

Intermolecular adhesion in conducting polymers

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We analyze the interaction of two conducting, charged polymer chains in solution using a minimal model for their electronic degrees of freedom. We show that a crossing of the two chains in which the polymers pass within angstroms of each other leads to a decrease of the electronic energy of the combined system that is significantly larger than the thermal energy and thus promotes interchain aggregation. We consider the competition of this attractive interaction with the screened electrostatic repulsion and thereby propose a phase diagram for such polymers in solution; depending on the charge density and persistence length of the chains, the polymers may be unbound, bound in loose, braidlike structures, or tightly bound in a parallel configuration.

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I. INTRODUCTION

Conjugated polymers have been the subject of intense research in the physics, chemistry, and materials science communities. These molecules provide both a laboratory to explore one-dimensional conductors [1–3] and the potential for a plethora of applications in such disparate devices as solar cells [4] and chemo- or biosensors [5–8]. The simplest conducting polymer system is polyacetylene. The underlying cause of its conductivity, however, is somewhat subtle owing to the fact that, due to the Peierls instability [9,10] in one dimension, this molecule appears to be a semiconductor (based on an analysis of uncorrelated electrons). Here we consider the case of an electron-doped polymer in which the Fermi energy lies within the conduction band.

The utility of conjugated polymers is generally realized in the complex chemical systems created by adding functional groups to the conjugated backbone. Such modified polymers typically include side groups to modify the conductivity of the backbone by doping in either electrons or holes; polar or ionizable side groups can also dramatically increase the solubility of these molecules in water [11]. Fluorescent, water-solubilized conjugated polymers such as polyparaphenylene vinylene (PPV) hold promise for use as biosensors since their fluorescence is readily quenched by electron acceptors such as methyl viologen (MV). Biomolecules tagged with MV can be detected optically at remarkably low concentration using these water-soluble conjugated polymers. Fluorescence quenching can be quantified by the Stern-Volmer constant K_{SV} , which describes the loss of quantum efficiency per mole of quencher present. Since $K_{SV} \approx 10^7 \text{ M}^{-1}$ for the PPV-MV system, MV tagged biomolecules can be detected via fluorescence quenching at 100 nM concentrations [12]. Before this analytic tool can be realized, a number of complications must be addressed. These include understanding

and accounting for the dramatic effect of “bystander” molecules such as surfactants on the value of K_{SV} [13], as well as addressing the role played by chain length of the PPV [14,15]. Additionally, the PPV-MV system is hindered as an analytical tool by the tendency of the PPV to aggregate in solution and to bind nonspecifically with other small molecules. Neutron and light scattering [16] demonstrate that PPV solutions form large aggregates under low-salt conditions. With added salt, the scattering data can be fitted by rodlike structures having a persistence length of 80 nm [17] suggesting the bundling of the chains in solution.

Understanding the tendency of metallic polymers to aggregate in solution is the central focus of this paper. We propose an interchain adhesion mechanism specific to conjugated polymers that should apply to doped, solubilized PPV and other conducting polymers. When two polymer chains approach each other to angstrom-scale distances at some point along their backbones, the interchain tunneling of the electrons (holes) in the conduction bands of the two molecules decreases the total electronic energy of the system. This decrease in the energy of the electronic degrees of freedom is primarily due to the creation of a low-energy, localized state at the crossing point of the two chains. These states lead to the aggregation of the two chains since, for physically reasonable parameters, the energy decrease per crossing point is on the order of a few $k_B T$. Such an electron tunneling mechanism has been previously discussed as a source of an *intrachain*, attractive interaction leading to polymer collapse in a good solvent [18]. Here we consider polymers having a long enough thermal persistence length and at high enough concentrations in solution that such self-interaction leading to collapse as discussed by Hone and Orland [18] is dominated by interchain adhesion.

The mechanism that we propose is analogous to the creation of a molecular covalent bond with the distinction that in the present system, the bonding and antibonding states that are localized at the crossing point of the two chains are not created from normal atomic energy eigenstates, but rather are pulled out of the delocalized states of the charge carriers on the chains. In the remainder of the article, we first

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explore the consequences of this type of interchain bonding (in Sec. II) in three stages of increasing complexity. We consider the effect of a single chain-crossing point that leads to interchain tunneling in Sec. II A. We then turn to the case of multiple crossing points by considering an ordered array of such points in Sec. II B, before turning to the more physical case of a thermally disordered set of crossing points in Sec. II C. Finally, we consider the attraction between two polymers aligned in a parallel configuration in Sec. II D.

In order to determine the adhesive properties of these conjugated polymers, we need to compare the decrease in the energy of the electronic degrees of freedom of the molecule to the increase of chain conformational free energy associated with adhesion. We turn to the latter calculation in Sec. III, before combining these two parts of the analysis in Sec. IV wherein we discuss the results and propose experimental tests of this work.

II. INTERCHAIN ADHESION

Since we suggest that the adhesive properties of the conducting polymers are a generic consequence of populating the conduction band of the these extended molecules, we develop our theory based on a minimalistic, tight-binding Hamiltonian for these conduction electrons on a chain of N sites of the form

$$H_0 = -t \sum_{\ell=-N/2}^{N/2} (|\ell\rangle\langle\ell+1| + |\ell+1\rangle\langle\ell|), \quad (1)$$

where $|\ell\rangle$ is a state vector for an electron on the ℓ th tight-binding site. In the absence of strong electron-electron correlations [6] such a single particle approach is justified and many properties of such conjugated polymers have been predicted via such simple tight-binding models [19]. The overlap integral t is not precisely known for a number of these systems, but recent spectroscopic results on chemically related systems [19] suggest that t is on the order of 1–4 eV.

To discuss the modification of the electronic states of this tight-binding model due to the proximity of another such polymer at one point or a set of points $\{\ell_j\}$, we modify Eq. (1) by introducing another copy and including an interaction term between the chains parametrized by a second hopping matrix element t' :

$$H_0 = -t \sum_{j=1,2} \sum_{\ell=1}^N (|\ell+1, j\rangle\langle\ell, j| + |\ell, j\rangle\langle\ell+1, j|), \quad (2)$$

$$H_I = -t' \sum_{\bar{\ell} \in \{\ell_j\}} (|\bar{\ell}, 1\rangle\langle\bar{\ell}, 2| + |\bar{\ell}, 2\rangle\langle\bar{\ell}, 1|). \quad (3)$$

In the above equations, the sum on j is over the two chains, while the interaction Hamiltonian H_I allows for interchain hopping at a selected subset of sites along the polymer, $\{\ell_j\}$. We restrict the set of possible interchain tunneling junctions to only those that allow tunneling between *equivalent* sites on the two chains. In other words we do not admit tunneling matrix elements of the form $|\bar{\ell}, 1\rangle\langle\bar{\ell}', 2|$ where $\bar{\ell} \neq \bar{\ell}'$. The

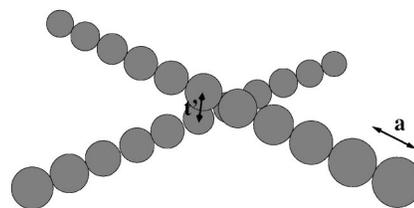


FIG. 1. A pictorial representation of the simplest crossed-chain configuration in which the interchain hopping is allowed at the central site $\ell=0$. The spheres represent the tight-binding sites for the conduction electrons along the chains. The chains need not be straight as shown here.

advantage of this restriction is that the full Hamiltonian is diagonal in the basis of chain symmetrized/antisymmetrized wave functions. We can consider these two subspaces independently in the following. The interchain hopping matrix element t' has been shown numerically to be on the order of 0.1 eV for similar chemical systems [20]. It is essential to note that since the interchain tunneling matrix element is exponentially sensitive to the interchain separation, it is acceptable to suppose that this matrix element will be finite at only isolated points. In fact, we will later show in Sec. III that the positions of these “crossing points” are spatially correlated along the arclength of the chain due to chain conformational free energy. Finally, in writing the full Hamiltonians Eqs. (1)–(3) we have suppressed the spin degrees of freedom of the electrons. It is reasonable to assume that the proposed interchain tunneling mechanism is independent of electron spin. Thus, we may later trivially account for these spin degrees of freedom by a multiplicative factor of 2 in the density of states of the electronic degrees of freedom. A simple pictorial representation of the basic problem for the case of only one hopping site is shown in Fig. 1.

Because of the large separation of time scales between the electronic and conformational degrees of freedom of the polymer chain, we may ignore conformational changes in the polymer while discussing the modification of the electronic states due to the proximity of the two chains at a given point or set of points. To show that we may ignore the thermal motion of the point of closest approach in discussing the electronic structure of the molecules, we compare the time scale for monomer motion over distances of a Bohr radius (the distance over which one expects t' to vary rapidly) $T_{\text{chain}} \sim a_B \sqrt{m/(k_B T)}$ to characteristic times for electronic structure reorganization $T_{\text{electron}} \sim \hbar/t$. We find that $T_{\text{electron}}/T_{\text{chain}} \sim 10^{-10}$ so the adiabatic approximation is eminently reasonable.

Similarly, we note that the temperature of the system (typically 300 K or less) is significantly less than the Fermi temperature of the electronic system so in the remainder of the calculation we will determine the total free energy of the electronic degrees of the freedom in a zero temperature approximation whereas we will consider the role of temperature and consequently entropy while discussing the conformational and translational degrees of freedom of the polymer. We now develop our calculation for the binding energy of the two chains by computing separately the decrease in the energy of the electronic degrees of freedom due

to interchain tunneling and increase in chain free energy due to the loss of some translational and conformational entropy. From the sum of these two effects, we determine the effective binding energy. First we consider a single crossing point as shown in Fig. 1.

A. Single crossing point

We take the single crossing point to lie in the middle of both chains $\{\ell_j\}=\{0\}$ as shown in Fig. 1. We return to crossing configurations of lower symmetry later. We note that the interaction Hamiltonian Eq. (3) can be diagonalized in the basis of symmetrized and antisymmetrized chain occupation states:

$$|\ell, \pm\rangle = \frac{1}{\sqrt{2}}[|\ell, 1\rangle \pm |\ell, 2\rangle], \quad (4)$$

so that in this basis Eq. (3) takes the form

$$H_I = -t' \sum_{\bar{\ell} \in \{\ell_j\}} [|\bar{\ell}, +\rangle \langle \bar{\ell}, +| - |\bar{\ell}, -\rangle \langle \bar{\ell}, -|]. \quad (5)$$

It is immediately clear that the action of the Hamiltonian on the subspaces of the antisymmetrized and symmetrized states is identical except for the exchange of $t' \rightarrow -t'$. We discuss the energies of states in both subspaces in parallel. The Hamiltonian is also symmetric under the parity operator $P|\ell, \pm\rangle = |-\ell, \pm\rangle$; since only the states symmetric under P will be affected by the crossing point, we focus on these even parity states in the following. We make an ansatz for the unnormalized, (anti)symmetrized, even parity eigenstates of the Hamiltonian by writing

$$|k, \pm\rangle = \sum_{\ell=-m}^m \cos(ak|\ell| + \phi_k^\pm) |\ell\rangle \quad (6)$$

where ϕ_k^\pm represents the wave-vector-dependent break in the phase of the wave function due to the interchain interaction on chains of $N=2m+1$ tight-binding sites. Boundary conditions require that the amplitude of the wave function at sites $\pm(m+1)$ vanishes, which with Eq. (6) leads to

$$ak(m+1) + \phi_k^\pm = \frac{\pi(2p+1)}{2}, \quad p \in \mathcal{Z}. \quad (7)$$

One can show that the states $|k, \pm\rangle$ with arbitrary phase ϕ_k^\pm satisfy the time-independent Schrödinger equation at all sites away from the crossing point since, via direct calculation, one finds

$$E_k^\pm \langle \ell \neq 0, \pm | k, \pm \rangle = \langle \ell \neq 0, \pm | H | k, \pm \rangle, \quad (8)$$

with

$$E_k^\pm = -2t \cos(ak). \quad (9)$$

However, to simultaneously satisfy this eigenvalue equation at the crossing point as well we must choose the phase angle ϕ_k^\pm such that

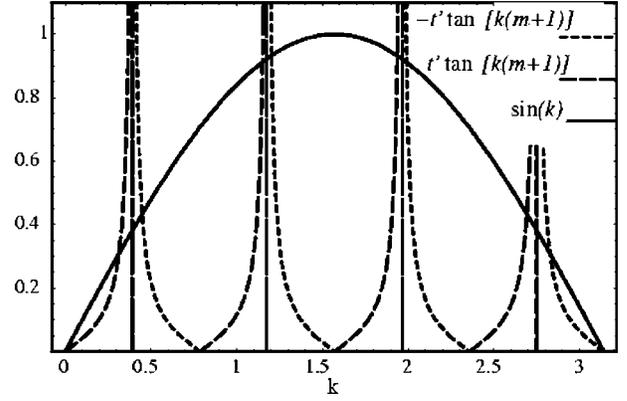


FIG. 2. Graphical solution of Eq. (11). Allowed k values lie at the intersections of the solid line with the long dashes (symmetric band) and with the short dashes (antisymmetric band). The vertical lines represent the unperturbed k values.

$$\sin(ka) = \mp \frac{t'}{2t} \cot(\phi_k^\pm), \quad (10)$$

which, with the boundary condition Eq. (7) leads to the quantization condition

$$\sin(ka) = \mp \frac{t'}{2t} \tan[ka(m+1)]. \quad (11)$$

The solutions of this transcendental equation that determine the allowed wave vectors k [and energies via Eq. (9)] are shown graphically as the set of intersections in Fig. 2 where the left and right hand sides of Eq. (11) are plotted.

The principal consequence of the crossing point is the creation of two localized bound states out of the symmetrized/antisymmetrized states $|k, \pm\rangle$. We examine the symmetrized states first. The conditions on the tunneling matrix element t' for the appearance of this bound state can be inferred from Eq. (11). If

$$1 < \frac{|t'|}{2t} (m+1) \quad (12)$$

then there is no solution for real wave vectors $ka < \pi/[2(m+1)]$, but a new solution appears along the imaginary axis in the complex k plane at $k=i\kappa$, where κ is given by

$$\sinh(a\kappa) = \frac{|t'|}{2t} \tanh[a\kappa(m+1)]. \quad (13)$$

From this relationship and Eq. (9), we determine the energy of the resulting bound state to be

$$E_b = -2t \sqrt{1 + \frac{t'^2}{4t^2} \tanh^2[\kappa(m+1)]} \quad (14)$$

where κ is the solution from Eq. (13). From the magnitude of the imaginary wave vector, it is clear that this bound state is localized on the length scale of $at/t' \sim 10a$. It is important to note that, due to the appearance of this bound state on any chain of reasonable length, the energy of the electronic degrees of freedom is decreased by a quantity on the order of

the tunneling matrix element t' . In the limit of an infinite chain where $m \rightarrow \infty$, one sees from the above equations that $a\kappa \sim \mathcal{O}(1)$ and $\tanh(\cdot)$ in Eq. (14) becomes one in agreement with previous work [21]. This rearrangement of the electronic degrees of freedom has dramatic consequences for the polymer conformational dynamics as we show below.

In addition to this lower-energy bound state, a second localized state is pulled from the conduction band of antisymmetrized states. In this case $t' \rightarrow -t'$ as discussed below Eq. (5). From Eqs. (12) and (13) we now find that the complex wave vector associated with the bound state becomes $k = i\kappa + \pi/a$; the bound state appears at the edge of the Brillouin zone and the energy of the state is $-E_b$. It is interesting to note that the appearance of these two localized states at energies $\pm E_b$ is directly analogous to the appearance of bonding/antibonding states in covalently bonded atoms [22]. In the present case, however, we build these states out of spatially extended conduction-band states rather than the localized atomic orbitals.

There are still other consequences of interchain tunneling for the scattering states that remain in the conduction band. In other words, all the even symmetry, extended states of the unperturbed chains are shifted in energy due to their interaction with the crossing point at site zero. These scattering states remain extended, i.e., retain a purely real wave vector, but that wave vector shifts due to the interaction with the crossing point. For $t' = 0$ we trivially find these extended states at $k_p^{(0)} = \pi(2p + 1)/(2N)$ for integer p . Due to scattering at the crossing point, these wave vectors shift so that $k_p \rightarrow k_p^{(0)} + \Delta k_p$. These shifts can be computed by expanding both sides of Eq. (11) near $k_p^{(0)}$. From this expansion we find to leading order in t'/N that

$$\Delta k_p = \frac{-t'}{2tN \sin k_p} \left(1 + \frac{t'}{2tN \sin^2 k_p} \right) + \mathcal{O}\left(\frac{1}{N^3}\right). \quad (15)$$

Using the above shifts in k_p , we can compute using Eq. (9) the sum of the shifts in the energy levels of these scattering states in the symmetrized band. Recalling that we need to also include the analogous shifts in the chain antisymmetrized band, which eliminates terms odd in t' , we find that the total shift is

$$\Delta E = - \left(\frac{t'}{N} \right)^2 \sum_{p=1}^{2(m_f)} \frac{\cos k_p}{2t \sin^2 k_p}, \quad (16)$$

where the sum is over all filled energy levels from the bottom of the conduction band to m_f set by the Fermi level of the system. Since the sum of all such energy shifts of the extended states vanishes in the limit of large N as $1/N$, the change in the energy of the scattering states in the band is not significant for long chains. Hereafter we will ignore the finite length [$\mathcal{O}(1/N)$] correction. The principal result is that there is an attractive interchain interaction due to a reorganization of the electronic degrees of freedom of the system. The energetically dominant part of this reorganization is the appearance of the ‘‘bonding’’ bound state which lowers the electronic energy essentially by t'^2/t .

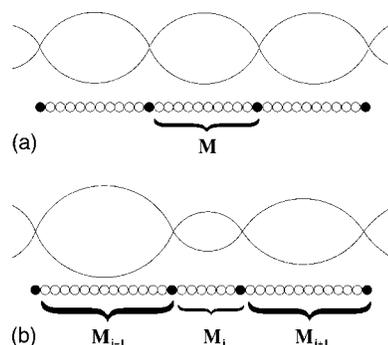


FIG. 3. Two polymers interacting at a series of localized sites. In the upper figure the two chains form an ordered structure in which a crossing point appears after exactly M tight-binding sites. The lower figure represents the disordered version of the braid. Below each braid is a pictorial representation of the appropriate tight-binding Hamiltonian in which the filled circles represent the interchain tunneling sites. In the disordered two-chain braid, the number of sites between crossing points varies along the chains, $M \rightarrow M_i$, but the same M_i sites exist on both chains between the crossing points.

Since the dominant contribution to the electronic energy shifts comes from a localized state at the crossing point, it is perhaps not surprising, that, when the above analysis is generalized to the case of a crossing point at an arbitrary point along the chain, the principal, qualitative result is unchanged. We will show below that this basic result is effectively insensitive to interactions between such crossing points in chains that form a multicrossing-point ‘‘braid.’’ We do this in two steps by first considering an ordered array of crossing points and then by examining a spatially disordered set of crossing points; see Fig. 3

B. Ordered array of crossing points

One may wonder about the effect of a multiple set of interchain crossings. Specifically, if, as appears above, one crossing point decreases the overall energy of the electronic states of the system, is it more profitable for the chains to form many such crossing points, and if so, at what density? To address this question, we first consider the simplest such structure—an ordered array of crossing points at every M th site along the chains. The ordered structure forms, in effect, a superlattice in which the unit cell is constructed from one crossing point and a basis of $M - 1$ tight-binding sites between consecutive crossing points. Qualitatively, one expects the localized states centered at each crossing point to merge into an impurity band in the lattice. We explore this point below paying particular attention to the interchain binding energy per unit length of the polymers.

To begin we note that within a single supercell of the superlattice, the tight-binding Hamiltonian becomes

$$H_n = -t \sum_{\ell=1}^{M-1} \{ |\ell, n\rangle \langle \ell + 1, n| + |\ell + 1, n\rangle \langle \ell, n| \} + \lambda |M, n\rangle \langle M, n| \quad (17)$$

where the state $|\ell, n\rangle$ is a position eigenstate representing an electron at the ℓ th site in the n th supercell. Due to the inter-

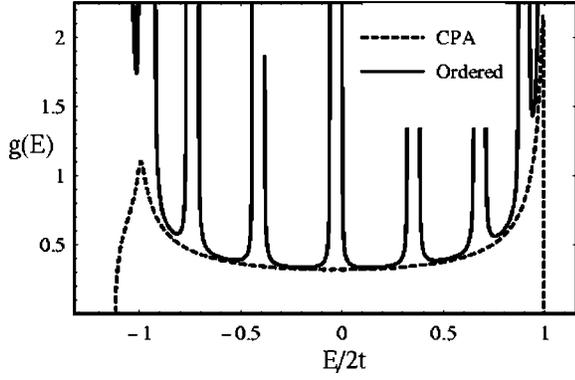


FIG. 4. The density of states as a function of energy for an ordered array of crossing points (solid line) and a disordered array of crossing points (dashed line). In both cases the density of binding sites is $p=1/M=0.125$ and $\lambda/(2t)=-0.25$.

chain tunneling at the M th site in each supercell, there is a localized potential for the electron to reside there. In the above equation and in what follows we find it convenient to suppress the \pm notation describing the interchain symmetrized and antisymmetrized states. We have seen above in Eq. (5) that the only difference in the form of the Hamiltonian acting on these two subspaces of opposite chain-exchange symmetry is the shift $t' \rightarrow -t'$. In the above equation, that t' -dependent term in the Hamiltonian is given in terms of λ which takes on the value $t'(-t')$ for the antisymmetrized (symmetrized) states. To complete our superlattice Hamiltonian, we include a simple tunneling term to couple the states in each supercell. Here, as before, we assume that the presence of the crossing chain does not affect the intrachain hopping matrix element, although such effects could be taken into account using the present tight-binding formalism. The coupling term is

$$H'_n = -t\{|M,n\rangle\langle 1,n+1| + |1,n+1\rangle\langle M,n|\}. \quad (18)$$

The first term in the above equation couples the crossing point site in the n th supercell to the tight-binding site to its right [in the $(n+1)$ th supercell] with the usual intrachain hopping matrix element, while the second term allows for electron hopping from the first site in the $(n+1)$ th supercell onto the crossing point site to its immediate left that is part of the n th supercell. The full Hamiltonian of the system is simply the sum of these two terms summed over all N supercells:

$$H = \sum_{n=1}^{N/M} (H_n + H'_n), \quad (19)$$

where we have used periodic boundary conditions so that the $(N/M+1)$ th supercell is identified with the first supercell. Since the principal effect of the interchain tunneling on the energetics of the system has been shown to be the appearance of localized bound states for a single crossing point, the net effect of periodic boundary conditions used here is minimal.

In order to diagonalize the Hamiltonian given by Eq. (19), we introduce two wave vectors conjugate to the real-space

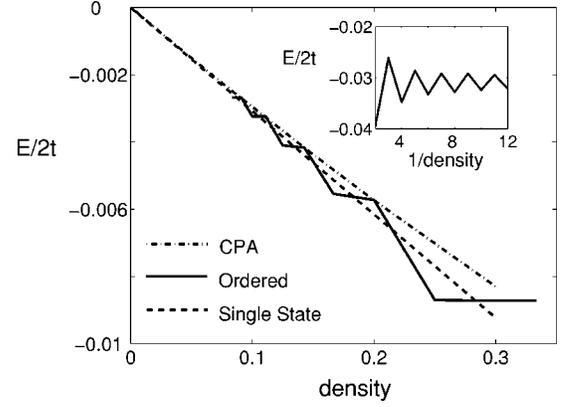


FIG. 5. Plot of the change in electron energy as a function of linker density for cases of quenched, disordered positions (short dashes) and ordered positions (solid line). By assuming the independence of the binding sites so that each linker contributes $4t^2 - (4t^2 + t'^2)^{1/2}$, one arrives at a reasonable linear approximation to the binding energy as a function of cross-link density (long dashes). Inset: Plot of the change in energy for an ordered lattice vs $1/\text{density}$. Both plots use $\lambda/2t=0.25$.

degrees of freedom that index the supercell n and the site within each supercell ℓ by writing unnormalized states

$$|q,k\rangle = \sum_{n=1}^{N/M} \sum_{\ell=1}^M e^{iqaMn} (e^{iak\ell} + A_{q,k} e^{-ika\ell}) |\ell,n\rangle, \quad (20)$$

where $A_{q,k}$ will be determined below and N/M counts the number of supercells on the chain. The allowed values of q are fixed by the periodic boundary conditions to be $q = 2\pi n/Na$ where n is an integer such that $-N/2M < n < N/2M$. We now insist that these states, which are eigenstates of the supercell translation operator are also energy eigenstates: $H|q,k\rangle = E(q,k)|q,k\rangle$. This relation can be translated into three related equations formed by projecting the above relation onto three carefully chosen states. We require that

$$\langle \bar{\ell}, \bar{n} | H | q, k \rangle = E(q, k) \langle \bar{\ell}, \bar{n} | q, k \rangle, \quad (21)$$

$$\langle 1, \bar{n} | H | q, k \rangle = E(q, k) \langle 1, \bar{n} | q, k \rangle, \quad (22)$$

$$\langle M, \bar{n} | H | q, k \rangle = E(q, k) \langle M, \bar{n} | q, k \rangle, \quad (23)$$

where $\bar{\ell} \neq 1, M$ and the index of the supercell \bar{n} is arbitrary. The first condition determines the energy values to be $E(q,k) = -2t \cos(ka)$. Note that since condition Eq. (21) applies to the interior of each supercell, the energy eigenvalues appear to be independent of q and λ . This apparent lack of q and λ dependence is false, as we will soon be forced to require that the allowed values of k depend on these parameters. The second and third conditions above acknowledge the special role of the crossing point in the Hamiltonian. From the second condition, we determine the parameter $A_{q,k}$. In order to satisfy the eigenvalue equation at the leftmost site in a supercell, we find

$$A_{q,k} = \frac{e^{i(kaM-q)} - 1}{1 - e^{-i(kaM+q)}}. \quad (24)$$

In the above result we have used the energy eigenvalues obtained from enforcing the first condition. Finally, the combination of the third condition Eq. (23) along with the energy eigenvalue used above leads to a relation between k , q , and λ of the form

$$\frac{\lambda}{2t} \tan(kMa) = - \left[1 - \frac{\cos(qMa)}{\cos(kMa)} \right] \sin(ka). \quad (25)$$

The above equation may be read as an implicit form of the relation of k upon q and λ , i.e., $k=k(q,\lambda)$. Note that in the limit of a very sparse array of crossing points, i.e., $M \rightarrow \infty$, we recover the condition on imaginary k for the isolated bound state given in Eq. (13). However, as M becomes smaller, we find a small modification in this condition and the introduction of a lattice momentum (q) dependence in k . We now turn to the calculation of the density of states

$g(E) = \partial \mathcal{N} / \partial E$ where \mathcal{N} is the total number of energy eigenstates with energy less than E . Using the density we compute the total energy of the electronic degrees of freedom of the braided polymers. The density of states can be written as

$$g(E) = \frac{\partial \mathcal{N}}{\partial k} \left(\frac{1}{\partial E / \partial k} \right). \quad (26)$$

The second derivative in the product above is computed trivially from the dispersion relation. Using the quantization of the wave vector q , the first derivative can be expressed as

$$\frac{\partial \mathcal{N}}{\partial k} = \frac{\partial \mathcal{N}}{\partial n} \frac{\partial n}{\partial q} \frac{\partial q}{\partial k} = \frac{NM a}{2\pi} \frac{\partial q}{\partial k} \quad (27)$$

where the first term in the product reflects the fact that for each wave vector $q = q_n = 2\pi n / (Na)$ there are M allowed values of k , one for each of the M bands in the system. The second term in the above product expresses the q -quantization condition; this leaves only the last term to be computed from Eq. (25). This derivative is given by

$$\frac{\partial q}{\partial k} = \frac{(\lambda/2t)[-M \cos(kaM) + \sin(kaM)\cos(ka)/\sin^2(ka)] + M \sin(kaM)}{M\sqrt{1 - [(\lambda/2t)\sin(kaM)\csc(ka) + \cos(kaM)]^2}}. \quad (28)$$

Now, the total energy of the electronic states of the chains can be computed from Eqs. (26), (27), and (28).

One expects from the calculation of the isolated crossing point that the change in electronic energy is almost entirely due to the creation of a narrow band of bonding states below the conduction band so it is reasonable to imagine that the total change in electronic energy is independent of the Fermi level. For convenience we choose the Fermi level to be $E_F = 0$. Since there is at most one energy eigenstate for each (complex) value of k , we may write the total energy of the electronic system as an integral over k of the density of states and the dispersion relation. All possible states below the Fermi level are explored by integrating along the following contour in the complex plane: $\mathcal{C} = (-i\infty, 0) \cup (0, \pi/2)$ which is the combination of the negative imaginary axis and the segment of the real axis spanning 0 to $\pi/2$. The density of states along this contour is shown in Fig. 4 as a function of energy. Lastly, using Eq. (25) we note that for there to be an energy eigenstate with a given (q,k) , it must be true that $|\lambda/(2t)\sin(Mak)\csc(ak) + \cos(kaM)| < 1$ so that the denominator of Eq. (28) must be real. To enforce this condition over the k integration we take the real part of the integral to write

$$E = \text{Re} \int_{\mathcal{C}} \frac{\partial \mathcal{N}}{\partial k} E(k) dk. \quad (29)$$

The change in the total electronic energy as a function of t' and M can then be computed; these results are shown in Figs. 5 and 6. The observed even-odd effect in Fig. 5 is an artifact of our choice of the Fermi level. For chains with even

M , a band gap will open at $E_F = 0$ resulting in an additional reduction in the energy. In practice, we expect that the Fermi level of doped polymers will not lie at a place of such high symmetry and the dominant contribution to the energy will be the creation of localized bound states.

The principal result of this calculation is that, due to the highly localized nature of the bonding states at each crossing point, the total interchain binding energy is essentially a linear function of the crossing point density. In other words the attractive interaction at each crossing point is highly independent of the local density of such crossing points at least until M decreases to order unity.

C. Disordered array of crossing points

As a final point regarding the electronic contribution to the interchain adhesion, we now allow the set of crossing

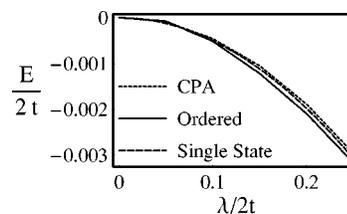


FIG. 6. Plot of the change in electron energy as a function of the interaction strength for random disorder (short dashes), perfectly ordered potential (solid line), and under the independent-linker assumption where each cross link contributes $4t^2 - (4t^2 + t'^2)^{1/2}$ (long dashes). Here the cross-link density is 0.1.

points to become disordered in a specific manner as shown in the lower part of Fig. 3. We allow the number of tight-binding sites between two crossing points (M) now to vary along the chain in an uncorrelated manner so that the chains may be described by a sequences of such spacings M, M_1, M_2, \dots, M_p . We note as we did after Eq. (3) that we restrict the form of the randomness to cases in which the *same* sequence can be used to describe both chains. The advantage of this restriction is that the interchain interaction part of the Hamiltonian is still diagonal in the basis of chain symmetrized/antisymmetrized wave functions.

When considering the chain-symmetrized/antisymmetrized subspaces individually, the Hamiltonian given by Eqs. (2) and (3) is identical to the two-component random lattice problem, which has been extensively studied [23–26]. For completeness we recapitulate the discussion of the general formalism of the coherent potential approximation (CPA) developed therein while applying that formalism to the system at hand. We begin by expanding the propagator of the full, disordered system G in terms of the propagator of a uniform system G_0 with a fixed and as yet unspecified on-site potential. The propagator for the uniform system is given by

$$G_0 = \frac{1}{E - H_0} \quad (30)$$

where

$$H_0 = -t \sum_{\ell=1}^N (|\ell+1\rangle\langle\ell| + |\ell\rangle\langle\ell+1|) + v \sum_{\ell=1}^N |\ell\rangle\langle\ell| \quad (31)$$

and v is the spatially independent on-site energy. The full Hamiltonian of the disordered system is given by the sum of H_0 from Eq. (31) and scattering potentials at each site given by

$$H_I = \sum_{\ell=1}^N (\varepsilon_\ell - v) |\ell\rangle\langle\ell|. \quad (32)$$

In the above equation, the random variable ε_ℓ introduces the quenched disorder by introducing uncorrelated tunneling sites with density p along the chain. This random variable takes the value λ with probability p and is zero otherwise where, as before, λ is $\pm t'$ for the chain-antisymmetrized/symmetrized Hamiltonian.

One can show in the usual way that the propagator for the full, random system G can be expressed perturbatively in terms of the tunneling Hamiltonian H_I and propagator of the uniform system so that

$$G = \frac{1}{E - H} = G_0 + G_0 H_I G_0 + \dots \quad (33)$$

Our goal, as before, is to compute the density of states of the system $g(E)$, which is given in terms of the propagator as

$$g(E) = -\frac{1}{\pi} \text{Im} \sum_{\ell} \langle \ell | G | \ell \rangle \quad (34)$$

where the sum is over a complete set of states. To approximate this sum accurately, we follow the work of Soven [26] and first reorganize the perturbation series given in Eq. (33) by introducing the T operator representing the Born series for scattering off a particular site ℓ ,

$$\begin{aligned} T_\ell &= |\ell\rangle(\varepsilon_\ell - v)\langle\ell| + (\varepsilon_\ell - v)^2 |\ell\rangle\langle\ell| G_0 |\ell\rangle\langle\ell| + \dots \\ &= \frac{1}{1 - \langle\ell| G_0 |\ell\rangle(\varepsilon_\ell - v)} |\ell\rangle\langle\ell|, \end{aligned} \quad (35)$$

so that Eq. (33) implies

$$\begin{aligned} \langle \ell' | G | \ell \rangle &= \langle \ell' | G_0 | \ell \rangle + \sum_{\bar{\ell}} \langle \ell' | G_0 | \bar{\ell} \rangle T_{\bar{\ell}} \langle \bar{\ell} | G_0 | \ell \rangle \\ &+ \sum_{\substack{\bar{\ell} \\ \bar{\ell} \neq \bar{\ell}}} \langle \ell' | G_0 | \bar{\ell} \rangle T_{\bar{\ell}} \langle \bar{\ell} | G_0 | \bar{\ell} \rangle T_{\bar{\ell}} \langle \bar{\ell} | G_0 | \ell \rangle + \dots \end{aligned} \quad (36)$$

The successive terms in the above equation represent the propagator of the electron in the uniform system followed by a correction due to the interaction of that electron with the scattering potential at site $\bar{\ell}$, followed in turn by the interaction of that electron with sites $\bar{\ell}$ and $\bar{\ell}$; the higher-order terms (not shown above) have an analogous interpretation. It is important to note that, due to the reorganization the perturbation series in terms of the T operators, the effect of the electron interacting with the *same* site successively has been already taken into account. Thus, in the sums representing the second- and higher-order terms it is necessary to restrict the summation to avoid revisiting the same site twice in a row, e.g., $\bar{\ell} \neq \bar{\ell}$ in the second-order term above.

We now choose the heretofore arbitrary on-site potential. One might imagine that it would be reasonable to choose that potential simply to be the mean of the on-site energies of the disordered system by taking $v = p\lambda$; however, we are not attempting to average the Hamiltonian over the disorder but rather we will be averaging the propagator. Thus, using the CPA approach, we choose that on-site potential to enhance the convergence of the perturbation series for G given in Eq. (36) by taking v such that the average of the T operator over the quenched disorder vanishes. Representing the disorder averages by $[\cdot]$, we choose v such that

$$[\langle \ell | T_\ell | \ell \rangle] = (1 - p) \frac{-v}{1 + v \langle \ell | G_0 | \ell \rangle} + p \frac{\lambda - v}{1 - (\lambda - v) \langle \ell | G_0 | \ell \rangle} = 0. \quad (37)$$

Now, the reorganized perturbation series in Eq. (36) is greatly simplified. Since $[\langle \ell | T_\ell | \ell \rangle] = 0$ and T operators at different sites are uncorrelated, $[\langle \ell | T_\ell | \ell \rangle \langle \ell' | T_{\ell'} | \ell' \rangle] = [\langle \ell | T_\ell | \ell \rangle] \times [\langle \ell' | T_{\ell'} | \ell' \rangle] = 0$, we see that first correction to G_0 is fourth order in the T operators. In the limit of weak scattering, $[G] \approx G_0$ (with the advantageous choice for v dis-

cussed above) makes an excellent approximation since the first correction is of order $[p\lambda/(2t)]^4$.

Finding the density of states in the disordered system requires that we evaluate the trace of the disorder-averaged propagator [Eq. (34)] as a function of v ; we do this in the position representation by writing the propagator in the uniform system as

$$\langle \ell | G_0 | m \rangle = G_0(\ell, m; E) = \frac{a}{2\pi} \int_{-\pi/a}^{\pi/a} dk \frac{e^{ika(\ell-n)}}{E - v + 2t \cos(ka)} \quad (38)$$

and extracting the diagonal element

$$G_0(\ell, \ell; E) = \pm \{(E - v)^2 - 4t^2\}^{-1/2} \quad (39)$$

where the sign above is determined by whether the magnitude of $(E - v)/2t + [(E - v)/2t - 1]^{1/2}$ is greater or smaller than unity. In the uniform system the sum on position eigenstates is trivial as seen by the ℓ independence of the right hand side of the above equation. However, determining $G_0(\ell, \ell; E)$ and v simultaneously requires that we solve two polynomial equations given by Eqs. (37) and (39).

The binding energy is determined by integrating Eq. (34) over the filled states. For convenience, we chose the Fermi level to again lie at $E_F = 0$. The results are shown in Figs. 5 and 6. As with the ordered lattice of binding sites, we find that the binding energy of the disordered braided chains is very nearly a linear function of the density of sites.

We can conclude that the primary effect of introducing binding points between the polymers is the creation of impurity bands centered at the energy given by Eq. (14). The states in the impurity bands are created at the expense of states near the band edges, $E = \pm 2t$. However, the density of states far from the band edges is essentially unchanged. This can be easily proved for a random arrangement of the impurities. We first note that the density of states is given by

$$g(E) = \frac{1}{\pi} \frac{\partial \Phi}{\partial E} \quad (40)$$

$$= \frac{1}{\pi} \frac{\partial k}{\partial E} \left(N + Np \frac{\partial \bar{\theta}}{\partial k} \right), \quad (41)$$

where Φ is the phase of the wave function at the last site on the chain, p is the density of impurities, and $\bar{\theta}$ is the average phase shift as the wave function passes through an impurity. For an impurity λ at the origin ($\ell = 0$), the wave function takes the form

$$|k\rangle = \sum_{l \leq 0} \cos(kla + \phi) |l\rangle + A \sum_{l > 0} \cos(kla + \phi + \theta) |l\rangle, \quad (42)$$

where A is an amplitude to be determined. The phase shift is given by

$$\theta = \tan^{-1} \left(\tan(\phi) - \frac{\lambda}{t \sin(ka)} \right) - \phi. \quad (43)$$

Averaging over the incident phase, ϕ , we find the average phase shift

$$\bar{\theta} = \frac{t\lambda \cos(ka)}{4t^2 \sin^2(ka) + \lambda^2}. \quad (44)$$

This shift is odd in the impurity strength λ ; therefore the change in the density of states from the symmetric and anti-symmetric bands will exactly cancel. Therefore, the reorganization of electronic energy must occur where this argument breaks down, namely, when the separation between binding sites is comparable to the wavelength, i.e., when $\sin(ka) \approx 0$. At this region near the band edges states are removed to form the imaginary wave number impurity states.

The role of the impurity band as the source of the binding energy is further supported by the ‘‘single state’’ or independent binding site approximation (long dashes) line in Figs. 5 and 6. This line is the binding energy that would be obtained if the sole effect of adding a binding site were to move a single electron from the bottom of the band ($E = -2t$) to the energy of the isolated bound state [$E_b = -(4t^2 + t'^2)^{1/2}$]. This line is an excellent approximation for the binding energy of both the ordered lattice of binding sites (solid line) and the random array of binding sites (short dashes). This supports the suggestion that the creation of the impurity band is the dominant effect in the reorganization of electronic energy.

D. Parallel configurations

If the chains adopt a parallel configuration, then there will be an overlap between each site and the corresponding site on the opposite chain. This interaction is easily diagonalized with the transformation given by Eq. (4). This yields the dispersion relation

$$E_{\pm}(k) = -2t \cos k \pm t'. \quad (45)$$

We can solve for the binding energy of the polymers in this zipped configuration if the polymers are lightly doped (a general solution for the zipped configuration eigenstates may be found in Appendix A). We assume that each polymer is doped with $N\delta/2$ electrons where N is the number of tight-binding sites on each chain. If $\delta \ll 1$, then prior to zipping, all these electrons will have energy very near $-2t$ at the bottom of the band. When the polymers bind over the length of m monomers, then states are created with energy $E < -2t$ with a density of states $2m[4t^2 - (E + t')^2]^{-1/2} / \pi$ where the extra factor of 2 accounts for the spin degeneracy. The electrons in these states have lowered their energy by

$$\Delta E = -\frac{2m}{\pi} \sqrt{4t^2 - (-2t + t')^2} - \frac{2m}{\pi} (2t - t') \times \left[\sin^{-1} \left(\frac{t'}{2t} - 1 \right) + \frac{\pi}{2} \right]. \quad (46)$$

This corresponds to a binding energy of $\approx -4t'^{3/2} / 3\pi\sqrt{t}$ per site.

If the chains zip past a critical number of monomers $m_c = \delta\pi / 2\cos^{-1}(t'/2t - 1)$ then all the electrons have energy $< -2t$. These electrons now have imaginary wave numbers in the unzipped region of the chain; therefore the unzipped regions will no longer be metallic. The binding energy is now

$$\Delta E = -\frac{4tm}{\pi} \sin\left(\frac{N\delta\pi}{m}\right) - N\delta t' + 2tN\delta, \quad (47)$$

where δN is the total number of dopant electrons coming from both polymers. Since the derivative of this binding energy with respect to the length of the binding region (m) is negative, we note that the electrons exert an effective pressure to increase the size of the zipped region [27]. When they form, we expect the length of the zipped regions to be limited only by the number of conduction electrons.

The binding energy per binding site in a zipped region is $\sim t'^{3/2}t^{-1/2}$ whereas in the braided configurations the binding sites reduce the energy by $\sim t'^2t^{-1}$. The zipped configuration exhibits stronger binding per site by $\sqrt{t/t'}$; since generically $t' < t$, the electronic degrees of freedom favor zipped configurations of the chains over braided ones. To determine whether a pair of chains will, however, form such zipped regions or the random braids, we must consider the energetics associated with the conformational degrees of freedom of these charged polymers in water.

III. CONFORMATIONAL DEGREES OF FREEDOM OF THE CHAINS AND EQUILIBRIUM STRUCTURES

The equilibrium state of aggregating polymers depends on the combination of the binding energy due to the electronic degrees of freedom and the change in the translational and conformation free energy of the polymer. From the interplay of these two contributions to the total free energy, we explore the transition from free chains in solution to chain aggregates and discuss their equilibrium structure. We compare the free energies of (i) isolated chains in solution, (ii) braided pairs of chains consisting of unbound loops between isolated crossing points, and (iii) parallel or “zipped” configurations in which the chains have numerous consecutive tunneling sites along their length. The aggregation of DNA in the presence of divalent ions has been approached in a similar manner [28,29]; however, in the present system there is no quantity analogous to the fixed concentration of linking divalent ions since the interchain binding sites are created spontaneously at chain intersections.

We begin by considering the chains of length $L = Na_r$, where a_r is the distance between tight-binding sites that also carry a net linear charge density in solution equal to e/a_c . We study the coexistence of free and paired chains in solution by writing the free energy of the solution in terms of a total concentration of chains n and concentration of bound-chain pairs n_2 [30]. This free energy takes the form

$$\frac{F(n_2)}{k_B T} = (n - 2n_2)[\ln(n - 2n_2) - 1] + n_2(\ln n_2 - 1) + n_2 \frac{\mathcal{F}_b}{k_B T}. \quad (48)$$

In the above expression \mathcal{F}_b is the free energy of the bound states of the chain excluding the translational entropy. The first two terms of Eq. (48) represent the translational entropy of the isolated chains and bound pairs, respectively.

Minimizing Eq. (48), we find that the concentration of dimers is $n^2 \exp[(\mathcal{F}_b)/k_B T]$. It remains now to calculate the

interchain binding energy \mathcal{F}_b , which is a combination of electrostatic chain repulsion and chain binding due to the proposed tunneling mechanism. We do not include van der Waals interactions between chains. This longer-ranged attractive interaction is generically stronger for the conducting polymers since the extended electronic states enhance their low-frequency polarizability. At higher frequencies one expects that the polarizability at higher frequencies is typical of small organic molecules since the dielectric spectrum at higher frequencies is primarily due to localized molecular states that are similar to all hydrocarbons. Such van der Waals interactions lead to a longer-range attraction which, in high salt concentrations at least, are a subdominant correction to the interchain binding potential when the interchain distance is on the order of angstroms. In addition the enhancement of the van der Waals interaction is significant for only the metallic rather than the semiconducting state of the polymers. Thus we expect the interchain tunneling mechanism discussed in this article to dominate the binding energy of the observed bundles. We examine this point further in Appendix B.

The binding free energy of two chains referred to in Eq. (48) is a combination of the electrostatic interaction of the chains, the bending of the molecules on scales short compared to their persistence length, the random walk entropy of loops larger than their own persistence length, and the electronic binding energy of the tunneling sites. Based on the competition between these attractive and repulsive interactions, the pairs of chains can adopt a variety of configurations. For polymers of high linear charge density we expect it to be possible to form “loosely” braided states in which loops of the chains between consecutive binding sites are longer than a persistence length. Moving to lower charge densities, these loosely braided structures will become “tight” braids in which the unbound loops are smaller than a persistence length. Finally, at even lower charge densities one finds parallel “zipped” states of the chains.

We consider only high-salt solvents where the Debye length is much smaller than the persistence length. Under such conditions the interchain electrostatic repulsion is significant only near the crossing points. There the charged chains can be treated as crossed straight rods. If two charged rods cross at an angle θ with a distance of closest approach d the electrostatic energy is

$$E_{\text{electro}} = \frac{2\pi\kappa_B T l_B e^{-\kappa d}}{\kappa a_c^2 \sin \theta}, \quad (49)$$

where $l_B = e^2 / \epsilon k_B T$ is the Bjerrum length, a_c is the average separation between charges along the backbone, and κ^{-1} is the Debye screening length.

We first consider the possibility of loose braids in which the free energy of the polymer loops between tunneling sites is dominated by their random walk entropic contribution rather than the enthalpic contribution arising from the mechanical bending modulus of the polymer. Loose braids must therefore be larger than a persistence length. The free energy of two loosely braided chains such that there is a length ℓ of free polymer between tunneling sites can be written as

$$\mathcal{F}_b^{\text{LB}} = \frac{L}{\ell} \left[- \left(\frac{t'^2}{2t} - D \right) - k_B T \left\{ 2 \frac{\ell}{\ell_p} A - c \ln \left(\frac{2\ell}{\ell_p} \right) \right\} \right], \quad (50)$$

where $D = 2\pi k_B T l_B e^{-\kappa d} / \kappa a_c^2$ is the electrostatic repulsion of the chains at the tunneling site. In the above equation the first term is the binding energy of a tunneling site that is the combination of the binding energy coming from the conduction electrons and the electrostatic repulsion of two charged chains in close proximity. The strong repulsion forces the two chains to cross at right angles; this crossing configuration is taken into account above. The final two terms represent the asymptotic form of the entropic contribution of the polymer between binding sites in the limit of large loops. The constant A is of order unity. The entropy reduction of the self-avoiding chain of length 2ℓ due to the loop formation results in the last term; calculations suggest that the constant c is also of order unity [31,32]. Upon minimization of the above free energy with respect to ℓ , the size of the loops in the loose braid, we find that ℓ is driven to arbitrarily small values when the binding energy is negative and to infinity when that binding energy is positive (i.e., net repulsive interactions at the tunneling sites). Thus loose braids are not a thermodynamically stable phase of the polymers. Should reduction in energy due to the interchain tunneling mechanism overcome the screened electrostatic repulsion of the chains and the entropy cost of forming a single loop, the chains will bind densely along their length until the bending energy of the polymers becomes the limiting factor. At this point one enters the regime of tight braids where the bending energy of the chains dominates the entropic contribution to the free energy considered above for loose braids. Our result differs from the studies of Borukhov *et al.* [28,29] of polyelectrolyte aggregation mediated by divalent ions is that the number of binding sites for the conjugated polymers is not conserved. Loose braids continue to create new binding sites until the braid loop approaches a single persistence length. In order to study these tight braids we turn to the calculation of chain bending energy.

The bending energy of a polymer is

$$E_{\text{bend}} = \frac{k_B T l_p}{2} \int \frac{dl}{R(l)^2}, \quad (51)$$

where l_p is the persistence length, and $R(l)$ is the local radius of curvature. When the separation between binding points is comparable to the persistence length we say that the chains adopt a tight braid configuration in which the bending energy of the chain dominates its random walk entropy over each unbound loop. Furthermore, in the limit $\kappa^{-1} \ll \ell_p$ the crossing angle will be very close to $\pi/2$ and the polymer conformation between binding points will be well approximated by the arc of a circle. Thus for tightly braided configurations we write the energy per length of the polymers as

$$\mathcal{E}_b^{\text{TB}}(\ell) = \frac{L}{\ell} \left[D + \frac{k_B T \ell_p \pi^2}{4\ell} - \frac{t'^2}{2t} \right], \quad (52)$$

where ℓ is the arclength of the polymer between intersections and the electrostatic repulsion D is defined above. The first

two terms represent the energy cost associated with electrostatic repulsion at the cross links and the bending energy of the chains between those cross links, respectively. In the tightly braided state these terms are more than offset by the energy reduction due to the formation of binding points shown in the third term. Here we have neglected the configurational entropy of the loops of chain making up the tight braid. There remains, however, the configurational entropy of the random walk of loops making up the braid. Thus the free energy of the tightly braided chains can be written as

$$\mathcal{F}_b^{\text{TB}}(\ell) = \mathcal{E}_b^{\text{TB}}(\ell) - k_B T \frac{L}{\ell}, \quad (53)$$

where ℓ is the length of polymer in one loop of the braid. Minimizing Eq. (53) with respect to ℓ , we find that the free energy becomes

$$\mathcal{F}_b^{\text{TB}} = - \frac{(D - k_B T - t'^2/2t)^2}{k_B T \ell_p \pi^2} L. \quad (54)$$

We now turn to the zipped configuration of the chains. To consider the electrostatic interaction between the two chains in a parallel configuration, we note that the potential Φ a distance r away from a thin charged rod in a salt solution is given by

$$\Phi(r) = \frac{2l_B k_B T}{a_c} K_0(\kappa r), \quad (55)$$

where K_0 is a modified Bessel function. The energy of two polymers separated by a distance d and bound over a length L^* is found from the combination of the electrostatic repulsion of the chains in close proximity (distance d) given by the above equation and the binding energy due to the tunneling mechanism given by Eq. (47). Including the chain entropy of the remaining free sections of total length $2(L - L^*)$ we find the binding free energy to be

$$\mathcal{F}_b^{\text{zip}} = \mathcal{E}_b^{\text{zip}} \left(\frac{L^*}{L} \right) - 2k_B T \frac{L}{\ell_p} \left(1 - \frac{L^*}{2L} \right), \quad (56)$$

where the binding energy term is given by

$$\frac{\mathcal{E}_b^{\text{zip}}(L^*/L)}{L} = \frac{L^*}{L} \left[C - \frac{4t}{\pi a_t} \sin \left(\frac{\delta \pi}{L^*/L} \right) \right] - \frac{t'}{a_t} \delta \left(1 - \frac{2t}{t'} \right) \quad (57)$$

and $C = 2l_B k_B T K_0(\kappa d) / a_c^2$. For stiff chains ($l_p \gg a_t$) so that we may neglect the entropic contributions above, the quantity L^* appearing in Eq. (56) is simply determined by minimizing that equation with respect to L^* , which yields

$$\left(\frac{L^*}{L} \right)^3 \approx \frac{4t \delta^3 \pi^2}{3a_t (C + k_B T / \ell_p)}. \quad (58)$$

One may imagine that the bound region of total length L^* will break up into a number of fragments along the chain. Simulations of polyelectrolytes bound by divalent ions [29] suggest that the division of the bound region into numerous smaller regions results in an increase in the total free energy due to the reduced entropy of the free chain segments. The

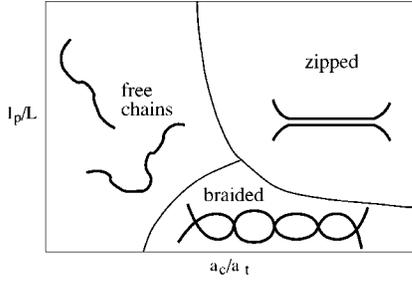


FIG. 7. Schematic phase diagram for two charged, conducting polymers. This diagram is spanned on the vertical axis by the persistence length scaled by the total contour length of the chain while the horizontal axis is the dimensionless ratio of the the distance between charges along the chain a_c to the distance between potential tunneling sites a_t . In the upper left region of the figure, chains of high charge density and long persistence length are unbound in solution (free); upon reducing the linear charge density along the chain and moving to the right in the diagram the chains generically become bound in a parallel or zipped configuration. For flexible enough chains of intermediate charge density, however, more loose, braided configurations are predicted.

electron degeneracy pressure in the bound regions further enhances the aggregation of the bound segments.

Based on these considerations we propose a schematic phase diagram for charged, conducting polymers in solution. This diagram (shown in Fig. 7), which represents the range of phase behavior of these polymers, is spanned by the persistence length and the (suitably scaled) inverse, linear charge density of the chains. This inverse charge density is measured in terms of the ratio of the distance a_c between charged groups along the polymer backbone divided by the distance between potential tunneling sites, a_t . Implicit in this diagram is an assumed level of doping of the conduction band of the polymers. In principle the doping level demands

a third axis to the figure, but, as discussed below, the effect of varying the doping of the conduction band can be subsumed into a trivial shift of the figure. In the upper right portion of the figure the chains are both stiff and have a high charge density relative to their density of potential binding sites. Thus, although a pair of chains may form a single bond, in the thermodynamic limit the density of binding sites is zero. Generally, moving down and to the right in the diagram induces intermolecular binding due the mechanism presented in this work. The equilibrium bound configurations take the form of parallel, tightly bound pairs, which we denote as zipped chains or as less densely bound braids.

The onset of braiding is determined by setting the contour length between binding sites equal to the total contour length of the chain, L . This gives the free-chain/braid boundary as

$$\frac{\ell_p}{L} = \frac{2}{k_B T \pi^2} \left(\frac{t'^2}{2t} - \frac{2\pi k_B T \ell_p e^{-\kappa d}}{\kappa a_c^2} \right). \quad (59)$$

The term in parentheses on the right hand side of the above equation is collectively the effective binding of one inter-chain crossing. The first part of that term is the binding energy due to the reorganization of the electronic states of the polymers while the second term represents the reduction in binding energy associated with the electrostatic interaction of the two chains. For the cases of current interest in which a single interchain bond is favorable the right hand side of Eq. (59) is positive.

To explore the boundary between zipped and braided states of the polymers we compare the energy of the braided configuration given by Eq. (54) to the energy of the zipped configuration given by Eq. (60). For the case of lightly doped chains so that contour length of the zipped regions L^* is smaller than that of the whole polymer, one finds that the boundary between braided and zipped chain configurations occurs where

$$\frac{\ell_p}{L} = \frac{(t'^2/2t + k_B T - 2\pi k_B T \ell_p e^{-\kappa d}/\kappa a_c^2)^2 - 2(k_B T \pi)^2(1 - L^*/2L)}{L^* k_B T \pi^2 [4t'^{3/2}/2\pi a_t t^{1/2} - 2\ell_p k_B T K_0(\kappa d) a_c^{-2}]}. \quad (60)$$

This phase boundary continues to smaller a_c/a_t until it intersects the free/braid boundary discussed above. There it terminates. Since $L^* \ll L$, the primary effect of the doping level of the chain appears here as the prefactor (L^*) which simply shifts the vertical position of the boundary between zipped and braided configurations in the phase diagram. The basic structure of the diagram is, however, unaffected by the precise level of chain doping.

Finally, we find the line separating the free chains from the zipped chains by subtracting the free energy of two free chains, $2k_B T L/\ell_p$, from Eq. (56) and setting the resulting expression equal to zero. The resulting boundary is described by the equation

$$\frac{\ell_p}{L} = \left[-\frac{2L L_B}{a_c^2} K_0(\kappa d) + \frac{4tL}{\pi a_t k_B T} \sin\left(\frac{L\delta\pi}{L^*}\right) + \frac{L^2 \delta}{L^* k_B T a_t} (t' - 2t) \right]^{-1}. \quad (61)$$

This expression gives a line with a large negative slope that reflects the fact that short persistence lengths favor the free chains due to increased conformational entropy in the unbound state.

IV. DISCUSSION

We find that conducting polymers in the metallic state, i.e., where the Fermi level lies within a band, will generically

aggregate in solution due to the reorganization of the electronic degrees of freedom of these molecules upon the close (angstrom scale) approach to each other. This reorganization of the extended electronic states of the molecule results in the formation of localized bound states at these crossing points and the consequent reduction of the electronic energy more than compensates for the reduction of chain configurational entropy due to the creation of the cross links between the two polymers. It is interesting to note that these localized bound states are the direct analogs of the standard binding and antibinding orbitals that are created out of atomic electronic states upon the close approach of their respective nuclei. In the case of conducting polymers, however, these localized bound states are created out of the extended states of the metallic molecules.

The localized nature of these states has the consequence that the binding energy is very nearly linear in the density of binding sites when these sites are separated by at least a few monomers as they are in all braided configurations. However, when the polymers lie in parallel, zipped configurations, the resulting binding energy per site is stronger than the isolated binding sites by $O((t/t')^{1/2})$. The equilibrium structures formed by these polymers will depend not only on the interchain binding mechanism, but also on the linear charge density of the polymers, solvent screening, and the persistence length of the polymer. The combination of these factors will determine whether the polymers form loosely braided structures, or tight bundles.

Based on these considerations we have proposed a schematic phase diagram showing the expected equilibrium structures that may be observed in such systems. For large enough linear charge density, one finds that the lowest-energy state of the aggregate is a braid consisting of loops of unbound polymer between cross-linking binding sites. For smaller linear charge density one finds that the energetically favorable configuration is two parallel chains where the interchain distance remains on the order of a few angstroms all along their arclength.

Clearly, the direct experimental observation of such structures is difficult. We note that small-angle neutron scattering experiments [15,17] are consistent with the formation of bundles in aqueous solutions, but the spatial resolution of these experiments is not fine enough to distinguish between the braid and parallel phases discussed above. Moreover, the current calculations are not directly applicable to these experiments since previous experimental studies focused on semiconducting polymers. Nevertheless, our proposed binding mechanism mediated by the reorganization of the electronic degrees of freedom is also relevant to the semiconducting case [33]. Since it will likely remain difficult to quantitatively test the detailed structure of the aggregate one must directly probe the electronic states by studying the spectroscopic signature of the molecules as they aggregate.

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APPENDIX A: ZIPPED CONFIGURATION STATES

Here we solve for the eigenstates for two chains that are zipped together over a portion of their length. The Hamiltonian is

$$H_0 = -t \sum_{\ell=1}^{N-1} (|\ell+1\rangle\langle\ell| + |\ell\rangle\langle\ell+1|) + \sum_{\ell=1}^{N-1} v_\ell |\ell\rangle\langle\ell| \quad (\text{A1})$$

where $v_\ell=0$ in the unzipped regions ($1 \leq \ell \leq n$ and $n+m+1 \leq \ell \leq N$) and $v_\ell=\lambda$ in the zipped region ($n+1 \leq \ell \leq n+m$). Here $\lambda = \mp t'$ for the symmetric (antisymmetric) states, m is the number of sites in the zipped region, and n (n') is the number of sites in the unzipped region to the left (right) of the zipped region. The chains have a total length of $N=n+m+n'$. The eigenfunctions are of the form

$$|k\rangle = \sum_{l=1}^n \sin(lk)|l\rangle + A \sum_{l=n+1}^{n+m} \sin(lk' + \phi)|l\rangle + B \sum_{l=n+m+1}^N \sin(lk + \theta)|l\rangle, \quad (\text{A2})$$

where A and B are undetermined amplitudes, and $-2t \cos k = -2t \cos k' + \lambda$. The boundary conditions are

$$\sin[(n+1)k] = A \sin[(n+1)k' + \phi],$$

$$\sin(nk) = A \sin(nk' + \phi)$$

$$A \sin[(n+m+1)k' + \phi] = B \sin[(n+m+1)k + \theta],$$

$$A \sin[(n+m)k' + \phi] = B \sin[(n+m)k + \theta],$$

$$(N+1)k + \theta = j\pi, \quad (\text{A3})$$

where j is an integer. After some algebra, we find two equations for k and ϕ :

$$\frac{\sin(kn')}{\sin[k(n'+1)]} = \frac{\sin[k'(n+m+1) + \phi]}{\sin[k'(n+m) + \phi]}, \quad (\text{A4})$$

$$\cot(k'n + \phi) = \frac{\cot(kn)\sin(k) - \lambda}{\sin(k')}. \quad (\text{A5})$$

From these equations we find the quantization condition for k ,

$$\xi\xi' + (\xi + \xi')\sin(k')\cot[k'(m+1)] - \sin^2(k') = 0, \quad (\text{A6})$$

where

$$\xi \equiv \sin(k)\cot(nk) - \lambda,$$

$$\xi' \equiv \sin(k)\cot(n'k) - \lambda. \quad (\text{A7})$$

From these conditions [Eqs. (A6) and (A7)] and the dispersion relation

$$E_k = -2t \cos(k), \quad (\text{A8})$$

one obtains the energy eigenvalues.

APPENDIX B: INTERMOLECULAR VAN DER WAALS ATTRACTION

The van der Waals interaction energy between two like molecules in a screened medium is given by

$$E_{\text{vdW}} \approx -I \frac{\alpha_0^2 e^{-2\kappa r}}{\epsilon^2 r^6}, \quad (\text{B1})$$

where α_0 is the polarizability of the molecules, ϵ is the dielectric constant of the medium, κ^{-1} is the Debye screening length, and I is the ionization energy of the molecules [34]. At wide separations the polymers can be approximated as conducting spheres with a radius R_g ; thus $\alpha_0 \approx R_g^3$. In aqueous solutions $\epsilon \approx 80$ and for an I of order eV then $E_{\text{vdW}} \ll 1$ eV since $r \gg R_g$. If, on the other hand, $r \lesssim R_g$ it is more accurate to describe the polymers as narrow ellipsoids. In this situation we approximate the polymers as ellipsoids of length l_p and thickness a that is a monomeric dimension. The polarizability along the polymer is found to be of order [35]

$$\alpha_{\parallel} \approx \frac{l_p^3}{\ln(l_p/a)}, \quad (\text{B2})$$

and perpendicular to the polymer it is

$$\alpha_{\perp} \approx a^2 l_p. \quad (\text{B3})$$

So, the interaction energy for parallel and perpendicular polymers is

$$E_{\parallel} \approx I \frac{l_p^6 e^{-2\kappa r}}{\epsilon^2 r^6 [\ln(l_p/a)]^2}, \quad (\text{B4})$$

$$E_{\perp} \approx I \frac{l_p^2 a^4 e^{-2\kappa r}}{\epsilon^2 r^6}. \quad (\text{B5})$$

For $l_p \approx 10$ nm, $\epsilon \approx 80$, $a \approx r \approx 1/2$ nm, $I \approx 1$ eV, and $\kappa^{-1} \approx 1$ nm, we find that $E_{\parallel} \approx 10^4 k_B T$ and $E_{\perp} \approx 2 k_B T$. At high salt concentrations, when the electrostatic screening length $\kappa^{-1} \approx 2$ Å, these dipolar interactions are suppressed by a factor of $\approx 10^4$ suggesting that the binding mechanism discussed in the article dominates at short interchain separation. At lower salt concentrations van der Waals interactions play a larger role in the formation of the aggregates, but the structure of those aggregates will still depend on the interchain electron tunneling mechanism.

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