entangled state

$$|1>_0, |1>_1 \xrightarrow{BS} \frac{1}{2} (|2>_2 |0>_3 + |0>_2 |2>_3)$$

$$\text{So } |\langle 2 | \langle 0 | \psi \rangle|^2 = \frac{1}{2}$$

$$|\langle 0 | \langle 2 | \psi \rangle|^2 = \frac{1}{2}$$

$$|\langle 1 | \langle 1 | \psi \rangle|^2 = 0$$

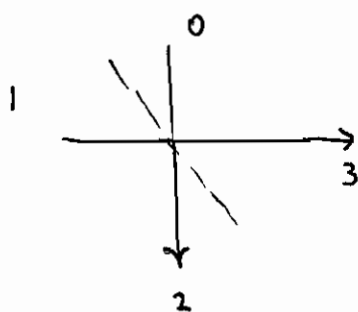
$$|\langle 1 | \langle 1 | \psi \rangle|^2 = 0$$

Two photons out one port

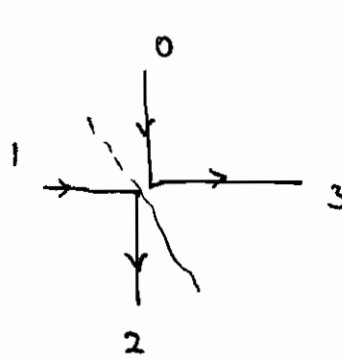
"missing" states

$|1>_2 |1>_3$   
indistinguishable

Can get  $|1>_2 |1>_3$  by two ways



(OR)



See P.11 Next page

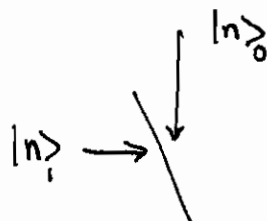
interfere to give two photons out Port 2 or 3.

Not a result of particle nature of light but of interference

Due to light being Bosons?

B-E  $\Rightarrow$  statistical properties

For  $n$  photons



the output state is not  $\sim |2n\rangle_2 |0\rangle_3 + e^{i\theta} |0\rangle_2 |2n\rangle_3$

example For indistinguishable process: (Feynman)

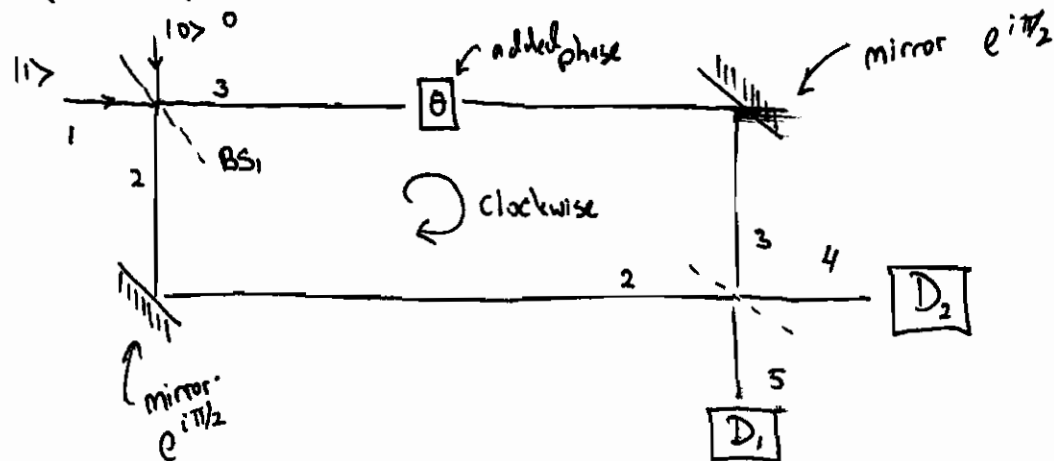
Prob for photon at each detector  $\rightarrow$

$$P_{11} = \left| \overbrace{A_T A_T}^{\text{both transmitted}} + \overbrace{A_R A_R}^{\text{both reflected}} \right|^2$$
$$= \left| \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} + \frac{i}{\sqrt{2}} \frac{i}{\sqrt{2}} \right|^2 = 0$$

$\uparrow$  true for 50/50 ~~not~~ only

{ Add amplitudes of Prob + compute sq of modulus  
Like spectral interference  $I_{\text{tot}} = |E_1 + E_2|^2$

# Aspect Experiment #2: Mach-Zehnder Interferometer



Look at action of BS1 + BS2

Input  $|0\rangle_0 |1\rangle_1$

$$\text{BS1 gives } |0\rangle_0 |1\rangle_1 \xrightarrow{\text{BS}_1} \frac{1}{\sqrt{2}} (i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3)$$

Clockwise path causes phase change on Port 3 components only

$$\frac{1}{\sqrt{2}} (|0\rangle_2 |1\rangle_3 + i |1\rangle_2 |0\rangle_3) \xrightarrow{\theta} \frac{1}{\sqrt{2}} (\underbrace{e^{i\theta} |0\rangle_2 |1\rangle_3}_{\text{phase shift on clockwise arm only (photon on 3)}} + i \underbrace{|1\rangle_2 |0\rangle_3}_{\text{counterclockwise}})$$

BS2 gives individually

$$|0\rangle_2 |1\rangle_3 \xrightarrow{\text{BS}_2} \frac{1}{\sqrt{2}} (|0\rangle_4 |1\rangle_5 + i |1\rangle_4 |0\rangle_5)$$

$$|1\rangle_2 |0\rangle_3 \xrightarrow{\text{BS}_2} \frac{1}{\sqrt{2}} (|1\rangle_4 |0\rangle_5 + i |0\rangle_4 |1\rangle_5)$$

$$5 \rightarrow D_1$$

$$4 \rightarrow D_2$$



Put it all together

$$\frac{1}{\sqrt{2}} (e^{i\theta} |0\rangle_2 |1\rangle_3 + i |1\rangle_2 |0\rangle_3) \xrightarrow{BS_2}$$

$$\frac{1}{2} [ (e^{i\theta} - 1) |0\rangle_4 |1\rangle_5 + i (e^{i\theta} + 1) |1\rangle_4 |0\rangle_5 ]$$

$$\text{Define } |4\rangle = \frac{1}{2} [ (e^{i\theta} - 1) |0\rangle_4 |1\rangle_5 + i (e^{i\theta} + 1) |1\rangle_4 |0\rangle_5 ]$$

Probability to see click @ D<sub>1</sub>

$$|\langle 1 | \langle 0 | 4 \rangle|^2 = \left| \frac{1}{2} (e^{i\theta} - 1) \right|^2$$

$$= \frac{1}{4} (e^{i\theta} - 1)(e^{-i\theta} - 1)$$

$$= \frac{1}{4} (1 - e^{-i\theta} + e^{i\theta} + 1)$$

$$= \frac{1}{4} (2 - (e^{i\theta} + e^{-i\theta}))$$

$$= \frac{1}{4} (2 - 2\cos\theta)$$

$$= \frac{1}{2} (1 - \cos\theta)$$

$$\left\{ \begin{array}{l} \text{from } e^{i\theta} = \cos\theta + i\sin\theta \\ \Rightarrow e^{i\theta} + e^{-i\theta} = 2\cos\theta \end{array} \right.$$

Prob to see click at D<sub>1</sub> + D<sub>2</sub>

$$|\langle 1 | \langle 1 | 4 \rangle|^2 = 0$$

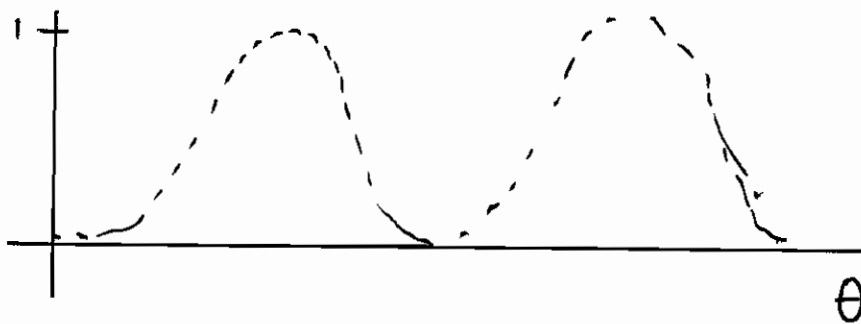
Prob to see click at D<sub>2</sub>

$$|\langle 0 | \langle 1 | 4 \rangle|^2 = \frac{1}{2} (1 + \cos\theta)$$

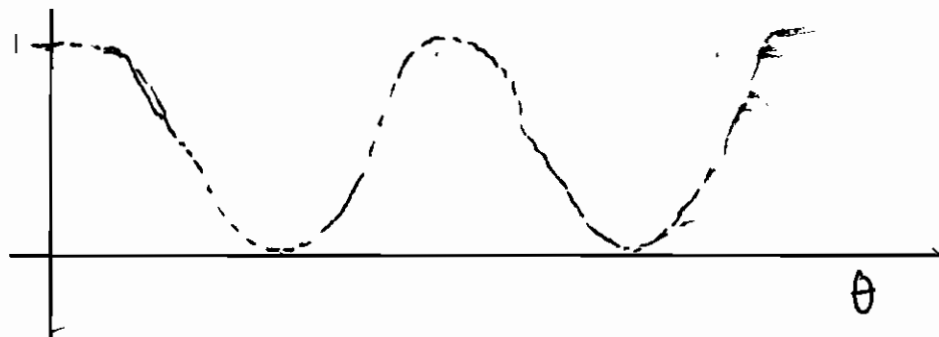
3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

Thus  
 $D_1$



$D_2$



Results from Aspect experiment

## Interaction-free measurement

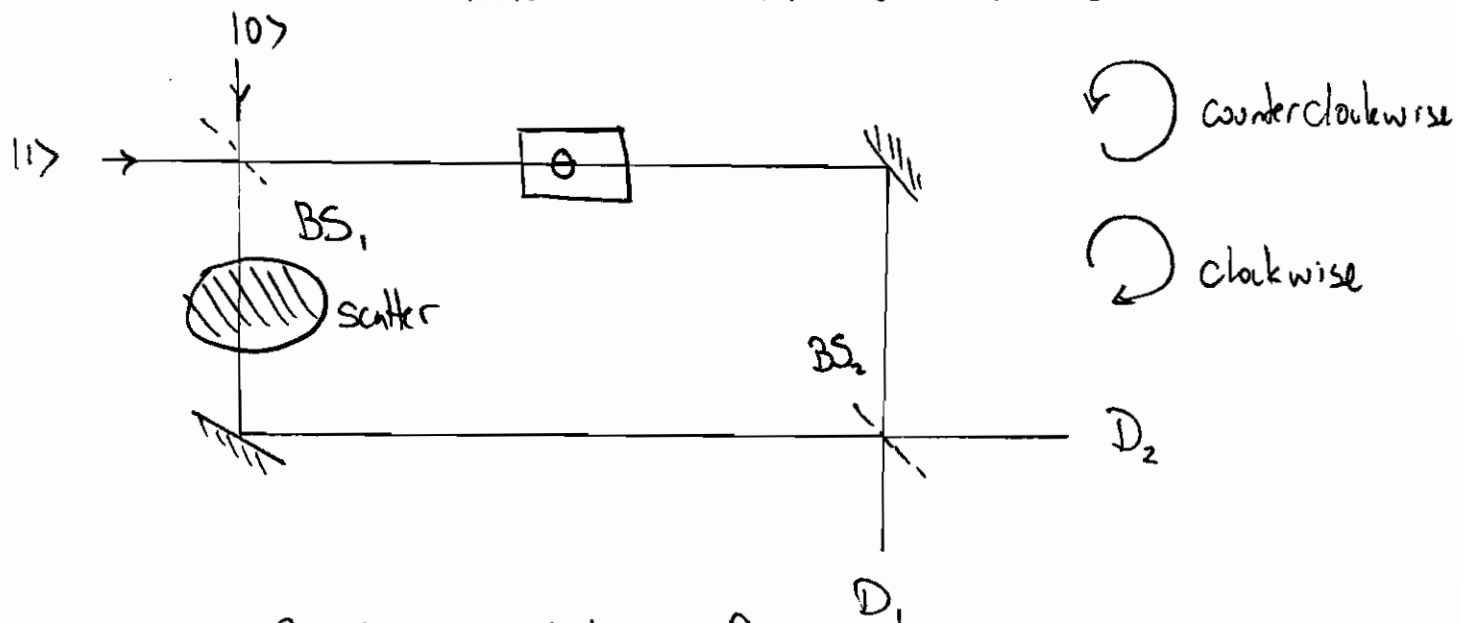
The capability of detecting the presence of an object without scattering any quanta off it.

Exposes feature of quantum mechanics

$\Rightarrow$  Nonlocality

- the apparent instantaneous effects of certain kinds of influences.

Consider the Mach Zehnder Interferometer (Hong, Mandel, Ou)



1) Set  $\theta = 0$  Expect probability for  
 $D_1$  to fire = 1, prob for  $D_2$  to fire = 0

2) Put in the scattering object

3) If we put a detector around the object we can detect  
"which-path" the photo took thus destroying the interference.

4) However, we do not need a detector. The experiment is set up to determine which path irregardless whether we measure the scattered photons or not.

5) After beamsplitter 1, a photo may be  
50% in clockwise arm  
50% in counterclockwise arm

After beamsplitter 2 the same

But if the clockwise path is "chosen", there is a 50% chance it will go to either  $D_1$  or  $D_2$

6) In the end (with scatterer)

Probability 50% that neither detector fires

Probability 25% that  $D_1$  or  $D_2$  fires

7) Initially the interferometer was set up so  $D_1$  fires 100%  
~~if  $D_1$  does not fire 100%~~ then

So when  $D_2$  fires at all then we know that something is in the arm of the interferometer.

---

But the photon we measure has not been scattered!!  $\Rightarrow$  Nonlocal behavior  
It never comes in contact with the scatterer!!

## The Einstein, Podolsky, + Rosen Argument (EPR), 1932

Einstein never liked quantum mechanics because he believed it was an incomplete theory. He posed a gedanken experiment to illustrate a possible fault with QM. Here, I will discuss David Bohm's version of the EPR argument. Bohm's version is structured around entangled electrons but a similar argument can be constructed for photons.

Bohm's version  $\Rightarrow$  Electron spins ( $\pm 1/2$ )

But first, some definitions

David Bohm (1917 - 1992)

Grad School Berkeley

Worked on Manhattan project (request of Oppenheimer)

Faculty at Princeton (QM book)

1949 Testified in front of House Un-American activities  
Committee  $\Rightarrow$  Pleaded 5th (decline to testify)

Princeton did not renew contract

Moved to Brazil, took US passport

Eventually became British citizen.

In ~~school~~ grad school

Committee for Peace Mobilization

$\Rightarrow$  Branded Communist by FBI

Young Communist League.

Committee against construction

Bohm's PhD work became classified, but  
he could not get a security clearance so he  
could not finish his PhD!

Oppenheimer certified his work & he got PhD.

## Locality + ~~Relativity~~ Realism (Garrison)

Locality : a measurement occurring in a finite volume of space in a given time interval could not influence - or be influenced - by measurements in a distant volume of space time before any light signal could connect the two localities.

Space-time separated

- Realism :
- Physical properties exist independent of any measurements or observation
  - Spatial separability : the physical properties of spatially separated systems are mutually independent.

local realism : Bell's inequality test this.

violation of Bell's inequality : Must give up locality or realism or both?

Bell's Ineq

Philosophy  $\Rightarrow$  to physics (testable)

## Definitions in EPR

### ~~Assumptions in EPR~~

#### 1) Elements of physical reality

"If, without in any way disturbing a system, we can predict with certainty (i.e. with probability equal to unity) the value of a physical quantity, then there exists an element of physical reality corresponding to this physical quantity."

#### 2) Criterion of completeness for physical theory

"every element of a physical reality must have a counterpart in the physical theory"

## Assumptions in EPR

1) Realism

2) Locality



From QM we have a dilemma

$S_x^B$  &  $S_y^B$  are represented by non commuting operators

$$[S_x^B, S_y^B] = i\hbar S_z^B \neq 0$$

So they cannot be simultaneously predicted or measured.

This leaves two alternatives:

- 1) If  $S_x^B$  &  $S_y^B$  are both elements of physical reality, then quantum mechanics - which cannot predict values for both - is incomplete.
- 2) Two physical quantities that are associated with non commuting operators cannot be simultaneously real.

~~Global change in state vectors~~

Replacement of  $|\Phi\rangle_{AB}$  by  $|\Phi\rangle_{AB}^x$  or  $|\Phi\rangle_{AB}^y$  occurs as soon as measurement is completed independent of distance from A to B.

Global change in state vectors occur before any signal could travel from A to B.

$\Rightarrow$  violation of locality

# EPR paradox (Bohm)



(A)  
Alice



(B)  
Bob

Bohm singlet state  $|\Phi\rangle_{AB} = \frac{1}{\sqrt{2}} (|\uparrow\rangle_A |\downarrow\rangle_B - |\downarrow\rangle_A |\uparrow\rangle_B)$   
↑ fermions

Component of spin is measured by Stern Gerlach (SG) magnets  
Orientate to  $\hat{x}$  ( $\hat{a} = \hat{x}$ )

$S_x^A$  upper counter click  $\rightarrow +1/2$

$$|\Phi\rangle_{AB}^x = |\uparrow_x\rangle_A |\downarrow_x\rangle_B$$

$S_x^B$  lower counter click  $\rightarrow -1/2$

Get definite value of  $S_x^B$  without measuring it at all!

Thus  $S_x^B$  is a physical reality at B. (before <sup>Alice</sup> ~~Bob~~ measured it)

Orientate ~~at~~ to  $\hat{y}$  ( $\hat{a} = \hat{y}$ ) } Assume large distance  $\Rightarrow$  locality

$$|\Phi\rangle_{AB}^y = |\uparrow_y\rangle_A |\downarrow_y\rangle_B$$

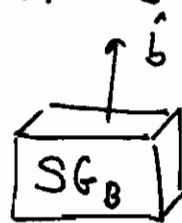
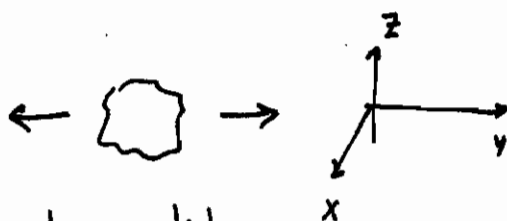
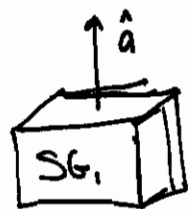
$S_y^A$  gives  $+1/2$  then  $S_y^B$  gives  $-1/2$

So  $S_y^B$  is a physical reality at B

# EPR Argument for electrons

Consider a process that produces two particles with opposite spin.

A Stern-Gerlach analyzer, which measures the component of spin along a specific axis is located at two places A + B



A  
("Alice")

two particle  
source

B  
("Bob")

$$| \psi \rangle = \frac{1}{\sqrt{2}} ( | \uparrow \rangle_A | \downarrow \rangle_B - | \downarrow \rangle_A | \uparrow \rangle_B )$$

For spin, it is important to note that  $[S_x, S_z] \neq 0$

Alice orients her SG along  $\hat{z}$        $\hat{a} \parallel \hat{z}$

she reads "spin up" or "spin down" say spin up

Since the two particles are pairs then Bob's particle must be spin down along  $\hat{z}$ .

Alice has measured the component of Bob's particle.

Point A can be very far from point B so what goes on at A cannot have no effect on B (this is the locality assumption). Even though Alice experiment may have had an effect on her particle it should not affect Bob's!

This EPR conclude that Bob's particle must had spin down before Alice made her measurement!

3. Now Alice aligns her SG along  $\hat{x}$ . The same argument can be made about Bob's particle.

Thus the two complementary variables  $S_z$  and  $S_x$  exist and have definite values

### Conclusions from EPR Argument

The EPR argument is based on locality.

~~(justified if "something" can move faster than light)~~

The EPR <sup>argument</sup> ~~experiment~~ <sup>tries to show</sup> ~~demonstrates~~ that quantum mechanics is an incomplete theory since there are "hidden variables" to quantum mechanics that are well defined (according to the EPR Argument). Thus a better theory, a local hidden variable theory is needed.

However, the EPR argument is in error due to its locality assumption. Can we set up a condition to test the EPR argument? Thus we are testing locality.

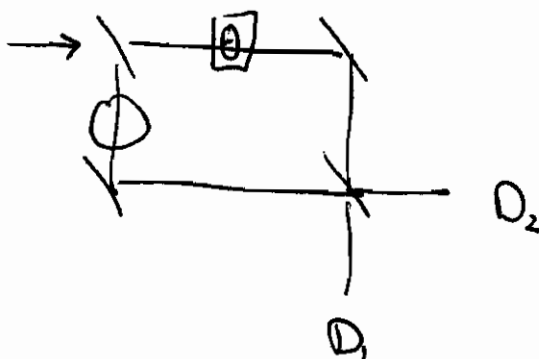
### Bell's theorem (Bell's inequality) 1964

John Bell devised a logical argument in the form of an inequality as a method to test the conclusions from the EPR argument.

# Review

## Nonlocality

Example 1



Set  $\theta = 50^\circ$  only clicks

~~50%~~

~~not 50%~~

Example 2

EPR



$$|\Phi\rangle_{AB} = \frac{1}{\sqrt{2}} (|\uparrow\rangle_A |\downarrow\rangle_B - |\downarrow\rangle_A |\uparrow\rangle_B)$$

State reduction

Alie measures  $\hat{x}$

$$|\Phi\rangle_{AB}^x = |\uparrow\rangle_A |\downarrow\rangle_B$$

but this instantaneously sets the spin of Bob!

## EPR + local hidden variable theory

If you accept the assumptions of EPR, QM is not complete. Thus there must be another local theory that has hidden variables that satisfies the elements of physical reality.

These variables are hidden since QM does not give any information about them, but they are real.

Back to electron spins

Alice  $\boxed{S_{G_A}}$  •  $\boxed{S_{G_B}}$  Bob

~~Answers~~ Let  $\hat{a}$  be the orientation of  $S_{G_A}$ ,  $\hat{b}$  be the orientation of  $S_{G_B}$

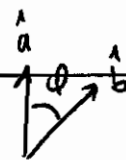
@ A  $\left\{ \begin{array}{l} A = +1 \text{ if Alice measures } \uparrow \\ A = -1 \text{ if Alice measures } \downarrow \end{array} \right\}$  Component of spin

@ B  $\left\{ \begin{array}{l} B = +1 \text{ if Bob measures } \uparrow \\ B = -1 \text{ if Bob measures } \downarrow \end{array} \right\}$

Look at product of AB  $AB = +1$  Alice + Bob got same answer

$AB = -1$  Alice + Bob got different answers

QM gives us



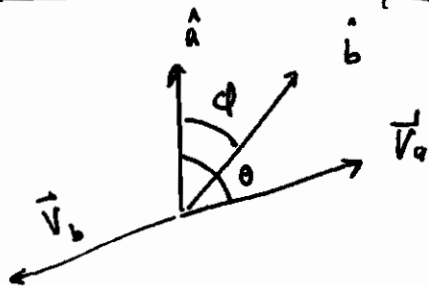
$$E_{QM}(\hat{a}, \hat{b}) = -\hat{a} \cdot \hat{b} = -\cos \phi$$

A hidden variable theory will give

$$E_{HV}(\hat{a}, \hat{b})$$

Bell Th<sup>m</sup> states:  $E_{HV}(\hat{a}, \hat{b}) \neq E_{QM}(\hat{a}, \hat{b})$   
for all  $\hat{a} + \hat{b}$

Example of hidden variable theory



$$\vec{V}_b = -\vec{V}_a$$

Say particles are actually rotating: naïve spin

spin vector  $\vec{V}_a, \vec{V}_b$

$\lambda_a$   
 $\lambda_b$   $\equiv$  Hidden variable (determinism)

Each detector records sign of projection of  $\vec{V}_i$  along its axis ( $\hat{a}$  or  $\hat{b}$ )

$$A = \text{sign}[\cos \theta]$$

$$B = \text{sign}[\cos(180 - \theta - \phi)] = \text{sign}[-\cos(\theta - \phi)]$$

$$AB = \text{sign}[-\cos \theta \cos(\theta - \phi)]$$

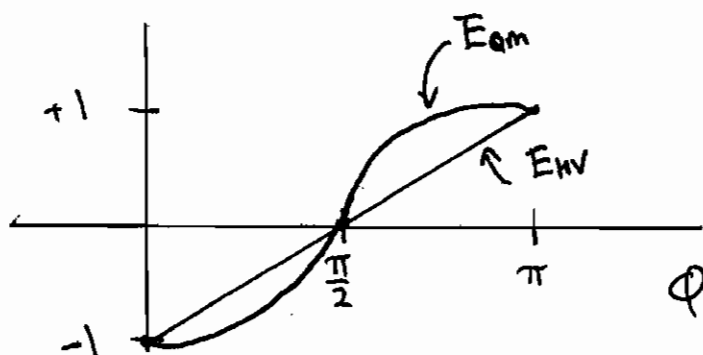
Compute AB over all possible values of hidden variable  $\theta$

$$E_{HV}(\hat{a}, \hat{b}) = \frac{1}{2\pi} \int_{-\pi/2}^{3\pi/2} AB \, d\theta$$

$$E_{HV}(\hat{a}, \hat{b}) = \frac{2}{\pi} \phi - 1$$

Compare but  $E_{QM}(\hat{a}, \hat{b}) = -\cos \phi$

So



$E_{QM}(\hat{a}, \hat{b}) \neq$   
 $E_{HV}(\hat{a}, \hat{b})$   
 for all  $\hat{a}, \hat{b}$



## Logic arguments towards Bell's inequalities

$E \Rightarrow$  expectation (or #)

Argument

If we have three properties  $A, B, C$  then if we consider the joint properties we can say

$$E(A, \bar{B}) + E(B, \bar{C}) \geq E(A, \bar{C})$$

$\bar{C} = \text{Not } C$

Proof

$$E(A, \bar{B}, C) + E(\bar{A}, B, \bar{C}) = 0 \text{ or positive integer}$$

$$E(A, \bar{B}, C) + E(\bar{A}, B, \bar{C}) \geq 0$$

(either No members of the group have these combinations of properties or some members do)

Add  $E(A, \bar{B}, \bar{C}) + E(A, B, \bar{C})$  ②

$$\textcircled{1} (E(A, \bar{B}, C) + E(A, B, \bar{C})) + (E(\bar{A}, B, \bar{C})$$

$$+ E(A, B, \bar{C})) \geq 0 + \underbrace{E(A, \bar{B}, \bar{C}) + E(A, B, \bar{C})}_{E(A, \bar{C})}$$

(~~True~~ For everyone either  $B$  or  $\bar{B}$  must be true.  
everyone has property  $\bar{B}$  or  $B$ )

ON LHS  $\textcircled{1} = E(A, \bar{B})$   $\textcircled{2} = E(B, \bar{C})$

So

$$\boxed{E(A, \bar{B}) + E(B, \bar{C}) \geq E(A, \bar{C})}$$

3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

COMET

# Example : People

|        |             | YES     | No         |
|--------|-------------|---------|------------|
| Traits | A: Male?    | male    | female     |
|        | B: Happy?   | happy   | sad        |
|        | C: Glasses? | glasses | no glasses |

## In pictures

|    | YES | NO |
|----|-----|----|
| A: |     |    |
| B: |     |    |
| C: |     |    |

All people will fit into at least one of eight groups

1

Sad men  
glasses

①

2

Sad men  
No glasses

①, ③

3

happy men  
glasses

4

happy men  
no glasses

②, ③

5

Sad women  
glasses

6

Sad women  
no glasses

7

happy women  
glasses

8

happy women  
no glasses

②, ④

~~THE MAN~~

So

$$E(\text{male, sad}) + E(\text{happy, no glasses}) \geq E(\text{male, no glasses})$$



$$(\text{Group 1} + \text{Group 2}) + (\text{Group 4} + \text{Group 8}) \geq (\text{Group 2} + \text{Group 4})$$

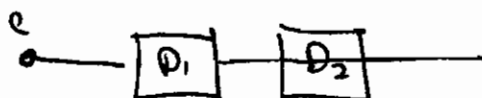
①

②

③

This inequality will be satisfied by people

What about electrons?



A: spin up  $0^\circ$ ?

B: spin up  $45^\circ$ ?

C: spin up  $90^\circ$ ?

$$E(\uparrow 0^\circ, \downarrow 45^\circ) + E(\uparrow 45^\circ, \downarrow 90^\circ)$$

$$\geq E(\uparrow 0^\circ, \downarrow 90^\circ)$$

Not spin up = spin down

For one detector  $0^\circ$   $\frac{1}{2}$  will be up /  $\frac{1}{2}$  will be  $\downarrow$   
 $45^\circ$   $\frac{1}{2}$  will be  $\uparrow$  /  $\frac{1}{2}$  will be  $\downarrow$

Measure  $0^\circ + 45^\circ \Rightarrow$  problem

First  $0^\circ$   $\frac{1}{2} \uparrow$  /  $\frac{1}{2} \downarrow$  (Prob 1)

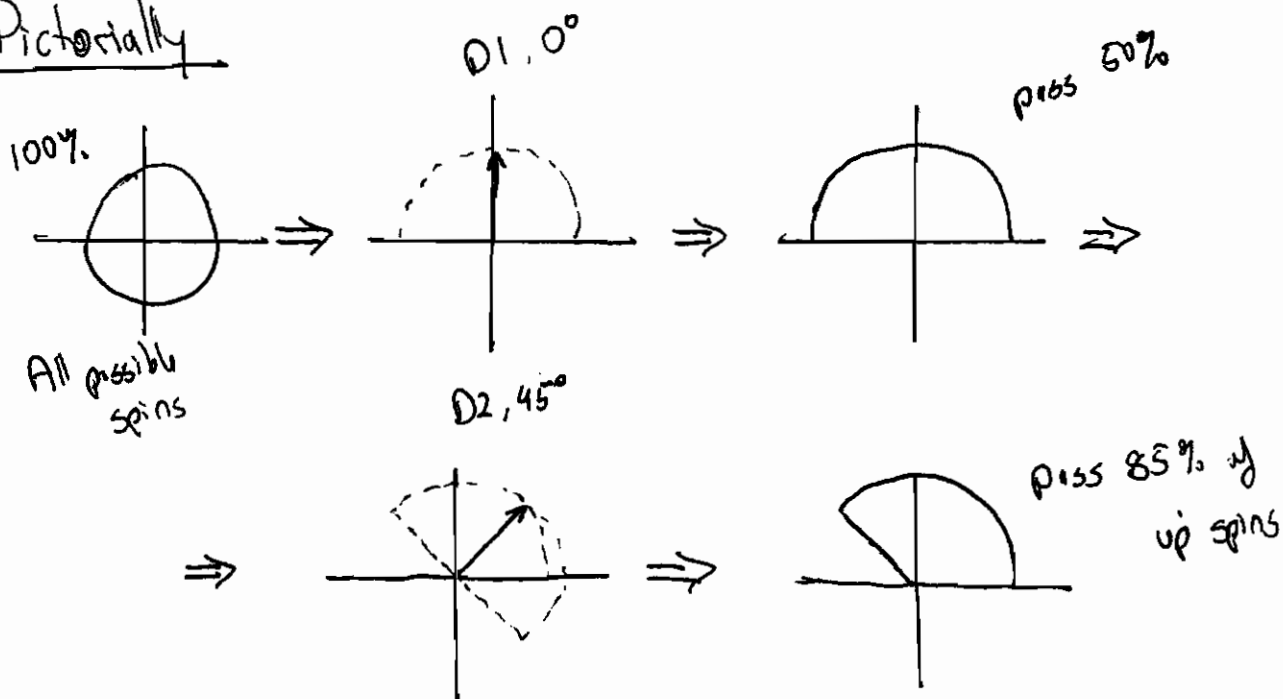
For # that pass:  $45^\circ$  85% will pass 2nd detector  
 D1

Thus

$$\boxed{\uparrow 0^\circ \rightarrow 15\% \downarrow 45^\circ}$$

Measuring spin at  $0^\circ$  changes # of electrons  $\downarrow$  at  $45^\circ$ !  
 Determination ~~spin measurement~~ ~~how?~~ changes them 45%!

## Pictorially



Thus we have 15% of spin down  
Act of measuring changes outcome

## EPR setup



Measure Let A  $45^\circ$ , B  $0^\circ$

If we measure  $\uparrow 45^\circ$  at A, then we will have  $\downarrow 45^\circ$  at B

conclusion

Thus we have determined whether or not  $e^-$  at B is  $\uparrow 0^\circ$ ,  $\downarrow 45^\circ$  by measuring its spin at  $0^\circ$  at B & spin at  $45^\circ$  at A.

$$E(\uparrow 0^\circ_B, \uparrow 45^\circ_A) + E(\uparrow 45^\circ_B, \uparrow 90^\circ_A) \geq E(\uparrow 0^\circ_B, \uparrow 45^\circ_A)$$

QM will violate this!

## Bell's Inequality (Phys. vol 1 1964 p 195-200)

John Bell devised a logical argument in the form of an inequality as a method to test the conclusions of the EPR argument.

There are many versions of the inequality. We will look at two

1) Bell's original inequality

$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq 1 + E_{HV}(\hat{b}, \hat{c})$$

2) Clauser Horn Shimony & Holt version (CHSH)

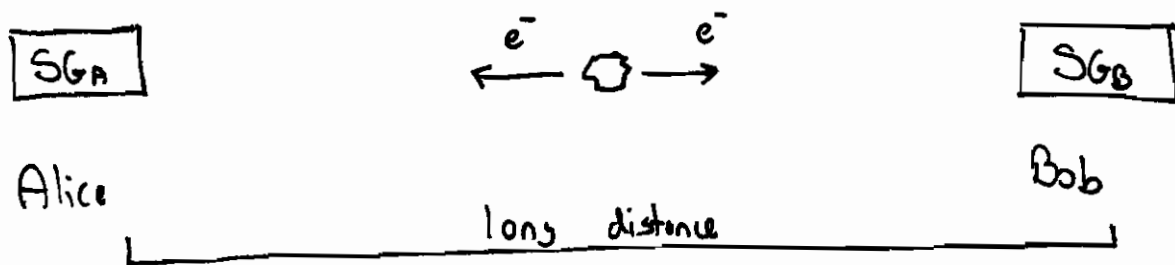
$$-2 \leq E_{HV}(\theta, \phi) + E(\theta', \phi) + E(\theta, \phi') - E(\theta', \phi') \leq 2$$

Look at 1)

Quantum optical measurements show a violation of Bell's inequality (up to  $242\sigma$ )!

This demonstrates that no local hidden variable theory can predict the measured results which are predicted by Quantum Mechanics.

# Revisit EPR



$$|\psi\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle)$$

1) Alice orientates her SG along  $\hat{z}$

Measures up  $S_z = +1$

2) So Bob's particle must have  $S_z = -1$  even if he does not measure it.

3) But Bob has a choice, so instead he aligns his SG along  $\hat{x}$  and measures  $+1$

4) Then Alice's measurement and Bob's we have measured both  $S_x$  and  $S_z$  precisely.

~~By Quantum mechanics  $S_x$  and  $S_z$  do not commute. But we have measured both of them~~

But QM tells us that  $S_x$  and  $S_z$  do not commute so we cannot measure them precisely!

In the language of EPR, since we have determined  $S_x$  and  $S_z$  precisely, they exist before the measurement. But QM cannot tell us ~~the~~ values of both  $S_x$  &  $S_z$  so QM must be an incomplete theory.

## Bohr's Response to EPR

- Complementarity applied after long distances
- The context needed to think about the  $\hat{z}$  component of B is not compatible with what is needed to think about  $\hat{x}$  component
- Even though we can predict B without disturbing B there is no experimental situation ~~known~~ where both  $S_x$  &  $S_z$  have meaning.

## Nonlocality "hidden" in Bohr's Response

The measurement <sup>at</sup> A "collapses the wavefunction" which predetermines the result at B without the experiment of A interacting with B.

Is there a way to test this nonlocality  $\Rightarrow$  Bell's inequality

## Back to Alice & Bob

Alice orientates her SG along  $\hat{a}$

Bob orientates his SG along  $\hat{b}$

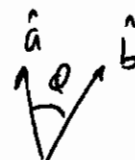
$A=1$  up  
 $A=-1$  down

$B=1$

$B=-1$

Look at product  $AB$

$$\langle AB \rangle_{\text{qm}} = -\hat{a} \cdot \hat{b} = -\cos \varphi$$



$$E(\hat{A}, \hat{B}) = -\cos \varphi$$

Bell's inequality shows

$$E_{\text{HV}}(\hat{a}, \hat{b}) \neq E_{\text{qm}}(\hat{a}, \hat{b}) \text{ for all } \hat{a} \neq \hat{b}$$

"No physical theory of local hidden variables can ever produce the predictions of quantum mechanics"

The next ~~is~~ Fact about the Bell's inequality is that it can be tested in the lab.



## Proof of inequality

Assume locality

A does not depend on  $\hat{b}$

B does not depend on  $\hat{a}$

$$A = A(\hat{a}, \lambda) \quad \underline{\text{not}}$$

$$A(\hat{a}, \hat{b}, \lambda)$$

$$B = B(\hat{b}, \lambda) \quad \underline{\text{not}}$$

$$B(\hat{a}, \hat{b}, \lambda)$$

$\lambda \equiv$  hidden variable

Compute expectation value from hidden variable theory

$$\boxed{E_{HV}(\hat{a}, \hat{b}) = \int AB d\lambda}$$

Technically

$$E_{HV}(\hat{a}, \hat{b}) = \int d\lambda P_a(\hat{a}, \lambda) P_b(\hat{b}, \lambda) P(\lambda)$$

Derivation

$$E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c}) = \int (A(\hat{a}, \lambda) B(\hat{b}, \lambda) - A(\hat{a}, \lambda) B(\hat{c}, \lambda)) d\lambda$$

$$\Rightarrow \text{EPR case } A(\hat{a}, \lambda) = -B(\hat{a}, \lambda) \quad \text{opposite spins}$$

$$E_{HV}(\hat{a}, \hat{b}) - E(\hat{a}, \hat{c}) = - \int (A(\hat{a}, \lambda) \overbrace{B(\hat{b}, \lambda)}^{\text{opposite spins}} - A(\hat{a}, \lambda) \overbrace{B(\hat{c}, \lambda)}^{\text{opposite spins}}) d\lambda$$

$$\Rightarrow \text{using } |A(\hat{b}, \lambda)|^2 = 1$$

$$= - \int \underbrace{A(\hat{a}, \lambda) A(\hat{b}, \lambda)}_{\substack{\downarrow \\ \text{opposite spins}}} [1 - A(\hat{b}, \lambda) A(\hat{c}, \lambda)] d\lambda$$

$$\Rightarrow \text{Note that } A(\hat{a}, \lambda) A(\hat{b}, \lambda) = +1 \text{ or } -1$$

$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq \left| \int (1 - A(\hat{b}, \lambda) A(\hat{c}, \lambda)) d\lambda \right|$$

$$\leq \left| \int (1 + A(\hat{b}, \lambda) B(\hat{c}, \lambda)) d\lambda \right|$$

$$\Rightarrow \text{from } A(\hat{c}, \lambda) = -B(\hat{c}, \lambda)$$

$$\leq 1 + \int A(\hat{b}, \lambda) B(\hat{c}, \lambda) d\lambda$$

So

$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq 1 + E_{HV}(\hat{b}, \hat{c})$$

3-0235 — 50 SHEETS — 5 SQUARES  
3-0236 — 100 SHEETS — 5 SQUARES  
3-0237 — 200 SHEETS — 5 SQUARES  
3-0137 — 200 SHEETS — FILLER

COMET

Show QM violates the inequality

$$|E(\hat{a}, \hat{b}) - E(\hat{a}, \hat{c})| \leq 1 + E(\hat{b}, \hat{c})$$

Case I

$$\hat{a} = \hat{b} = \hat{c}$$

$$\phi = 0$$

$$\text{so } E_{\text{qm}}(\hat{a}, \hat{b}) = -\cos \phi = -1$$

$$E_{\text{qm}}(\hat{a}, \hat{c}) = -1$$

$$E_{\text{qm}}(\hat{b}, \hat{c}) = -1$$

Into eqn inequality

$$|(-1) - (-1)| \stackrel{?}{\leq} 1 + (-1)$$

$$0 \leq 0 \quad \checkmark \quad \underline{\text{OK}} \quad \text{No violation!}$$

Case II

$\hat{b}$  makes  $60^\circ$  angle with  $\hat{a}$ ,  $\hat{c}$  makes  $60^\circ$  angle with  $\hat{b}$

$$E_{\text{qm}}(\hat{a}, \hat{b}) = -\cos 60 = -1/2$$

$$E_{\text{qm}}(\hat{a}, \hat{c}) = -\cos(120) = +1/2$$

$$E_{\text{qm}}(\hat{b}, \hat{c}) = -\cos(60) = -1/2$$

$$|(-1/2) - (1/2)| \stackrel{?}{\leq} 1 + (-1/2)$$

$$1 \stackrel{?}{\leq} 1/2 \quad \underline{\text{NOT OK}} \quad \underline{\text{Violation!}}$$

## Objections on the concept of nonlocality

Newton

"philosophical absurdity"

Einstein

"Spooky" action at a distance

Bohm

"cannot see any well-founded reason  
for such objections..."

Aspect

See Nabra Paper

# Lecture 40 Entanglement

## Generation of Entangled States (Polarization Entangled states)

1. Spontaneous Parametric Down-conversion in a  $\chi^{(2)}$  crystal  
(Degenerate form of difference frequency generation)  
 $\omega_s = \omega_i$

$$\hat{H}_I \sim \chi^{(2)} \hat{a}_p \hat{a}_s^\dagger \hat{a}_i^\dagger + \chi^{(2)*} \hat{a}_p^\dagger \hat{a}_s \hat{a}_i \quad \text{Non degenerate case}$$

Generate signal and idler photon from pump

$$|1\rangle_p |0\rangle_s |0\rangle_i \xrightarrow{\chi^{(2)}} \hat{a}_p \hat{a}_s^\dagger \hat{a}_i^\dagger |1\rangle_p |0\rangle_s |0\rangle_i = |0\rangle_p |1\rangle_s |1\rangle_i$$

- Process is spontaneous since modes are originally from vacuum.
- Signal and idler photons are generated simultaneously
- Must satisfy both energy conservation and momentum conservation (i.e. phase matching)

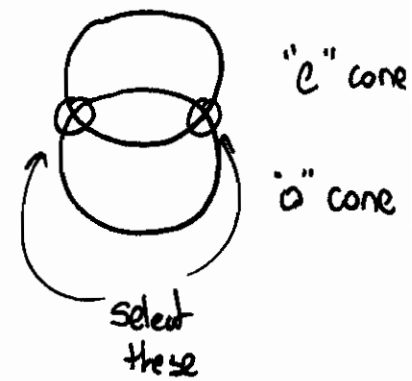
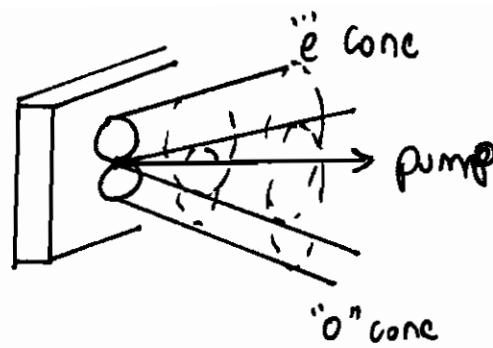
## Type II Down conversion (in BBO or KDP)

This crystal exhibits birefringence

Photons are emitted in two different cones

one for "o" axis

one for "e" axis



- Intersection of cones produce polarization entangled states  
Use notation to represent polarization of single photon states

$$|V\rangle + |H\rangle$$

$$\hat{H} \cong \chi^{(2)} \left( \hat{a}_{Vs}^\dagger \hat{a}_{Hi}^\dagger + \hat{a}_{Hs}^\dagger \hat{a}_{Vi}^\dagger \right) + \chi^{(2)*} \left( \hat{a}_{Vs} \hat{a}_{Hi} + \hat{a}_{Hs} \hat{a}_{Vi} \right)$$

Initial state

$$|\psi_0\rangle = |0\rangle_{Vs} |0\rangle_{Hs} |0\rangle_{Vi} |0\rangle_{Hi}$$

Final states (see text for renormalization procedure)

$$|\psi(t)\rangle = \exp(-i H_1 t/\hbar) |\psi_0\rangle = \frac{1}{\sqrt{2}} \left( |H\rangle_1 |V\rangle_2 + e^{i\theta} |V\rangle_1 |H\rangle_2 \right)$$

$$|\psi^\pm\rangle = \frac{1}{\sqrt{2}} \left( |H\rangle_1 |V\rangle_2 \pm |V\rangle_1 |H\rangle_2 \right)$$

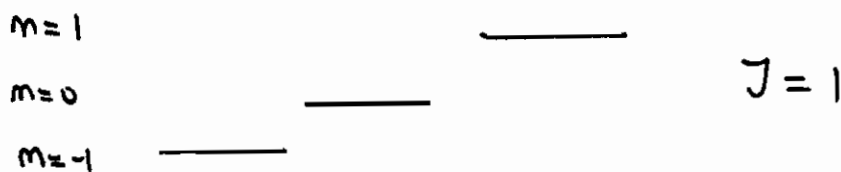
one of 4 Bell States

$$\begin{aligned} |\psi^\pm\rangle &= \frac{1}{\sqrt{2}} \left( |H\rangle_1 |V\rangle_2 \pm |V\rangle_1 |H\rangle_2 \right) \\ |\Phi^\pm\rangle &= \frac{1}{\sqrt{2}} \left( |H\rangle_1 |H\rangle_2 \pm |V\rangle_1 |V\rangle_2 \right) \end{aligned}$$

By a choice of phase  $\theta$  one completes set can complete the set.

## 2. Cascade Emission for generation of polarization entangled states

Transition  $J \rightarrow 0 \rightarrow 1 \rightarrow 0$



Process produces two photons

Output State

$$|4\rangle = \frac{1}{\sqrt{2}} (|H\rangle_1 |H\rangle_2 + |V\rangle_1 |V\rangle_2)$$

rewrite in terms of circularly polarized light  $|+\rangle$   $|-\rangle$

$$|4\rangle = \frac{1}{\sqrt{2}} (|+\rangle_1 |-\rangle_2 + |-\rangle_1 |+\rangle_2)$$

$|\psi^\pm\rangle + |\phi^\pm\rangle$  form a complete set (basis) in the Hilbert space

They are known as Bell states (for reasons we will come to later)

Type II down conversion is a very important process since it can produce all 4 Bell states. This process provides an experimental optical tool to test quantum mechanics.

... But what shall we test?

locality + the EPR argument

the Einstein Podolsky + Rosen Argument (EPR) (1932)

Einstein never liked quantum mechanics because he believed it was an incomplete theory. He posed a gedanken experiment to illustrate a possible fault with QM. Here, we will discuss David Bohm's version of the EPR argument. Bohm's argument is structured around entangled electrons but a similar argument can be constructed for photon states.

Bohm's version of the

we will express <sup>v</sup>the EPR argument in terms of ~~polarization~~ <sup>entangled states of</sup> light. electron spins. ~~There~~ This is isomorphic to polarization states of light (see page 228).



## From the Board of Editors: on Plagiarism

Dear Colleagues:

There has been a significant increase in the number of duplicate submissions and plagiarism cases reported in all major journals, including the journals of the Optical Society of America. Duplicate submissions and plagiarism can take many forms, and all of them are violations of professional ethics, the copyright agreement that an author signs along with the submission of a paper, and OSA's published Author Guidelines. There must be a significant component of new science for a paper to be publishable. The copying of large segments of text from previously published or in-press papers with only minor cosmetic changes is not acceptable and can lead to the rejection of papers.

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"As stated by xxx (name of lead author), "text" [reference]."

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"It has come to the attention of the Optical Society of America that this article should not have been submitted owing to its substantial replication, without appropriate attribution, of significant elements found in the following previously published material: [citation data – including the authors, journal title, full citation of the earlier published material.]"

The same statement shall be added to the next available print run of the journal in an appropriate location such as a "Notice to Readers."

**The OSA Board of Editors**



## The Plagiarism Resource Site

Charlottesville, Virginia

www.plagiarism.phys.virginia.edu

### "The Importance of Writing"

by Louis Bloomfield. Professor of Physics, University of Virginia. Charlottesville. VA 22904

Originally published on the Commentary Page of the *Philadelphia Inquirer* on Sunday, April 4, 2004. edited by John Timpone.

Writing is hard work and all the marvels of modern technology haven't made it any easier. Vast resources now lie just keystrokes away, but the basic art of assembling one's thoughts into engaging prose is little changed since the days of paper and pencil. While mindless information doubles every three years, thoughtful writing still proceeds at an old fashioned pace.

Unfortunately, the timeless nature of writing isn't shared by its fraudulent imitation: plagiarism. Though nearly as ancient as writing itself, plagiarism adapts quickly to new technology. With a web full of seemingly ownerless prose, plagiarism is as easy as cut-and-paste. And if you don't see exactly what you want for free, you can buy it online at any number of "paper mills."

But a more insidious way in which technology has fostered plagiarism is by shifting our attention from content to appearance. A well-written student paper is no longer "A" work unless it's printed in color on glossy paper, with fonts and images and an accompanying multimedia presentation. Students feel expected to turn in the best papers ever written, not the best papers they can write themselves. So they assemble those papers. With hours invested in the decorations, students feel justified in stealing some or all of the text. After all, they "couldn't have said it any better" themselves.

In addition to its easy rationalization by people seeking the rewards of writing without the associated effort, plagiarism is also widely misunderstood. It isn't limited to the theft of another person's words; it also includes the theft of their ideas. More generally, plagiarism is any form of dishonesty about authorship. A reader or listener should always know whose thoughts they're hearing.

Plagiarism isn't a victimless crime. It deprives its readers of their time and trust, and its true authors of their good names. In academia, plagiarism inflates grades relative to education and devalues honest scholarship. Among authors and journalists, plagiarism cheapens the very art of writing, much as performance enhancing drugs cheapen so many sports. Plagiarism is as much a problem of morale as it is of ethics.

Prosecuting plagiarists is a miserable undertaking. It brings joy to no one, as I know from sad experience at the University of Virginia. After uncovered extensive plagiarism in my large introductory physics class in 2001, I spent two years dealing with endless honor cases. But I view that episode as an anti-scandal—as an enlightened community taking action against a misbehaving few in order to maintain its own intellectual integrity. Eliminating plagiarism isn't about the plagiarists; it's about supporting the honest people by giving them a fair environment.

Plagiarism isn't an obscure tweed-collar crime. It's a sorry fact of life everywhere and any school or organization that feels untainted is probably in denial. With plagiarism so commonplace, an organization that deals openly with it deserves our support, not our condemnation. There is no scandal in cleaning house. The scandal is in tolerating or covering up plagiarism.

Unfortunately, plagiarism is openly tolerated in the most public sectors of modern life. It wasn't always that way. Lincoln didn't just perform his Gettysburg address; he actually wrote it. What happened to that tradition of intellectual honesty in public speech? With ghostwriting so ubiquitous among the rich and powerful, it's no wonder that young people see little value in learning to write well. They view writing the way they view cleaning their rooms—an unpleasant chore they'll do only until they can afford to hire someone else.

When students believe that writing assignments are merely hazing rituals, hurdles on the path to success in life, some will inevitably plagiarize. And when instructors assign writing that has no clear educational goals, how can the students value it? Having explicitly stated goals is both good discipline and a way to avoid misunderstandings. If students believe an assignment is "busy work," some will be busy cheating.

Finally, students need to be taught that the act of writing is intrinsically valuable to them. It crystallizes one's thoughts in a way that nothing else can. As a physicist, I find that I often learn more from writing papers and proposals than I do from working in the laboratory. I rarely find writing easy, but I always find it rewarding.

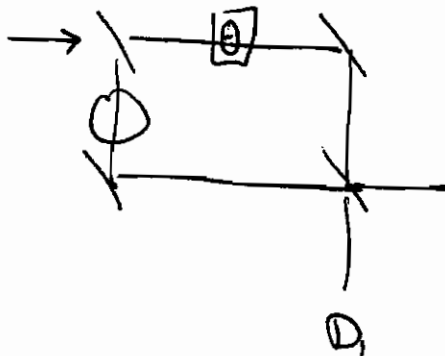
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Page Last Updated: April 12, 2004

# Review

## Nonlocality

Example 1



Set  $\theta = 50^\circ$  only clicks

~~50%~~

~~not in state~~

Example 2

EPR



.



$$|\Phi_{AB}\rangle = \frac{1}{\sqrt{2}} (|\uparrow\rangle_A |\downarrow\rangle_B - |\downarrow\rangle_A |\uparrow\rangle_B)$$

State reduction

Alice measures  $\hat{x}$

$$|\Phi_{AB}^x\rangle = |\uparrow\rangle_A |\downarrow\rangle_B$$

but this instantaneously sets the spin of Bob!

## EPR + local hidden variable theory

If you accept the assumptions of <sup>argument</sup> EPR, QM is not complete. Thus there must be another local theory that has hidden variables that satisfies the elements of physical reality.

These variables are hidden since QM does not give any information about them, but they are real.

Back to electron spins

Alice  $\boxed{S_{G_A}}$  •  $\boxed{S_{G_B}}$  Bob

~~Answers~~ Let  $\hat{a}$  be the orientation of  $S_{G_A}$ ,  $\hat{b}$  be the orientation of  $S_{G_B}$

@ A  $\left\{ \begin{array}{ll} A = +1 & \text{if Alice measures } \uparrow \\ A = -1 & \text{if Alice measures } \downarrow \end{array} \right\}$   $\left. \begin{array}{l} \uparrow \\ \downarrow \end{array} \right\}$  Component of spin

@ B  $\left\{ \begin{array}{ll} B = +1 & \text{if Bob measures } \uparrow \\ B = -1 & \text{if Bob measures } \downarrow \end{array} \right.$

Look at product of AB

$$AB = +1$$

Alice + Bob got  
Same answer

$$AB = -1$$

Alice + Bob got  
different answers

QM gives us

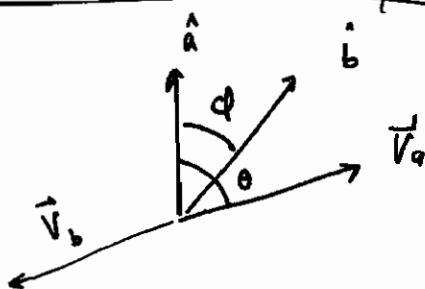
$$E_{qm}(\hat{a}, \hat{b}) = -\hat{a} \cdot \hat{b} = -\cos \phi$$

A hidden variable theory will give

$$E_{hv}(\hat{a}, \hat{b})$$

Bell Th<sup>m</sup> states:  $E_{hv}(\hat{a}, \hat{b}) \neq E_{qm}(\hat{a}, \hat{b})$   
for all  $\hat{a} + \hat{b}$

Example of hidden variable theory



$$\vec{V}_b = -\vec{V}_a$$

Say particles are actually rotating: naïve spin

spin vector  $\vec{V}_a, \vec{V}_b$

$\lambda$   
 $\vec{V}_a \equiv$  Hidden variable (rotation)

Each detector records sign of projection of  $\vec{V}_a$  along its axis ( $\hat{a}$  or  $\hat{b}$ )

$$A = \text{sign}[\cos \theta]$$

$$B = \text{sign}[\cos(180 - \theta - \phi)] = \text{sign}(-\cos(\theta - \phi))$$

$$AB = \text{sign}[-\cos \theta \cos(\theta - \phi)]$$

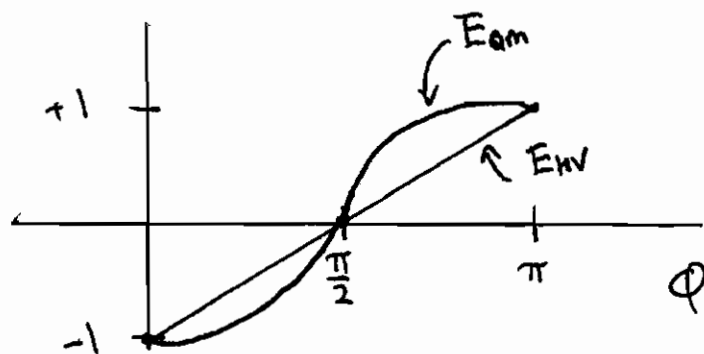
Compute AB over all possible values of hidden variable  $\theta$

$$E_{HV}(\hat{a}, \hat{b}) = \frac{1}{2\pi} \int_{-\pi/2}^{3\pi/2} AB \, d\theta$$

$$E_{HV}(\hat{a}, \hat{b}) = \frac{2}{\pi} \varphi - 1$$

Compare but  $E_{QM}(\hat{a}, \hat{b}) = -\cos \varphi$

So



$E_{QM}(\hat{a}, \hat{b}) \neq E_{HV}(\hat{a}, \hat{b})$   
for all  $\hat{a}, \hat{b}$

## Logic arguments towards Bell's inequalities

$E \Rightarrow$  expectation (or #)

Argument

If we have three properties  $A, B, C$  then if we consider the joint properties we can say

$$E(A, \bar{B}) + E(B, \bar{C}) \geq E(A, \bar{C})$$

$\bar{C} = \text{Not } C$

Proof

$$E(A, \bar{B}, C) + E(\bar{A}, B, \bar{C}) = 0 \text{ or positive integer}$$

$$E(A, \bar{B}, C) + E(\bar{A}, B, \bar{C}) \geq 0$$

(either No members of the group have these combinations of properties or some members do)

Add  $E(A, \bar{B}, \bar{C}) + E(A, B, \bar{C})$  ②

$$\begin{aligned} \textcircled{1} (E(A, \bar{B}, C) + E(A, \bar{B}, \bar{C})) + (E(\bar{A}, B, \bar{C}) \\ + E(A, B, \bar{C})) \geq 0 + \underbrace{E(A, \bar{B}, \bar{C}) + E(A, B, \bar{C})}_{E(A, \bar{C})} \end{aligned}$$

(~~True~~ For everyone either  $B$  or  $\bar{B}$  must be true.  
everyone has property  $\bar{B}$  or  $B$ )

ON LHS  $\textcircled{1} = E(A, \bar{B})$   $\textcircled{2} = E(B, \bar{C})$

So

$$E(A, \bar{B}) + E(B, \bar{C}) \geq E(A, \bar{C})$$



# Example: People

Traits

A: Male?

YES

No

male

female

B: Happy?

happy

sad

C: Glasses?

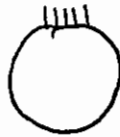
glasses

no glasses

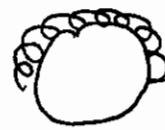
## In pictures

A:

YES



NO



B:



C:



All people will fit into at least one of eight groups



Sad men  
glasses



Sad men  
No glasses



happy men  
glasses



happy men  
no glasses



Sad women  
glasses



Sad women  
no glasses



happy women  
glasses



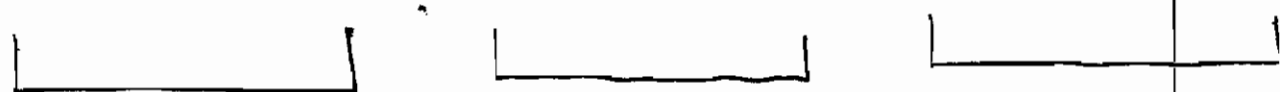
happy women  
no glasses

THE MANNA

(2),

So

$$E(\text{male, sad}) + E(\text{happy, no glasses}) \geq E(\text{male, no glasses})$$



$$(\text{Group 1} + \text{Group 2}) + (\text{Group 4} + \text{Group 8}) \geq (\text{Group 2} + \text{Group 4})$$

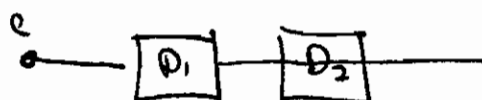
①

②

③

This inequality will be satisfied by people

What about electrons?



A: spin up  $0^\circ$ ?

B: spin up  $45^\circ$ ?

C: spin up  $90^\circ$ ?

$$E(\uparrow 0^\circ, \downarrow 45^\circ) + E(\uparrow 45^\circ, \downarrow 90^\circ)$$

$$\geq E(\uparrow 0^\circ, \downarrow 90^\circ)$$

Not spin up = spin down

For one detector  $0^\circ$   $\frac{1}{2}$  will be up /  $\frac{1}{2}$  will be down  
 $45^\circ$   $\frac{1}{2}$  will be  $\uparrow$  /  $\frac{1}{2}$  will be  $\downarrow$

Measure  $0^\circ + 45^\circ \Rightarrow$  problem

First  $0^\circ$   $\frac{1}{2} \uparrow$  /  $\frac{1}{2} \downarrow$  (Prob 1)

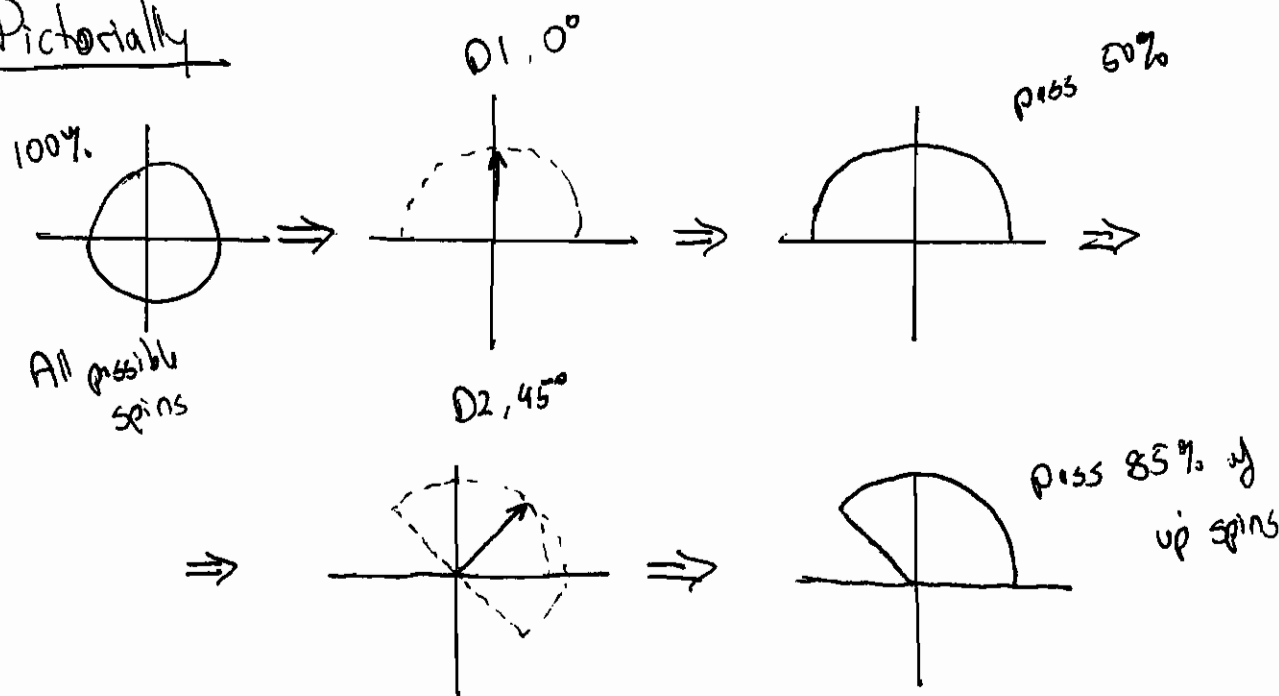
For # that pass:  $45^\circ$  85% will pass 2nd detector  
 D1

Thus

$$\boxed{\uparrow 0^\circ \rightarrow 15\% \downarrow 45^\circ}$$

Measuring spin at  $0^\circ$  changes # of electrons  $\downarrow$  at  $45^\circ$ !  
 Determining spin at  $0^\circ$  changes the state of the electron

Pictorially



Thus we have 15% of spin down

Act of measuring changes outcome

EPR setup



Measure Let A  $45^\circ$ , B  $0^\circ$

If we measure  $\uparrow 45^\circ$  at A, then we will have  $\downarrow 45^\circ$  at B

or/vic versa

Thus we have determined whether or not  $e^-$  at B is  $\uparrow 0^\circ$ ,  $\downarrow 45^\circ$  by measuring its spin at  $0^\circ$  at B & spin at  $45^\circ$  at A.

$$E(\uparrow 0^\circ_B, \uparrow 45^\circ_A) + E(\uparrow 45^\circ_B, \uparrow 90^\circ_A) \geq E(\uparrow 0^\circ_B, \uparrow 45^\circ_A)$$

QM will violate this!

## Bell's Inequality (Phys. vol 1 1964 p 195-200)

John Bell devised a logical argument in the form of an inequality as a method to test the conclusions of the EPR argument.

There are many versions of the inequality. We will look at two

1) Bell's original inequality

$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq 1 + E_{HV}(\hat{b}, \hat{c})$$

2) Clauser Horn Shimony & Holt version (CHSH)

$$-2 \leq E_{HV}(\theta, \phi) + E(\theta', \phi) + E(\theta, \phi') - E(\theta', \phi') \leq 2$$

Look at 1)

Quantum optical measurements show a violation of Bell's inequality (up to  $242\sigma$ )!

This demonstrates that no local hidden variable theory can predict the measured results, which are predicted by Quantum Mechanics

# Revisit EPR

SGA



SGB

Alice

Bob

long distance

$$|4\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle)$$

- 1) Alice orientates her SG along  $\hat{z}$   
Measures up  $S_z = +1$
- 2) So Bob's particle must have  $S_z = -1$  even if he does not measure it.
- 3) But Bob has a choice, so instead he aligns his SG along  $\hat{x}$  and measures  $+1$
- 4) Then Alice's measurement and Bob's we have measured both  $S_x$  and  $S_z$  precisely.

~~By Quantum mechanics  $S_x$  and  $S_z$  do not commute. But we have measured both of them.~~

But QM tells us that  $S_x$  and  $S_z$  do not commute so we cannot measure them precisely!

In the language of EPR, since we have determined  $S_x$  and  $S_z$  precisely, they exist before the measurement. But QM cannot tell us ~~the~~ values of both  $S_x$  &  $S_z$  so QM must be an incomplete theory.

## Bohr's Response to EPR

- Complementarity applied after long distances
- The context needed to think about the  $\hat{z}$  component of B is not compatible with what is needed to think about  $\hat{x}$  component
- Even though we can predict B without disturbing B there is no experimental situation ~~where~~ where both  $S_x$  &  $S_z$  have meaning.

## Nonlocality "hidden" in Bohr's Response

The measurement <sup>at</sup> A "collapses the wavefunction" which predetermines the result at B without the experiment of A interacting with B.

Is there a way to test this nonlocality  $\Rightarrow$  Bell's inequality

## Back to Alice & Bob

Alice orientates her SG along  $\hat{a}$

Bob orientates his SG along  $\hat{b}$

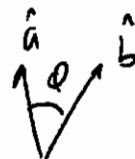
$A=1$  up  
 $A=-1$  down

$B=1$

$B=-1$

Look at product  $AB$

$$\langle AB \rangle_{qm} = -\hat{a} \cdot \hat{b} = -\cos \phi$$



$$E(\hat{A}, \hat{B}) = -\cos \phi$$

Bell's inequality shows

$$E_{HV}(\hat{a}, \hat{b}) \neq E_{qm}(\hat{a}, \hat{b}) \text{ for all } \hat{a} \neq \hat{b}$$

"No physical theory of local hidden variables can ever produce the predictions of quantum mechanics"

The next ~~is~~ Fact about the Bell's inequality is that it can be tested in the lab.

## Proof of inequality

Assume locality

A does not depend on  $\hat{b}$

B does not depend on  $\hat{a}$

$$A = A(\hat{a}, \lambda) \quad \text{not} \quad A(\hat{a}, \hat{b}, \lambda)$$

$$B = B(\hat{b}, \lambda) \quad \text{not} \quad B(\hat{a}, \hat{b}, \lambda)$$

$\lambda \equiv$  hidden variable

Compute expectation value from hidden variable theory

$$E_{HV}(\hat{a}, \hat{b}) = \int AB d\lambda$$

Technically

$$E_{HV}(\hat{a}, \hat{b}) = \int d\lambda P_A(\hat{a}, \lambda) P_B(\hat{b}, \lambda) P(\lambda)$$

Derivation

$$E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c}) = \int (A(\hat{a}, \lambda) B(\hat{b}, \lambda) - A(\hat{a}, \lambda) B(\hat{c}, \lambda)) d\lambda$$

$$\Rightarrow \text{EPR case } A(\hat{a}, \lambda) = -B(\hat{a}, \lambda) \quad \text{opposite spins}$$

$$E_{HV}(\hat{a}, \hat{b}) - E(\hat{a}, \hat{c}) = - \int (A(\hat{a}, \lambda) \overbrace{A(\hat{b}, \lambda)}^{\swarrow} - A(\hat{a}, \lambda) \overbrace{A(\hat{c}, \lambda)}^{\searrow}) d\lambda$$

$$\Rightarrow \text{using } |A(\hat{b}, \lambda)|^2 = 1$$

$$= - \int \underbrace{A(\hat{a}, \lambda) A(\hat{b}, \lambda)}_{\downarrow} [1 - A(\hat{b}, \lambda) A(\hat{c}, \lambda)] d\lambda$$

$$\Rightarrow \text{Note that } A(\hat{a}, \lambda) A(\hat{b}, \lambda) = +1 \text{ or } -1$$



$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq \left| \int (1 - A(\hat{b}, \lambda) A(\hat{c}, \lambda)) d\lambda \right|$$

$$\leq \left| \int (1 + A(\hat{b}, \lambda) B(\hat{c}, \lambda)) d\lambda \right|$$

$$\Rightarrow \text{from } A(\hat{c}, \lambda) = -B(\hat{c}, \lambda)$$

$$\leq 1 + \int A(\hat{b}, \lambda) B(\hat{c}, \lambda) d\lambda$$

So

$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq 1 + E_{HV}(\hat{b}, \hat{c})$$

Show QM violates the inequality

$$|E(\hat{a}, \hat{b}) - E(\hat{a}, \hat{c})| \leq 1 + E(\hat{b}, \hat{c})$$

Case I

$$\hat{a} = \hat{b} = \hat{c}$$

$$\phi = 0$$

$$\text{so } E_{\text{qm}}(\hat{a}, \hat{b}) = -\cos \phi = -1$$

$$E_{\text{qm}}(\hat{a}, \hat{c}) = -1$$

$$E_{\text{qm}}(\hat{b}, \hat{c}) = -1$$

Into inequality

$$|(-1) - (-1)| \stackrel{?}{\leq} 1 + (-1)$$

$$0 \leq 0 \quad \checkmark \quad \underline{\text{OK}} \quad \text{No violation!}$$

Case II

$\hat{b}$  makes  $60^\circ$  angle with  $\hat{a}$ ,  $\hat{c}$  makes  $60^\circ$  angle with  $\hat{b}$

$$E_{\text{qm}}(\hat{a}, \hat{b}) = -\cos 60 = -1/2$$

$$E_{\text{qm}}(\hat{a}, \hat{c}) = -\cos(120) = +1/2$$

$$E_{\text{qm}}(\hat{b}, \hat{c}) = -\cos(60) = -1/2$$

$$|(-1/2) - (1/2)| \stackrel{?}{\leq} 1 + (-1/2)$$

$$1 \stackrel{?}{\leq} 1/2 \quad \underline{\text{NOT OK}} \quad \underline{\text{Violation!}}$$

## Objections on the concept of nonlocality

Newton "philosophical absurdity"

Einstein "Spooky" action at a distance

Bohm "cannot see any well-founded reason for such objections..."

Aspect See Nohre Paper

# EPR + Bell's Thm

## Review

### Bell's Thm

No local realistic hidden variable theory will give the same results as QM

$$\boxed{E_{HV}(\hat{a}, \hat{b}) \neq E_{QM}(\hat{a}, \hat{b})}$$

for all  $\hat{a}, \hat{b}$

Bell's Inequality (with respect to EPR)

$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq 1 + E_{HV}(\hat{b}, \hat{c})$$

QM violates this inequality

We will now look at a more useful version of the Bell's inequality

Clauser Horne Shimony Holt

11/30/10

# Optical Test of EPR: Experimental violations of the Bell's inequality

We will discuss ~~two~~ <sup>three</sup> experiments that perform Bohm's version of EPR with photons

1) Aspect et al.

Three papers here

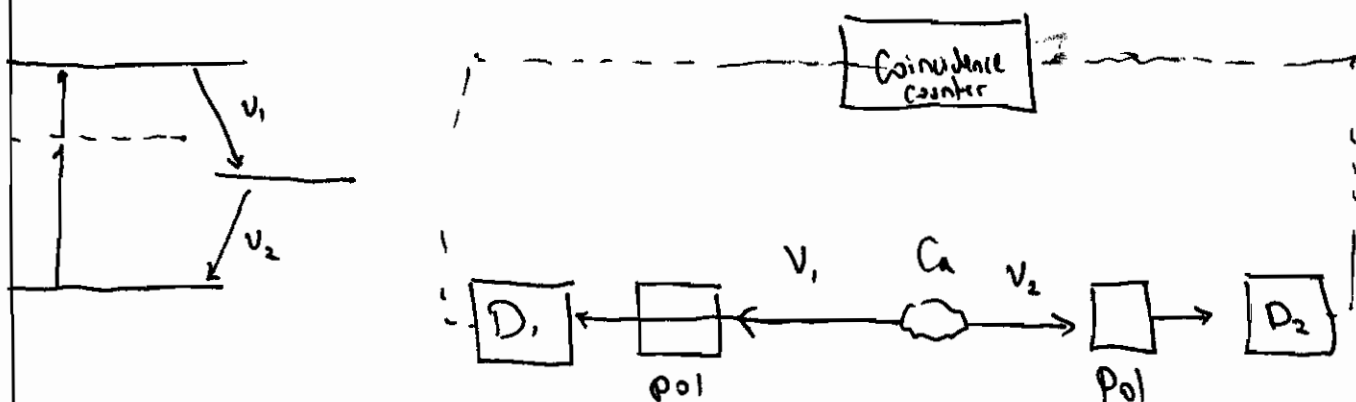
- a) PRL 47 (7) 1981 Single channel detectors
- b) PRL 49 (2) 1982 Double channel detectors
- c) PRL 49 (25) 1982 Time gated ~~double~~ <sup>single</sup> channel detectors

2) Ou & Mandel PRL 61 (1) 1988

3) Hong Ou & Mandel PRL 59 (16) 1988

a) Aspect et al PRL 47 (7) 1981

Used Cascaded Ca source with single channel detectors

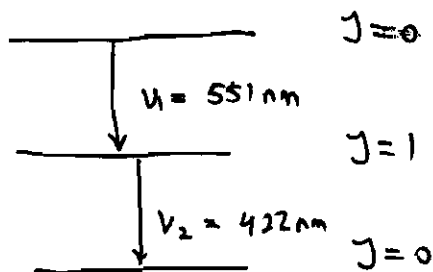


Problem: single channel detectors. If you don't detect a photon  
Was it due to polarization or  
detector efficiency?

Important to note:

photon polarization states are isomorphic to spin  $\frac{1}{2}$  states (EPR)

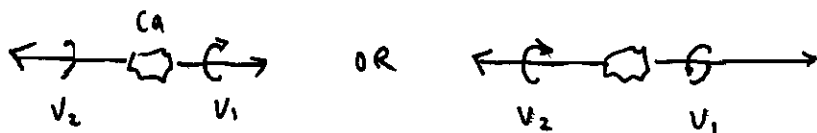
Decay path



angular momentum conserved : photons have opposite <sup>angular</sup> momenta

Spin angular momentum  $\Rightarrow$  related to polarization

if photons in opposite directions  $\Rightarrow$  Handedness must be same



$$|\psi\rangle = \frac{1}{\sqrt{2}} (|R\rangle, |R\rangle_2 + |L\rangle, |L\rangle_2)$$

Aspect experiment  $|H\rangle + |V\rangle$

$$|R\rangle = \frac{1}{\sqrt{2}} (|H\rangle + i|V\rangle) \quad |L\rangle = \frac{1}{\sqrt{2}} (|H\rangle - i|V\rangle)$$

So

$$|\psi\rangle = \frac{1}{\sqrt{2}} (|H\rangle, |H\rangle_2 - |V\rangle, |V\rangle_2)$$

(Bell state  $|\Phi^-\rangle$ )

After measurement! If photon 1 is horizontal, photon 2 will be vertical

Define  $A + B$

$A = +1$  pol  $\parallel$  to alicia  $\hat{a}$

$A = -1$  pol  $\perp$  to alicia  $\hat{a}$

$B = +1$  pol  $\parallel$  to Bob's  $\hat{b}$

$B = -1$  pol  $\perp$  to Bob's  $\hat{b}$

$$E(\hat{a}, \hat{b}) = AB(++ ) P_{++}(\hat{a}, \hat{b}) + AB(-- ) P_{--}(\hat{a}, \hat{b}) \\ + AB(+- ) P_{+-}(\hat{a}, \hat{b}) + AB(-+ ) P_{-+}(\hat{a}, \hat{b})$$

$AB = 1$  if Bob & Alice get same result  
 $-1$  if Bob & Alice get different results

So

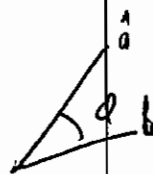
$$E(\hat{a}, \hat{b}) = P_{++}(\hat{a}, \hat{b}) + P_{--}(\hat{a}, \hat{b}) \\ - P_{+-}(\hat{a}, \hat{b}) - P_{-+}(\hat{a}, \hat{b})$$

From QM

$$P_{++}(\hat{a}, \hat{b}) = P_{--}(\hat{a}, \hat{b}) = \frac{1}{2} \cos 2\theta$$

$$P_{+-}(\hat{a}, \hat{b}) = P_{-+}(\hat{a}, \hat{b}) = \frac{1}{2} \sin(2\theta)$$

$$E_{qm}(\hat{a}, \hat{b}) = \cos 2\theta$$



# Generalization of Bell's Thm ( Clauser Horne Shimony Holt PRL 1969)

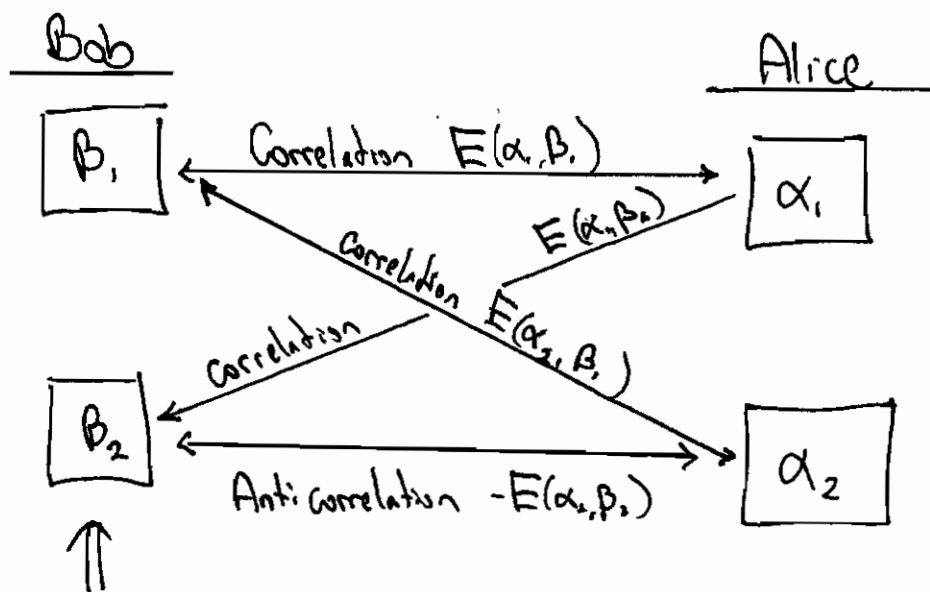
Bell's inequality, (Based on Bohm's version of EPR gedankenexperiment)

Define  $S_{HV} = E_{HV}(\alpha_1, \beta_1) + E_{HV}(\alpha_1, \beta_2) + E_{HV}(\alpha_2, \beta_1) - E_{HV}(\alpha_2, \beta_2)$

Then

~~then~~

$$-2 \leq S_{HV} \leq 2$$



~~Two choices of~~  
Two choices of  
Bob's settings

Two choices of  
Alice's settings



Proof

First start with Mermin's lemma

Mermin's lemma: If  $x_1, x_2, y_1, y_2$  are real #'s in interval  $[-1, +1]$  then  $S \equiv x_1 y_1 + x_1 y_2 + x_2 y_1 - x_2 y_2$  lies in interval  $[-2, 2]$

Proof  $S$  is a linear function of each variable so it takes an extreme value when one of the arguments is extreme.

$$(x_1, x_2, y_1, y_2) = (\pm 1, \pm 1, \pm 1, \pm 1)$$

$$\boxed{\text{For terms so } |S| \leq 4} \quad (1)$$

$$\text{Rewrite } S = \underbrace{(x_1 + x_2)(y_1 + y_2)}_{\text{Average of } x_1, x_2 \text{ and } y_1, y_2} - 2x_2 y_2$$

$$x_1 + x_2 \quad \text{Extrema } 0 \text{ or } \pm 2$$

$$(x_1 + x_2)(y_1 + y_2) \quad \text{Extrema } 0 \text{ or } \pm 4$$

$$2x_2 y_2 \quad \text{Extrema } \pm 2$$

$$\boxed{S \quad \text{Extrema } \pm 2 \text{ or } \pm 6}$$

But from (1) the extrema of  $S$  cannot be  $\pm 6$

Thus. Extrema of  $S$  are  $\pm 2$

$$|S| \leq 2$$

$$-2 \leq S \leq 2$$

## Now For CHSC Bell's Inequality

$$\begin{aligned}\text{Let } x_1 &= E(\lambda, \alpha_1) \\ x_2 &= E(\lambda, \alpha_2) \\ y_1 &= E(\lambda, \beta_1) \\ y_2 &= E(\lambda, \beta_2)\end{aligned}$$

From Mermin's lemma

$$\begin{aligned}-2 \leq E(\lambda, \alpha_1)E(\lambda, \beta_1) + E(\lambda, \alpha_1)E(\lambda, \beta_2) \\ + E(\lambda, \alpha_2)E(\lambda, \beta_1) - E(\lambda, \alpha_2)E(\lambda, \beta_2) \leq 2\end{aligned}$$

Assuming locality  $E(\lambda, \alpha, \beta) = E(\lambda, \alpha)E(\lambda, \beta)$

$$-2 \leq E(\lambda, \alpha_1, \beta_1) + E(\lambda, \alpha_1, \beta_2) + E(\lambda, \alpha_2, \beta_1) - E(\lambda, \alpha_2, \beta_2) \leq 2$$

Integrate over  $\lambda$   $\int \rho(\lambda) d\lambda$

Thus  $\boxed{-2 \leq S \leq 2}$

3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

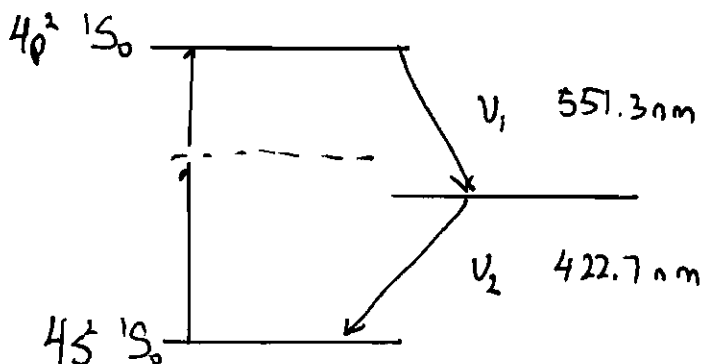
COMET

b) Aspect et al PRL 41(2) 1982

Use gated single photon source described before (Ca)

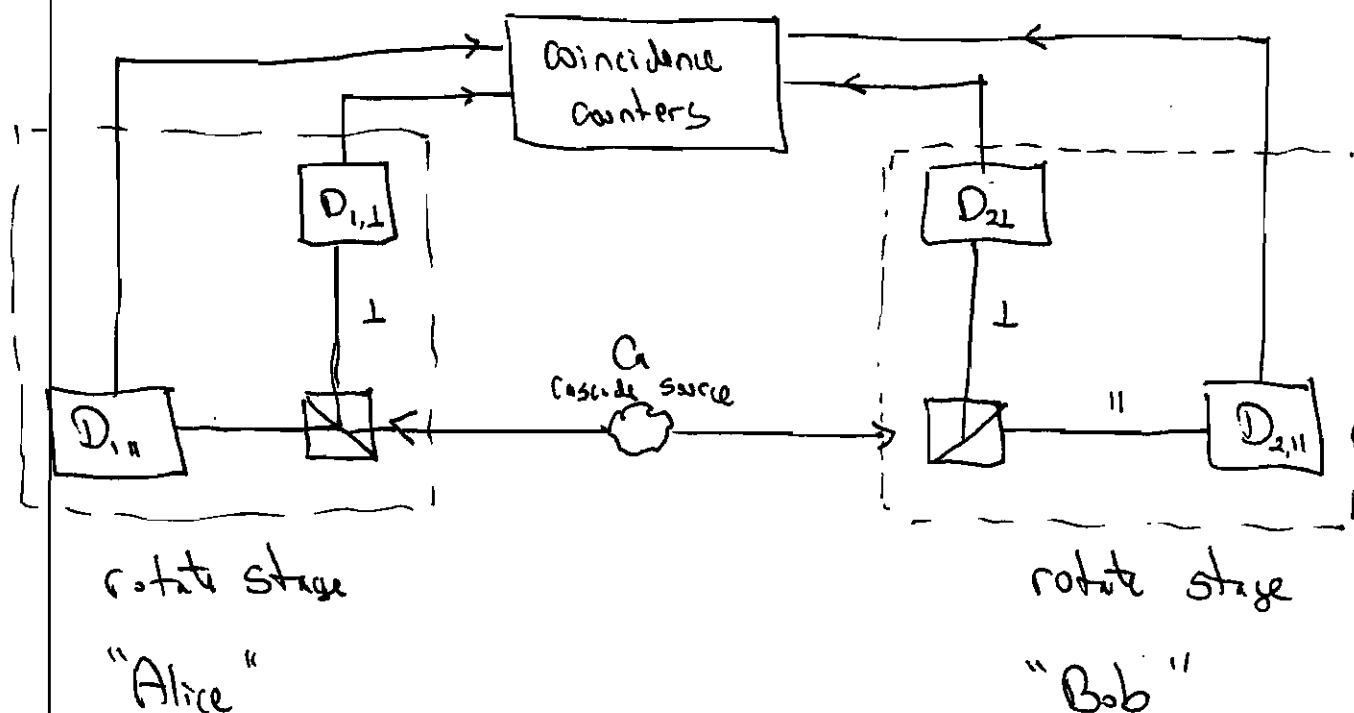
Europhys Let

PRL 47(7) 1981



Ca Cascade source

Experimental setup



Measurements

$$P_{\pm\pm}(\hat{a}, \hat{b})$$

Prob of measuring  $\pm$  along  $\hat{a}$   
 $\pm$  along  $\hat{b}$

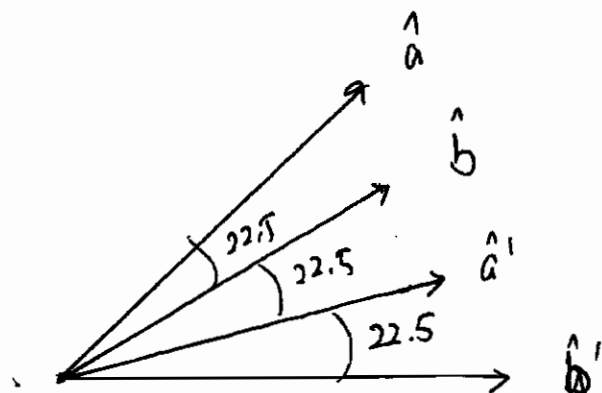
Measure coincident rates  $R_{\pm\pm}(\hat{a}, \hat{b})$

$\Rightarrow$  problem: low detector efficiency

Measured

$$E(\hat{a}, \hat{b}) = \frac{R_{++}(\hat{a}, \hat{b}) + R_{--}(\hat{a}, \hat{b}) - R_{+-}(\hat{a}, \hat{b}) - R_{-+}(\hat{a}, \hat{b})}{\text{total counts}}$$

do for orientations  $\hat{a}, \hat{b}$   
pick different orientations



Local hidden variable theory says  $-2 \leq S \leq 2$

QM gives

$$E(\hat{a}, \hat{b}) = F \frac{(T_1'' - T_1')(T_2'' - T_2')}{(T_1'' + T_1')(T_2'' + T_2')} \cos(2\theta)$$



$$\boxed{S_{qm} = 2.70 \pm 0.05} \quad \left\{ \begin{array}{l} \text{For perfect detectors + lossless cubes} \\ \boxed{S_{am} = 2\sqrt{2}} \end{array} \right.$$

Measured

$$\boxed{S_{exp} = 2.697 \pm 0.015} \quad (\text{violates LHV by } 4\sigma).$$

## Issues with measurement : loopholes

⇒ Low detector efficiency

For non ideal detectors

$$E(\hat{a}, \hat{b}) = -\eta_{\text{det}}^2 \cos 2\theta$$

QM gives  $S = \eta_{\text{det}}^2 2\sqrt{2}$

Bell's inequality violated when  $S > 2$

So the detector efficiency must be larger than

$$\eta_{\text{det}} \geq 1/\sqrt{2} \approx 0.84$$

$84\%!$

Thus not all pairs will register counts

### Fair sampling Assumption

Detectors perform fair sampling of ensemble of all events  
When photons are detected they represent the ensemble.

$$E(\hat{a}, \hat{b}) = \frac{\text{Average}(A(\hat{a}) B(\hat{b}))}{\text{Average}(N_A N_B)} \sim \frac{\eta_A \eta_B}{\eta_A \eta_B}$$

$$\text{Average}(N_A N_B) = P(\hat{a}, \hat{b}) + P(\hat{a}^+, \hat{b}^+) + P(\hat{a}, \hat{b}^+) + P(\hat{a}^+, \hat{b})$$

# Detection Loophole NOT resolved

## Loopholes

⇒ Static experiment / Locality Loophole  
possible orientation at Bob could be signaled to Alice.

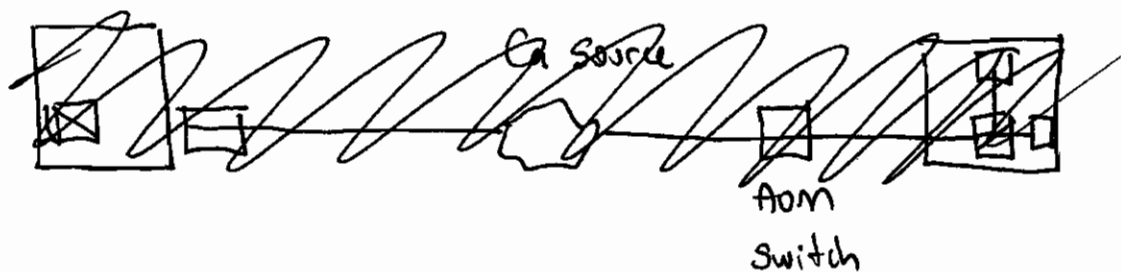
$$A = A(\hat{a}, \lambda) \quad \underline{\underline{\text{not}}} \quad A(\hat{a}, \hat{b}, \lambda)$$

c) Resolved in Aspect. PRL 49 1982

- use AOM as switch
- change on time ~~shorter~~ shorter than time for light to travel from Alice to Bob (40 ft)

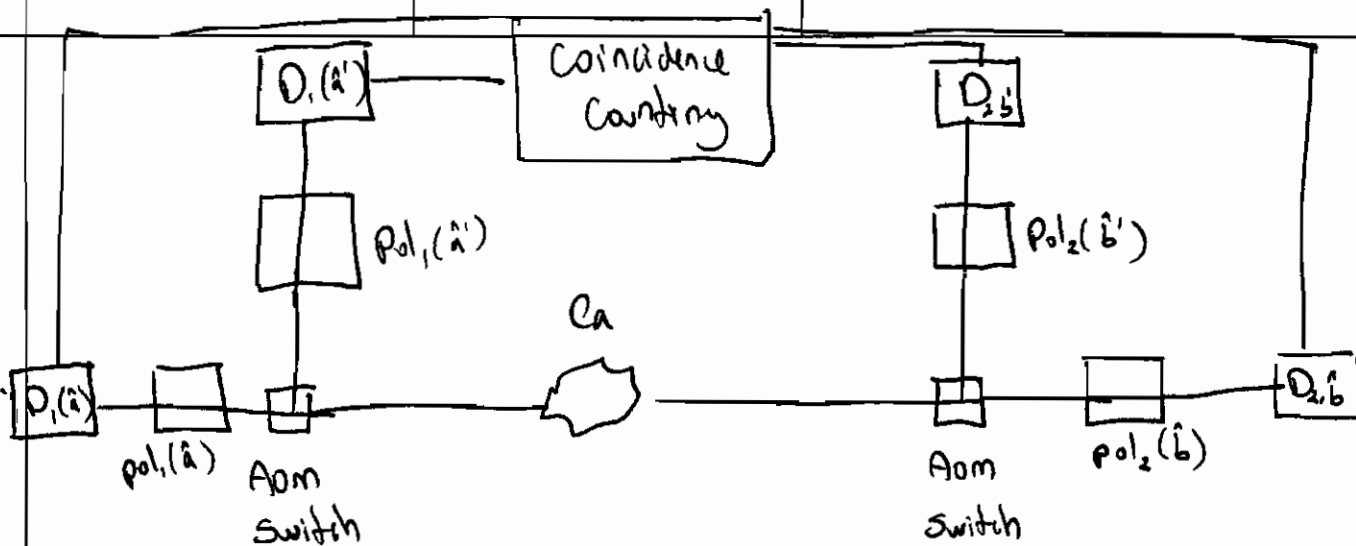
$$\Rightarrow 40 \text{ ns}$$

- switch orientation of flight path of each photon between the two polarizers.



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 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

COMET



Schematic of Aspect PRL 49 1482

Loophole resolved

also by further experiments

~~Single Photon Sources~~

# Generation of Polarization Entangled States

## 1. Spontaneous parametric down conversion

Nonlinear optics with quantized pump electric field

Interaction Hamiltonian

$$\hat{H}_I \sim \chi^{(2)} \hat{a}_p \hat{a}_s^\dagger \hat{a}_i^\dagger + \chi^{(2)*} \hat{a}_p^\dagger \hat{a}_s \hat{a}_i$$

H.C.  
= Hermitian conjugate

post selection  
after aperture

(destroy pump photon to create  
signal + idler photon)

$$|1\rangle_p |0\rangle_s |0\rangle_i \xrightarrow{\chi^{(2)}} \hat{a}_p \hat{a}_s^\dagger \hat{a}_i^\dagger |1\rangle_p |0\rangle_s |0\rangle_i \xrightarrow{\text{spontaneous}} |0\rangle_p |1\rangle_s |1\rangle_i$$

$$\omega_p = \omega_s + \omega_i$$

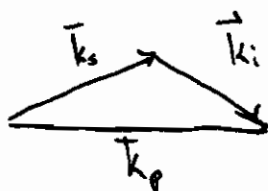
$$\vec{k}_p = \vec{k}_s + \vec{k}_i$$

Two types: Type I + Type II

Type I: signal + idler have the same polarization.

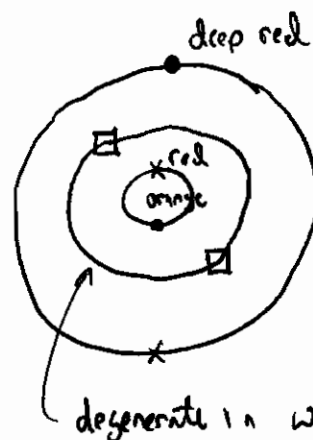
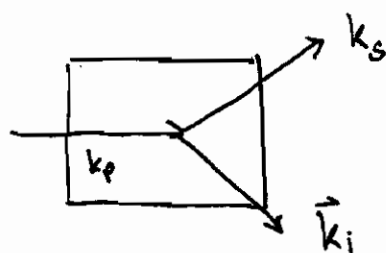
$$\hat{H}_I = \hbar \gamma \hat{a}_s^\dagger \hat{a}_i^\dagger \quad \gamma \sim \chi^{(2)} \epsilon_p$$

phasematching  
noncollinear





Signal + idler emerge on opposite sides of concentric cones



Symbols  $\Rightarrow$  conjugate pairs

## Type II down conversion

Signal & idler have  $\perp$  polarizations

Because of crystal birefringence get two cones

Ordinary (o) cone

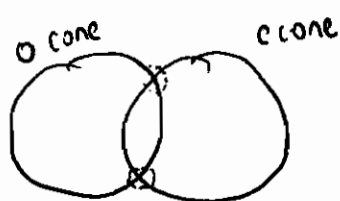
extraordinary (e) cone

$|V\rangle$

Horizontal & vertical polarized single photon states

$|H\rangle$

\* photons at intersection will have ambiguity to whether the signal or idler photons will be horizontally or vertically polarized.



Ambiguity in  $|H\rangle$  or  $|V\rangle$

For Type II

$$\hat{H}_I = \hbar \eta (\hat{a}_{V_s}^\dagger \hat{a}_{H_i}^\dagger + \hat{a}_{H_s}^\dagger \hat{a}_{V_i}^\dagger) + \text{H.C.}$$

post selection after pinholes

Start with  $|\psi_0\rangle = |\{0\}\rangle$  collective vacuum

$$|\psi(t)\rangle = \exp(-it \hat{H}_I / \hbar) |\psi_0\rangle$$

$H_I$  has no explicit time dependence

$$|\psi(t)\rangle \approx [1 - it \hat{H}_I / \hbar + \frac{1}{2} (-it \hat{H}_I / \hbar)^2] |\psi_0\rangle$$

For Type I

$$|\psi(t)\rangle \approx (1 - (\eta t)^2 / 2) |0\rangle_s |0\rangle_i - i(\eta t) |1\rangle_s |1\rangle_i$$

For Type II

$$|\psi(t)\rangle \approx (1 - (\eta t)^2 / 2) |0\rangle_{V_s} |0\rangle_{H_s} |0\rangle_{V_i} |0\rangle_{H_i}$$

$$-i \eta t \frac{1}{\sqrt{2}} (|1\rangle_{V_s} |0\rangle_{H_s} |0\rangle_{V_i} |1\rangle_{H_i} + |0\rangle_{V_s} |1\rangle_{H_s} |1\rangle_{V_i} |0\rangle_{H_i})$$

Define  $|0\rangle := |0\rangle_V |0\rangle_H$   $|V\rangle := |1\rangle_V |0\rangle_H$   $|H\rangle := |0\rangle_V |1\rangle_H$

$$|\psi(t)\rangle = (1 - (\eta t)^2 / 2) |0\rangle_s |0\rangle_i$$

$$-i(\eta t) |V\rangle_s |H\rangle_i + |H\rangle_s |V\rangle_i$$

2nd term call it  $|\psi^+\rangle$

$$|\psi^+\rangle = \frac{1}{\sqrt{2}} (|V\rangle_s |H\rangle_i + |H\rangle_s |V\rangle_i)$$

Get 1 out of 4 Bell state's

$$|\Psi^\pm\rangle = \frac{1}{\sqrt{2}} (|H\rangle_1 |V\rangle_2 \pm |V\rangle_1 |H\rangle_2)$$

$$|\Phi^\pm\rangle = \frac{1}{\sqrt{2}} (|H\rangle_1 |H\rangle_2 \pm |V\rangle_1 |V\rangle_2)$$

COMET

3-0235 — 50 SHEETS — 5 SQUARES  
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3-0137 — 200 SHEETS — FILLER

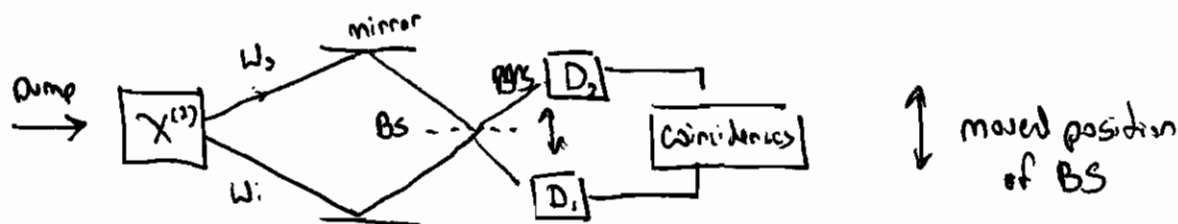
## Hong-Ou-Mandel Interferometer

Two single photon states on Beamsplitter

$$|1\rangle, |1\rangle \xrightarrow{BS} \frac{1}{\sqrt{2}} (|2\rangle_2 |0\rangle_3 + |0\rangle_2 |2\rangle_3)$$

Do not see simultaneous clicks at  $D_1 + D_2$

- Use Type I source KDP : Measure time separation two photons hitting BS



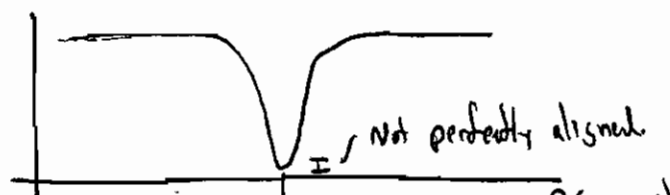
- Changing BS position causes a slight time delay when signal or idler arrive at BS.

- With a slight delay the photons independently reflect or transmit thru the beam splitter, causing both detectors to fire within a short time of each other.

$$R_{\text{coincidence}} \sim 1 - e^{-\Delta\omega^2(\tau_s - \tau_i)^2} \quad \left\{ \begin{array}{l} l_s = c\tau_s \\ l_i = c\tau_i \end{array} \right.$$

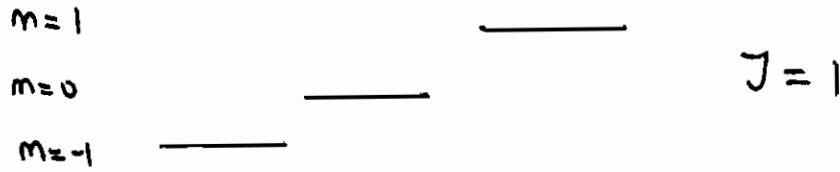
for  $\tau_s - \tau_i = 0$   $R_{\text{coinc}} = 0$

Rate of coincidences is max at  $|\tau_s - \tau_i| \gg \tau_{\text{corr}}$   $\tau_{\text{corr}} = \frac{1}{\Delta\omega}$



## 2. Cascade Emission for generation of polarization entangled states

Transition  $J \rightarrow 0 \rightarrow 1 \rightarrow 0$



Process produces  
two photons

Output  
State

$$|4\rangle = \frac{1}{\sqrt{2}} (|H\rangle_1 |H\rangle_2 + |V\rangle_1 |V\rangle_2)$$

rewrite in terms of circularly polarized light  $|+\rangle$   $|-\rangle$

$$|4\rangle = \frac{1}{\sqrt{2}} (|+\rangle_1 |-\rangle_2 + |-\rangle_1 |+\rangle_2)$$

# Photons Like Spins

More optical tests of local realistic theories : EPR with photons from parametric sources

Type I + Type II processes can generate the Bell states

$$|\psi^\pm\rangle, |\Phi^\pm\rangle$$

Start with  $|\psi^-\rangle = \frac{1}{\sqrt{2}} (|H\rangle_1 |V\rangle_2 - |V\rangle_1 |H\rangle_2)$

Define  $\theta + \phi$  : directions for mode 1 + 2

For  $\phi = \theta$

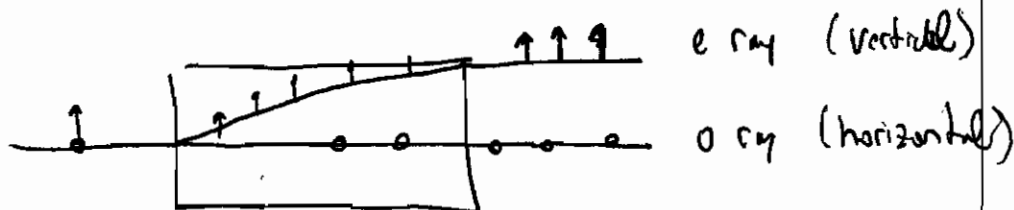
$$|\psi^-\rangle = \frac{1}{\sqrt{2}} (|\theta\rangle_1 |\theta^\perp\rangle_2 - |\theta^\perp\rangle_1 |\theta\rangle_2)$$

where  $|\theta\rangle \equiv \cos \theta |H\rangle + \sin \theta |V\rangle$   
 $|\theta^\perp\rangle \equiv -\sin \theta |H\rangle + \cos \theta |V\rangle$

$|\psi^-\rangle \equiv$  equivalent to singlet spin state

~~used~~ For testing Bell's inequality

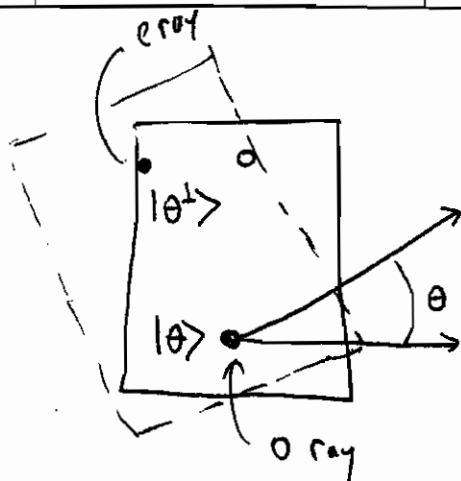
use calcite crystal



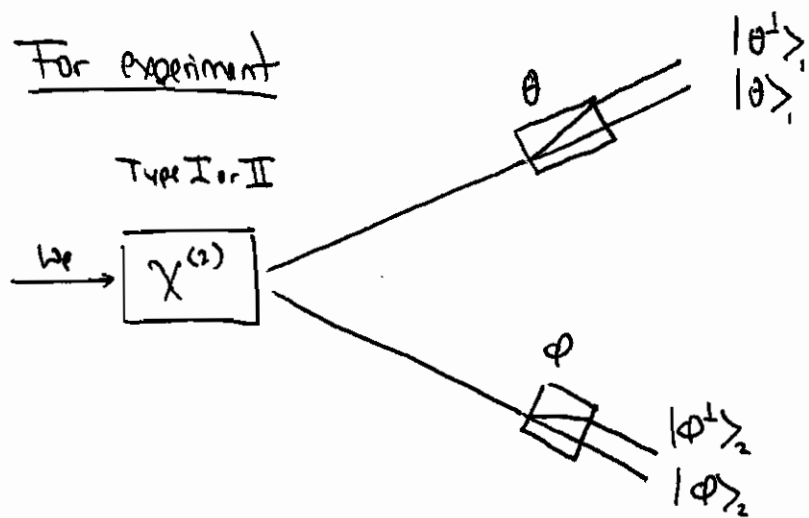
(Like Stern Gerlach)

3-0235 — 50 SHEETS — 5 SQUARES  
 3-0236 — 100 SHEETS — 5 SQUARES  
 3-0237 — 200 SHEETS — 5 SQUARES  
 3-0137 — 200 SHEETS — FILLER

COMET



For experiment

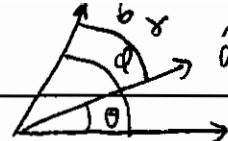


Consider

$$|H\rangle \equiv o \text{ ray} \quad |V\rangle \equiv e \text{ ray}$$

For rotation by  $\theta$

$$\begin{aligned} | \theta \rangle & o \text{ ray} \\ | \theta^\perp \rangle & e \text{ ray} \end{aligned}$$



$$\gamma = \theta - \phi$$

Define correlation  
(Show we get the same correlation)

$$E(\theta, \phi) = \text{Ave} [A(\theta)B(\phi)]$$

$$A(\theta) = +1 \quad \text{photon out of "o" beam } |\theta\rangle_1$$

$$= -1 \quad \text{photon out of "e" beam } |\theta^\perp\rangle_1$$

$$B(\phi) = +1 \quad \text{photon out of o beam } |\phi\rangle_2$$

$$" \quad " \quad |\phi^\perp\rangle_2$$

Product  $A(\theta)B(\phi)$

$$+1 \quad |\theta\rangle_1 |\phi\rangle_2 \quad \text{or} \quad |\theta^\perp\rangle_1 |\phi^\perp\rangle_2$$

$$-1 \quad |\theta\rangle_1 |\phi^\perp\rangle_2 \quad \text{or} \quad |\theta^\perp\rangle_1 |\phi\rangle_2$$

$$E(\theta, \phi) = -\cos 2(\theta - \phi) = -\cos 2\gamma$$

Get same result as for spin  $1/2$  states

Can get this, using  
also

$$E(\theta, \phi) = \langle \psi^- | \hat{\Sigma}_3^{(1)}(\theta) \hat{\Sigma}_3^{(2)}(\phi) | \psi^- \rangle = -\cos(2(\theta - \phi))$$

where

$$\hat{\Sigma}_3^{(1)}(\theta) = |\theta\rangle_1 \langle \theta| - |\theta^\perp\rangle_1 \langle \theta^\perp|$$

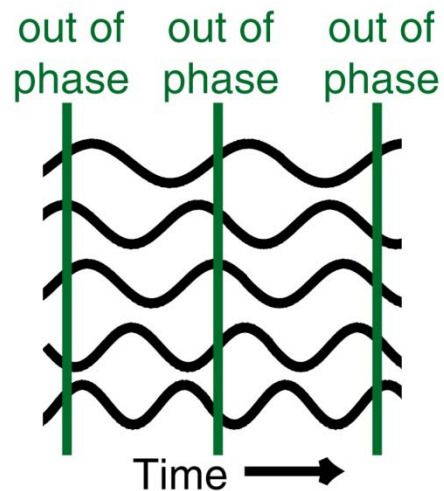
$$\hat{\Sigma}_3^{(2)}(\phi) = |\phi\rangle_2 \langle \phi| - |\phi^\perp\rangle_2 \langle \phi^\perp|$$



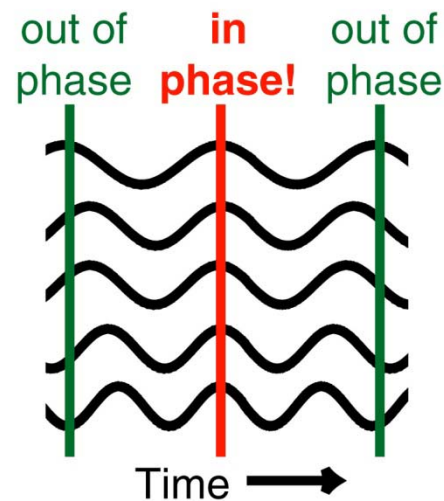
# Lecture Power Point Slides

# Generating short pulses = mode-locking

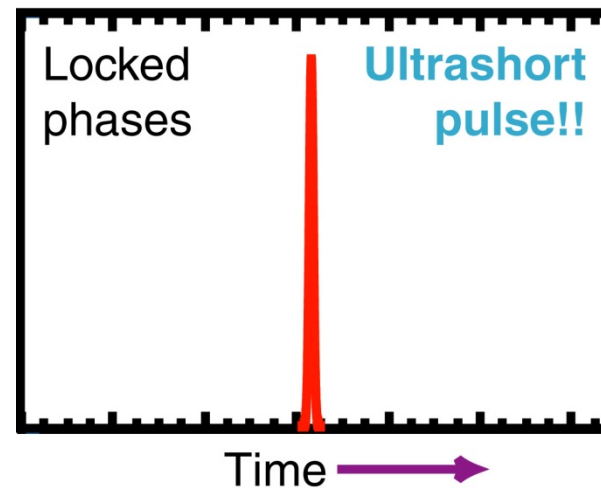
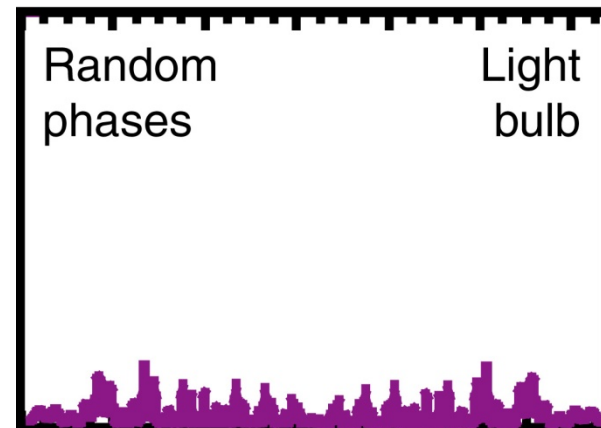
**Random**  
phases  
of all  
laser  
modes



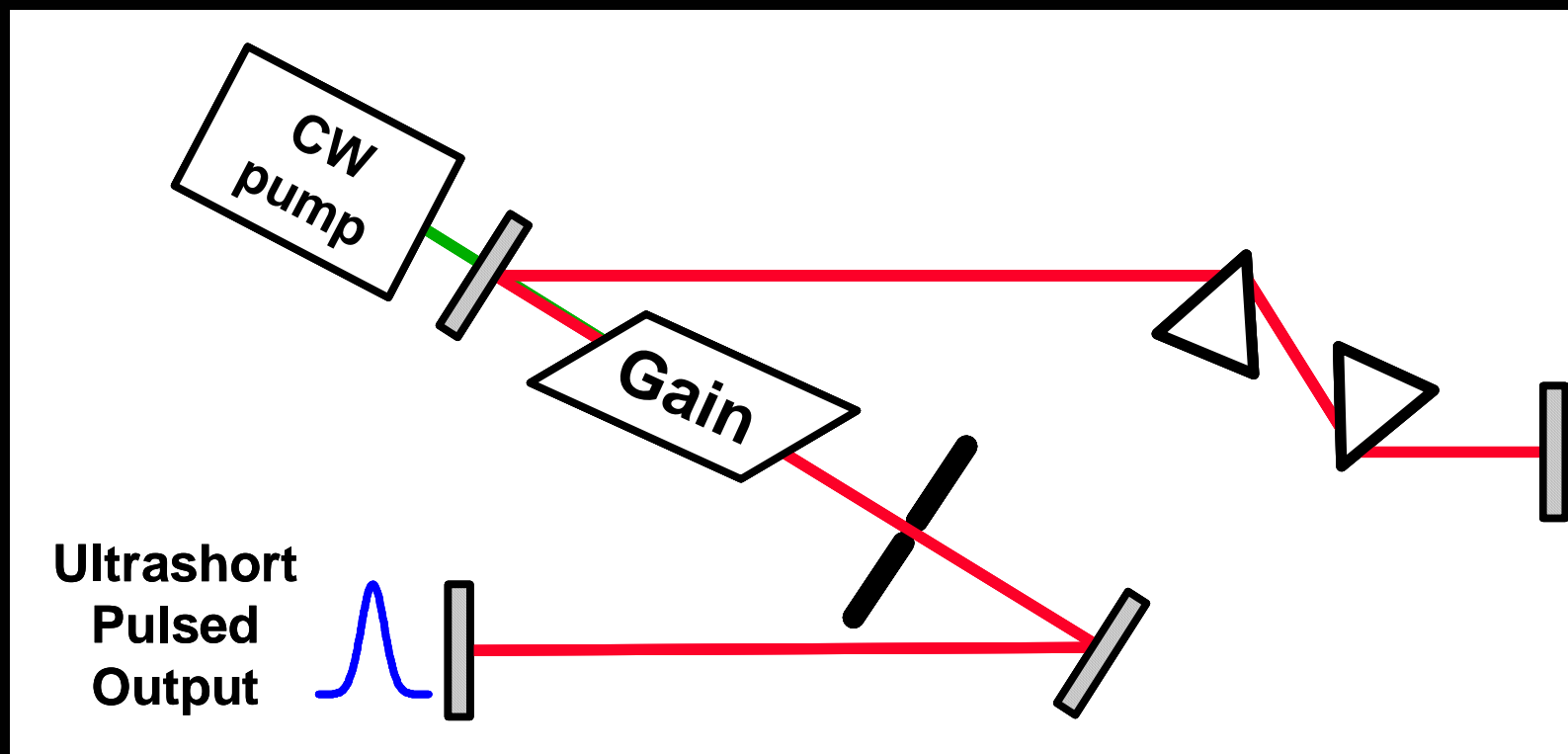
**Locked**  
phases  
of all  
laser  
modes



Irradiance vs. time



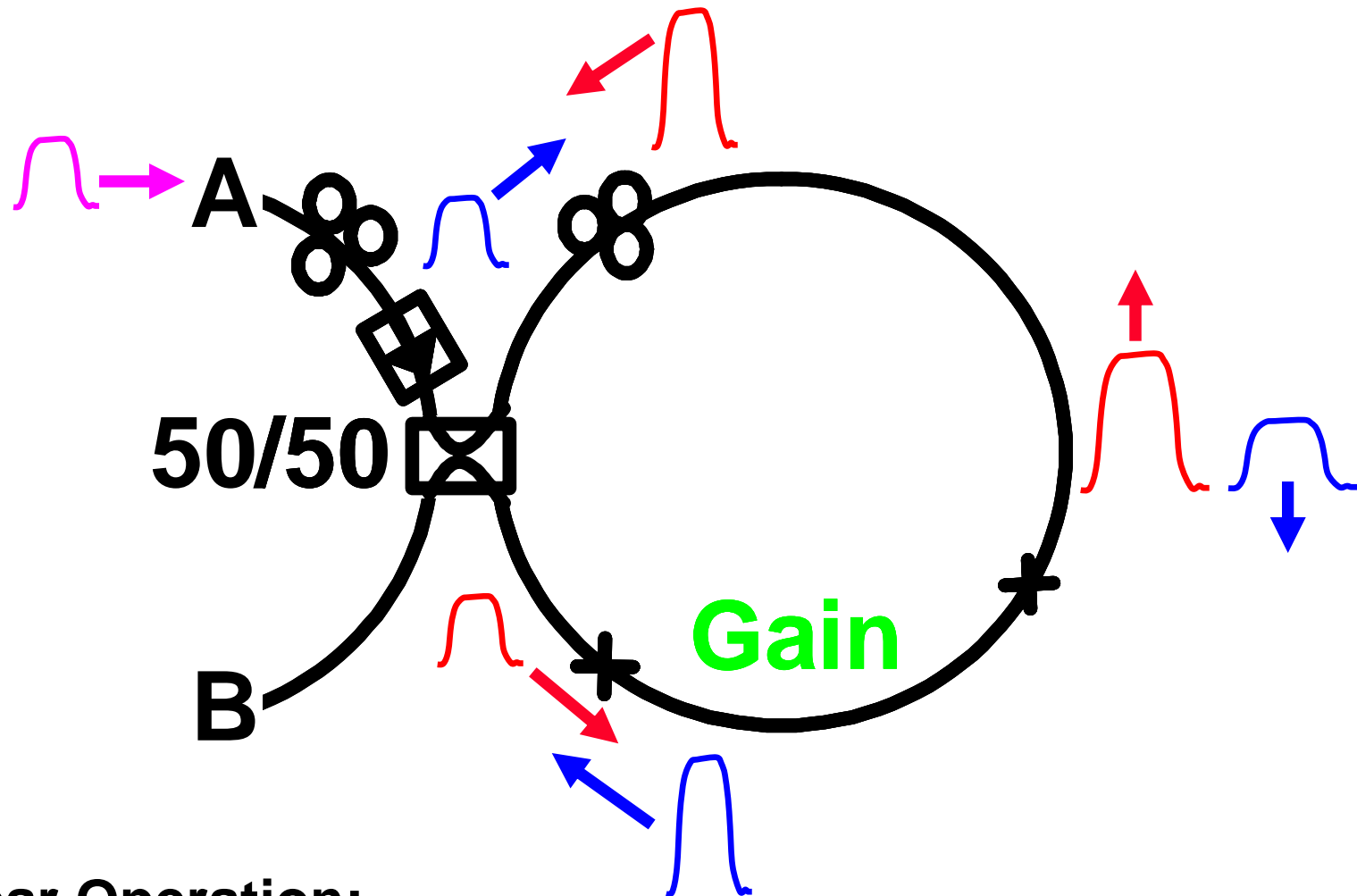
# Kerr Lens Modelocked Laser



## Elements of mode-locked lasers

- Feedback
- Pump source
- Gain element
- Saturable absorber
- Dispersion compensation

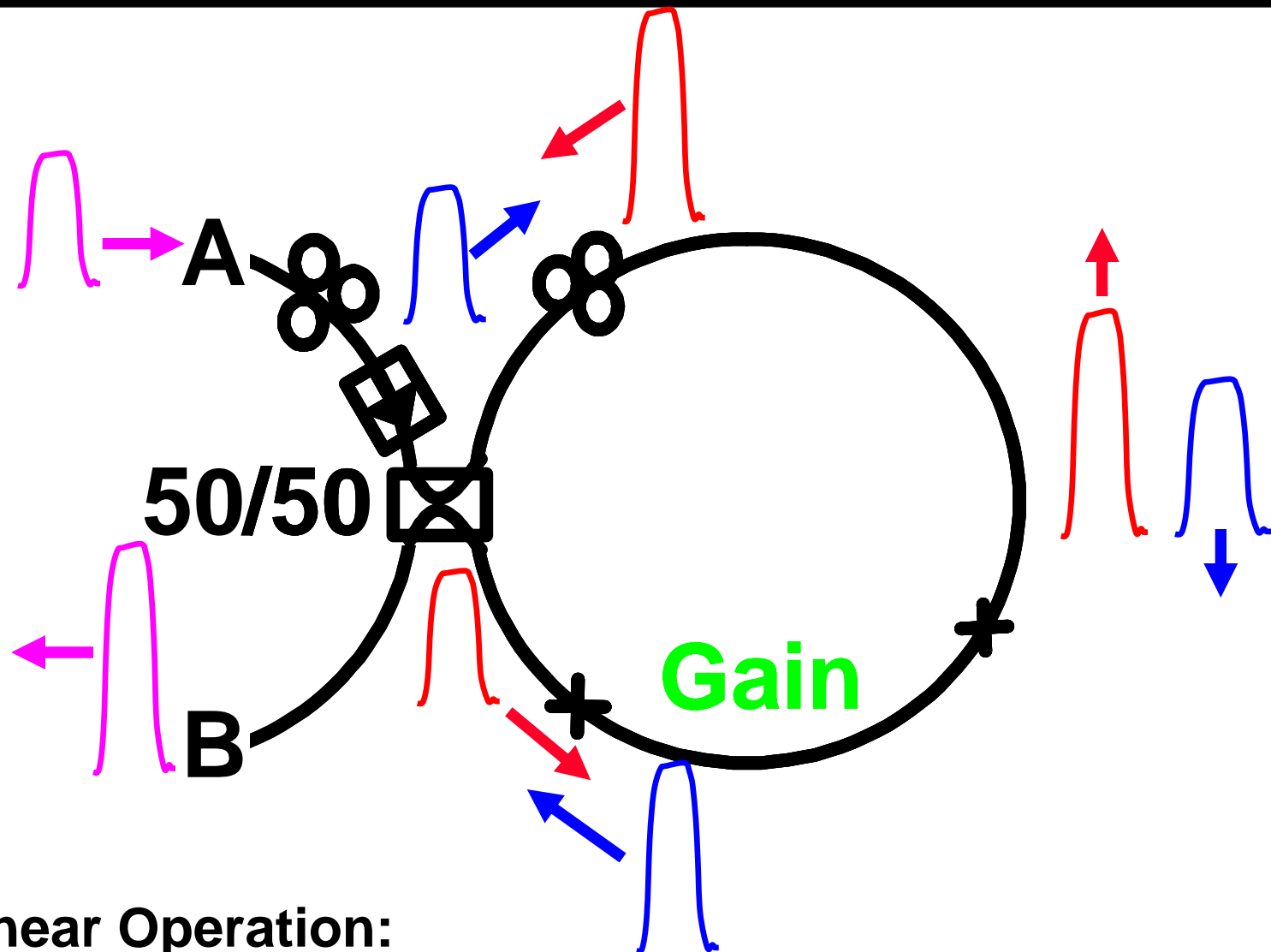
# Nonlinear Loop Mirror: Linear Operation



**Linear Operation:**

**No phase shift between interferometer arms**

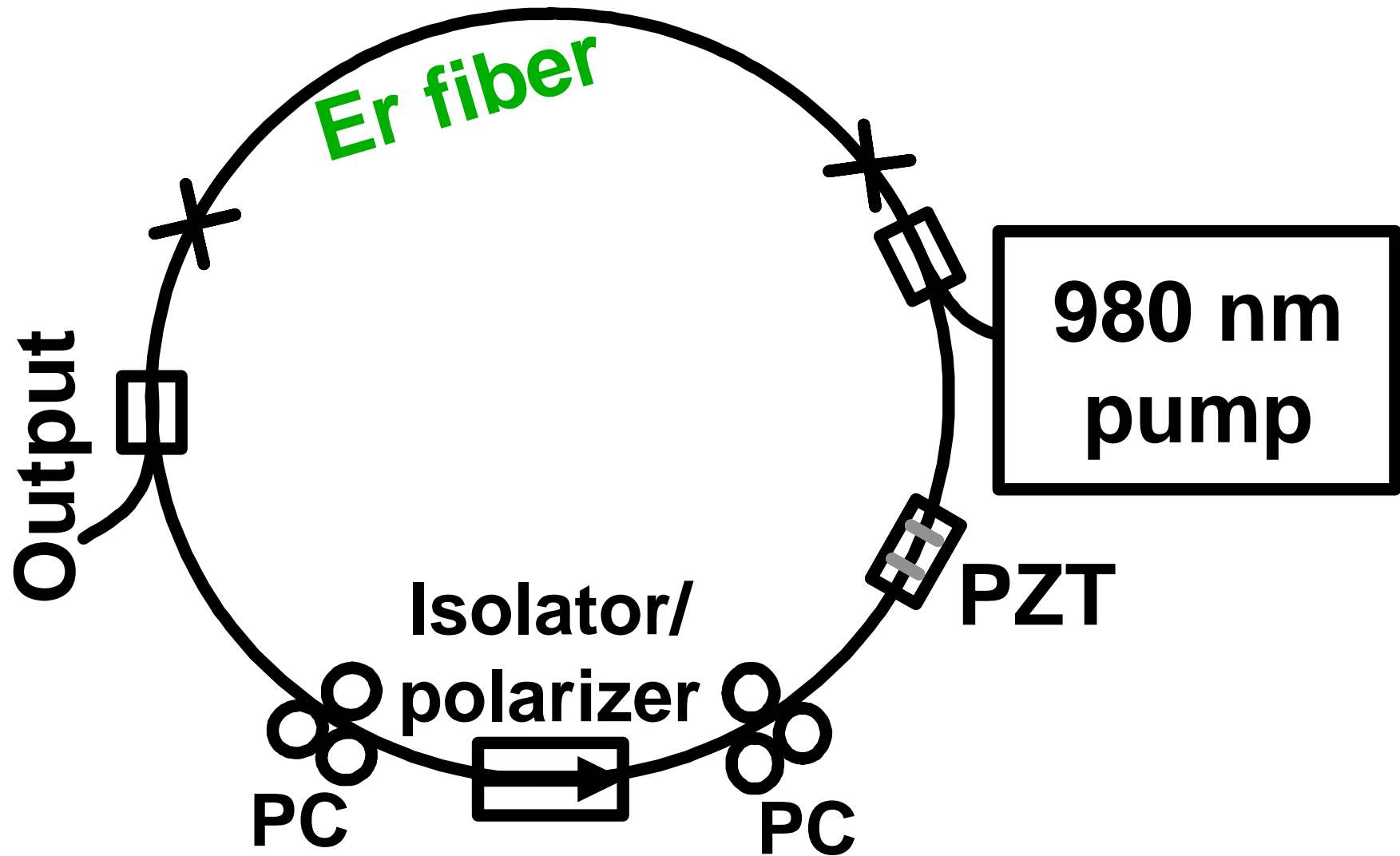
# Nonlinear Loop Mirror: Nonlinear Operation



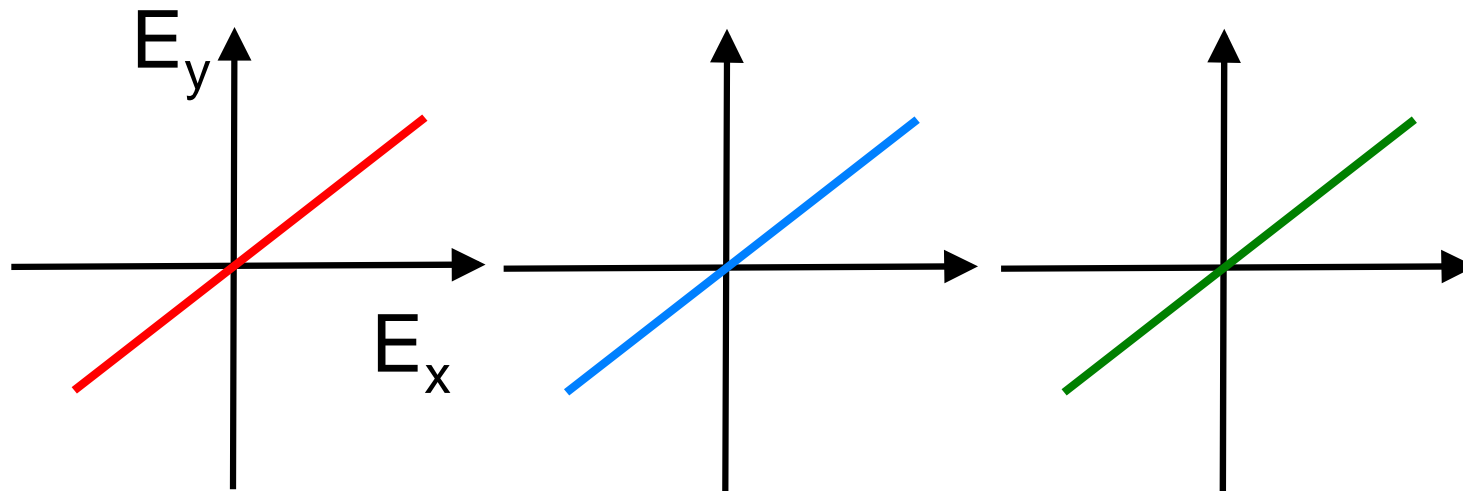
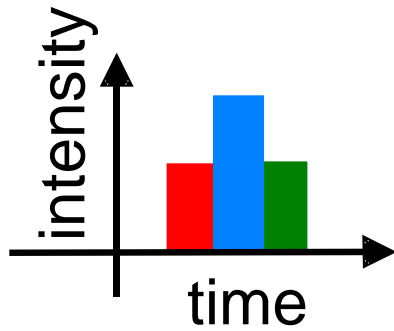
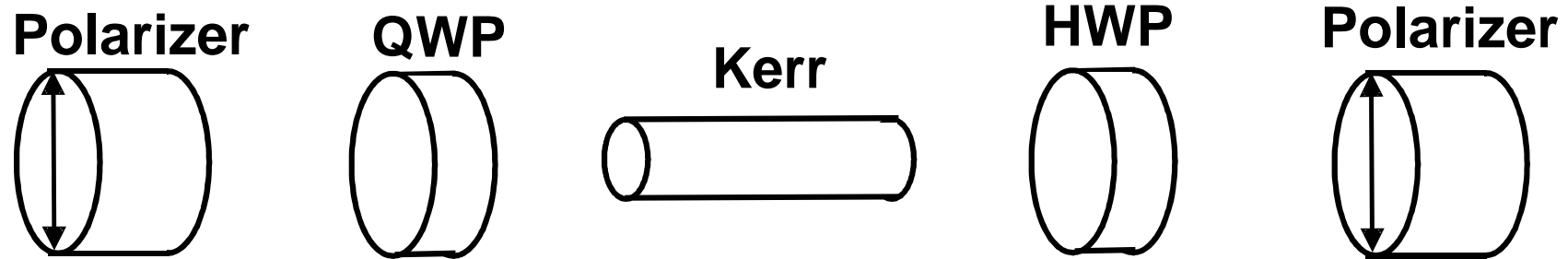
**Nonlinear Operation:**

$$\text{Phase Difference: } \Delta\phi \propto n_2 (G - 1) I(t) L$$

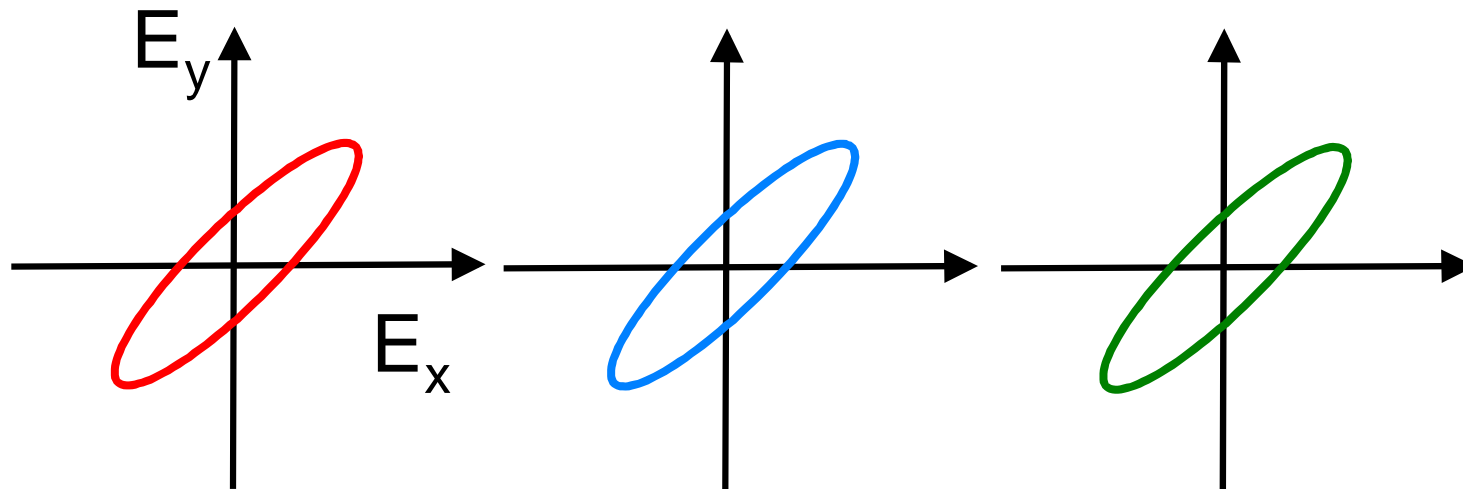
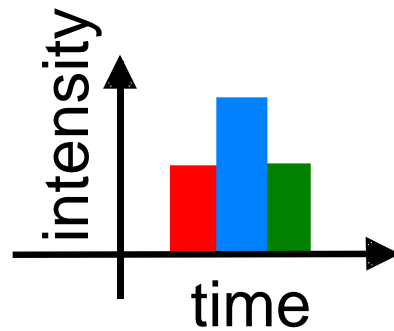
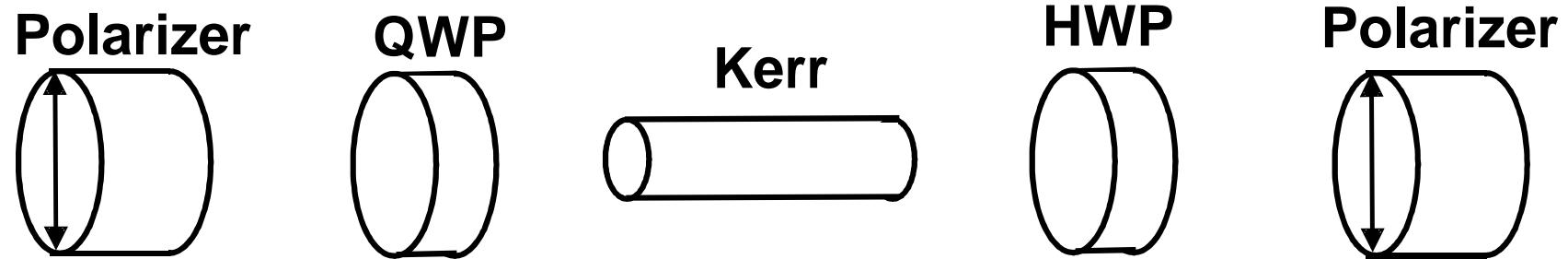
# Soliton Ring Laser



# Nonlinear Polarization Rotation

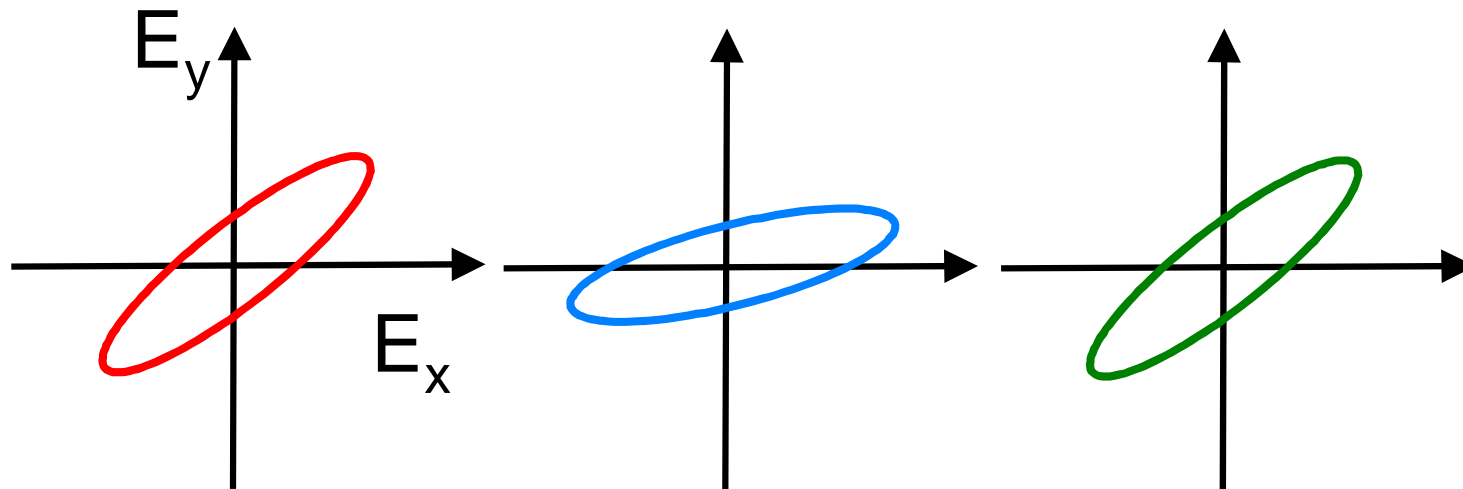
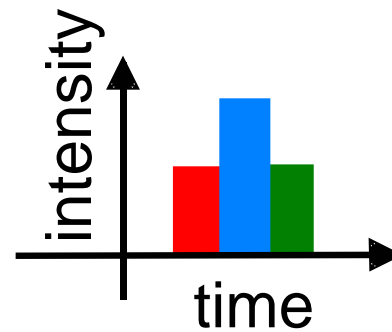
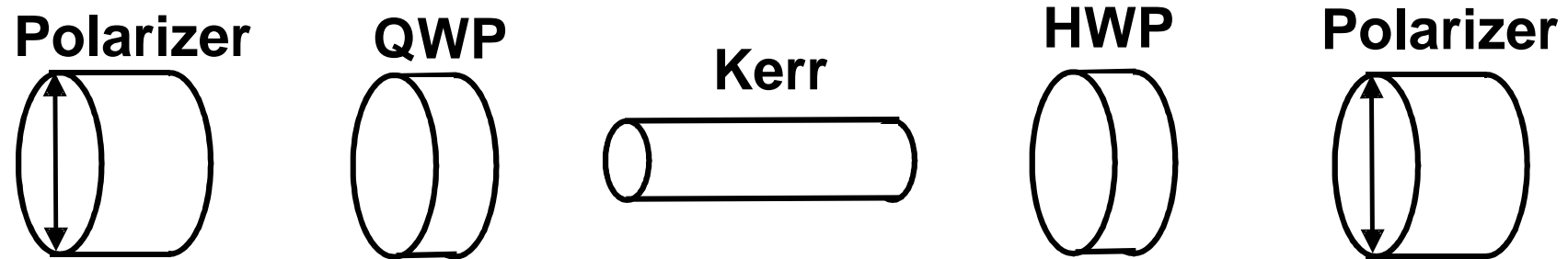


# Nonlinear Polarization Rotation



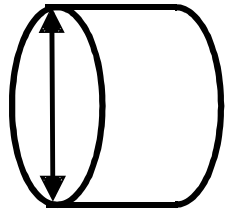


# Nonlinear Polarization Rotation

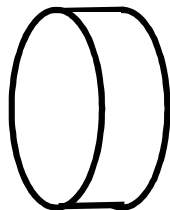


# Nonlinear Polarization Rotation

Polarizer



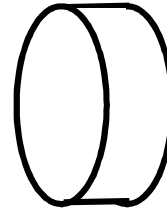
QWP



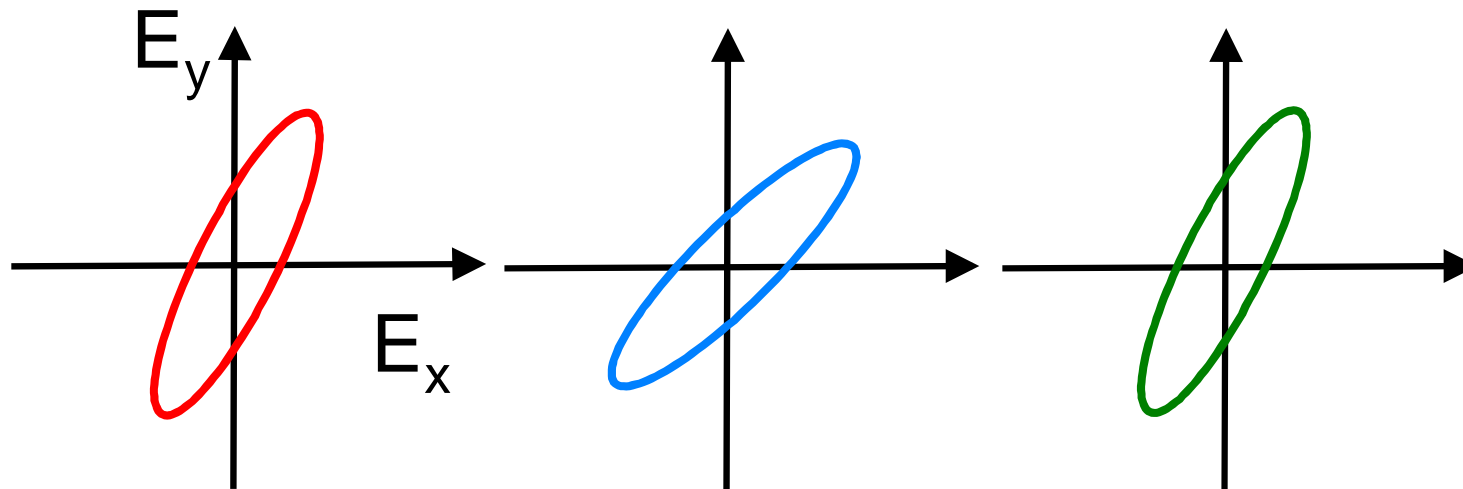
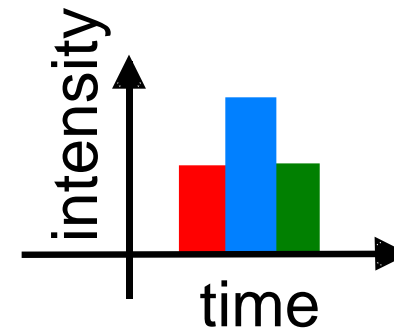
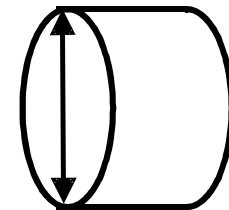
Kerr



HWP

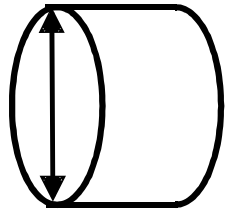


Polarizer

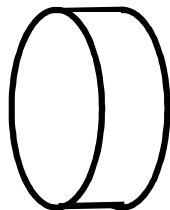


# Nonlinear Polarization Rotation

Polarizer



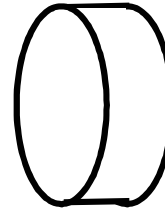
QWP



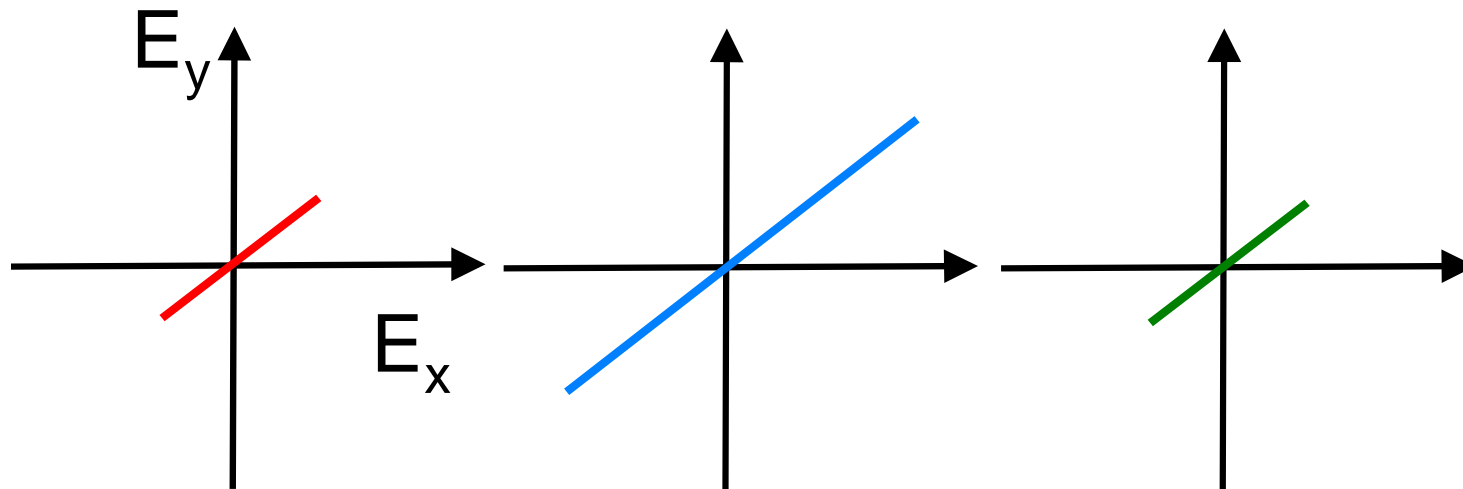
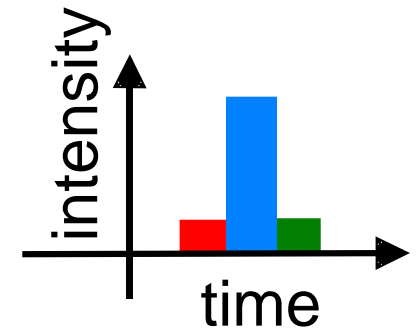
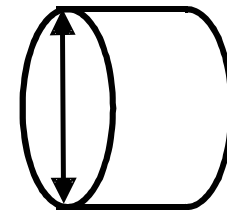
Kerr



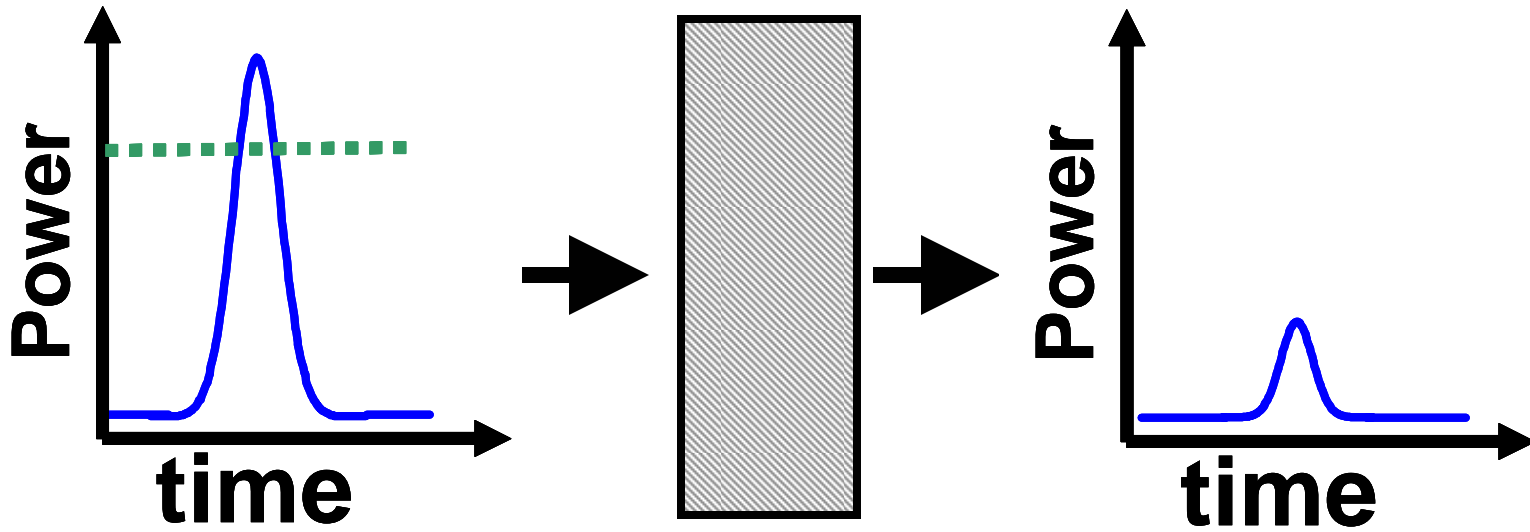
HWP



Polarizer



# Saturable Absorber for Passive Mode-Locking



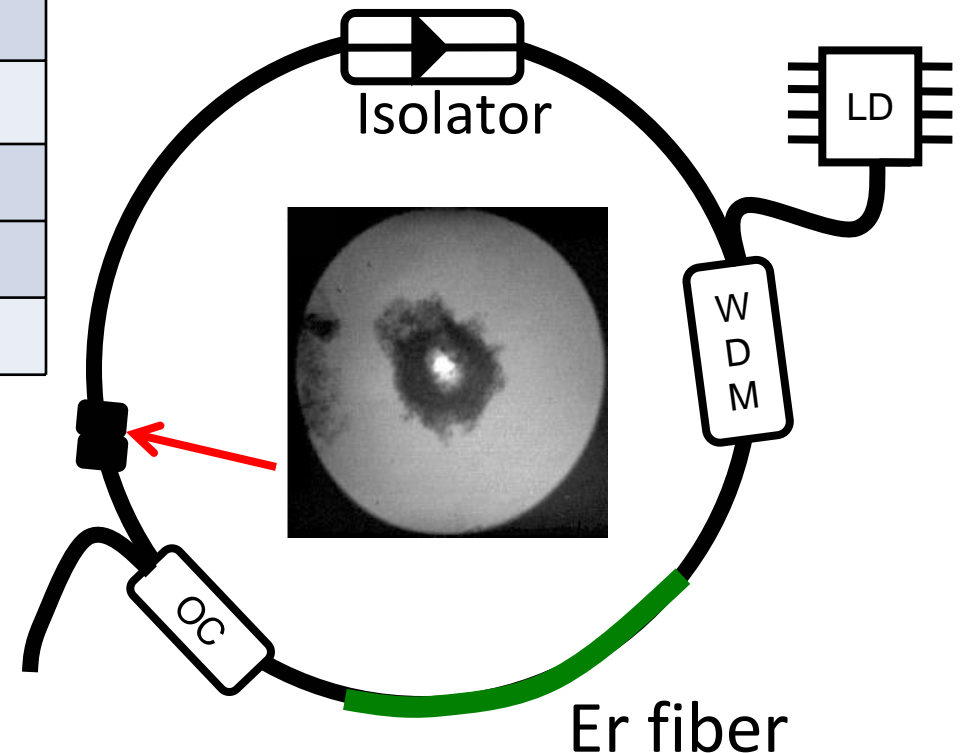
- A saturable allows the laser cavity to “favor” high peak power, ultrashort pulses
- Interferometric designs based on gain and saturable absorber section
  - Figure eight laser
  - Soliton ring laser (Additive-pulse mode-locked)

# Carbon Nanotube Fiber Laser (CNFL)

First laser build by Jeff Nicholson, OFS

We have build many others laser in our laboratory

|                      |             |
|----------------------|-------------|
| Repetition frequency | 167 MHz     |
| Spectral bandwidth   | 10.5 nm     |
| Pulse duration       | 250 fs (TL) |
| Self starting !!     |             |
| Output power         | 1 mW        |



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J. W. Nicholson et al. "Optically driven deposition of single-walled carbon-nanotube saturable absorbers on optical fiber end-face," Opt. Express 15, 9176-9183 (2007)

J. W. Nicholson and D. J. DiGiovanni, "High repetition frequency, low noise, fiber ring lasers modelocked with carbon nanotubes," IEEE Photon. Technol. Lett. 20, 2123-2125 (2008).

# Single Walled Carbon Nanotubes

Single wall carbon nanotubes have semiconductor, semimetal or metallic properties depending on the chiral vector of the nanotube

$$\mathbf{C} = n\mathbf{a}_1 + m\mathbf{a}_2$$

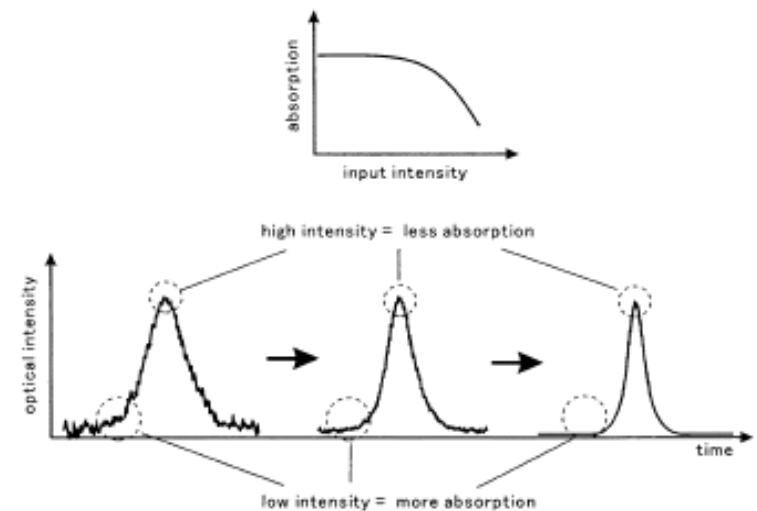
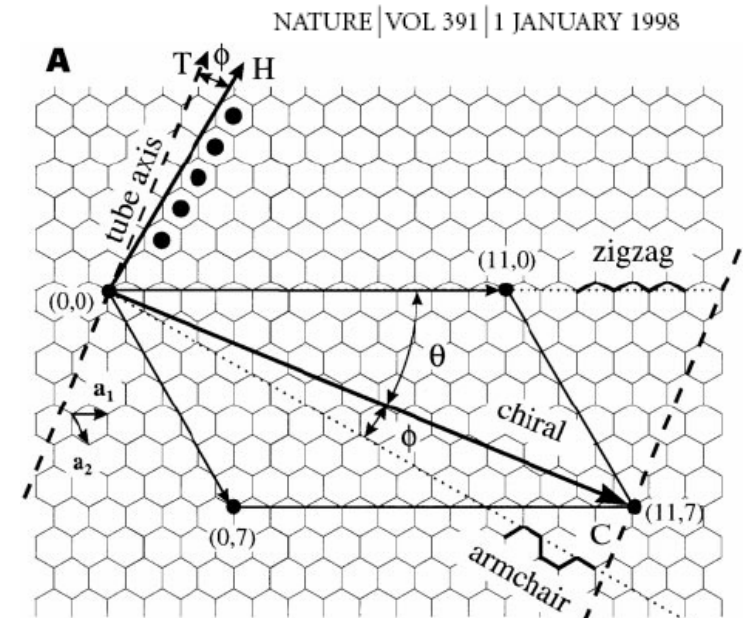
Metallic  $n - m = \text{integer multiple of } 3$

Semiconductor  $n - m \neq \text{integer multiple of } 3$

Semimetal  $n - m = 0$

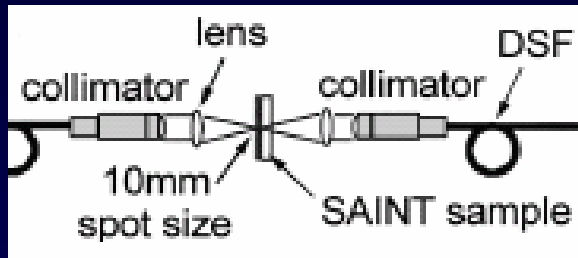
Excitonic absorption in the semiconductor nanotube is responsible for the saturable absorption property

Ultrafast recovery of the saturable absorber is due to metallic nanotubes serving as recombination centers

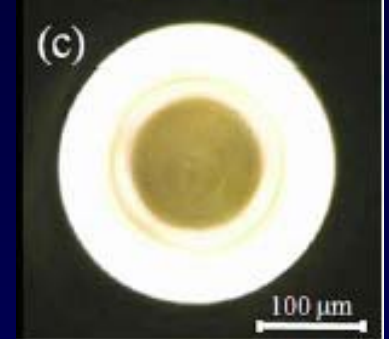
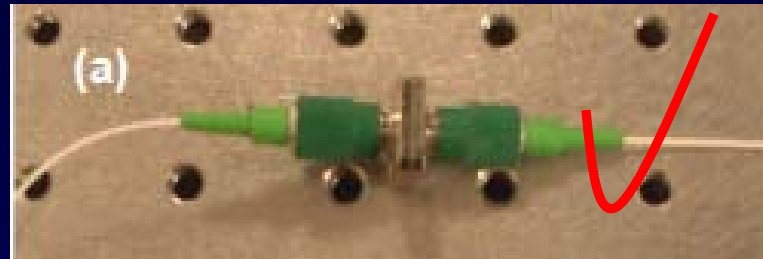


# Incorporation of CNT

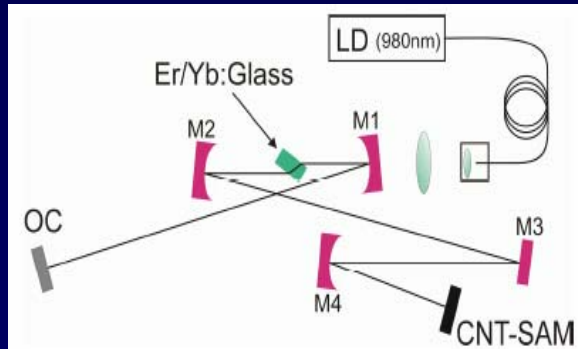
[1] Direct synthesis (film)



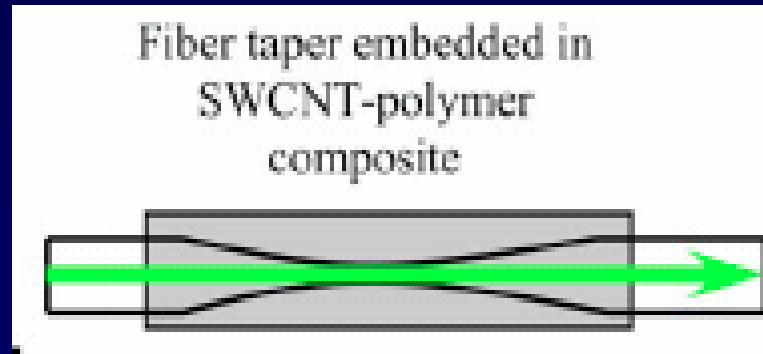
[3] Direct deposition on a connector



[2] Spin coating (Mirror)



[4] Taper fiber

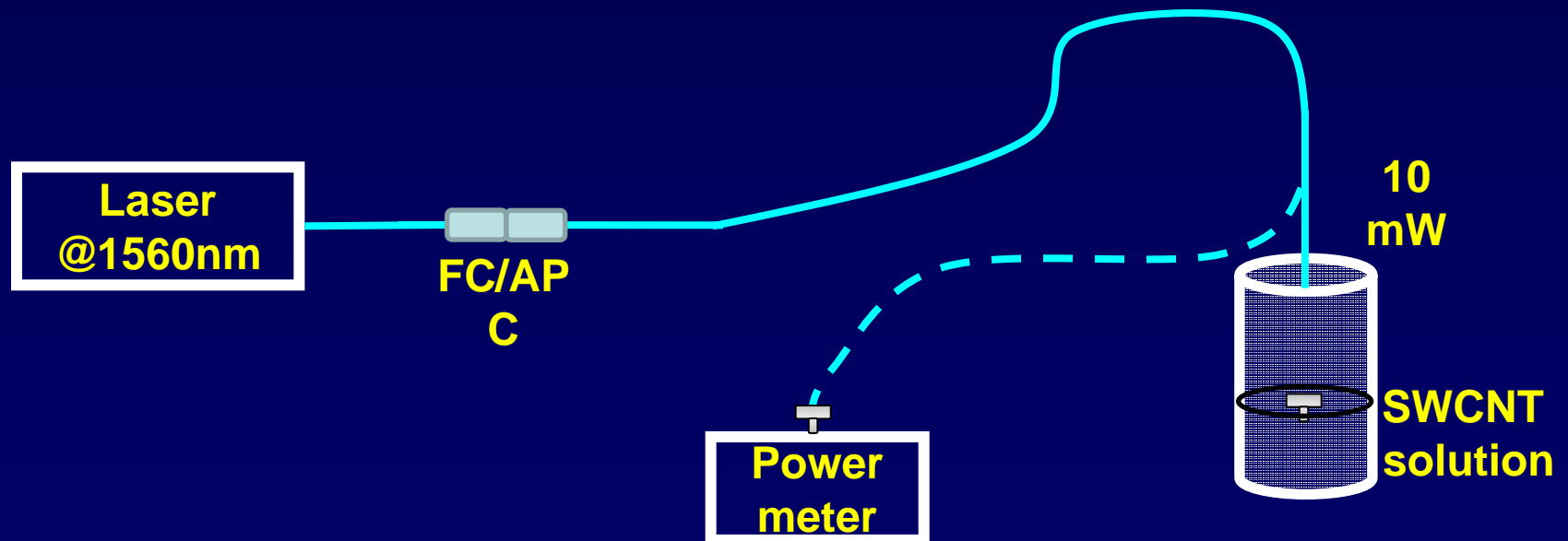


[5] CNT doped polymer optical fiber

- [1] S. Yamashita et al., Optics. letters **29**, 1581-1583 (2004)
- [2] T. R. Schibli et al., Optics express **13**, 8025-8031 (2005)
- [3] J. W. Nicholson et al., Optics express **15**, 9176-9183 (2007)
- [4] K. Kieu et al., Optics letters **32**, 2242-2244 (2007)
- [5] S. Uchida et al., Optics letters **34**, 3077-3079 (2009)

# Carbon Nanotube Deposition

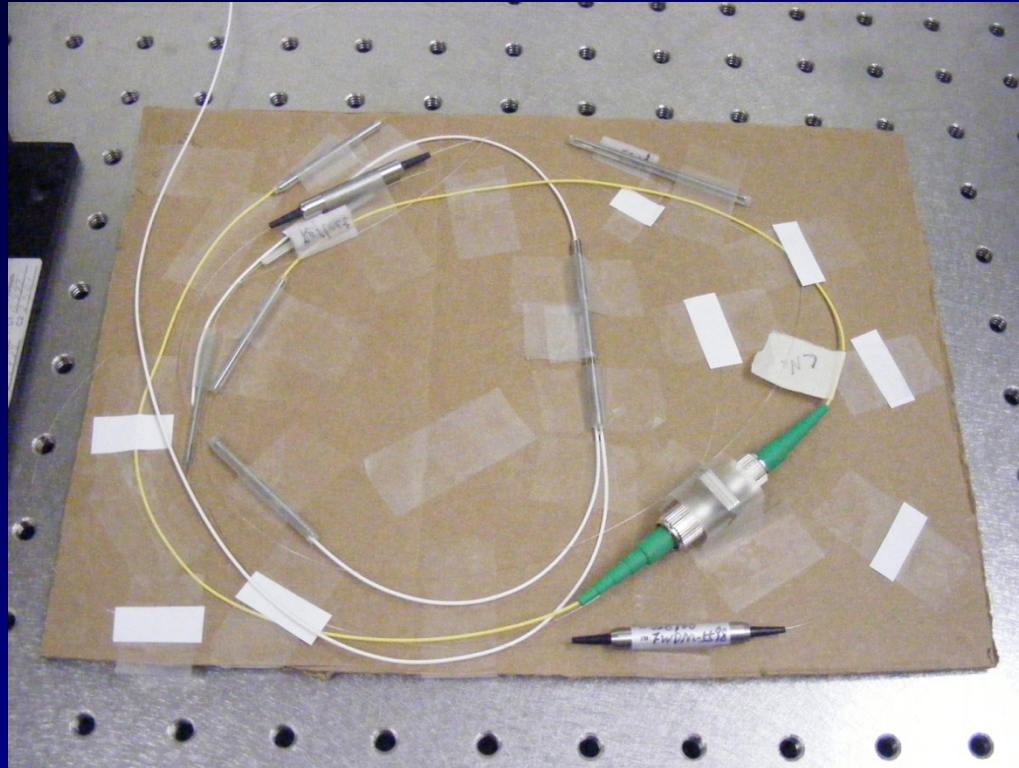
- 1) Prepare CNT solution: 0.5 mg + 12 cc ethanol and ultrasonicate for 30 minutes.
- 2) Dip the fiber connector end with radiation for 30 secs.
- 3) Put it out and wait 1 min.
- 4) Measure the optical power.
- 5) Repeat the step 2)-4) until the measured loss is  $\sim 2$  dB.



J. W. Nicholson et al., "Optically driven deposition of single-walled carbon nanotube saturable absorbers on optical fiber end-faces," *Optics Express* **15**, 9176–9183 (2007).



# Carbon Nanotube Fiber Laser Comb



Built by JinKang and two undergraduates in half a day!  
Modelocked right away by increasing the power  
Cheap to build : \$1000 of optical components  
Great laser for an undergraduate laboratory!

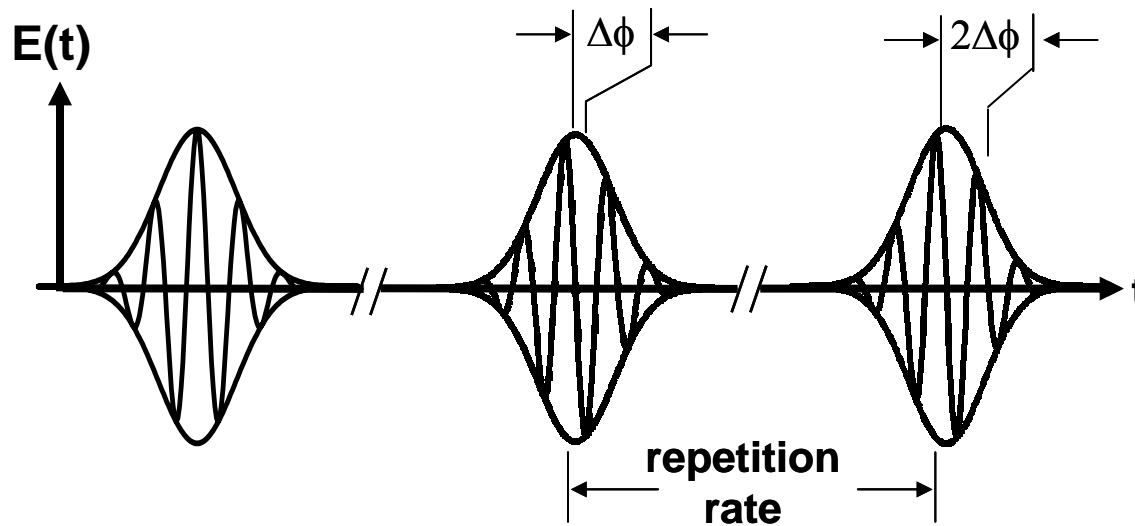
Advantage: Self-starting laser, easy to mode-lock

Disadvantage: Carbon nanotube lasers are too noisy to phase stabilize

Can we phase stabilize the carbon nanotube fiber laser?

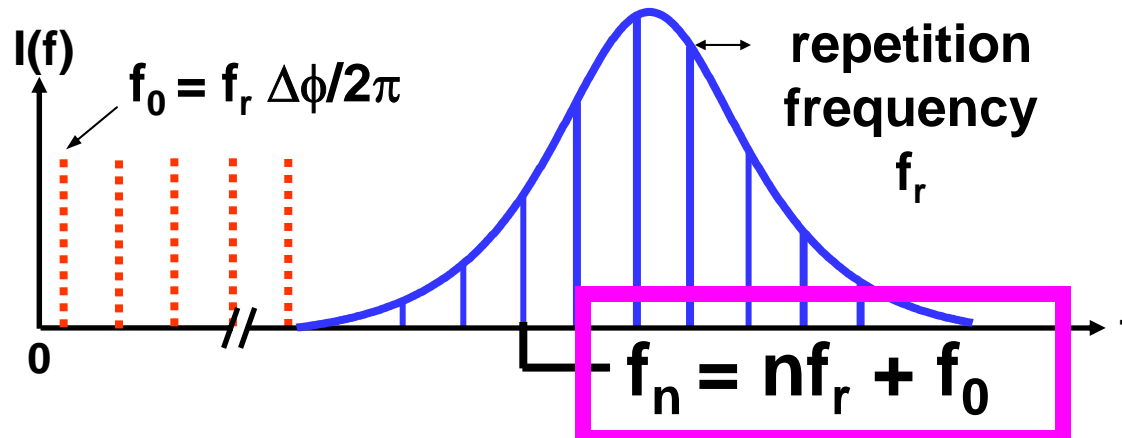
# The Frequency Comb

## Time domain (Pulses in time)



**Carrier-envelope phase slip from pulse to pulse because group and phase velocities differ**

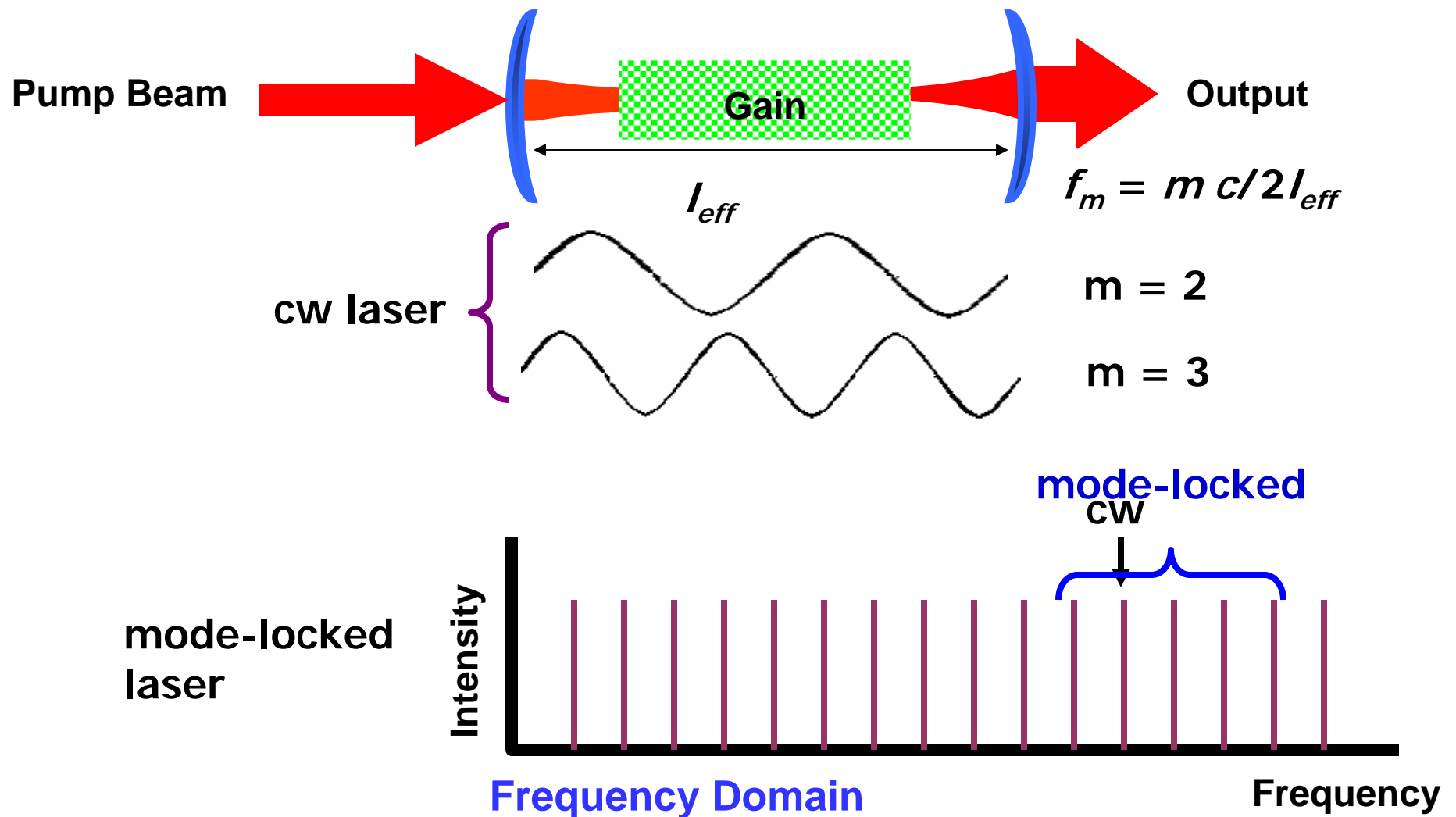
## Frequency domain (Comb of lines)



**Stable frequency comb if**

- 1) Repetition rate ( $f_r$ ) locked
- 2) Offset frequency ( $f_0$ ) (phase slip) locked

# Pulsed lasers must give a frequency comb



# Comb-like nature of ultrafast lasers

1979- mode-locked (pulsed) dye laser used as comb  
– 500 ps pulses  $\sim 0.003$  nm,  $\sim 1$  GHz wide

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PHYSICAL REVIEW LETTERS

27 MARCH 1978

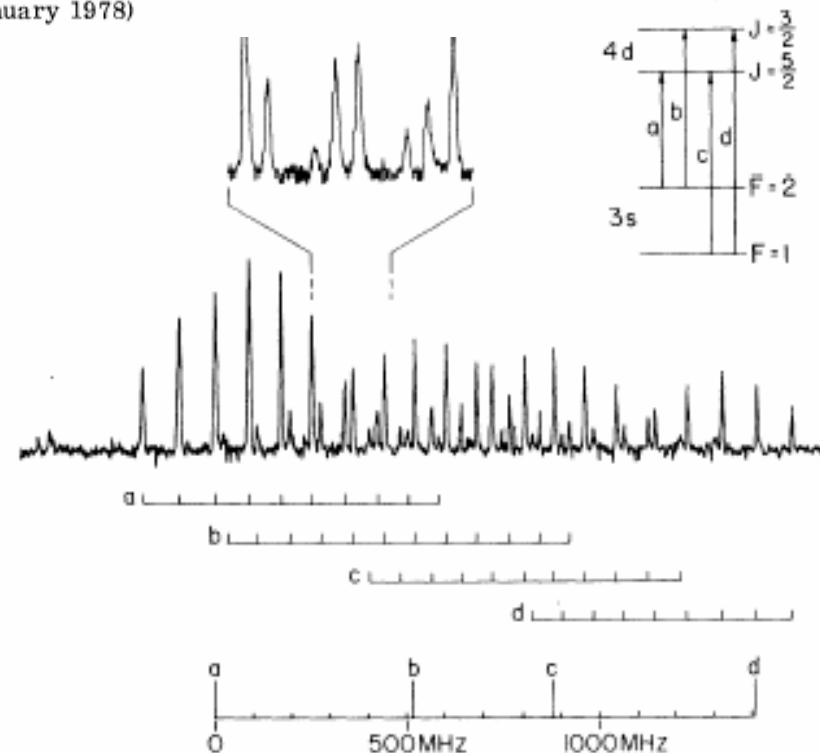
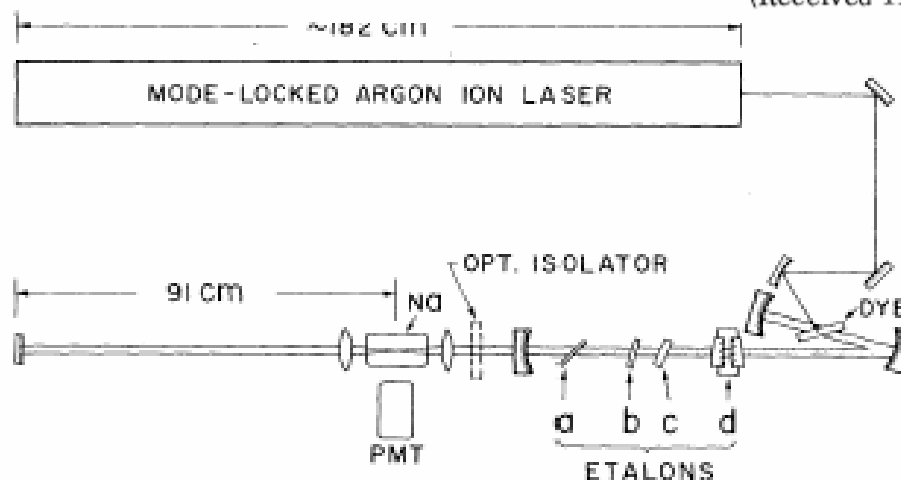
## High-Resolution Two-Photon Spectroscopy with Picosecond Light Pulses

Na

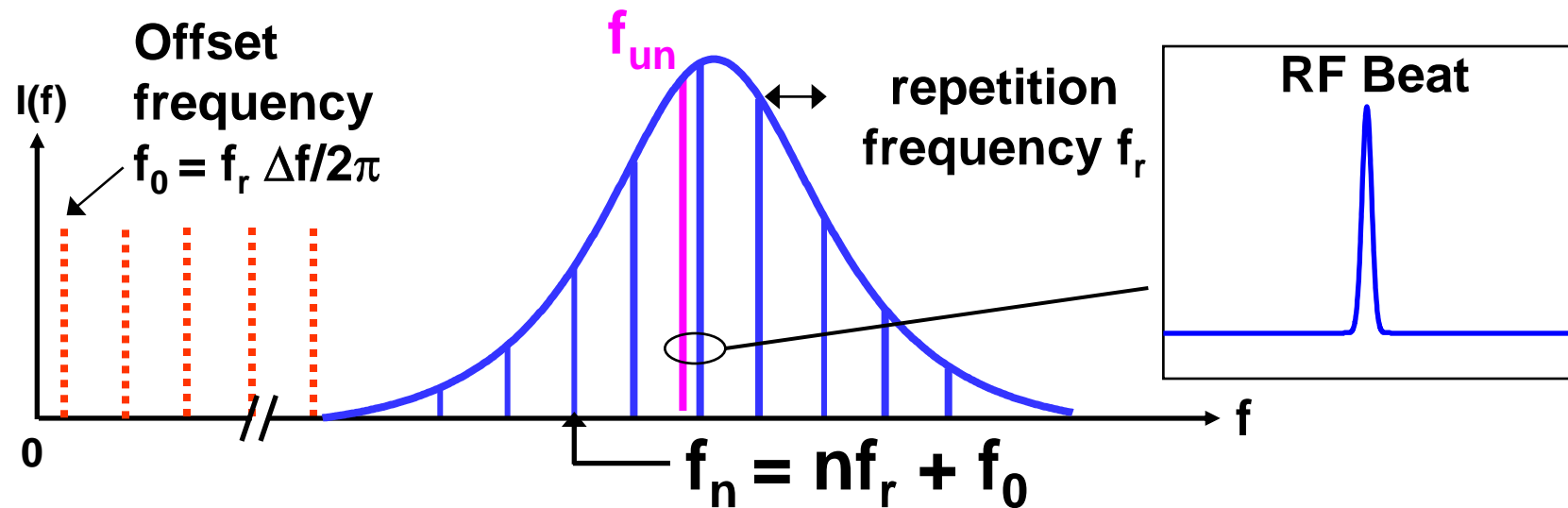
J. N. Eckstein, A. I. Ferguson, and T. W. Hänsch

*Department of Physics, Stanford University, Stanford, California 94305*

(Received 11 January 1978)



# Optical Frequency Metrology



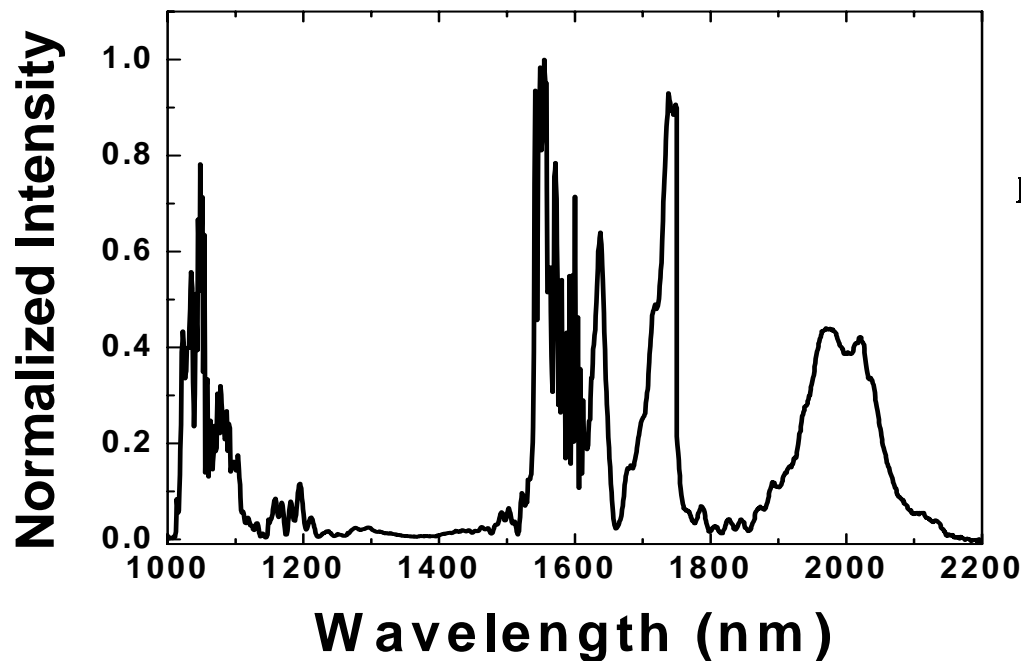
Frequency comb as a spectral ruler

## References:

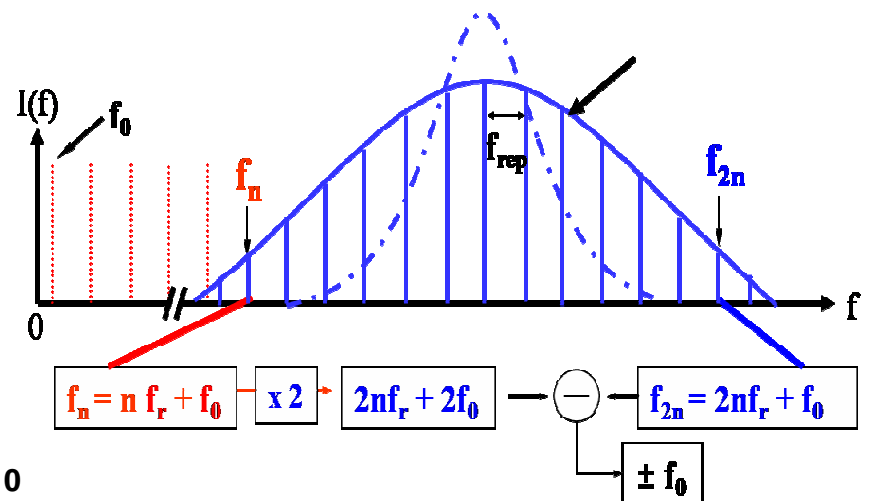
- Udem, Reichert, Holwartz, Hänsch, *Phys. Rev. Lett.*, vol. 82 (1999)
- Jones et al., *Science*, vol. 288 (2000)
- Udem, Holwartz, Hänsch, *Nature*, vol. 416 (2002)

# Supercontinuum generation for self referencing $f_0$

Supercontinuum spectrum

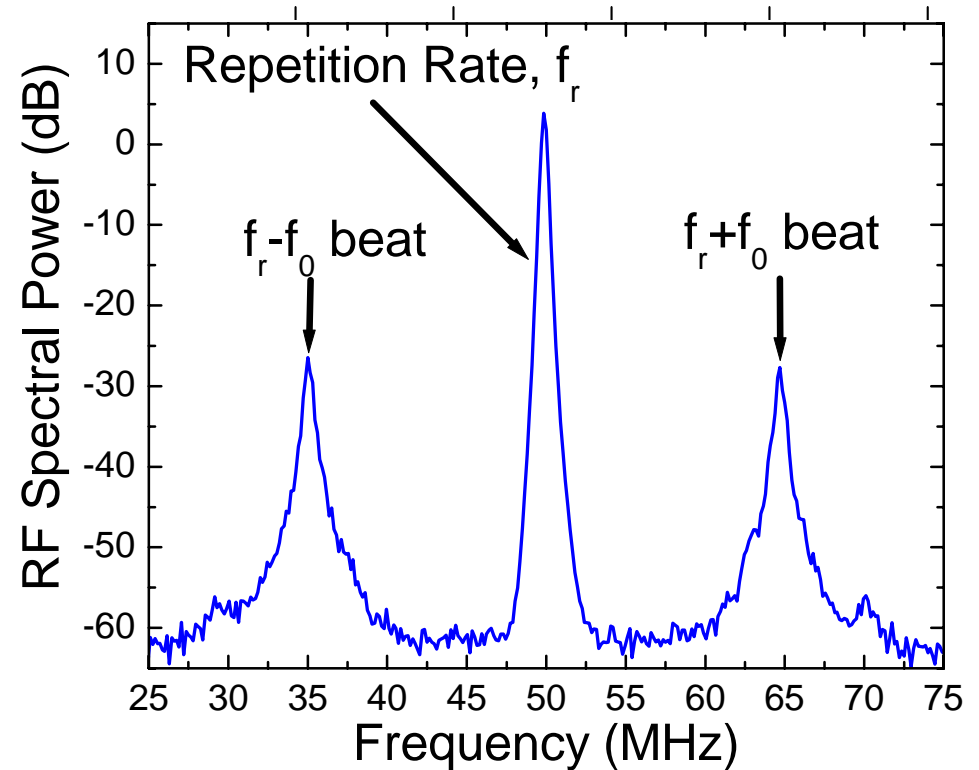
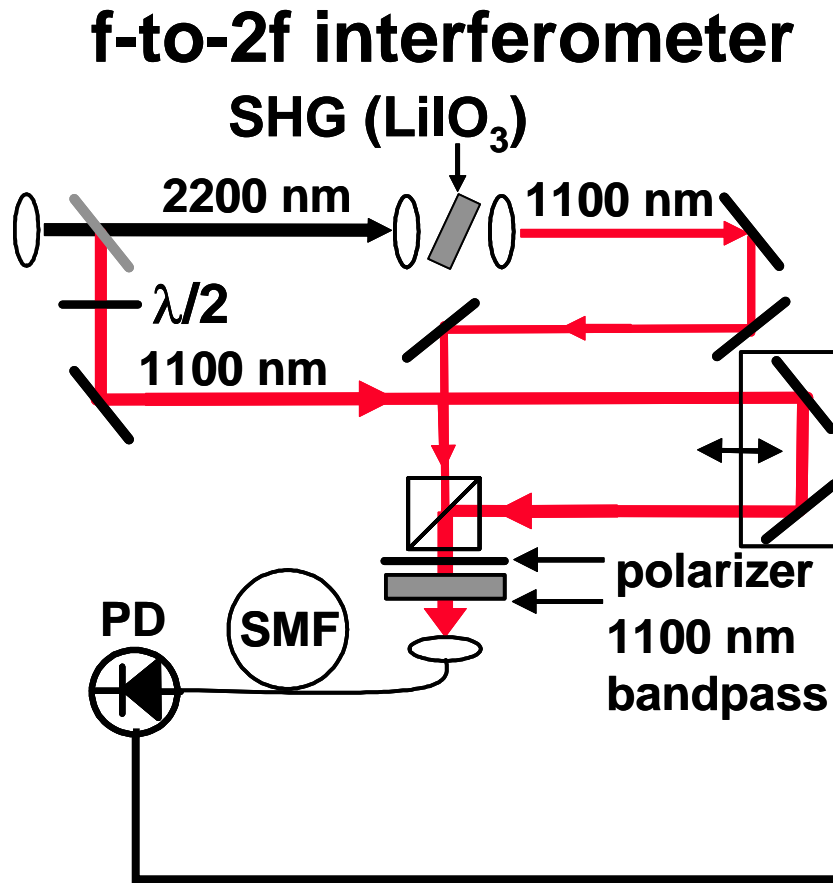


f-to-2f Interferometer



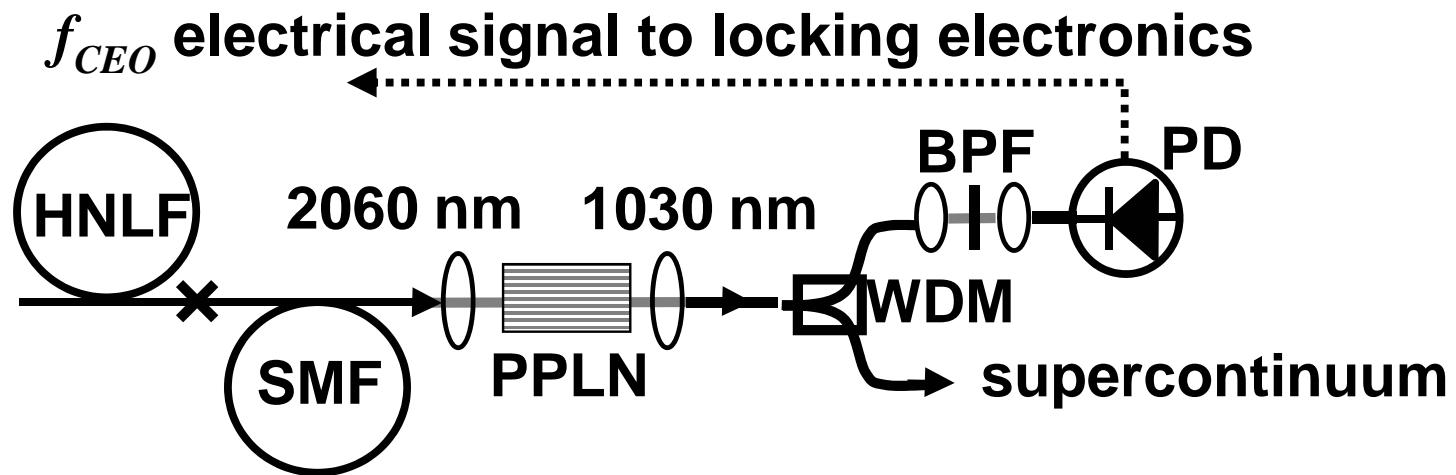
- D. J. Jones, S. A. Diddams, J. K. Ranka, A. Stentz, R. S. Windeler, J. L. Hall, and S. T. Cundiff, "Carrier-envelope phase control of femtosecond mode-locked lasers and direct optical frequency synthesis," Science 288, 635-9 (2000).

# f-to-2f Interferometer



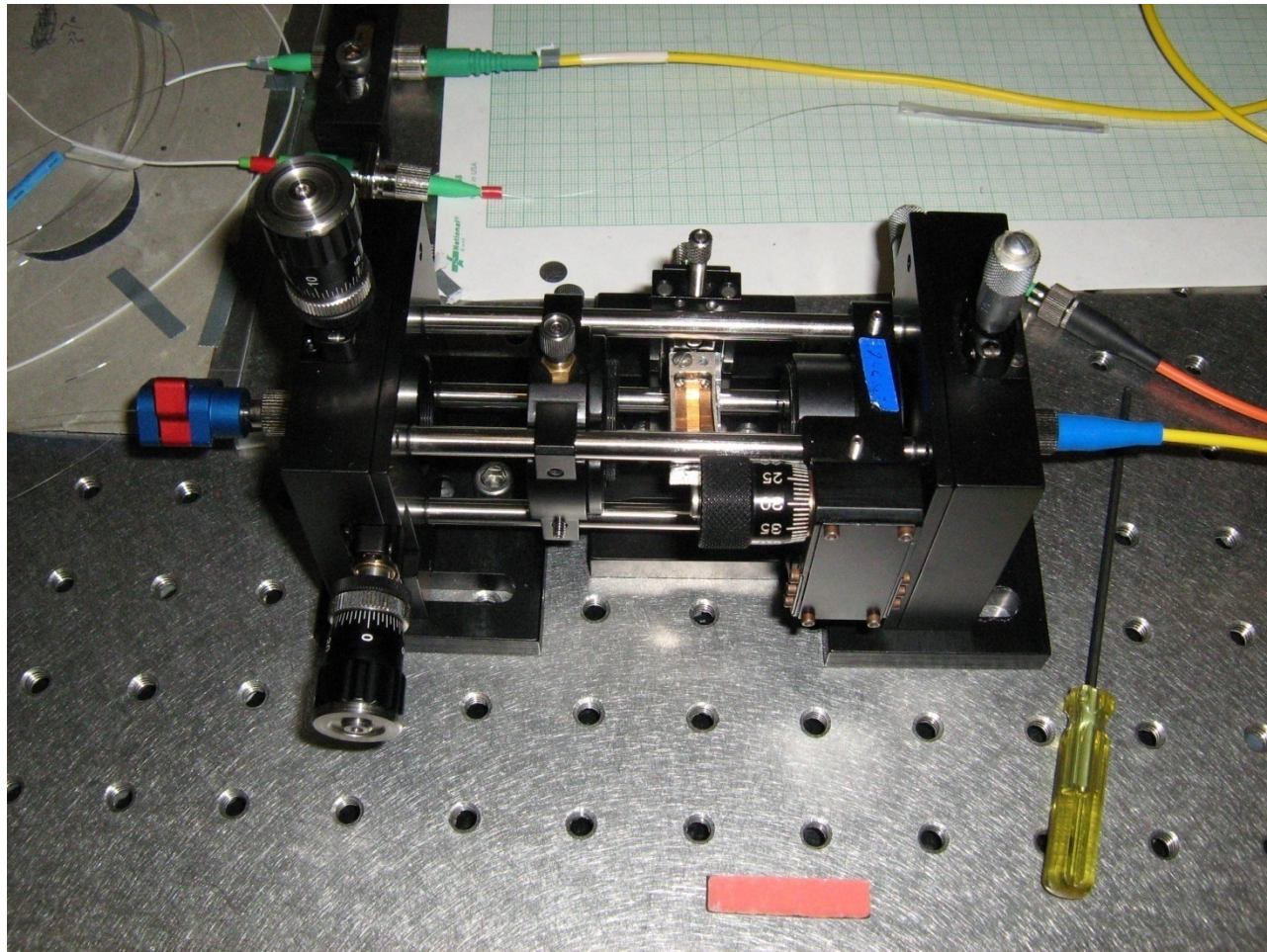
An octave of supercontinuum allow the generation of beat frequencies with a SNR of 30 dB

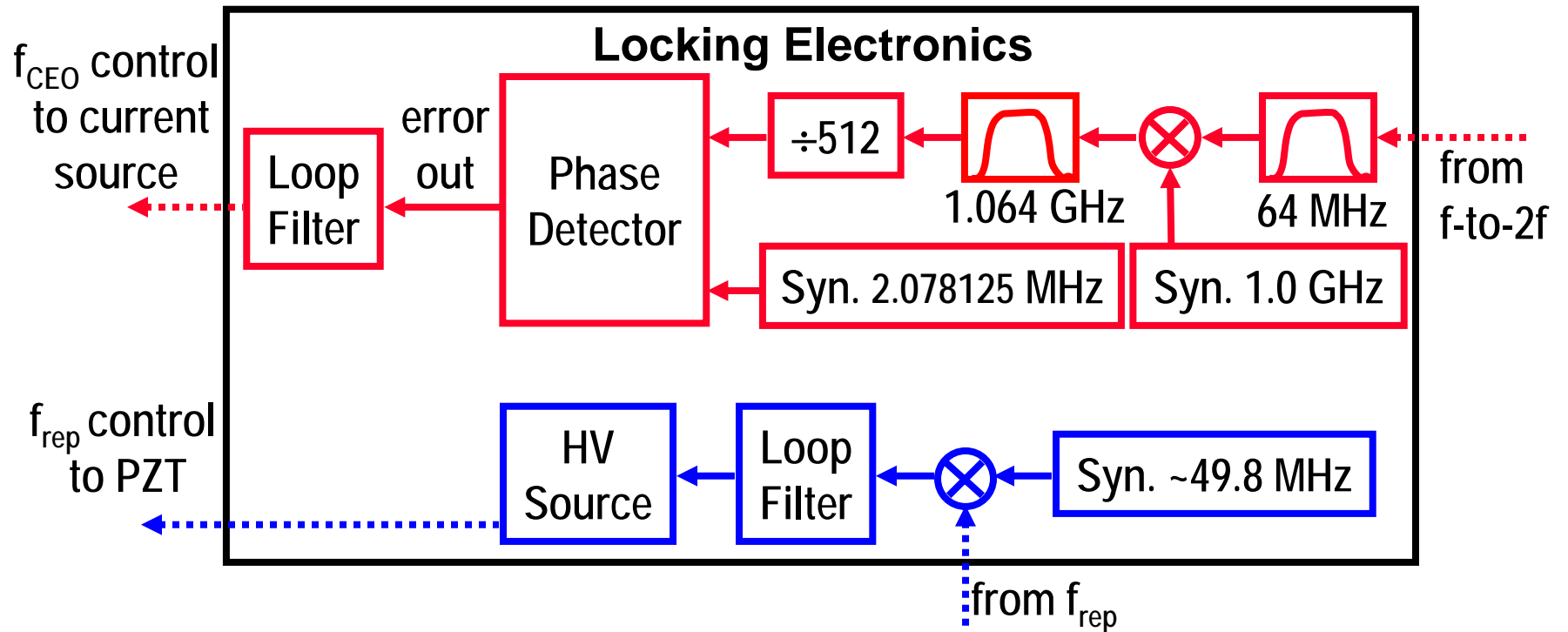
# Co-linear all fiber geometry



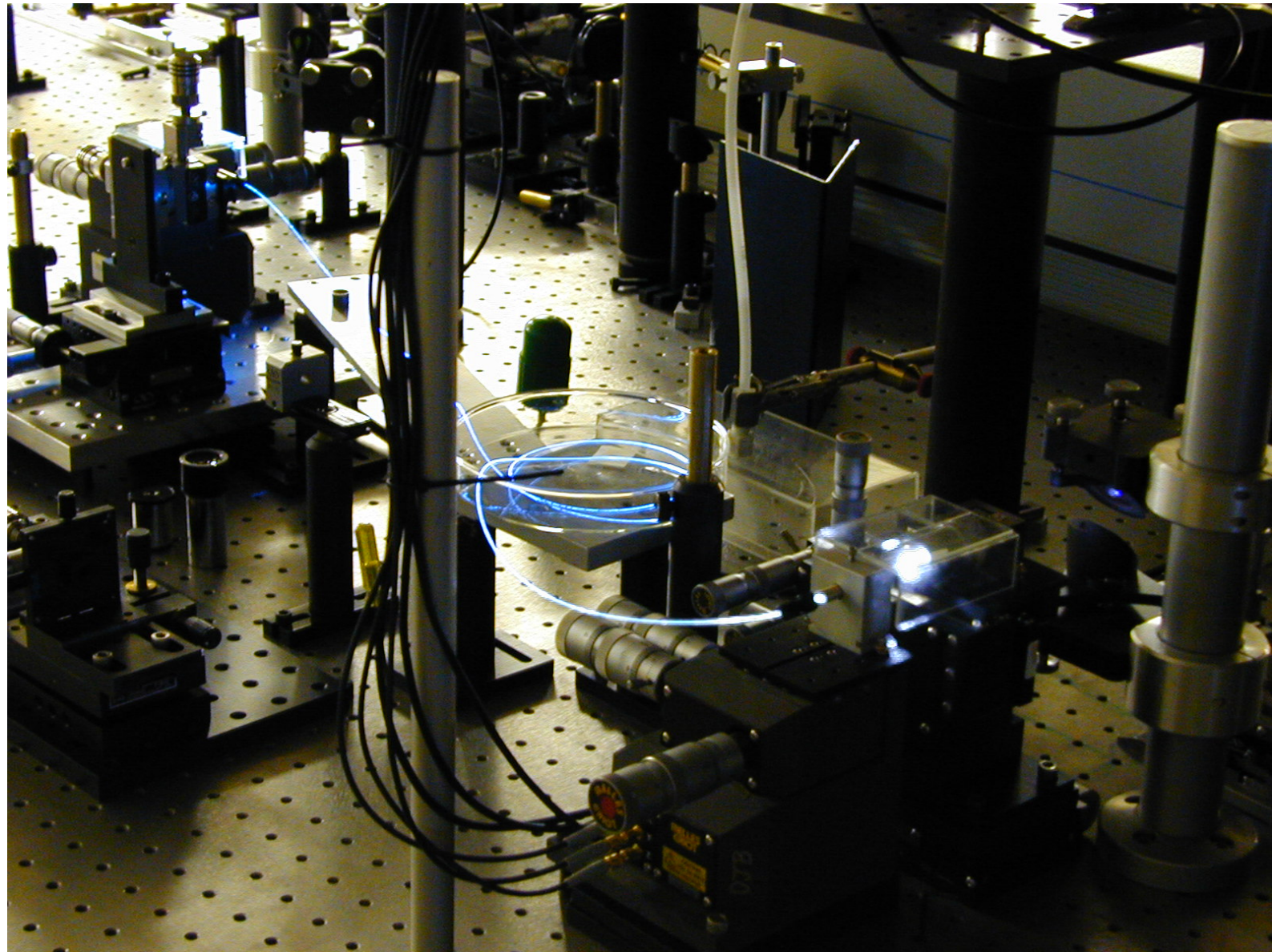


# Colinear f-to-2f Inteferometer

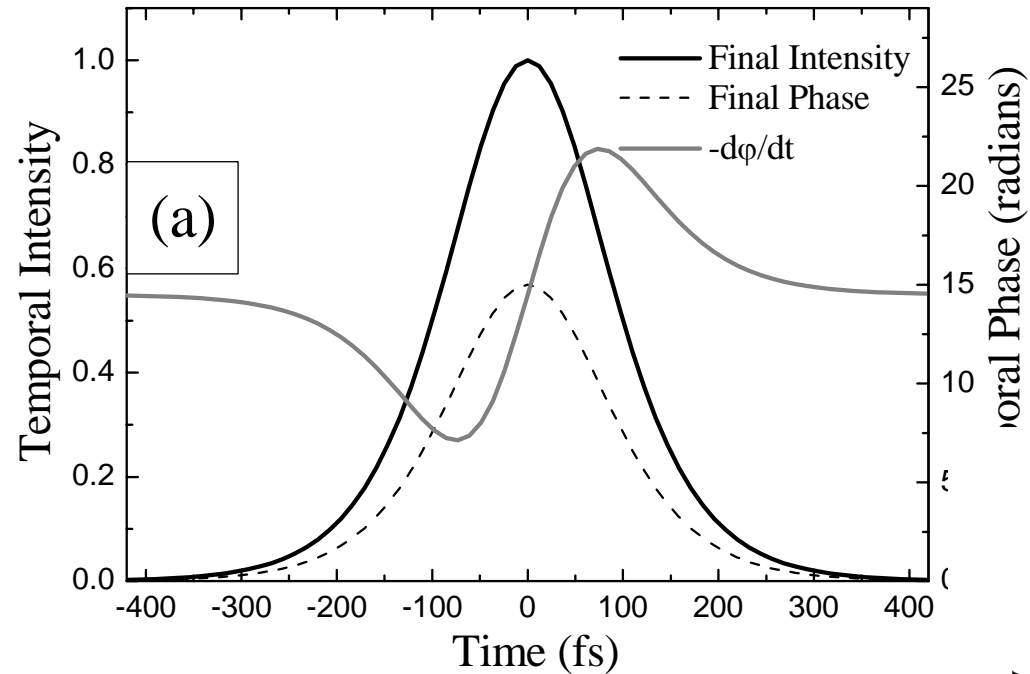




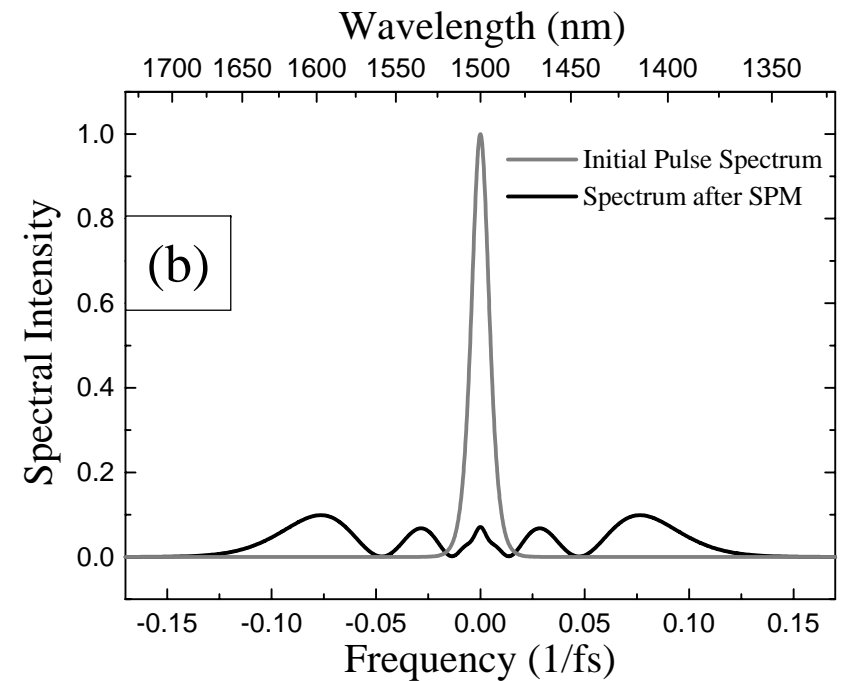




# Nonlinear propagation in fiber: nonlinearity only



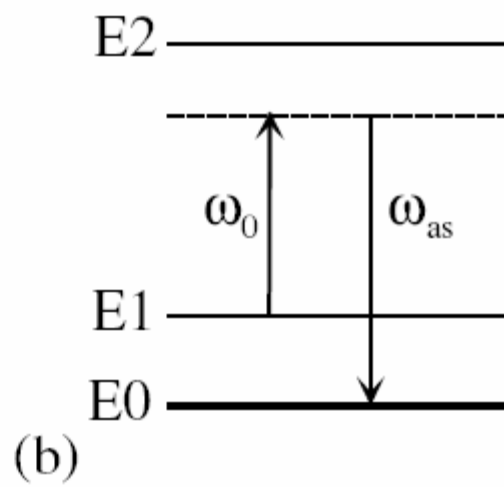
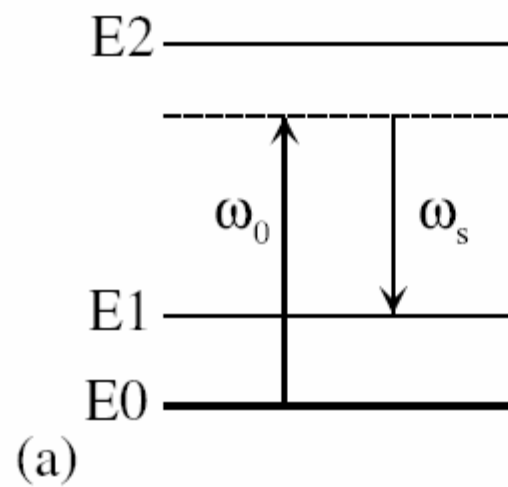
**Generate new spectral components**



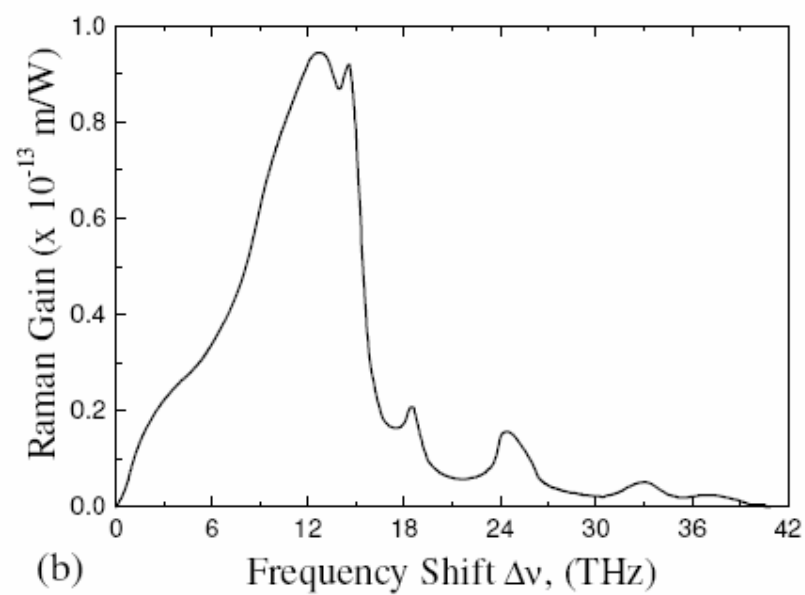
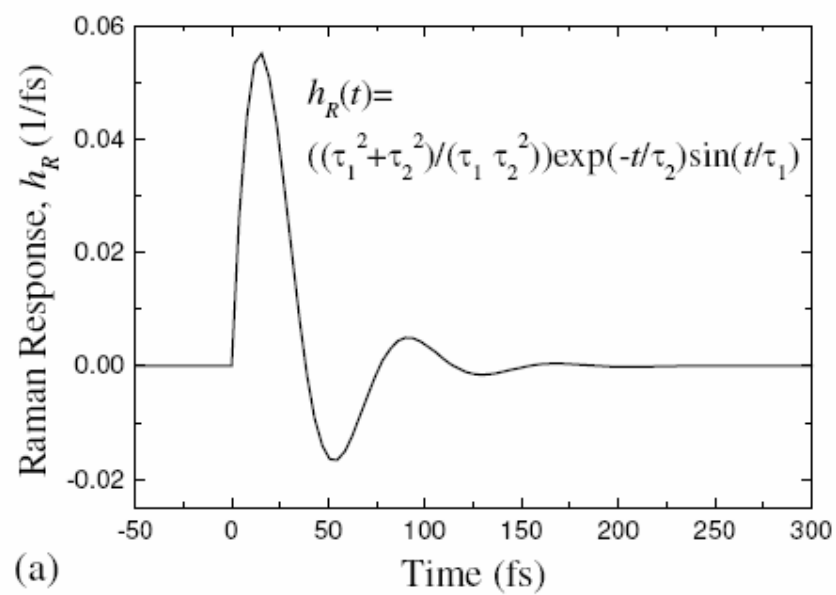
$$\frac{\partial E(z,t)}{\partial z} = \overbrace{-\frac{\alpha}{2}E}^{\text{Absorption}} - \overbrace{\left(\sum_{m=2} \beta_m \frac{i^{m-1}}{m!} \frac{\partial^m}{\partial t^m}\right)E}^{\text{Dispersion}} + (1-f_R) \left\{ \overbrace{i\gamma|E|^2 E}^{\text{SPM}} - \overbrace{\frac{2\gamma}{\omega_0} \frac{\partial}{\partial t} (|E|^2 E)}^{\text{Self Steepening}} \right\} \\ + \overbrace{i\gamma f_R \left(1 + \frac{i}{\omega_0} \frac{\partial}{\partial t}\right) \left(E \int_0^\infty h_R(t') |E(z, t-t')|^2 dt'\right)}^{\text{Raman Effect}}.$$

$$h_R(t)=$$

$$((\tau_1^2+\tau_2^2)/(\tau_1-\tau_2^2))\exp(-t/\tau_2)\sin(t/\tau_1)$$



$$g_R(\omega) = \frac{\omega_0}{cn_0} f_R \chi^{(3)} \text{Im}[\mathcal{F}\{h_R(t)\}]$$



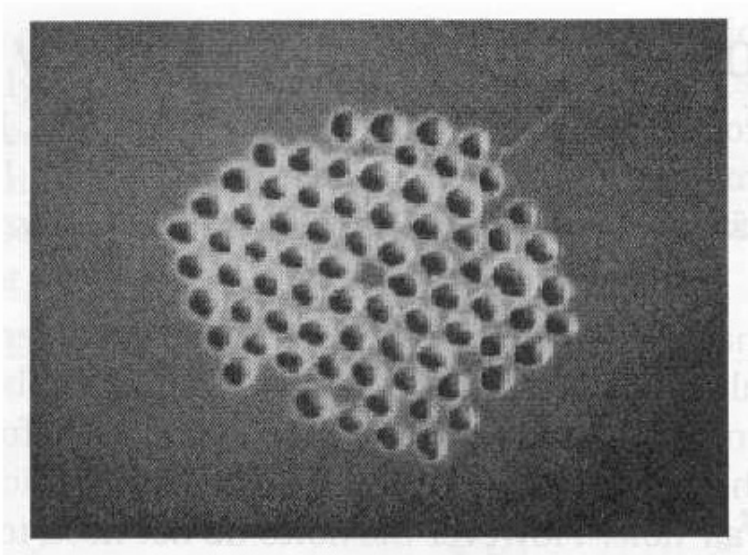
$$\frac{g_R(\Delta\omega_R)P_R}{\alpha\pi r_0^2}[1-\exp(-\alpha L)]\approx 16$$

$$g_R(\omega)=\frac{\omega_0}{cn_0}f_R\chi^{(3)}\operatorname{Im}\big[\mathfrak{F}\{h_R(t)\}\big]$$

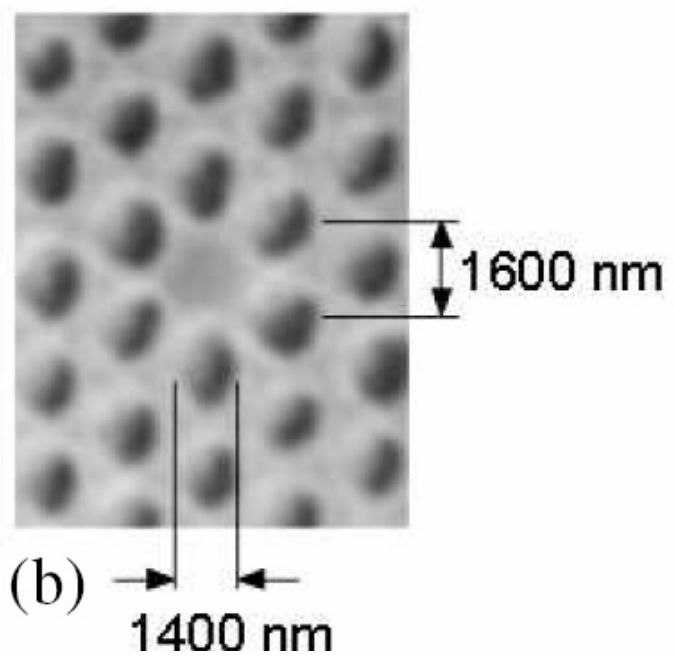
$$\frac{d\omega_{SSFS}}{dz}=-\frac{\lambda_0}{16n_2}\int\Omega^3\frac{g_R\left(-\Omega/2\pi T_0\right)}{\sinh^2(\pi\Omega/2)}d\Omega,\tag{3.57}$$

$$\text{where } \Omega \equiv (\omega - \omega_0) T_0.$$

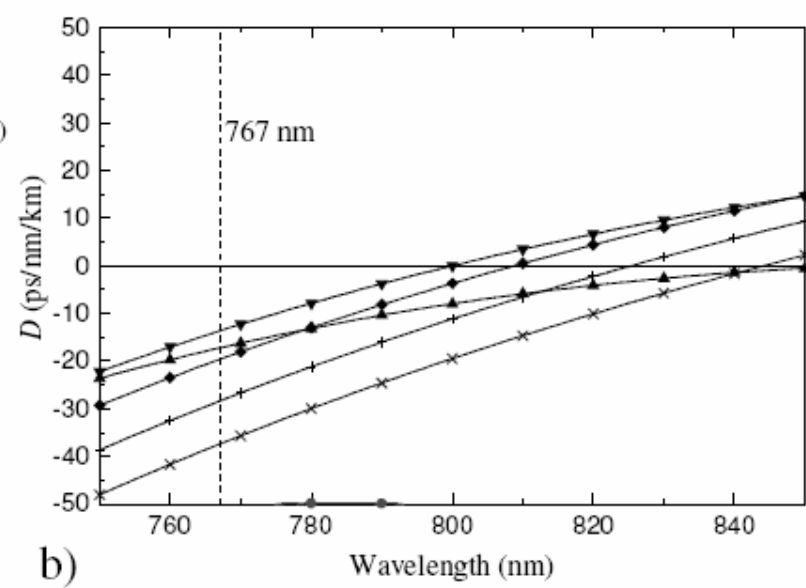
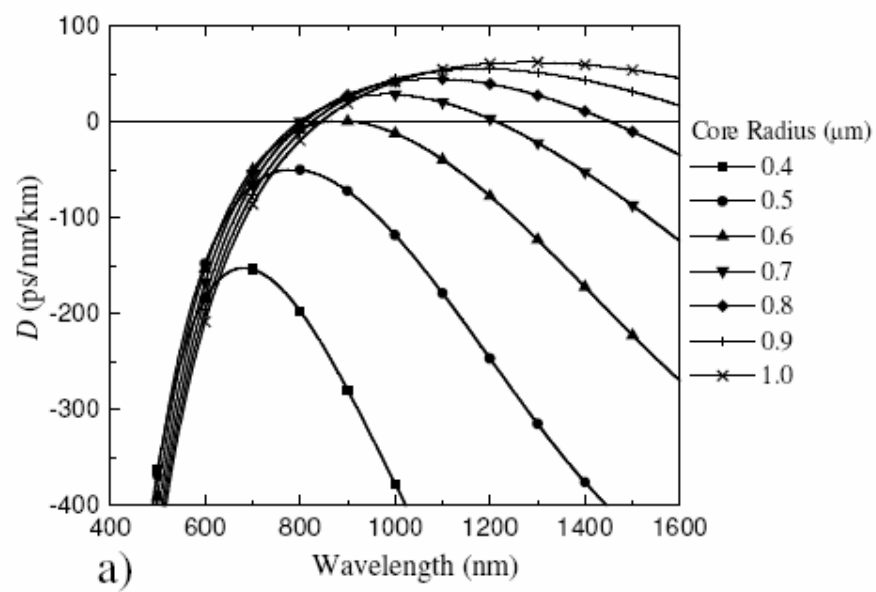


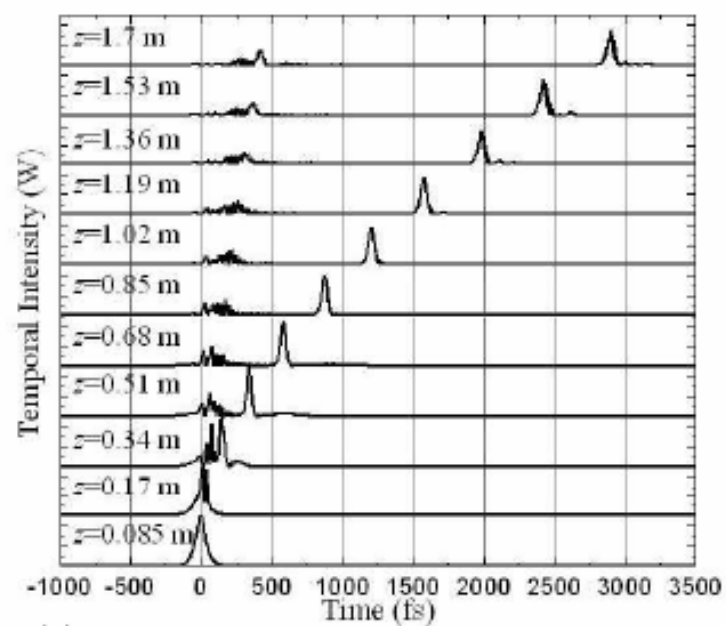


(a)

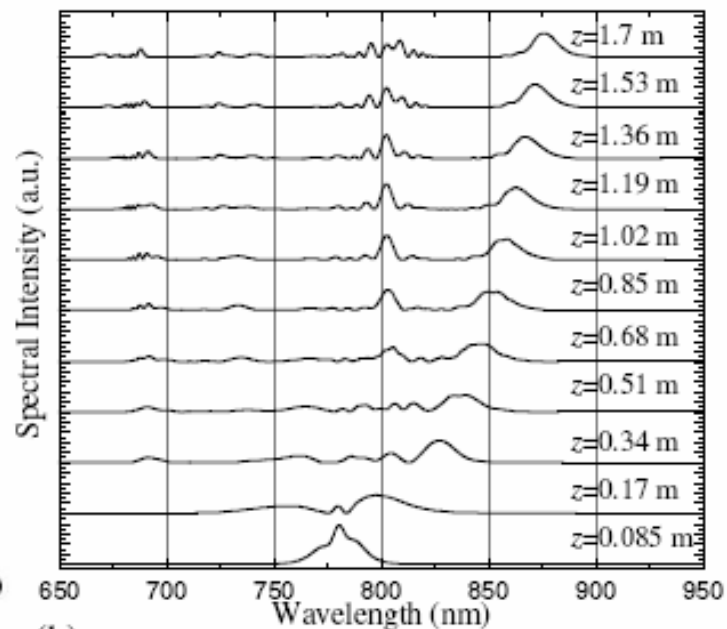


(b)

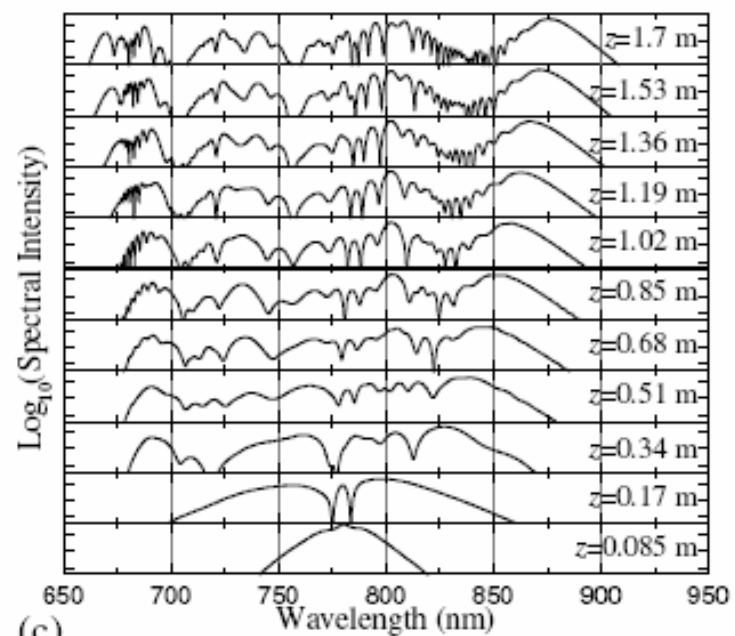




(a)



(b)



(c)

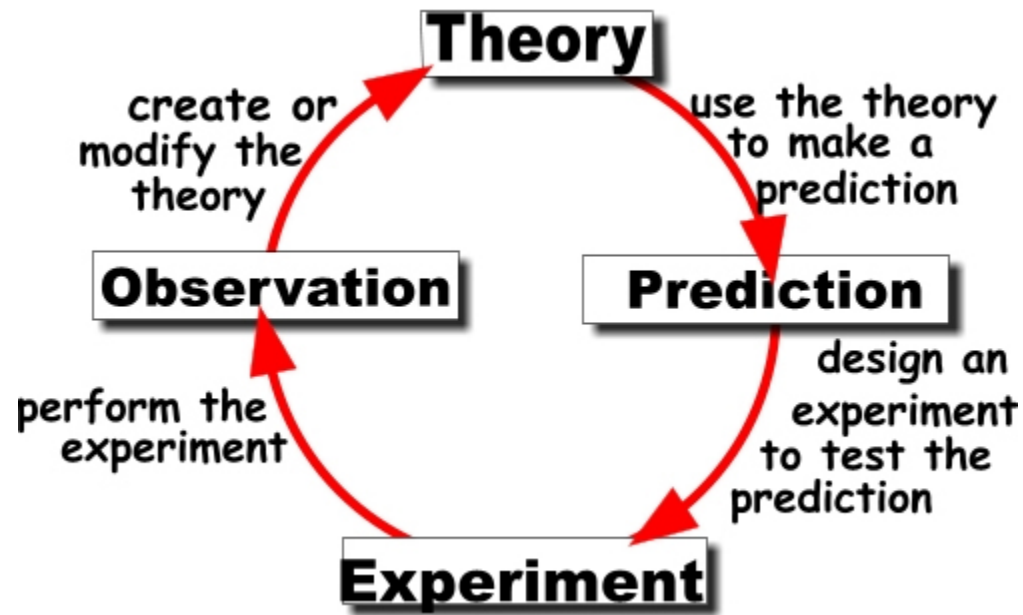
# Introduction to Quantum Optics: Seminal Paper

Please read Papers 1,2, and 4 (3 if you have time) in the ‘Hanbury-Brown and Twiss’ folder on Kstate Online **in order in which they were published** (as ordered in folder). For in-class discussion on Oct. 21, be able to answer the following questions:

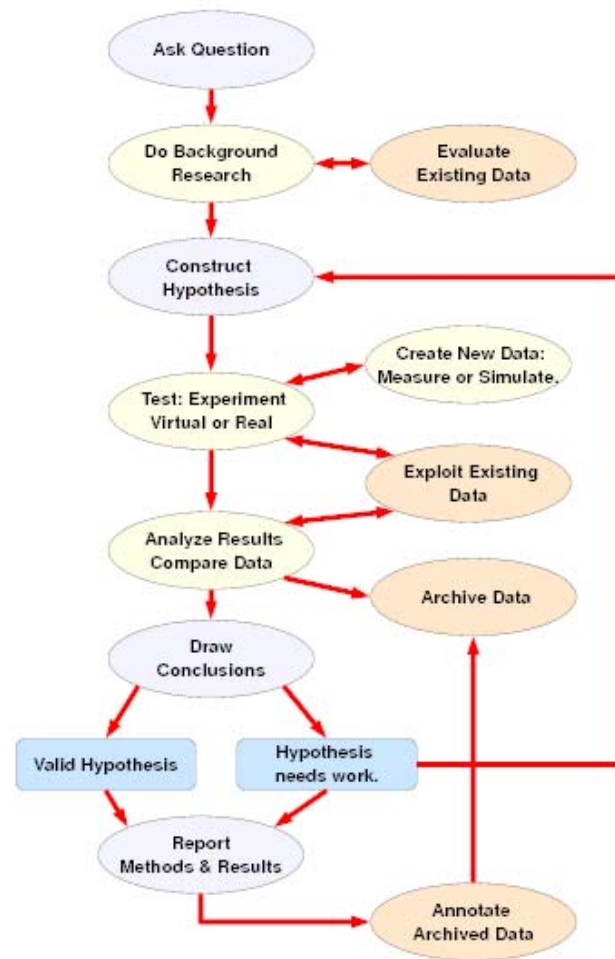
1. In *Hanbury-Brown and Twiss* (Paper 1), why did they measure coincidences in “cathodes aligned” positions and no coincidences in “cathodes not aligned position”?
2. Why did *Brannen and Ferguson* (Paper 2) not measure any coincidences?
3. At the end of *Brannen and Ferguson*, they stated that “if such a correlation did exist, it would call for a major revision of some fundamental concepts of quantum mechanics”. How did they come to that conclusion?
4. Why did Hanbury-Brown and Twiss do their first experiment? What was their overall goal?
5. Why did *Purcell* (Paper 4) state that “the Brown-Twiss effect, far from requiring a revision of quantum mechanics, is an instructive illustration of its elementary principles.”?
6. Given the experiment in *Brannen and Ferguson*, what ‘apparatus’ would be required for them to use in their experiment in order to observe coincidences? How would this ‘apparatus’ solve their problems?

# Introduction to Quantum Mechanics: Preliminaries

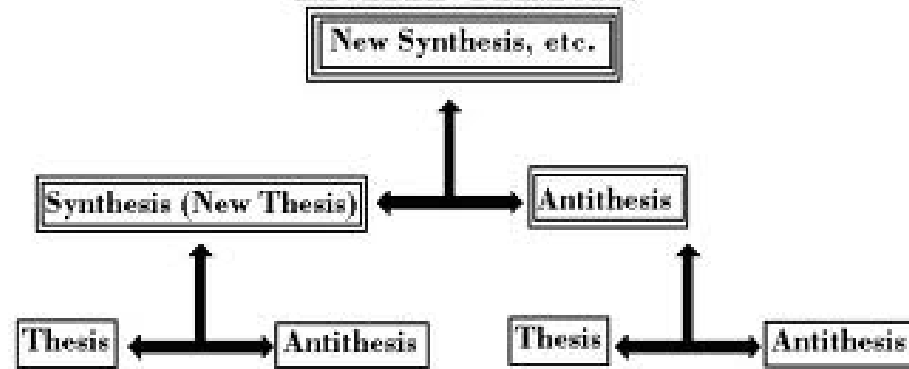
## The Scientific Method



vs. The Hegelian Dielectic



# HEGELIAN DIALECTIC



# Frontiers of Nonlinear Optics Higher Harmonic Generation and Attosecond Pulses

## References

**Trebino lecture notes**

Intense few-cycle laser fields: Frontiers of nonlinear optics

Thomas Brabec and Ferenc Krausz\*

Reviews of Modern Physics, Vol. 72, No. 2, April 2000

Attosecond science

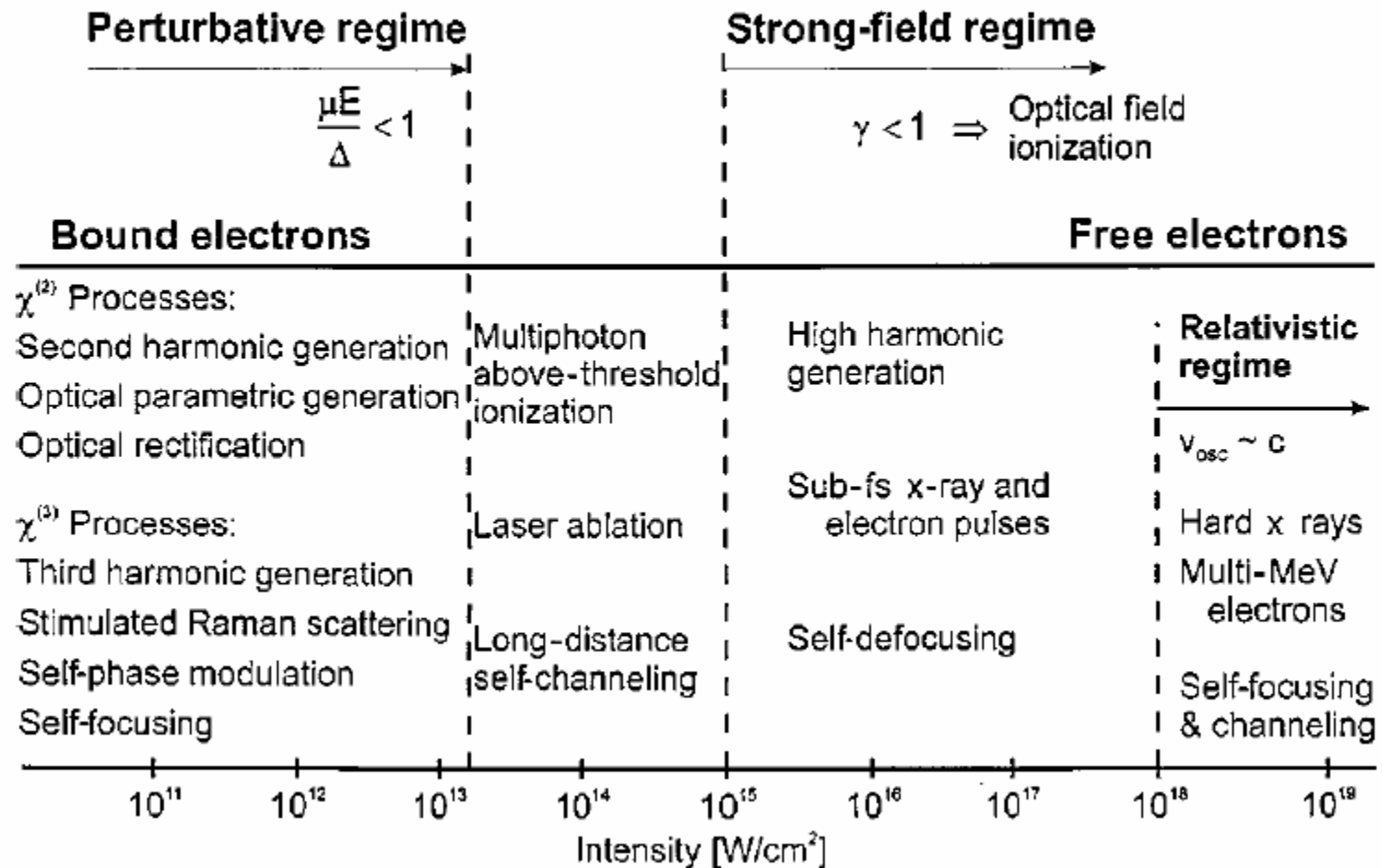
P. B. CORKUM<sup>1</sup> AND FERENC KRAUSZ<sup>2,3</sup>

[nature physics](#) | VOL 3 | JUNE 2007 | [www.nature.com/naturephysics](http://www.nature.com/naturephysics)

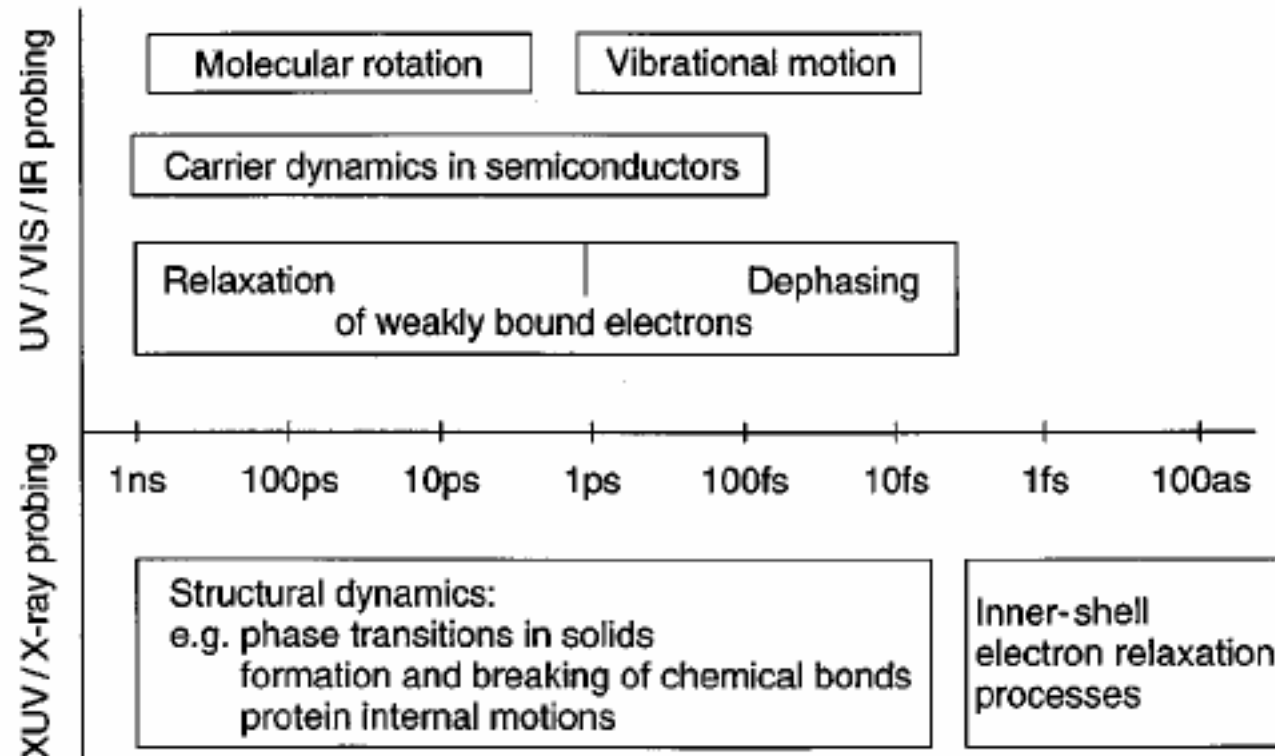


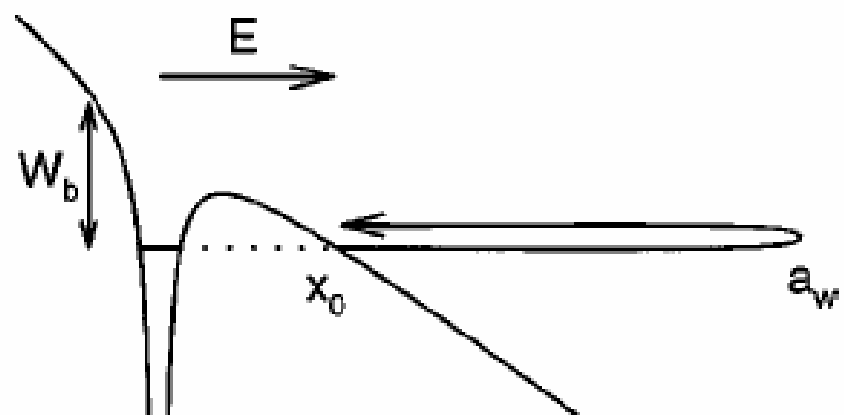


# Regimes of Nonlinear Optics



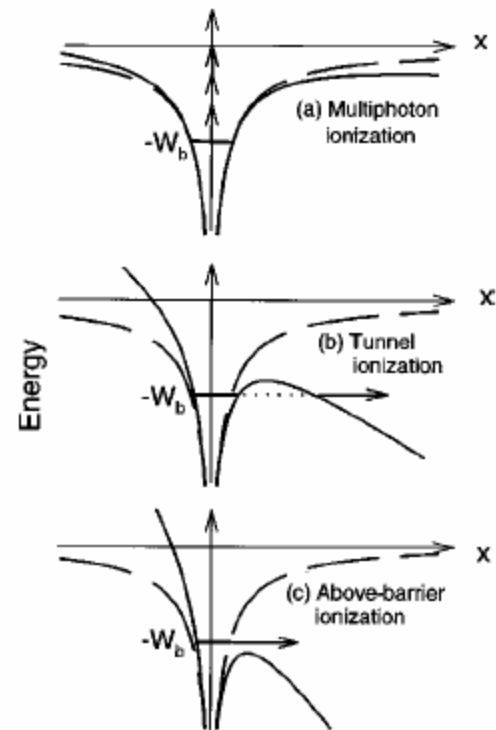
## Ultrafast Microscopic Processes

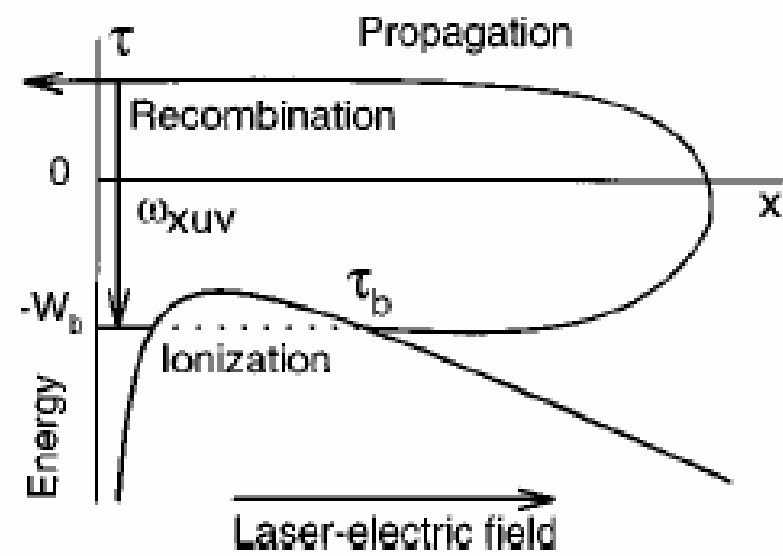


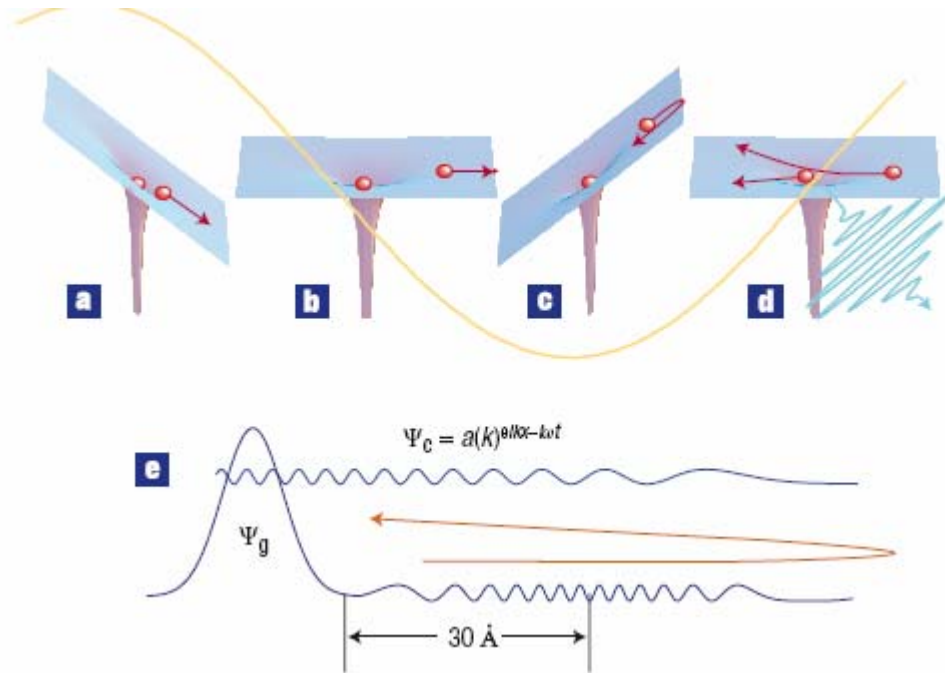


$$\frac{\chi^{(k+1)} E^{k+1}}{\chi^{(k)} E^k} \approx \frac{\mu_{ik} E_a}{\hbar \Delta} \approx \frac{e E_a a_B}{\hbar \Delta} = \alpha_{bb}$$

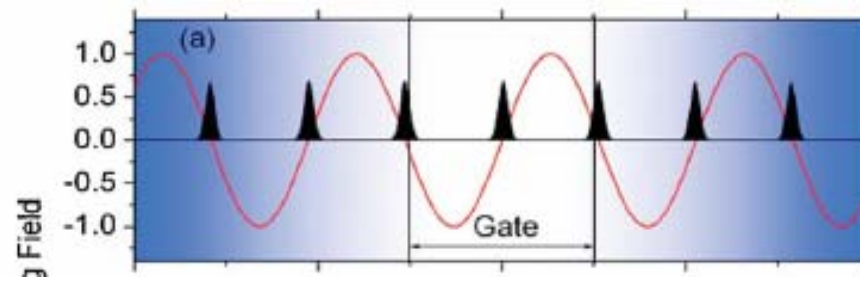
$$\frac{1}{\gamma} = \frac{e E_a}{\omega_0 \sqrt{2 m W_b}} = \frac{e E_a a_B}{\hbar \omega_0} = \alpha_{bf},$$







**Figure 2** Creating an attosecond pulse. **a–d**, An intense femtosecond near-Infrared or visible (henceforth: optical) pulse (shown in yellow) extracts an electron wavepacket from an atom or molecule. For ionization in such a strong field (**a**), Newton's equations of motion give a relatively good description of the response of the electron. Initially, the electron is pulled away from the atom (**a**, **b**), but after the field reverses, the electron is driven back (**c**) where it can 'recollide' during a small fraction of the laser oscillation cycle (**d**). The parent ion sees an attosecond electron pulse. This electron can be used directly, or its kinetic energy, amplitude and phase can be converted to an optical pulse on recollision<sup>12</sup>. **e**, The quantum mechanical perspective. Ionization splits the wavefunction: one portion remains in the original orbital, the other portion becomes a wave packet moving in the continuum. The laser field moves the wavepacket much as described in **a–d**, but when it returns the two portions of the wavefunction overlap. The resulting dynamic interference pattern transfers the kinetic energy, amplitude and phase from the recollision electron to the photon.



PRL 100, 103906 (2008)



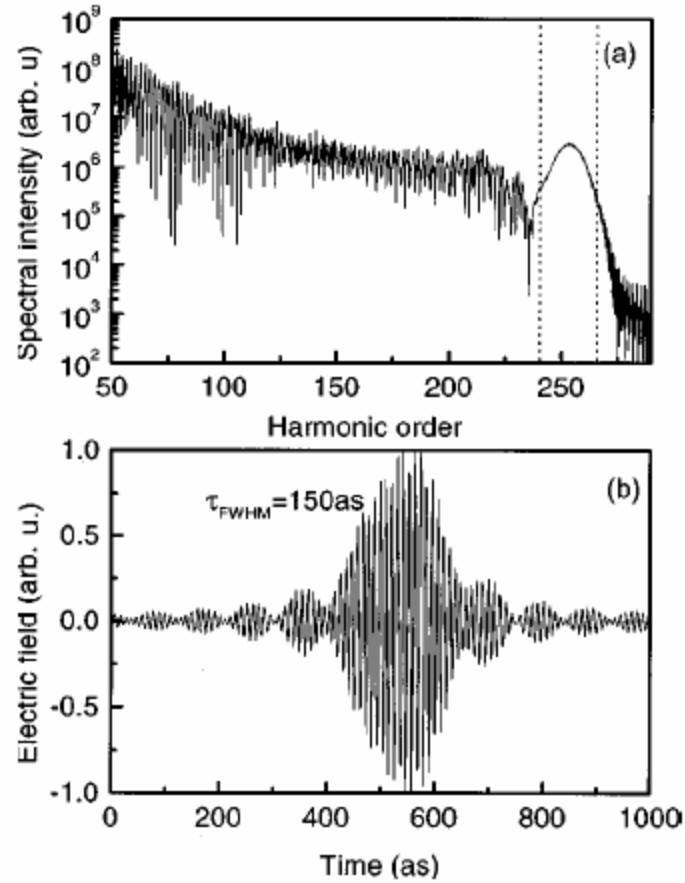
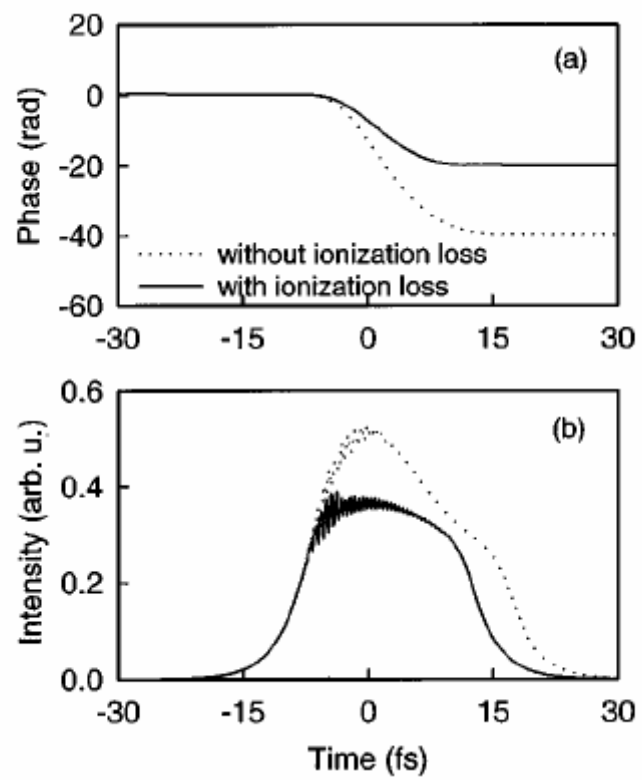
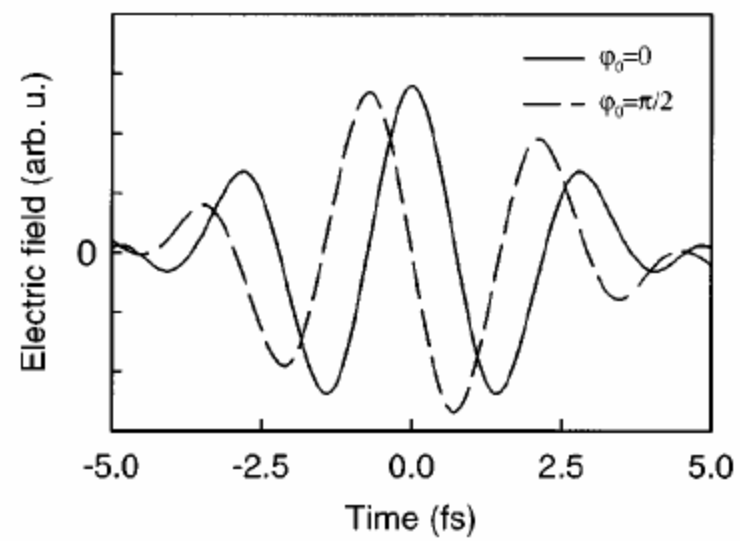


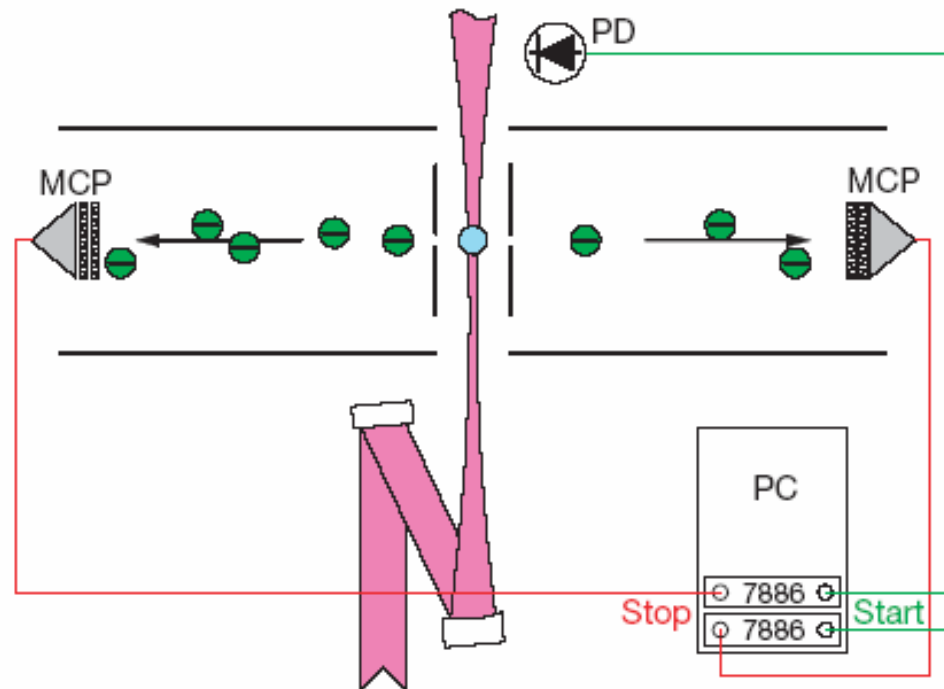
FIG. 45. Harmonic spectrum in helium (500 Torr) after a propagation distance of  $9\text{ }\mu\text{m}$  for  $\lambda_0=0.8\text{ }\mu\text{m}$ ,  $\tau_p=5\text{ fs}$ ,  $I_0=2\times 10^{15}\text{ W/cm}^2$ , and  $\varphi_0=0$ . (a) Computed harmonic spectrum; dotted lines, harmonic orders  $N=240$  and  $N=265$ ; (b) Fourier transform of the spectral band between  $N=240$  and  $N=265$ .





# CE Phase is Important for Atomic Physics

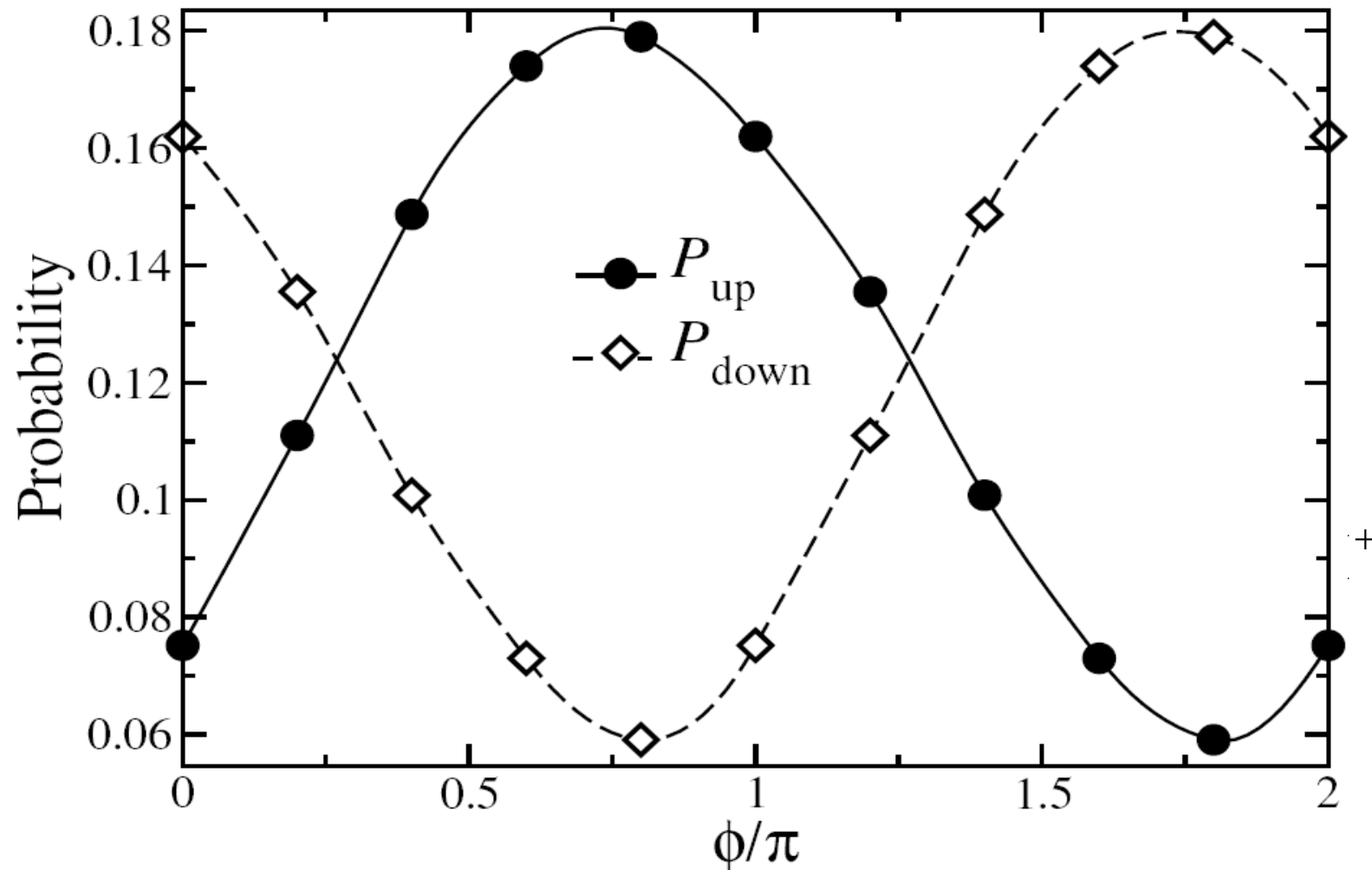
- The carrier envelope phase has a strong influence on laser-atomic interactions
  - Intense-field photoionization
  - Above threshold ionization
  - Higher harmonic / attosecond pulse generation



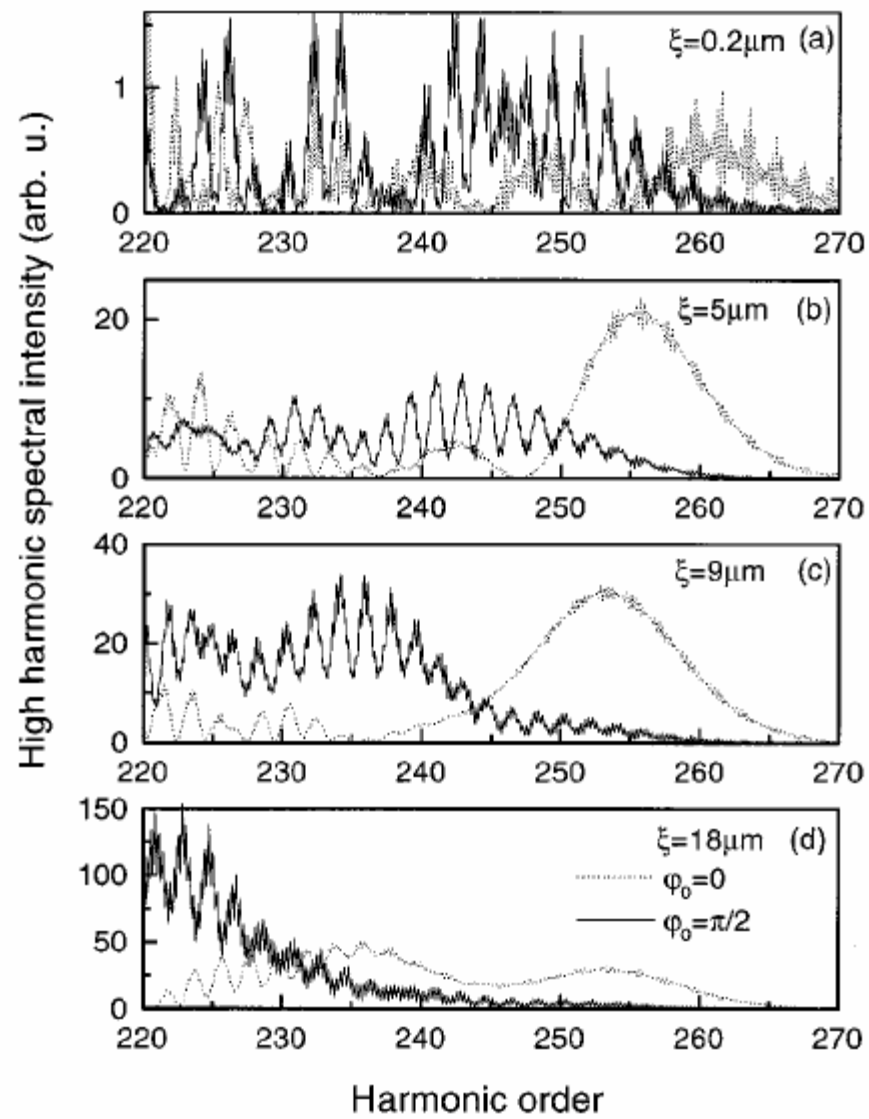
From Paulus et al, Nature 414, 8, November 2001, p 182

# Phase measurement from study of ions

- Theory by Brett Esry Group



V. Roudnev et al., Phys. Rev. Lett. 93, 163601 (2004)



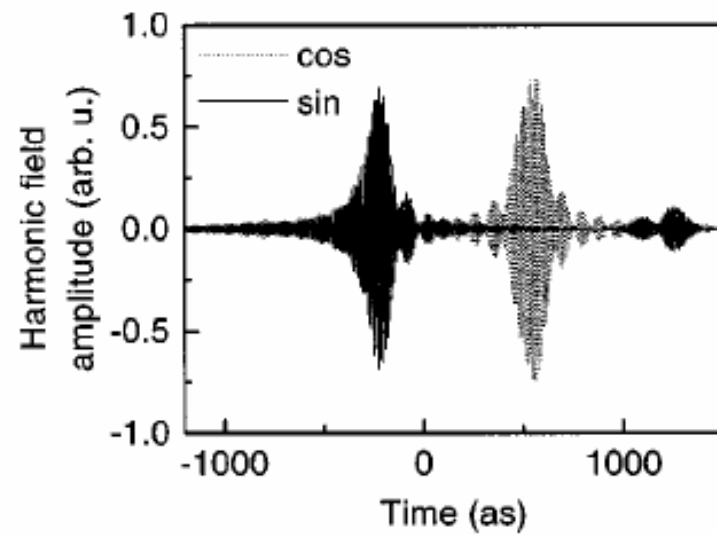
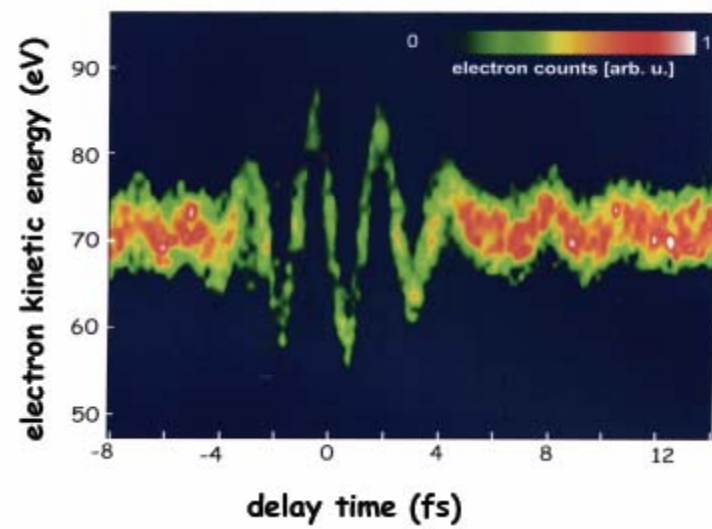
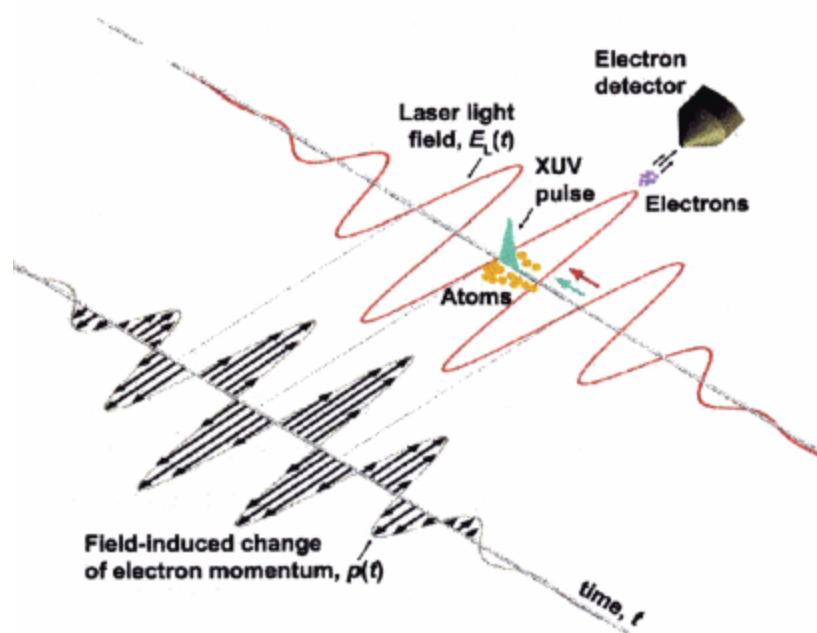
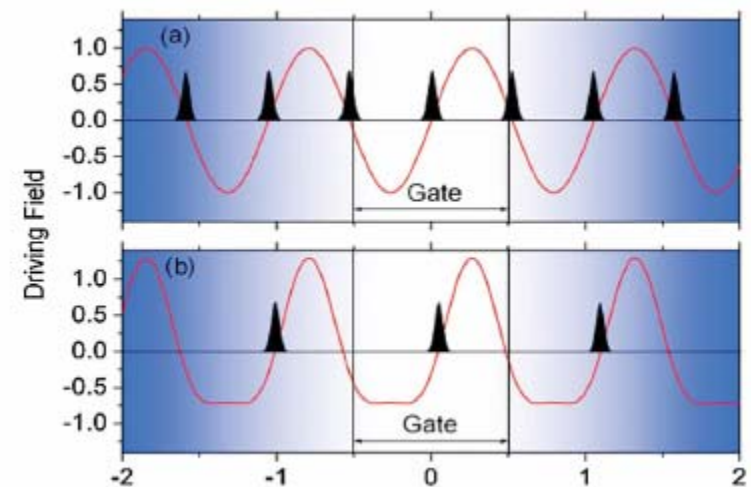
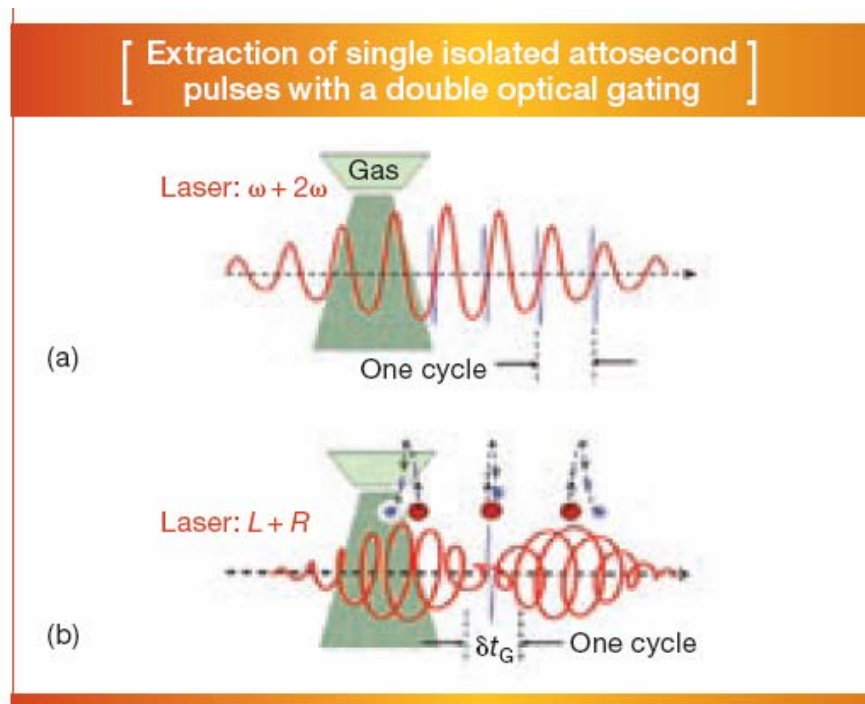


FIG. 49. Fourier transform of the harmonic amplitude spectrum between the orders 230 and 260 for the parameters of Fig. 48(c). Pulse phases: dotted line,  $\varphi_0=0$ ; solid line,  $\varphi_0 = \pi/2$ .





# Isolated attosecond pulses: Double optical gating





**Newton**



**Huygens**





**Aristotle**



**Laozi** 老子

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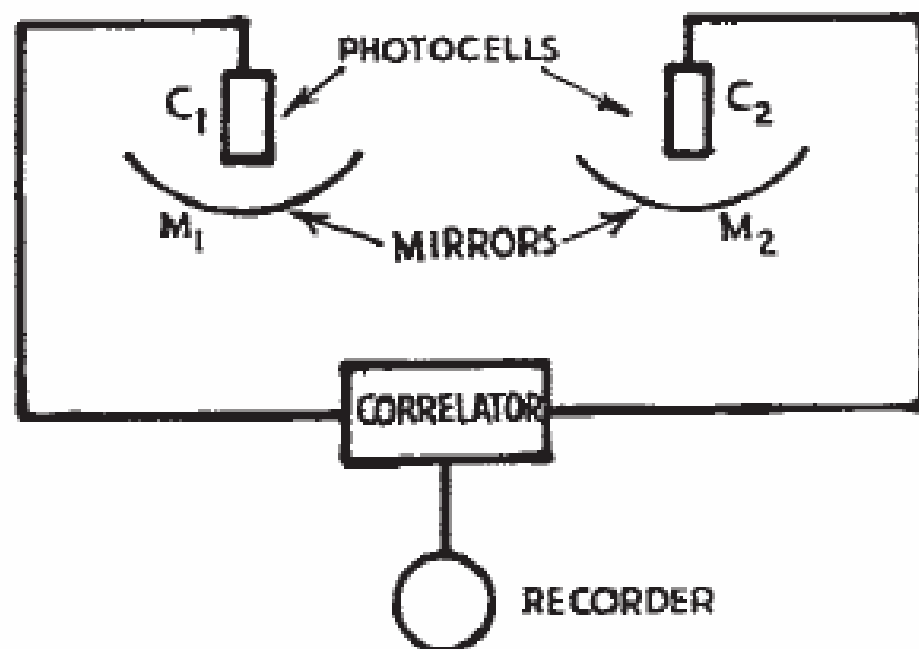
# The Scientific Method

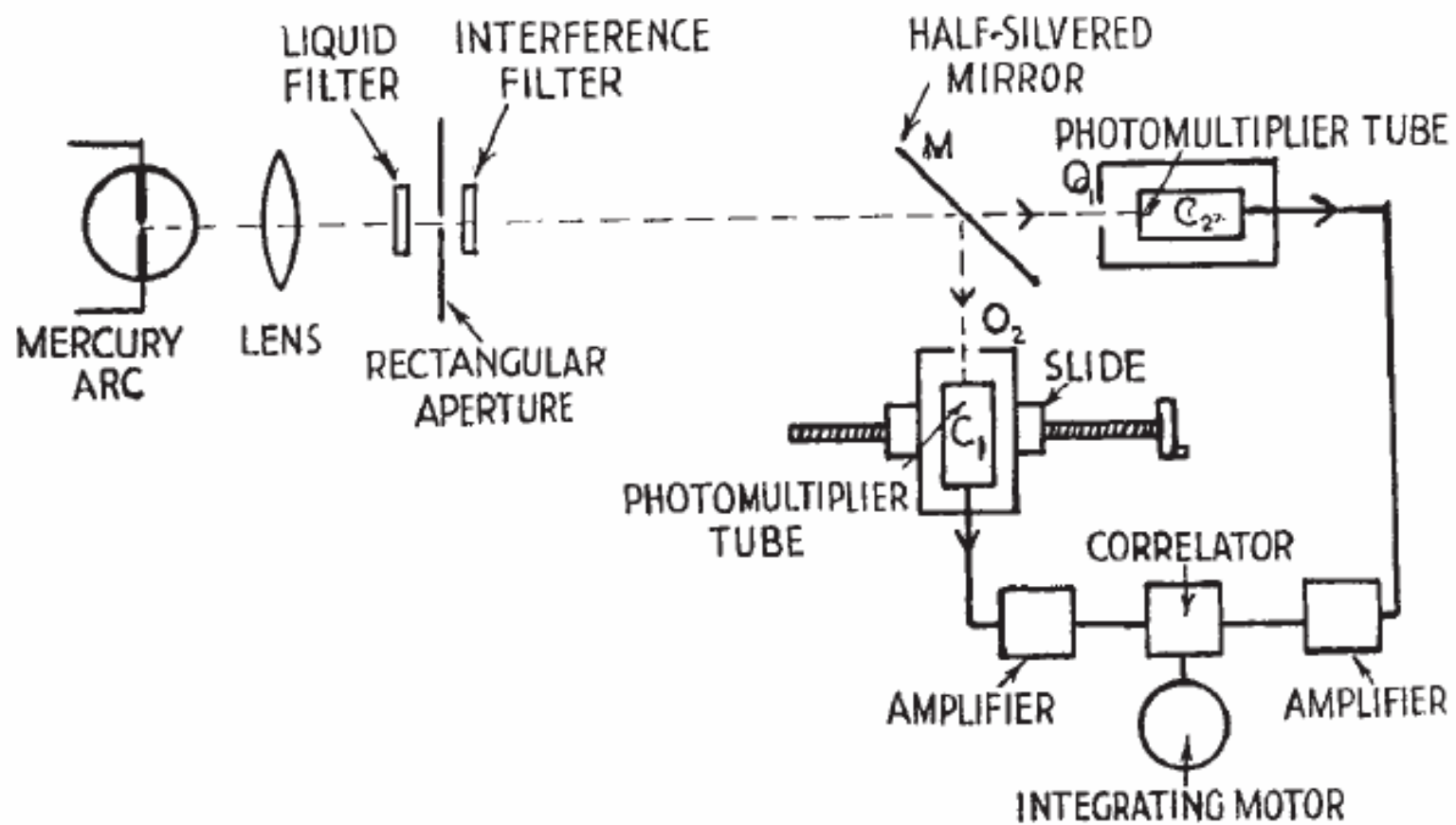
Richard Feynman Lectures:

<http://www.youtube.com/watch?v=b240PGCMwV0>

<http://www.youtube.com/watch?v=wLaRXYai19A>

[http://www.youtube.com/watch?v= MmpUWEW6ls&feature=related](http://www.youtube.com/watch?v=MmpUWEW6ls&feature=related)







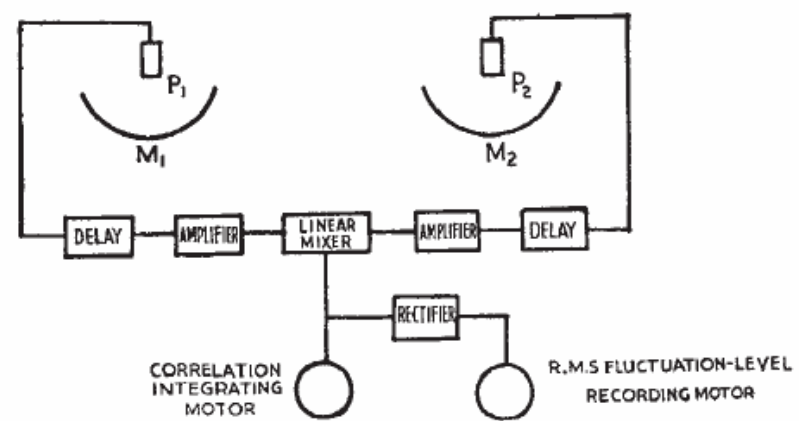
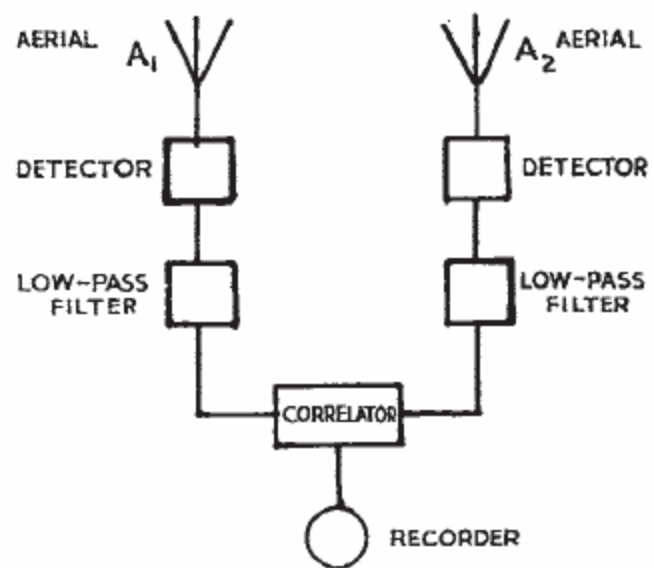
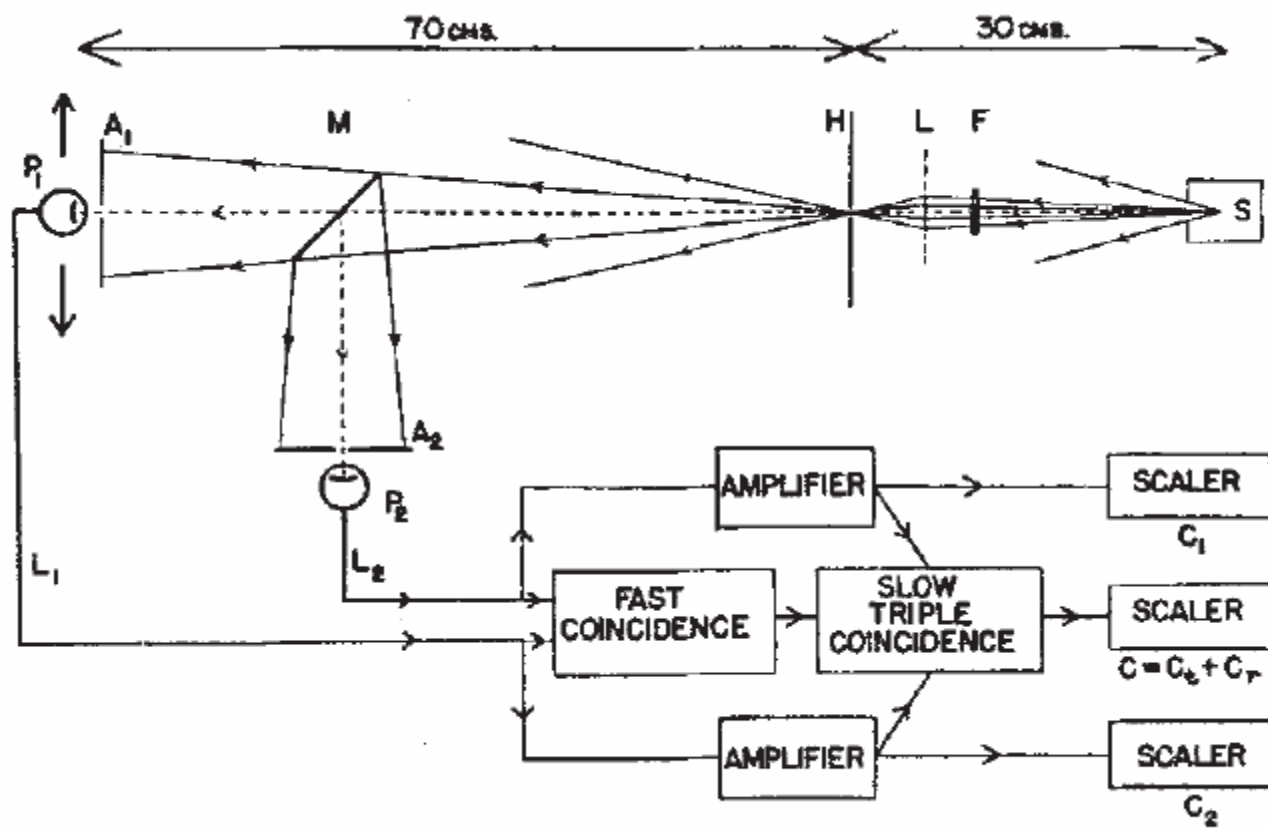


Fig. 1. Simplified diagram of the apparatus



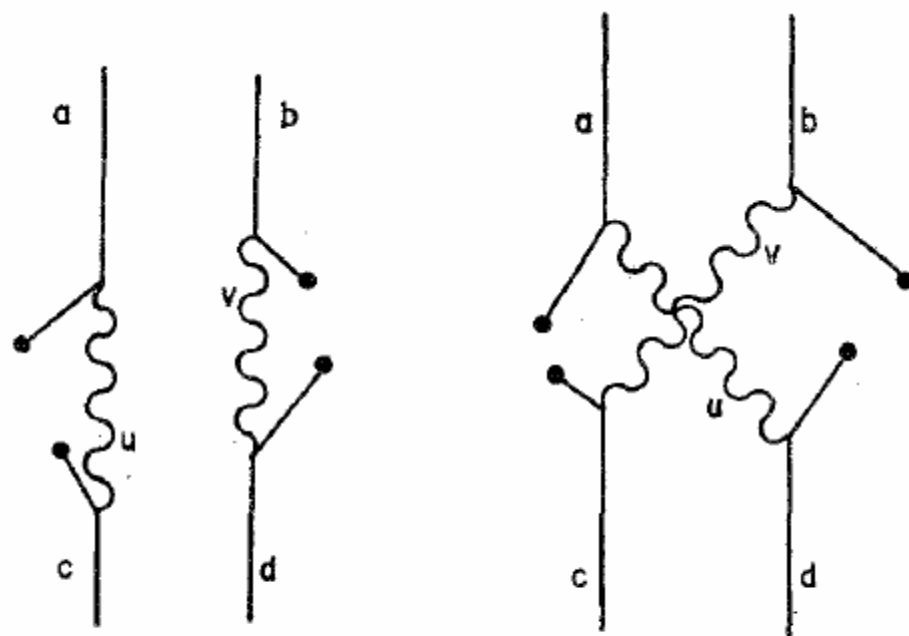
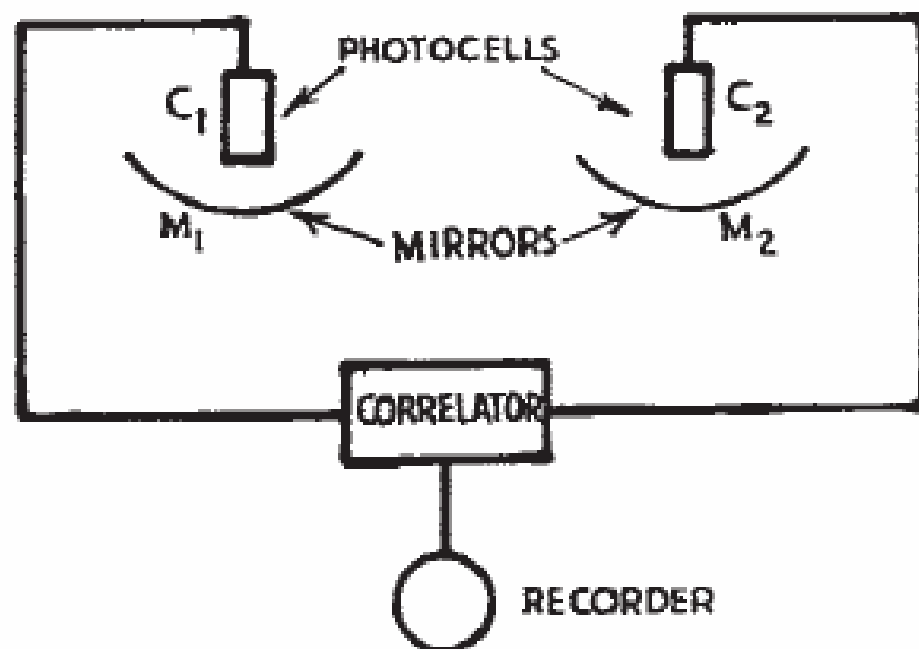
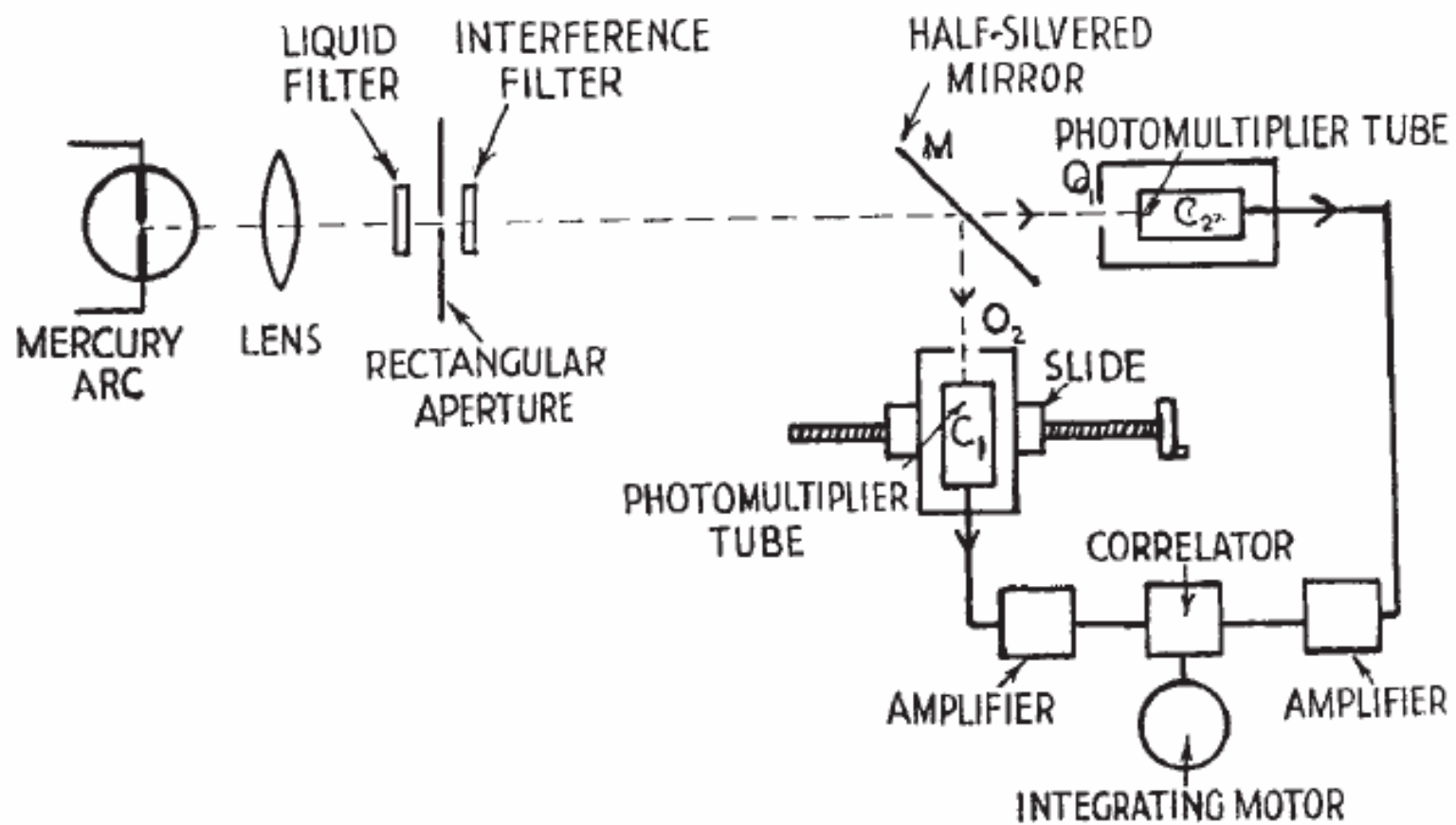


FIG. 1. Lowest-order diagrams representing light emission by a pair of atoms and its absorption by another pair of atoms. The heavy dots indicate ground-state lines.





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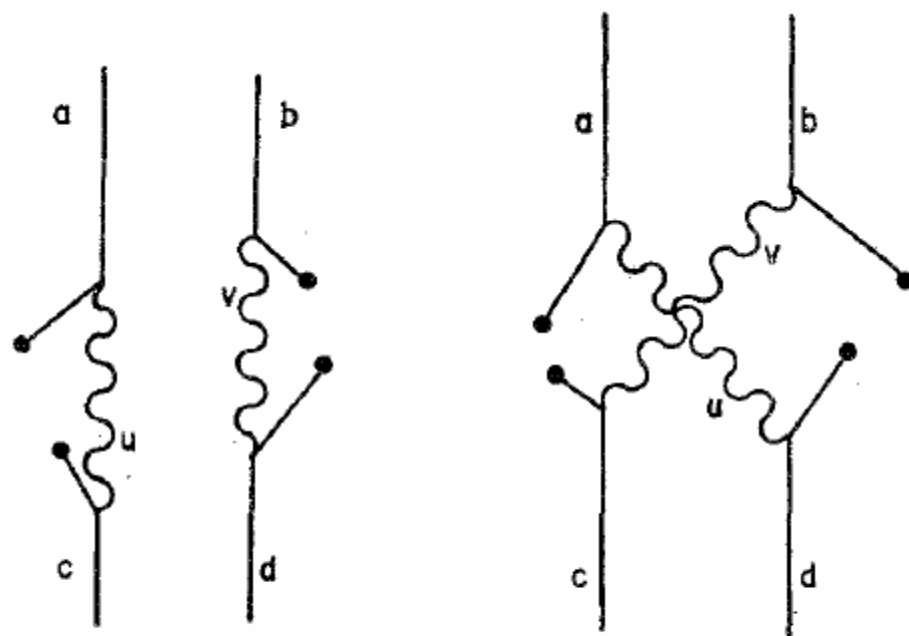


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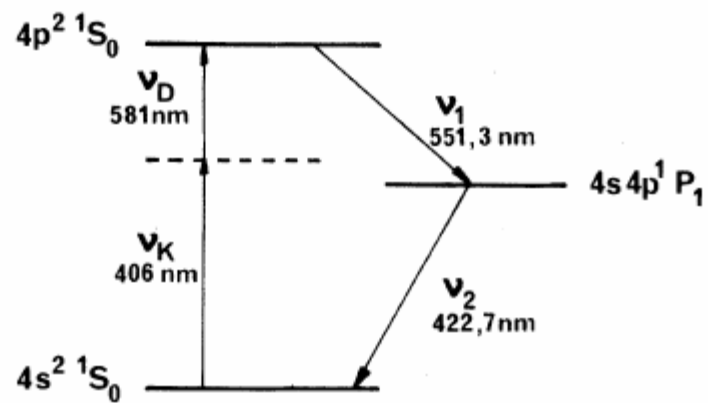


FIG. 1. Relevant levels of calcium. The atoms, selectively pumped to the upper level by the nonlinear absorption of  $\nu_K$  and  $\nu_L$ , emits the photons  $\nu_1$  and  $\nu_2$  correlated in polarization.



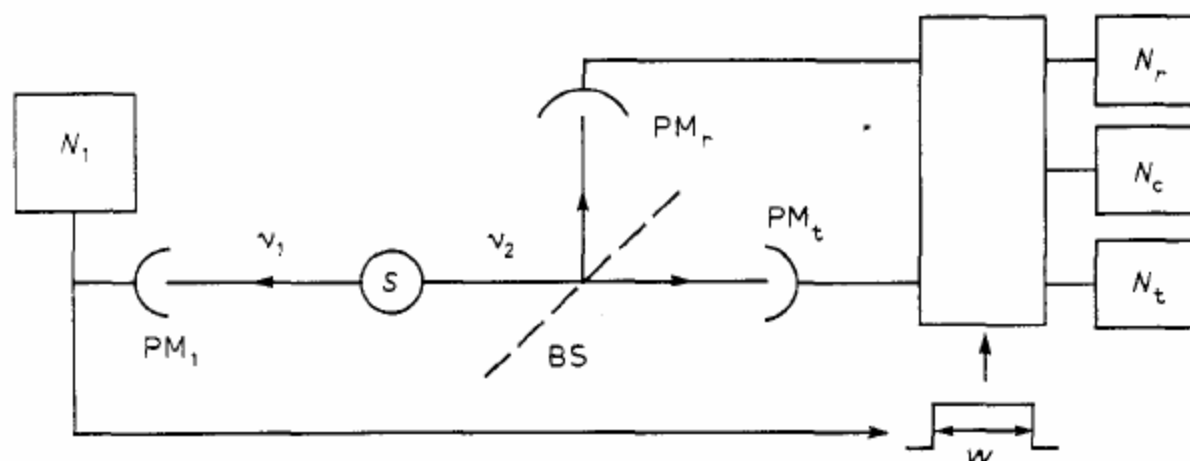


Fig. 1. – Triggered experiment. The detection of the first photon of the cascade produces a gate  $w$ , during which the photomultipliers  $PM_t$  and  $PM_r$  are active. The probabilities of detection during the gate are  $p_t = N_t/N_1$ ,  $p_r = N_r/N_1$  for singles, and  $p_c = N_c/N_1$  for coincidences.

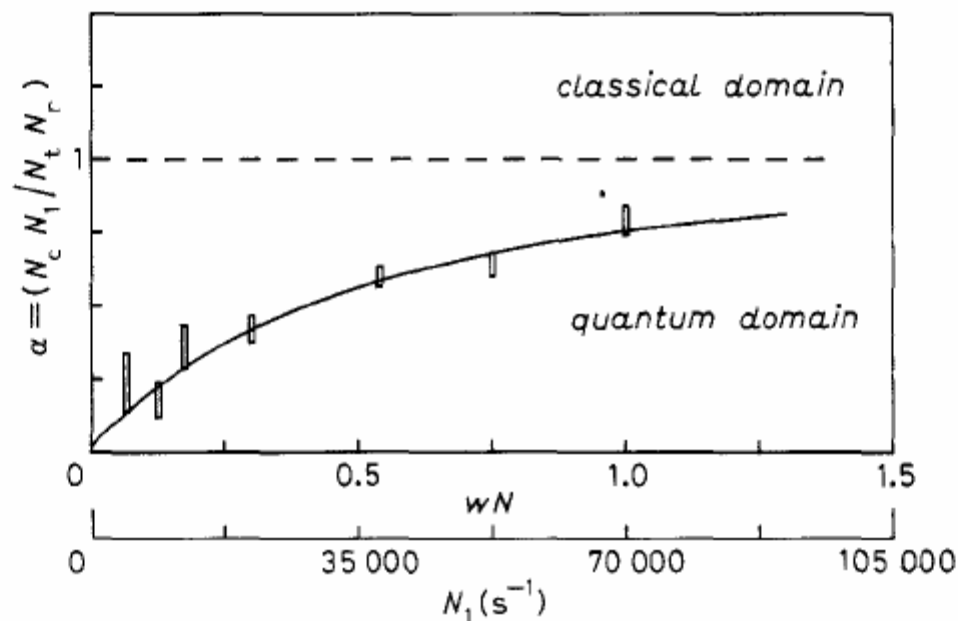


Fig. 2. – Anticorrelation parameter  $\alpha$  as a function of  $wN$  (number of cascades emitted during the gate) and of  $N_1$  (trigger rate). The indicated error bars are  $\pm$  one standard deviation. The full-line curve is the theoretical prediction from eq. (8). The inequality  $\alpha \geq 1$  characterizes the classical domain.

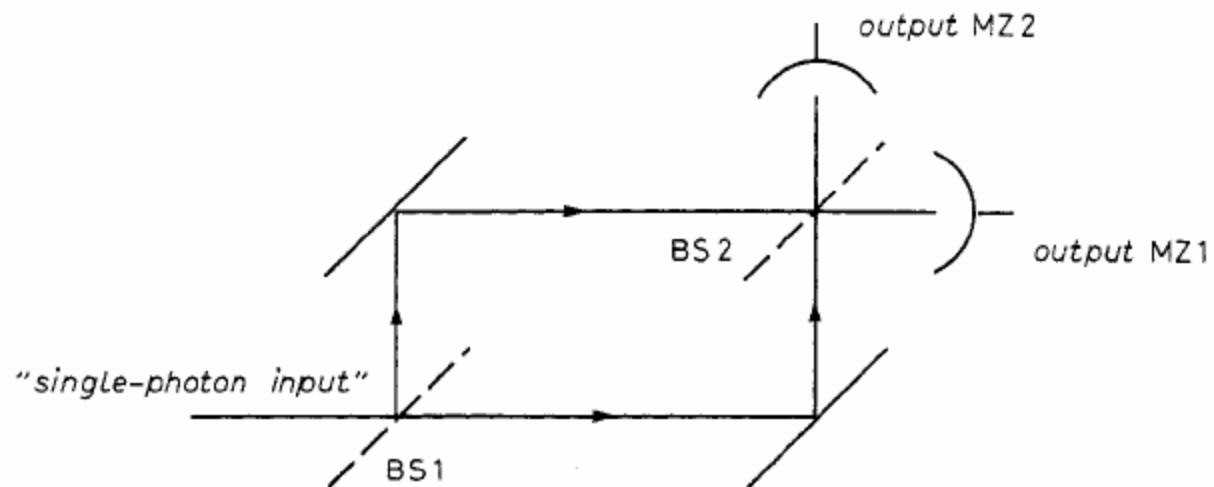


Fig. 3. – Mach-Zehnder interferometer. The detection probabilities in outputs MZ1 and MZ2 are oppositely modulated as a function of the path difference between the arms of the interferometer.

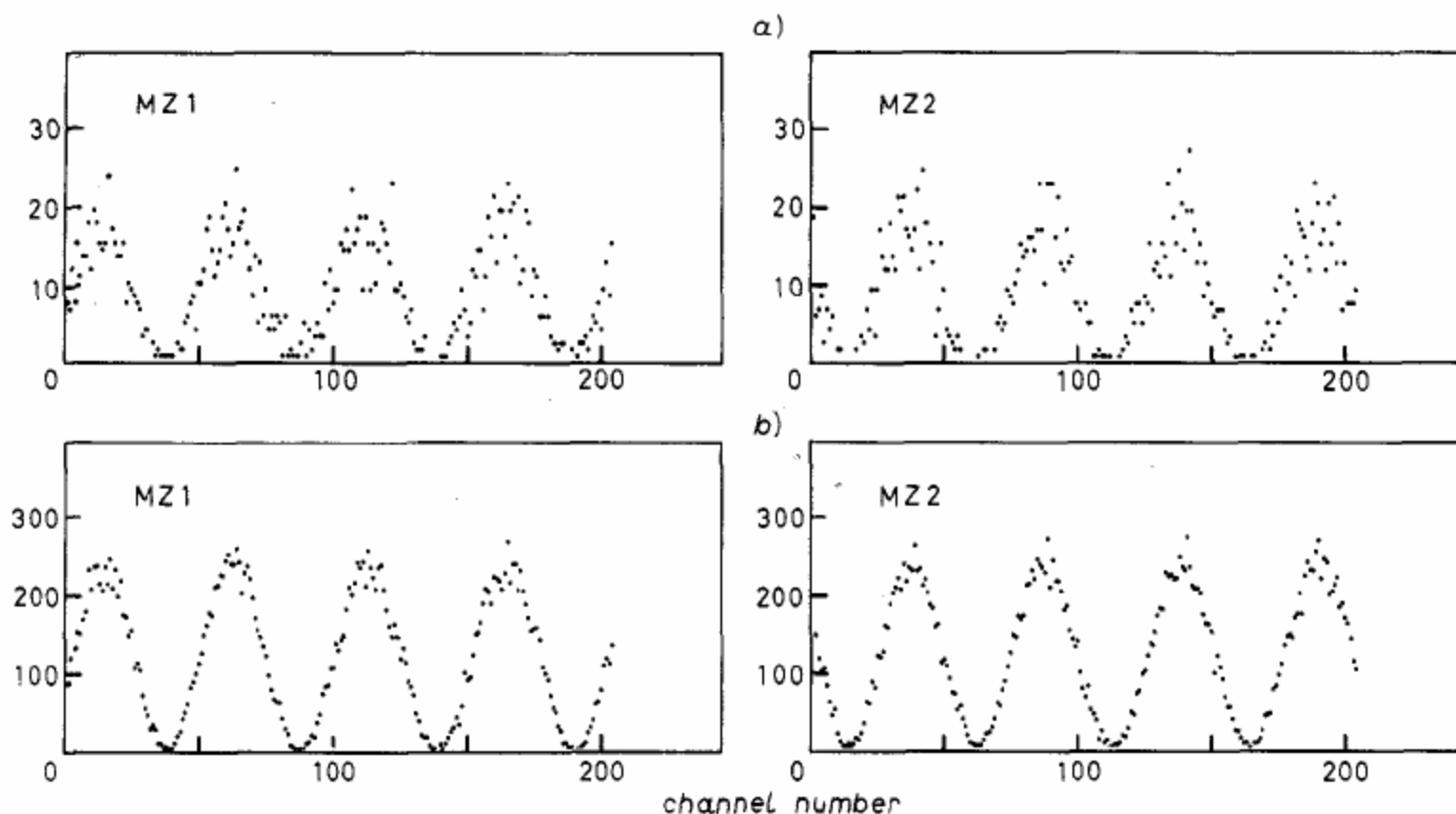


Fig. 4. – Number of counts in outputs MZ1 and MZ2 as a function of the path difference  $\delta$  (one channel corresponds to a  $\lambda/50$  variation of  $\delta$ ). *a)* 1 s counting time per channel *b)* 15 s counting time per channel (compilation of 15 elementary sweeps (like *a)*). This experiment corresponds to an anticorrelation parameter  $\alpha = 0.18$ .



Sun Wu (Sun Tzu)

孙武

# Experiment of Aspect *et al*, Europhys. Lett. 1 173 1986

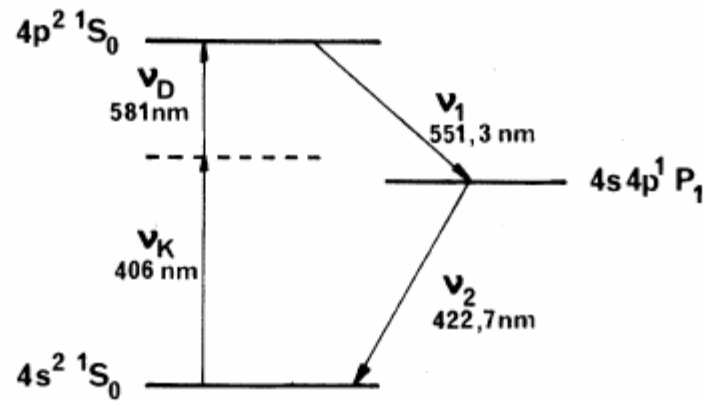


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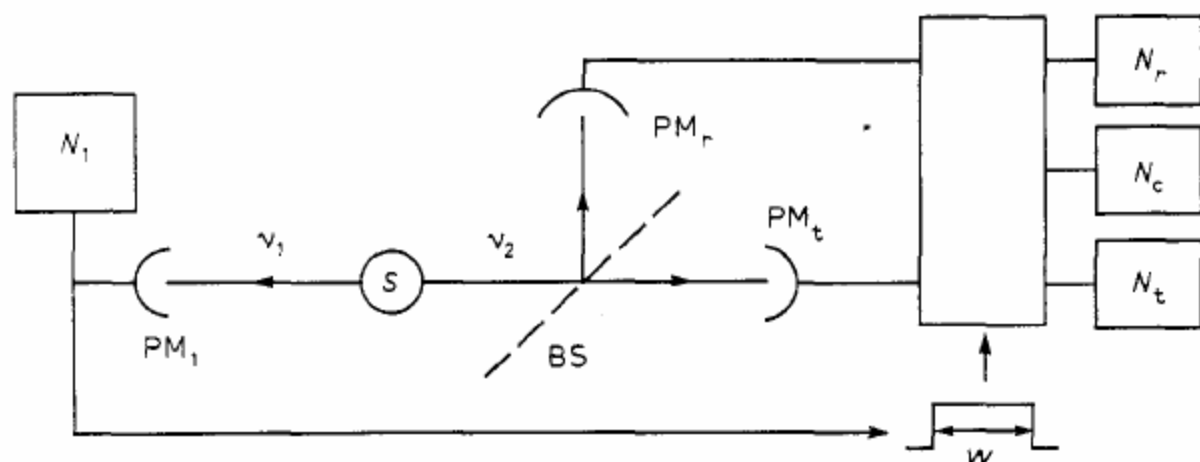


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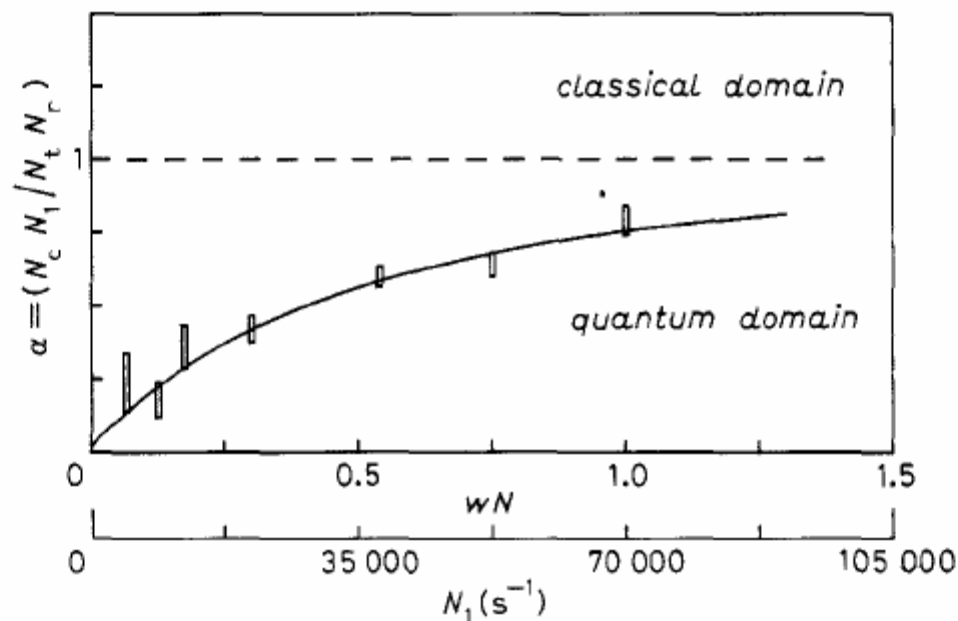


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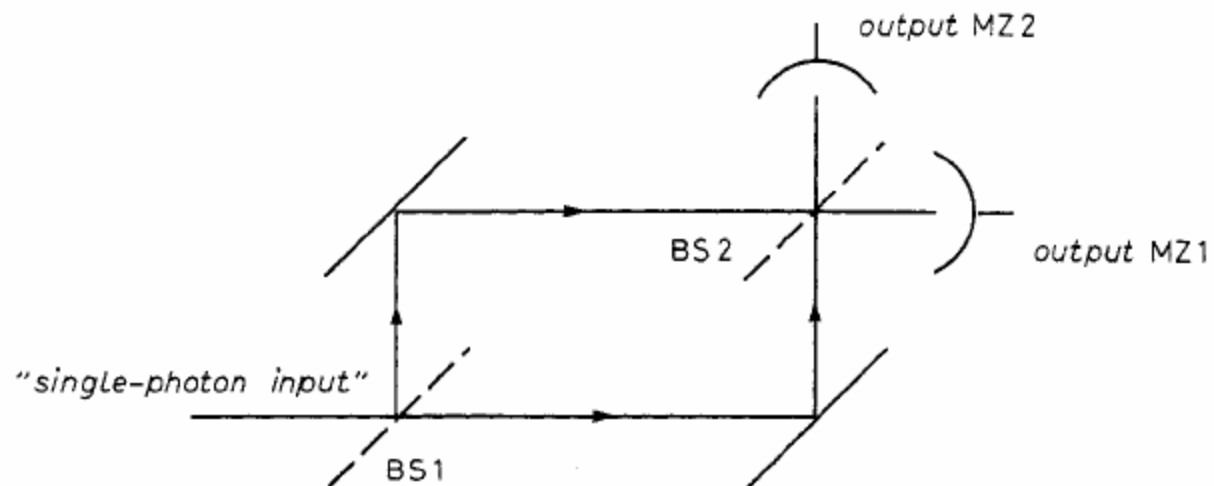


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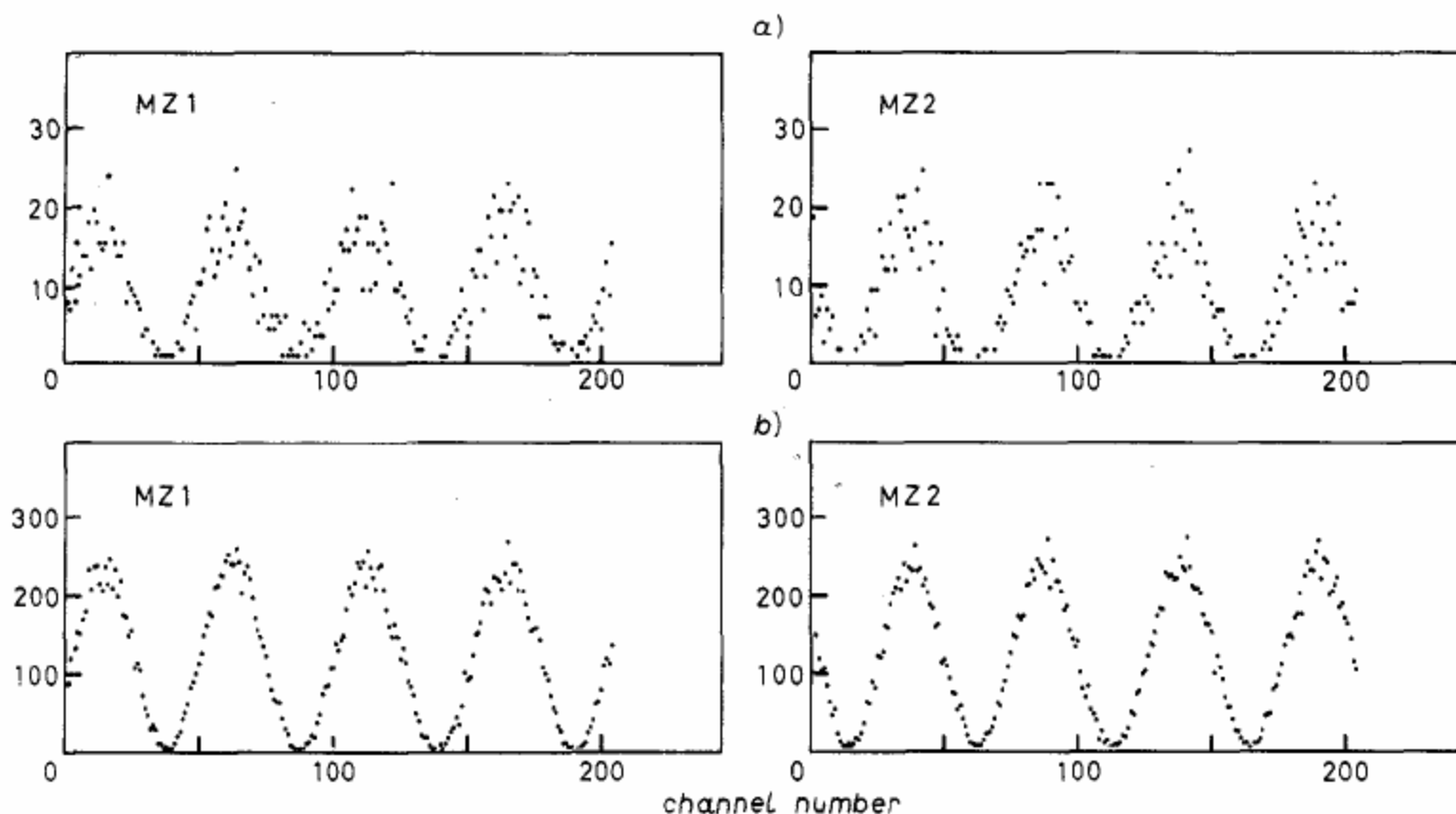
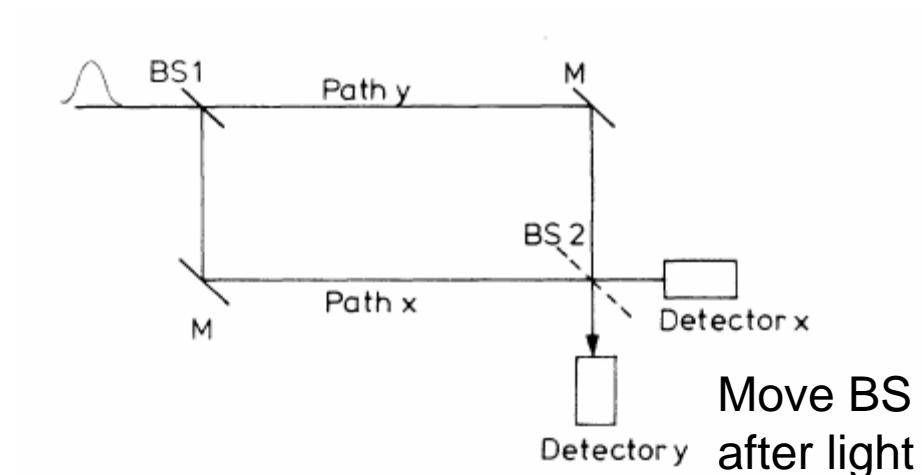


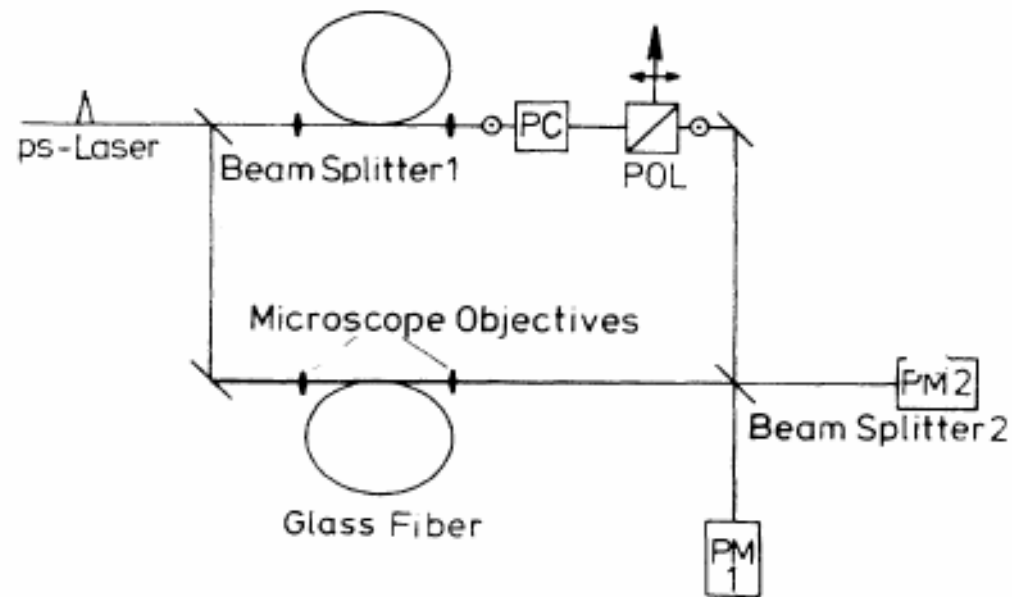
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## J. Wheeler's Delayed Choice Experiment



# Delayed Choice Experiment

Experiment of Walther *et al.* PRA vol 35, 6 1987



# Comparison of normal and delayed choice modes

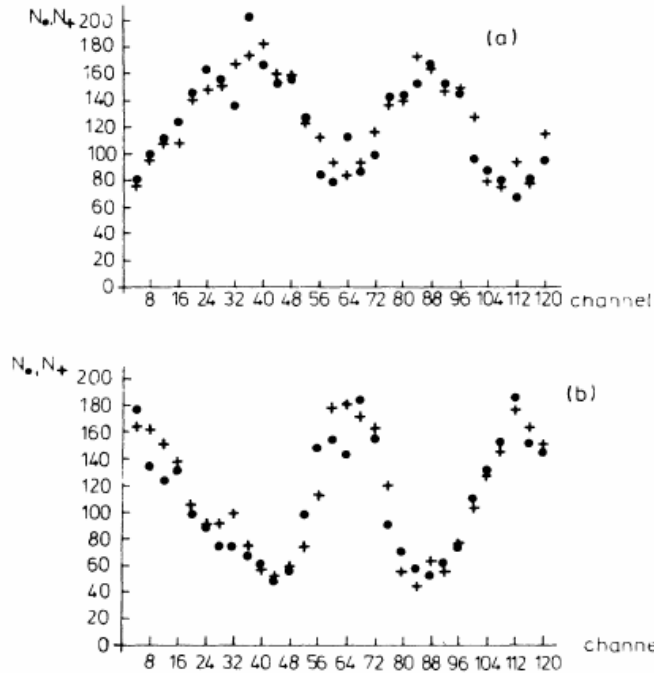


FIG. 6. Comparison of interference patterns for normal and delayed-choice configurations. Dots represent the data taken with the interferometer in its normal configuration, and crosses are data for delayed-choice operation. (a) is for photomultiplier 1, while the phase-inverted signal detected by photomultiplier 2 is shown in (b). The points are four-channel averages of the raw data. The horizontal axis is equivalent to time with 30 s/channel.

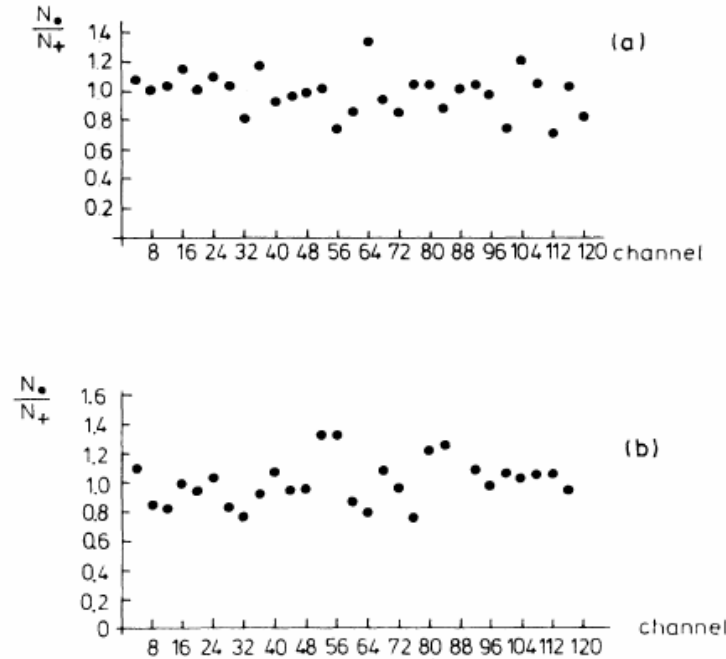


FIG. 7. Ratio  $N_{\bullet}/N_{+}$  using the results from Fig. 6. Again the horizontal axis is equivalent to time. The Copenhagen interpretation of quantum mechanics predicts  $N_{\bullet}/N_{+} = 1$ .

$N_{\bullet}$  : Normal Mode

$N_{+}$  : Delayed Choice Mode

$$N_{\bullet} / N_{+} = 1.00 \pm 0.02$$

# Wheeler's Smoky Dragon



# Quantum Beat Experiment

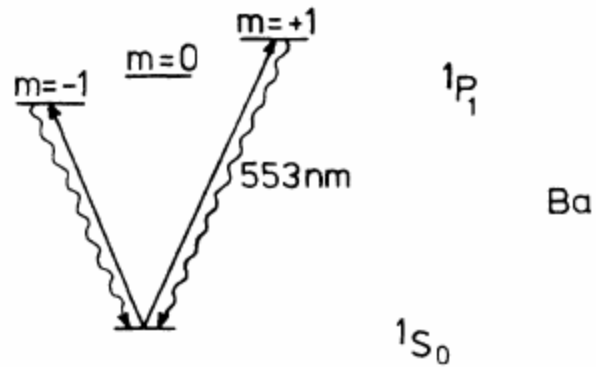


FIG. 5. Excitation scheme of barium used in the quantum-beat experiment.

$$\sigma^+ |0\rangle \rightarrow |+1\rangle \rightarrow |0\rangle$$

$$\sigma^- |0\rangle \rightarrow |-1\rangle \rightarrow |0\rangle$$

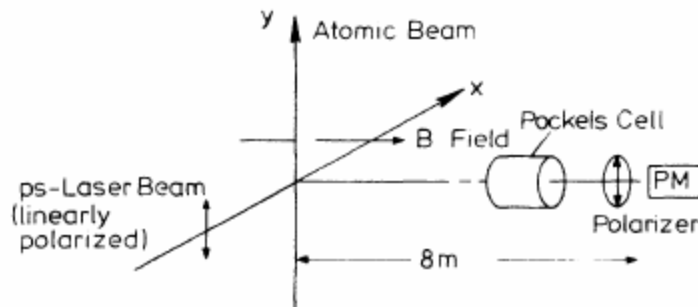


FIG. 4. Schematic arrangement of the quantum-beat experiment.

Pockels Cell ON  
 $\sigma^+$  transmitted  
 $\sigma^-$  blocked

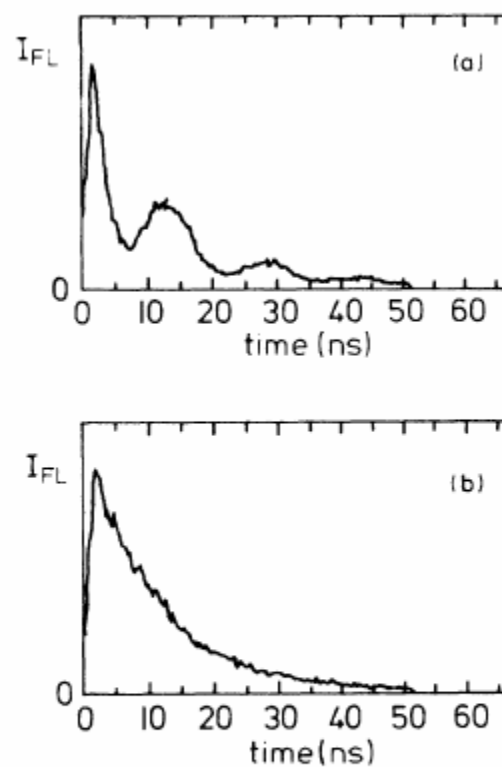


FIG. 8. Time-resolved fluorescence from pulse-excited barium in normal quantum-beat configuration when (a) Pockels cell voltage is zero, (b) a quarter-wave voltage is applied to the Pockels cell.



## Pockels cell switched OFF at S (both paths after time S)

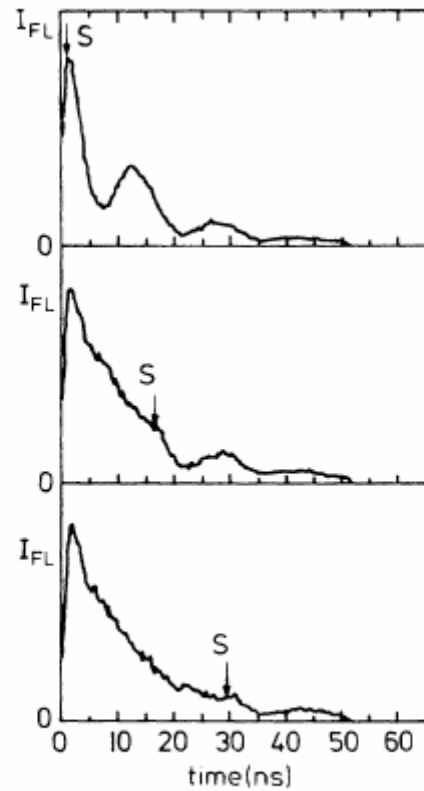


FIG. 9. Time-resolved fluorescence intensity with the Pockels cell switched off at different times (marked by  $S$ ).

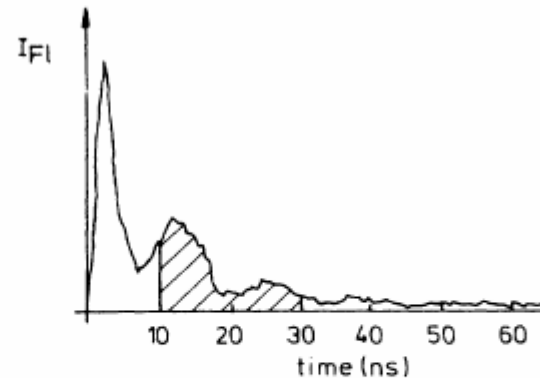
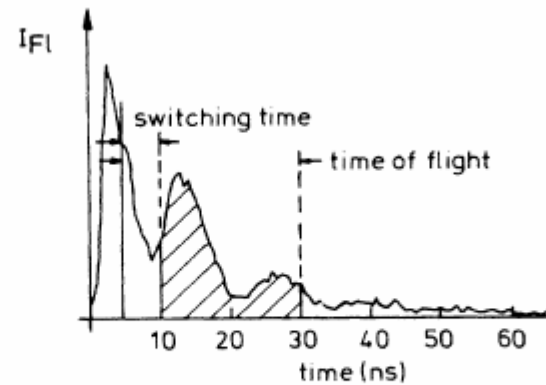


FIG. 10. Comparison of time-resolved fluorescence intensities for the normal (below) and the delayed-choice (above) modes of operation. Only the hatched area is used in evaluation (see text).

Lower time limit: rise time of Pockels cell (4 ns)

Upper time limit: time of flight between atomic beam and detection system

## Comparison of normal and delayed choice modes

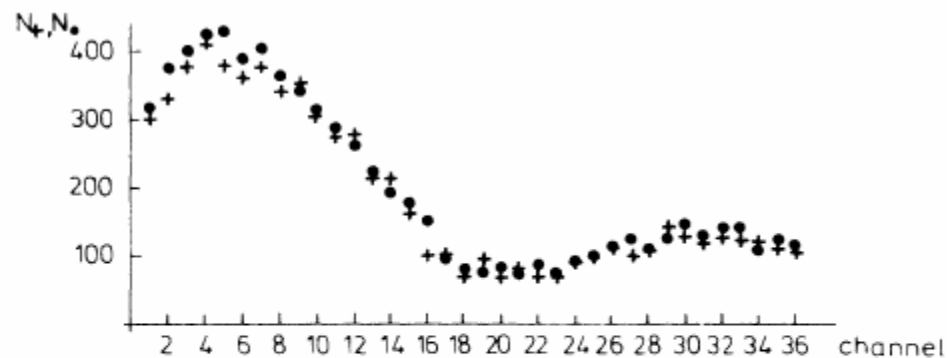


FIG. 11. Quantitative comparison of the hatched area from Fig. 10. Dots represent the delayed-choice and crosses the normal mode of operation. The vertical axis gives the number of counts per channel, and the horizontal corresponds to time with 0.56 ns/channel.

$N_\bullet$  : Normal Mode

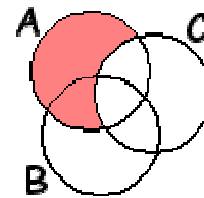
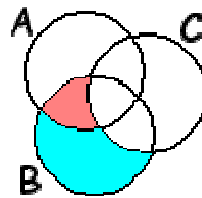
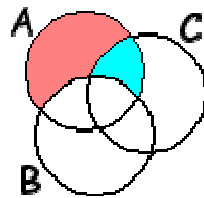
$N_+$  : Delayed Choice Mode

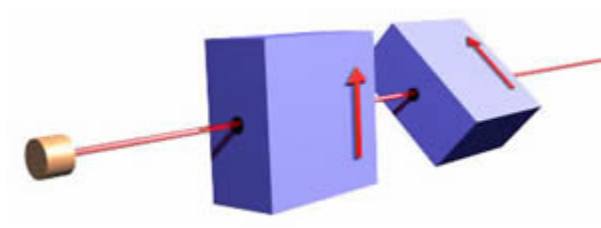
$$N_\bullet / N_+ = 1.03 \pm 0.02$$



**Example of extreme nonlocality : Voodoo Doll**

$$E(A, \bar{B}) + E(B, \bar{C}) \geq E(A, \bar{C})$$





## Notes on Plagiarism

[http://www.aps.org/policy/statements/02\\_2.cfm#supplementary\\_guidelines1](http://www.aps.org/policy/statements/02_2.cfm#supplementary_guidelines1)

<http://www.scribd.com/doc/18773744/How-to-Publish-a-Scientific-Comment-in-1-2-3-Easy-Steps>

From Trebino's "How to publish a scientific comment in 1 2 3 easy steps"

"Reviewers (of any paper) should themselves be reviewable. Currently, reviewers can say whatever they like, and there is no check on them. Authors should be allowed to single out potentially irresponsible reviewers, such as Reviewer #2 in the above scenario, whose review would be reviewed by another reviewer. Confirmed irresponsible reviewers should then be identified and removed from reviewer databases, which would be shared with other journals. Writing an irresponsible review should be considered a form of scientific misconduct. "

“While removing unethical reviewers would help, improving reviews of ethical ones is also important. Currently there is no compensation of any sort for reviewers and hence no encouragement to do a good job. I believe that reviewers should be paid for their services. People take paid jobs much more seriously than volunteer efforts. Knowing this, social psychologists pay their subjects simply to fill out questionnaires because it yields much higher-quality results. And what could be more important than the accuracy of the archival scientific literature? “

“Require scientific ethics courses in grad school. Problems like those that I encountered are a proverbial ticking time bomb for science. What if those opposed to taking action against global warming were to make the claim that science shouldn’t be believed in this matter because its process is so rife with poor ethics that it can’t be trusted?”



# Three Aspect et al. Experiments

VOLUME 47, NUMBER 7

PHYSICAL REVIEW LETTERS

17 AUGUST 1981

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## Experimental Tests of Realistic Local Theories via Bell's Theorem

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VOLUME 49, NUMBER 2

PHYSICAL REVIEW LETTERS

12 JULY 1982

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## Experimental Realization of Einstein-Podolsky-Rosen-Bohm *Gedankenexperiment*: A New Violation of Bell's Inequalities

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(Received 30 December 1981)

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PHYSICAL REVIEW LETTERS

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## Experimental Test of Bell's Inequalities Using Time-Varying Analyzers

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(Received 27 September 1982)

# Experimental Tests of Realistic Local Theories via Bell's Theorem

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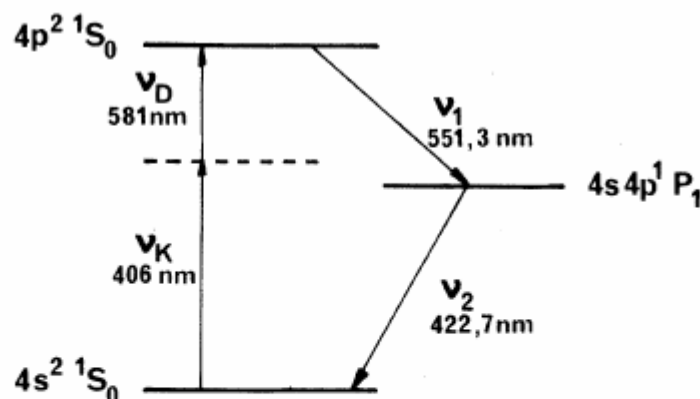


FIG. 1. Relevant levels of calcium. The atoms, selectively pumped to the upper level by the nonlinear absorption of  $\nu_K$  and  $\nu_D$ , emits the photons  $\nu_1$  and  $\nu_2$  correlated in polarization.

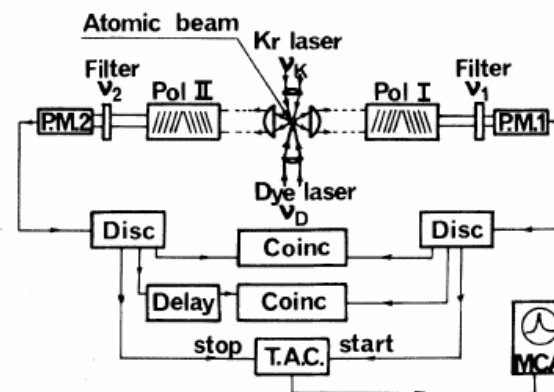


FIG. 2. Schematic diagram of apparatus and electronics. The laser beams are focused onto the atomic beam perpendicular to the figure. Feedback loops from the fluorescence signal control the krypton laser power and the dye-laser wavelength. The output of discriminators feed counters (not shown) and coincidence circuits. The multichannel analyzer (MCA) displays the time-delay spectrum.

# Experimental Realization of Einstein-Podolsky-Rosen-Bohm *Gedankenexperiment*: A New Violation of Bell's Inequalities

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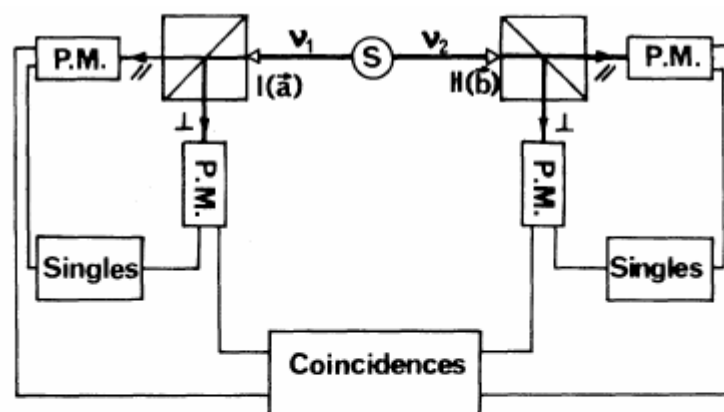


FIG. 2. Experimental setup. Two polarimeters I and II, in orientations  $\vec{a}$  and  $\vec{b}$ , perform true dichotomic measurements of linear polarization on photons  $\nu_1$  and  $\nu_2$ . Each polarimeter is rotatable around the axis of the incident beam. The counting electronics monitors the singles and the coincidences.

$$E(\vec{a}, \vec{b}) = P_{++}(\vec{a}, \vec{b}) + P_{--}(\vec{a}, \vec{b}) - P_{+-}(\vec{a}, \vec{b}) - P_{-+}(\vec{a}, \vec{b})$$

### Local Hidden Variable Theory Gives

$$-2 \leq S \leq 2,$$

where

$$S = E(\vec{a}, \vec{b}) - E(\vec{a}, \vec{b}') + E(\vec{a}', \vec{b}) + E(\vec{a}', \vec{b}')$$

### Quantum Mechanics Gives

$$E(\vec{a}, \vec{b}) = F \frac{(T_1^{\parallel} - T_1^{\perp})(T_2^{\parallel} - T_2^{\perp})}{(T_1^{\parallel} + T_1^{\perp})(T_2^{\parallel} + T_2^{\perp})} \cos 2(\vec{a}, \vec{b})$$

$$S_{QM} = 2.70 \pm 0.05.$$

For no losses and perfect detection  $S_{QM} = \pm 2\sqrt{2}$

### Experiment Measures

$$E(\vec{a}, \vec{b}) = \frac{R_{++}(\vec{a}, \vec{b}) + R_{--}(\vec{a}, \vec{b}) - R_{+-}(\vec{a}, \vec{b}) - R_{-+}(\vec{a}, \vec{b})}{R_{++}(a, b) + R_{--}(a, b) + R_{+-}(a, b) + R_{-+}(a, b)}.$$

$$S_{\text{expt}} = 2.697 \pm 0.015.$$

Quantum Mechanics is  
consistent with measurement

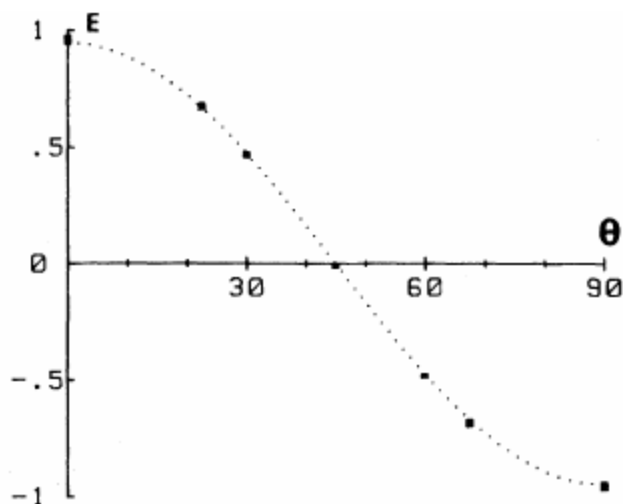


FIG. 3. Correlation of polarizations as a function of the relative angle of the polarimeters. The indicated errors are  $\pm 2$  standard deviations. The dotted curve is not a fit to the data, but quantum mechanical predictions for the actual experiment. For ideal polarizers, the curve would reach the values  $\pm 1$ .

## Experimental Test of Bell's Inequalities Using Time-Varying Analyzers

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*Institut d'Optique Théorique et Appliquée, F-91406 Orsay Cédex, France*

(Received 27 September 1982)

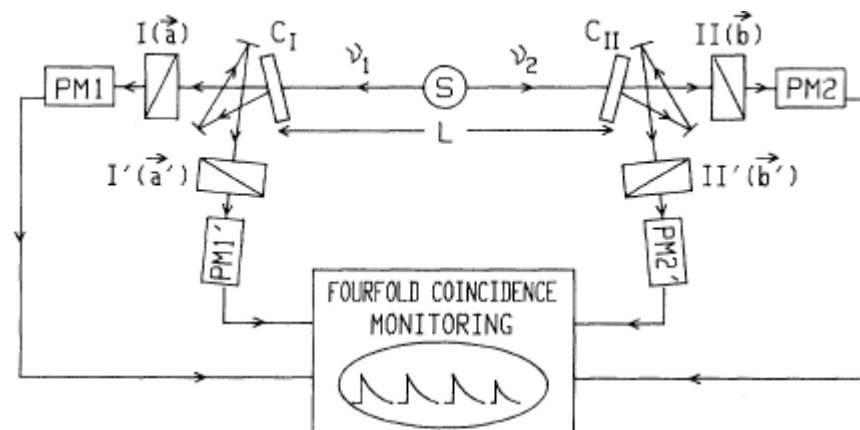


FIG. 2. Timing experiment with optical switches. Each switching device ( $C_I, C_{II}$ ) is followed by two polarizers in two different orientations. Each combination is equivalent to a polarizer switched fast between two orientations.

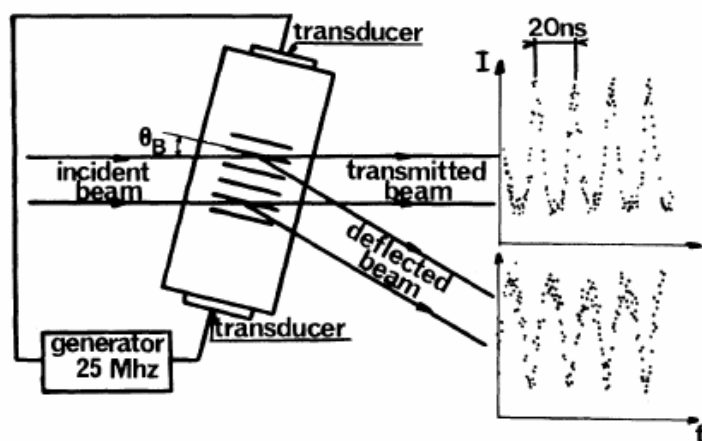


FIG. 3. Optical switch. The incident light is switched at a frequency around 50 MHz by diffraction at the Bragg angle on an ultrasonic standing wave. The intensities of the transmitted and deflected beams as a function of time have been measured with the actual source. The fraction of light directed towards other diffraction orders is negligible.

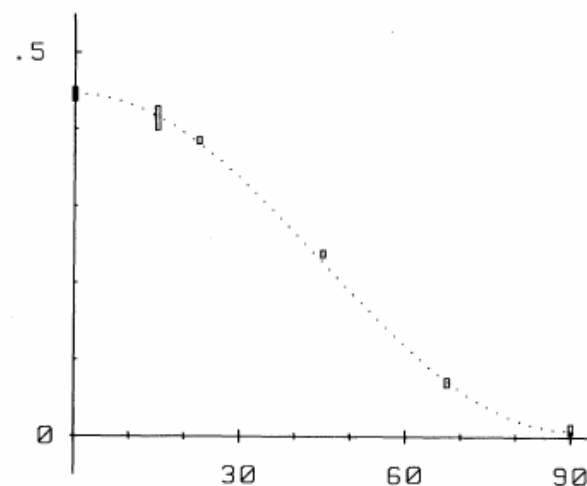
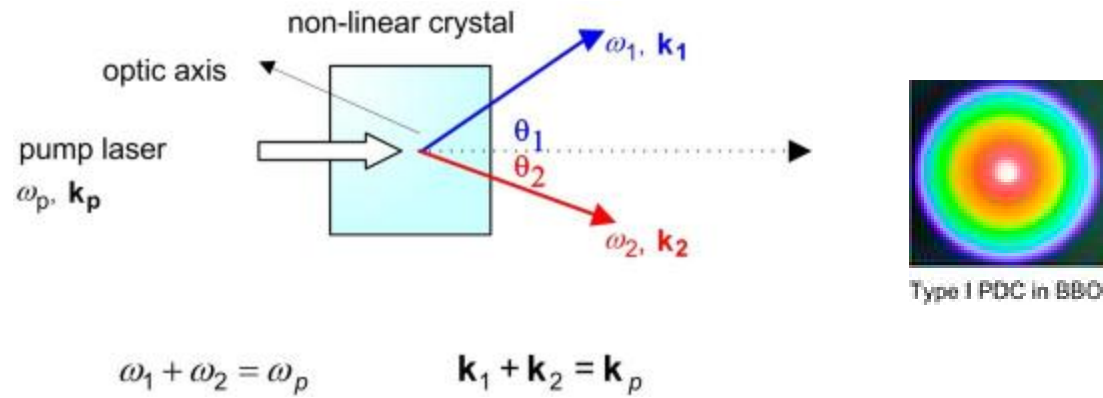


FIG. 4. Average normalized coincidence rate as a function of the relative orientation of the polarizers. Indicated errors are  $\pm 1$  standard deviation. The dashed curve is not a fit to the data but the predictions by quantum mechanics for the actual experiment.

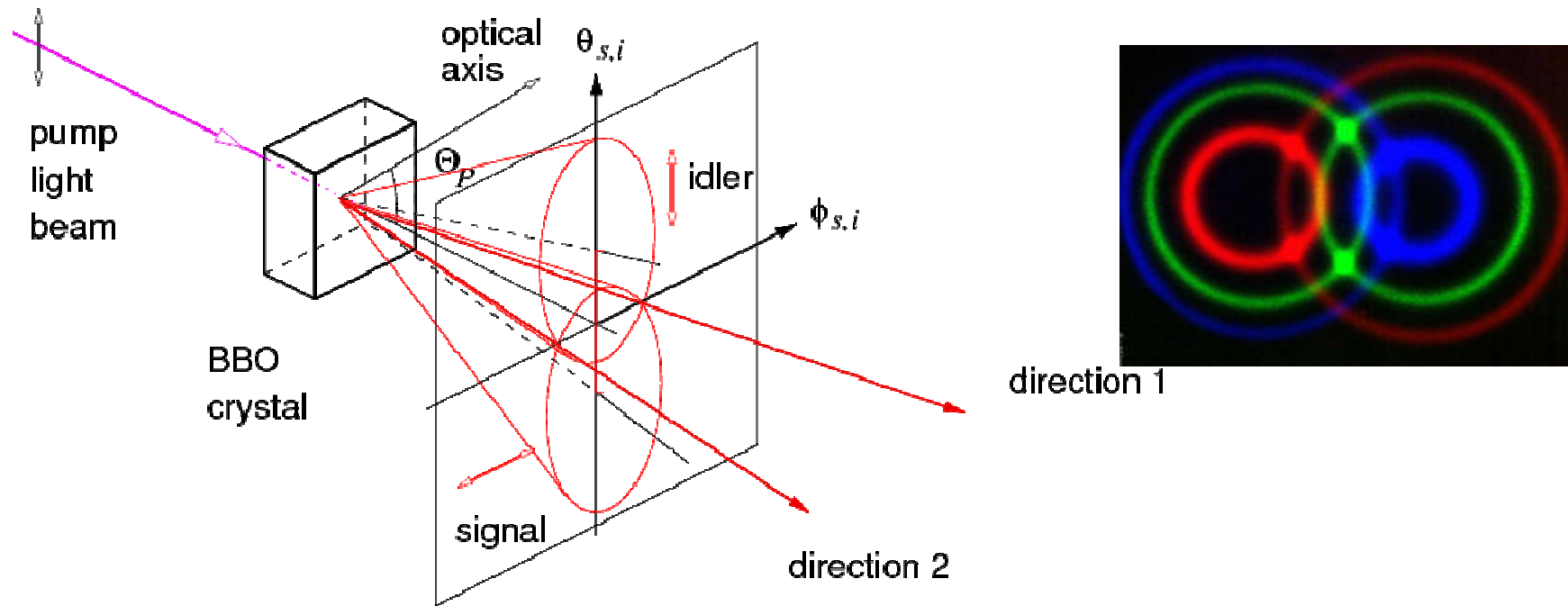
# Type I Spontaneous Down Conversion



Signal and idler have same polarization



# Type II Spontaneous Down Conversion



Signal and idler have different polarization  
Crystal birefringence gives two light cones

**Measurement of Subpicosecond Time Intervals between Two Photons by Interference**

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*Department of Physics and Astronomy, University of Rochester, Rochester, New York 14627*

(Received 10 July 1987)

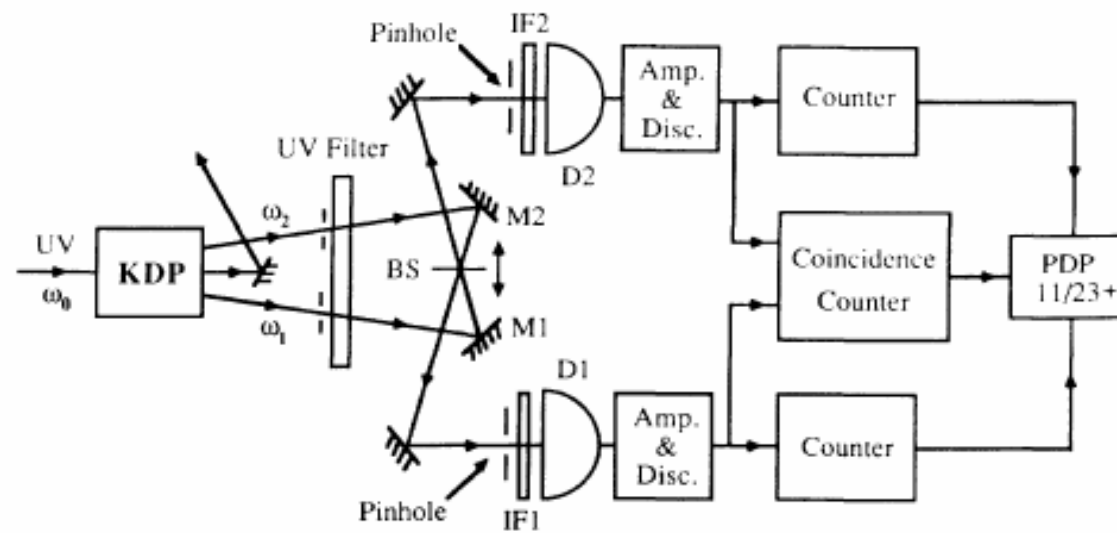


FIG. 1. Outline of the experimental setup.

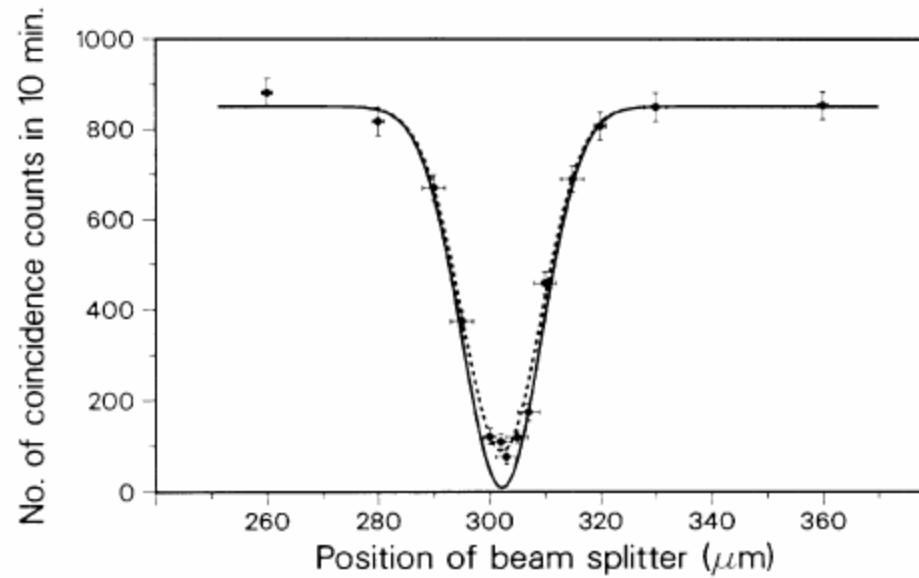


FIG. 2. The measured number of coincidences as a function of beam-splitter displacement  $c\delta\tau$ , superimposed on the solid theoretical curve derived from Eq. (11) with  $R/T=0.95$ ,  $\Delta\omega=3\times 10^{13}$  rad s<sup>-1</sup>. For the dashed curve the factor  $2RT/(R^2+T^2)$  in Eq. (11) was multiplied by 0.9. The vertical error bars correspond to one standard deviation, whereas horizontal error bars are based on estimates of the measurement accuracy.

# Violation of Bell's Inequality and Classical Probability in a Two-Photon Correlation Experiment

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(Received 22 February 1988)

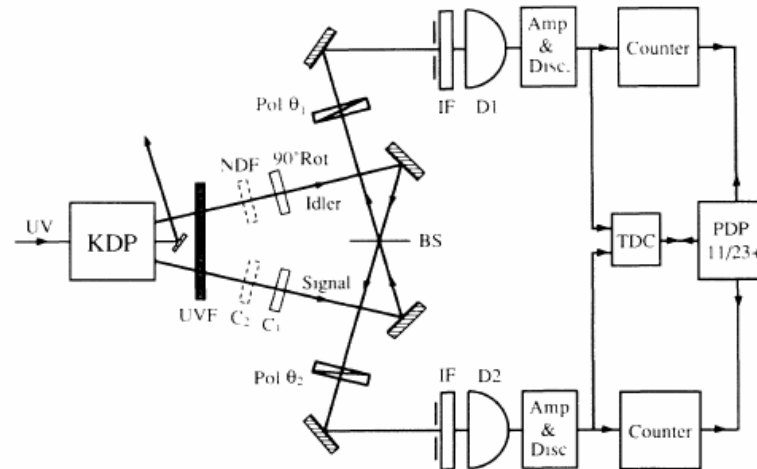


FIG. 1. Outline of the apparatus.

### Local Hidden Variable Theory Gives

$$S = P(\theta_1, \theta_2) - P(\theta_1, \theta'_2) + P(\theta'_1, \theta'_2) \\ + P(\theta'_1, \theta_2) - P(\theta'_1, -) - P(-, \theta_2) \leq 0. \quad (1)$$

$P(\theta'_1, -)$  and  $P(-, \theta_2)$  are the corresponding probabilities with one or the other linear polarizer removed. We now calculate the joint probability  $P(\theta_1, \theta_2)$  first by quantum mechanics and then by classical wave optics.

$$P(\theta_1, \theta_2) = C \{ \langle I_s I_t \rangle [(T_x T_y)^{1/2} \cos \theta_1 \sin \theta_2 + (R_x R_y)^{1/2} \sin \theta_1 \cos \theta_2]^2 \\ + \langle I_s^2 \rangle R_x T_x \cos^2 \theta_1 \cos^2 \theta_2 + \langle I_t^2 \rangle R_y T_y \sin^2 \theta_1 \sin^2 \theta_2 \}, \\ P(\theta_1, \pi/4) = \frac{1}{4} C \langle I_s \rangle^2 [1 + \frac{1}{2} \sin 2\theta_1]. \quad (18)$$

### Quantum Theory Gives

If the polarizer angles are chosen so that

$$\theta_1 = \pi/8, \quad \theta_2 = \pi/4, \quad \theta'_1 = 3\pi/8, \quad \theta'_2 = 0, \quad (6)$$

then one finds with the help of relations (1) and (5) for a 50%:50% beam splitter with  $R_x = \frac{1}{2} = T_x$ ,  $R_y = \frac{1}{2} = T_y$ , that

$$S = \frac{1}{4} K (\sqrt{2} - 1) > 0, \quad (7)$$

$$P(\theta_1, \theta_2) = K [(T_x T_y)^{1/2} \cos \theta_1 \sin \theta_2 + (R_x R_y)^{1/2} \sin \theta_1 \cos \theta_2]^2,$$

In particular, when  $R_x = \frac{1}{2} = T_x$ ,  $R_y = \frac{1}{2} = T_y$ ,

$$P(\theta_1, \pi/4) = \frac{1}{8} K [1 + \sin 2\theta_1]. \quad (11)$$

## Measurement Gives

$$\begin{aligned}\tilde{S} &= \mathcal{R}(22.5^\circ, 45^\circ) - \mathcal{R}(22.5^\circ, 0^\circ) + \mathcal{R}(67.5^\circ, 45^\circ) + \mathcal{R}(67.5^\circ, 0^\circ) - \mathcal{R}(67.5^\circ, -) - \mathcal{R}(-, 45^\circ) \\ &= (11.5 \pm 2.0)/\text{min}.\end{aligned}$$

TABLE I. Results of coincidence counting measurements for certain combinations of polarizer angles  $\theta_1$  and  $\theta_2$ .

| $\theta_1$   | $\theta_2$   | Coincidence rate<br>per minute $\mathcal{R}$ |
|--------------|--------------|----------------------------------------------|
| $67.5^\circ$ | $45^\circ$   | $28.3 \pm 0.8$                               |
| $22.5^\circ$ | $45^\circ$   | $29.8 \pm 0.8$                               |
| $67.5^\circ$ | $0^\circ$    | $29.9 \pm 0.8$                               |
| $22.5^\circ$ | $0^\circ$    | $5.6 \pm 0.7$                                |
| $67.5^\circ$ | No polarizer | $34.7 \pm 0.9$                               |
| No polarizer | $45^\circ$   | $36.2 \pm 0.9$                               |

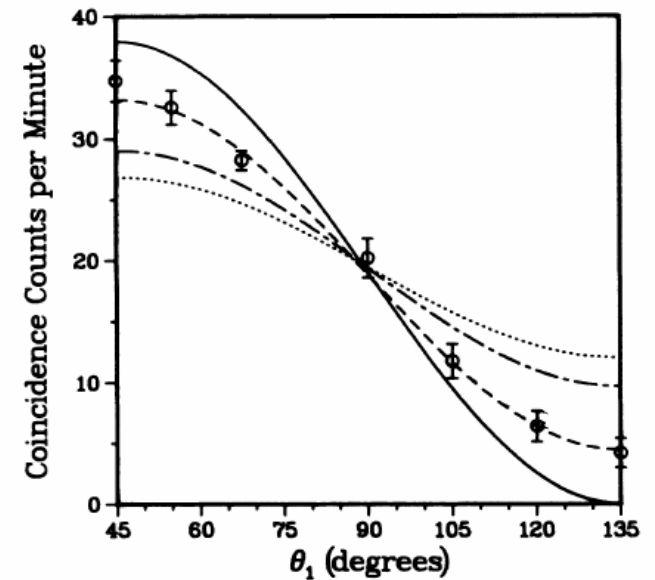


FIG. 2. Measured coincidence counting rate as a function of the polarizer angle  $\theta_1$ , with  $\theta_2$  fixed at  $45^\circ$ . The full curve is the quantum prediction based on Eq. (11) and the dash-dotted curve is the classical prediction based on Eq. (18). The dashed and dotted curves are obtained by multiplication of the sinusoidal functions in Eqs. (11) and (18), respectively, by 0.76 to allow for reduced modulation caused by imperfect alignment.

Measurement with attenuator gives

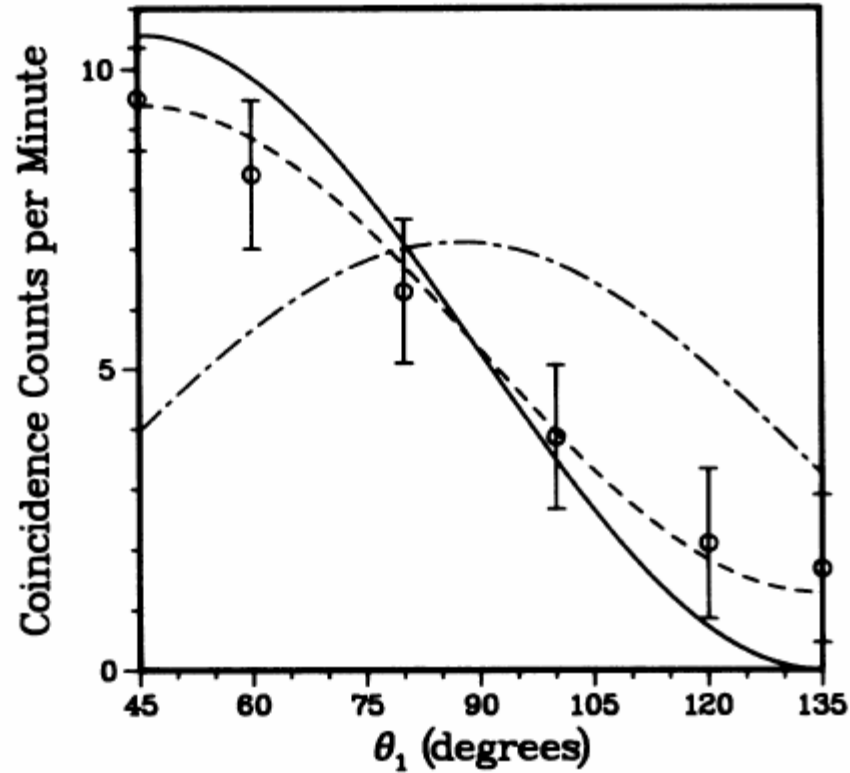


FIG. 3. Measured coincidence counting rate as a function of the polarizer angle  $\theta_1$ , with  $\theta_2$  fixed at  $45^\circ$ , when an 8:1 attenuator is inserted into the idler beam. The full curve is the quantum prediction based on Eq. (11) and the dash-dotted curve is the classical prediction based on Eq. (15). The dashed curve is obtained by multiplication of the sinusoidal function in Eq. (11) by 0.76 to allow for reduced modulation caused by imperfect alignment.

**If I was king.....**



What would we cover if PHYS953 was two separate, semester long classes?

### Nonlinear Optics

- The nonlinear optics of mode-locking in detail

- Resonant Systems

  - Alkali Gas Systems

  - Nonlinear optics and the two level approximation

  - Optical Bloch equations and Rabi Oscillations

- Semiconductor nonlinear optics

  - Third order effects

  - Two photon absorption

  - Absolute CEP detection using LT-GaAs

- Two photon absorption methods in biological materials

  - TPA imaging

  - Optical coherence tomography (OCT)

- Relativistic nonlinear optics

- Electro optics and acousto optic effects

- More on nonlinear pulses in fibers: solitons, dispersion managed solitons, etc.

- Applications of nonlinear optics for optical communications



What if this class were two, semester long classes ...

## Quantum Optics

- More on quantum coherence functions

- Quadrature squeezing

  - Squeezing in optical fibers interferometers: reducing Shot noise

  - Using squeezing for gravity wave detection (LIGO)

- Quantum description of noise

- Quantum theory of solitons and squeezing

- Interactions of atoms and the quantized electric field

  - Jaynes-Cumming model

  - Dressed states

- Experiments in cavity QED

- Electromagnetic induced transparency (EIT) in resonant systems

- Quantum description of lasers and amplifiers

- More on photon detection methods: coincidence counting

- Quantum information

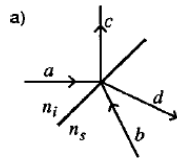
- Quantum cryptography



The purpose of the mini-projects is to offer problems in nonlinear and quantum optics in a format that mimics problem-solving scenarios found in a research environment. Buried in the mini-projects are questions that I do not expect you to know or are the solution easily found in the book. This mini-project consists of problems that should be a review of topics that will be important for our initial introduction to nonlinear optics.

### 1. Classical treatment of a beam splitter

A beam splitter is used to combine or split electric fields. Consider a lossless beamsplitter reflectivities  $r_1$  and  $r_2$  and transmittivities  $t_1$  and  $t_2$  where these are complex numbers represented by  $r_i = |r_i| \exp(i\theta_i)$  and  $t_i = |t_i| \exp(i\theta_i)$  where  $i=1,2$  (read Hamilton Am J. Phys. 68 (2) 2000). The actual values the reflectivity and transmittivity is given by the Fresnel equations for a specific dielectric material (amplitude and phase shifts). The incident electric fields  $E_a$  and  $E_b$  onto the beamsplitter are split into waves  $E_c$  and  $E_d$  using the following scattering matrix



$$\begin{pmatrix} E_c \\ E_d \end{pmatrix} = \begin{pmatrix} r_1 & t_2 \\ t_1 & r_2 \end{pmatrix} \begin{pmatrix} E_a \\ E_b \end{pmatrix}$$

The scattering matrix must be unitary if it satisfies energy conservation. This implies (read Ou and Mandel, Am. J. Phys 57 (1) 1988):

$$\begin{aligned} |t_1| &= |t_2|, |r_1| = |r_2| \\ |t_1|^2 + |r_1|^2 &= |t_2|^2 + |r_2|^2 = 1 \\ r_1^* t_2 + t_1^* r_2 &= 0 \end{aligned}$$

Furthermore, energy conservation dictates that the phase satisfy:  $\theta_{t_1} - \theta_{r_1} + \theta_{t_2} - \theta_{r_2} = \pm\pi$ .

1. Prove that the scattering matrix must be unitary if energy is conserved.
2. From now, consider a symmetric lossless beam splitter such that  $r_1=r_2$  and  $t_1=t_2$ . Show that for the symmetric beam splitter, the phase is  $\theta_t - \theta_r = \pm\pi/2$ . How does this phase shift differ from the phase shift on reflection from glass window of index 1.5 (starting from air)?
3. Let the symmetric beam splitter be a 50/50 beamsplitter. This means that if intensity  $I_a \equiv E_a E_a^* = 1$  and  $I_b=0$  (ignoring proper SI units) are incident onto the beamsplitter the output intensities will be  $I_c = \frac{1}{2}$  and  $I_d = \frac{1}{2}$ . Come up with the correct scattering matrix for the symmetric 50/50 beam splitter. Show that your matrix computes the correct intensities  $I_c$  and  $I_d$  and the correct output phase shift.
4. Now have two input electric fields onto the beamsplitter with a phase shift  $\Delta\phi$ , i.e.

$$E_a = \frac{1}{\sqrt{2}} \exp(i\omega t) \text{ and } E_b = \frac{1}{\sqrt{2}} \exp(i\omega t) \exp(i\Delta\phi)$$

Is it possible to determine a input phase shift  $\Delta\phi$  so that  $I_c=1$  and  $I_d=0$ . If so, what is the correct phase?

### 2. Spectral response of an exponential decay

Consider the function

$$f(t) = \begin{cases} 0 & \text{for } t < 0 \\ \exp(-t/\tau) & \text{for } t \geq 0 \end{cases}$$

1. Sketch this function. Find  $f(\omega)$ , the Fourier transform of the function  $f(t)$ .
2. Determine the real and imaginary portion of  $f(\omega)$ . Make comment on they symmetries of these function.
3. Plot the real and imaginary portions  $f(\omega)$  as a function of  $\omega$ . Does the mathematical form of either the real or imaginary portion look familiar? Do either of them have a name?
4. In class, we derived a model of the electric susceptibility starting from a damped driven oscillator. We derived the absorption and the index of refraction as a function of frequency. How do the real and imaginary portion of

the electric susceptibility compare to the real and imaginary portions of the Fourier transform? Can you provide a physical explanation why they look similar?

### 3. Anisotropic linear media

In this class, we will deal with crystals that are not isotropic and have nonlinear optical properties.

1. For a linear isotropic material, the direction of the flow of energy (given by the direction of  $\mathbf{E} \times \mathbf{H}$ ) is in the same direction as the wavevector  $\mathbf{k}$ . This is **not** true for an anisotropic material. Furthermore, while  $\mathbf{E}$  and  $\mathbf{k}$  are mutually perpendicular in a linear isotropic material, they are **not** perpendicular in an anisotropic material. However,  $\mathbf{H}$  is **always** perpendicular to  $\mathbf{E}$  and  $\mathbf{k}$ . For an anisotropic material show that a)  $\mathbf{E}$  is not in the same direction as  $\mathbf{D}$ , b)  $\mathbf{E}$  and  $\mathbf{k}$  are not perpendicular, and c)  $\mathbf{H}$  is perpendicular to  $\mathbf{E}$  and  $\mathbf{k}$ .
2. Draw a picture of the vectors,  $\mathbf{D}$ ,  $\mathbf{E}$ ,  $\mathbf{H}$ ,  $\mathbf{E} \times \mathbf{H}$ , and  $\mathbf{k}$  for a plane wave in an anisotropic material. Sketch also the planes of constant phase (wavefronts), which will be perpendicular to  $\mathbf{k}$ . For a nonlinear process like second harmonic generation, the fact that  $\mathbf{E} \times \mathbf{H}$  and  $\mathbf{k}$  are not parallel in a crystal lead to *walkoff* between the fundamental and second harmonic electric fields.

### 4. Ultrashort pulse dispersion in fused silica

A train of ultrashort optical pulses is produced by a mode-locked Ti:sapphire laser. Each pulse has an electric field profile of hyperbolic secant, is transform limited, and each have a duration of 10 fs full-width half maximum (FWHM). The center wavelength is 800 nm. The laser's repetition rate is 100 MHz and the average power from the laser is 100 mW.

1. What is the pulse energy? The peak power?
2. Plot the temporal intensity and phase of the pulse.
3. Plot the spectral intensity and phase of the pulse.

The pulse propagates through a fused-silica window of thickness 1 cm. The dispersion of the fused-silica causes the pulse duration to increase. Consider only quadratic phase distortion ( $\beta_2$ ) due to the fused-silica window.

4. Compute and plot final temporal intensity  $I_{out}(t)$  and phase  $\phi_{out}(t)$  after propagation through the window.
5. Compute and plot final spectral intensity  $I_{out}(\omega)$  and phase  $\phi_{out}(\omega)$  after propagation through the window.
6. Is the "chirp" of the pulse positive or negative?
7. Does the pulse have the same spectral bandwidth before and after the window?
8. What is the final pulse duration (FWHM) after the fused silica window?

Now, ignore the quadratic phase distortion but let the fused silica window have only cubic phase distortion  $\beta_3$ .

9. Compute and plot final temporal intensity  $I_{out}(t)$  and phase  $\phi_{out}(t)$  after propagation through the window. Consider only quadratic phase distortion due to the fused-silica window.
10. Compute and plot final spectral intensity  $I_{out}(\omega)$  and phase  $\phi_{out}(\omega)$  after propagation through the window.
11. Set  $\beta_3 = -\beta_{3, \text{fused silica}}$  and find  $I_{out}(t)$ . How is the temporal intensity different than in Question 9?

### 5. One dimensional anharmonic oscillator

The Lorentz model of the atom, which treats a solid as a collection of harmonic oscillators, is a good classical model that describes the linear optical properties of a dielectric material. This model can be extended to nonlinear optical media by adding anharmonic terms to the atomic restoring force. In the lecture we will look closely at this model but let's first solve the differential equations for a one-dimensional anharmonic oscillator.

Consider a one-dimension anharmonic oscillator of mass  $m$  under the influence of the nonlinear restoring force:

$$F(x) = -kx - \alpha x^2 - \beta x^3$$

where  $\omega_0^2 = k/m$  is the natural frequency sans any anharmonic terms. Let  $m = 1$  kg and  $k = 1$  N/m.

1. Plot the potential energy for the above force using  $\alpha = 0.01$  N/m<sup>2</sup> and  $\beta = 0$  N/m<sup>3</sup>. Compare it to the potential energy of a simple harmonic oscillator.
2. Plot the potential energy for the above force using  $\alpha = 0$  N/m<sup>2</sup> and  $\beta = 0.01$  N/m<sup>3</sup>.
3. Now, let  $\alpha = 0.01$  N/m<sup>2</sup> and  $\beta = 0.01$  N/m<sup>3</sup>. Numerically solve the 2<sup>nd</sup> order differential equation of motion, solving for  $x(t)$  for  $t=0$  to 20 seconds assuming that  $x_0 \equiv x(0) = 0.1$  m and  $\dot{x}(0) = 0$  m/s. By plotting  $x(t)$  determine the frequency of oscillation  $\omega$ . How does it compare to  $\omega_0$ ?
4. Find  $x(t)$  for  $x_0 = 10$  m and  $\dot{x}(0) = 0$  m/s, letting  $\alpha = 0.01$  N/m<sup>2</sup> and  $\beta = 0.01$  N/m<sup>3</sup>. What is the new frequency of oscillation and how does it compare to  $\omega_0$ .

5. An analytic approximation for  $\omega(x_0)$ , derived using the method of successive approximations (see Landau's *Mechanics*), is given by

$$\omega(x_0) = \omega_0 + \left( \frac{3\beta}{8\omega_0} - \frac{5\alpha^2}{12\omega_0^3} \right) x_0^2$$

Compare your numerical  $\omega(x_0)$  to the analytic approximation expression for  $x_0 = 0.1$  m to 10 m .

6. The Fourier series for  $x(t)$  is given by the expression

$$x(t) = \frac{a_0}{2} + \sum_{n=1}^{\infty} a_n \cos\left(\frac{2n\pi}{T}t\right) + \sum_{n=1}^{\infty} b_n \sin\left(\frac{2n\pi}{T}t\right)$$

where the period  $T = 2\pi / \omega$  and the Fourier series coefficients are given by

$$a_n \equiv \frac{2}{T} \int_0^T x(t) \cos\left(\frac{2n\pi}{T}t\right) dt \text{ and } b_n \equiv \frac{2}{T} \int_0^T x(t) \sin\left(\frac{2n\pi}{T}t\right) dt$$

Numerically solve for  $x(t)$  with  $x_0 = 10$  m using  $\alpha = 0$  N/m<sup>2</sup> and  $\beta = 0.01$  N/m<sup>3</sup>. Find the first five Fourier series coefficients  $a_n$  (where  $n=0, \dots, 4$ ) of the solution  $x(t)$ . Explain why  $b_n = 0$  for all  $n$ .

7. Numerically solve for  $x(t)$  with  $x_0 = 10$  m using  $\alpha = 0.01$  N/m<sup>2</sup> and  $\beta = 0$  N/m<sup>3</sup>. Find the first five Fourier series coefficients  $a_n$  of the solution  $x(t)$ .
8. Compare the odd terms of  $a_n$  for the case where  $\alpha = 0, \beta \neq 0$ . Compare the even terms of  $a_n$  for the case where  $\alpha \neq 0, \beta = 0$ . How does the symmetry of the restoring force predetermine which order harmonics are produced by the nonlinear oscillator?

Please answer the following questions completely.

What classes in optics and quantum mechanics have you taken? Where have you taken these classes?

Briefly describe your research interests.

Why do you want to take this class?

How many hours per week can you spend on homework for this class?

Which of the topics listed in the syllabus seem most interesting to you?

Are there other topics that we should cover in this class?

**1. Second Harmonic Generation in Potassium Dihydrogen Phosphate (KDP)**

You wish to produce second harmonic generation (SHG) of a continuous wave Nd:YAG laser centered at 1064 nm. To do this you will use a KDP crystal that is cut to produce the second harmonic using Type I<sup>(-)</sup> (ooe) phase matching. A single laser provides the fundamental fields for the  $E_1$  and  $E_2$  fields at frequency  $\omega = \omega_1 = \omega_2$  (corresponding to 1064 nm), the second harmonic field will be the  $E_3$  field at  $\omega_3 = 2\omega$ . Thus,  $E_1 = E_2$  and half of the total power is shared among these fields. The laser power is  $P = 0.2$  W and beam diameter (assuming a “top-hat” spatial profile) of the laser in the crystal is  $10 \mu\text{m}$ . The length of the crystal is  $L = 1.0$  cm

Type I<sup>(-)</sup> phase matching implies that the fundamental fields ( $E_1 = E_2$ ) are both orientated along the ordinary (o) axis and the second harmonic ( $E_3$ ) is orientated along the extraordinary (e) axis of the negative uniaxial KDP crystal. The ordinary and extraordinary indices of refraction as a function of wavelength for KDP are given by the following Laurent series expressions (where  $\lambda$  is expressed in  $\mu\text{m}$ ):

$$n_o^2(\lambda) = 2.2576 + \frac{1.7623\lambda^2}{\lambda^2 - 57.898} + \frac{0.0101}{\lambda^2 - 0.0142} \quad n_e^2(\lambda) = 2.1295 + \frac{0.7580\lambda^2}{\lambda^2 - 127.0535} + \frac{0.0097}{\lambda^2 - 0.0014} \quad (1)$$

$$n_e(\theta, \lambda) = \left[ \frac{\sin^2 \theta}{n_e^2(\lambda)} + \frac{\cos^2 \theta}{n_o^2(\lambda)} \right]^{-1/2}$$

For this process  $d_{\text{eff}}$  will have the form  $d_{\text{eff}} = d_{\text{ooe}} = d_{36} \sin \theta \sin 2\phi$  where  $d_{36} = 0.39$  pm/V for KDP.

1. What is the wavelength of the second harmonic generated field ( $E_3$ )?
2. Assuming the phase matching process is Type I<sup>(-)</sup> (ooe), find the phase matching angle  $\theta_{\text{pm}}$  where  $\Delta k = 0$ .
3. Assuming the phase matching process is Type I<sup>(-)</sup> (ooe), what are the values of  $n_1, n_2, n_3$  where  $n_j = n(\lambda_j)$ .

Make sure to use the proper index  $n_j$  (either  $n_e(\theta, \lambda)$  or  $n_o(\lambda)$ ) when computing  $n_1, n_2, n_3$

You try to orientate the crystal for perfect phase matching, however you make an error and set the crystal at angles  $\theta = 0.995\theta_{\text{pm}}$  and  $\phi = 45^\circ$ .

4. Find  $d_{\text{eff}}$  under these conditions in units of pm/V
5. Compute the phase mismatch  $\Delta k$  under these conditions. Use the proper  $n_1, n_2, n_3$ .
6. Determine the initial electric field amplitudes  $A_1(z=0)$  and  $A_2(0)$  in V/m from the given total input power of  $P = 0.2$  W. Remember that irradiance (intensity) has units of  $\text{W/m}^2$  and is given by

$$I_j = 2\varepsilon_0 n_j c A_j A_j^* \text{ in units of } \text{W/m}^2 \quad (2)$$

7. What is the initial amplitude of  $A_3(0)$ ?
8. Numerically solve the three coupled differential equations derived in class for the amplitudes  $A_1(z)$ ,  $A_2(z)$  and  $A_3(z)$ . Assume the possibility of pump depletion,  $\theta = 0.995\theta_{\text{pm}}$  and  $\phi = 45^\circ$ . Plot  $I_3(z)$  and  $I_1(z)$  for  $z=0$  to  $L$ .
9. Is the fundamental power depleted at  $z=L$ ?
10. Using your numerical solution, determine the output SHG power in Watts at  $z=L=1.0$  cm. Is the power at  $z=L$  the maximum SHG power produced at any position  $z$  in the crystal?
11. Determine the SHG conversion efficiency  $\eta_{\text{SHG}}(z) \equiv I_3(z)/[I_1(0) + I_2(0)]$  at  $z=L$ .

Now you set the angle  $\theta$  for perfect phasematching  $\theta = \theta_{\text{pm}}$  thus setting the phase mismatch  $\Delta k$  to zero.

12. Solve the coupled differential equations again with  $\theta = \theta_{\text{pm}}$  and  $\Delta k = 0$ , using the correct values of  $n_1, n_2, n_3$ .
13. What SHG power and SHG conversion efficient at  $z=L$ ? Is it larger than before?

We can define a nonlinear length  $L_{\text{NL}}$  which is a length scale that determines the strength of the nonlinearity. Note that  $\eta_{\text{SHG}}(z = L_{\text{NL}}) \simeq 0.58$  for perfect phase matching. A form for the nonlinear length is given by

$$L_{\text{NL}} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\varepsilon_0 n_1 n_2 n_3 c \lambda_1^2}{I_1(0)}} \quad (3)$$

14. Compute  $L_{\text{NL}}$  using Eq. 3. How does it compare to  $L = 1$  cm?
15. Solve the coupled differential equations setting  $L = 4L_{\text{NL}}$  for  $\Delta k L = 10$ ,  $\Delta k L = 1$  and  $\Delta k L = 0$ . Plot the conversion efficiencies  $\eta_{\text{SHG}}(z)$  and  $\eta(z) \equiv [I_1(z) + I_2(z)]/[I_1(0) + I_2(0)]$  as a function of  $z$  for the three cases. Which case produced the most SHG power and the largest  $\eta_{\text{SHG}}(L)$ ?

## 2. Second Harmonic Generation (SHG) of an Ultrashort Pulse

You wish to build an experiment to accurately measure the pulse duration of ultrashort pulses produced by a Chromium: Forsterite (Cr:F) laser. You do not need to know the details of the experiment, only that it needs second harmonic generated light to work. Thus a nonlinear crystal is needed to produce this SHG: the fundamental pulse (the pulse from the Cr:F laser) will be used to produce a SHG pulse using a nonlinear crystal. Phase matching in this nonlinear crystal will be obtained using angle tuning.

The Cr:F laser center wavelength is at 1275 nm, and it produces an average power of 0.5 W. The second harmonic light will be at 637.5 nm. The beam diameter is 50  $\mu\text{m}$  in the crystal (assuming a “top-hat” spatial profile). A single pulse exits the laser every 10 ns thus the laser has a repetition rate of 100 MHz. An estimate of the pulse duration is roughly 20 fs full width at half maximum (FWHM).

Your job is choose a nonlinear crystal to generate second harmonic light at 637.5 nm from fundamental Cr:F laser pulses at 1275 nm.

1. What is the name of the crystal you would use? Find a common and easily purchased crystal that has the smallest absorption  $\alpha$  (in units of 1/m) at the fundamental wavelength of 1275 nm.
2. Where could you buy this crystal? If you cannot find a vendor choose a different crystal. Use the internet.
3. Is the crystal uniaxial or biaxial? If your answer is biaxial, choose a different crystal.
4. Is the crystal negative or positive uniaxial?
5. What type of phase matching would you use? Type I or Type II? ooe or oeo or something else?
6. Given your choice of crystal and phase matching type, what would be the phase matching angle  $\theta_{PM}$ ?
7. What would be  $d_{eff}$  for your crystal in pm/V?

As discussed in class, each crystal has a finite phase matching bandwidth for pulsed SHG depending on the thickness of the crystal. This means that a given crystal cannot simultaneously phase match all spectral components of the pulse. For pulsed SHG you wish to have the longest crystal possible in order to get the most SHG power *but not at the cost of severely filtering the SHG spectrum!*

8. Given that the pulse duration approximately 20 fs FWHM, estimate the transform-limited spectral FWHM bandwidth of the fundamental pulse spectrum  $I(\lambda)$  in nanometers?
9. Using the above pulse as the fundamental, what is the SHG spectral bandwidth (FWHM) in nanometers. The SHG spectrum  $I_{SHG}(\omega)$  is proportional to the autoconvolution of the fundamental spectrum:

$$I_{SHG}(\omega) \propto \int I(\eta - \omega) I(\eta) d\eta \quad (4)$$

10. Make an educated guess for the optimal crystal thickness  $L$  needed for proper phase matching. Make your choice based on the longest crystal that does not severely filter the SHG spectrum. (Hint: the thickness should be between 0.001 and 1 mm). Remember, the spectral filter function  $H(\omega)$  due to the phase mismatch is given by

$$H(\lambda) = \left( \frac{\sin(\Delta k(\theta, \lambda)L)}{\Delta k(\theta, \lambda)L} \right)^2 \quad \text{where } L \text{ is the crystal thickness.} \quad (5)$$

11. Determine the spectral width of the filtered SHG spectrum  $H(\lambda)I_{SHG}(\lambda)$  in nanometers.

### 1. Soliton Propagation in a Single-Mode Optical Fiber

An optical soliton forms due to the interplay of anomalous group velocity dispersion (GVD) and self-phase modulation (SPM) in an optical fiber. For an ultrashort pulse injected into the fiber, GVD causes the pulse temporal envelope to broaden while SPM causes the spectral width to increase. A soliton forms when the two effects are balanced, which happens when the total amount of dispersion and nonlinearity is just right. We can define the nonlinear length ( $L_{NL}$ ) and dispersion length ( $L_D$ ) in the fiber in terms of the peak power  $P_0$ , the pulse duration FWHM  $\Delta t$ , group velocity dispersion  $\beta_2$ , and the effective nonlinearity  $\gamma$  by

$$L_{NL} = \frac{1}{\gamma P_0} \text{ and } L_D = \frac{T_0^2}{|\beta_2|} \quad \text{where } T_0 = \frac{\Delta t}{2 \ln(1 + \sqrt{2})} \text{ and } \gamma = \frac{n_2 \omega}{c \pi r^2}.$$

A first order soliton occurs when  $L_{NL}/L_D = 1$ .

A hyperbolic secant pulse with center wavelength  $\lambda_0 = 1550$  nm and pulse duration  $\Delta t = 100$  fs FWHM propagates through a length  $L_D$  of a single-mode optical fiber. The optical fiber has a core radius of  $r = 4.1$   $\mu\text{m}$  and an index difference  $\Delta n = 0.008$  between the core and cladding index of refraction. The value for the nonlinear index of refraction is  $n_2 = 3 \cdot 10^{-20}$  m<sup>2</sup>/W. The fiber core consists of germanium-doped fused silica whose index of refraction is given by the three term Sellmeier equation (valid for wavelength in  $\mu\text{m}$ ):

$$n^2(\lambda) = 1 + \sum_{i=1}^3 \frac{B_i \lambda^2}{\lambda^2 - C_i^2} \quad \text{where} \quad \begin{array}{l} B_1 = 0.711040, B_2 = 0.451885, B_3 = 0.704048 \\ C_1 = 0.064270, C_2 = 0.129408, C_3 = 9.45478 \end{array} \quad (1)$$

(The fiber cladding consists of fused silica, which has a smaller index of refraction than germanium-doped fused silica. We will not need to use its Sellmeier equation for the problem.) The wave guiding due to the fiber geometry changes the total dispersion that the pulse experiences. The propagation constant  $\beta(\omega)$  for the fiber, which represents the  $z$  component of the wavevector  $\mathbf{k}(\omega)$ , is given by

$$\beta(\omega) = \frac{n(\omega)\omega}{c} \sqrt{1 + 2\Delta n b(\omega)}.$$

The propagation constant is expressed where  $\Delta n$  is the index difference between core and cladding,  $r$  is the core radius, and  $b(\omega)$  is the normalized mode propagation constant due to the fiber geometry given in terms of the normalized frequency  $V(\omega)$ . An approximate form for  $b(\omega)$  is given by

$$b(\omega) = 1 - \left( \frac{1 + \sqrt{2}}{1 + \sqrt[4]{4 + V(\omega)}} \right)^2 \quad \text{where } V(\omega) \equiv \frac{r\omega}{c} n(\omega) \sqrt{2\Delta n}.$$

1. Show that the value of the second order propagation constant  $\beta_2$  (i.e. group velocity dispersion) at  $\lambda_0 = 1550$  nm is  $-0.0000180$  fs<sup>2</sup>/nm.  $\beta_2$  can be determined from

$$\beta_2(\omega_0) = \left. \frac{d^2 \beta(\omega)}{d\omega^2} \right|_{\omega=\omega_0}$$

2. What is  $L_D$ ? Determine the peak power  $P_0$  for where  $L_{NL}/L_D = 1$ .
3. Consider the pulse propagating through  $L_D$  of fiber experiencing only group velocity dispersion (no nonlinear effects). Plot the temporal chirp  $\omega_{GVD}(t) = \omega_0 - \partial_t \phi_{GVD}(t)$  of the pulse due only to GVD after  $L_D$ .
4. Consider the pulse propagating through  $L_D$  of fiber experiencing only self-phase modulation (no dispersion). Plot the temporal chirp  $\omega_{SPM}(t) = \omega_0 - \partial_t \phi_{SPM}(t)$  of the pulse due only SPM after  $L_D$ .
5. By comparing  $\omega_{GVD}(t)$  and  $\omega_{SPM}(t)$ , explain how the interaction of SPM and GVD leads to soliton formation.



## 2. Partially Degenerate Four Wave Mixing in a Single-Mode Optical Fiber

We wish to determine the pump, signal, and idler frequencies for partially degenerate four-wave mixing (FWM) in an optical fiber. Partially degenerate FWM is described by

$$2\omega_p - \omega_i - \omega_s = 0$$

where we use the terms pump (p), signal (s), and idler (i) as for difference frequency generation. Here we define  $\omega_i > \omega_s$ .

A strong continuous wave laser serves as the pump at  $\omega_p$  of power  $P_0=0.5$  MW. The pump is injected into an optical fiber with a germanium-doped fused silica core. The fiber has a core radius  $4.1 \mu\text{m}$  and index difference  $\Delta n=0.008$  between the core and cladding indices (as in Problem 1).

1. Determine the signal and idler wavelengths produced through partial degenerate four wave mixing for pump wavelengths from  $\lambda_p=900$  to  $2000$  nm. To determine this for a given pump frequency  $\omega_p$  you will need to find the signal  $\omega_s$  and idler  $\omega_i$  frequencies that satisfies both energy conservation and phase matching:

$$2\omega_p - \omega_i - \omega_s = 0$$

$$\Delta k = \Delta k_m + \Delta k_w + \Delta k_{NL} = 0$$

where

$$\Delta k_m = c^{-1} \left( n(\omega_s)\omega_s + n(\omega_i)\omega_i - 2n(\omega_p)\omega_p \right)$$

$$\Delta k_w = \Delta n c^{-1} \left( b(\omega_s)\omega_s + b(\omega_i)\omega_i - 2b(\omega_p)\omega_p \right)$$

$$\Delta k_{NL} = 2\gamma P_0$$

The phase mismatch  $\Delta k$  has contributions due to material dispersion ( $\Delta k_m$ ), waveguide dispersion ( $\Delta k_w$ ), and the fiber nonlinearity ( $\Delta k_{NL}$ ). To determine the phase mismatch, you will need to use the Sellmeier equation and  $b(\omega)$  from the previous problem.

2. Plot  $\lambda_s$  and  $\lambda_i$  versus  $\lambda_p$ .

The zero group velocity dispersion wavelength  $\lambda_{z\text{GVD}}$  is  $\sim 1345$  nm for this fiber, which is determined using  $\beta(\omega)$ . Notice that the behavior of  $\lambda_s$  versus  $\lambda_p$  and  $\lambda_i$  versus  $\lambda_p$  is different on the long and short wavelength sides of  $\lambda_{z\text{GVD}}$

The purpose of this Mini-project is to expose you to groundbreaking, highly cited paper in nonlinear optics, and to see how this significant paper lead to new research and discoveries. There will be two parts to this Mini-project: Writing the Summary and Reviewing the Summary

### 1. Writing the Summary

You will need to write a short summary of two journal papers. This first paper you will have chosen (by random ballot) from the list below. You will need to pick the second paper, however the second paper must be a relatively recent paper that cites the first paper in its reference section. Example:

Paper 1: Franken, P.A. *et al*, "Generation of Optical Harmonics", Phys Rev Lett, Vol. 7, 4, 1961  
Time Cited: 564

Paper 2 which references Paper 1: Deng L, Hagley EW, Wen J, *et al.*, "Four-wave mixing with matter waves", Nature, Vol. 398, 6724 Pages: 218-220 Published: MAR 18 1999  
Times Cited: 260

When writing this summary, your target audience will be your fellow classmates and not your instructor. The Summary will consist of a one or two page summary of Paper 1 and a one or two page summary of Paper 2. In the first summary, you must discuss the major results of Paper 1 and the importance of the paper. In the second summary you must discuss the major results Paper 2 and how the results of Paper 1 contributed to these results. The format of the paper should be as follows:

#### Summary Format

Page 1: Title page with your name

Pages 2-3: Summary of Paper 1 (summary may be one page only)

Pages 4-5: Summary of Paper 2 (summary may be one page only)

The Summary needs to be typed and turned in electronically as a PDF file to me at washburn@phys.ksu.edu. Use 10 or 12 pt font, Times New Roman Font, 1 inch margins. Only put your name on page 1.

**Please pay attention to the Review Criteria before writing your Summary. See below.**

### 2. Reviewing the Summary

For Part Two you will evaluate your classmate's summary in a similar fashion as for the review of a journal. The manuscript will be given to you in an anonymous fashion and you must complete your review in an anonymous fashion. You will judge the Summary using the criteria below.

#### Review Criteria

How well does the Summary cover the important results of Paper 1?

How well does the Summary cover the important results of Paper 2?

How well does the Summary show a connection (or show a lack of a connection) between the results of Paper 1 to the result of Paper 2?

Are there any significant formatting, spelling or grammatical errors?

Then make a final decision on the Summary:

- \_\_\_\_\_ Summary is excellent, accept as is with no revisions
- \_\_\_\_\_ Summary needs minor revision
- \_\_\_\_\_ Summary needs major revision
- \_\_\_\_\_ Summary is poor, reject

Complete your review by writing a brief statement answering the following questions and then make a final decision on the Summary. Email the review to me. To be a responsible referee, you will need to read (or at least skim) the papers that the Summary is reviewing. Do not put your name on the review since it will go back to the author. Grades will be given based on the result of the Summary Review and on the quality of your review.

### 3. Due dates

Summary Due: 11/11/10

Review Due: 11/18/10

## Paper List

1. Probing single molecules and single nanoparticles by surface-enhanced Raman scattering  
Author(s): Nie SM, Emery SR  
Source: SCIENCE Volume: 275 Issue: 5303 Pages: 1102-1106 Published: FEB 21 1997
2. PLASMA PERSPECTIVE ON STRONG-FIELD MULTIPHOTON IONIZATION  
Author(s): CORKUM PB  
Source: PHYSICAL REVIEW LETTERS Volume: 71 Issue: 13 Pages: 1994-1997 Published: SEP 27 1993
3. SUPERCONTINUUM GENERATION IN GASES  
Author(s): CORKUM PB, ROLLAND C, SRINIVASANRAO T  
Source: PHYSICAL REVIEW LETTERS Volume: 57 Issue: 18 Pages: 2268-2271 Published: NOV 3 1986
4. SURFACE-PROPERTIES PROBED BY 2ND-HARMONIC AND SUM-FREQUENCY GENERATION  
Author(s): SHEN YR  
Source: NATURE Volume: 337 Issue: 6207 Pages: 519-525 Published: FEB 9 1989
5. OBSERVATION OF SELF-PHASE MODULATION AND SMALL-SCALE FILAMENTS IN CRYSTALS AND GLASSES  
Author(s): ALFANO RR, SHAPIRO SL  
Source: PHYSICAL REVIEW LETTERS Volume: 24 Issue: 11 Pages: 592-& Published: 1970
6. OPTICAL INVESTIGATION OF BLOCH OSCILLATIONS IN A SEMICONDUCTOR SUPERLATTICE  
Author(s): FELDMANN J, LEO K, SHAH J, et al.  
Source: PHYSICAL REVIEW B Volume: 46 Issue: 11 Pages: 7252-7255 Published: SEP 15 1992
7. QUASI-PHASE-MATCHED OPTICAL PARAMETRIC OSCILLATORS IN BULK PERIODICALLY POLED LINBO3  
Author(s): MYERS LE, ECKARDT RC, FEJER MM, et al.  
Source: JOURNAL OF THE OPTICAL SOCIETY OF AMERICA B-OPTICAL PHYSICS Volume: 12 Issue: 11 Pages: 2102-2116 Published: NOV 1995
8. Phase-matched generation of coherent soft X-rays  
Author(s): Rundquist A, Durfee CG, Chang ZH, et al.  
Source: SCIENCE Volume: 280 Issue: 5368 Pages: 1412-1415 Published: MAY 29 1998
9. DISCRETE SELF-FOCUSING IN NONLINEAR ARRAYS OF COUPLED WAVE-GUIDES  
Author(s): CHRISTODOULIDES DN, JOSEPH RI  
Source: OPTICS LETTERS Volume: 13 Issue: 9 Pages: 794-796 Published: SEP 1988
10. MODE-LOCKING OF TI-AL2O3 LASERS AND SELF-FOCUSING - A GAUSSIAN APPROXIMATION  
Author(s): SALIN F, SQUIER J, PICHE M  
Source: OPTICS LETTERS Volume: 16 Issue: 21 Pages: 1674-1676 Published: NOV 1 1991
11. EXPERIMENTAL-OBSERVATION OF PICOSECOND PULSE NARROWING AND SOLITONS IN OPTICAL FIBERS  
Author(s): MOLLENAUER LF, STOLEN RH, GORDON JP  
Source: PHYSICAL REVIEW LETTERS Volume: 45 Issue: 13 Pages: 1095-1098 Published: 1980
12. 2-PHOTON EXCITATION IN CAF2 - EU2+  
Author(s): KAISER W, GARRETT CGB  
Source: PHYSICAL REVIEW LETTERS Volume: 7 Issue: 6 Pages: 229-& Published: 1961
13. HIGH-ORDER HARMONIC-GENERATION FROM ATOMS AND IONS IN THE HIGH-INTENSITY REGIME  
Author(s): KRAUSE JL, SCHAFER KJ, KULANDER KC  
Source: PHYSICAL REVIEW LETTERS Volume: 68 Issue: 24 Pages: 3535-3538 Published: JUN 15 1992
14. Compression of high-energy laser pulses below 5 fs  
Author(s): Nisoli M, DeSilvestri S, Svelto O, et al.  
Source: OPTICS LETTERS Volume: 22 Issue: 8 Pages: 522-524 Published: APR 15 1997

### Nonlinear Processes for the Generation of Quadrature Squeezed Light

This project investigates the use of a nonlinear optical process for the generation of nonclassical light. Do the first three questions for full credit. The other questions will be extra credit.

Consider the superposition state  $|\psi\rangle = a|0\rangle + b|1\rangle$  where  $a$  and  $b$  are complex and satisfy the relationship  $|a|^2 + |b|^2 = 1$ .

1. Calculate the variances of the quadrature operators  $\hat{X}_1$  and  $\hat{X}_2$  (see Eq. 2.52 and Eq. 2.53). The variance of an operator is given by

$$\langle (\Delta \hat{X}_i)^2 \rangle = \langle \hat{X}_i^2 \rangle - \langle \hat{X}_i \rangle^2$$

Remember that  $\hat{X}_1$  is called the in-phase component and  $\hat{X}_2$  is the in-quadrature component.

2. Show that there exists values of the parameters  $a$  and  $b$  for which either of the quadrature variances become *less* than for a vacuum state. Hint: let  $b = \sqrt{1-|a|^2}e^{i\varphi}$  and  $a^2 = |a|^2$  (this is done without the loss of generality). Plot the variance as a function of  $|a|^2$  for different  $\varphi$ .
3. For the cases where the quadrature variances become less than for a vacuum state, check to see if the uncertainty principle is violated.
4. Verify that the quantum fluctuations of the field quadrature operators are the same for the vacuum when the field is in coherent state (*i.e.* verify Eq. 3.16).

The above result illustrate a case where the expectation value of the quadrature operator becomes less than a vacuum state, even though the quadrature operators must satisfy the minimum uncertainty relationship. Squeezing is the process when one canonical (conjugate) variable has a variance less than the vacuum state but the other canonical variable will have a larger variation in order to satisfy the uncertainty principle. The quadrature operators  $\hat{X}_1$  and  $\hat{X}_2$  are canonical variables and do not commute, thus they have an uncertainty relationship given by Eq. 2.56. Quadrature squeezing occurs when

$$\langle (\Delta \hat{X}_1)^2 \rangle < \frac{1}{4} \text{ or } \langle (\Delta \hat{X}_2)^2 \rangle < \frac{1}{4}.$$

We can plot a phase space diagram of a normal and squeezed state (see below and on page 154). The area in phase space remains must constant to maintain the minimum uncertainty relationship. However, we can “squeeze” the circle into an ellipse while keeping the area constant (like squeezing the Charmin done in class).

Not Squeezed

Squeezed

Quadrature squeezed light can be produced by the second order nonlinear effect known as **degenerate parametric down-conversion**. This process involves two signal (*s*) waves produced by one pump wave (*p*), *i.e.*  $\omega_s + \omega_s - \omega_p = 0$ . This process is a degenerate form of difference frequency generation with the signal wave equal to the idler wave. The Hamiltonian for this degenerate parametric down-conversion is given by

$$\hat{H} = \frac{\hbar}{2} (\chi^{(2)} \hat{a}_s^\dagger \hat{a}_p \hat{a}_s^\dagger + \chi^{*(2)} \hat{a}_s \hat{a}_p^\dagger \hat{a}_s)$$

5. Use the Heisenberg equations of motion (Eq. 2.19) to derived two coupled first order differential equation for  $\frac{d\hat{a}_s}{dt}$  and  $\frac{d\hat{a}_s^\dagger}{dt}$ .
6. What are the solutions to these differential equations if we assume a non-depleted pump? Integrate from time 0 to *T*.
7. Show that the quadrature operators have the solution

$$\begin{bmatrix} \hat{X}_1(T) \\ \hat{X}_2(T) \end{bmatrix} = \begin{bmatrix} e^{-\delta T} & 0 \\ 0 & e^{\delta T} \end{bmatrix} \begin{bmatrix} \hat{X}_1(0) \\ \hat{X}_2(0) \end{bmatrix} \text{ where } \delta \equiv i\chi^{(2)}\hat{a}_p$$

8. Consider a coherent state  $|\alpha\rangle$  Show the mean square fluctuations (variance) result in

$$\begin{bmatrix} \langle \alpha | (\hat{X}_1(T))^2 | \alpha \rangle - \langle \alpha | \hat{X}_1(T) | \alpha \rangle^2 \\ \langle \alpha | (\hat{X}_2(T))^2 | \alpha \rangle - \langle \alpha | \hat{X}_2(T) | \alpha \rangle^2 \end{bmatrix} = \frac{1}{4} \begin{bmatrix} e^{-2\delta T} \\ e^{+2\delta T} \end{bmatrix}$$

This result states that the mean square fluctuations of the in-phase component  $\hat{X}_1$  is exponentially smaller by  $e^{-2\delta T}$  and mean square fluctuations of the in-quadrature component  $\hat{X}_2$  are exponentially larger by  $e^{2\delta T}$ . So the above picture depicts the squeezing performed by the nonlinear process. The bizarre thing about this analysis is that it is also true for a **vacuum state**. One can have vacuum and squeezed vacuum.

9. A third order nonlinear can also be used to produce squeezed light instead. Name a third order nonlinear process that will give rise to squeezed light (Hint: we discussed a third order process that “looks” like difference frequency generation. What was that process?).

Squeezed light and squeezed vacuum has many important applications, specifically for light detection at levels below the quantum noise (*i.e.* shot noise) level. See Henry *et al*, Amer. J. Phys. Vol 56 (4) p. 318 (1988) for more information.

## Final Project: Research Paper

KSU PHYS953, NQO

The final project will be an investigation of a topic or problem in the areas of nonlinear and quantum optics, that will involve a literature search and some original work. The purpose of the paper is to pose a question about your chosen topic and try to answer that question. Please keep in mind you do not to answer the question you have posed. Your paper will be evaluated on a complete literature search, a good discussion on the question, and a well-executed attempt in answering the question.

The final project will consist of three parts:

|                                   |                           |
|-----------------------------------|---------------------------|
| Part 1: Abstract and bibliography | Due November 17, 2010     |
| Part 2: Six to eight page paper   | Due December 2, 2010      |
| Part 3: 10 minute presentation    | Starting December 9, 2010 |

### 1. Part 1

For Part 1, you will need to provide a draft title, abstract, and bibliography. In your abstract, you will need to state a draft question that the paper will try to answer. I will look over your topic and approve it so you can do the rest of the project. On the back is a short list of research topics. Feel free to pick any topic in quantum and nonlinear optics you wish.

### 2. Part 2

Part 2 is a six to eight page paper on your topic. The paper should include:

- The title and abstract
- An introduction to the topic
- A discussion of prior work
- A section stating the question your paper wishes to answer
- A section of your own work investigating the question
- A summary comparing your conclusions with respect to prior work
- A list of references

Paper Format: 10 or 12 pt font, Times New Roman Font, 1 inch margins

### 3. Part 3

For Part 3 you will need to give a 10 minute talk about your topic, with 3 minutes for questions. The talk will be given in class at the times listed below. For your talk, **you will only have the white/black board at your disposal**; do not prepare a computer-based talk. You are encouraged to provide handouts to the class for your talk. Also, you are encouraged to practice your talk using a white/black board before you give your talk. Your talk will be evaluated on the clarity of presentation as well as the use of time (in other words, do not go over time!).

### List of Sample Topics and Questions

- Self focusing in a rare gas with estimations of focusing versus pulse intensity
- Explaining how to describe the Compton effect semi-classically
- Quantum mechanically description of stimulated Raman scattering
- Explaining how to describe the photoelectric effect semi-classically
- Discuss how quantum entanglement can be used for secure communications
- Discuss the theory and operation of an optical parametric chirped-pulse amplification, OPCPA
- Investigate the thermodynamics of laser mode-locking and how nonlinear effects are involved
- Self similar behavior in optical fiber and the third order nonlinearity
- Quantum optics in cold atoms: how does one generate entangled states?
- How to generate entangled light using second order nonlinear processes in crystals.
- What is the quantum eraser and how can one demonstrate this?
- How is two-photon absorption used for biological imaging?
- Discuss the role of electrons and holes in the nonlinear optics of III-V semiconductors
- The quantum mechanics of electromagnetic noise: Shot and thermal noise
- The quantum description of heterodyne and homodyne optical detection
- The quantum theory of a laser: the master equation.
- The role of higher order nonlinear effects in laser mode-locking
- Quantum optical description of electromagnetically induced transparency in atomic systems
- Quadrature squeezing in optical fibers
- Applications of squeezed noise in gravity wave detection
- Nonlinear spectroscopy of gases: theory of saturated absorption
- Entanglement and quantum teleportation of states

Ask me if you want more topics.