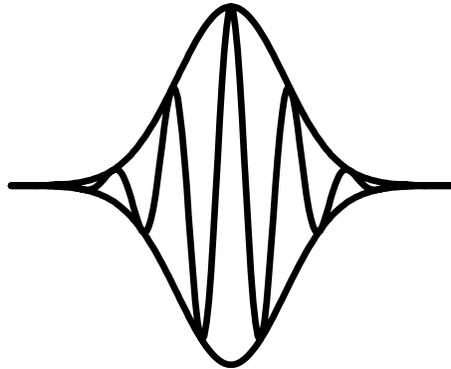


# Lecture Notes for Nonlinear and Quantum Optics

PHYS 953

Fall 2007

Brian Washburn, Ph.D.  
Kansas State University



PHYS 953 Nonlinear and Quantum Optics, Fall 2007  
Lectures and Projects

Nonlinear Optics

- Lecture 1: Introduction
- Lecture 2: The linear susceptibility
- Lecture 3: Dispersion: group and phase velocities
- Lecture 4: Anharmonic oscillations of a material
- Lecture 5: Properties of the nonlinear susceptibility
- Lecture 6: Crystal structure and the nonlinear susceptibility
- Lecture 7: Second order nonlinear effects
- Lecture 8: Crystal structure and nonlinear optics
- Lecture 9: Analytic results for second harmonic generation and SFG
- Lecture 10: Difference frequency generation and optical parametric oscillators
- Lecture 11: Quasi-phase matching
- Lecture 12: SHG with ultrashort pulses
- Lecture 13:
- Lecture 14:
- Lecture 15: Applications of SHG: Intensity autocorrelations
- Lecture 16: Applications of SHG: Frequency resolved optical gating
- Lecture 17: The carrier-envelope phase
- Lecture 18: Third order optical nonlinearities: Four wave mixing
- Lecture 19: Self phase modulation
- Lecture 20:
- Lecture 21: Ultrashort pulse propagation in optical fibers
- Lecture 22: More on pulse propagation
- Lecture 23: Applications of third order nonlinearities
- Lecture 24: Self focusing
- Lecture 25: Stimulated Raman scattering
- Lecture 26: Coherent anti-Stokes Raman spectroscopy
- Lecture 27: Quantum mechanical description of optical nonlinearities.
- Lecture 28: Nonlinear optical perturbation theory

Quantum Optics

- Lecture 29: What is a photon? Hanbury-Brown and Twiss experiment
- Lecture 30: What is a photon? Aspect experiments of 1986
- Lecture 31: What is a photon? Delayed choice experiment of Wheeler
- Lecture 32: Quantization of single mode fields
- Lecture 33: Multimode fields
- Lecture 34: Coherent states
- Lecture 35: More on coherent states
- Lecture 36: Even more on coherent states
- Lecture 37: Quantum mechanical description of beam splitters
- Lecture 38: Single photon interferometry
- Lecture 39: More on single photon interferometry
- Lecture 40: Entanglement
- Lecture 41: Bell's inequality and the EPR argument
- Lecture 42: Optical tests of the EPR experiment: violations of the Bell's inequality

MiniProjects 1,2,3,4, and 5

Final Project

## PHYS 953 – Adv. Topics/Non-linear and Quantum Optics - Fall 2007

Lecture: M/W/F, 12:30-1:30 a.m. Willard 25

**Textbooks:** *Nonlinear Optics*, Boyd; *Introductory Quantum Optics*, Gerry and Knight;

**Suggested References:** *Introduction to Quantum Optics, From Light Quanta to Quantum Teleportation*, Paul; *The Quantum Challenge*, Greenstein and Zajonc; *Quantum Optics*, Walls and Milburn; *Coherence and Quantum Optics*, Mandel and Wolf; *Nonlinear Optics*, Shen; *Nonlinear Fiber Optics*, Agrawal; *Handbook of Nonlinear Optics*, Sutherland; *Handbook of Nonlinear Optical Crystals*, Dmitriev, Gurzadyan, and Nikogosyan; *Electromagnetic Noise and Quantum Optical Measurements*, Haus;

**Instructor:** Dr. Brian R. Washburn, CW 36B, (785) 532-2263, [washburn@phys.ksu.edu](mailto:washburn@phys.ksu.edu). Office hours: M/W/F 9:30-10:30 PM or by appt.

**Prerequisites:** A solid foundation in undergraduate-level quantum mechanics, electromagnetism, and optics.

**Course Objective:** The purpose of this course is to provide an introduction to the field of nonlinear optics, exploring the physical mechanisms, applications, and experimental techniques. Furthermore the fundamentals of quantum optics will be taught in the second half in this course. Connections between quantum and nonlinear optics will be highlighted throughout the semester. My goal is for students to end up with a working knowledge of nonlinear optics and a conceptual understanding of the foundations of quantum optics.

### Grading:

Exam 1	150 pts	300 pts
Exam 2	150 pts	
Mini-Projects		500 pts
Final Project		200 pts
<b>Total possible</b>		<b>1000 pts</b>

**Exams:** There will be two exams during the semester. The format will be a take-home exam to be completed over 24 hours.

**Mini-Projects:** Problems in nonlinear and quantum optics are quite involved, so traditional homework assignments will not properly teach the material. So, the homework for this course will be in the form

of mini-projects. The mini-projects will be a detailed solution of interconnected problems related to lecture topics. The problems will need to be solved using resources beyond the textbook and class notes. The purpose of the mini-projects is to mimic problem-solving scenarios found in a research environment.

There will be between 5-7 mini-projects, each given with two or more weeks for completion. Working on the mini-projects in groups is strongly encouraged, but you will need to write up the assignment on your own.

**Final Project:** There will be a final project for the class but no final exam. The final project will be an investigation of a topic or problem in the areas of nonlinear and quantum optics, that will involve a literature search and some original work. The final project will consist of three parts:

- Part 1: Abstract and bibliography
- Part 2: 6 page paper plus references
- Part 3: 15 minute presentation

**Late Projects:** No project will be accepted after its due date unless prior arrangements have been made. Sorry! Please inform me with possible conflicts before the due date, and other arrangements will be made (if you ask really nicely).

**Class Material:** Extra class materials are posted on K-state Online, including papers and tutorials.

**Disabilities:** If you have any condition such as a physical or learning disability, which will make it difficult for you to carry out the work as I have outlined it or which will require academic accommodations, please notify me and contact the Disabled Students Office (Holton 202), in the first two weeks of the course.

**Plagiarism:** Plagiarism and cheating are serious offenses and may be punished by failure on the exam, paper or project; failure in the course; and/or expulsion from the University. For more information refer to the "Academic Dishonesty" policy in K-State Undergraduate Catalog and the Undergraduate Honor System Policy on the Provost's web page: <http://www.ksu.edu/honor/>.

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## Tentative Course Schedule, Nonlinear and Quantum Optics, PHYS 953, Fall 2007

Date	Topic	Chapters	Projects
Aug. 20 (M)	Introduction to nonlinear optics —Class overview, review of linear optics and the semi-classical treatment of light	B1	
Aug. 22 (W)	—Review of material dispersion: stuff you should know already	B1	
Aug. 24 (F)	—The nonlinear susceptibility: formal definitions	B1	
Aug. 27 (M)	—The nonlinear susceptibility: analogy to anharmonic motion	B1	
Aug. 29 (W)	—The nonlinear susceptibility: properties of materials	B1	
Aug. 31 (F)	—Symmetry and nonlinear optical properties	B1	
Sept. 3 (M)	No Class		
Sept. 5 (W)	—The Maxwell's wave equation in a nonlinear medium		
Sept. 7 (F)	Second order nonlinear effects —Second harmonic generation	B2	MP1 Due
Sept. 10 (M)	—Phase matching in second harmonic crystals	B2	
Sept. 12 (W)	—Second harmonic generation with ultrashort pulses	B2	
Sept. 14 (F)	—Difference and sum frequency generation	B2	
Sept. 17 (M)	—Parametric amplification in crystals, optical parametric oscillators*	B2	
Sept. 19 (W)	—Quasi-phase-matching in periodically poled materials	B2	
Sept. 21 (F)	Applications for second harmonic generation —Ultrashort pulse measurement: intensity and interferometric autocorrelators		
Sept. 24 (M)	—Ultrashort pulse measurement: FROGS, SPIDERS, and TADPOLES		
Sept. 26 (W)	Carrier-envelope phase measurement: the $f$ -to- $2f$ interferometer		
Sept. 28 (F)	Third order nonlinear effects —Intensity dependent refractive index; four-wave mixing	B4	MP2 Due
Oct. 1 (M)	No Class		
Oct. 3 (W)	—Pulse propagation in a third order nonlinear medium: nonlinear fiber optics	Exam 1	
Oct 4 (U)	Exam 1 Due		
Oct. 5 (F)	—Nonlinear fiber optics: solitons and similaritons	B4, B13	
Oct. 8 (M)	—Spatial third order effects: self focusing and light bullets*	B4, B13	
Oct. 10 (W)	Applications of third order effects and high-intensity lasers —Short pulse generation using nonlinear effects	B13	
Oct. 12 (F)	—Nonlinear pulse compression in gases	B13	MP3 Due
Oct. 15 (M)	Spontaneous and stimulated Raman scattering* —Spontaneous Raman scattering	B9	
Oct. 17 (W)	—Stimulated Raman scattering in third order media, CARS spectroscopy*	B9	
Oct. 19 (F)	Introduction to quantum optics —What is a photon? The Hanbury-Brown and Twiss experiment	G1	
Oct. 22 (M)	—What is a photon? The Aspect experiments	G1	
Oct. 24 (W)	Field quantization and coherent states —Quantization of a single mode field	G2	
Oct. 26 (F)	—Vacuum fluctuations and the zero-point energy	G2	MP4 Due
Oct. 29 (M)	—The quantum phase	G3	
Oct. 31 (W)	—Coherent states: light waves as harmonic oscillators	G3	
Nov. 2 (F)	—Properties of coherent states, phase-space pictures	G3	
Nov. 5 (M)	—Review of the density operator, phase-space probability functions	G3	
Nov. 7 (W)	Emission and absorption of radiation by atoms —Atom-field interactions: classical and quantized fields	G4, B6	
Nov. 9 (F)	—Optical Bloch equations, the Rabi model	G4, B6	MP6 Due
Nov. 12 (M)	—Ramsey fringes, the Jaynes-Cumming model*	Exam 2	
Nov. 13 (T)	Exam 2 Due	Final Project Part 1 Due	
Nov. 14 (W)	Nonclassical light* —Squeezed states, applications of squeezing in gravity wave detection	G7	
Nov. 16 (F)	—Squeezing and nonlinear fiber optics		
Nov. 19 (M)	Bell's theorem and quantum entanglement —EPR Paradox and Bell's Theorem	G9	
Nov. 21 (W)	No Class		
Nov. 23 (F)	No Class		
Nov. 26 (M)	—Bell's Theorem and the Aspect experiment	G9	MP5 Due
Nov. 28 (W)	—Violation of Bell's theorem using an optical parametric amplifier	G9	
Nov. 30 (F)	Optical tests of quantum mechanics —The Hong-Ou-Mandel interferometers	G9	
Dec. 3 (M)	—Quantum beats, quantum demolition measurements	Final Project Part 2 Due	
Dec. 5 (W)	—The Franson experiment	G9	
Dec 7 (F)	Final Project Presentation	Final Project Part 3 Due	
Dec 10 (M)	Final Project Presentation, final exam period 4:10 p.m. - 6:00 p.m.	Final Project Part 3 Due	

Books: B= Boyd, *Nonlinear Optics*, G= Gerry and Knight, *Introductory Quantum Optics*; \* denotes a topic that may be replaced with something much more interesting

# Nonlinear + Quantum Optics

## Lecture Outlines

### - Lecture 1 Introduction + Review (50 minutes)

- Class overview: class syllabus, topics
- Nonlinear effects: generation of new spectral components  
SC generation in MWF
- Overlap between nonlinear + ultrafast optics  
High Peak power  $\Rightarrow$  induce nonlinear effects

- Quantum optics  $\Rightarrow$  small # of photons / Semi classical

- Review: Notation for electric field  
Real + Complex

- Fourier Transform  $\Rightarrow$  Important for understanding concepts  
in class

- Linear optical properties

real instantaneous field  $\rightarrow \vec{D}(\omega) = \epsilon_0 \chi \vec{E}(\omega) \leftarrow$  not really

$\vec{D}(\omega) = \epsilon_0 \chi : \vec{E} \Rightarrow P_i(\omega) = \epsilon_0 \sum_j \chi_{ij} E_j$   
tensor notation

- Nonlinear properties in Frequency domain dyadic notation

$$\vec{D} = \epsilon_0 \chi^{(1)} \cdot \vec{E} + \epsilon_0 \chi^{(2)} : \vec{E}\vec{E} + \epsilon_0 \chi^{(3)} : \vec{E}\vec{E}\vec{E}$$

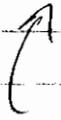
OR  $P_i = \epsilon_0 \sum_j \chi_{ij}^{(1)} E_j + \epsilon_0 \sum_{jk} \chi_{ijk}^{(2)} E_j E_k +$

$$\epsilon_0 \sum_{jkl} \chi_{ijkl} E_j E_k E_l$$

$$\vec{P} = \sum_i P_i \hat{X}_i \quad \text{Frequency domain}$$

## Time Domain

$$\vec{D}^{(2)} = \epsilon_0 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \chi^{(2)}(t-t', t-t'') : \vec{E}(\vec{r}, t') \vec{E}(\vec{r}, t'') dt' dt''$$



Convolution & response function

## - Lecture 2 Dispersion (50 minutes)

- Wavelength dependent index of refraction  $n(\lambda)$

$$\vec{D}(\omega) = \epsilon_0 \chi \vec{E}(\omega) \quad (\text{linear isotropic medium})$$

$$D_i(\omega) = \sum_j \epsilon_0 \chi_{ij} E_j(\omega) \quad (\text{Birefringent})$$

- Effects of dispersion : phase velocity
- Effects of dispersion on pulse : group velocity
- Compute pulse broadening

Offer: class using Mathematica

## Lecture 3 Nonlinear Susceptibility

- Physical oscillator model of linear susceptibility  
Index of Refraction / Absorption
  - Section 1.4 Anharmonic model for nonlinear susceptibility  
Review of anharmonic oscillators  
Non centrosymmetric medium / Centrosymmetric media
- ⇒ Derive  $\chi^{(2)}$  +  $\chi^{(3)}$  classically

## Lecture 4 Complexity of Nonlinear Terms

$\chi^{(2)}$  process  
Formal Definitions

Symmetries on  $\chi$

Lecture 7 : 2nd Harmonic process + the wave equation

Nonlinear wave equation

Coupled differential equations from wave eq

Solve for non-depleted pump

Lecture 8 : Phase matching

Type I + Type II / ooe + oeo

Crystal Orientation

Phase mismatch

def

Lecture 9 : SHG + SFG

Review non depleted pump

Depleted pump case

Energy transfer from fundamental to SHG

Lecture 18 Intro to  $\chi^{(3)}$  effects. FWM + SPM

Lecture 19 (W) Self phase modulation + 3 $\omega$  generation

Lecture 20 (F) Intro to nonlinear optics in fibers  
Intro to fiber optics  
Phase matching in fibers

Lecture 21 (M) Nonlinear fiber optics

Pulse propagation

Soliton formation

Lecture 22 (W)

Lecture 23 (F) Applications  $\Rightarrow$  Short pulse formation

Lecture 24 (M) Spatial Nonlinear effects  
Self focusing

## Lecture Outlines

Lecture 9: Sum frequency generation + SHG generation

Analytic results and functional forms

Nonlinear Length

Lecture 10: Difference frequency generation

Analytic results

Optical parametric oscillator + amplifiers

Lecture 11: Quasiphase matching

More on OPOs

Periodically Poled materials

Lecture 12: SHG with ultrashort pulses

Lecture 13

-

# Nonlinear and Quantum Optics : Lecture 1

①

Go over class syllabus

Introduction to Nonlinear optics

Introduction to Quantum Optics

— Linear response of dielectric medium

$$\vec{P} = \epsilon_0 \chi \vec{E} \quad \left( \begin{array}{l} \text{linear isotropic} \\ \text{homogeneous medium} \end{array} \right)$$

more general expression (birefringence)

$$P_i = \epsilon_0 \sum_{j=1}^3 \chi_{ij}^{(1)} E_j$$

linear susceptibility

Frequency domain equation

$\vec{P}, \vec{E}$   
 $\equiv$  real instantaneous fields

Induced Polarization:  $\vec{P} \equiv$  net dipole moment per unit volume

$$\vec{P} \sim -Ne \vec{r} \leftarrow \text{displacement}$$

$\uparrow$  dipoles per volume

Linear Material in the Frequency domain

$$\begin{cases} \vec{D}(\omega) = \epsilon_0 \chi^{(1)} \vec{E}(\omega) & (\text{SI}) \\ \vec{D}(\omega) = \chi^{(1)} \vec{E}(\omega) & (\text{Gaussian}) \end{cases}$$

OR 
$$\vec{D}(\omega) = \epsilon_0 \chi^{(1)} \vec{E}(\omega)$$

Not true for all materials, only for isotropic materials

$$P_i(\omega) = \epsilon_0 \sum_j \chi_{ij}^{(1)} E_j(\omega)$$

So 
$$\vec{D} = \sum_j \chi_{ij}^{(1)} E_j \hat{x}_i$$

OR 
$$\vec{D} = \epsilon_0 \chi^{(1)} \cdot \vec{E}$$

(tensor notation)

First order term in Taylor Series in  $\vec{E}$ ! Nonlinear optics just add more terms.

$$\vec{D} = \epsilon_0 \left[ \chi^{(1)} \cdot \vec{E} + \chi^{(2)} : \vec{E}\vec{E} + \chi^{(3)} : \vec{E}\vec{E}\vec{E} \dots \right]$$

OR

$$P_i = \epsilon_0 \left( \sum_j \chi_{ij} E_j + \sum_{jk} \chi_{ijk}^{(2)} E_j E_k + \sum_{jkl} \chi_{ijkl}^{(3)} E_j E_k E_l + \dots \right)$$

$$\chi^{(2)} \equiv \text{2nd order}$$

$$\chi^{(3)} \equiv \text{3rd order}$$

3

Time domain  $\Rightarrow$  Material response

$$\vec{D}^{(1)}(t) = \epsilon \int \chi^{(1)}(t-t') \cdot \vec{E}(\vec{r}, t-t') dt'$$

$$\vec{D}^{(2)} = \epsilon \int \chi^{(2)}(t-t', t-t'') : \vec{E}(\vec{r}, t-t') \vec{E}(\vec{r}, t-t'') dt' dt''$$

Response of material  $\Rightarrow$  fs time scale for solids

$$\chi^{(2)}(t-t', t-t'') = \delta(t-t') \delta(t-t'')$$

- What do nonlinear effects do?

Create "new" spectral components

OR a more technically correct phrase is (remember Energy cons.)

Nonlinear effects create new spectral components by shifting spectral energy to new frequencies

Example: Supercontinuum generation in a photonic crystal fiber.

An ultrashort pulse of bandwidth 12nm can generate a new spectral bandwidth  $> 1000$  nm due to third order nonlinear effects!

Ave Power = 100 mW

$f_{rep} = 100$  MHz

$\lambda_0 = 800$  nm

Peak Power =

$\Delta t \approx 100$  fs

$z = 1$  m fiber

$\gamma \sim 100 \frac{1}{\text{km W}}$  measure of  $\chi^{(3)}$  and Power/Area

$$\gamma = \frac{n_2 \omega_0}{c A_{\text{eff}}}$$

Final spectral shift : ( Non linear effect chirps the pulse

$$\phi_{\text{NL}} = \gamma P_0 z$$

Adds an intensity dependent phase shift

$$E(\omega) = \mathcal{F} \left\{ E_0(t) \exp(i \phi_{\text{NL}}) \right\}$$

# Lecture #2

## The Linear Susceptibility

Review

$$\vec{D}(\omega) = \epsilon_0 \chi^{(1)} \vec{E} \quad (\text{linear isotropic media})$$

$\vec{E} \equiv$  real instantaneous electric field

$$P_i = \epsilon_0 \sum_j \chi_{ij}^{(1)} E_j$$

Nonlinear susceptibility

$$\chi = \chi(E)$$

$$P_i = \epsilon_0 \left[ \sum_j \chi_{ij}^{(1)} E_j + \sum_{jkl} \chi_{ijk}^{(2)} E_j E_k + \sum_{jkl} \chi_{ijkl}^{(3)} E_j E_k E_l + \dots \right]$$

$\chi^{(n)} \equiv$  ~~rank~~  $n+1$  rank tensor with  $3^{n+1}$  terms

$\chi^{(n)} \equiv$  terms can be complex  $\begin{cases} \nearrow \text{nonlinear "index"} \\ \searrow \text{nonlinear "absorption"} \end{cases}$

$\chi^{(1)} \Rightarrow$  9 terms

$\chi^{(2)} \Rightarrow$  27 terms

$\chi^{(3)} \Rightarrow$  81 terms

# Lorentz Model for a linear dielectric medium

Collection of  $N$  electrons per unit volume. We want to find the response of the medium to an applied electric field  $\vec{E}$

Medium: nonconducting, isotropic

Induced polarization

$$\vec{P} = -Ne\vec{r} \quad (\text{complex})$$

at equilibrium

$$-e\vec{E} = k\vec{r}$$

↑  
atomic restoring force

$$\vec{P} = \frac{Ne^2}{k} \vec{E}$$

Let  $\vec{E}$  vary in time, treat medium as collection of harmonic oscillators

$$m\ddot{\vec{r}} + m\gamma\dot{\vec{r}} + k\vec{r} = -e\vec{E} \quad \left. \vphantom{\begin{matrix} m\ddot{\vec{r}} + m\gamma\dot{\vec{r}} + k\vec{r} = -e\vec{E} \\ \end{matrix}} \right\} \gamma \equiv \text{damping}$$

Assume  $\vec{E} \sim e^{i\omega t}$  so  $r(t) \sim e^{-i\omega t}$ , substitute this into differential equations

$$(-m\omega^2 - i\omega m\gamma + k)\vec{r} = -e\vec{E}$$

But  $\vec{P} = -Ne\vec{r}$

$$\text{so } \vec{P} = \left( \frac{Ne^2}{+m\omega^2 + i\omega m\gamma + k} \right) \vec{E}$$

OR write  $\chi = \chi_e' + i \chi_e''$

Then

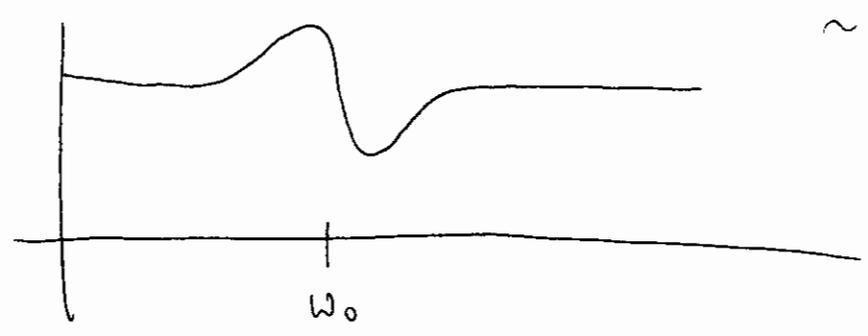
$\bar{n}^2 + 1 = \chi_e' + i \chi_e''$	Equate real + Imaginary
$(n + i \frac{\alpha}{\omega} \frac{d}{z})^2 + 1 = \chi_e' + i \chi_e''$	

$$E(z,t) = E_0 \exp(-\alpha z/2) \exp(i(kz - \omega t))$$

$$k = \omega n / c$$

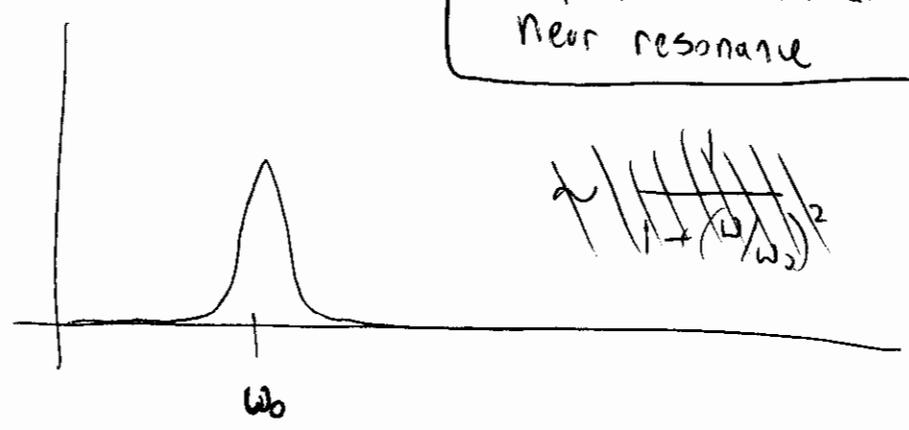
Plot Frequency response (near resonance it is Lorentzian)  $\chi_e' \sim \frac{(\omega - \omega_0)}{1 + ((\omega - \omega_0)/\gamma)^2}$   
 $\omega \approx \omega_0$

Re  $\chi$   
(n)



$$\sim \frac{1}{1 + ((\omega - \omega_0)/\gamma)^2}$$

Im  $\chi$   
(α) or (κ)

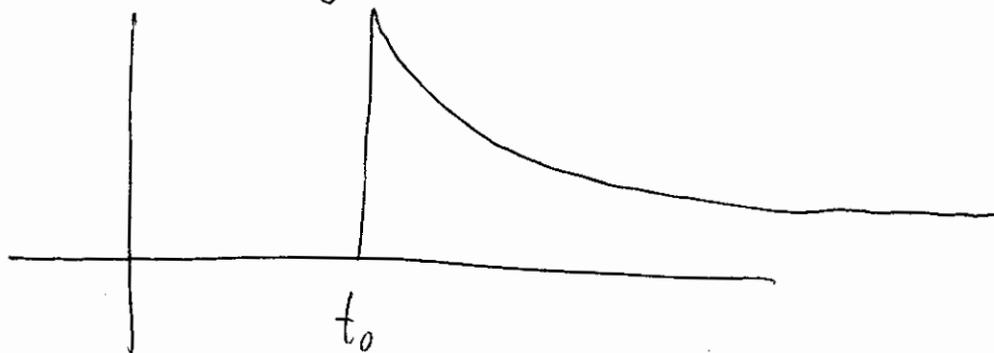


Complex Lorentzian  
Near resonance

$$\sim \frac{1}{1 + ((\omega - \omega_0)/\gamma)^2}$$

Actually we have many resonances  $\bar{n} = 1 + \sum_{j=1}^{\infty} \left[ \frac{N e^2}{m \epsilon_0} \frac{1}{(\omega_j^2 - \omega^2 - i \gamma \omega)} \right]$

Corresponds to time response of thru



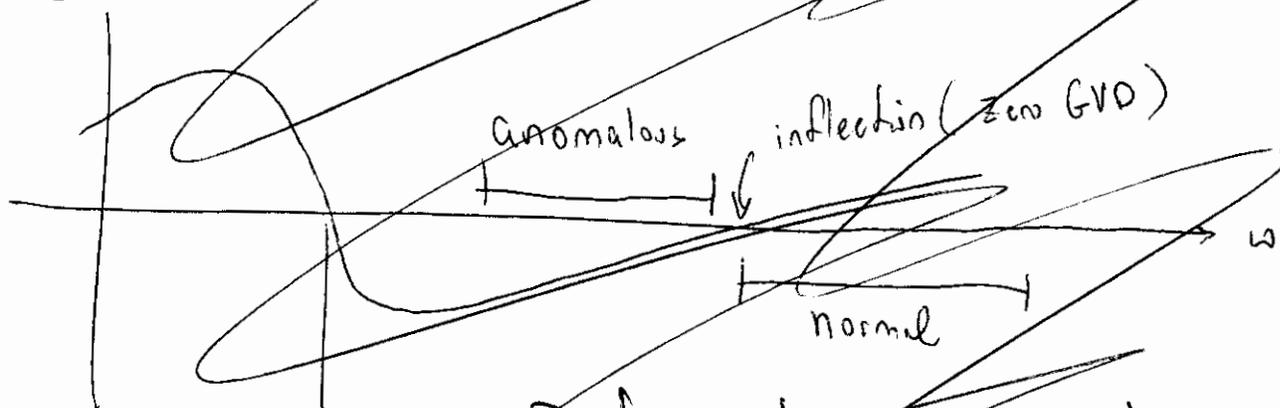
$$f(t) = \begin{cases} 0 & t < t_0 \\ e^{-\alpha t} & t > t_0 \end{cases}$$

~~Normal + Anomalous dispersion~~

~~Work for from  $\omega_s \Rightarrow$  good for optical  $\lambda$~~

~~Re  $\chi$  has a point of inflection~~

~~$\Rightarrow$  zero group velocity dispersion~~



~~$\Rightarrow$  Defined by group velocity~~

$$\frac{\partial}{\partial \omega} \left( \frac{1}{v_g} \right) > 0 \quad \text{Normal} \quad | \quad < 0 \quad \text{Anomalous}$$

Define  $\omega_0 = \sqrt{k/m}$

$$\vec{P} = \left( \frac{Ne^2/m}{\omega_0^2 - \omega^2 - i\omega\gamma} \right) \vec{E} = \epsilon_0 \underbrace{\chi^{(1)}}_{\text{linear susceptibility}} \vec{E}$$

The induced polarization is a source term for the wave eq

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} + \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}$$

Since we have a source free medium  $\vec{\nabla} \cdot \vec{D} = 0$  so  $\vec{\nabla} \cdot \vec{E} = 0$

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} = \vec{\nabla} (\vec{\nabla} \cdot \vec{E}) - \nabla^2 \vec{E} = -\nabla^2 \vec{E}$$

So

$$\boxed{+\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}} \quad c^2 = \frac{1}{\epsilon_0 \mu_0}$$

Sub in  $\vec{P}$

$$\boxed{\nabla^2 \vec{E} = \frac{1}{c^2} \left( 1 + \frac{Ne^2}{m\epsilon_0} \left( \frac{1}{\omega_0^2 - \omega^2 - i\omega\gamma} \right) \right) \partial_t^2 \vec{E}}$$

Define complex index  $\bar{n}^2$

$$\boxed{\bar{n}^2 = \left( 1 + \frac{Ne^2}{m\epsilon_0} \left( \frac{1}{\omega_0^2 - \omega^2 - i\omega\gamma} \right) \right)}$$

This term has both the index and absorption

OR  $\bar{n} = n + ik$  (OR)  $\boxed{\bar{n} = n + i \frac{c}{\omega} \frac{\alpha}{2}}$  why  $1/2$ ?

# Sellemeyer Equations

Far from resonance

Approx 1)  $\chi'' < 1 + \chi_e'$

2) Far from resonances

$$\chi_e' \sim \frac{Ne^2}{(2\pi c)^2 \epsilon_0 m} \left( \frac{\lambda^2}{\lambda^2 - \lambda_0^2} \right)$$

OR

$$n^2(\lambda) = 1 + \sum_i \frac{A_i \lambda^2}{(\lambda^2 - \lambda_{0i}^2)}$$

Get terms  $A_i$   $\lambda_i$  for approximation

Way to think of wave equation

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P} \quad (2)$$

and

$$\vec{P} = \chi \epsilon_0 \vec{E} \quad (1)$$

- 1)  $\vec{E}$  generated polarization  $\vec{P}$  using (1)
- 2)  $\vec{P}$  is used as source term to generate a new  $\vec{E}$  using the wave equation (2)

## Lecture 3

- Review: Derived frequency<sup>response</sup> of a linear dielectric media

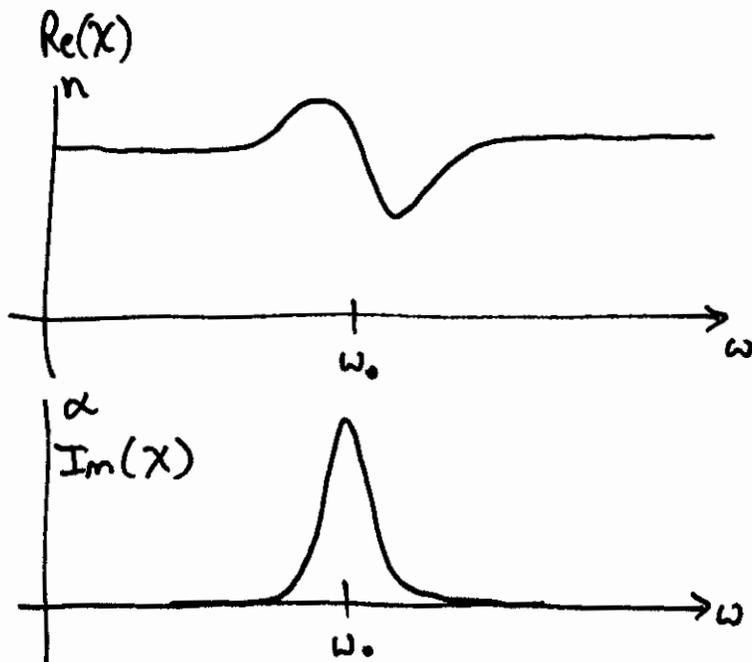
equate real + imaginary parts

$$\left\{ \begin{aligned} \bar{n}^2 &= 1 + \frac{Ne^2}{m\epsilon_0} \left( \frac{1}{\omega_0^2 - \omega^2 - i\gamma\omega} \right) \\ \bar{n} &= n + i \frac{c}{\omega} \frac{\alpha}{2} \end{aligned} \right.$$

Real:  $n^2 - \frac{\alpha^2 c^2}{2\omega^2} = 1 + \frac{Ne^2}{m\epsilon_0} \left( \frac{\omega_0^2 - \omega^2}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} \right)$

Imaginary:  $\frac{2n\alpha^2 c^2}{2\omega^2} = \frac{Ne^2}{m\epsilon_0} \left( \frac{\gamma\omega}{(\omega_0^2 - \omega^2)^2 + \gamma^2 \omega^2} \right)$

Solve for  $n(\omega) + \alpha(\omega)$



# Optical Pulse : Group + Phase Velocities

Two plane waves of frequency  $\omega_1 + \omega_2 \Rightarrow$  Mix to get beat frequency

optical pulse  $\Rightarrow$  finite temporal duration

$\Rightarrow$  finite spectral bandwidth

Time + Frequency domains related by Fourier Transform

## Phase + Group Velocity

Phase velocity  $\Rightarrow$  velocity of one spectral component

$$v_p = c/n(\omega)$$

Remember

$$c = \lambda_0 f_0 = \frac{\lambda_0 \omega_0}{2\pi}$$

Group velocity  $\Rightarrow$  velocity of spectral packet about the carrier frequency  $\omega_0$

$$\text{so } \lambda_0 = \frac{2\pi c}{\omega_0}$$

$$v_g = \frac{d\omega}{d(\beta(\omega))}$$

$\beta(\omega) \Rightarrow$  propagation along  $\hat{z}$

$$\beta(\lambda) = n(\lambda) \frac{2\pi}{\lambda}$$

$$\beta(\omega) = \frac{n(\omega)\omega}{c}$$

## Group Delay + Group Index

$$\tau_g = z \frac{1}{v_g} = z \frac{d\beta}{d\omega} = z \frac{d}{d\omega} (n k_0)$$

$$k_0 = \frac{2\pi}{\lambda_0}$$

$$= z \frac{d\lambda}{d\omega} \frac{d}{d\lambda} \left( n(\lambda) \frac{2\pi}{\lambda_0} \right)$$

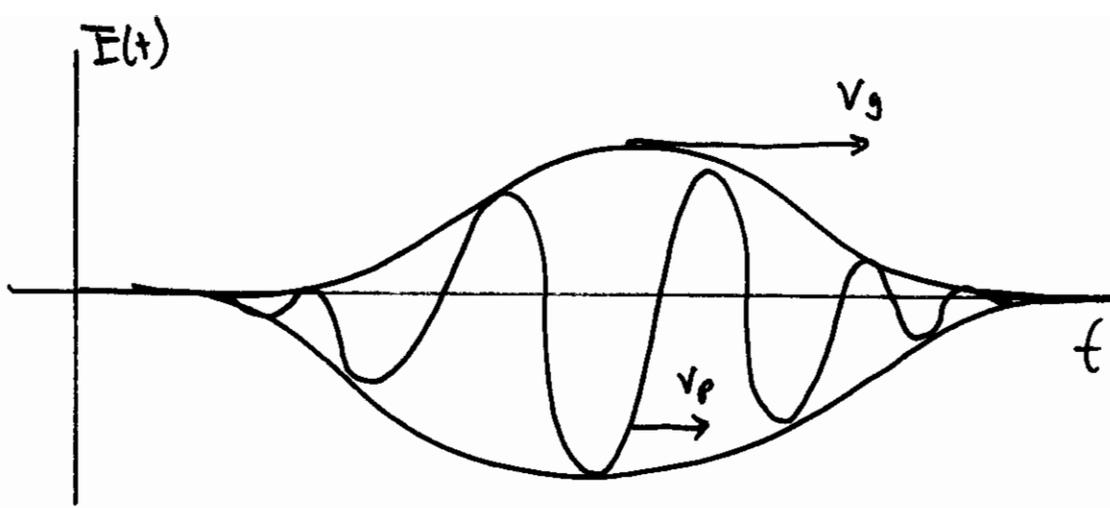
$$= \frac{z}{c} \left( n - \lambda \frac{dn}{d\lambda} \right)$$

Where

$$N = n - \lambda \frac{dn}{d\lambda} \quad \text{Group Index}$$

So

$$v_g = \frac{z}{\tau_g} = \frac{z}{z} \frac{c}{\left( n - \lambda \frac{dn}{d\lambda} \right)} = \frac{c}{N}$$



## Anomalous + Normal Dispersion

Jackson

~~Anomalous~~  
Normal

$n$  increases with increasing  $\omega$

Anomalous

$n$  decrease with increasing  $\omega$

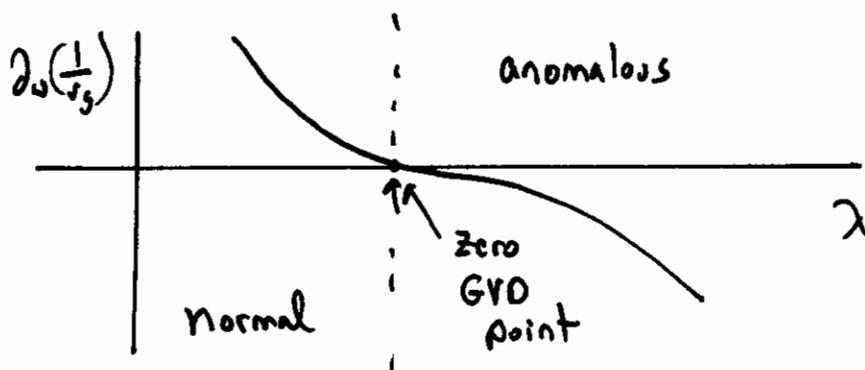
Define using group velocity

$$\frac{\partial \omega}{\partial \lambda} > 0 \quad \text{normal}$$

$$\frac{\partial \omega}{\partial \lambda} < 0 \quad \text{anomalous}$$

$$c \frac{\partial}{\partial \omega} \left( \frac{1}{n - \lambda \frac{dn}{d\lambda}} \right) = c \frac{\partial \lambda}{\partial \omega} \frac{\partial}{\partial \lambda} \left( \frac{1}{n - \lambda \frac{dn}{d\lambda}} \right)$$

$$= \frac{\lambda^2}{2\pi} \frac{\partial}{\partial \lambda} \left( \frac{1}{n(\lambda) - \lambda \frac{dn}{d\lambda}} \right)$$

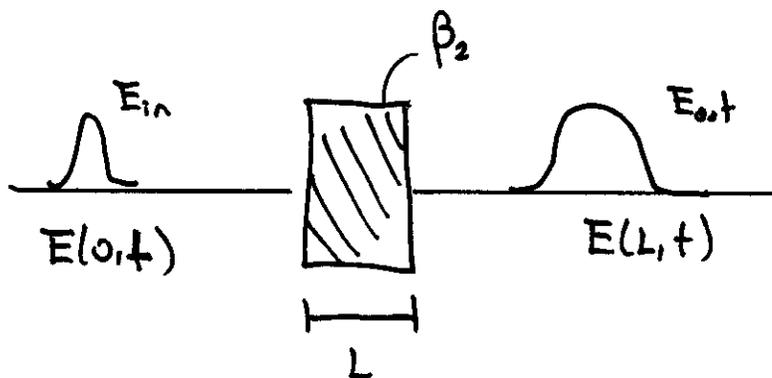


# Pulse propagation

Dispersion induces a phase distortion on pulse  $\phi(\omega)$

$$\beta(\omega) = n(\omega) \omega / c = \beta_0 + \beta_1 (\omega - \omega_0) + \frac{1}{2} \beta_2 (\omega - \omega_0)^2 + \dots$$

$$\phi(\omega) = \beta(\omega) L$$



$$E(0,t) = A(t) \exp(i\omega_0 t)$$

$\mathcal{F} \equiv$  Fourier Transform

$$E(L,t) = \mathcal{F}^{-1} \left\{ \mathcal{F} \{ E(0,t) \} \exp(i\phi(\omega)) \right\}$$

Each spectral component propagated over  $L$ , and "sees" dispersion  $\beta(\omega)$

Each <sup>spectral</sup> component "sees" a delay with respect to the carrier frequency  $\omega_0$

Causes Frequency Chirp

Positive chirp: "red" components travel faster than "blue"

Negative chirp: "blue" components travel faster than "red"

$$\beta_1 = \frac{1}{v_g} = \frac{1}{c} \left( n + \omega \frac{dn}{d\omega} \right)$$

$$\beta_3 =$$

$$\beta_2 = \frac{1}{c} \left( 2 \left( \frac{dn}{d\omega} \right) + \omega \frac{d^2 n}{d\omega^2} \right) \approx \frac{\lambda^2}{2\pi c^2} \frac{d^2 n}{d\lambda^2}$$

$\beta_2 > 0$	Normal
$\beta_2 < 0$	Anomalous

# Material Dispersion for Fused Silica

This notebook determines the wavelength index of refraction, group index, group velocity dispersion, quadratic and cubic dispersion coefficients for bulk fused silica.

## Initial Definitions

Use c as the speed of light (in nm/fs).

$$c = 299.792458 ;$$

## Determine Sellmeier equations and the material dispersion for bulk fused silica

Define the Sellmeier equation and coefficients for fused silica, values taken from "Fundamentals of Optical Fibers", J.A. Buck., pg 127. The equation is good for wavelengths in nanometers.

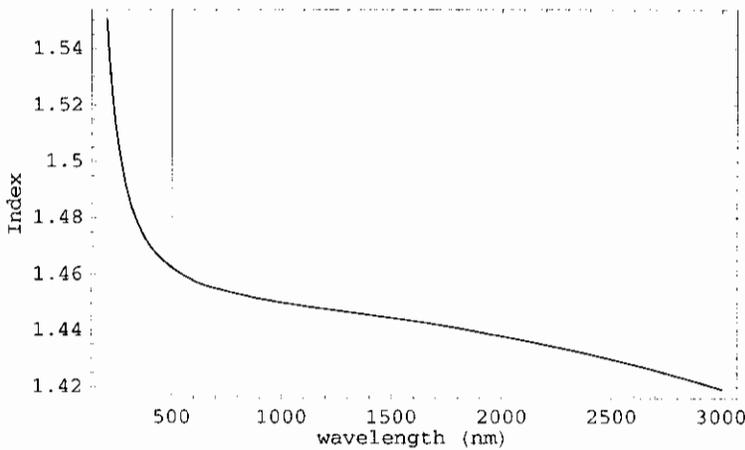
$$B1 = 0.6961663; B2 = 0.4076426; B3 = 0.8974794;$$

$$C1 = 0.0684043; C2 = 0.1162412; C3 = 9.896161;$$

$$n_o[\lambda_] = \sqrt{\frac{B1 (\lambda / 1000)^2}{(\lambda / 1000)^2 - C1^2} + \frac{B2 (\lambda / 1000)^2}{(\lambda / 1000)^2 - C2^2} + \frac{B3 (\lambda / 1000)^2}{(\lambda / 1000)^2 - C3^2} + 1};$$

Plot the index as a function of wavelength

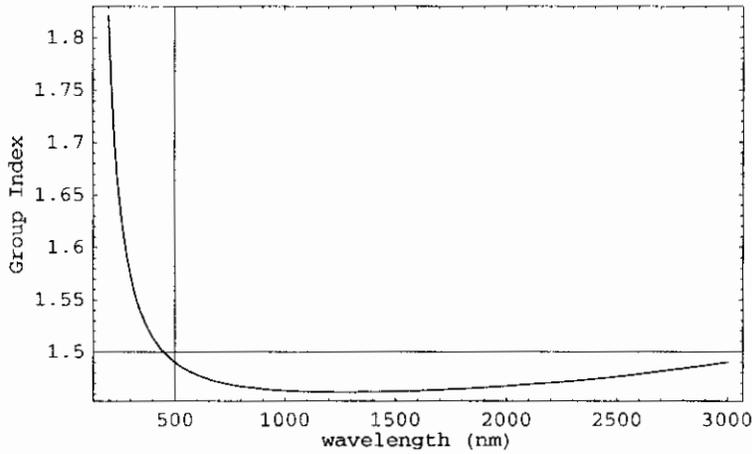
```
Plot[n_o[λ], {λ, 200, 3000}, PlotRange -> {All, All}, Frame -> True,
FrameLabel -> {"wavelength (nm)", "Index"}];
```



Determine the group index  $N_g$  using the expression we derived in class.

$$N_g[\lambda_] = n_o[\lambda] - \lambda \partial_\lambda (n_o[\lambda]);$$

```
Plot[Ng[λ], {λ, 200, 3000}, PlotRange -> {All, All}, Frame -> True,
FrameLabel -> {"wavelength (nm)", "Group Index"}];
```



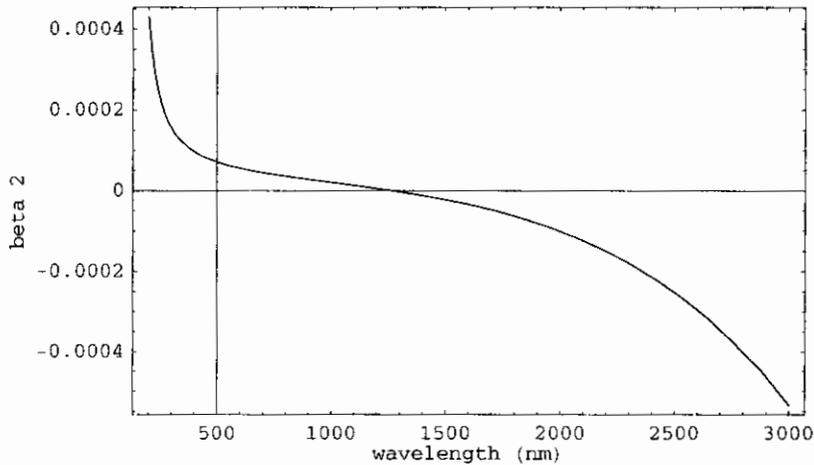
The wavevector can be written in terms of a Taylor series as a function of  $\omega$ . Define the dispersion coefficients as  $\beta_2$ ,  $\beta_3$ , and  $\beta_1$ . The units of the dispersion terms are nm/fs, fs<sup>2</sup>/nm, and fs<sup>3</sup>/nm respectively.

$$\beta_1[\lambda] = \frac{c}{N_g[\lambda]}$$

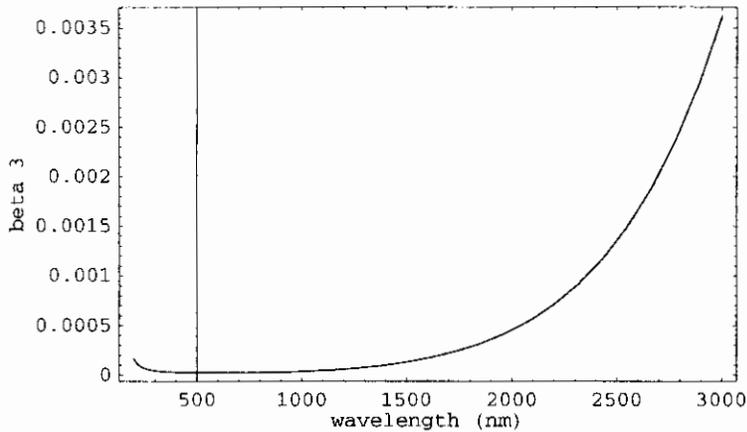
$$\beta_2[\lambda] = \frac{\lambda^3}{2\pi c^2} \partial_{\lambda\lambda} n_o[\lambda];$$

$$\beta_3[\lambda] = \frac{-\lambda^4}{4\pi^2 c^3} (3 (\partial_{\lambda\lambda} n_o[\lambda]) + \lambda (\partial_{\lambda\lambda\lambda} n_o[\lambda]));$$

```
Plot[β2[λ], {λ, 200, 3000}, PlotRange -> {All, All}, Frame -> True,
FrameLabel -> {"wavelength (nm)", "beta 2"}];
```



```
Plot[beta3[lambda], {lambda, 200, 3000}, PlotRange -> {All, All}, Frame -> True,
FrameLabel -> {"wavelength (nm)", "beta 3"}];
```



■ Regions of normal and anomalous dispersion in fused silica

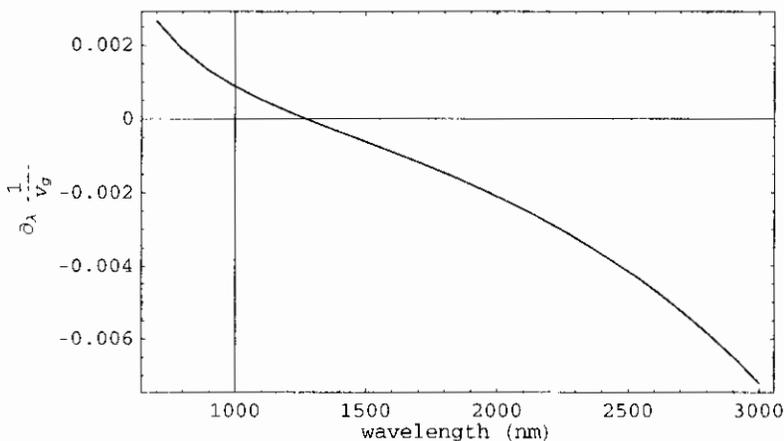
To find the region of normal and anomalous dispersion, we need to find the derivative of  $1/v_g$ , which is related to the group velocity dispersion.

The normal dispersion region is where  $\partial_\lambda \frac{1}{v_g} > 0$

The anomalous dispersion region is where  $\partial_\lambda \frac{1}{v_g} < 0$

$$dvgd\lambda[\lambda_] = \frac{\lambda}{2\pi} \partial_\lambda \frac{1}{N_g[\lambda]}$$

```
Plot[dvgdlambda[lambda], {lambda, 700, 3000}, PlotRange -> {All, All}, Frame -> True,
FrameLabel -> {"wavelength (nm)", "d_lambda (1/v_g)"}];
```



From the graph we find that the zero group velocity dispersion wavelength is 1272 nm.

## - Dispersion + Pulse Broadening

Use Sellmeier Equation to describe  $n(\lambda)$

$$n^2 - 1 = \sum_j \left( \frac{A_j \lambda^2}{\lambda^2 - \lambda_j^2} \right)$$

## Pulse Broadening in Bulk material

Mode propagation constant  $\beta(\omega)$

component of wavevector along propagation direction

Describe  $\beta(\omega)$  as a Taylor Series

$$\beta(\omega) = n(\omega) \omega / c = \beta_0 + \beta_1 (\omega - \omega_0) + \frac{1}{2} \beta_2 (\omega - \omega_0)^2 + \dots$$

$\omega_0 \equiv$  carrier frequency

$$\beta_1 = 1/v_g \quad \text{group velocity}$$

$$\beta_n = \left. \frac{d^n \beta}{d\omega^n} \right|_{\omega_0}$$

$$v_g = \frac{c}{N}$$

Group Index

$$N = n - \lambda \frac{dn}{d\lambda}$$

Need to determine  $\beta_n$  from  $n(x)$

$$\beta_1 = \frac{1}{c} \left( n + \omega \frac{dn}{d\omega} \right)$$

$$\beta_2 = \frac{1}{c} \left( 2 \frac{dn}{d\omega} + \omega \frac{d^2n}{d\omega^2} \right) \approx \frac{\lambda^2}{2\pi c^2} \frac{d^2n}{d\lambda^2}$$

$$\beta_3 = \frac{-\lambda^4}{2\pi^2 c^2} \left( 3 \frac{d^2n}{d\lambda^2} + \lambda \frac{d^3n}{d\lambda^3} \right)$$

Sign of Group velocity dispersion  $\Rightarrow$  Sign of  $\beta_2$

$\beta_2 > 0$  Positive, Normal

$\beta_2 < 0$  Negative, Anomalous

# Lecture 4

Review: Dispersion

Pulse Broadening

$$E(z,t) = \mathcal{F}^{-1} \left\{ \mathcal{F} \{ E(0,t) \} e^{i\phi(\omega)} \right\}$$

where  $\phi(\omega) = \beta(\omega)z = z \left[ \sum_n \frac{\beta_n}{n!} (\omega - \omega_0)^n \right]$

$\beta_2 \equiv \text{GVD} \quad (\text{s}^2/\text{m})$

$\phi_2 \equiv \text{2nd order phase distortion coefficient} \quad (\text{s}^2)$

Today: Properties of  $\chi^{(n)}$  ( $n > 1$ )

The nonlinear susceptibility: Generates new spectral components

~~$$P_i = \epsilon_0 \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)} E_j E_k$$~~

$$\left. \begin{aligned} E(t) &= \sum_n E(\omega_n) e^{-i\omega_n t} \\ P(t) &= \sum_n P(\omega_n) e^{-i\omega_n t} \end{aligned} \right\} \text{Sum over generated/input spectral components}$$

$$P_i(\omega_n + \omega_m) = \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

## Lecture 3 Formal Definitions

Nonlinear susceptibility of a lossless, dispersionless material.

⇒ ignore nonlinear dispersion

$$\vec{E} = E_x \hat{x} + E_y \hat{y} + E_z \hat{z}$$

$E \Rightarrow$  Real electric field

For each component we need to sum over all frequency components

$$E_x = \sum_{n,m} \frac{1}{2} E^{nm} \exp(-i\omega_n t) \exp(i\vec{k}_n \cdot \vec{r}) + \text{c.c.}$$

Need c.c. since  $E_x$  must be real

Cannot ignore c.c. terms since the susceptibilities lead to products of terms  $\Rightarrow$  new frequency components

Then Get polarization

$$\mathcal{P}_i = \epsilon_0 \chi_{ij}^{(2)} E_j(\omega_n) E_k(\omega_m)$$

This polarization is used as a source in the wave eq

$$\nabla^2 E - \mu_0 \epsilon_0 \epsilon_r \partial_t^2 E = \mu_0 \partial_t^2 \mathcal{P}$$

The susceptibility and  $\mathcal{P}$  are in the frequency domain

To get the time domain, must do a convolution

$$\mathcal{P}_i^{(2)} = \epsilon_0 \iint X_{ijk}^{(2)}(t-t', t-t'') : \mathcal{E}_j(\vec{r}, t') \mathcal{E}_k(\vec{r}, t'')$$

For most cases  $X_{ijk}(t-t') \Rightarrow \delta(t-t')$

Another notation

$$\mathcal{P}_i(\omega_n + \omega_m) = \sum_{jk} \sum_{jk} X_{ijk}(\omega_n + \omega_m; \omega_n, \omega_m) \mathcal{E}_j(\omega_n) \mathcal{E}_k(\omega_m)$$

$$X_{ijk}(\omega_n + \omega_m; \omega_n, \omega_m)$$

↑ result      ↑ input

Nonlinear susceptibility : anharmonic oscillator

Restoring force  $\Rightarrow$  Beyond Hooke's Law

Two types of media

Noncentrosymmetric  $\Rightarrow$  Lacks inversion symmetry

Centrosymmetric  $\Rightarrow$  Inversion center as symmetry

Lacks Inversion symmetry  $\Rightarrow$  Special properties

Inversion symmetry/center  $\Rightarrow$  reflection about point brings compound back to itself

Crystal KDP  $\Rightarrow$  Noncentrosymmetric (lacks inversion symmetry)  
Fused silica  $\text{SiO}_2 \Rightarrow$  Centrosymmetric since it is a symmetric molecule.

\*  $\left\{ \begin{array}{l} \text{Media that lack inversion symmetry have} \\ \text{non zero } \chi^{(2n)} \quad (\text{even orders}) \end{array} \right. *$

Noncentrosymmetric materials

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2 x + \alpha x^2 = -eE(t)/m$$

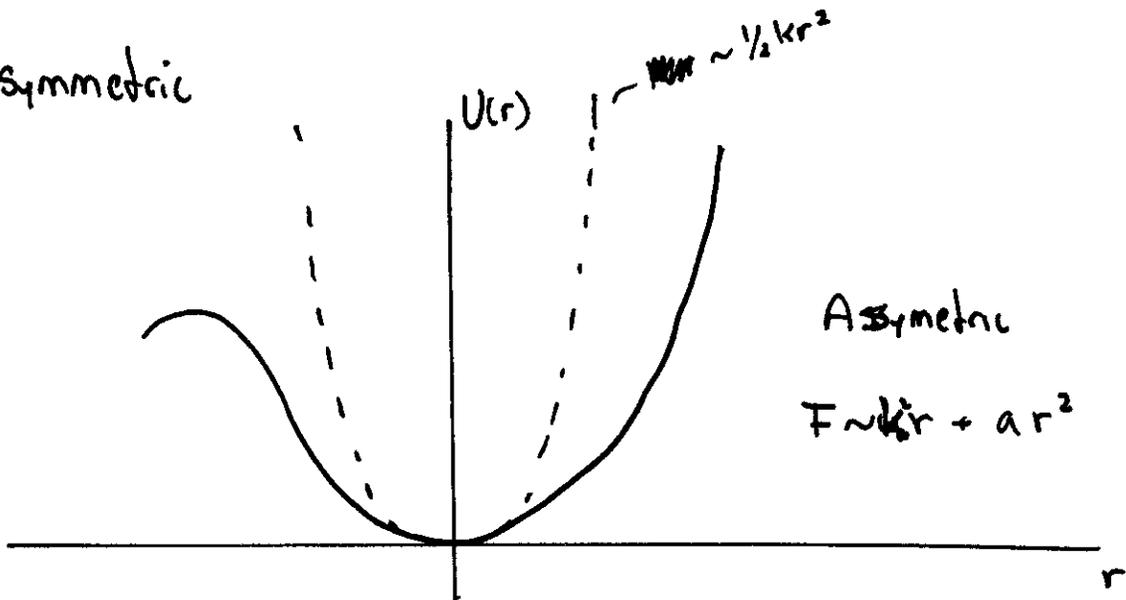
Restoring force  $\vec{F} = -m\omega_0^2 x - \alpha x^2$

How to solve this eq  $\Rightarrow$  method of successive approximations

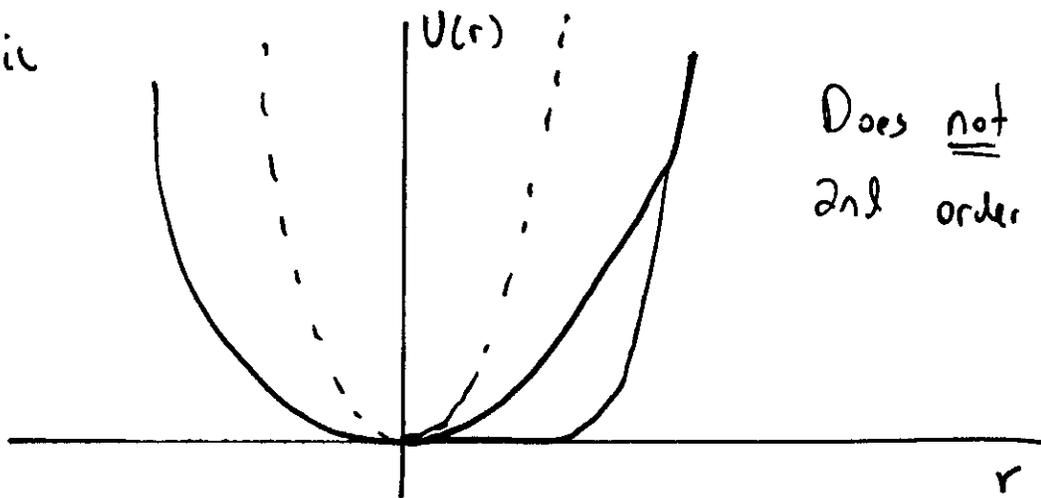
Perturbative series

Non centrosymmetric

Has 2nd order terms



Centrosymmetric



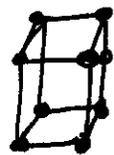
Does not have 2nd order terms

Symmetric

KDP Non centrosymmetric

fused silica Centrosymmetric

{ tetragonal  
crystal group



{ Amorphous  
no crystal symmetry  
Symmetric

Solve for case without driving force

Example

$$\ddot{X} + \omega_0^2 X^2 = -\alpha X^2 - \beta X^3$$

Solution

$$X = X_0 + X_1 + X_2 + \dots$$

$$\omega = \omega_0 + \omega_1 + \omega_2 + \dots$$

$$X_0 = a \cos(\omega t)$$

$$\begin{aligned} \text{Sub in DE } X(t) &= X_1 + X_0 = X_1 + a \cos(\omega t) \\ &= X_1 + a \cos((\omega_0 + \omega_1)t) \end{aligned}$$

$$\dot{X}(t) = \dot{X}_1 - a \sin((\omega_0 + \omega_1)t) (\omega_0 + \omega_1)$$

$$\ddot{X}(t) = \ddot{X}_1 - a (\omega_0 + \omega_1)^2 \cos((\omega_0 + \omega_1)t)$$

$$\ddot{X}_1 + \ddot{X}_0 + \omega_0^2 (X_1 + X_0) = -\alpha (X_1 + X_0)^2 - \beta (X_1 + X_0)^3$$

$$(\ddot{X}_1 - a \omega^2 \cos(\omega t) + \omega_0^2 X_1) + \omega_0^2 a \cos \omega t = -\alpha (X_1 + X_0)^2 - \beta (X_1 + X_0)^3$$

$$\ddot{X}_1 - a (\omega_1 + \omega_0)^2 \cos(\omega t) + \omega_0^2 X_1 + \omega_0^2 a \cos(\omega t) = \dots$$

$$\ddot{X}_1 - a \omega_1^2 \overset{\text{small}}{\cos(\omega t)} - a \omega_0^2 \cancel{\cos(\omega t)} - 2\omega_0 \omega_1 \cos(\omega t) + \omega_0^2 X_1 + \omega_0^2 \cancel{\cos \omega t} = \dots$$

$$\ddot{X}_1 + \omega_0^2 X_1 \approx 2a \omega_1 \omega_0 \cos \omega t - \alpha a^2 \cos^2(\omega t)$$

$\omega_1 \approx 0$  no resonant term with  $\omega$

~~Then  $X = X_0 + X_1 + X_2$   
 $\omega = \omega_0 + \omega_1 + \omega_2$  Solve for  $X_2$~~

Solve for  $\omega_1 + X_1$

$$\omega_1 = 0$$
$$X_1 = \frac{\alpha a^2}{2\omega_0^2} + \frac{\alpha a^2}{6\omega_0^2} \cos(2\omega t)$$

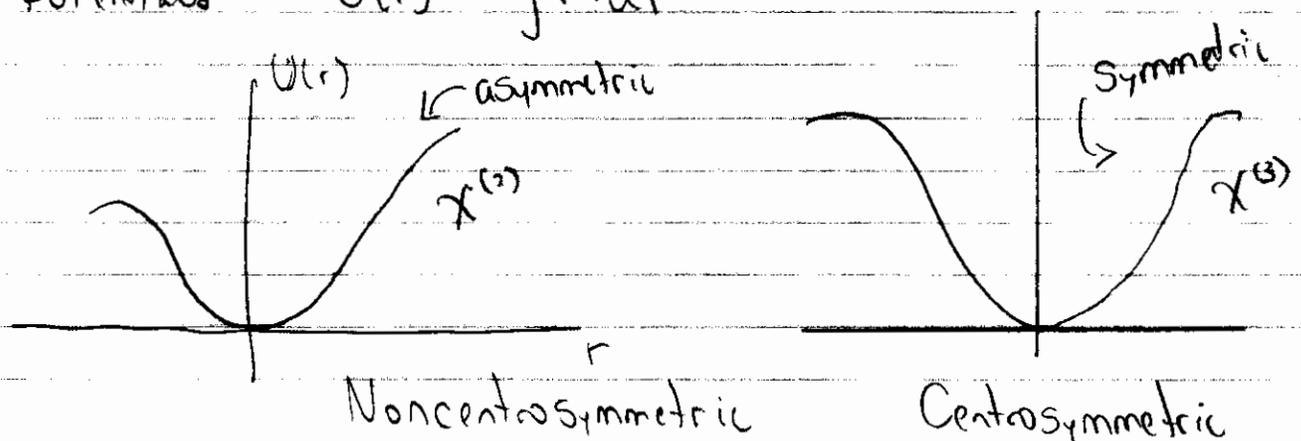
Next Solution  $X = X_0 + X_1 + X_2$

$$\omega_0 = \omega_0 + 0 + \omega_2$$

$$\omega_2 = \frac{3\gamma}{8\omega_0} - \frac{5\alpha^2}{12\omega_0^2} a^2$$
$$X_2 = \frac{a^3}{16\omega_0} \left( \frac{\alpha^2}{3\omega_0^2} - \frac{1}{2}\beta \right) \cos(3\omega t)$$

$\Rightarrow$  Notice if  $\alpha=0$  then no  $2\omega$  term!  
 $\alpha=0 \Rightarrow$  Centrosymmetric medium

Potentials  $U(r) = -\int \vec{F} \cdot d\vec{r}$



Look at solving (with a driving force)

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2 x + ax^2 = -eE(t)/m$$

Form of Electric field

$$E(t) = (E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t}) + \text{c.c.}$$

Use perturbative solution

$$\text{Replace } E(t) \rightarrow \lambda E(t)$$

Solution in power series expansion

$$x(t) = \lambda x^{(1)} + \lambda^2 x^{(2)} + \lambda^3 x^{(3)}$$

Terms of  $\lambda^n$  satisfy sides of equation

$$\dot{x}(t) = \lambda \dot{x}^{(1)} + \lambda^2 \dot{x}^{(2)} + \lambda^3 \dot{x}^{(3)}$$

$$\ddot{x}(t) = \lambda \ddot{x}^{(1)} + \lambda^2 \ddot{x}^{(2)} + \lambda^3 \ddot{x}^{(3)}$$

Sub into DE

$$\text{Lorentz model} \Rightarrow \lambda \left[ \ddot{x}^{(1)} + 2\gamma\dot{x}^{(1)} + \omega_0^2 x^{(1)} \right] = -eE(t)/m \quad \lambda \quad (1)$$

$$\lambda^2 \left[ \ddot{x}^{(2)} + 2\gamma\dot{x}^{(2)} + \omega_0^2 x^{(2)} + a(x^{(1)})^2 \right] = 0 \lambda^2 \quad (2)$$

$$\lambda^3 \left[ \ddot{x}^{(3)} + 2\gamma\dot{x}^{(3)} + \omega_0^2 x^{(3)} + 2ax^{(1)}x^{(2)} \right] = 0 \lambda^3 \quad (3)$$

Steady state solution for  $x^{(1)}$

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2 x = \frac{-e}{m} \left( E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} \right)$$

Steady state solution  $\Rightarrow$  ignore transient solution

$$x^{(ss)}(t) = \frac{-e E_1}{m D(\omega_1)} e^{-i\omega_1 t} + \frac{-e E_2}{m D(\omega_2)} e^{-i\omega_2 t} + \text{C.c.}$$

where  $D(\omega_j) = \omega_0^2 - \omega_j^2 - 2i\omega_j\gamma$  (Eq 1.4.8 Wrong?)

Square  $x^{(ss)}$  and substitute into (2)

The square contains terms

$$\left\{ \begin{array}{l} \pm 2\omega_1, \pm 2\omega_2, \pm(\omega_1 + \omega_2), \pm(\omega_1 - \omega_2) \\ \text{and } 0 \end{array} \right.$$

$$\left( x^{(ss)}(t) \right)^2 = \frac{e^2}{m^2} \left[ \frac{E_1}{(\omega_0^2 - \omega_1^2 - 2i\omega_1\gamma)} e^{-i\omega_1 t} + \frac{E_2}{(\omega_0^2 - \omega_2^2 - 2i\omega_2\gamma)} e^{-i\omega_2 t} + \frac{E_1}{(\omega_0^2 - \omega_1^2 + 2i\omega_1\gamma)} e^{+i\omega_1 t} + \frac{E_2}{(\omega_0^2 - \omega_2^2 + 2i\omega_2\gamma)} e^{+i\omega_2 t} \right]^2$$

$$= \frac{e^2}{m^2} \left[ \frac{E_1^2}{(\omega_0^2 - \omega_1^2 - 2i\omega_1\gamma)^2} e^{-i2\omega_1 t} + \frac{E_2^2}{(\omega_0^2 - \omega_2^2 - 2i\omega_2\gamma)^2} e^{-i2\omega_2 t} \right.$$

$$+ \frac{E_1^2}{(\omega_0^2 - \omega_1^2 + 2i\omega_1\gamma)^2} e^{i2\omega_1 t} + \frac{E_2^2}{(\omega_0^2 - \omega_2^2 + 2i\omega_2\gamma)^2} + \frac{E_1 E_2}{(\quad)(\quad)} e^{-i(\omega_1 + \omega_2)t}$$

$$+ \frac{E_1^2}{(\quad)^2} e^0 + \dots$$

## Solve for Linear Case : Lorentz model

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2 x = \frac{-e}{m} \mathcal{E}(t)$$

$$\mathcal{E}(t) = E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + E_1^* e^{+i\omega_1 t} + E_2^* e^{+i\omega_2 t}$$

Say  $\bullet$   $x \sim e^{i\omega_1 t} + e^{i\omega_2 t}$

For one frequency  $\omega_1$

$$x(t) (\omega_1^2 - \omega_0^2 - 2i\omega_1\gamma) = -e/m \mathcal{E}$$

So  $x(t) = \frac{-e/m}{(\omega_0^2 - \omega_1^2 - 2i\omega_1\gamma)} e^{i\omega_1 t}$

For two frequencies  $\omega_1 + \omega_2$

$$x^{(0)}(t) = x^{(0)}(\omega_1) e^{-i\omega_1 t} + x^{(0)}(\omega_2) e^{-i\omega_2 t} + \text{c.c.}$$

Where  $x^{(0)}(\omega_j) = \frac{-e/m E_j}{D(\omega_j)}$

$$D(\omega_j) \equiv \omega_0^2 - \omega_j^2 - 2i\omega_j\gamma$$

Look at  $\pm 2\omega_1$  term

$$\ddot{x}^{(2)} + \gamma \dot{x}^{(2)} + \omega_0^2 x^{(2)} = \frac{aeE_1^2/m^2}{D^2(\omega_1)} e^{-2i\omega_1 t}$$

Look for solution

$$x^{(2)}(t) = x^{(2)}(2\omega_1) e^{-2i\omega_1 t}$$

Find

$$x^{(2)}(2\omega_1) = \frac{-a(e/m)^2 E_1^2}{D(2\omega_1) D^2(\omega_1)}$$

Now Find  $x^{(2)}$

$$P^{(1)}(\omega_j) = \epsilon_0 X^{(1)}(\omega_j) E(\omega_j) \quad \left\{ \begin{array}{l} x^{(1)} = \frac{P}{-Ne} \\ x = \frac{P}{\epsilon_0 E} \end{array} \right.$$

From Before  $P^{(1)}(\omega_j) = -Ne x^{(1)}(\omega_j)$

So

$$x^{(1)}(\omega_j) = \frac{Ne^2/m\epsilon_0}{D(\omega_j)}$$

Solve for  $x^{(2)}$

For  $x^{(2)}$

$$P^{(2)}(2\omega_1) = X^{(2)}(2\omega_1, \omega_1, \omega_1) E^2(\omega_1)$$

$$P^{(2)}(2\omega_1) = -Ne x^{(2)}(2\omega_1)$$

So

$$x^{(2)}(2\omega_1, \omega_1, \omega_1) = \frac{N(e^3/m)a}{D(2\omega_1) D^2(\omega_1)}$$

or in terms of  $x^{(1)}$

$$x^{(2)} = \frac{ma}{N^2 e^3} x^{(1)}(2\omega_1) (x^{(1)}(\omega_1))^2$$

# Centro symmetric materials

$$F = -m\omega_0^2 x + mbx^3$$

Symmetric potential

Find  $\chi^{(2)}$  +  $\chi^{(3)}$  using same procedure

$$\mathbb{E}(t) = \mathbb{E}_1 e^{-i\omega_1 t} + \mathbb{E}_2 e^{-i\omega_2 t} + \mathbb{E}_3 e^{-i\omega_3 t} + c.c.$$

Find  $r^{(2)} = 0$  so  $\chi^{(2)} = 0$

damped eq

$$\begin{aligned} \ddot{r}^{(1)} + 2\gamma \dot{r}^{(1)} + \omega_0^2 r^{(1)} &= -e \mathbb{E}(t) / m \\ \ddot{r}^{(2)} + 2\gamma \dot{r}^{(2)} + \omega_0^2 r^{(2)} &= 0 \iff \text{no driving term} \\ \ddot{r}^{(3)} + 2\gamma \dot{r}^{(3)} + \omega_0^2 r^{(3)} - b (\bar{r}^{(1)} \bar{r}^{(1)}) \bar{r}^{(1)} &= 0 \end{aligned}$$

$$r^{(3)}(\omega_p) = - \sum_{(mnp)} \frac{be^3 (\bar{\mathbb{E}}(\omega_m) \bar{\mathbb{E}}(\omega_n) \mathbb{E}(\omega_p))}{m^3 D(\omega_p) D(\omega_m) D(\omega_n) D(\omega_p)}$$

Find  $\chi^{(3)}$  where

$$P_i^{(3)}(\omega_p) = \sum_{jkl} \sum_{(mnp)} \chi_{ijkl}^{(3)}(\omega_p, \omega_m, \omega_n, \omega_p) \bar{\mathbb{E}}_j(\omega_m) \bar{\mathbb{E}}_k(\omega_n) \mathbb{E}_l(\omega_p)$$

So

$$\chi_{ijkl}^{(3)}(\omega_p, \omega_m, \omega_n, \omega_p) = \frac{Nb^3 e^4 S_{jk} S_{ie}}{m^3 D(\omega_p) D(\omega_m) D(\omega_n) D(\omega_p)}$$

## Complications of Nonlinear optics

Full expansion of terms for  $\chi^{(2)}$

Wave Equation

$$\nabla^2 \vec{E} - \mu_0 \epsilon_0 n^2 \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}_{NL}$$

Source term

$$\vec{P}_{NL} = \epsilon_0 \chi^{(2)} : \vec{E} \vec{E}$$

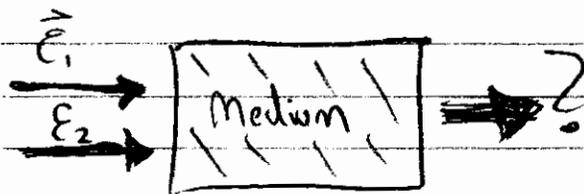
Find new electric field generated by  $\chi^{(2)}$  medium

Procedure

- 1) Find total input  $\vec{E}(t)$
- 2) Determine nonlinear polarization

$$\vec{P} = \epsilon_0 \chi^{(2)} \vec{E}$$

- 3) Find new electric fields from wave equation



$$\vec{E}(t) = \sum_n \vec{E}(w_n) e^{-i w_n t} = \sum_n A(w_n) e^{i(\vec{k}_n \cdot \vec{r} - w_n t)}$$

↑ complex amplitude

$$\vec{P}(t) = \sum_n \vec{P}(w_n) e^{-i w_n t}$$

$$\vec{E}(t) = E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + E_1^* e^{+i\omega_1 t} + E_2^* e^{+i\omega_2 t}$$

$$= E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + \text{c.c.}$$

$$\vec{P}(t) = \chi^{(2)} \vec{E}(t) \vec{E}(t)$$

$$\vec{P}(t) = \chi^{(2)} \left[ E_1 e^{-i\omega_1 t} + E_2 e^{-i\omega_2 t} + E_1^* e^{+i\omega_1 t} + E_2^* e^{+i\omega_2 t} \right]^2$$

↑ not exactly true since this will be different

Resultant terms  $\Rightarrow$  Eight

$$\vec{P}(t) = \sum \vec{P}(\omega_n) e^{-i\omega_n t}$$

$$P(2\omega_1) = \chi^{(2)} E_1^2 \quad (\text{SHG})$$

$$P(2\omega_2) = \chi^{(2)} E_2^2$$

$$P(\omega_1 + \omega_2) = 2\chi^{(2)} E_1 E_2 \quad (\text{SFG})$$

$$P(\omega_1 - \omega_2) = 2\chi^{(2)} E_1 E_2^* \quad (\text{DFG})$$

$$P(0) = 2\chi^{(2)} (E_1 E_1^* + E_2 E_2^*) \quad (\text{OR})$$

Four different non zero frequency components

$(2\omega_1, 2\omega_2, \omega_1 + \omega_2, \omega_1 - \omega_2)$

$$P(-2\omega_1) = \chi^{(2)} E_1^{*2}$$

$$P(-2\omega_2) = \chi^{(2)} E_2^{*2}$$

$$P(-\omega_1 - \omega_2) = 2\chi^{(2)} E_1^* E_2^*$$

$$P(\omega_2 - \omega_1) = 2\chi^{(2)} E_2 E_1^*$$

These Polarizations will induce a new electric field!

Similar process for  $\chi^{(3)} \Rightarrow 44$  different frequency components!!

Formal Definitions: Example in  $\chi^{(2)}$

Being a bit sloppy here, should write the polarization for  $\chi^{(2)}$

$$P_i(\omega_n + \omega_m) = \epsilon_0 \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

Example

Sum frequency generation

$$P_i(\omega_3) = \epsilon_0 \sum_{jk} \left[ \chi_{ijk}^{(2)}(\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2) \right.$$

$$\left. + \chi_{ijk}^{(2)}(\omega_3; \omega_2, \omega_1) E_j(\omega_2) E_k(\omega_1) \right]$$

Symmetries require that

$$\chi_{ijk}^{(2)}(\omega_m + \omega_n; \omega_m, \omega_n) = \chi_{ijk}^{(2)}(\omega_m + \omega_n; \omega_n, \omega_m)$$

So

$$P_i(\omega_3) = 2\epsilon_0 \sum_{jk} \chi_{ijk}^{(2)}(\omega_3; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

If inputs are polarized along  $\hat{x}$

$$P_i(\omega_3) = \epsilon_0 2 \chi_{ixx}(\omega_3; \omega_1, \omega_2) E_x(\omega_1) E_x(\omega_2)$$

## Lecture 5

# Properties of the nonlinear susceptibility

Cover: 2nd order process

Symmetries to reduce complexity of  $\chi^{(2)}$

Voigt notation

Consider a 2nd order process

$$P_i(\omega_n + \omega_m; \omega_n, \omega_m) = \epsilon_0 \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

Mutual interaction of three waves at  $\omega_1, \omega_2 + \omega_3$

For different combinations we will need to know tensors

$$\chi_{ijk}(\omega_1; \omega_3, -\omega_2) \quad \chi_{ijk}(\omega_1, -\omega_2, \omega_3)$$

*etc!*

$$\chi_{ijk}(\omega_2; \omega_3, -\omega_1) \quad \chi_{ijk}(\omega_2, -\omega_1, \omega_3)$$

$$\chi_{ijk}(\omega_3; \omega_1, \omega_2) \quad \chi_{ijk}(\omega_3; \omega_2, \omega_1)$$

Another six

$$\chi_{ijk}(-\omega_1, -\omega_3, \omega_2) \quad \text{etc. . . .}$$

# Picture of Nonlinear process

$$\chi^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m)$$

Specifically

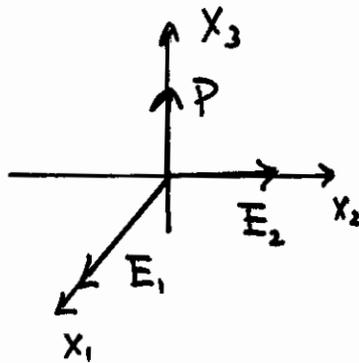
$$\omega_1, \omega_2 \Rightarrow \omega_3 = \omega_1 + \omega_2$$

$$\omega_1 = \omega_3 - \omega_2$$

$$\omega_2 = \omega_3 - \omega_1$$

$$P_i(\omega_n + \omega_m) = \sum_{jk} \sum_{(nm)} \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) E_j(\omega_n) E_k(\omega_m)$$

One case, might look like:



$$E_1 \sim e^{-i\omega_1 t}$$

$$E_2 \sim e^{-i\omega_2 t}$$

of course there are many more possible situations

The number of tensors to describe all interactions

$$3! \times 2 = 12 \text{ tensors}$$

$$\times 27 \text{ component}$$

$$\underline{324} \text{ complex numbers!}$$

~~$$\chi_{ijk}^{(2)}(\pm \omega_1, \pm \omega_1, \pm \omega_2)$$

$$\chi_{ijk}^{(2)}(\pm \omega_1, \pm \omega_1, \pm \omega_3)$$

$$\chi_{ijk}^{(2)}(\pm \omega_3, \pm \omega_2, \pm \omega_1)$$~~

$\chi^{(3)} \Rightarrow$  Four fields

$$\chi^{(3)}(\omega_n; \omega_1, \omega_2, \omega_3)$$

$$4! \times 2 = 24$$

$$\underline{81}$$

1944 Complex numbers

# Review of Symmetries

1) Reality of Fields  $\bar{P} = P_i(\omega_n + \omega_m) e^{-j(\omega_n + \omega_m)t} + P_i(-\omega_n - \omega_m) e^{+j(\omega_n + \omega_m)t}$

$$\chi_{ijk}^{(2)}(-\omega_n - \omega_m; -\omega_n, -\omega_m) = \left( \chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) \right)^*$$

since negative + positive frequency components of  $P$

$$P_i(-\omega_n - \omega_m) = P_i(\omega_n + \omega_m)^*$$

2) Intrinsic permutation symmetry (matter of convenience) "forced" symmetry

Require the susceptibility to be unchanged by simultaneous interchange of last two frequency arguments.

$$\chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m) = \chi_{ikj}^{(2)}(\omega_n + \omega_m; \omega_m, \omega_n)$$

3) Lossless Media

Far from resonance  $\chi_{ijk}^{(2)}(\omega_n + \omega_m; \omega_n, \omega_m)$  is REAL!

4) Full Permutation Symmetry (Lossless media)

All frequency components of nonlinear susceptibility can be changed as long as corresponding Cartesian indices are changed simultaneously.

$$\chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) = \chi_{jki}^{(2)}(-\omega_1 = \omega_2 - \omega_3) \begin{cases} i \rightarrow \omega_3 \\ j \rightarrow \omega_1 \\ k \rightarrow \omega_2 \end{cases}$$

Due reality of fields

$$\chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) = \chi_{ijk}^{(2)}$$

$$\chi_{jki}^{(2)}(-\omega_1 = \omega_2 - \omega_3) = \chi_{jki}^{(2)}(\omega_1 = -\omega_2 + \omega_3)^*$$

which due to the reality of  $\chi^{(2)}$  is equal to  $\chi_{jki}^{(2)}(\omega_1 = -\omega_2 + \omega_3)$

$$\text{So } \Rightarrow \chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) = \chi_{jki}^{(2)}(\omega_1 = -\omega_2 + \omega_3)$$

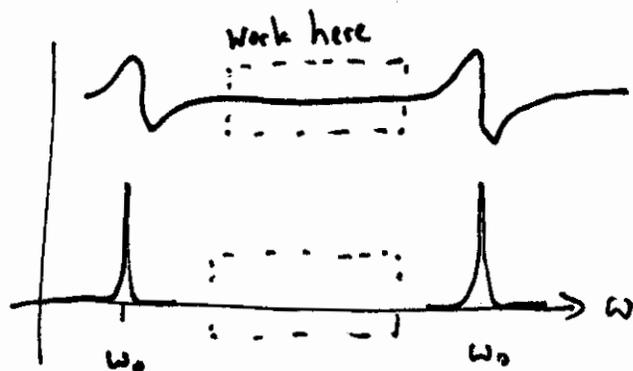
## 5) Kleinman Symmetry

Assume: 1) frequencies are smaller than resonant  
 $\omega < \omega_0$

$\Rightarrow \chi^{(2)}$  is frequency independent

Just like for  $\chi'$

- index monotonically increases
- absorption is small



$\Rightarrow$  Response of  $\chi^{(2)}$  is nearly "instantaneous"

$$\vec{P}(t) = \epsilon_0 \chi^{(2)} \mathcal{E}^2(t)$$

instead of

$$\vec{P}(t) = \epsilon_0 \iint \chi^{(2)}(t-t', t-t'') \mathcal{E}(t-t') \mathcal{E}(t-t'') dt'$$

## More on Kleinman Symmetry

Material is lossless  $\Rightarrow$  Full permutation symmetry

Implies indices can be permuted as long as frequencies are permuted.

Example 
$$\chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) = \chi_{jki}^{(2)}(\omega_1 = -\omega_2 + \omega_3)$$
$$= \chi_{kij}^{(2)}(\omega_2 = \omega_3 - \omega_1) \quad \left\{ \begin{array}{l} i \rightarrow \omega_3 \\ j \rightarrow \omega_1 \\ k \rightarrow \omega_2 \end{array} \right.$$

Assume  $\chi^{(2)}$  does not depend on frequency

$\Rightarrow$  permute indices without permuting frequencies

So 
$$\chi_{ijk}^{(2)}(\omega_3 = \omega_1 + \omega_2) = \chi_{jki}(\omega_3 = \omega_1 + \omega_2)$$
$$= \chi_{kij}(\omega_3 = \omega_1 + \omega_2)$$
$$\vdots$$

Ignore nonlinear dispersion

Contracted Notation: Voigt notation

$$d_{ijk} \equiv \frac{1}{2} \chi_{ijk}^{(2)}$$

Useful for Kleinman symmetry  $\Rightarrow$  Symmetric in last two indices  
 • symmetric where  $\omega_n = \omega_m$

(SHG)

$jk :$	11	22	33	23, 32	31, 13	12, 21
$l :$	1	2	3	4	5	6

Susceptibility is a  $3 \times 6$  matrix

$d_{il}$

Kleinman symmetry any indices  $d_{ijk}$  can be freely permuted

$$d_{12} = d_{132} = d_{212} = d_{26}$$

$$d_{14} = d_{123} = d_{213} = d_{25}$$

Relate back to nonlinear polarization

$$\begin{pmatrix} P_x(2\omega) \\ P_y(2\omega) \\ P_z(2\omega) \end{pmatrix} = 2 \begin{bmatrix} & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \end{bmatrix} d_{il} \begin{bmatrix} E_x^2(\omega) \\ E_y^2(\omega) \\ E_z^2(\omega) \\ 2E_y E_z \\ 2E_x E_z \\ 2E_x E_y \end{bmatrix}$$

d<sub>eff</sub>

Fixed geometry → polarization  
→ propagation direction

SHG

$$P(2\omega) = 2d_{\text{eff}} E^2(\omega)$$

Number of Independent Elements for  $\chi_{ijk}^{(2)}(\omega_3, \omega_2, \omega_1)$

324 complex quantities

- Reality of field  $\Rightarrow$  1/2 are independent
- Intrinsic Permutation Symmetry  $\Rightarrow$  81 Independent
- Lossless medium  $\Rightarrow$  All real
- Full permutation  $\Rightarrow$  27 Independent
- SHG + vort notation  $\Rightarrow$  18 Independent
- Kleinman symmetry  $\Rightarrow$  10 Independent
- Crystalline Symmetry  $\Rightarrow$  Further reduction

# Lecture 6

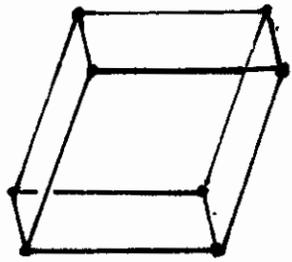
# Crystal Structure & Nonlinear Optics

- Crystal Symmetry further reduces the number of independent elements of  $\chi_{ijk}^{(2)}$

32 crystal classes  
7 crystal systems

## Quartz

Trigonal 32 ( $D_3$ )  
Positive Uniaxial



Linear Properties

$$\chi^{(1)} \Rightarrow \begin{pmatrix} x & 0 & 0 \\ 0 & x & 0 \\ 0 & 0 & z \end{pmatrix}$$

Nonlinear properties

$$\begin{aligned} xxx &= -xyy = -yyx = -yxy \\ xyx &= -yxz \\ xzy &= -yzx \\ zxy &= -zyx \end{aligned}$$

Everything else zero

Use Kleinman Symmetry

$$\begin{aligned} xxx &\Rightarrow 11 & xyx &\Rightarrow 22 & yyx &\Rightarrow 26 \\ xyx &\Rightarrow 14 & yxz &\Rightarrow 25 \\ xzy &\Rightarrow 14 & -yzx &\Rightarrow 25 \\ zxy &\Rightarrow 36 & & & & 3 \end{aligned}$$

So

$$d_{11} = -d_{12} = -d_{26}$$

$$d_{14} = -d_{25}$$

# Categories of birefringent crystals

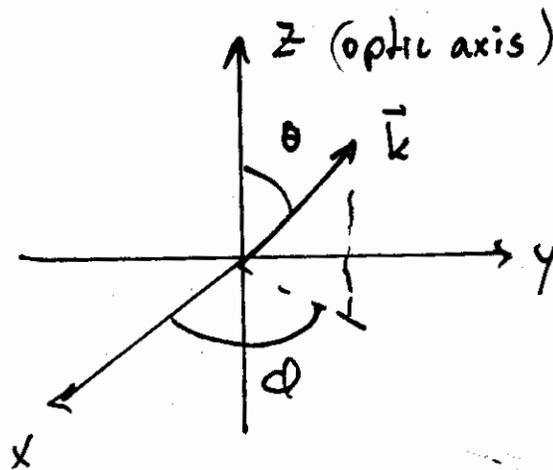
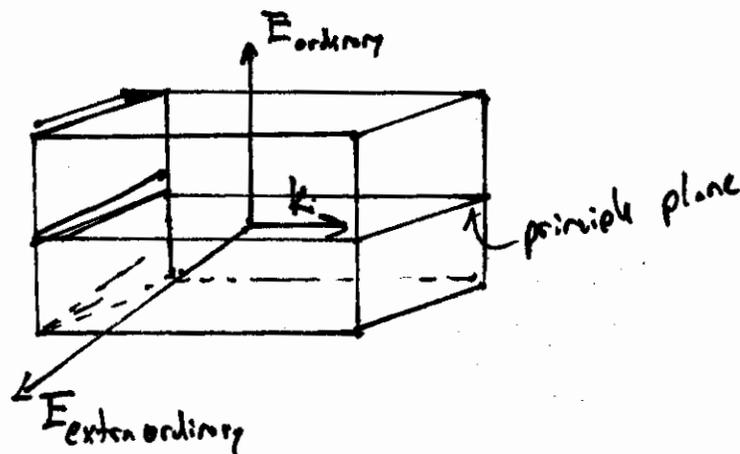
## Uniaxial

one optic axis  $\Rightarrow$  the orientation where there is no birefringence

plane containing  $\vec{k}$  + optic axis  $\Rightarrow$  principle plane

Ordinary beam  $\Rightarrow$  polarization  $\perp$  to principle plane

extraordinary beam  $\Rightarrow$  polarization  $\parallel$  to principle plane

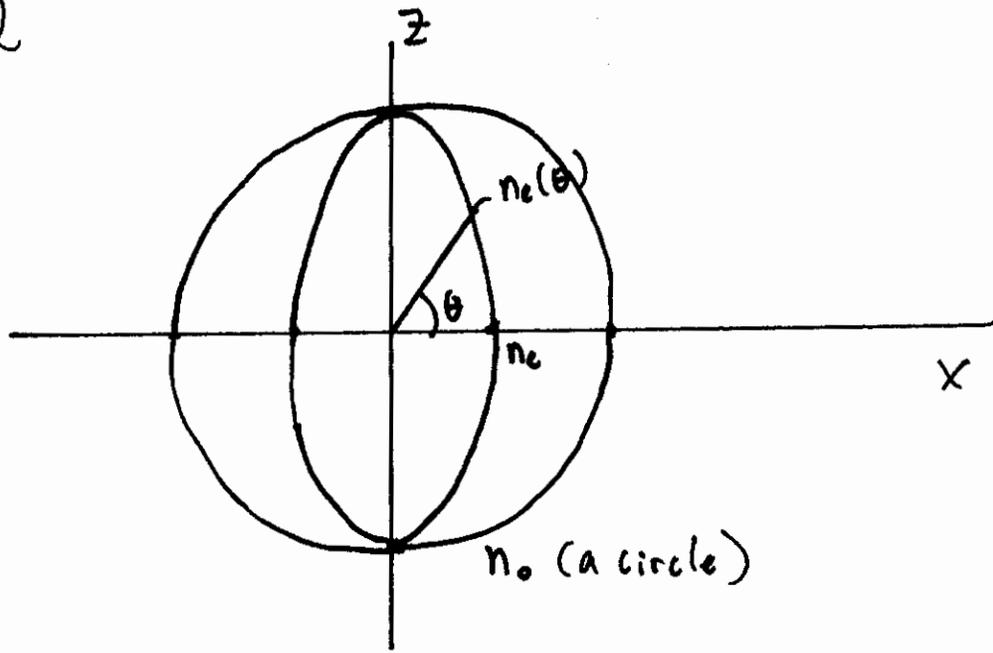


Draw index ellipsoids

$n_o < n_e$  positive uniaxial

$n_o > n_e$  negative uniaxial

$n_o > n_e$   
negative uniaxial



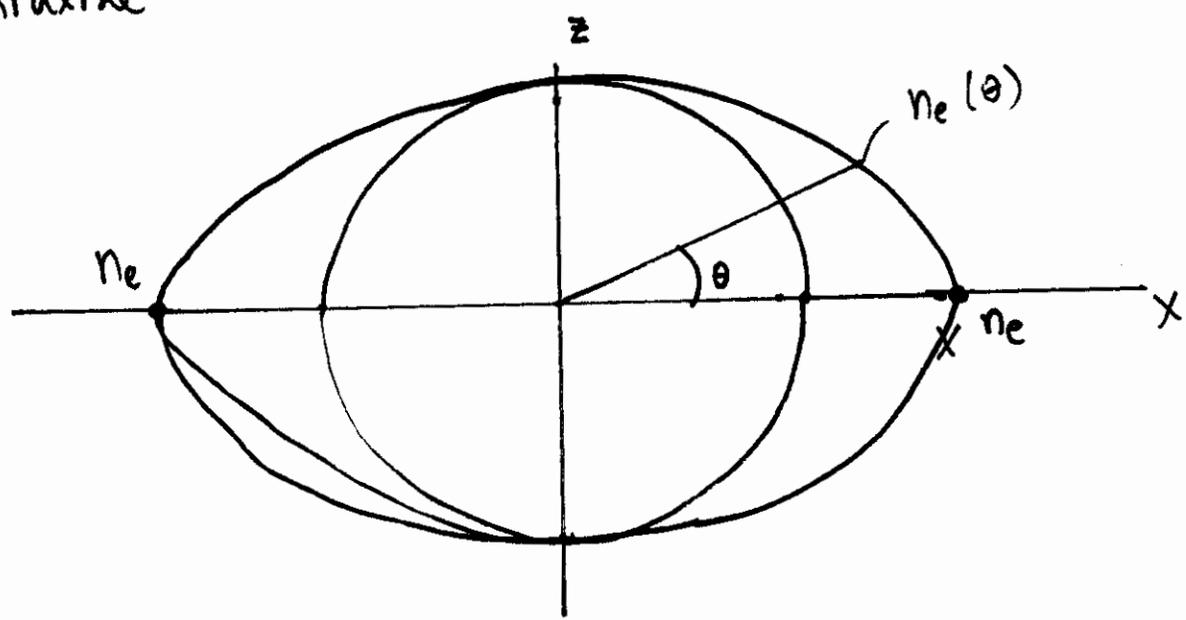
$n_o$  does not depend on  $\theta$

$$\frac{1}{n_e^2(\theta)} = \frac{\sin^2 \theta}{n_e^2} + \frac{\cos^2 \theta}{n_o^2}$$

OR

$$n_e(\theta) = n_o \left[ \frac{1 + \tan^2 \theta}{1 + (n_o/n_e)^2 \tan^2 \theta} \right]^{1/2}$$

$n_o < n_e$   
positive uniaxial



Extraordinary + Ordinary indices

$$\frac{1}{n_e^2(\theta)} = \frac{\sin^2 \theta}{n_e^2} + \frac{\cos^2 \theta}{n_o^2}$$

$$n_o(\theta) = n_o$$

$$n_e(\theta) = n_o$$

$$n_e(90^\circ) = n_e$$

$$\Delta n(0) = 0$$

$$\Delta n(90) = n_e - n_o$$



Pictorial

Lithium Niobate (Elvis)

Class  $3m$  (Trigonal)

Uniaxial crystal



KDP

Class  $\bar{4}2m$  (Tetragonal)

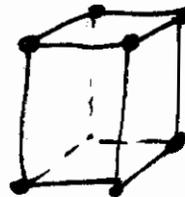
Uniaxial Crystal



~~Lithium Niobate~~ Potassium Niobate

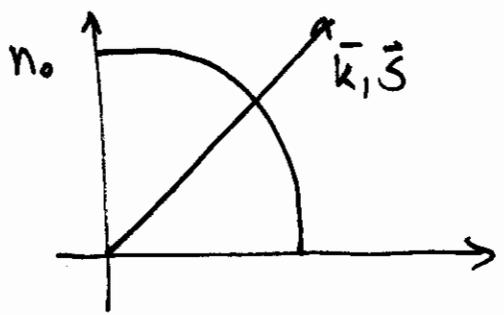
Class ~~mm2~~  $mm2$  (orthorhombic)

Biaxial Crystal

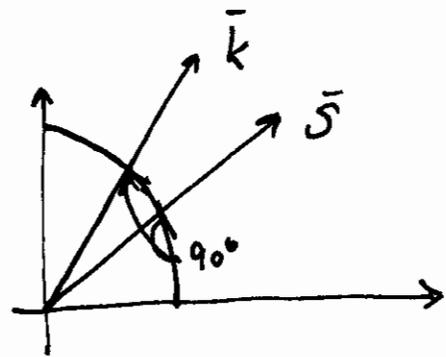


# Walk off in ~~Birefringent~~ Uniaxial Crystals

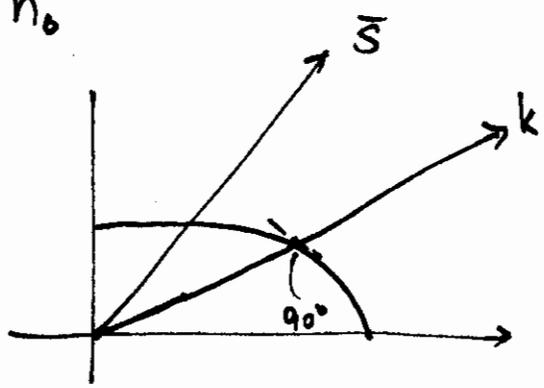
The direction of  $\vec{k}$  does not correspond to  $\vec{S}$  in the crystal



$n_o > n_e$

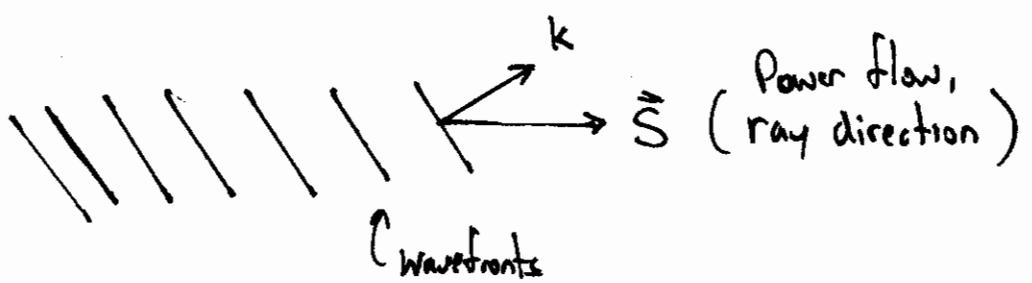


$n_e > n_o$



$\vec{k}$  is  $\perp$  to the surface of  $n_e(\theta)$   
 $\vec{S}$  does not need to be  $\perp$

What does a wave look like where  $\vec{S} \neq \vec{k}$ !?



In the crystal the ordinary + extra ordinary waves separate or walk off

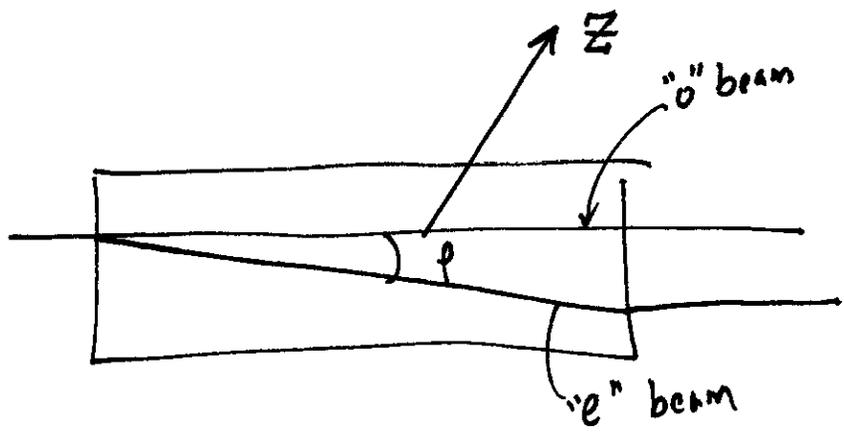
\*  $k$  is  $\perp$  to wavefronts \*

Walk off angle

$$p = \pm \tan^{-1} \left( \left( \frac{n_o^2}{n_e^2} \right) \tan \theta \right) \mp \theta$$

( -  $n_{e3}$   
 +  $n_{o3}$  )

Walk off



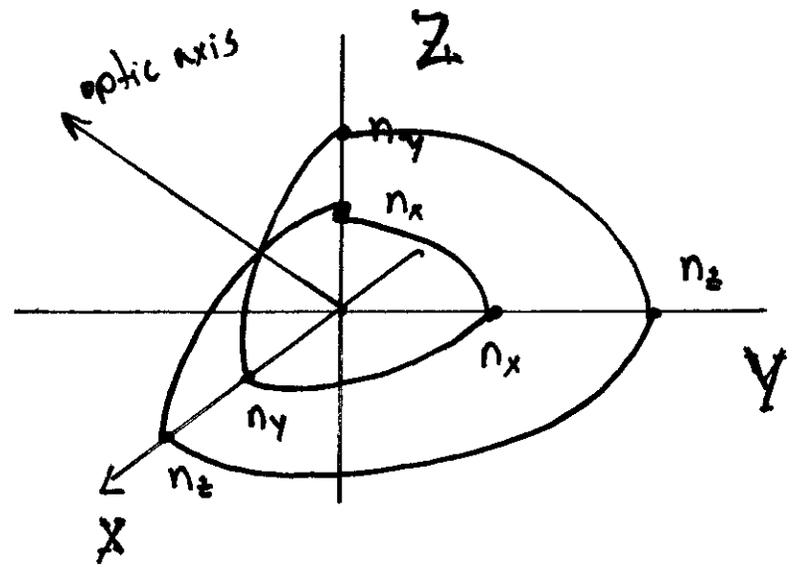
~~Bifacial~~

Biaxial Crystals

Three separate indices  $n_x$   $n_y$   $n_z$

Index surfaces

Principle planes  $XY$   $YZ$   $XZ$



~~These will be two~~

Treat in plane  $\Rightarrow$  polarization as circle + ellipse

# Lecture 7

## 2nd order nonlinear effects

- Nonlinear polarization has higher frequency components
- These higher frequency components are source for new electric field at these frequencies.

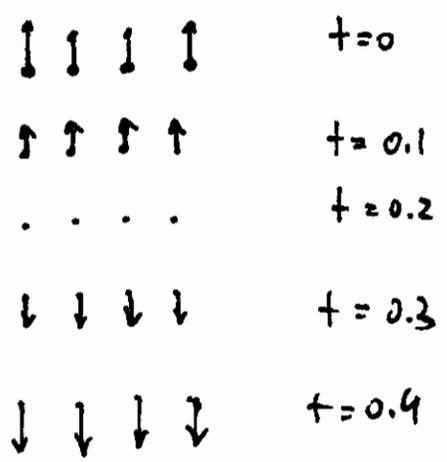
For efficient nonlinear wave ~~generation~~ generation the process must be phase matched

Consider N dipoles per unit volume

If relative phases of dipoles are correct each dipole will add up constructively.

⇒ Phased array of dipoles

Field will be  $N^2$  larger



### Nonlinear Wave equation

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \frac{4\pi}{c^2} \partial_t^2 \vec{P} \quad (\text{Gaussian})$$

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P} \quad (\text{SI})$$

Break up  $\vec{P} = \vec{P}_L + \vec{P}_{NL}$  } Write wave eq in terms of } nonlinear polarization.

Also

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P}$$

Rewrite the wave equation:

$$\vec{\nabla}^2 \vec{E} + \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 (\vec{P}_2 - P_{NL})$$

$$\vec{\nabla}^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} - \mu_0 \partial_t^2 \vec{P}_2 = \mu_0 \partial_t^2 \vec{P}_{NL}$$

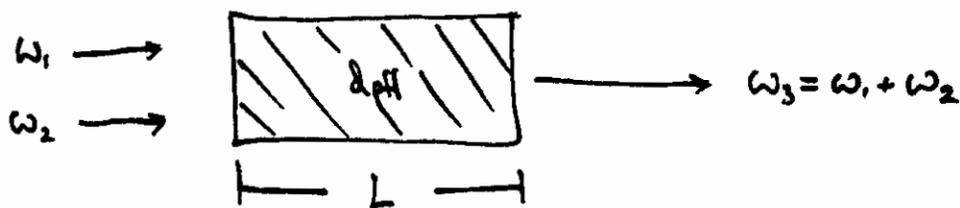
but  $\vec{P}_2 = \epsilon_0 \chi^{(1)} \vec{E}$  and  $c^2 = \frac{1}{\mu_0 \epsilon_0}$

and  $\epsilon^{(1)} = 1 + \chi^{(1)} \Rightarrow$  Linear susceptibility  $\chi^{(1)}$   
 $+ n = \sqrt{\epsilon^{(1)}}$

So

$$\boxed{\vec{\nabla}^2 \vec{E} - \frac{\epsilon^{(1)}}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{P}_{NL}} \quad (\text{SI})$$

### Coupled Wave equations for sum frequency generation



Wish to derive coupled differential equations to describe the field amplitudes for sum-frequency generation over time.

Above wave equation is valid for every frequency component.

For  $\vec{P}_{NL} = 0$

solution

$$\boxed{\vec{E}_3(z, t) = A_3 \exp(i(k_3 z - \omega t)) + c.c.}$$

$A_3 \equiv \text{constant}$

For  $\vec{P}_{NL} \neq 0$  we expect  $\vec{E}_3(z, t)$  to be a slowly varying function of  $z$  for small nonlinear source term.

$$\vec{P}_3 = \bar{P}_3 e^{-i\omega_3 t} + \text{c.c.}$$

where

$$\bar{P}_3 = 4\epsilon_0 d_{\text{eff}} \bar{E}_1 \bar{E}_2$$

$d_{\text{eff}} \equiv$  Effective dielectric parameter  $\Rightarrow$  depends on crystal + phase matching

$d_{ijk} \Rightarrow$  Summed over  $jk$

for fields 1 + 2

$$\vec{E}_i(z, t) = \bar{E}_i e^{-i\omega_i t} + \text{c.c.}$$

$$\bar{E}_i = A_i e^{ik_i z}$$

so

$$\bar{P}_3 = 4\epsilon_0 d_{\text{eff}} A_1 A_2 e^{i(k_1 + k_2)z}$$

Substitute  $\vec{E}_3$ ,  $\bar{P}_3$  +  $P_3$  into the wave equation:

$$\left( \frac{d^2 A_3}{dz^2} + 2ik_3 \frac{dA_3}{dz} - k_3^2 A_3 + \frac{\epsilon''(\omega_3) \omega_3^2 A_3}{c^2} \right) \exp(i k_3 z - i \omega_3 t)$$

$$+ \text{c.c.} = -\mu_0 \epsilon_0 4 d_{\text{eff}} A_1 A_2 \exp(i(k_1 + k_2 - k_3)z)$$

Rewrite expression in  $\omega$  only using  $k_3 = \frac{n_3 \omega_3}{c} + c^2 = \frac{1}{\mu_0 \epsilon_0}$

$$\frac{dA_3}{dz} = \frac{2i \text{doff } \omega_3}{c n_3} A_1 A_2 \exp(i \Delta k z)$$

$$\frac{dA_2}{dz} = \frac{2i \text{doff } \omega_2}{c n_2} A_3 A_1^* \exp(-i \Delta k z) \quad \left( \text{Without pump depletion} \right)$$

$$\frac{dA_1}{dz} = \frac{2i \text{doff } \omega_1}{c n_1} A_3 A_2^* \exp(-i \Delta k z)$$

For  $\Delta k = 0$  find  $A_3$

$$A_3 = \int_0^L \frac{2i \text{doff } \omega_3}{c n_3} A_1 A_2 \exp(i \Delta k z) dz$$

$$= \frac{2i \text{doff } \omega_3}{c n_3} A_1 A_2 L \left( \frac{\exp(i \Delta k L) - 1}{i \Delta k} \right)^2$$

$$= " " L^2 \left( \frac{\sin^2(\Delta k L)}{(\Delta k L)^2} \right)$$

Use  
 $e^x = \cos x + i \sin x$   
 to express bracketed quantity<sup>2</sup> as  
 $\frac{\sin(\Delta k L)}{\Delta k L} \equiv \text{sinc}(\Delta k L)$

Find the intensity

$$I_j = 2 \epsilon_0 n_j c |A_j|^2$$

$$I_3 = 2 \epsilon_0 n_3 c |A_3|^2 = 2 \epsilon_0 n_3 c \left[ \frac{4 \text{doff } \omega_3^2}{c^2 n_3^2} |A_1|^2 |A_2|^2 L^2 \text{sinc}^2(\Delta k L) \right]$$

But  $|A_1|^2 = \frac{I_1}{2 \epsilon_0 n_1 c}$  and  $|A_2|^2 = \frac{I_2}{2 \epsilon_0 n_2 c}$  and  $\omega_3 = \frac{2\pi c}{\lambda_3}$

Invoke the slowly varying envelope approximation (SVEA)

$$\left| \frac{d^2 A_3}{dz^2} \right| \ll \left| k_3 \frac{dA_3}{dz} \right|$$

Ignore 2nd derivative

$$\frac{dA_3}{dz} = \epsilon_0 \mu_0 \frac{2i \text{diff} \epsilon_0 \omega_3^2}{k_3} A_1 A_2 \exp(i \Delta k z) \quad k_3 = \frac{n_3 \omega_3}{c}$$

Where  $\Delta k = k_1 + k_2 - k_3$  : phase matching

Similar equations for  $A_1 + A_2$

$$\frac{dA_1}{dz} = \epsilon_0 \mu_0 \frac{2i \text{diff} \omega_1^2}{k_1} A_3 A_2^* \exp(-i \Delta k z)$$

$$\frac{dA_2}{dz} = \epsilon_0 \mu_0 \frac{2i \text{diff} \omega_2^2}{k_2} A_3 A_1^* \exp(-i \Delta k z)$$

Phase matching considerations

Assume  $A_1 + A_2$  are constants  $\Rightarrow$  inputs are constants

For  $\Delta k = 0$  (perfect phase matching)  $\left\{ \begin{array}{l} 1) A_3 \text{ increases linearly with } z \\ 2) I_3 \sim A_3 A_3^* \text{ increases quadratically with } z \end{array} \right.$

So

$$I_3 = \frac{8 d_{\text{eff}}^2 \epsilon_0 n_3 c \omega_3^4}{n_3^2 c^2} \frac{I_1}{2 \epsilon_0 n_1 c} \frac{I_2}{2 \epsilon_0 n_2 c} L^2 \text{sinc}^2(\Delta k L)$$

$$I_3 = \frac{2 d_{\text{eff}}^2}{\epsilon_0 n_1 n_2 n_3 c^2} \left( \frac{4 \pi^2 c^2}{\lambda_3^2} \right) I_1 I_2 L^2 \text{sinc}^2(\Delta k L)$$

$$I_3 = \frac{8 \pi^2 d_{\text{eff}}^2}{\epsilon_0 n_1 n_2 n_3 c \lambda_3^2} I_1 I_2 L^2 \text{sinc}^2(\Delta k L)$$

where  $\text{sinc } x \equiv \frac{\sin x}{x}$

Main Points :

1)  $I_3 \sim L^2$

2) Efficiency depends on phase mismatch

3)  $d_{\text{eff}}^2$  dependence

4)  $1/\lambda_3^2$  dependence

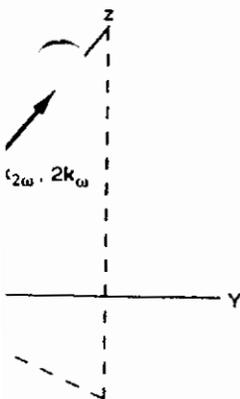


side Fig. 2, which illustrates SHG  
 second harmonic wave polarized  
 present the laboratory coordinate  
 represent the principal axes of the  
 $d_{\text{eff}} = d_{xyy}$ . However, in general  
 of the tensor components in the  
 angles  $\theta$  and  $\phi$  as well. For most  
 or related to other components.  
 ses and for various optical wave

**Waves**

the nonlinear polarization. The  
 e form of these equations, for a  
 units within a constant  $K$ , where

(28)



$$K = \begin{cases} 1 & (\text{SI}) \\ 4\pi & (\text{cgs}) \end{cases}$$

SHG.

$$\frac{dA_{2\omega}}{dz} = iK \frac{2\omega}{n_{2\omega}c} d_{\text{eff}} A_{\omega}^2 \exp(i\Delta kz) \quad (29)$$

$$\frac{dA_{\omega}}{dz} = iK \frac{2\omega}{n_{\omega}c} d_{\text{eff}} A_{\omega}^* A_{2\omega} \exp(-i\Delta kz) \quad (30)$$

SFG.

$$\frac{dA_s}{dz} = iK \frac{2\omega_s}{n_s c} d_{\text{eff}} A_{p1} A_{p2} \exp(i\Delta kz) \quad (31)$$

$$\frac{dA_{p1}}{dz} = iK \frac{2\omega_{p1}}{n_{p1} c} d_{\text{eff}} A_s A_{p2}^* \exp(-i\Delta kz) \quad (32)$$

$$\frac{dA_{p2}}{dz} = iK \frac{2\omega_{p2}}{n_{p2} c} d_{\text{eff}} A_s A_{p1}^* \exp(-i\Delta kz) \quad (33)$$

DFG.

$$\frac{dA_d}{dz} = iK \frac{2\omega_d}{n_d c} d_{\text{eff}} A_{p1} A_{p2}^* \exp(i\Delta kz) \quad (34)$$

$$\frac{dA_{p1}}{dz} = iK \frac{2\omega_{p1}}{n_{p1} c} d_{\text{eff}} A_d A_{p2} \exp(-i\Delta kz) \quad (35)$$

$$\frac{dA_{p2}}{dz} = iK \frac{2\omega_{p2}}{n_{p2} c} d_{\text{eff}} A_d A_{p1} \exp(i\Delta kz) \quad (36)$$

These equations were first solved by Armstrong et al. [3]. In general, both the modulus and phase of the complex field amplitudes are computed. However, to compute the output intensities of the generated waves, only the modulus is used. The intensity of a wave at some position  $z$  is given by

$$I_{\alpha} = 2\epsilon_0 n_{\alpha} c |A_{\alpha}|^2 \quad (37)$$

in SI units, and

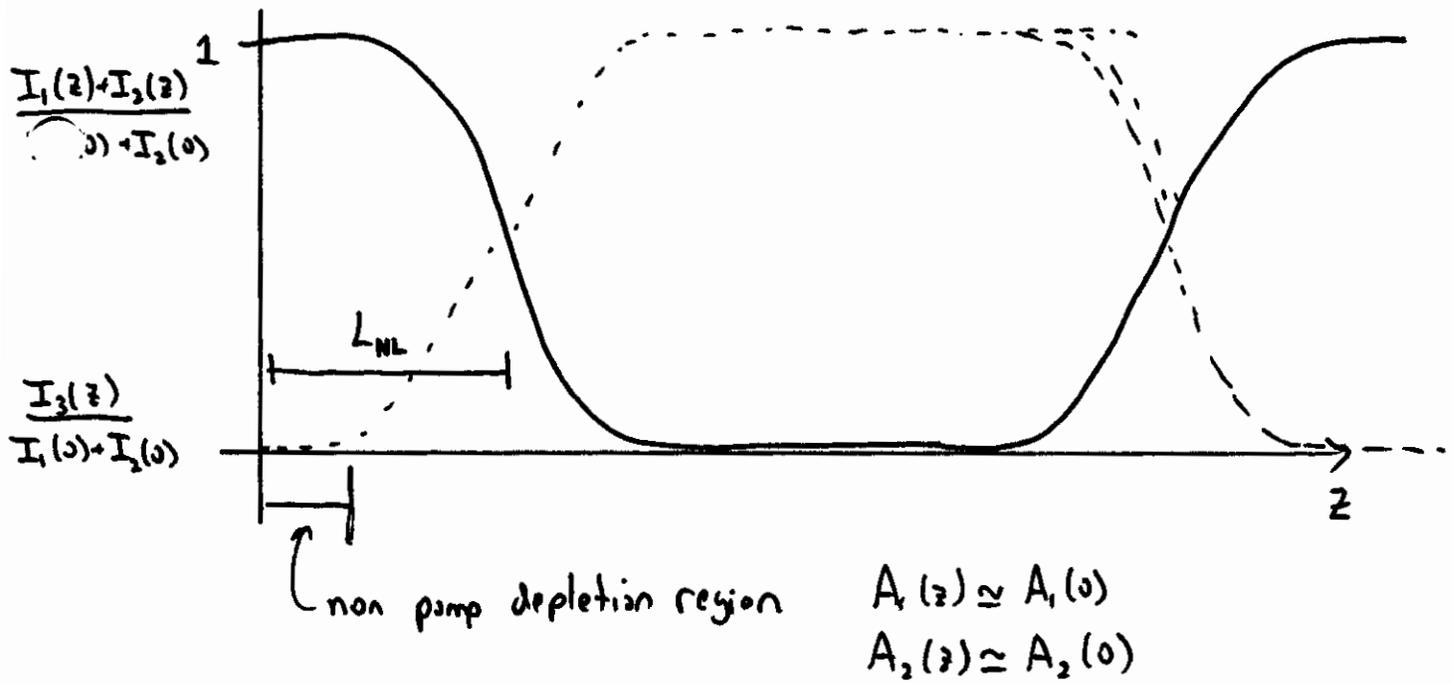
$$I_{\alpha} = \frac{n_{\alpha} c}{2\pi} |A_{\alpha}|^2 \quad (38)$$

in cgs units. The optical power of a given wave is computed from

$$\mathcal{P} = \int_A I dA \quad (39)$$

ry coordinate system  $(x, y, z)$  and

For SHG (assuming pump depletion)



Analytic expression

$$\frac{I_3(z)}{I_1(0) + I_2(0)} = \tanh\left(\frac{z}{L_{NL}}\right)$$

where

$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n^2(\omega) n(2\omega) c \lambda_1^2}{I_1(0)}}$$

$$\frac{I_3(z = z_{NL})}{I_1(0) + I_2(0)} \approx 0.58 \quad \text{at } z = z_{NL}$$

# Lecture 8: Phase matching in uniaxial crystals

Perfect  
⇒ Phase matching implies  $\Delta k = 0$  !!

However,  $\Delta k$  will be a function of  $\lambda + \theta$   
where  $\theta$  is the angle with respect to the optic axis  
in a uniaxial crystal.

$$\Delta k \equiv \text{phase mismatch}$$

Associated with a uniaxial crystal are the ordinary + extraordinary indices where

$$\frac{1}{n_e^2(\theta)} = \frac{\sin^2 \theta}{n_e^2} + \frac{\cos^2 \theta}{n_o^2}$$

OR

$$n_e(\theta) = n_o \left[ \frac{1 + \tan^2 \theta}{1 + (n_o/n_e)^2 \tan^2 \theta} \right]^{1/2}$$

To fulfill the  $\Delta k = 0$  condition in a second order process we need to have a proper orientation of the input electric fields

Phase matching

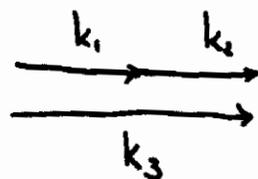
$$\text{From before } \vec{k}_3 = \vec{k}_2 + \vec{k}_1 \quad \Delta k = \vec{k}_3 - \vec{k}_2 - \vec{k}_1$$

For a colinear process we can treat  $k_i$  as scalars

$$k_3 = k_2 + k_1$$

$$\frac{\omega_3 n_3}{c} = \frac{\omega_2 n_2}{c} + \frac{\omega_1 n_1}{c}$$

Colinear



$$\text{Phase mismatch} = 0 \Rightarrow \boxed{\omega_3 n_3 = \omega_2 n_2 + \omega_1 n_1}$$

Specifically:

SHG

$$n(2\omega) - n(\omega)$$

Where  
 $\omega_1 = \omega_2 = \omega$   
 $\omega_3 = 2\omega$

or

$$n_3 = n_1$$

SFG

$$\omega_1 (n_3 - n_1) + \omega_2 (n_3 - n_2) = 0$$

Where  
 $\omega_3 = \omega_1 + \omega_2$

For normal <sup>isotropic</sup> materials the index increases with  $\omega$  so it is not possible to obtain  $n(2\omega) = n(\omega)$

However, we can use a uniaxial <sup>anisotropic</sup> material since it has two different indices of refraction. How we use these materials are called the type of phase matching

Type I <sup>(-)</sup>: ooe phase matching (negative uniaxial)

$$\bar{k}_{o1} + \bar{k}_{o2} = \bar{k}_{3e} \quad \left\{ \begin{array}{l} E_1 \rightarrow \omega_1 \rightarrow n_o(\omega_1) \\ E_2 \rightarrow \omega_2 \rightarrow n_o(\omega_2) \\ E_3 \rightarrow \omega_3 \rightarrow n_e(\omega_3) \end{array} \right.$$

For SHG  $n_e(2\omega) = n_o(\omega)$

Type I (+) eeo phase matching (positive uniaxial)

$$\bar{k}_{1e}(\theta) + \bar{k}_{2e}(\theta) = \bar{k}_{o3}$$

For SHG

$$\boxed{n_e(\omega) = n_o(2\omega)}$$

Type II (-) oec phase matching (negative uniaxial)

$$\bar{k}_{o1} + \bar{k}_{e2}(\theta) = \bar{k}_{e3}(\theta)$$

Type II (+) eoe phase matching (negative uniaxial)

$$\bar{k}_{1e}(\theta) + \bar{k}_{o2} = \bar{k}_{3e}(\theta)$$

Type II (+) oeo phase matching (positive uniaxial)

$$\bar{k}_{o1} + \bar{k}_{e2}(\theta) = \bar{k}_{o3}$$

Type II (+) eoo phase matching (positive uniaxial)

$$\bar{k}_{1e}(\theta) + \bar{k}_{o2} = \bar{k}_{o3}$$

How to compute the phase mismatch? How to compute phase matching angle?

consider an example of SHG using Type I<sup>(-)</sup> phase matching (ooe)

$$\Delta k = k_3 - k_1 - k_2$$

For ooe

$E_1 \rightarrow$  ordinary axis

$E_2 \rightarrow$  ordinary axis

$E_3 \rightarrow$  extraordinary axis

But

$$k_3 = \frac{2\pi n_3}{\lambda_3} = \frac{2\pi}{(\lambda/2)} n_e(\theta, \lambda/2)$$

$$k_2 = \frac{2\pi n_2}{\lambda_2} = \frac{2\pi}{\lambda} n_o(\lambda)$$

$$k_1 = \frac{2\pi n_1}{\lambda_1} = \frac{2\pi}{\lambda} n_o(\lambda)$$

So

$$\Delta k(\theta, \lambda) = \frac{2\pi}{(\lambda/2)} n_e(\theta, \lambda/2) - 2 \frac{2\pi}{\lambda} n_o(\lambda)$$

$$\Delta k(\theta, \lambda) = \frac{4\pi}{\lambda} [n_e(\theta, \lambda/2) - n_o(\lambda)]$$

$\lambda \equiv$  fundamental wavelength

$\frac{\lambda}{2} =$  SHG

For a given  $\lambda$ ,  $\Delta k(\theta, \lambda) = 0$  for  $\theta = \theta_{pm}$  the phase mismatch angle. How to find  $\theta_{pm}$ ?

1) Solve for  $\theta_{pm}$  where  $n_e(\theta_{pm}, \lambda/2) = n_o(\lambda)$  for the given  $n_e + n_o$  for a crystal

2) For ooe process

$$\sin^2 \theta_{pm} = \left( \frac{n_e(2\omega)}{n_o(\omega)} \right)^2 \left[ \frac{(n_o(2\omega))^2 - (n_o(\omega))^2}{(n_o(2\omega))^2 - (n_e(2\omega))^2} \right]$$

# Methods of phase matching

Angle tuning  $\Rightarrow$  use  $n_o + n_e(\theta, \lambda)$

Temperature tuning  $\Rightarrow$  use  $n_o(T) + n_e(T, \lambda)$

Problem with Angle tuning: Walkoff!!

Birefringence is temperature dependent. Use temperature tuning.

OR Quasiphasematching

## Properties of SHG for non-zero phase matching: Non depletion

Non pump depleted case for crystal of length  $L$

Define conversion efficiency

$$\eta \equiv \frac{I_3(z)}{I_1(0) + I_2(0)}$$

$$\left( \frac{d_{\text{eff}}^2}{n_1 n_2 n_3} \right)$$

For SHG  
 $\lambda_1 = \lambda_2 = \lambda$

$\lambda_3 = \lambda/2$

$n_j \equiv n(\lambda_j)$

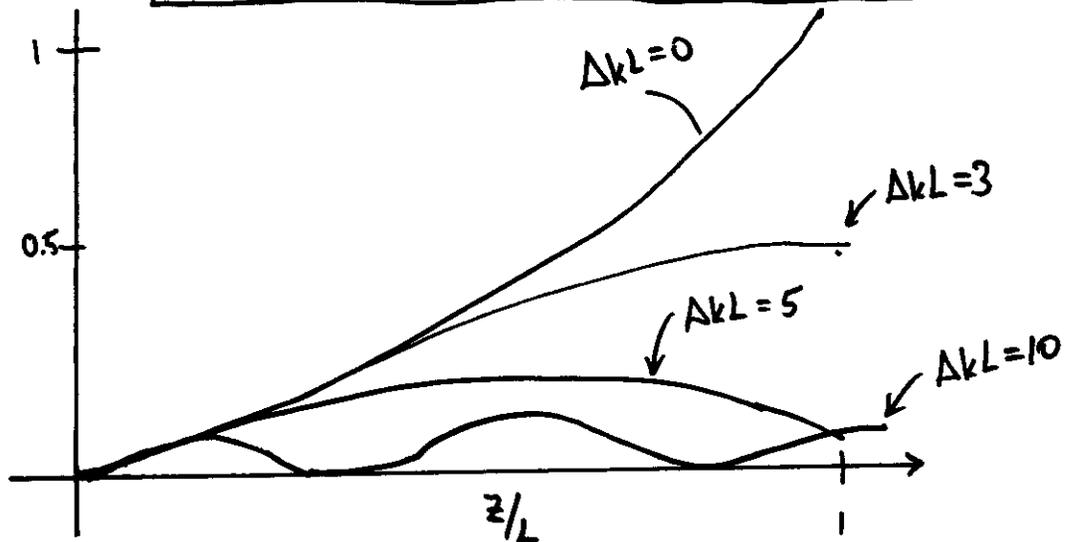
Define nonlinear length at  $\Delta k = 0$

$$L_{NL} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_1 n_2 n_3 c \lambda_1}{I_1(0)}}$$

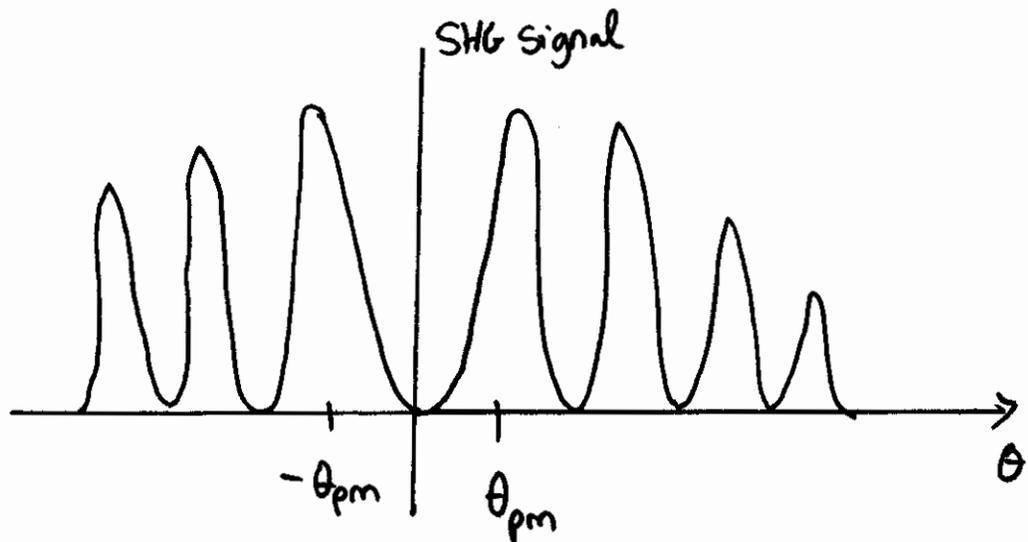
Look at conversion efficiency for

$\Delta k = 0, 3, 5, 10$

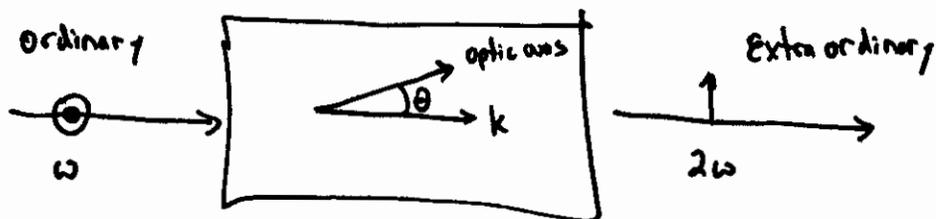
$\eta$



Angle tuning : SHG intensity vs angle.



Orientation of beams into crystal for SHG  
Type I<sup>(-)</sup> ooe phase matching



$$n_e(2\omega, \theta) = n_o(\omega)$$

- SHG is along extraordinary axis
- Fundamental is along ordinary axis

SHG + Fundamental are ~~the~~ polarized orthogonal to each other!

**Table 10** Angle Phase Matching Formulas for DFG in Uniaxial Crystals

Type I

$$\text{oeo} \quad \sin^2 \theta_{\text{pm}} = \frac{(n_d^e)^2}{(n_d^e)^2 - (n_d^o)^2} \frac{[n_{p1}^o - (\lambda_{p1}/\lambda_{p2})n_{p2}^o]^2 - (\lambda_{p1}/\lambda_d)^2 (n_d^o)^2}{[n_{p1}^o - (\lambda_{p1}/\lambda_{p2})n_{p2}^o]^2}$$

$$\text{eoo} \quad \frac{n_{p1}^o}{\sqrt{1 + \left[ \frac{(n_{p1}^o)^2}{(n_{p1}^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} - \frac{(\lambda_{p1}/\lambda_{p2})n_{p2}^o}{\sqrt{1 + \left[ \frac{(n_{p2}^o)^2}{(n_{p2}^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} = (\lambda_{p1}/\lambda_d)n_d^o$$

Type II

$$\text{oeo} \quad \frac{(\lambda_{p1}/\lambda_d)n_d^o}{\sqrt{1 + \left[ \frac{(n_d^o)^2}{(n_d^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} + \frac{(\lambda_{p1}/\lambda_{p2})n_{p2}^o}{\sqrt{1 + \left[ \frac{(n_{p2}^o)^2}{(n_{p2}^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} = n_{p1}^o$$

$$\text{eoe} \quad \frac{n_{p1}^o}{\sqrt{1 + \left[ \frac{(n_{p1}^o)^2}{(n_{p1}^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} - \frac{(\lambda_{p1}/\lambda_d)n_d^o}{\sqrt{1 + \left[ \frac{(n_d^o)^2}{(n_d^e)^2} - 1 \right] \sin^2 \theta_{\text{pm}}}} = (\lambda_{p1}/\lambda_{p2})n_{p2}^o$$

$$\text{eoo} \quad \sin^2 \theta_{\text{pm}} = \frac{(n_{p1}^e)^2}{[(\lambda_{p1}/\lambda_d)n_d^o + (\lambda_{p1}/\lambda_{p2})n_{p2}^o]^2} \times \left( \frac{(n_{p1}^o)^2 - [(\lambda_{p1}/\lambda_d)n_d^o + (\lambda_{p1}/\lambda_{p2})n_{p2}^o]^2}{(n_{p1}^o)^2 - (n_{p1}^e)^2} \right)$$

$$\text{oeo} \quad \sin^2 \theta_{\text{pm}} = \frac{(n_{p2}^e)^2}{(n_{p2}^e)^2 - (n_{p2}^o)^2} \frac{[n_{p1}^o - (\lambda_{p1}/\lambda_d)n_d^o]^2 - (\lambda_{p1}/\lambda_{p2})^2 (n_{p2}^o)^2}{[n_{p1}^o - (\lambda_{p1}/\lambda_d)n_d^o]^2}$$

It is noted that for some cases, analytical results for  $\theta_{\text{pm}}$  cannot be obtained. In these situations, the phase matching angle must be calculated numerically. This is very straightforward using available software packages.

A simple example is given using the *root* function of Mathcad®. \*Type SHG is potassium dihydrogen phosphate (KDP), a negative uniaxial crystal, considered. The fundamental wavelength is 800 nm and the second harmonic wavelength is 400 nm, for which  $n_{\omega}^o = 1.501924$ ,  $n_{\omega}^e = 1.463708$ ,  $n_{2\omega}^o = 1.524481$ , and  $n_{2\omega}^e = 1.480244$  [7]. The computation takes only a few seconds and the computed angle, 70.204°, is accurate to <0.1%.

\*Mathcad is a registered trademark of MathSoft, Inc., Cambridge, MA.

# Lecture 9 Analytic results for SHG + SFG

Wish to look at two cases

1) SFG: For ~~undepleted~~ <sup>depleted</sup> pumps where  $I_2 \gg I_1$ ,  $\Delta k \neq 0$

2) SHG: For depleted pumps +  $\Delta k \neq 0$ .

Can always solve coupled differential equations numerically.

Case 1) Rewrite out coupled DE's

$$\frac{dA_1}{dz} = K_1 A_3 \exp(-i\Delta k z) \quad (1)$$

$$\frac{dA_2}{dz} = 0$$

$$\frac{dA_3}{dz} = K_3 A_1 \exp(+i\Delta k z) \quad (2)$$

where

$$K_1 \equiv \frac{2i\omega_1 d_{eff}}{n_1 c} A_2^*$$

$$K_3 \equiv \frac{2i\omega_3 d_{eff}}{n_3 c} A_2$$

Seek solutions of the form

$$A_1(z) = [A_{1+} \exp(igz) + A_{1-} \exp(-igz)] \exp(-i\Delta k z/2)$$

$$A_3(z) = [A_{3+} \exp(igz) + A_{3-} \exp(-igz)] \exp(+i\Delta k z/2)$$

$g \equiv$  rate of spatial variation of  $A_1 + A_3$

Same rate since  $A_1 + A_3$  are coupled via energy conservation.

Substitute into  ~~$\frac{dA_1}{dz}$~~   $\frac{dA_1}{dz}$  (1)

the derivative  $\frac{dA_1}{dz} = (iA_{1+} g \exp(igz) + iA_{1-} g \exp(-igz)) \exp(-i\Delta k z/2)$   
 $+ A_1(z) i \Delta k/2$

Sub In

$$\begin{aligned} & (ig A_{1+} \exp(igz) - ig A_{1-} \exp(-igz)) \exp(-i\Delta k/2 z) \\ & - (A_{1+} \exp(igz) + A_{1-} \exp(-igz)) i \Delta k/2 \exp(-i\Delta k/2 z) \\ & = K_1 [A_{3+} \exp(igz) + A_{3-} \exp(-igz)] \exp(-i\Delta k/2 z) \end{aligned}$$

Equation must hold for all  $z$ ,  $\exp(igz) + \exp(-igz)$   
must maintain equality separately. Separate these terms:

$$A_{1+} (ig - \frac{1}{2} i \Delta k) = K_1 A_{3+} \quad (3)$$

$$-A_{1-} (ig + \frac{1}{2} i \Delta k) = K_1 A_{3-} \quad (4)$$

Now substitute solutions in  $\frac{dA_2}{dz}$  + get similar eqs.

$$A_{3+} (ig + \frac{1}{2} i \Delta k) = K_2 A_{1+} \quad (5)$$

$$-A_{3-} (ig - \frac{1}{2} i \Delta k) = K_2 A_{1-} \quad (6)$$

Eqs. (3) + (5) are simultaneous eqs for  $A_{1+}$  +  $A_{3-}$

$$\begin{pmatrix} i(g + \frac{1}{2}\Delta k) & -K_1 \\ -K_3 & i(g + \frac{1}{2}\Delta k) \end{pmatrix} \begin{pmatrix} A_{1+} \\ A_{3+} \end{pmatrix} = 0$$

A unique solution exists iff the determinant of the matrix vanishes

$$K_3 K_1 = (g - \frac{1}{2}\Delta k)(g + \frac{1}{2}\Delta k)$$

$$g^2 = -K_1 K_3 + \frac{1}{4}\Delta k^2$$

$$g = +\sqrt{-K_1 K_2 + \frac{1}{4}\Delta k^2} \quad (\text{positive root})$$

Use initial conditions in order to solve for  $A_1(z)$  +  $A_3(z)$

$$A_1(0) = A_{1+} + A_{1-} \quad (7)$$

$$A_3(0) = A_{3+} + A_{3-} \quad (8)$$

Using (7) + (8) We have for equations for the four unknowns  $A_{1+}$   $A_{1-}$   $A_{3+}$   $A_{3-}$  ~~we can then solve~~. Also let us assume there is no initial sum frequency generation

$$A_3(0) = 0 \Rightarrow A_{3+} = -A_{3-}$$

Solution:

$$A_1(z) = A_1(0) \left( \cos(gz) + \frac{i\Delta k}{g} \sin(gz) \right) \exp(-i\Delta k z/2)$$

$$A_3(z) = A_1(0) \frac{k_3}{g} \sin(gz) \exp(-i\Delta k z/2)$$

For intensities

$$I_1(z) = 2n_1 \epsilon_0 c I_1(0) \left( \cos^2(gz) + \frac{\Delta k^2}{4g^2} \sin^2(gz) \right)$$

$$I_3(z) = 2n_3 \epsilon_0 c I_1(0) \frac{|k_3|^2}{g^2} \sin^2(gz)$$

Characteristic Length  $g^{-1}$

$\Rightarrow g^{-1}$  decreases as  $\Delta k$  increases

$\Rightarrow$  SHG Intensity as  $1/g^2$

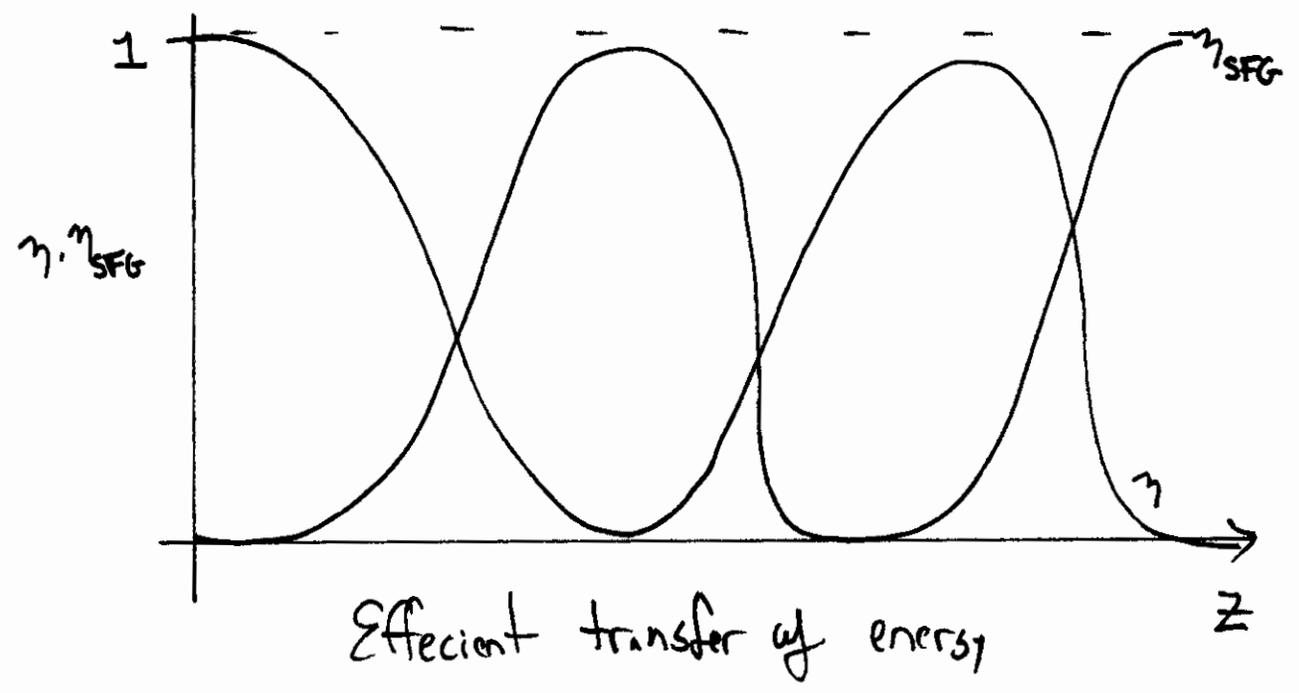
Conversion efficiency  $\eta_{\text{SHG}} = \frac{I_3(z)}{I_1(0) + I_2(0)} \approx \frac{I_3(z)}{I_1(0)}$

Equal to 1 only if  $\Delta k L = 0$

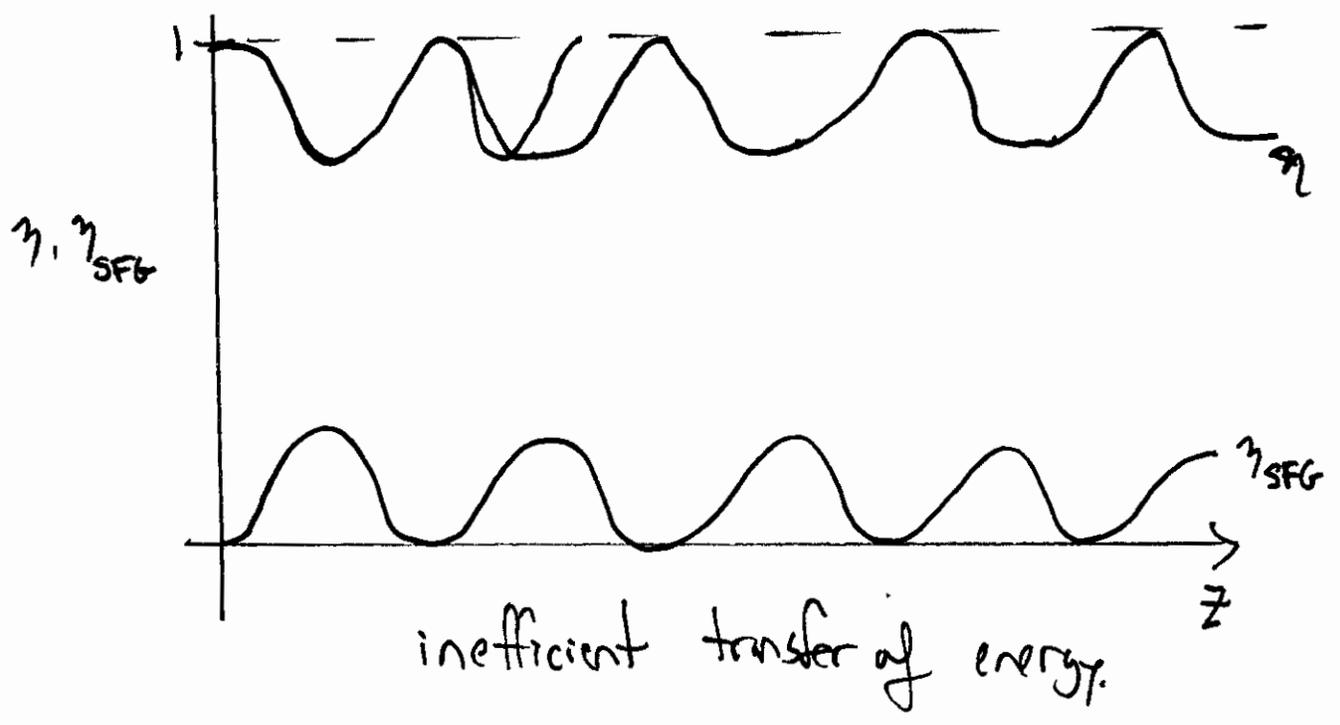
$$\eta \equiv \frac{I_1(z)}{I_1(0)}$$

Two cases

Perfect Phase matching  $\Delta k = 0$  (or  $\Delta k L = 0$ )



Non perfect phase matching  $\Delta k L = \pi$



## Case II SHG generation with depleted pumps

$$I_1 = I_2 \quad \text{at} \quad \omega = \omega_1$$

$$I_3 \quad \text{at} \quad 2\omega = \omega_3$$

$$\Delta k = 2k_1 - k_3$$

Solve coupled differential equations using a similar but complicated manner as in case I. Assume also  $A_3(0) = 0$

~~Solution.~~

$$\frac{dA_1}{dz} = \frac{2i\omega_1}{n_1 c} \text{eff } A_1^* A_3 \exp(-i\Delta k z)$$

$$\frac{dA_3}{dz} = \frac{2i\omega_3}{n_3 c} \text{eff } A_1^2 \exp(+i\Delta k z)$$

where

$$\frac{I_1(z) + I_3(z)}{I_1(0)} = 1 \quad \text{and} \quad I_3(0) = 0$$

Solution for  $\Delta k \neq 0 \Rightarrow$  solutions in terms of elliptic integrals

For  $\Delta k = 0$

$$I_3(z) = I_3(0) \tanh^2(z/L_{NL})$$

where

$$L_{NL} = \frac{1}{4\pi \text{eff}} \sqrt{\frac{2\epsilon_0 n_1^2 n_3 c \lambda_1^2}{I_3(0)}}$$

For  $\Delta k \neq 0$

$$I_3(z) = I_1(0) \operatorname{sn}^2 \left\{ \left[ \sqrt{1 + \left(\frac{\Delta k z}{4}\right)^2 \left(\frac{L_{NL}}{z}\right)^2} + \frac{\Delta k z}{4} \left(\frac{L_{NL}}{z}\right) \right] \left(\frac{z}{L_{NL}}\right), \gamma \right\}$$

Where

$$\gamma \equiv \sqrt{1 + \left(\frac{\Delta k z}{4}\right)^2 \left(\frac{L_{NL}}{z}\right)^2} - \left(\frac{\Delta k z}{4}\right) \left(\frac{L_{NL}}{z}\right)$$

and

$\operatorname{sn} \{ \_, \gamma \} \equiv$  Jacobi elliptic sine function

Look again at  $g(\Delta k)$

$$g = \sqrt{-K_1 K_3 + \frac{1}{4} \Delta k^2}$$

$g$  is the smallest when  $\Delta k = 0$

also  $-K_1 K_3$  is a positive #

$$\begin{aligned} -K_1 K_3 &= - \left( \frac{2i \omega_1 \text{doff}}{n_1 c} A_1^* \right) \left( \frac{2i \omega_3 \text{doff}}{n_3 c} A_2 \right) \\ &= \frac{4 \text{doff}^2 \omega_1 \omega_3}{n_1 n_3 c^2} \mathbb{I}_2 \frac{1}{2 \epsilon_0 n_2 c} = \frac{2 \text{doff}^2 \omega_1 \omega_3}{\epsilon_0 n_1 n_2 n_3 c^3} \mathbb{I}_2 \end{aligned}$$

Which is a positive quantity.

At  $\Delta k = 0$

$$g = \sqrt{-K_1 K_3} = \left( \frac{2 \text{doff}^2 \omega_1 \omega_3}{\epsilon_0 n_1 n_2 n_3 c^3} \mathbb{I}_2 \right)^{1/2} \left. \begin{array}{l} \omega_1 = \frac{2\pi c}{\lambda_1} \\ \omega_3 = \frac{2\pi c}{\lambda_3} \end{array} \right\}$$

$$\begin{aligned} \frac{1}{g} &= \left( \frac{\epsilon_0 n_1 n_2 n_3 c^3}{2 \text{doff}^2 \omega_1 \omega_3} \mathbb{I}_2^{-1} \right)^{1/2} = \left( \frac{\epsilon_0 n_1 n_2 n_3 c^3 \lambda_1 \lambda_3 \mathbb{I}_2^{-1}}{2 \text{doff}^2 (2\pi c)^2} \right)^{1/2} \\ &= \frac{1}{4\pi \text{doff}} \sqrt{\frac{2 \epsilon_0 n_1 n_2 n_3 c \lambda_1 \lambda_3}{\mathbb{I}_2(0)}} = L_{NL} \end{aligned}$$

## General $L_{NL}$

$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_1 n_2 n_3 \lambda_2 \lambda_3}{I_1(0)}} = \frac{1}{\sqrt{-k_1 k_3}}$$

Has different forms for SHG and SFG

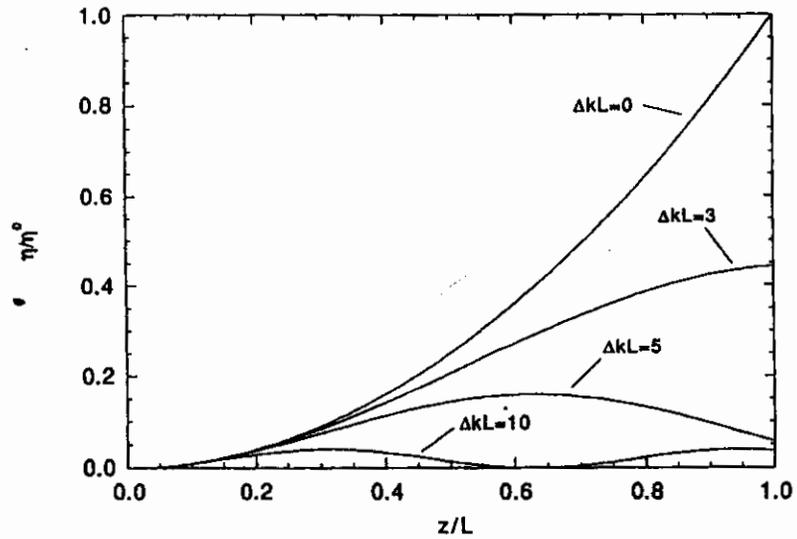
- Can rewrite  $g$  for SFG

$$g(\Delta k) = \frac{1}{L_{NL}} \sqrt{1 + \frac{\Delta k^2 L_{NL}^2}{4}}$$

From  
Handbook of Nonlinear  
Optics  
Sutherland

**Table 4** Frequency Conversion Efficiency Formulas in the Infinite Plane Wave Approximation, Including Pump Depletion

SHG	$\eta_{2\omega} = \tanh^2(L/L_{NL})$	
	$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_{\omega}^2 n_{2\omega} c \lambda_{\omega}^2}{I_{\omega}(0)}}$ (SI)	$L_{NL} = \frac{1}{16\pi^2 d_{eff}} \sqrt{\frac{n_{\omega}^2 n_{2\omega} c \lambda_{\omega}^2}{2\pi I_{\omega}(0)}}$ (cgs)
SFG	$\eta_s = \frac{\lambda_{p2}}{\lambda_s} \text{sn}^2\{(L/L_{NL}), \gamma\}$	$\gamma^2 = \frac{\lambda_{p2} \mathcal{P}_{p2}(0)}{\lambda_{p1} \mathcal{P}_{p1}(0)}$
	$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_{p1} n_{p2} n_s c \lambda_{p2} \lambda_s}{I_{p1}(0)}}$ (SI)	$L_{NL} = \frac{1}{16\pi^2 d_{eff}} \sqrt{\frac{n_{p1} n_{p2} n_s c \lambda_{p2} \lambda_s}{2\pi I_{p1}(0)}}$ (cgs)
DFG	$\eta_d = -\frac{\lambda_{p2}}{\lambda_d} \text{sn}^2\{i(L/L_{NL}), i\gamma\}$	$\gamma^2 = \frac{\lambda_{p2} \mathcal{P}_{p2}(0)}{\lambda_{p1} \mathcal{P}_{p1}(0)}$
	$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_{p1} n_{p2} n_d c \lambda_{p2} \lambda_d}{I_{p1}(0)}}$ (SI)	$L_{NL} = \frac{1}{16\pi^2 d_{eff}} \sqrt{\frac{n_{p1} n_{p2} n_d c \lambda_{p2} \lambda_d}{2\pi I_{p1}(0)}}$ (cgs)



**Figure 6** Normalized conversion efficiency as a function of position in a nonlinear medium for various values of phase mismatch for SHG, SFG, and DFG.

**Table 6** Limiting Forms of the DFG Efficiency in the Infinite Plane Wave Approximation, Including Pump Depletion

DFG ( $\gamma \ll 1$ )	$\eta_d = \frac{\lambda_{p2}}{\lambda_d} \sinh^2 \frac{L}{L_{NL}}$
DFG ( $\gamma = 1$ )	$\eta_d = \frac{\lambda_{p2}}{\lambda_d} \frac{\text{sn}^2[\sqrt{2}(L/L_{NL}), 1/\sqrt{2}]}{2 - \text{sn}^2[\sqrt{2}(L/L_{NL}), 1/\sqrt{2}]}$
	$L_{NL} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_{p1} n_{p2} n_d c \lambda_{p2} \lambda_d}{I_{p1}(0)}} \quad (\text{SI}) \quad L_{NL} = \frac{1}{16\pi^2 d_{\text{eff}}} \sqrt{\frac{n_{p1} n_{p2} n_d c \lambda_{p2} \lambda_d}{2\pi I_{p1}(0)}} \quad (\text{cgs})$

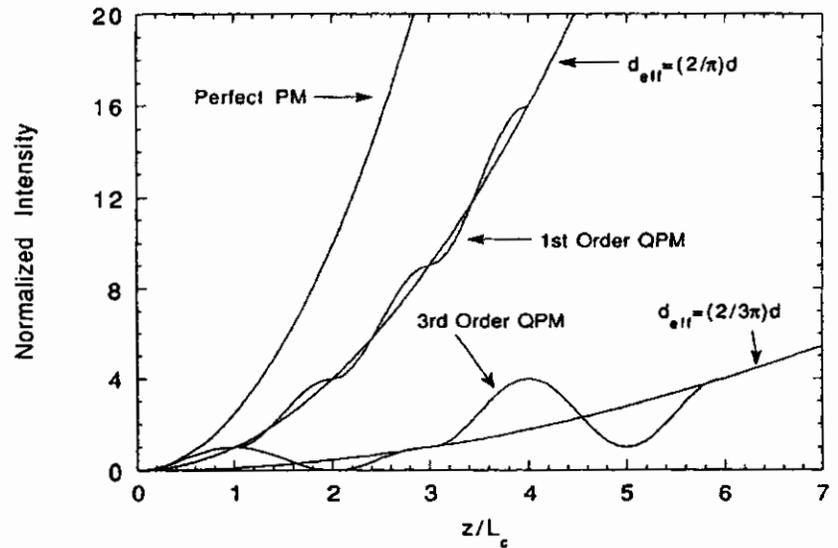
photon flux originally in pump wave  $\omega$ . Formulas for the limiting cases in SFG when  $\gamma = 1$  and  $\gamma \ll 1$  are given in Table 5.

**Table 5** Limiting Forms of SFG Efficiency Formulas in the Infinite Plane Wave Approximation, Including Pump Depletion

SFG ( $\gamma \ll 1$ )	$\eta_s = \frac{\lambda_{p2}}{\lambda_s} \sin^2 \frac{L}{L_{NL}}$
SFG ( $\gamma = 1$ )	$\eta_s = \frac{\lambda_{p2}}{\lambda_s} \tanh^2 \frac{L}{L_{NL}}$
	$L_{NL} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\epsilon_0 n_{p1} n_{p2} n_s c \lambda_{p2} \lambda_s}{I_{p1}(0)}} \quad (\text{SI}) \quad L_{NL} = \frac{1}{16\pi^2 d_{\text{eff}}} \sqrt{\frac{n_{p1} n_{p2} n_s c \lambda_{p2} \lambda_s}{2\pi I_{p1}(0)}} \quad (\text{cgs})$

**Table 7** Frequency Conversion Efficiency Formulas in the Infinite Plane Wave Approximation, Including Pump Depletion and the Effects of Phase Matching

SHG	$\eta_{2\omega} = \gamma \text{sn}^2 \left\{ \left[ \sqrt{1 + (\Delta k L / 4)^2 (L_{NL} / L)^2} + (\Delta k L / 4) (L_{NL} / L) \right] (L / L_{NL}), \gamma \right\}$ $\gamma = \left[ \sqrt{1 + (\Delta k L / 4)^2 (L_{NL} / L)^2} - (\Delta k L / 4) (L_{NL} / L) \right]^2$
SFG	$\eta_s = \frac{\lambda_{p2} (1 + \gamma_0^{-2})}{\lambda_s} p_- \text{sn}^2 \left[ \sqrt{\frac{1}{2}} (1 + \gamma_0^2) p_+ (L / L_{NL}), \gamma \right]$ $\gamma^2 = \frac{p_-}{p_+} \quad \gamma_0^2 = \frac{\lambda_{p2} \mathcal{P}_{p2}(0)}{\lambda_{p1} \mathcal{P}_{p1}(0)}$ $p_{\pm} = 1 + \frac{(\Delta k L / 2)^2 (L_{NL} / L)^2}{1 + \gamma_0^2} \pm \sqrt{\left[ 1 + \frac{(\Delta k L / 2)^2 (L_{NL} / L)^2}{1 + \gamma_0^2} \right]^2 - \left( \frac{2\gamma_0}{1 + \gamma_0^2} \right)^2}$
DFG	$\eta_d = -\frac{\lambda_{p2} (1 - \gamma_0^{-2})}{\lambda_d} p_- \text{sn}^2 \left[ i \sqrt{\frac{1}{2}} (1 - \gamma_0^2) p_+ (L / L_{NL}), i\gamma \right]$ $\gamma^2 = -\frac{p_-}{p_+} \quad \gamma_0^2 = \frac{\lambda_{p2} \mathcal{P}_{p2}(0)}{\lambda_{p1} \mathcal{P}_{p1}(0)}$ $p_{\pm} = 1 - \frac{(\Delta k L / 2)^2 (L_{NL} / L)^2}{1 - \gamma_0^2} \pm \sqrt{\left[ 1 - \frac{(\Delta k L / 2)^2 (L_{NL} / L)^2}{1 - \gamma_0^2} \right]^2 + \left( \frac{2\gamma}{1 - \gamma_0^2} \right)^2}$
( $\gamma \ll 1$ )	$\eta_d = \frac{\lambda_{p2}}{\lambda_d} \frac{1}{1 - (\Delta k L / 2)^2 (L_{NL} / L)^2} \sinh^2 \left[ \sqrt{1 - (\Delta k L / 2)^2 (L_{NL} / L)^2} (L / L_{NL}) \right]$



**Figure 25** Normalized SHG intensity as a function of position in perfectly phase matched, first order quasi-phase matched, and third order quasi-phase matched nonlinear media.

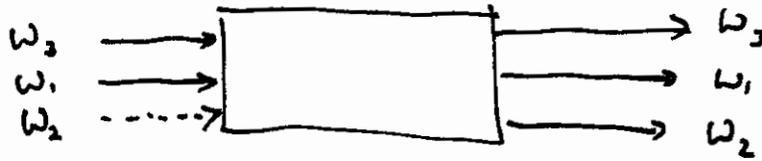
analysis is a scaled dimensionless wave vector mismatch  $\Delta s$ , which is proportional to  $\Delta k$  and inversely proportional to the pump intensity. Rustagi et al. determined that in an ideal stack of plates a relative phase change of  $\pi$  radians of propagation through each plate is required for proper QPM. Note that this is the same requirement determined in the nondepleted pump regime. However,

**Table 35** Frequency Conversion Efficiencies in the Infinite Plane Wave, Nondepleted Pump Approximation for  $m$ th order Quasi-Phase Matched Interactions in a Stack of  $N$  Plates

	SI	cgs
SHG	$\eta_{2\omega} = \frac{8\pi^2(2/m\pi)^2 d_{\text{eff}}^2 (NL_c)^2 I_\omega}{\epsilon_0 n_\omega^2 n_{2\omega} c \lambda_\omega^2}$	$\eta_{2\omega} = \frac{512\pi^5(2/m\pi)^2 d_{\text{eff}}^2 (NL_c)^2 I_\omega}{n_\omega^2 n_{2\omega} c \lambda_\omega^2}$
SFG	$\eta_s = \frac{8\pi^2(2/m\pi)^2 d_{\text{eff}}^2 (NL_c)^2 I_{p1}}{\epsilon_0 n_{p1} n_{p2} n_s c \lambda_s^2}$	$\eta_s = \frac{512\pi^5(2/m\pi)^2 d_{\text{eff}}^2 (NL_c)^2 I_{p1}}{n_{p1} n_{p2} n_s c \lambda_s^2}$
DFG	$\eta_d = \frac{8\pi^2(2/m\pi)^2 d_{\text{eff}}^2 (NL_c)^2 I_{p1}}{\epsilon_0 n_{p1} n_{p2} n_d c \lambda_d^2}$	$\eta_d = \frac{512\pi^5(2/m\pi)^2 d_{\text{eff}}^2 (NL_c)^2 I_{p1}}{n_{p1} n_{p2} n_d c \lambda_d^2}$

# Lecture 10 : Difference frequency generation and OPO

Consider process  $\omega_2 = \omega_3 - \omega_1$



Here  $\omega_2$  is the generated output.

$$\frac{dA_1}{dz} = \frac{2i\omega_1 d_{\text{eff}}}{n_1 c} A_3 A_2^* \exp(i\Delta k z)$$

$$\frac{dA_3}{dz} = \frac{2i\omega_3 d_{\text{eff}}}{n_3 c} A_1 A_2 \exp(-i\Delta k z)$$

$$\frac{dA_2}{dz} = \frac{2i\omega_2 d_{\text{eff}}}{n_2 c} A_3 A_1^* \exp(i\Delta k z)$$

Solution for  $\Delta k \neq 0$  and assuming  $A_3(z) \approx A_3(0)$

$$A_1(z) = \left[ A_1(0) \left( \cosh(gz) - \frac{i\Delta k}{2g} \sinh(gz) \right) + \frac{K_1}{g} A_2^*(0) \sinh(gz) \right] e^{i\Delta k/2 z}$$

$$A_2(z) = \left[ A_2(0) \left( \cosh(gz) - \frac{i\Delta k}{2g} \sinh(gz) \right) + \frac{K_2}{g} A_1^*(0) \sinh(gz) \right] e^{i\Delta k/2 z}$$

Where  $g \equiv \left( K_1 K_2 - \frac{\Delta k^2}{4} \right)^{1/2}$   $K_j \equiv \frac{2i\omega_j d_{\text{eff}}}{n_j c} A_3(0)$

OR for  $A_2(0) = 0$

$$A_1(z) = A_1(0) \left( \cosh g z - \frac{i \Delta k}{2g} \sinh g z \right) \exp(i \Delta k / 2 z)$$

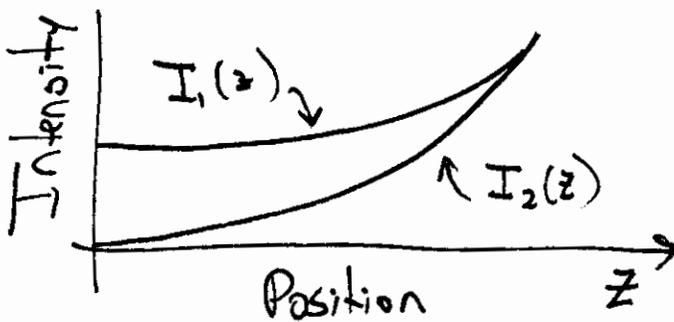
$$A_2(z) = \frac{K_2}{g} A_1(0) \sinh(g z) \exp(i \Delta k z / 2)$$

For  $\Delta k = 0$

$$\begin{cases} A_1(z) = A_1 \cosh(z/LNL) \\ A_2(z) = i \left( \frac{n_1 \omega_1}{n_2 \omega_2} \right)^{1/2} \frac{A_2}{|A_3|} A_1^*(0) \sinh(z/LNL) \end{cases}$$

Important Points

- Fields & Intensities are not harmonic in  $z$
- Monotonic growth of difference frequency



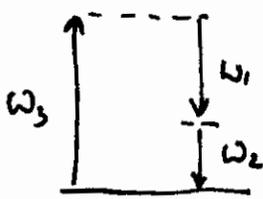
$\omega_1$  is amplified by this process

⇒ This process is known as

Parametric Amplification

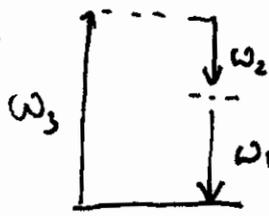
- $\omega_3$  (pump)
- $\omega_1$  is amplified by the process (signal wave)
- $\omega_2$  is created by the process (idler wave)

Consider two cases



(a)

OR



(b)

(a) Presence of  $\omega_1$  causes a transition of  $\omega_2$

(b)  $\omega_2$  stimulates a transition of  $\omega_1$ .

Both of these process lead to exponential growth.

# Optical Parametric Amplifier (OPA)

Single pass thru crystal

No feedback

Modest gain for single pass

Very useful for, wavelength conversion of a CW laser  
tunable

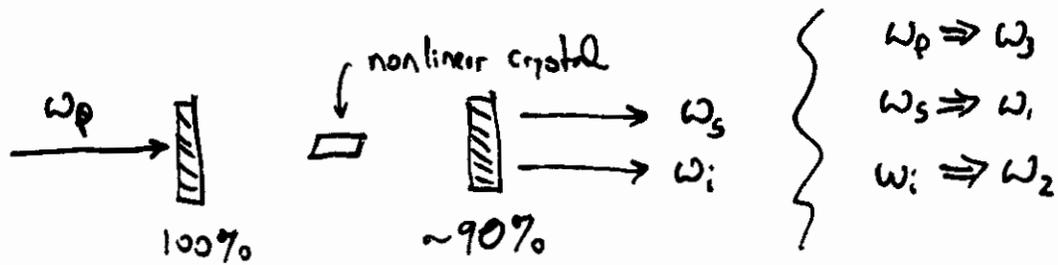
$$\text{gain} \approx \left(L/L_{NL}\right)^2 \sinh^2 \left( \frac{\left(L/L_{NL}\right)^2 + \left(\Delta k L/2\right)^2}{\left(L/L_{NL}\right)^2 - \left(\Delta k L/2\right)^2} \right)$$

$$L_{NL} = \frac{1}{4\pi d_{eff}} \sqrt{\frac{2\epsilon_0 n_p n_s n_i c \lambda_s \lambda_i}{I_p(0)}} \quad \left. \begin{array}{l} p \equiv \text{pump} \\ s \equiv \text{signal} \\ i \equiv \text{idler} \end{array} \right\}$$

$$\text{For } \Delta k = 0 \quad \text{gain} \approx \left(L/L_{NL}\right)^2$$

# Optical Parametric Oscillators (OPO)

Put the nonlinear process in a cavity with mirrors that are highly reflecting at  $\omega_1$  or  $\omega_2$

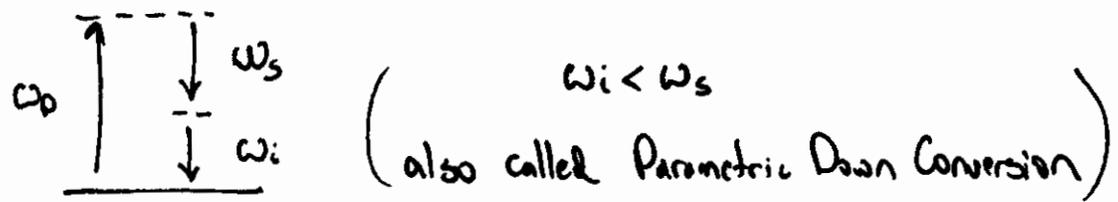


Difference frequency generation leads to the amplification of the lower frequency input field.

$$\omega_i = \omega_p - \omega_s$$

The gain associated with parametric amplification can in the presence of feedback provide an oscillation.

Mirrors can reflect both  $\omega_i$  and/or  $\omega_s$



For the case where  $\Delta k = 0$   $A_2(0) = 0$  and  $A_3(z) \approx A_3(0)$

$$A_1(z) = A_1(0) \cosh(\gamma z) \quad (\text{an exponential function})$$

$$A_2(z) = i \left( \frac{n_1 \omega_2}{n_2 \omega_1} \right)^{1/2} \frac{A_3(0)}{|A_3(0)|} A_1^*(0) \sinh(\gamma z)$$

Both signal + idler experience exponential growth.

\*\* But is an OPO a laser?! \*\*

Is an OPO a laser?

An OPO has:

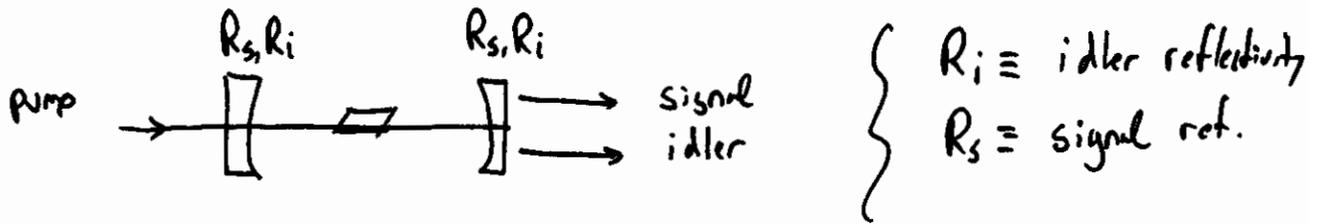
- 1) A pump source
- 2) A cavity for feedback
- 3) Gain at a specific frequency

Answer: No!

A laser has a population inversion caused by the pump. An OPO does not have a population inversion. So it is technically not a laser.

The problem with a laser is saturation when the upper population gets too large. An OPO does not have this problem!

## Threshold for Parametric oscillation for a Doubly Resonant OPO



Threshold of oscillation

Gain per pass  $\equiv$  loss per pass

$$(\exp(2L/L_{NL}) - 1) = (1 - R_s)(1 - R_i)$$

For low loss

$$(L/L_{NL})^2 \approx (1 - R_s)(1 - R_i)$$

For single resonant OPO

$$(L/L_{NL})^2 \sim 2(1 - R_s)$$

## Tuning and Bandwidth

Wavelength tuning is typically done by temperature tuning.

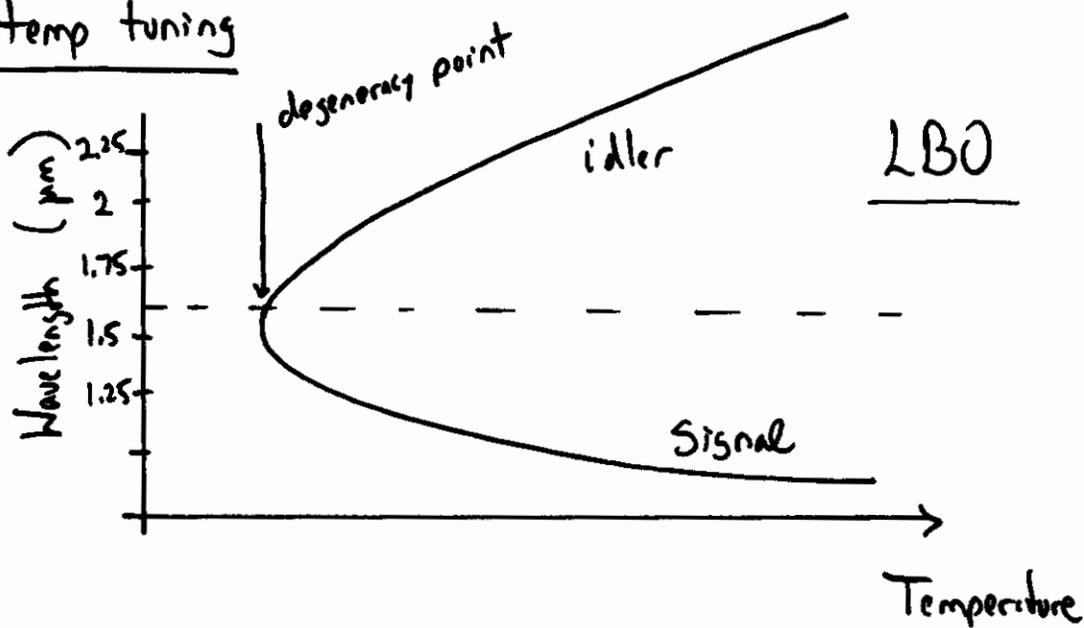
Must satisfy momentum + energy conservation

$$\omega_s + \omega_i = \omega_p \quad \vec{k}_s + \vec{k}_i = \vec{k}_p$$

$$\text{Phase Matching} \Rightarrow \omega_p [n(\omega_p) - n(\omega_p - \omega_s)] = \omega_s [n(\omega_s) - n(\omega_p - \omega_s)]$$

Can also be done using angle tuning

## Example of temp tuning



Pump  $\lambda_p = 800\text{nm}$

Huge tuning range  $\left\{ \begin{array}{l} \text{Signal} \quad 1 - 1.5 \mu\text{m} \\ \text{idler} \quad 1.5 - 2.25 \mu\text{m} \end{array} \right.$

## Synchronously Pumped OPO

Use a pulsed laser for the pump

Get tunable wavelength pulsed light  $\Rightarrow$  signal + idler



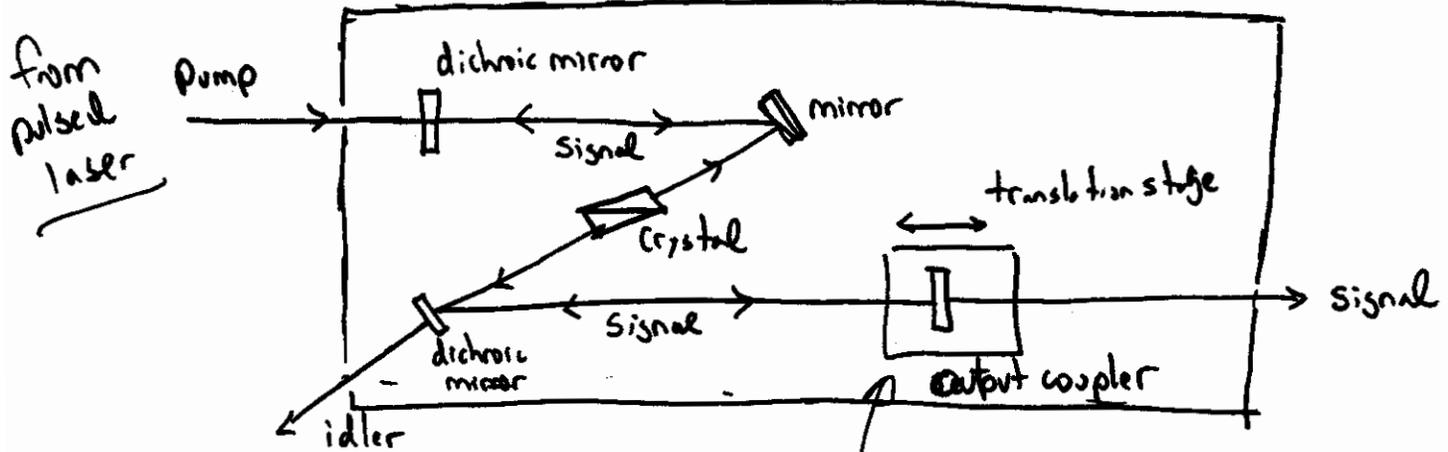
The second order process is modest ~~as~~ even for  $\Delta k = 0$ . ~~Must~~ For efficiency signal + idler generation, the round trip time of the OPO cavity must be matched to the mode locked laser repetition rate.

$$\tau_{RT} = \frac{2L_c}{c} N(\lambda)_{\text{crystal}} + \frac{2L_F}{c} = \frac{1}{F} \quad \left\{ \begin{array}{l} L_c \equiv \text{crystal length} \\ L_F \equiv \text{cavity length} \end{array} \right.$$

To match the cavity length + Rep Rate

Use following mirror cavity

ODO Cavity



this translation stage with pm resolution is used to get match the cavity length to the repetition rate.

Output coupler (~99% for ws) is on translation stage

$$\tau_{RT} = \frac{2L_c}{c} N(x) + \frac{2L_F}{c}$$

$$= \frac{1}{f} = T$$

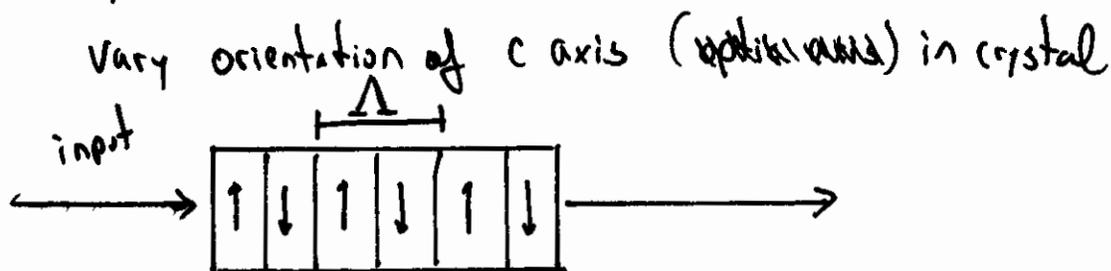
# Lecture 11 Quasi Phase matching

Problems with angle tuning for perfect phase matching

- at some angles propagation is difficult thru the crystal
- walk off
- cannot angle tune cubic crystals, which typically have larger  $d_{eff}$
- cannot use  $d_{ii}$  terms, which are typically larger than off diagonal terms ( $d_{13}$  etc)
- cannot be used with crystals without birefringence e.g. Gallium Arsenide (GaAs)
- birefringence decreases with increasing  $\omega$ .

Use quasi phase matching instead with periodically poled materials

Periodically poled materials



⇒ fabricated structure, not natural

Inversion

of c axis changes the sign of  $d_{eff}$

$$+d_{eff} - d_{eff} + d_{eff} - d_{eff} - \dots$$

The variation of  $d_{eff}$  can compensate for  $\Delta k \neq 0$ !

$$\kappa_{\text{eff}} = (-1)^{n-1} |\kappa_{\text{eff}}| \frac{2}{m\pi m}$$

$m \equiv$  order of phase matching

Write down coupled eqs

( $m=1$ )

$$\frac{dA_1}{dz} = \frac{2i\omega_1 \kappa_{\text{eff},n}}{n_1 c} A_3 A_2^* \exp(\Delta k_n z)$$

$$\frac{dA_2}{dz} = \frac{2i\omega_2 \kappa_{\text{eff},n}}{n_2 c} A_1^* A_3 \exp(\Delta k_n z)$$

$$\frac{dA_3}{dz} = \frac{2i\omega_3 \kappa_{\text{eff},n}}{n_3 c} A_1 A_2 \exp(\Delta k_n z)$$

where  $\Delta k_n = k_1 + k_2 - k_3 - \frac{2\pi}{\Lambda}$

We can determine the optimal period  $\Lambda$

$$\Lambda = \frac{2\pi}{k_1 + k_2 - k_3} \equiv 2L_c$$

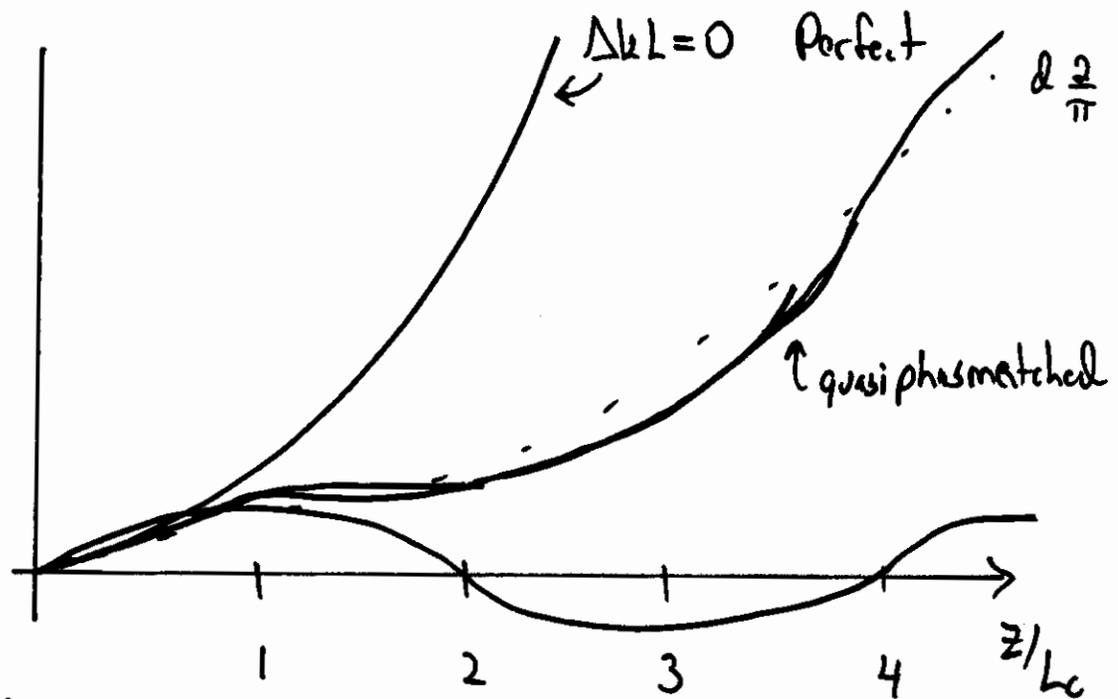
for Lithium niobate

$$L_c = 3.4 \mu\text{m}$$

for  $\lambda = 1.06 \mu\text{m}$

Define  $L_c = \frac{\Lambda}{2}$

# Plot Phase matching



From this plot we see ....

QPM is not as efficient as Perfect phase matching but the disadvantage is compensated because with QPM larger die values can be used

LiNbO<sub>3</sub> : Angle phase matching  
Type I (-)  $\Rightarrow d_{31}$

QPM  $\Rightarrow d_{33}$

$$\left(\frac{d_{33}}{d_{31}}\right)^2 \left(\frac{2}{\pi}\right)^2 \approx 15!$$

~~Efficiency~~ Efficiency of QPM is 15 times larger!

# Temperature tuning

One can change the output wavelength by heating the crystal

$$L_c = L_c(T) \quad T \equiv \text{temperature}$$

Heating the crystal increases  $\Delta$  thus decreasing the generated wavelength  $\lambda_3$ . It also changes the index. Calculate phase matching  $\lambda$  using SNLO program.

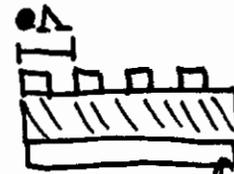
## How does one make a periodically poled crystal?

Quasiphase match is an old idea but at the time there was not a method to create the periodic poling. (circa 1962)

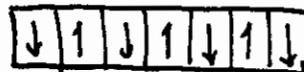
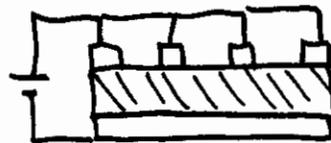
Exposing a crystal to a strong electric field inverts magnetic domains which changes the orientation of def. (1993)

### Procedure

- 1) Lithium Niobate
- 2) Deposit metal mask with desired periodicity
- 3) Apply 21 kV/mm electric field
- 4) Only material under electrodes get the domain reversal



ground plane



The crystal needs to be ferroelectric

Change domain with  $\vec{E}$   
No iron (ferro)

## Advantages for QPM

- Use  $d_{ii}$  terms instead of  $d_{ij}$  terms.  $d_{ii} > d_{ij}$  in general.
- Can be used for crystals that are not birefringent.
- Less walk off than for birefringent phase matching.
- Easier alignment
- Waveguide geometry  $\Rightarrow$  Guide the fundamental!!

## Disadvantages

- Periodic poling with strong electric fields can only be done in ferroelectric materials.

## Lecture 12 : SHG with ultrashort pulse

So far we discussed SHG for a monochromatic source (a CW laser). For ultrashort pulses, which are comprised of a bandwidth of spectral components, SHG occurs for all components.

However, perfect phase matching  $\Delta k = 0$  only occurs for one spectral component.

For pulses we discussed the group velocity + group index

$$N(\lambda) = n - \lambda \frac{dn}{d\lambda} \quad v_g = \frac{c}{N(\lambda)} = \frac{d\omega}{dk}$$

We can define a group velocity for the fundamental  $\omega$  and SHG  $2\omega$ .

$$N_\omega \quad N_{2\omega} \quad \left\{ \quad v_{g\omega} \quad v_{g2\omega} \right.$$

In general the two group velocities will not be the same. This is called the group velocity mismatch (GVM)

$$\boxed{\Delta v_{\text{GVM}} = -v_{g,2\omega} + v_{g,\omega}} = -\frac{c}{N(\lambda/2)} + \frac{c}{N(\lambda)}$$

It is a measure of the delay between the fundamental and SHG pulse. This mismatch leads to a finite phase matching bandwidth between the fundamental + SHG.

## Example of GVM

0.3 mm KDP crystal

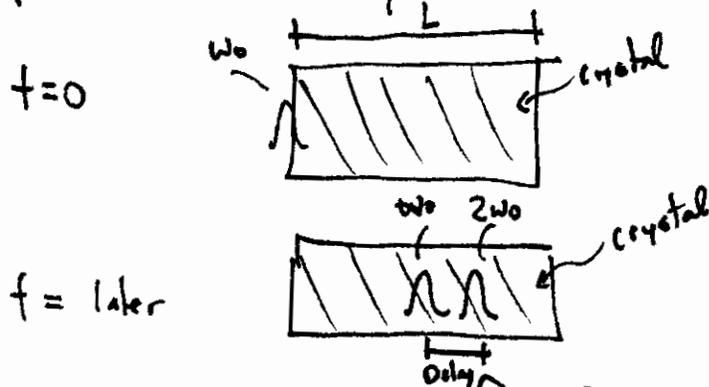
$$\lambda_0 = 620 \text{ nm} \quad \lambda_0/2 = 310 \text{ nm}$$

$$\text{group delay mismatch} = \frac{1}{\Delta v_g} L = 56 \text{ fs}$$

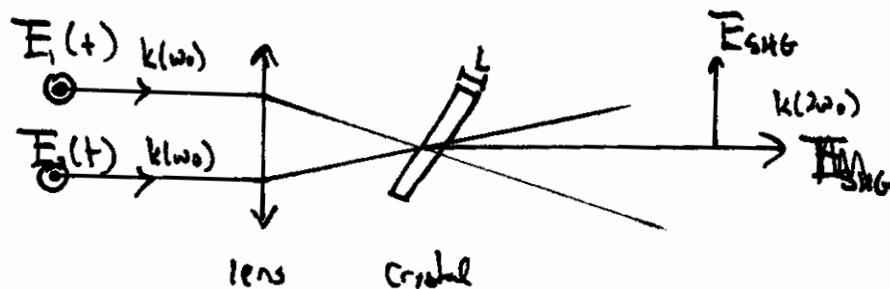
We wish to see how the effect of GVM changes the generated Second harmonic spectrum for SHG.

$$I_{\text{SHG}}(\omega) = ?$$

However, due to GVM the fundamental + SHG pulse will not maintain temporal synchronicity for the entire length of the crystal.



We wish to derive an expression for  $I_{\text{SHG}}(\omega)$  for a noncolinear coupling into the crystal.



$E_1 + E_2$  along ordinary axis.  $E_{\text{SHG}}$  along extraordinary. Note  $E_2(t) = E_1(t - \tau)$   
The result for  $I_{\text{SHG}}(\omega)$  is given by:

$$I_{SHG}(\omega) = \frac{\sin^2(\Delta k L/2)}{(\Delta k L/2)} I(\omega) \otimes I(\omega)$$

$\Delta k \equiv$  total phase mismatch. Write the total mismatch for SHG with a spectral bandwidth.

For SHG with pulse. We need to Taylor expand  $\Delta k(\omega)$

$$\Delta k \approx \underbrace{[k(\omega_0) + k(\omega_0) - k(2\omega_0)]}_{\text{phase matching for } \omega_0 + 2\omega_0 \text{ only}}$$

phase velocity mismatch

$$+ \underbrace{\left[ \frac{\partial k}{\partial \omega} \Big|_{\omega_0} - \frac{\partial k}{\partial \omega} \Big|_{2\omega_0} \right] \omega}_{\text{Group velocity mismatch}}$$

$$+ \frac{1}{2} \omega^2 \underbrace{\left[ \frac{\partial^2 k}{\partial \omega^2} \Big|_{\omega_0} - \frac{\partial^2 k}{\partial \omega^2} \Big|_{2\omega_0} \right]}_{\text{group delay dispersion}}$$

This expansion gives terms that will be non zero at the phase matching angle. This implies that perfect phase matching cannot be applied for all spectral components of the pulse.

Consider only phase + group velocity mismatch

$$\Delta k \approx \Delta k_0 + \frac{1}{\Delta v_g} \omega$$



But for Type I ope phase matching

$$\Delta k(2\omega, \theta) = \frac{2\omega}{c} [n_o(2\omega, \theta) - n_o(\omega)]$$

We can also write the GVM as

$$\Delta V_g = \frac{c}{N(2\omega)} - \frac{c}{N(\omega)}$$

## SHG Spectral filtering

The group velocity mismatch leads to a finite spectral bandwidth for SHG. Find this bandwidth.

$$E_1(z, t) = A_1 \left( t - \frac{1}{v_g(\omega_0)} z \right) \exp(-i(\omega_0 t - k(\omega_0)z))$$

$$E_2(z, t) = E_1(z, t - \tau) \Leftarrow \text{Delayed version of } E_1$$

$$E_{\text{SHG}}(z, t) = A_{\text{SHG}} \left( z, t - \frac{1}{v_g(2\omega_0)} z \right) \exp(-i(2\omega_0 t - k(2\omega_0)z))$$

$$P_{\text{NL}}(z, t) = \epsilon_0 \chi^{(2)} E_1 E_2 \Rightarrow \text{assume } \chi \text{ is fast.}$$

should be

$$P_{\text{NL}}(z, t) = \epsilon_0 \iint \chi^{(2)}(t-t', t-t'') E_1(z, t-t') E_2(z, t-t'-\tau) dt' dt''$$

Write each in terms of its spectrum

$$\vec{E}_1(z, t) = \mathcal{F}^{-1} \left\{ A_1(\omega) \right\} \exp(-i\omega_0 t - k(\omega_0)z)$$

$$\vec{E}_2(z, t) =$$

$$P_{NL}(z, t) = \epsilon_0 \chi^{(2)} \exp(-i(2\omega_0 t - 2k(\omega_0)z)) e^{-i\omega_0 t}$$

$$\times \mathcal{F} \left\{ \int A_1(\omega - \omega') A_1(\omega') \exp(-i\omega' t) \right\}$$

Differential Eq using the SVEA

$$\partial_z A_{SHG}(z, t) = -\frac{i2\omega_0 \mu_0 c}{2n} P_{NL}(z, t) \exp(i\Delta k_0 z)$$

$$\text{where } \Delta k_0 = 2k(\omega_0) - k(2\omega_0)$$

Write DE in frequency domain

$$\partial_z A_{SHG}(z, \omega) = \frac{-i\omega_0 \chi^{(2)}}{nc 2\pi} \exp(-i\omega_0 t) \exp(i\Delta k z)$$

$$\times \int A_1(\omega - \omega') A_1(\omega') e^{-i\omega' t} d\omega'$$

$$\text{where } \Delta k = \Delta k_0 + \frac{1}{\Delta v_g} \omega$$

Integrate from 0 to L

$$A_{\text{SHG}}(\omega, L) \approx e^{-i\omega_0\tau} [\exp(i\Delta k L/2)] \left[ \frac{\sin(\Delta k L/2)}{\Delta k L/2} \right] \\ \times \int A_1(\omega - \omega') A(\omega') e^{-i\omega'\tau} d\omega'$$

We want the intensity over all  $\tau$ .  $I_{\text{SHG}}(\omega, L) \approx |A_{\text{SHG}}(\omega, L)|^2$

$$\widehat{I}_{\text{SHG}}(\omega) = \frac{\sin^2(\Delta k L/2)}{(\Delta k L/2)^2} \left[ \int \left[ \int A(\omega - \zeta) A(\zeta) e^{-i\zeta\tau} d\zeta \right] \right. \\ \left. \times \left[ \int A^*(\omega - \eta) A(\eta) e^{-i\eta\tau} d\eta \right] d\tau \right]$$

$$\text{But } \delta(\eta - \zeta) = \int \exp(i(\eta - \zeta)\tau) d\tau$$

(FT of a delta function). Which allows us to do the integral over  $\tau$  to get rid of  $\zeta$ .

$$\widehat{I}_{\text{SHG}}(\omega) = \text{sinc}(\Delta k L/2) \int A^*(\omega - \eta) A(\omega - \eta) A^*(\eta) A(\eta) d\eta$$

$$\text{But } A^*(\omega) A(\omega) = I(\omega)$$

So

$$I_{SHG}(\omega) = \text{sinc}^2(\Delta k L/2) \int I_1(\omega - \omega') I_2(\omega') d\omega'$$

OR

$$I_{SHG}(\omega) = \text{sinc}^2(\Delta k L/2) I_1(\omega) \otimes I_2(\omega)$$

OR

$$I_{SHG}(\omega) = H(2\omega) I_1(\omega) \otimes I_2(\omega)$$

Where  $H(2\omega) = \frac{\text{sin}^2(\Delta k(2\omega, \theta) L/2)}{(\Delta k(2\omega) L/2)^2}$

The filter function  $H(2\omega)$  is evaluated for the case of perfect phase matching

$$\theta = \theta_{pm} \quad \text{where} \quad \Delta k_0 = 0$$

## Major Points

- 1) The width of the SHG spectrum is related to the autoconvolution of the fundamental spectrum.
- 2) Width of  $H(\omega)$  depends on GVM  $\Rightarrow \Delta v_g^{-1} L$  and the crystal length.
- 3) Center of  $H(\omega)$  depends on  $\Delta k_0$

# How to calculate the spectral filtering?

- 1) Find  $I_1(\omega)$  and  $\Delta\lambda$  of the fundamental pulse
- 2) Determine  $\Delta k(2\omega, \theta)$  given  $n_e(\lambda) + n_o(\lambda)$  of your given crystal. Set  $\theta = \theta_{pm}$  (perfect phase matching)
- 3) Find  $H(2\omega) = \frac{\sin^2(\Delta k(2\omega, \theta_{pm}) L/2)}{(\Delta k(2\omega, \theta_{pm}) L/2)}$
- 4) ~~Find the SHG~~ Determine the SHG spectrum from  $I_1(\omega) \otimes I_1(\omega)$ . Find its spectral width  $\Delta\lambda_{SHG}$
- 5) Determine the filtered SHG spectrum using

$$H(2\omega)(I_1(\omega) \otimes I_1(\omega))$$

- 6) Make sure the filtered spectrum  $\Delta\lambda_{SHG, filtered}$  is not significantly different than  $\Delta\lambda_{SHG}$

# Lecture 15

# Applications for SHG

Back to  $I_{SHG}(\omega) \Rightarrow$  Problem with Weiner result for chirped pulses

$$I_{SHG}(\omega) \approx \text{sinc}^2(AkL/2) \left| \int E_1(\omega') E_1(\omega - \omega') d\omega' \right|^2$$

for transform-limited pulses (or nearly transform-limited pulses)

$$I_{SHG}(\omega) \approx \text{sinc}^2(AkL/2) [I_1(\omega) \otimes I_1(\omega)]$$

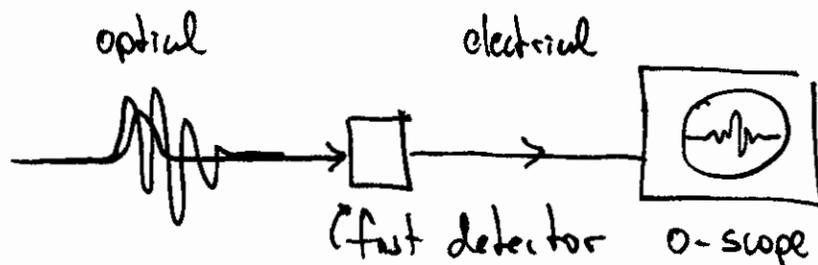
The presence of a phase distortion does not modify the spectral width but does modify the spectral shape

What about  $I_{SHG}(t)$ ?

The SHG intensity will also be a function of chirp.

## Applications      Pulse measurement

We really want



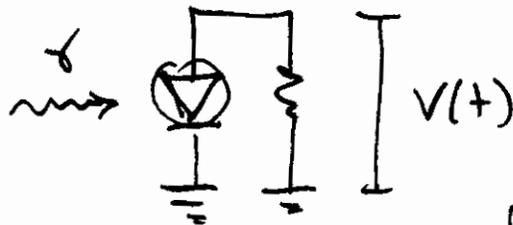
Why can't we do this! Motion of electrons in the detector cannot follow the oscillations of the optical electric field.

$$V(t) = \uparrow h(t) \otimes I(t)$$

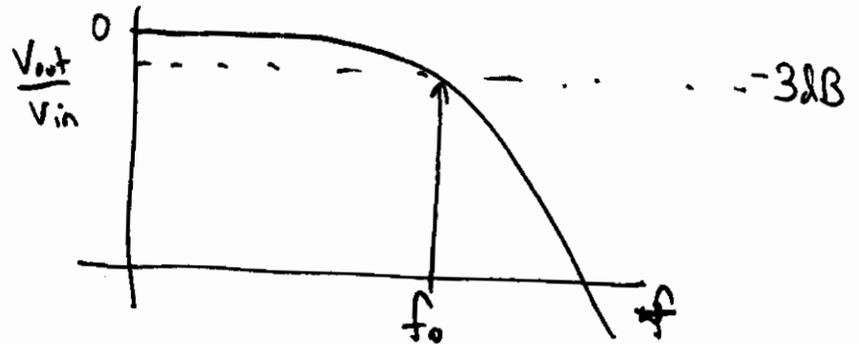
↑ detector response

# Really Fast optical detector

Converts optical power to a current, or a voltage



"Bandwidth"  $f_0 \sim 100 \text{ GHz}$



$\frac{1}{f_0} \sim 0.1 \text{ ps}$   $\Leftarrow$  not fast enough to resolve the oscillations of the pulse's electric field

(really more like 1ps) field oscillations

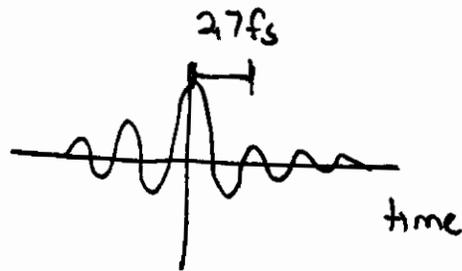
## Example

$$\lambda_0 = 800 \text{ nm}$$

$$\omega_0 = \frac{2\pi c}{\lambda_0} = 2.35 \text{ 1/fs}$$

$$f_0 = 0.375 \text{ 1/fs}$$

$$1/f_0 = 2.7 \text{ fs (single cycle)}$$



We need a better method

What do we want to measure?

$$E(t) \equiv \sqrt{I(t)} \exp(i\phi(t))$$

$$I(t) \equiv \begin{matrix} \text{Temporal} \\ \text{Intensity} \end{matrix} \quad \phi(t) \equiv \begin{matrix} \text{Temporal} \\ \text{Phase} \end{matrix}$$

OR we can write

$$E(\omega) \equiv \sqrt{I(\omega)} \exp(i\phi(\omega))$$

$$I(\omega) \equiv \begin{matrix} \text{spectral} \\ \text{intensity} \end{matrix} \quad \phi(\omega) \equiv \text{spectral phase}$$

Can we measure  $E(\omega) + E(t)$  directly  $\Rightarrow$  Difficult.  
carrier measurements provided limited information about  $E(t)$

### Intensity Autocorrelation

Gives an estimate of the pulse duration and shape

Really a "guess-estimate"

Measure  $\underline{I_{ac}(\tau)} = \int I(t) I(t-\tau) dt$   
autocorrelation

How to do this  $\Rightarrow$  use SHG generation

Prove

$$\mathcal{F}\{\Gamma^{(2)}(t)\} = \mathcal{F}\left\{\int E(t) E^*(t-\tau) dt\right\} = I(\omega)$$

$$\mathcal{F}\left\{\int E(t) E^*(t-\tau) dt\right\} = \mathcal{F}\left\{E(t) \overset{\text{convolution}}{\otimes} E^*(t)\right\}$$

From the convolution theorem  $\mathcal{F}\{g \otimes f\} = \mathcal{F}\{g\} \mathcal{F}\{f\}$

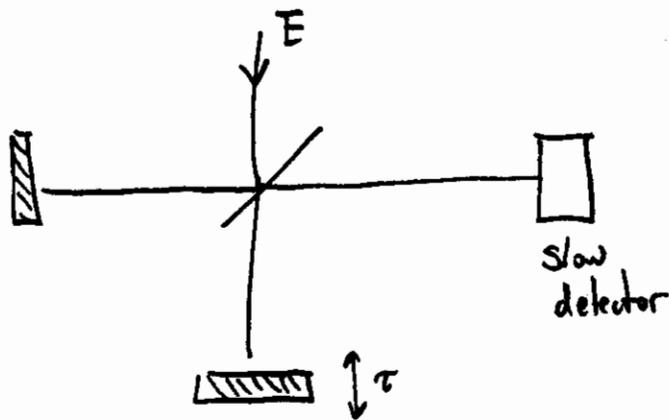
So

$$= \mathcal{F}\{E(t)\} \mathcal{F}\{E^*(t)\}$$

$$= E(\omega) E^*(\omega)$$

$$= I(\omega)$$

Can we use a Michelson Interferometer?!



Measure interferogram

$$I_m(\tau) \sim \int |E(t) - E(t-\tau)|^2 dt$$

$$I_m(\tau) \sim \frac{2 \int |E(t)|^2 dt}{\text{pulse intensity}} - \frac{2 \operatorname{Re} \left\{ \int E(t) E^*(t+\tau) dt \right\}}{\text{interferogram Field autocorrelation } (\Gamma^{(2)}(\tau))}$$

$$\text{But } \mathcal{F} \left\{ \Gamma^{(2)}(\tau) \right\} = I(\omega) \quad (\text{the spectrum!})$$

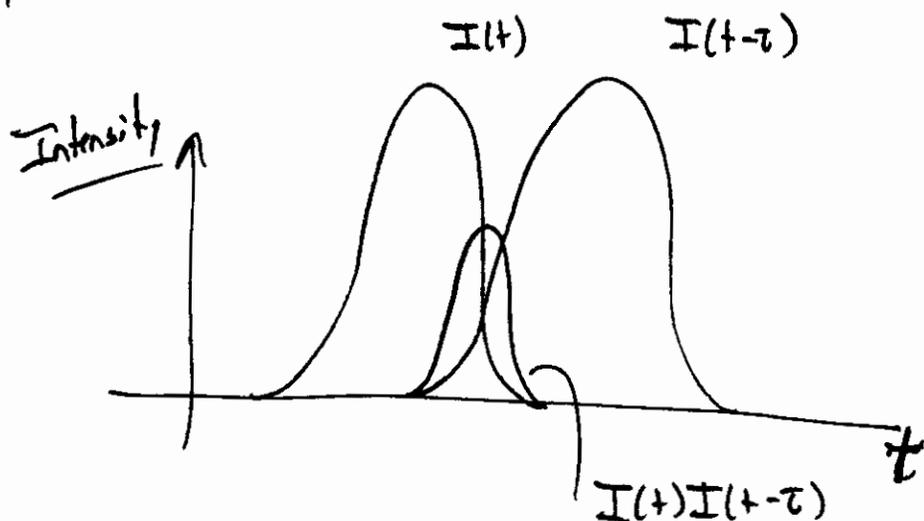
Measuring interferometer is same as measuring the spectrum.

→ If you do not have a detector or modulator that is fast compared to the pulse you cannot measure the pulse intensity + phase

Need something that has a faster response  $\Rightarrow \chi^{(2)}$  effects!!

## Pulse measurement in time domain: Intensity AC

Wish to overlap two pulses in a crystal as a function of delay  $\tau$



$$I_{AC}(\tau) \equiv \int I(t) I(t-\tau) dt$$

The second harmonic intensity is a function of  $\tau$  and the temporal overlap in the nonlinear crystal

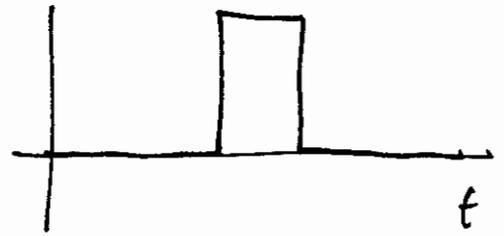
The response of the medium provides the fast temporal resolution to measure the pulse duration.

Note that  $I_{AC}(\tau) = I_{AC}(-\tau)$

# Mathematical Picture of an autocorrelation.

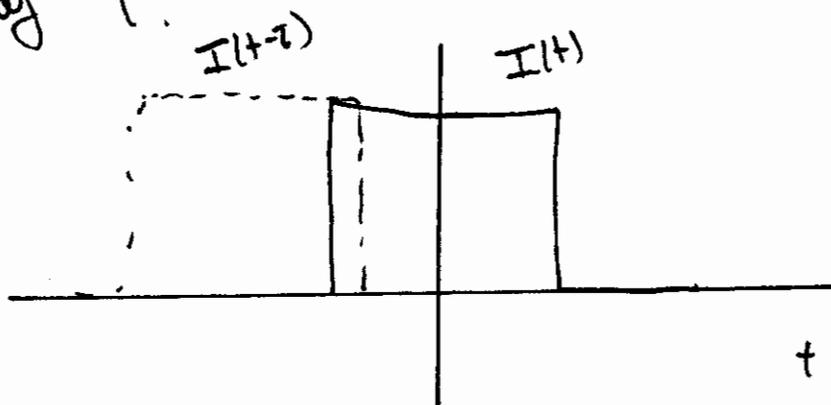
Pulse

$$I(t) = \begin{cases} 1 & |t| \leq \Delta t/2 \\ 0 & \text{elsewhere} \end{cases}$$



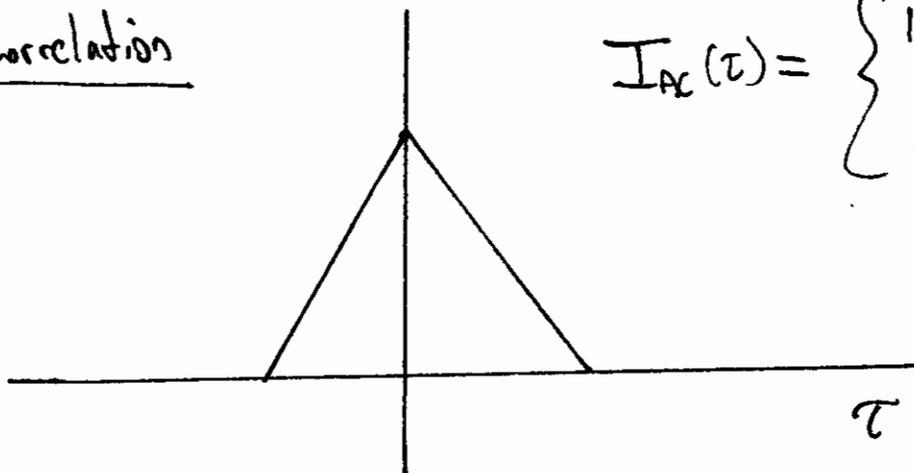
Autocorrelation

Scan a replica of the pulse  $I(t-\tau)$  for all values of  $t$ .



Autocorrelation

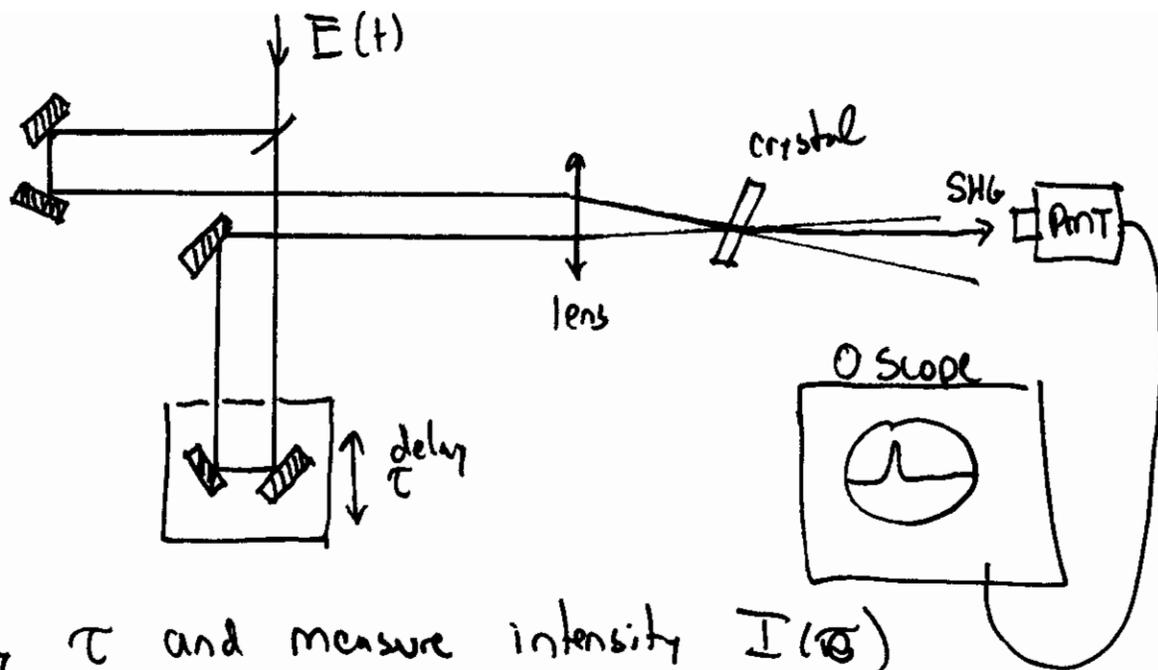
$$I_{AC}(\tau) = \begin{cases} 1 - \frac{|\tau|}{\Delta t_{AC}} & \tau < \Delta t_{AC} \\ 0 & \text{elsewhere} \end{cases}$$



Where

$$\Delta \tau_{AC} = \Delta t$$

## Setup



Scan delay  $\tau$  and measure intensity  $I(\tau)$

Due to the non colinear focusing in the crystal, SHG ~~will~~ into the PMT (photomultiplier tube) will be detected for an ~~over~~ temporal overlap. The SHG intensity as a function of delay will be the autocorrelation of the fundamental intensities.

$$I_{AC}(\tau) \sim \int I(t) I(t-\tau) dt$$

## Advantages

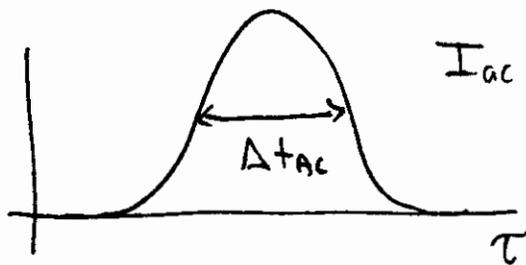
- Can scan  $\tau$  at any rate
- gives a "pretty good" estimate of  $\Delta t$ .  
maximum

## Disadvantages

- Need to guess form of  $E(t)$  to get estimate of  $\Delta t$
- Does not measure  $E(t)$  or even  $I(t)$
- Autocorrelations are not unique  $\Rightarrow$  multiple  $E(t)$  will produce the same autocorrelation.
- Cannot get  $\phi(t)$  or  $\phi(\omega)$

## Example

Measure  $I_{AC}(\tau)$



$$\Delta t_{AC} = 100 \text{ fs}$$

Want pulse duration  $I(t)$ .

Guess shape  $\Rightarrow \text{sech}^2(t)$

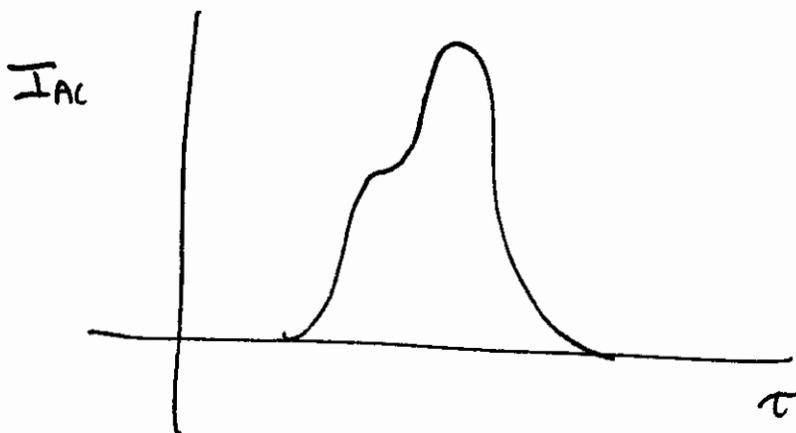
$$\text{So } \frac{\Delta t}{\Delta t_{AC}} = 0.6482 \quad \text{for } \text{sech}^2(t)$$

$$\boxed{\Delta t \approx 64.8 \text{ fs}}$$

Autocorrelation of  $\text{sech}^2(t/T)$   $\tau \equiv \Delta t \left( \frac{1}{2 \text{sech}^2(0.5)} \right)$

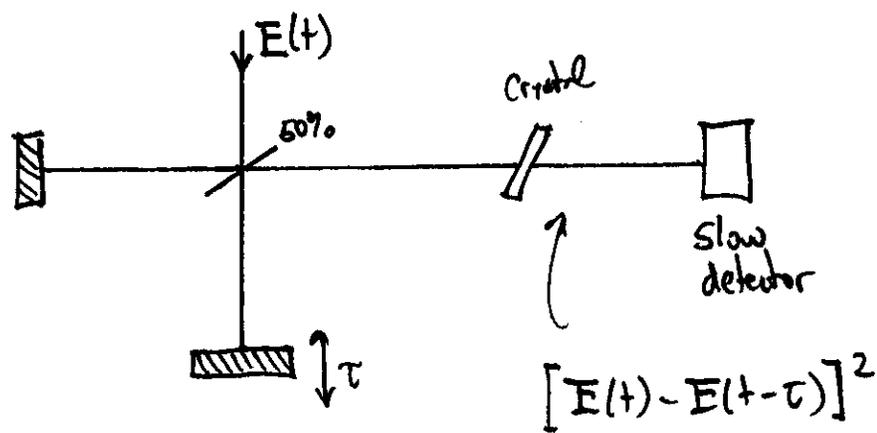
$$I_{AC}(t) = \frac{3}{\sinh^2(t/T)} \left( (t/T) \coth(t/T) - 1 \right)$$

What is wrong with this?



# Another method: Interferometric Autocorrelator

Michelson interferometer with SHG crystal



$$I_{IAC}(\tau) = \int_{-\infty}^{\infty} |E(t) - E(t-\tau)|^2 dt$$

Notice the difference from the Michelson with ~~SHG~~ without the SHG crystal

without  $I_m(\tau) \sim \int_{-\infty}^{\infty} |E(t) - E(t-\tau)|^2 dt$

without  $I_{IAC}(\tau) \sim \int_{-\infty}^{\infty} |E(t) - E(t-\tau)|^2 dt$

$$I_{IAC}(\tau) \equiv \int_{-\infty}^{\infty} |E^2(t) + E^2(t-\tau) - 2E(t)E(t-\tau)|^2 dt$$

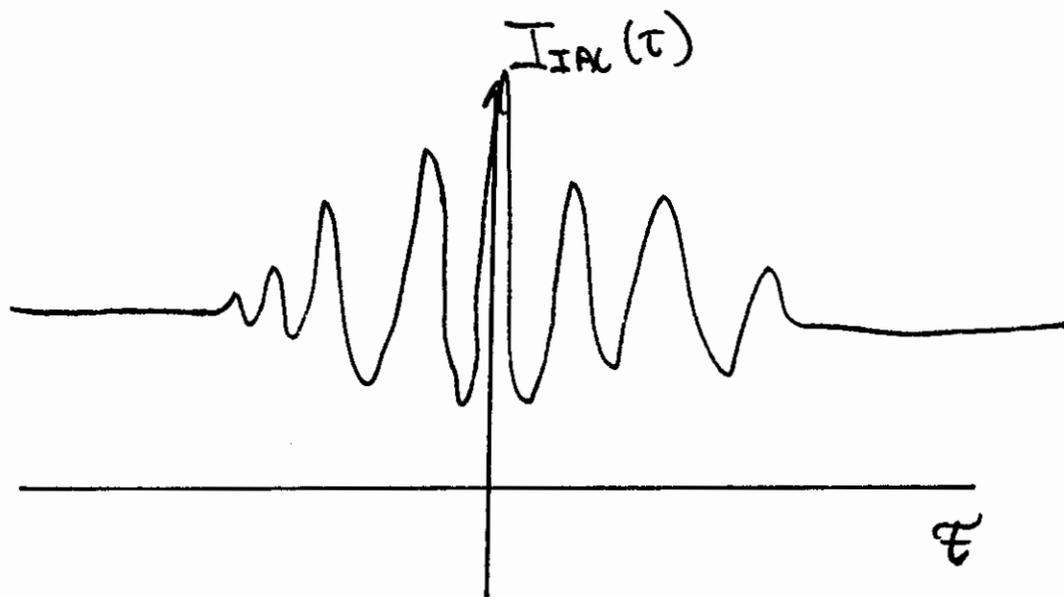
Expand this

$$\underline{I_{IAC}}(\tau) = \int_{-\infty}^{\infty} (I(t) + I(t-\tau)) dt \quad (\text{constant})$$

$$+ 4 \int_{-\infty}^{\infty} I(t) I(t-\tau) dt \quad (\text{Intensity AC})$$

$$+ 2 \int_{-\infty}^{\infty} [I(t) + I(t-\tau)] E(t) E^*(t-\tau) dt + \text{c.c.} \quad \left( \begin{array}{l} \text{Sum of intensities} \\ \text{weighted interference} \\ (\omega_0) \end{array} \right)$$

$$+ \int_{-\infty}^{\infty} E^2(t) E^{2*}(t-\tau) dt + \text{c.c.} \quad \left( \begin{array}{l} \text{Interferogram of second} \\ \text{harmonic} \\ 2\omega_0 \end{array} \right)$$



Advantages :

- Measure pulse duration.
- Qualitative test of phase modulation, Quantitative for linear chirp
- Method to get complete  $E(t)$  using pulse spectrum (RICKS) (RICKS)

# Lecture 16 More Applications of SHG : FROG

Frequency Resolved Optical Gating

- Pulse characterization

What do we wish to measure?

Intensity

+ Phase

$I(t)$

$\phi(t)$

$I(\omega)$

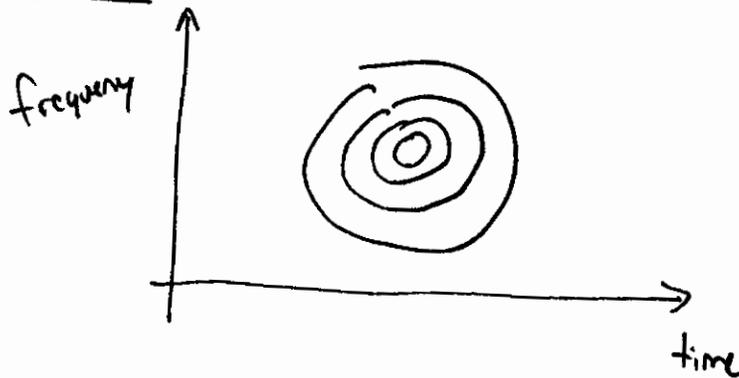
$\phi(\omega)$

Intensity AC does not provide this information

$$I_{AC}(\tau) \sim \int I(t) I(t-\tau) dt$$

Not enough data here to provide intensity + phase. Can we somehow get more data?

Time frequency Domain



Like a musical score



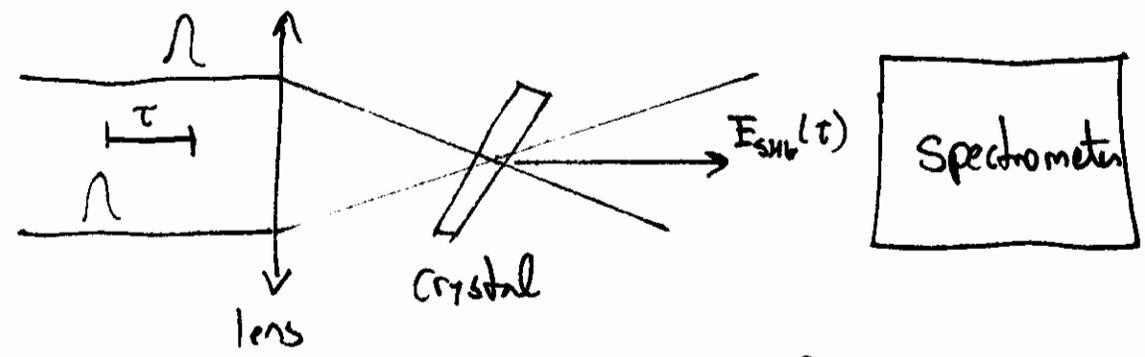
We can set up an experiment to measure the Spectrogram or time-frequency representation of the pulse

$$I_{\text{FROG}}^{\text{SHG}}(\omega, \tau) = \left| \int_{-\infty}^{\infty} E(t) E(t-\tau) e^{-i\omega t} dt \right|^2$$

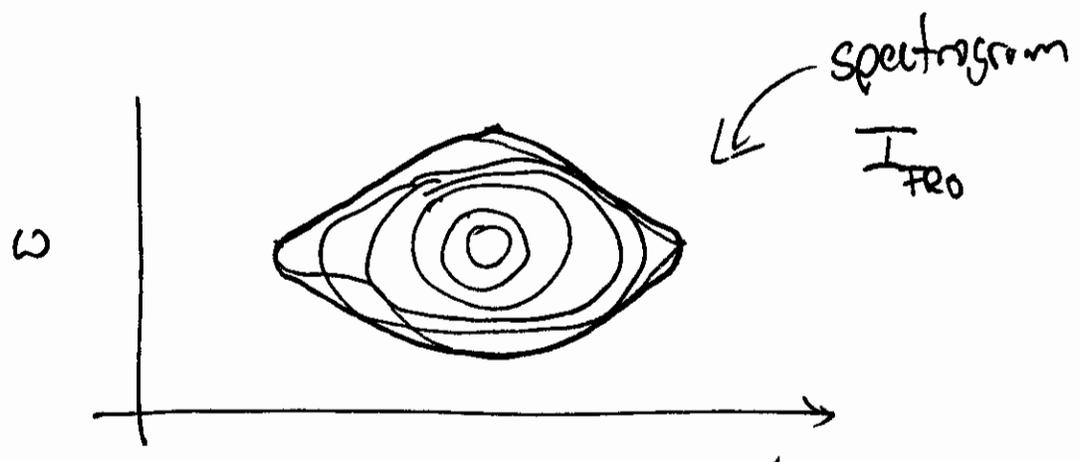
↙
Form. of nonlinearity

SHG FROG TRACE

How to do this? Use our intensity autocorrelator . . . .



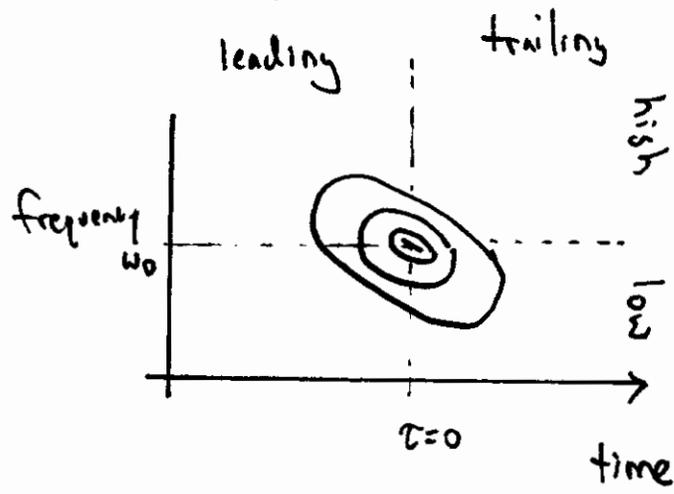
Here we spectrally resolve the SHG as a function of  $\tau$



Spectrally resolved intensity autocorrelation.

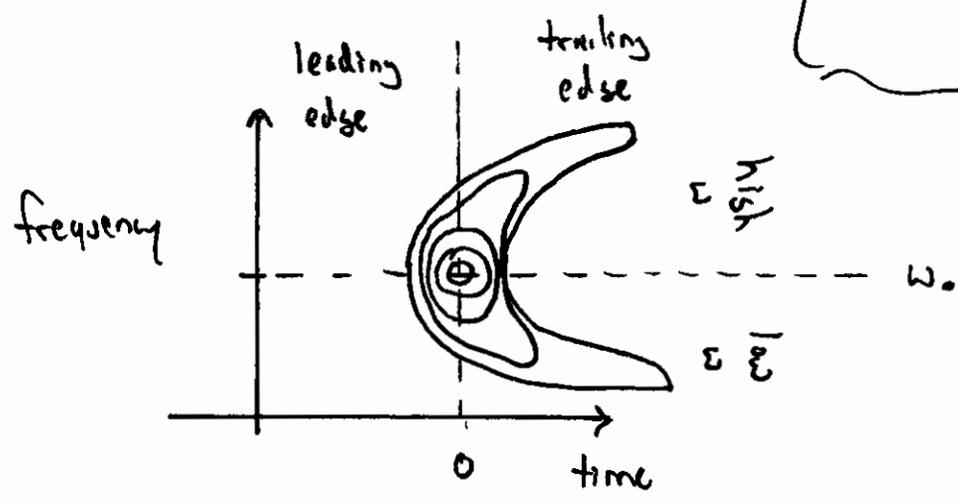
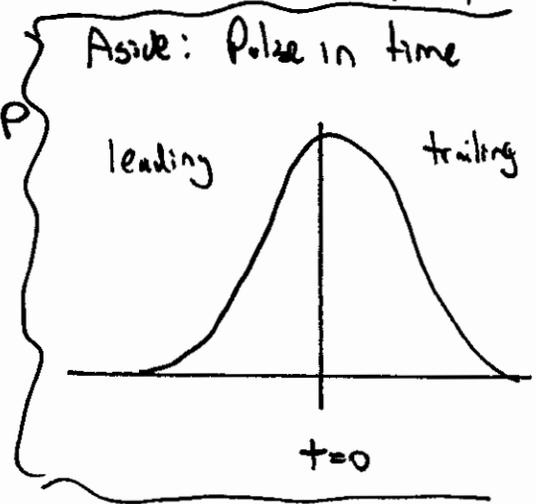
# FROG traces are very intuitive

^ FROG



This spectrogram tells me that the higher frequency components of a pulse arrive before the lower frequency components

⇒ negative chirp



This shape tells me that the center frequency arrives 1st  
the the ~~transient~~ high + low

Except! SHG spectrograms are always symmetric  
about  $\tau + \omega$ !!

Not intuitive!!

SHG FROG Experimentally is a spectrally resolved intensity autocorrelation.

In general FROG can be used with other nonlinearities.

$$I_{\text{FROG}}(\tau, \omega) = \left| \int E_{\text{sig}}(t, \tau) \exp(-i\omega t) dt \right|^2$$

$$E_{\text{sig}}(t, \tau) \sim \begin{cases} E(t) |E(t-\tau)|^2 & \text{polarization gate} \\ E^2(t) E^*(t-\tau) & \text{self diffraction} \\ E(t) E(t-\tau) & \text{SHG} \\ E^3(t) E(t-\tau) & \text{Third harmonic generation} \end{cases}$$

FROG consists of two parts

- 1) Measurement apparatus
- 2) 2D Phase retrieval algorithm  
(FROG Algorithm)

# FROG Algorithm : 2D Phase retrieval

An iterative method to find Intensity + phase.

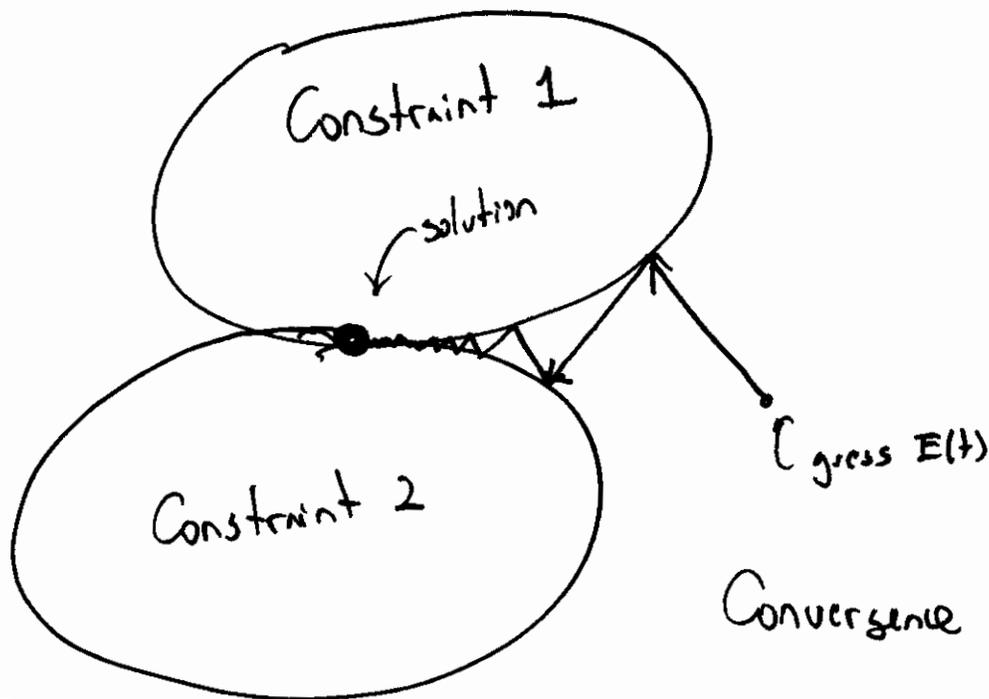
Solution must satisfy two constraints:

Constraint 1 : Set of  $E(t)$  that satisfy

$$I_{sig}(t, \tau) \sim E(t) E(t-\tau)$$

Constraint 2 : Set of  $E(t)$  that satisfy

$$I_{FROG}(\epsilon, \omega) = \left| \int I_{sig}(t, \tau) \exp(-i\omega t) dt \right|^2$$



Convergence is not guaranteed.

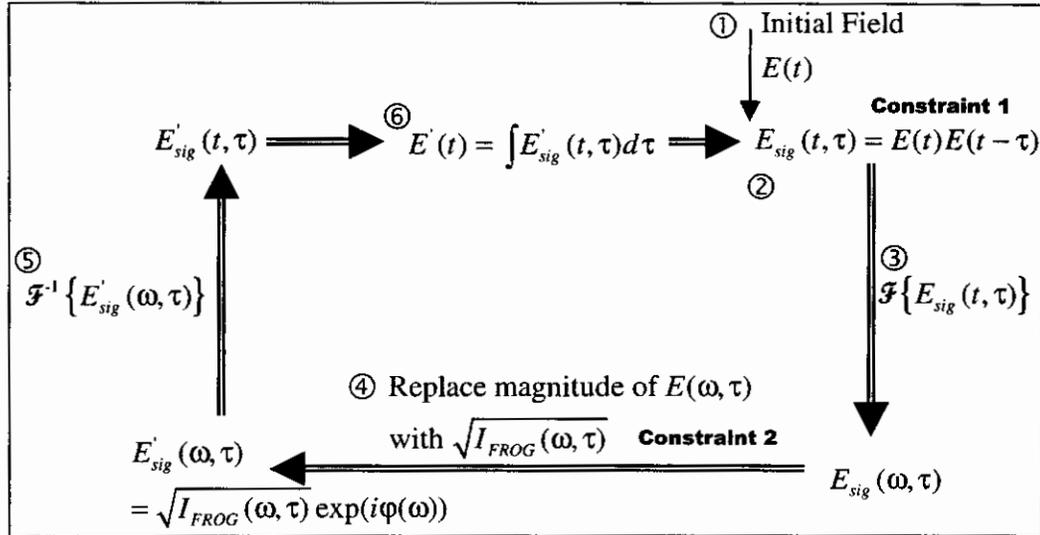


Figure 2.15 The FROG algorithm with generalized projections. The steps of the FROG algorithm are:

- ① First, an initial guess electric field,  $E(t)$ , is generated, typically intensity noise or Gaussian profile.
- ② The quantity  $E_{sig}(t, \tau)$  is calculated by Eq. (2.15), applying Constraint #1.
- ③ The quantity  $E_{sig}(\omega, \tau)$  is determined using the 1D Fourier transform with respect to  $t$ .
- ④ In the frequency domain, the magnitude of  $E_{sig}(\omega, \tau)$  is replaced by the experimental spectrogram  $\sqrt{I_{FROG}(\omega, \tau)}$  while the phase is kept the same, applying Constraint #2.
- ⑤ The 1D inverse Fourier transform is performed to obtain  $E'_{sig}(t, \tau)$ .
- ⑥ Finally, a new  $E'(t)$  is calculated from  $E'_{sig}(t, \tau)$ .

The new field  $E'(t)$  is used as the new input to step ② and the process repeats. At the  $k^{\text{th}}$  iteration the FROG error  $G$  is calculated by

$$G = \sqrt{\frac{1}{N^2} \sum_{i=1}^N \sum_{j=1}^N [I_{FROG}^{(k)}(\omega_i, \tau_j) - I_{FROG}(\omega_i, \tau_j)]^2} \quad (2.37)$$

## FROG Advantages

- Provides information on intensity & phase (if you trust the algorithm)
- Has "self-checks" built in temporal & frequency marginals

## FROG Disadvantages

- A bit of a complicated experiment
- Algorithm a "black box"
- Susceptible to systematic errors  
crystal thickness, misalignment, etc.
- SHG FROG does not give the sign of phase distortion.

# Comparison of Ultrashort Pulse Functional Forms for the electric field: Gaussian and Sech

Brian Washburn version 1 9/21/07

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<< Graphics`Graphics`
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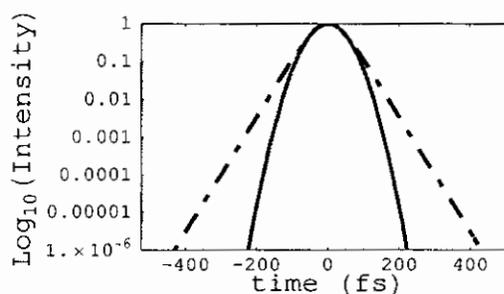
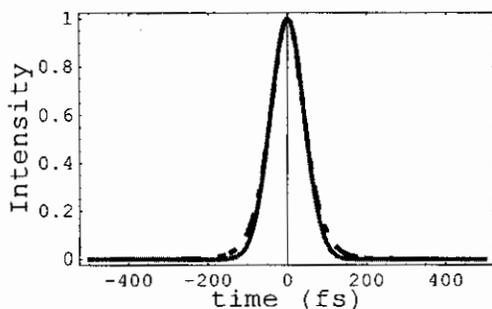
I wish to plot a  $\text{sech}^2$  pulse and a Gaussian pulse with the same intensity full width at half maximum and peak power.

```
 $\Delta t = 100; P_0 = 1;$ 
```

```
eg[t_] =  $\sqrt{P_0} \text{Exp}[-2 \text{Log}[2] \left(\frac{t}{\Delta t}\right)^2]$ ; es[t_] =  $\sqrt{P_0} \text{Sech}[2 \text{ArcSech}[\sqrt{0.5}] \frac{t}{\Delta t}]$ ;
Ig[t_] = eg[t] * Conjugate[eg[t]]; Is[t_] = es[t] * Conjugate[es[t]];
```

Here I plot both pulse shapes. The Gaussian is the solid line and the  $\text{sech}^2$  is the dotted line. The hyperbolic secant pulse has wider wings, which is quite pronounced on the Log plot.

```
p1 = Plot[{Ig[t], Is[t]}, {t, -500, 500},
  Frame -> True, PlotRange -> {All, All}, FrameLabel ->
  {StyleForm["time (fs)", FontSize -> 14], StyleForm["Intensity", FontSize -> 14]},
  PlotStyle -> {{RGBColor[1, 0, 0], Thickness[0.01]}, {RGBColor[0, 0, 1],
  Dashing[{0.01, 0.05, 0.05, 0.05}], Thickness[0.01]}}, DisplayFunction -> Identity];
p2 = LogPlot[{Ig[t], Is[t]}, {t, -500, 500}, Frame -> True, PlotRange -> {All, {10-6, 1}},
  FrameLabel -> {StyleForm["time (fs)", FontSize -> 14],
  StyleForm["Log10(Intensity)", FontSize -> 14]},
  PlotStyle -> {{RGBColor[1, 0, 0], Thickness[0.01]}, {RGBColor[0, 0, 1],
  Dashing[{0.01, 0.05, 0.05, 0.05}], Thickness[0.01]}}, DisplayFunction -> Identity];
Show[GraphicsArray[{p1, p2}]];
```

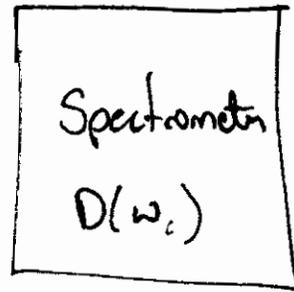
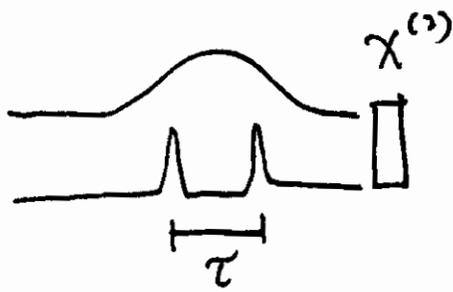


# Other pulse measurement techniques

Spectral phase interferometry for direct electric field reconstruction (SPIDER)

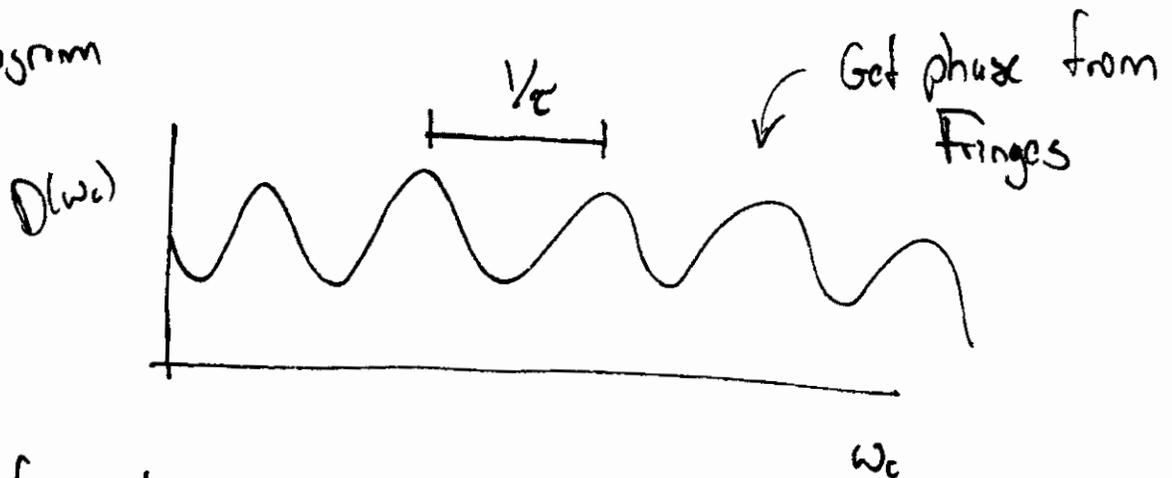
Idea:

Two replicas of the pulse are mixed with a highly chirped pulse in a nonlinear crystal



$$D(\omega_c) = |E_{\text{signal}}(\omega_c) + E_{\text{chirp}}(\omega_c)|^2$$

Get interferogram



Spectral interferometry + mixing in a nonlinear crystal

# Lecture 17

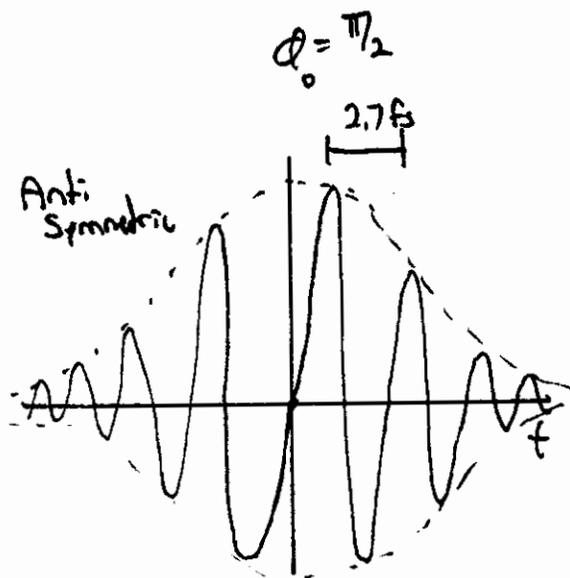
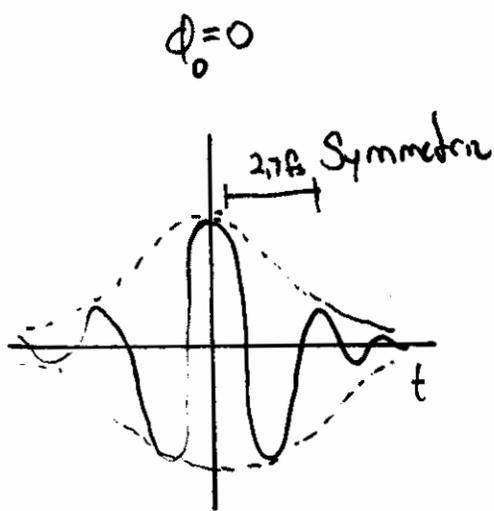
# Carrier Envelope Phase

⇒ The Frequency Comb

Consider the "real" electric field of a pulse

$$E(t) = E_0 \operatorname{sech}(t/\Delta t) \cos(\omega_0 t + \phi_0)$$

absolute phase

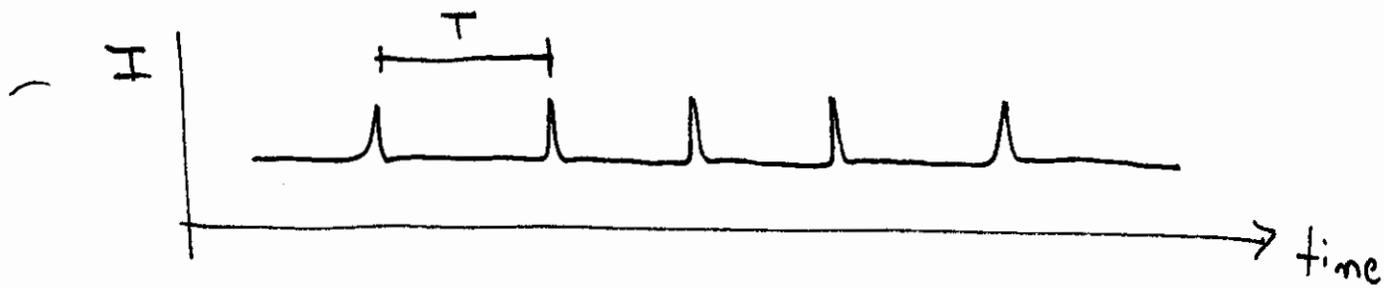


For a 800 nm the period of a single cycle is 2.7 fs

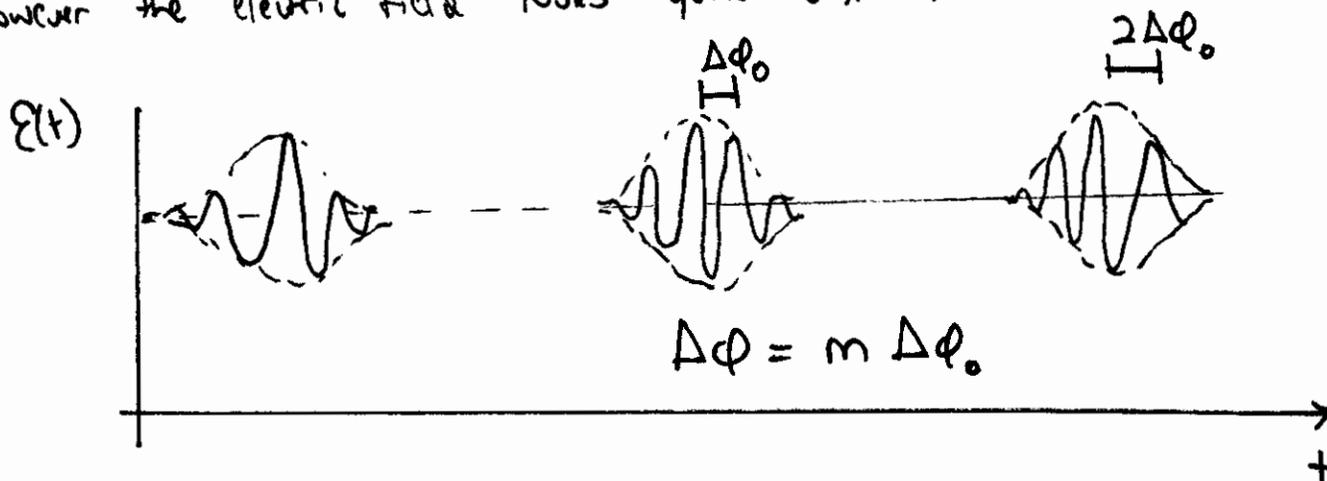
Perhaps we wish to control the absolute phase  $\phi_0$  of a pulse.

But we never have just one pulse. A mode locked laser produces many pulses, millions per second!!

We have a pulse train



However the electric field looks quite different



In the laser cavity the group + phase velocities are not the same and not constants over time.

$$\Delta\phi_0 = \left( \frac{1}{v_g} - \frac{1}{v_p} \right) L \omega_0$$

National Ignition  
Facility  
1.8 MJ  
(3 times a day)

⇒ But  $v_g(t) + v_p(t)$  due to cavity fluctuations

Each successive pulse will pick up an extra  $\Delta\phi$  even if there are no cavity fluctuations.

# Derivation of Frequency Comb From a pulse Train

- Consider a pulsed electric field in the time domain, ( $\text{sech}^2$ )

$$E(t) = E_0 \text{sech}(\eta t / \Delta t) \exp(-i\phi(t)) \exp(-i(\omega_0 t + \phi_0)) \otimes \sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(-im\Delta\phi_0) \quad (1)$$

Where  $\Delta t \equiv \text{FWHM}$        $\Delta\phi_0 \equiv \text{CEO phase}$        $\eta \approx 1.763$   
 $\omega_0 \equiv \text{carrier frequency}$        $F \equiv \text{Rep. Rate}$   
 $\phi_0 \equiv \text{Absolute phase of 1st pulse}$        $\phi(t) \equiv \text{Temporal phase}$   
 $\otimes \equiv \text{convolution}$        $\delta(\cdot) \equiv \text{Delta function}$

Fourier Transform this result

$$\mathcal{F}\{E(t)\} =$$

$$\mathcal{F}\left\{ E_0 \text{sech}(\eta t / \Delta t) \exp(-i\phi(t)) \exp(-i(\omega_0 t + \phi_0)) \otimes \sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(-im\Delta\phi_0) \right\}$$

Use convolution th<sup>m</sup>

$$\mathcal{F}\{E(t)\} = \mathcal{F}\left\{ E_0 \text{sech}(\eta t / \Delta t) \exp(-i\phi(t)) \exp(-i(\omega_0 t + \phi_0)) \right\} \times \mathcal{F}\left\{ \sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(-im\Delta\phi_0) \right\} \quad (2)$$

To compute both FT, the shift th<sup>m</sup> will be used multiple times

$$\mathcal{F}\{f(t-a)\} = \exp(i\omega a) f(\omega) \quad | \text{shift}$$

$$\text{(or)} \quad \mathcal{F}\{f(t) \exp(+iat)\} = f(\omega-a) \quad | \text{modulation}$$

(the converse th<sup>m</sup> is also called the modulation th<sup>m</sup>)

- Derive the modulation thm  $\left\{ \begin{array}{l} \text{A phase shift in the time} \\ \text{domain is a shift in absolute} \\ \text{frequency in the frequency domain.} \end{array} \right.$

$$\begin{aligned} \mathcal{F}\{f(t) \exp(+iat)\} &= \int -f(t) \exp(+iat) \exp(-i\omega t) dt \\ &= \int f(t) \exp(-i(\omega-a)t) dt \\ &= f(\omega-a) \end{aligned}$$

se the modulation thm on the 1st term of Eq 2.

$$\mathcal{F}\{E_0 \operatorname{sech}(\gamma t/\Delta t) \exp(-i\phi(t)) \exp(-i\omega_0 t - i\phi_0)\}$$

$$= \exp(-i\phi_0) \mathcal{F}\left\{ \overbrace{E_0 \operatorname{sech}(\gamma t/\Delta t) \exp(i\phi(t))}^{\text{complex envelope}} \overbrace{\exp(-i\omega_0 t)}^{\text{Carrier}} \right\}$$

$$= \boxed{\exp(-i\phi_0) E(\omega - \omega_0)} \quad \textcircled{b} \quad \boxed{\exp(i\phi_0) \operatorname{sech}((\omega - \omega_0)/\Delta\omega) \exp(i\phi(\omega))} \quad (3)$$

where  $E(\omega - \omega_0)$  is the spectral representation of the electric field centered at  $\omega_0$ .

$$\text{i.e. } E(\omega - \omega_0) = \sqrt{I(\omega - \omega_0)} \exp(i\phi(\omega))$$

$\uparrow$  spectrum                       $\uparrow$  spectral phase

se Modulation thm on the 2nd term of Eq 2.

$$\mathcal{F}\left\{ \sum \delta(t - n/F) \exp(-in\Delta\phi_0) \right\}$$

The FT of a comb function is another comb function, with different separation

$$\mathcal{F}\left\{ \sum_n \delta(t - n/F) \right\} = \sum_j \delta(\nu - jF) = \sum_j \delta(\omega - j2\pi F)$$

Using the modulation theorem, the exponential term will shift the comb by  $\Delta\phi_0/2\pi$ , thus

$$\mathcal{F}\left\{\sum_n S(t - n/F)\right\} =$$

$$\sum_n S(t - n/F) \exp(-im\Delta\phi_0)$$

$$= \sum_j \delta(\omega - j2\pi F + \Delta\phi_0/2\pi)$$

$$= \sum_j \delta(\omega - j2\pi F + \omega_0)$$

↑  
CEO frequency

(4)

Combining (3) & (4)

$$E(\omega) = \exp(i\phi(\omega)) \mathcal{F}\left\{E_0 \operatorname{sech}(\eta t)\right\}$$

$$E(\omega) = E_0 \operatorname{sech}(\eta \frac{\omega - \omega_0}{\Delta\omega}) \exp(i\phi(\omega))$$

$$E(\omega) = E_0 \operatorname{sech}(\eta \frac{\omega - \omega_0}{\Delta\omega}) \exp(i\phi(\omega)) \left[ \sum_j \delta(\omega - j2\pi F + \omega_0) \right] \exp(i\phi_0)$$

$\Delta\omega \equiv$  spectral FWHM,  $\omega_0 \equiv$  CEO frequency  
 $\phi(\omega) \equiv$  spectral phase,  $E_0 \equiv$  spectral magnitude.  
 $\eta = 1.763$

The goal is to make every pulse in the pulse train to look identical  $\Rightarrow$  Same form of  $E(t)$ .

Why?  $\Rightarrow$  Some experiments with ( $< 20$  fs pulses)  
@ 800nm  
 Short pulses depend on the peak time of the peak of  $E(t)$ .

How to write the electric field of a pulse train?

$$E(t) = \left[ E_0 \operatorname{sech}(t/\Delta t) \exp(-i\phi(t)) \exp(-i(\omega_0 t + \phi_0)) \right] \otimes \sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(-im\Delta\phi_0) \operatorname{sq}(T_w)$$

Where  $F \equiv$  freq rate  $= 1/T$   $\gamma \approx 2 \operatorname{sech}^{-1} 1/\sqrt{2} \approx 1.763$   
 $\omega_0 \equiv$  carrier frequency  
 $\otimes \equiv$  convolution  $\delta \equiv$  delta function  
 $\operatorname{sq}() \equiv$  unit square function  $T_w \equiv$  time the laser is on

The term

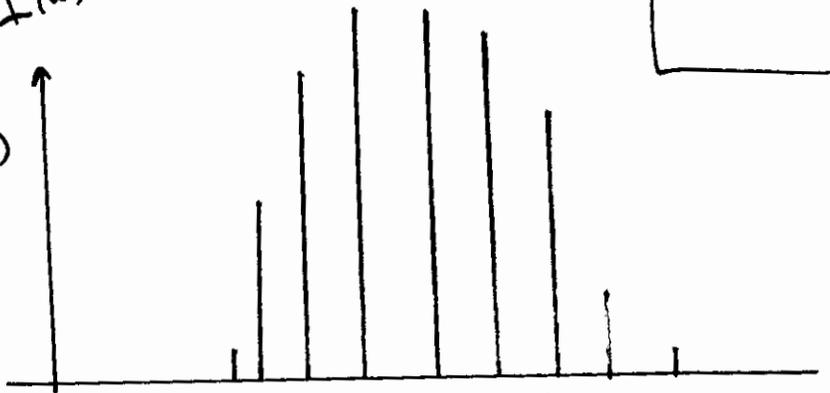
$$\sum_{m=-\infty}^{\infty} \delta(t - m/F) \exp(-im\Delta\phi_0)$$

is an array of delta functions each with phase  $m\Delta\phi_0$ .

The end result is the Fourier transform is the frequency comb.

Spectrum  $I(\omega)$

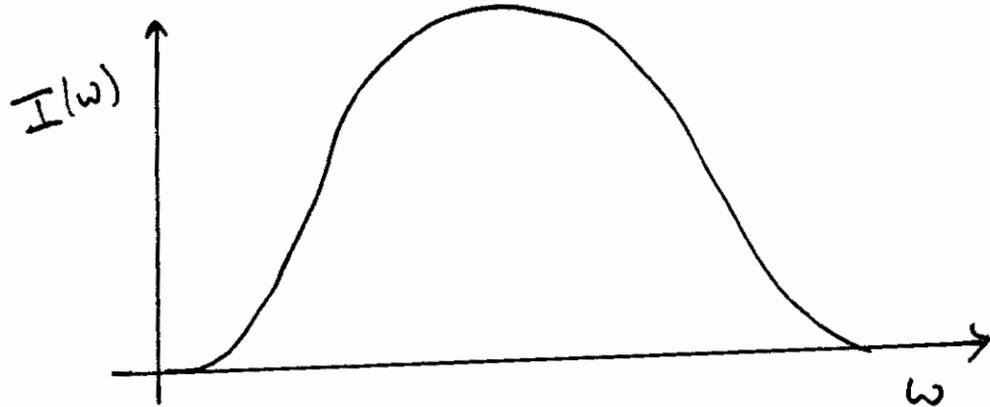
$I(\omega)$



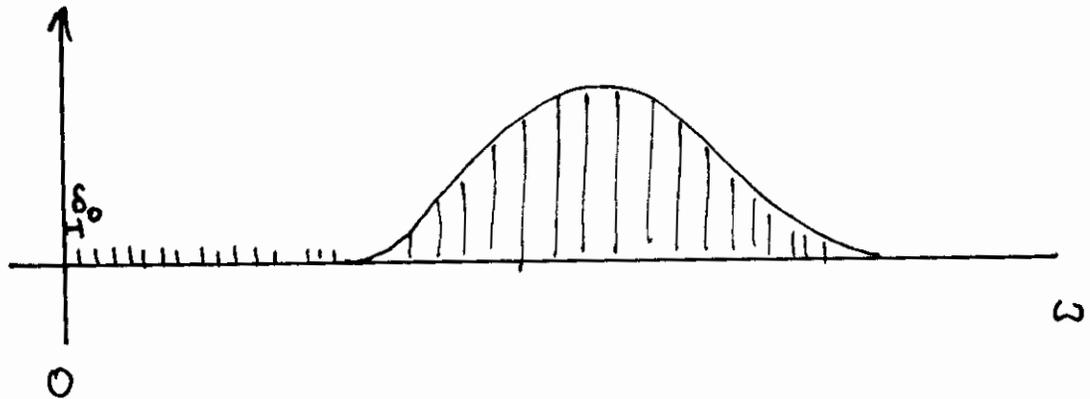
not this

$$f_n = nF + \delta_0$$

$$E(\omega) \approx A(\omega) e^{-i\phi_0} \sum_j \delta(\omega - j2\pi F + \delta_0)$$



The non zero  $\Delta\phi$  lets the extended comb not to fall at  $\omega=0$



The rate of ~~change~~ change of  $\Delta\phi$  depends on  $\delta_0$ .  
 If  $\delta_0 = 0$  then  $\partial_t \Delta\phi = 0$

To get every pulse in the train to have the same electric field we need to detect + fix  $\delta_0$

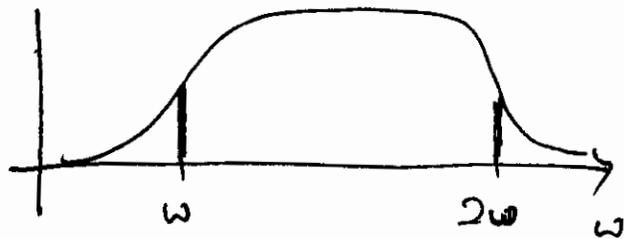
$$\delta_0 = \frac{1}{2\pi} F \Delta\phi \quad (\delta_0, f_0, f_{\text{ceo}})$$

To do thing correct we need to detect + fix  $F$  as well.

How to detect  $F$ ?  $\Rightarrow$  Easy, fast photodiode

How to detect  $\delta_0$   $\Rightarrow$  Hard, use SHG with an octave spanning bandwidth.

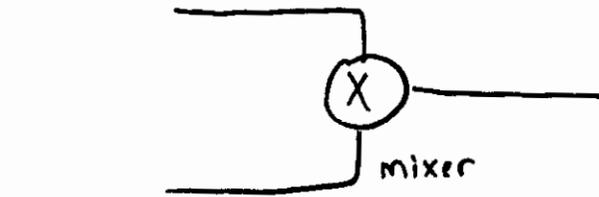
Octave spanning  $\Rightarrow$  spectrum covers  $\omega_0 + 2\omega_0$



Use SHG generation of  $\omega$  and beat against  $2\omega$  portion of the spectrum.

Heterodyne detection: A method to measure a frequency with an known frequency.

$\omega_1$  Known



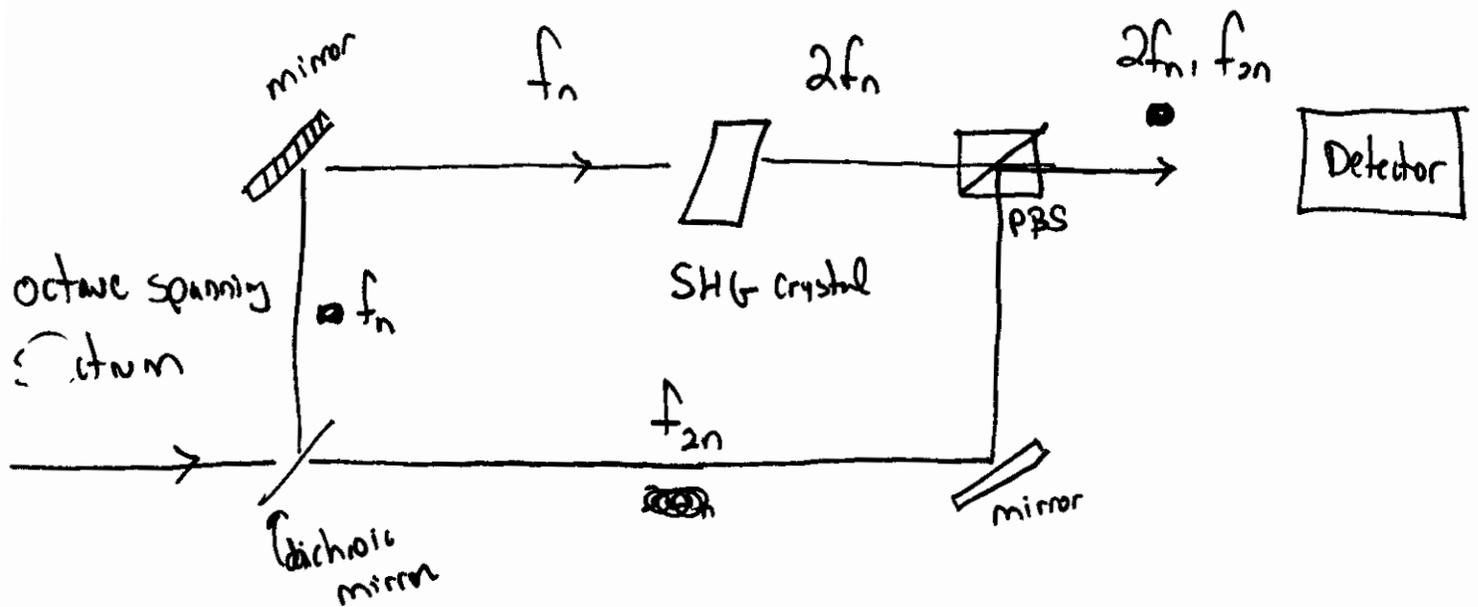
$\omega_1 + \omega_2$

$\omega_1 - \omega_2$

↑ measure this

Beat notes

$\omega_2$  unknown



⊗

$$f_n = nF + \delta_0$$

Thru SHG we get

$$\Rightarrow 2f_n = 2nF + 2\delta_0$$

But

$$\Rightarrow f_{2n} = 2nF + \delta_0$$

We heterodyne  $2f_n + f_{2n}$

$$2f_n - f_{2n} = 2nF + 2\delta_0 - 2nF - \delta_0 = \boxed{\delta_0} !!$$

So we can detect both  $F + S_0$ . Can we control them?

Control  $F \Rightarrow$  Easy, just change the cavity length of the mode locked laser.

Control  $S_0 \Rightarrow$  Difficult, we need to change the group velocity independent of the phase velocity

$$\Delta\phi_0 = \left( \frac{1}{v_g} - \frac{1}{v_p} \right) L \omega_c$$

Many ways to do this

- 1) Pump power modulation
- 2) Mirror tilt in the Fourier plane of a prism pair

Note that the detection of  $S_0$  depends on this octave spanning spectrum. Typically lasers do not produce an octave spanning spectrum, we need to do something to the pulse to broaden its spectrum. Thus we need another nonlinear effect to produce the octave spanning spectrum. That nonlinear effect will be a third order nonlinearity.

## Lecture 18

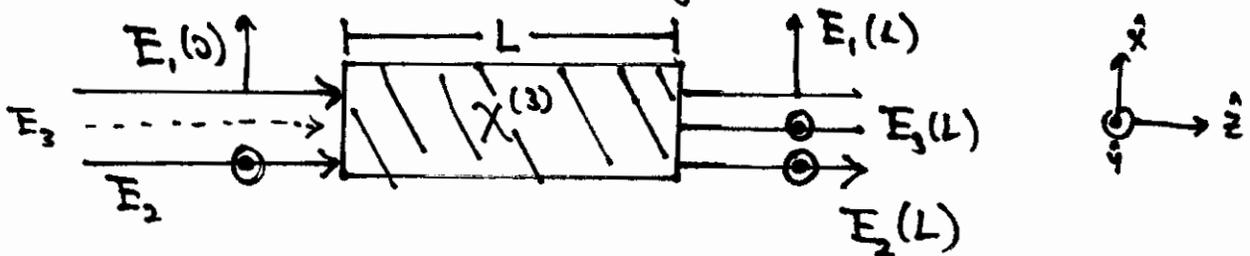
# Four wave mixing + the intensity-dependent index of refraction

Third order nonlinearities involve the interaction of three fields  $E$  to generate a nonlinear polarization

$$P_{NL} = \chi^{(3)} E_1(\omega) E_2(\omega) E_3(\omega)$$

We can, like for  $\chi^{(2)}$  effects, write down the Maxwell's wave equation and solve for the new electric field. In general this process is known as four wave mixing (FWM) since three input fields induce a nonlinear polarization which induces a fourth field  $E$ .

To see how this works consider the case of third harmonic generation:

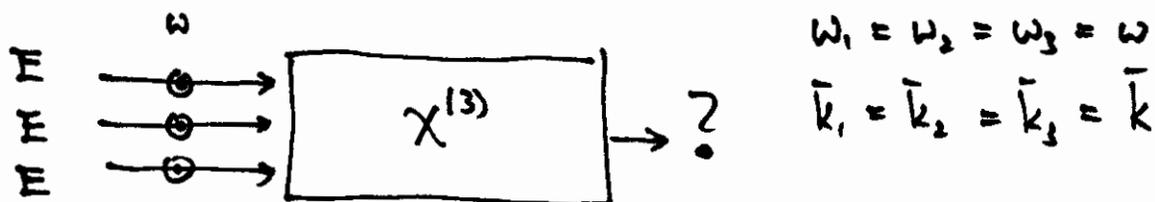


Inputs  $E_1(\omega) + E_2(\omega)$  which have orthogonal polarizations which both have frequency  $\omega$ . The generated field  $E_3(L)$  has frequency  $3\omega$ .

Write down the electric fields

Before we do this....

- Let's consider a simpler case of a FWM of three fields with same polarization + frequency



The name for this process is completely degenerate four wave mixing. This will involve the tensor element

$$\chi_{xxxx}^{(3)}(\omega, \omega - \omega, \omega)$$

Completely degenerate FWM leads to a change of the index of refraction that is dependent on the intensity  $I$ . This is also called single field degenerate FWM. The field changes the index of refraction it experiences!! This self modulation leads to two well known effects

- Self phase modulation (SPM)
- Self-Focusing

Let's derive an approximate equation that ~~will~~ show the variation of the index by the intensity.

# Completely degenerate FWM

$$\bar{k}_1 = \bar{k}_2 = \bar{k}_3 \quad E_1 = E_2 = E_3$$

$$\omega_1 = \omega_2 = \omega_3$$

$$P_{NL} \approx \epsilon_0 \chi_{xxxx}^{(3)} |E|^2 E$$

Remember:

$$\mu = \mu_0 = \mu_0$$

$$\epsilon = \epsilon_0 = \epsilon_0$$

$$c = \frac{1}{\sqrt{\mu_0 \epsilon_0}} \quad v = \frac{1}{\sqrt{\mu \epsilon}}$$

$$= c/n$$

Into wave eq

$$P = P_L + P_{NL} = \epsilon_0 [ \chi^{(1)} E + \chi^{(3)} |E|^2 E ]$$

Assume  $\partial_t^2 |E|^2 E \approx |E|^2 \partial_t^2 E$  (SVEA)

$$\partial_z^2 E - \frac{1}{c^2} \partial_t^2 E = \mu_0 \epsilon_0 [ \chi^{(1)} + \chi^{(3)} |E|^2 ] \partial_t^2 E$$

$\wedge$

or

$$\partial_z^2 E - \frac{[1 + \chi^{(1)} + \chi^{(3)} |E|^2]}{c^2} \partial_t^2 E = 0$$

Wave eq for a linear material

$$\partial_z^2 E - \frac{n^2}{c^2} \partial_t^2 E = 0$$

or

$$\partial_z^2 E - \mu \epsilon \partial_t^2 E = 0$$

Looks like

$$\partial_z^2 E - \frac{n^2}{c^2} \partial_t^2 E = 0 \quad \text{Where } n = \sqrt{1 + \chi^{(1)} + \chi^{(3)} |E|^2}$$

$$\text{Remember } n = \sqrt{1 + \chi^{(1)}}$$

Usually the linear index of refraction looks like

$$n_0 = \sqrt{1 + \chi^{(1)}}$$

$$n = n_0 \sqrt{1 + \chi^{(3)} |E|^2 / n_0^2}$$

assume the nonlinear term is  $\ll n_0$

$$n \approx n_0 \left( 1 + \chi^{(3)} |E|^2 / 2n_0^2 \right)$$

$$n \approx n_0 + \chi^{(3)} |E|^2 / 2n_0$$

$$n \approx n_0 + n_2 I$$

non linear  
index of refraction

$$I \sim |E|^2$$

Really

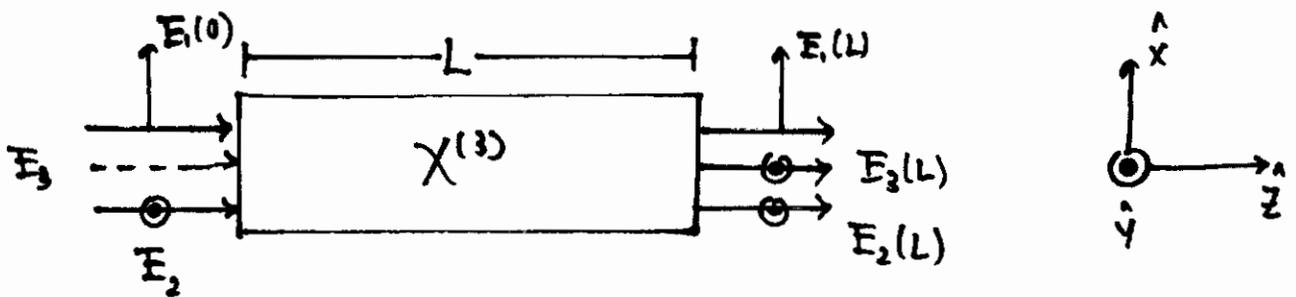
$$n_2 = \frac{3 \operatorname{Re} \{ \chi_{xxxx}^{(3)} \}}{8 n_0}$$

Notice we never talked about phase matching.

Since this process is completely degenerate, it is automatically phase matched. In general FWM processes must be phase matched.

### Third harmonic generation

We expect this to be a phase matched process. We will go back to the more general situation



Inputs  $E_1(0) + E_2(0)$  have frequency  $\omega$  and are orthogonally polarized. The field  $E_3$  has frequency  $3\omega$ .

Write down the field in real instantaneous forms

$$\vec{E}_1 = \left[ \frac{1}{2} E_{01} \exp(i k(\omega) z) \exp(-i \omega t) + \text{c.c.} \right] \hat{x}$$

$$\vec{E}_2 = \left[ \frac{1}{2} E_{02} \exp(i k(\omega) z) \exp(-i \omega t) + \text{c.c.} \right] \hat{y}$$

and

$$\vec{E}_3 = \left[ \frac{1}{2} E_{03} \exp(i k(3\omega) z) \exp(-i 3\omega t) + \text{c.c.} \right] \hat{y}$$

where  $k(\omega) = \frac{n(\omega)\omega}{c}$  +  $k(3\omega) = \frac{n(3\omega)3\omega}{c}$

Make the assumption that  $E_{02} < E_{01}$  +  $E_{03} < E_{01} \Rightarrow$  Strong pump approximation

Find the nonlinear polarization

$$\vec{P}_{NL} = \epsilon_0 \chi^{(3)} (\vec{E}_1 + \vec{E}_2 + \vec{E}_3)^3$$

$$= \epsilon_0 \chi^{(3)} \left[ E_1^3 + E_2^3 + E_3^3 + 6 E_1 E_2 E_3 + 3 E_1^2 E_2 + 3 E_1 E_2^2 + 3 E_1^2 E_3 + 3 E_1 E_3^2 + 3 E_2^2 E_3 + 3 E_2 E_3^2 + 3 E_3^2 E_1 + 3 E_3 E_1^2 \right]$$

What a mess! To keep things simple since  $E_{02} < E_{01}$  +  $E_{03} < E_{01}$

We will only keep terms of the product of  $E_{01} \times E_{02}$  or  $E_{01} \times E_{03}$

The resulting  $\vec{P}_{NL}$  terms will oscillate at  $\omega + 3\omega$

$$\vec{P}_{NL} = \vec{P}_{NL}^{\omega} + \vec{P}_{NL}^{3\omega} \left\{ \begin{array}{l} \vec{P}_{NL}^{\omega} = P_{NL}^{\omega} e^{-i\omega t} + P_{NL}^{\omega} e^{+i\omega t} \\ \vec{P}_{NL}^{3\omega} = P_{NL}^{3\omega} e^{-i3\omega t} + P_{NL}^{3\omega} e^{+i\omega t} \end{array} \right.$$

Where

$$\begin{aligned} \tilde{P}_{NL}^{\omega} &= \frac{3}{4} \epsilon_0 \chi_{\nu xxy}(\omega, \omega, -\omega, \omega) [2 |E_{01}|^2 E_{02} + E_{01}^2 E_{02}^*] e^{i k(\omega) z} \\ &+ \frac{3}{4} \epsilon_0 \chi_{\nu xxy}(\omega, -\omega - \omega, 3\omega) (E_{01}^*)^2 E_{03} \exp(+i(k(3\omega) - 2k(\omega))z) \end{aligned}$$

$$\begin{aligned} \tilde{P}_{NL}^{3\omega} &= \frac{6}{4} \epsilon_0 \chi_{\nu xxy}(3\omega, \omega, -\omega, 3\omega) |E_{01}|^2 E_{03} e^{i k(3\omega) z} \\ &+ \frac{3}{4} \epsilon_0 \chi_{\nu xxy}(3\omega, \omega, \omega, \omega) E_{01}^2 E_{02} \exp(+i 3 k(\omega) z) \end{aligned}$$

The wave eq gives

$$\partial_z^2 (\bar{E}_1 + \bar{E}_2 + \bar{E}_3) - \mu_0 \epsilon_0 n^2 \partial_t^2 (\bar{E}_1 + \bar{E}_2 + \bar{E}_3) = \mu_0 \partial_t^2 \tilde{P}_{NL}$$

Here we will invoke the slowly varying envelope approximation again

$$|k \partial_z E_{0i}| \gg |\partial_z^2 E_{0i}|$$

We will then get

Remember the slowly varying envelope approximation

$$|k \partial_z E| \gg |\partial_z^2 E|$$

It can be rewritten as

$$|\lambda \partial_z (\partial_z E_{oi})| \ll |\partial_z E_{oi}|$$

The change in the slope of the field envelope over distance  $\lambda$  is much less than the magnitude of the slope itself.

This expression is valid for pulses ~~at~~ except where

$$\Delta t \approx \frac{2\pi}{\omega_0} \Rightarrow \text{at } \frac{800 \text{ nm}}{c} \approx \frac{2\pi}{\omega_0} \approx \frac{2\pi \lambda_0}{2\pi c} \approx \frac{\lambda_0}{c} \approx \frac{800 \text{ nm}}{300 \frac{\text{nm}}{\text{fs}}} = 2.67 \text{ fs}$$

$$\left[ (k(\omega))^2 (E_{01} + E_{02}) + i 2k(\omega) \partial_z E_{02} \right] \exp(ik(\omega)z - i\omega t)$$

$$+ \left( k^2(3\omega) E_{03} + i 2k(3\omega) \partial_z E_{03} \right) \exp(ik(3\omega)z - i3\omega t)$$

$$- \mu_0 \epsilon_0 n^2(\omega) \omega^2 (E_{01} + E_{02}) \exp(-i\omega t + k(\omega)z)$$

$$- \mu_0 \epsilon_0 n^2(3\omega) (3\omega)^2 (E_{03}) \exp(-i3\omega t + k(3\omega)z)$$

$$= \mu_0 \omega^2 P_{NL}^{\omega} \exp(-i\omega t) + \mu_0 (3\omega)^2 P_{NL}^{3\omega} \exp(-i3\omega t)$$

By separating the above eq in  $\omega + 3\omega$ , we get two coupled DEs

$$\frac{dE_{02}}{dz} = \frac{-i 3\omega}{8 n(\omega)c} \left[ \chi_{yxxy}(\omega, \omega, -\omega, \omega) [2 |E_{01}|^2 E_{02} + E_{01}^2 E_{02}^*] \right. \\ \left. + \chi_{yxxy}(\omega, -\omega, \omega, 3\omega) E_{01}^{*2} E_{03} e^{-i\Delta k z} \right]$$

$$\frac{dE_{03}}{dz} = \frac{-i 3\omega}{8 n(3\omega)c} \left[ 6 \chi_{yxxy}(3\omega, \omega, -\omega, 3\omega) |E_{01}|^2 E_{03} \right. \\ \left. + 3 \chi_{yxxy}(3\omega, \omega, \omega, \omega) E_{01}^2 E_{02} e^{+i\Delta k z} \right]$$

$$\frac{dE_{01}}{dz} = 0$$

$$\text{Where } \Delta k = 3k(\omega) - k(3\omega)$$

If we also assume

$$\partial_z \bar{E}_2 \approx 0$$

look familiar

$$\frac{d\bar{E}_3}{dz} = \left( \quad \right) \exp(+i \Delta k z)$$

or

$$\bar{E}_{03}(L) = \frac{-i \chi_{yxxy} \omega}{8 n(3\omega) c} \bar{E}_{01}^2 \bar{E}_{02} L \frac{\sin(\Delta k L/2)}{(\Delta k L/2)} e^{-i \Delta k L/2}$$

$$I_{03} = 2 \epsilon_0 n_3 c |\bar{E}_{03}|^2 \sim \text{sinc}^2(\Delta k L/2) L^2$$

↑ phase match process

$$\text{where } \Delta k = 3k(\omega) - k(3\omega)$$

Two important features

1)  $I_{03} \sim L^2$

2)  $\text{sinc}^2(\quad)$

just like  $\chi^{(2)}$ !

# Nonlinear Index of Refraction

All three inputs copolarized  $\Rightarrow \chi_{xxxx}^{(3)}$

$$P_{NL}^{\omega} = \frac{3}{4} \epsilon_0 \chi_{xxxx}^{(3)} (\omega, \omega, -\omega, \omega) |E_0|^2 E_0 e^{i k(\omega) z}$$

Put into wave eq.

$$-i 2k \frac{dE_0}{dz} - k^2 E_0 + \omega^2 \mu_0 \epsilon_0 n_0^2 E_0 = -\frac{3}{4} \omega^2 \mu_0 \epsilon_0 \chi_{xxxx}^{(3)} |E_0|^2 E_0$$

assume  $\frac{dE_0}{dz} = 0$  Solve for  $k^2$

$$k^2 = \text{dispersion} = \omega^2 \mu_0 \epsilon_0 \left( n_0^2 + \frac{3}{4} \chi_{xxxx}^{(3)} |E_0|^2 \right)$$

~~DISPERSION~~ Rewrite as . . . .

$$n = n_0 \left( 1 + \frac{3 \chi_{xxxx}^{(3)}}{4 n_0^2} |E_0|^2 \right)^{1/2}$$

$$n \approx n_0 + n_2 I_0$$

where  $n_2 = \frac{3 \chi_{xxxx}^{(3)}}{8 n_0}$

# Lecture 19

## More on Self phase modulation + $\chi^{(3)}$

Many materials give rise to third order effects  
Only materials that have a strong electronic component will offer a fast response

$$\text{Nonlinear Response} \Rightarrow R(t) = \left( \underset{\substack{\uparrow \\ \text{fast} \\ \text{SPM, FWM}}}{\delta(t)} + \underset{\substack{\uparrow \\ \text{slow} \\ \text{Raman effects}}}{h_e(t)} \right)$$

"Fast"  $\Rightarrow$  Electronic contributions :  $\sim 10^{-15}$  s

"Slow"  $\Rightarrow$  Thermal / vibrational contributions :  $\sim 10^{-12}$  s

Third order materials

- Gases  
Noble gases  
 $\text{CS}_2$
- Liquids  
Tea
- Isotropic Solid  
glasses

Leads to nonlinear index of Refraction

$$n_2 = \frac{3}{8n_0} \text{Re} \{ \chi_{xxxx}^{(3)} \}$$

FWM  $\Rightarrow$  Due to fast response of the  $\chi^{(3)}$  medium

# Intensity dependent index of Refraction

From last time we derived:

$$n = n_0 + n_2 |E|^2$$

Where

$$n_2 = \frac{3}{8n_0} \text{Re} \{ \chi_{xxxx}^{(3)} \}$$

$n_2$  has units of  $\text{m}^2/\text{V}^2$

Rewrite this equation in terms of Intensity where

$$I = 2^2 \epsilon_0 c n |E|^2$$

So

$$n = n_0 + n_2^I I$$

Where  $n_2^I = \frac{2n_2}{\epsilon_0 c n_0}$  in units of  $\text{m}^2/\text{W}$

Common notation replaces  $\underline{n_2^I}$  with  $\underline{n_2}$  (Redefine)

For fused silica

$$n_2 = 3 \times 10^{-20} \text{m}^2/\text{W}$$

$$n_2 = \left( \frac{2}{\epsilon_0 c n_0} \right) \left( \frac{3}{8n_0} \chi_{xxxx}^{(3)} \right)$$

Self Phase Modulation  $\Rightarrow$  Completely degenerate FWM  
 $\omega = \omega - \omega + \omega$

$$P_{NL} = \frac{3}{4} \epsilon_0 \chi_{xxxx}^{(3)}(\omega; \omega, -\omega, \omega) E E^* E$$

and 
$$P_{NL} = \frac{1}{2} (P_{NL} e^{-i\omega t}) + c.c.$$

$$E = \frac{1}{2} E e^{-i\omega t} + c.c.$$

Sub into wave eq to find  $E(z, t)$  generated

$$\partial_z^2 \bar{E} - \mu_0 \epsilon_0 \partial_t^2 E = \mu_0 \partial_t^2 P$$

If we use the slowly varying envelope approximation

$$|k \partial_z E| \gg |\partial_z^2 E|$$

We can rewrite the wave eq as

$$\partial_z E = i \frac{3\mu_0 \epsilon_0 c \omega}{8 n_0} \chi_{xxxx}^{(3)} |E|^2 E$$

Define  $E(z, t) = \sqrt{\frac{P_0}{\pi r^2}} U(z, t) \sqrt{\frac{2}{\epsilon_0 c n_0}}$   $U(z, t) \equiv$  normalized function

$$E(z, t) = \sqrt{\frac{P_0}{\pi r^2}} \sqrt{\frac{2}{\epsilon_0 c n_0}} U(z, t)$$

So we have (Remember  $\epsilon_0 \mu_0 = \frac{1}{c^2}$ )

$$\sqrt{\frac{P_0}{\pi r^2}} \partial_z U = i \frac{2 \cdot 3 \mu_0 \epsilon_0 c \omega}{c n_0 8 n_0 \epsilon_0} \chi_{\text{xxxx}}^{(3)} |U|^2 U \sqrt{\frac{P_0}{\pi r^2}} \left( \frac{P_0}{\pi r^2} \right)$$

So 
$$\partial_z U = \frac{2}{\epsilon_0 c n_0} \left[ \left( \frac{3 \chi^{(3)}}{8 n_0} \right) \frac{\omega}{\pi r^2 c} \right] P_0 |U(t)|^2 U(t)$$

But  $n_2 = \left( \frac{3 \chi}{8 n_0} \right) \left( \frac{2}{\epsilon_0 c n} \right)$  Define the effective nonlinearity  $\gamma$

in  $m^2/W$

$$\gamma \equiv \frac{n_2 \omega}{(\pi r^2) c}$$

Thus

$$\partial_z U(z,t) = i \gamma P_0 |U(z,t)|^2 U(z,t)$$

Solution

$$U(z,t) = U(0,t) \exp(i \phi_{NL}(z,t))$$

$$\phi_{NL}(z,t) = \gamma P_0 z |U(0,t)|^2$$

Define nonlinear length  $L_{NL} = \frac{1}{\gamma P_0}$

So 
$$\phi_{NL}(z,t) = z / L_{NL} |U(0,t)|^2$$

- Nonlinear length

$$L_{NL} = \frac{1}{\gamma P_0} \quad \text{in units of meters}$$

distance to travel to experience 1 radian nonlinear phase shift.

- Units for  $n_2$ : Technically  $\text{m}^2/\text{V}^2$   $\chi^{(3)} \rightarrow \text{m}^2/\text{V}^2$  (General units  $\chi^{(n)} \rightarrow \frac{\text{m}^{n+1}}{\text{V}^{n+1}}$ )

Common to quote  $n_2$  in  $\text{m}^2/\text{W}$   $n_2 \Rightarrow \frac{2n_2}{\epsilon_0 c n_0}$

For fused silica  $n_2 = 3 \times 10^{-20} \text{m}^2/\text{W}$

- Units for the effective nonlinearity

$$\gamma \rightarrow \frac{1}{\text{W m}}$$

- Dispersion length

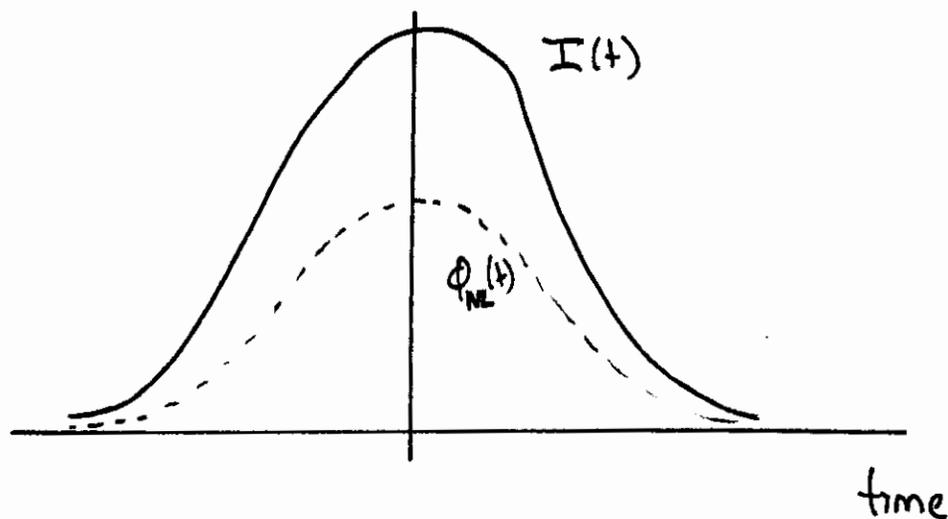
$$L_D = \frac{T_0^2}{|\beta_2|} \quad \text{1/2 half width}$$

Where

$$T_0 = \frac{\Delta f \leftarrow \text{FWHM}}{2 \ln(1 + \sqrt{2})}$$

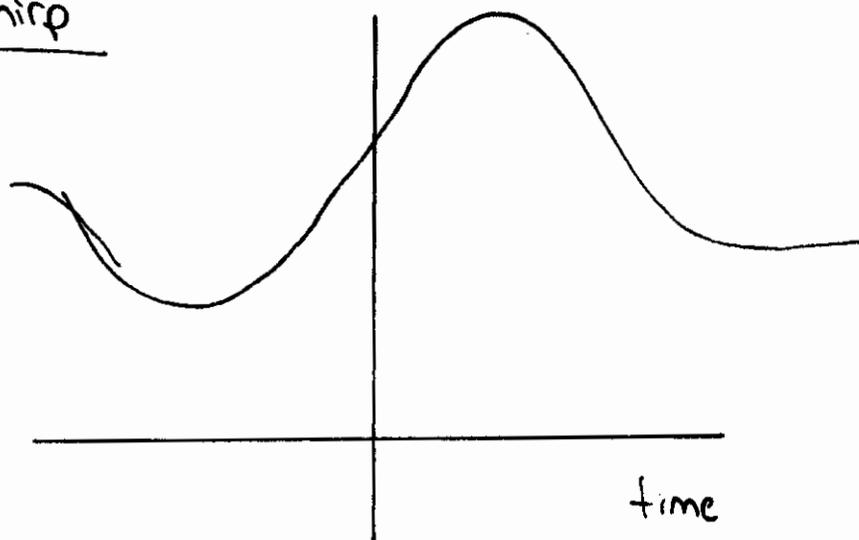
## SPM For Pulses

$$E(t) = E_0 \operatorname{sech}(t/\Delta t)$$



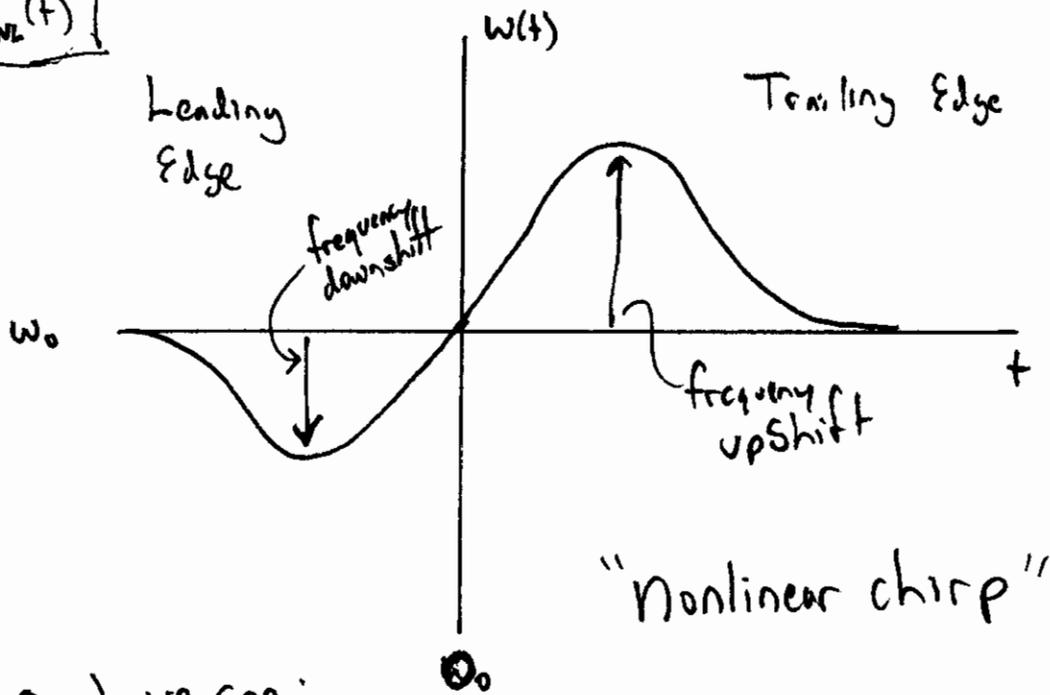
$$\left\{ \begin{array}{l} I(t) \sim \operatorname{sech}^2(t) \\ \phi_{NL}(t) \sim \gamma P_0 \operatorname{sech}^2(t) \\ \text{Chirp} \sim \omega_0 - \partial_t \phi_{NL} \end{array} \right.$$

Chirp



How to think about this chirp!?

$$\omega_0 - \partial_t \phi_{NL}(t)$$



From the graph we see:

- Spectral components in leading edge experience frequency down shift (to lower  $\omega$ )  $\Delta\omega < 0$ .
- Spectral components in trailing edge experience frequency up shift (to higher  $\omega$ )  $\Delta\omega > 0$ .

So the effect of SPM depends on how a pulse is <sup>initially</sup> chirped by <sup>any</sup> dispersion. Consider what happens for negatively & positively chirped pulses.

- Negatively chirped pulses

SPM causes a frequency downshift of the blue components, an upshift of the red components

⇒ Spectral Compression

- Positively Chirped Pulses

SPM causes a frequency downshift of the red components, an upshift of the ~~red~~ blue components ⇒ Spectral Broadening

## Lecture 21

# Pulse Propagation in Optical Fibers

### Review

We discussed how the geometry of an optical fiber alters the net dispersion.

$$\beta(\omega) = \frac{n_1(\omega)\omega}{c} \sqrt{1 + 2\Delta n b(\omega)}$$

$b(\omega) \equiv$  normalized propagation constant

$\Delta n \equiv$  index difference ( $\approx 0.008$ )

Since  $\Delta n$  is small we can write

$$\beta(\omega) \approx \frac{n_1(\omega)\omega}{c} + 2\Delta n b(\omega)$$

$$\beta_{\text{total}} \approx \beta_{\text{material}} + \beta_{\text{waveguide}}$$

### Role of Dispersion on Nonlinear effects

We wish to consider pulse propagation in a  $\chi^{(2)}$  material.

In the absence of dispersion, the only effect is SPM

$$E(t, z) = E(t, 0) \exp(i \phi_{NL}(t))$$

$$\phi_{NL}(t) = |U(t, 0)|^2 z / L_{NL}$$

$$(L_{NL} = \frac{1}{\delta P_0})$$

This expression was derived assuming small (or zero) material dispersion. What do we get if we assume dispersion.

How to characterize dispersion: GVD

$$\beta_2 \equiv \text{GVD}$$

$$\beta_2 > 0 \quad \text{Normal}$$

$$\beta_2 < 0 \quad \text{Anomalous}$$

Dispersion Length (GVD)

$$L_D \equiv \frac{T_0}{|\beta_2|}$$

$$T_0 \equiv \frac{1}{2} \text{ halfwidth}$$

$$T_0 = \frac{\Delta t}{2 \ln(1+\sqrt{2})} \quad \Delta t \equiv \text{FWHM for sech}()$$

A Gaussian pulse will increase its width by  $\sqrt{2}$  by propagation  $L_D$ .

Compare  $L_D + L_{NL}$

$$L_{NL} \gg L_D$$

Nonlinear material / consider only SPM

$$L_D \gg L_{NL}$$

Dispersive material / consider only GVD

The nonlinear length does not take into account any higher order nonlinearities.

Can we derive a more generic wave eq that is valid for both non linear + dispersive effects?

Start with wave eq

$$\nabla^2 \vec{E} - \frac{1}{c^2} \partial_t^2 \vec{E} = \mu_0 \partial_t^2 \vec{D}$$

Express this eq in Fourier Domain  $\mathcal{E}(\omega)$

$$\nabla^2 \vec{E}(\omega) + \underbrace{\left( 1 + \chi^{(2)} + \frac{3}{4} \chi_{xxxx}^{(3)} |\vec{E}|^2 \right)}_{\substack{\text{linear dispersion} \\ \chi^{(2)} \Rightarrow n_2}} \frac{\omega}{c} \vec{E}(\omega) = 0$$

Find solution of the form

$$\vec{E}(r, \omega) = F(x, y) A(z, \omega) \exp(i\beta_0 z)$$

↑ For a fiber this represents the fiber modes.

Substitution gives two coupled eqs assuming SVEA

$$(1) \quad \partial_x^2 F + \partial_y^2 F - \left[ \underbrace{\left( 1 + \chi^{(2)} + \frac{3}{4} \chi_{xxxx}^{(3)} |\vec{E}|^2 \right)}_{\substack{\text{call this} \\ \eta(\omega)}} \frac{\omega}{c} - \beta(\omega) \right] F(x, y) = 0$$

$$(2) \quad 2i\beta_0 \partial_z^2 E(z, \omega) + \underbrace{(\beta(\omega) - \beta_0^2)}_{\substack{\text{call this} \\ \gamma(\omega)}} E(z, \omega) = 0$$

How to solve this: Use a perturbative solution using terms of

$$\epsilon(\omega) = 1 + \chi^{(1)} + \frac{3}{4} \chi^{(3)} |\mathbf{E}|^2$$

Procedure for solving  $\beta(\omega)$

1. Zeroth order  $\epsilon(\omega) = 1 + \chi^{(1)}$ 
  - Use  $\epsilon_q(1)$  and solve for  $\beta^{(0)} + F(x, y)$
  - Assume  $F(x, y)$  is a fiber mode (single mode)

2. 1st order  $\epsilon(\omega) = 1 + \chi^{(1)} + \frac{3}{4} \chi^{(3)} |\mathbf{E}|^2$ 
  - Sub  $\epsilon(\omega)$  into  $\epsilon_q(1)$  and solve for  $\beta^{(1)}$
  - Sub  $\beta^{(1)} + \beta^{(0)}$  into  $\epsilon_q(2)$

From (2) we get

$$\partial_z \mathbf{E}(z, \omega) = i \left[ \beta^{(0)} + \beta^{(1)} - \beta_0 \right] \mathbf{E}(z, \omega)$$

↑  
effect of dispersion

Nonlinearity only  $\Rightarrow \beta^{(1)} = \gamma |\mathbf{E}|^2$

Dispersion only (and absorption)  $\Rightarrow \beta_0(\omega) = \beta_0 + \beta_1 (\omega - \omega_0)^2 + \frac{1}{2} (\beta_2) (\omega - \omega_0)^3 = \beta(\omega)$

## Solution

$$\partial_z E = - \underbrace{\left( \sum_{m=1} \beta_m \frac{i^{(m-1)}}{m!} \partial_z^m \right) E}_{\text{Dispersion}} + \underbrace{i |E|^2 E}_{\text{SPM}}$$

The name of this equation is the nonlinear Schrödinger Equation (NLSE)

A more accurate form of this equation which takes into account higher order effects (self steepening + Raman effect)

$$\partial_z E = -\frac{\alpha}{2} E - \left( \sum_{m=2} \beta_m \frac{i^{(m-1)}}{m!} \partial_z^m \right) E + (1 - f_R) \left[ i \gamma |E|^2 E - \frac{2\gamma}{\omega_0} \partial_z (E^* E) \right] + i \gamma f_R \left( 1 + \frac{i}{\omega_0} \partial_z \right) \left( E \int h_R(t) |E(z, t-t')|^2 dt' \right)$$

## Solitons

An analytic solution to the NLSE is of the form

$$E(t) \sim \text{sech}(t/\Delta t) e^{-i\omega_0 t} N \sqrt{P_1}$$

where

$$P_1 = \frac{1}{\gamma L_D}$$

$$N^2 = \frac{L_D}{L_{NL}} \equiv (\text{soliton order})^2$$

## Lecture 22

More on pulse propagation in fibers

- Review

Need to consider both dispersive (GVD) and nonlinear effects (SPM)

NLSE

$$\partial_z E = + \frac{i}{2} \beta_2 \partial_t^2 E + i \gamma |E|^2 E$$

$$\partial_z E = \underbrace{\left(-\frac{\alpha}{2} E\right)}_{\text{Absorption}} + \underbrace{\left(\beta_1 \partial_t E + \frac{i}{2} \beta_2 \partial_t^2 E\right)}_{\text{dispersion (GVD)}} + \underbrace{\left(i \gamma |E|^2 E\right)}_{\text{SPM}}$$

An analytic solution is given by

$$E(t) \sim N \sqrt{P_1} \operatorname{sech}(\gamma t / \Delta t)$$

where  $\frac{1}{\gamma L_0} \equiv P_1$ ,  $N^2 = \frac{L_0}{L_{NL}}$  (Soliton order)

Optical Solitons (self-reinforcing solitary wave)

Balancing GVD + SPM

John Scott Russell (~1834) in water

water wave  $\Rightarrow$  ~~observed~~ <sup>2 miles</sup> ~~observed~~ (Union Canal)

See in plasmas + in optics

A 1<sup>st</sup> order soliton occurs to the balanced effects of GVD + SPM

GVD  $\Rightarrow$  Temporal Broadening

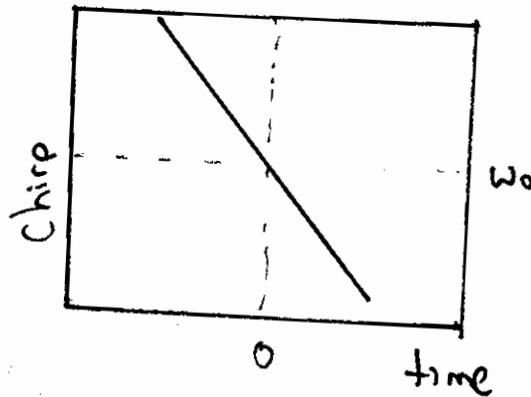
SPM  $\Rightarrow$  Spectral Broadening

For  $N=1$   $L_N = L_D$  look at chirp  $\omega(t) = \omega_0 - 2\phi(t)$

Compare the chirp due to GVD + SPM

Anomalous GVD

$\bullet \beta_2 < 0$

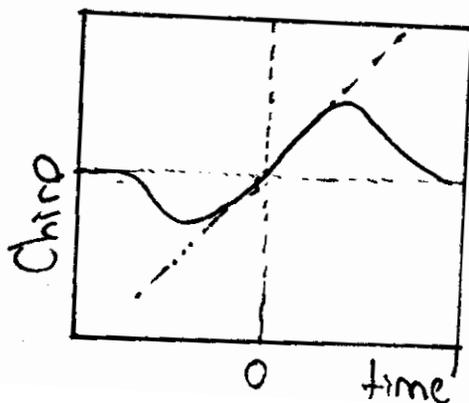


Negative chirp

SPM

$$\phi_{NL}(t) \sim \gamma | \text{sech}(t/\Delta t) |^2 L$$

$$\omega(t) = \omega_0 - 2\gamma L | \text{sech}(t/\Delta t) |^2$$



The slope of the chirp due to SPM across the  $\omega_0$  width of the pulse is equal + opposite to that of anomalous GVD.

## Department of Mathematics

### John Scott Russell and the solitary wave

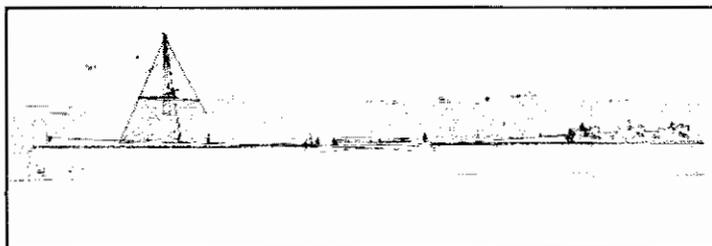


Over one hundred and fifty years ago, while conducting experiments to determine the most efficient design for canal boats, a young Scottish engineer named John Scott Russell (1808-1882) made a remarkable scientific discovery. As he described it in his "Report on Waves": (Report of the fourteenth meeting of the British Association for the Advancement of Science, York, September 1844 (London 1845), pp 311-390. Plates XLVII-LVII).

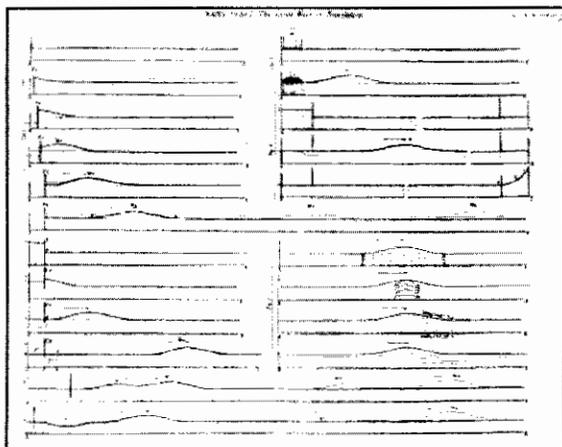
"I was observing the motion of a boat which was rapidly drawn along a narrow channel by a pair of horses, when the boat suddenly stopped - not so the mass of water in the channel which it had put in motion; it accumulated round the prow of the vessel in a state of violent agitation, then suddenly leaving it behind, rolled forward with great velocity, assuming the form of a large solitary elevation, a rounded, smooth and well-defined heap of water, which continued its course along the channel apparently without change of form or diminution of speed. I followed it on horseback, and overtook it still rolling on at a rate of some eight or nine miles an hour, preserving its original figure some thirty feet long and a foot to a foot and a half in height. Its height gradually diminished, and after a chase of one or two miles I lost it in the windings of the channel. Such, in the month of August 1834, was my first chance interview with that singular and beautiful phenomenon which I have called the Wave of Translation".

(Cet passage en français)

This event took place on the Union Canal at Hermiston, very close to the Riccarton campus of Heriot-Watt University, Edinburgh.



Following this discovery, Scott Russell built a 30' wave tank in his back garden and made further important observations of the properties of the solitary wave.



Throughout his life Russell remained convinced that his solitary wave (the "Wave of Translation") was of fundamental importance, but nineteenth and early twentieth century scientists thought otherwise. His fame has rested on other achievements. To mention some of his many and varied activities, he developed the "wave line" system of hull construction which revolutionized nineteenth century naval architecture, and was awarded the gold medal of the Royal Society of Edinburgh in 1837. He began steam carriage service between Glasgow and Paisley in 1834, and made one of the first experimental observations of the Doppler shift of sound frequency as a train passes. He reorganized the Royal Society of Arts, founded the Institution of Naval Architects and in 1849 was elected Fellow of the Royal Society of London. He designed (with Brunel) the "Great Eastern" and built it; he designed the Vienna Rotunda and helped to design Britain's first armoured warship (the "Warrior"). He developed a curriculum for technical education in Britain, and it has recently become known that he attempted to negotiate peace during the American Civil War.

It was not until the mid 1960's when applied scientists began to use modern digital computers to study nonlinear wave propagation that the soundness of Russell's early ideas began to be appreciated. He viewed the solitary wave as a self-sufficient dynamic entity, a "thing" displaying many properties of a particle. From the modern perspective it is used as a constructive element to formulate the complex dynamical behaviour of wave systems throughout science: from hydrodynamics to nonlinear optics, from plasmas to shock waves, from tornados to the Great Red Spot of Jupiter, from the elementary particles of matter to the elementary particles of thought.

For a more detailed and technical account of the solitary wave, see for example R K Bullough, "The Wave" *par excellence*, *the solitary, progressive great wave of equilibrium of the fluid - an early history of the solitary wave*, in *Solitons*, ed. M Lakshmanan, Springer Series in Nonlinear Dynamics, 1988, 150-281, or "The Spirited Horse,

# Three properties of solitons

Permanent form

Localized

Interact with other solitons, emerged unchanged.

## Optical Solitons

Experimentally observed in 1973

Result from interplay of  $\lambda$  GVD + SPM anomalous

Fundamental Soliton  $N=1$  Constant Shape

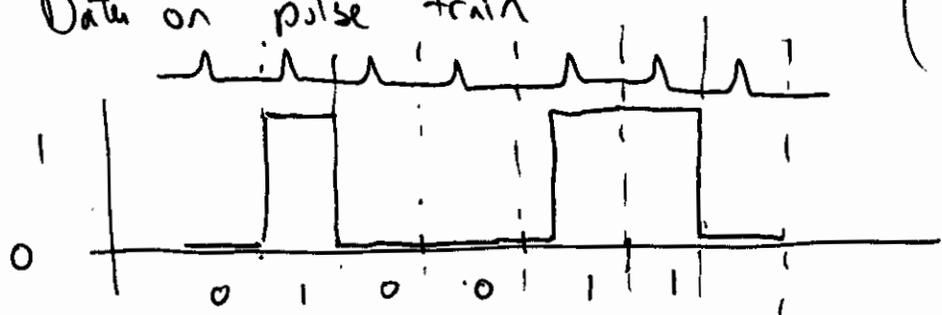
Higher order solitons  $N>1$  Periodic Shape

## Applications for solitons $\Rightarrow$ Optical Communications

Encode Data on pulse train

(Time Division Multiplexing)

Data



Propagate this pulse train down many km of fiber. Do not want temporal broadening

Numbers: 20 Gb/s soliton train over 15000 km with 105 km amp spacing

## How to Solve the NLSE: Split Step Fourier Method

Break the fiber in  $n$  steps of length  $h$

Use operator method

Dispersion operator  $\hat{D} = -\frac{i}{2} \beta_2 \partial_z^2$

Nonlinearity operator  $\hat{N} = i\gamma |E|^2$

Write NLSE

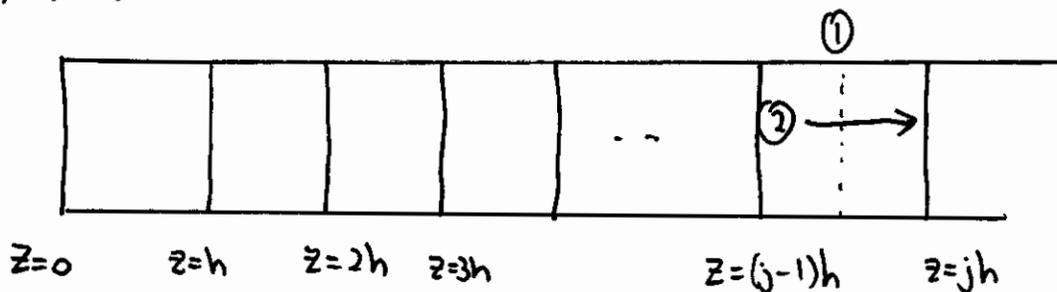
$$\partial_z E = (\hat{D} + \hat{N}) E$$

So  $E(jh, t) = \exp(\hat{D} + \hat{N}) E((j-1)h, t)$

If dispersion acts independently of the nonlinearity (assumed for a small step size) then

$$\exp((\hat{D} + \hat{N})h) \approx \exp(\hat{D}h) \exp(\hat{N}h)$$

Solution Method



In general

$$\mathcal{F}\{D_+^n f(t)\} = (i\omega)^n \mathcal{F}\{f(t)\}$$

1. Calculate nonlinearity at midpoint of step

$$\exp(h\hat{N}) E((j-1)h, t)$$

2. Calculate Dispersion in frequency domain

$$\exp(h\hat{D}(\omega)) \mathcal{F} \left\{ \exp(h\hat{N}) E((j-1)h, t) \right\}$$

Why? The operator  $\hat{D}$  is a differential operator

$$\hat{D} \sim \partial_t^2$$

However

$$\mathcal{F} \left\{ \partial_t^2 \right\} = (i\omega)^2 \quad \left( \text{In general } \mathcal{F} \left\{ \partial_t^n \right\} = (i\omega)^n \right)$$

So  $\hat{D}$  in the Fourier Domain is a multiplicative operator

3. The solution after the step is

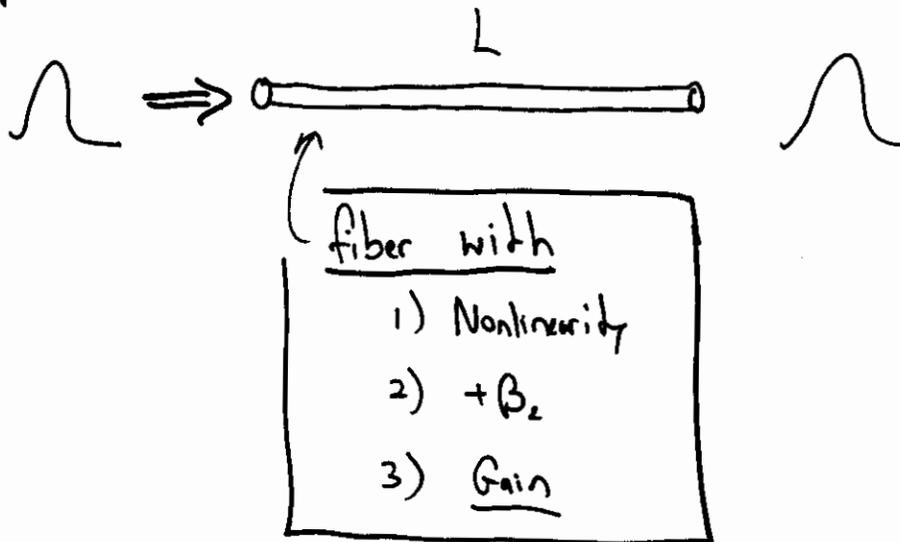
$$E(jh, t) = \mathcal{F}^{-1} \left\{ \exp(\hat{D}h) \mathcal{F} \left\{ \exp(\hat{N}h) E((j-1)h, t) \right\} \right\}$$

Repeat procedure over all steps to  $L$ .

# One other "special" Pulse : Similariton

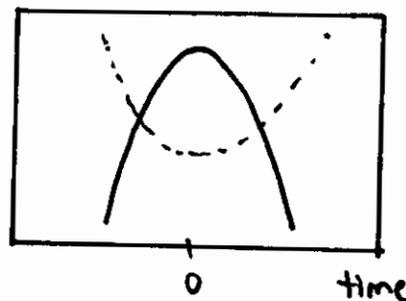
Arb pulse

Parabolic pulse  
"Similariton"



In the limit of infinite length the electric field profile is a parabola! It also has a parabolic phase distortion.

$$E(\omega, z) \sim A_0 \sqrt{1 - \frac{\omega^2}{\omega_p^2}} \exp(i \omega^2 / c)$$



Intensity + Phase

Why are similaritons important

The pulse only has a quadratic phase distortion which can be compressed with GVD only!

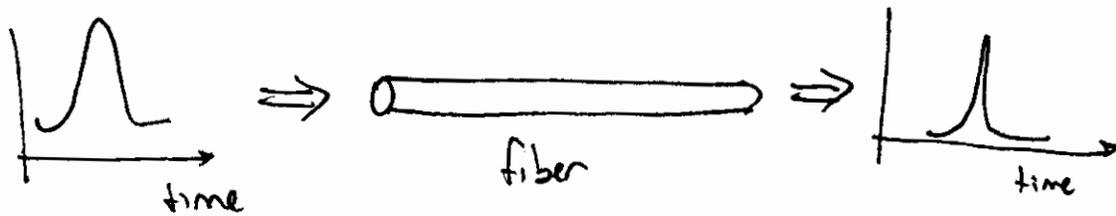
# Lecture 23

## Applications of $\chi^{(3)}$ Effects

- Ultrashort pulse compression
- Self Focusing and Self Filamentation
- Supercontinuum Generation.
- Nonlinear Switching

### Ultrashort pulse compression in optical fibers

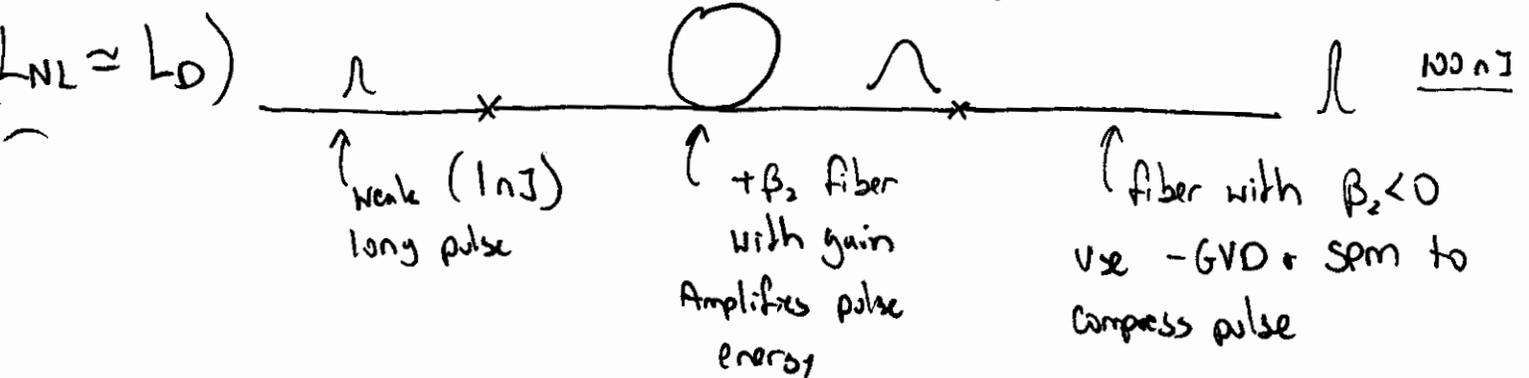
If a fiber exhibits a large nonlinearity with small ~~PA~~ GVD then the nonlinear spectral broadening can be used for pulse compression.



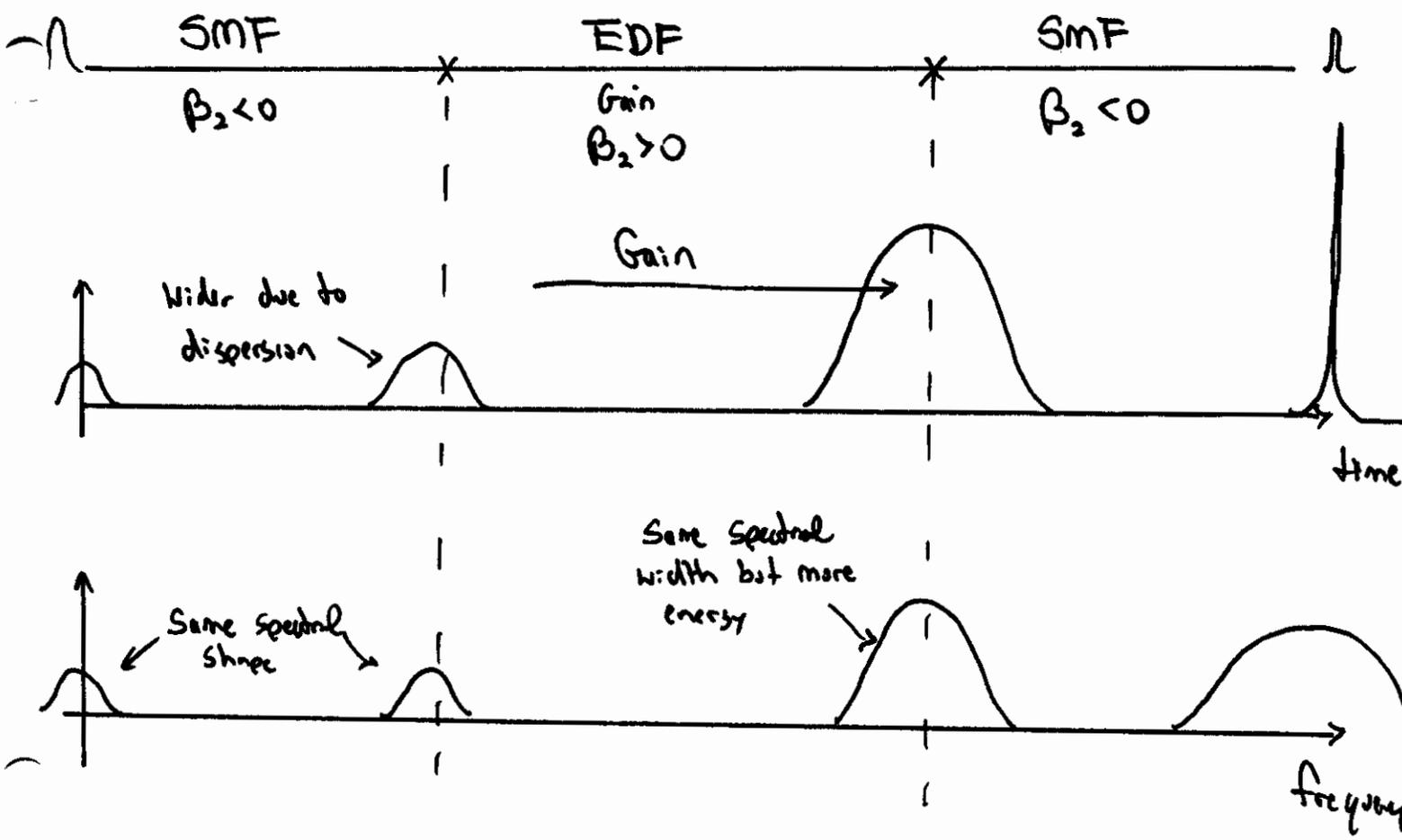
The process works better when the <sup>group velocity</sup> dispersion is near zero and anomalous.

### Anomalous Dispersion

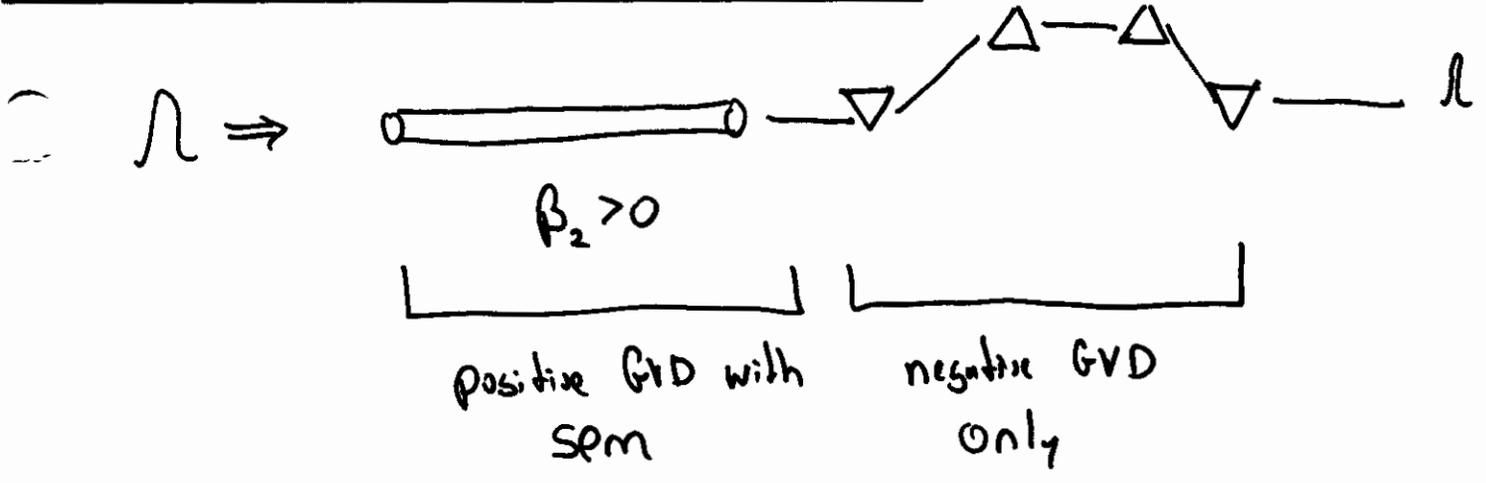
Compression Scheme in optical fibers (Compression + Amplification)  
 Compression from 200 fs to 50 fs



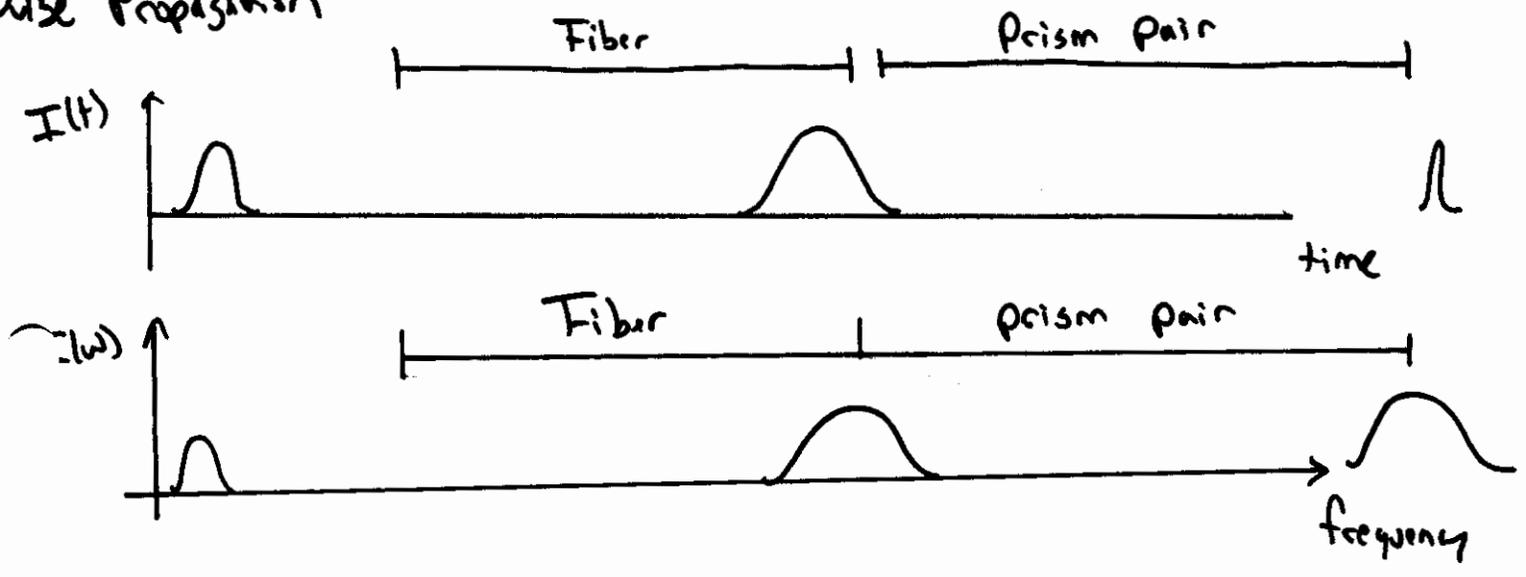
# Pulse compression using negative GVD



# Pulse Compression using positive GVD

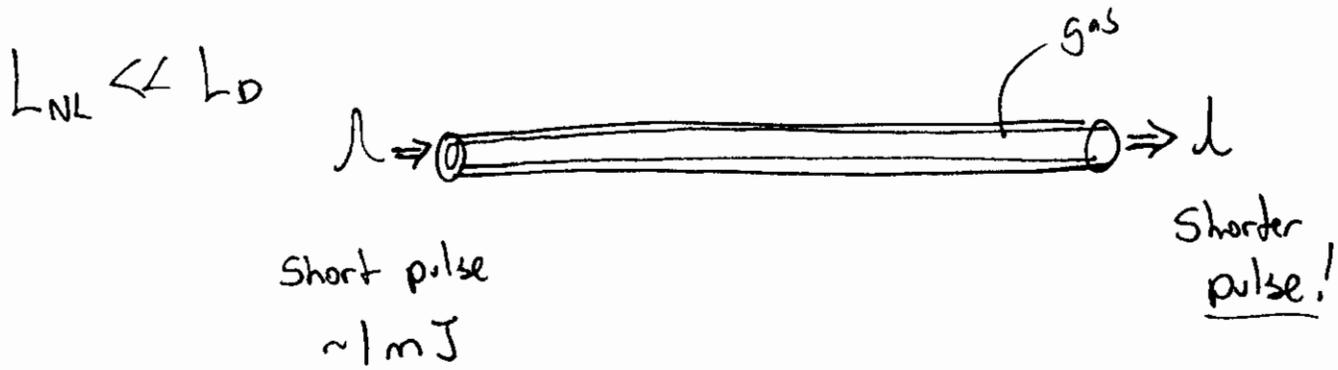


## Pulse Propagation



## Ultra-short pulse compression in Noble Gases.

- Specific Noble gases (Ne, etc) exhibit a large  $n_2$  with small dispersion. The SPM ~~in noble gases~~ due to the gas in the presence of small GVD will cause spectral broadening / temporal compression.



- Compression from  $\sim 20 \text{ fs}$  to  $< 5 \text{ fs}$

Using the interaction of SPM + GVD for pulse compression

~~Self Focusing~~  
~~spatial analogy to self~~

# Supercontinuum Generation

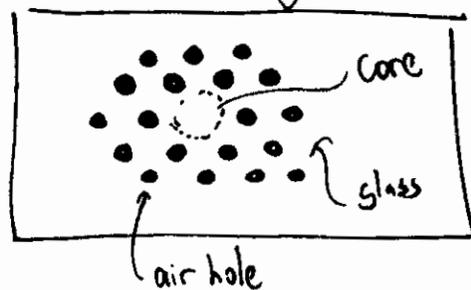
Generation of extremely broadband light ( $\Delta\omega \approx \omega_0$ )

How? Typically two methods

- 1)  $\sim 1$  mJ pulses in quartz or sapphire plate
- 2)  $\sim 1$  nJ pulses in a "special" optical fiber

"Special fiber"   
 { microstructured optical fiber  
 photonic crystal fiber  
 photonic bandgap fiber  
 holey fibers

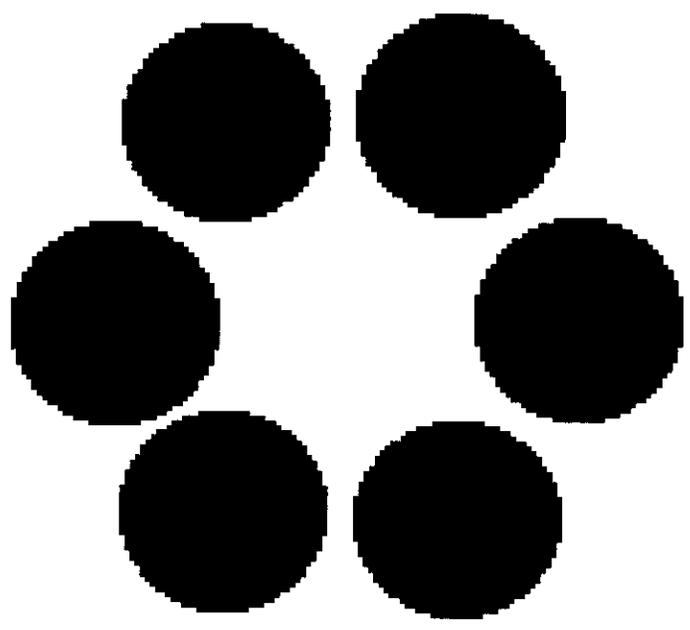
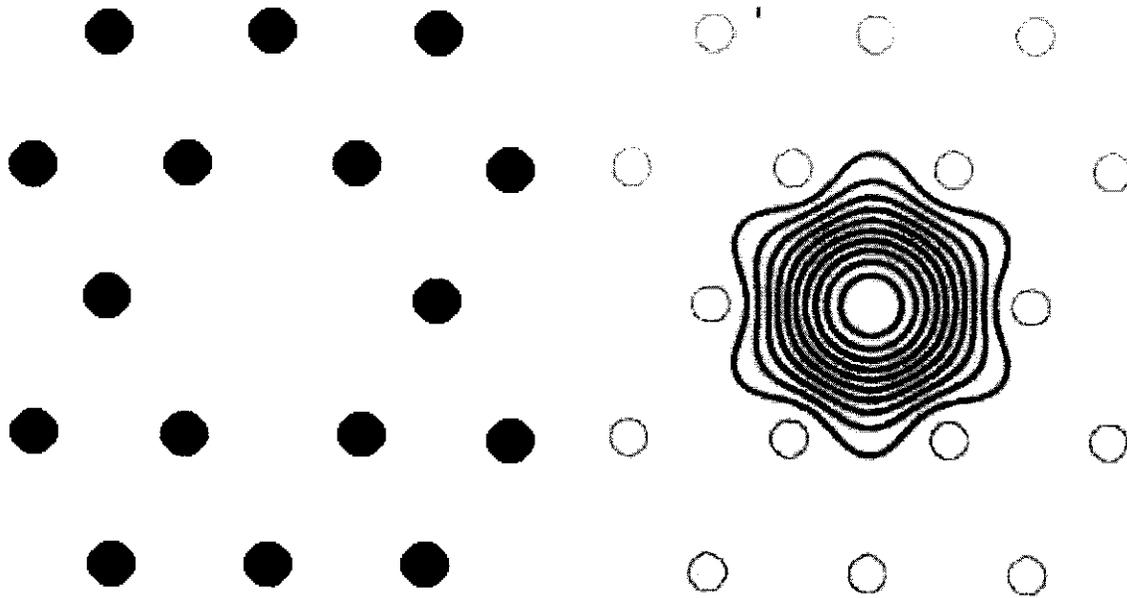
These are optical fibers that have a very small core surrounded by a cladding of air holes + glass.



The presence of the air holes in the cladding reduces the effective cladding index thus increasing  $\Delta n$ . This makes the mode field diameter smaller thus a larger effective

nonlinearity  $\gamma$ .

$$\gamma = \frac{n_2 \omega}{c (\pi r_0)^2}$$



Microstructured optical fibers offer two advantages for supercontinuum generation.

- 1) Large effective nonlinearity  $\left( \begin{array}{l} \gamma \approx 110 \frac{1}{\text{Wkm}} \\ \gamma = 1 \frac{1}{\text{Wkm}} \end{array} \right)$
- 2) Small core moves zero GVD ~~to shorter~~ wavelength to shorter  $\lambda$ .

Conventional fiber	$\lambda_{\text{ZGVD}} = 1300 \text{ nm}$	diameter $10 \mu\text{m}$
microstructure fiber	$\lambda_{\text{ZGVD}} = 900 \text{ nm}$	diameter $4 \mu\text{m}$
	$\lambda_{\text{ZGVD}} = 700 \text{ nm}$	diameter $2 \mu\text{m}$

Also microstructure fibers offer negative GVD at shorter  $\lambda$ .

For supercontinuum generation to occur

1. Large effective nonlinearity
2. Low loss
3. low or zero GVD at center wavelength

Unfortunately associated with the spectral broadening is temporal ~~breakup~~ breakup of the pulse

5 nJ into 15cm at 800nm  $\Rightarrow$   $\left\{ \begin{array}{l} \text{Broadening from } 500 - 1200 \text{ nm} \\ \text{Temporal Breakup over } 3 \text{ ps!} \end{array} \right.$

Why is Supercontinuum generation important?

1) Remember  $f_0$  detection? Use Supercontinuum generation to get  $f_n$  and  $f_{2n}$

2) Wide spectral shape that is coherent.

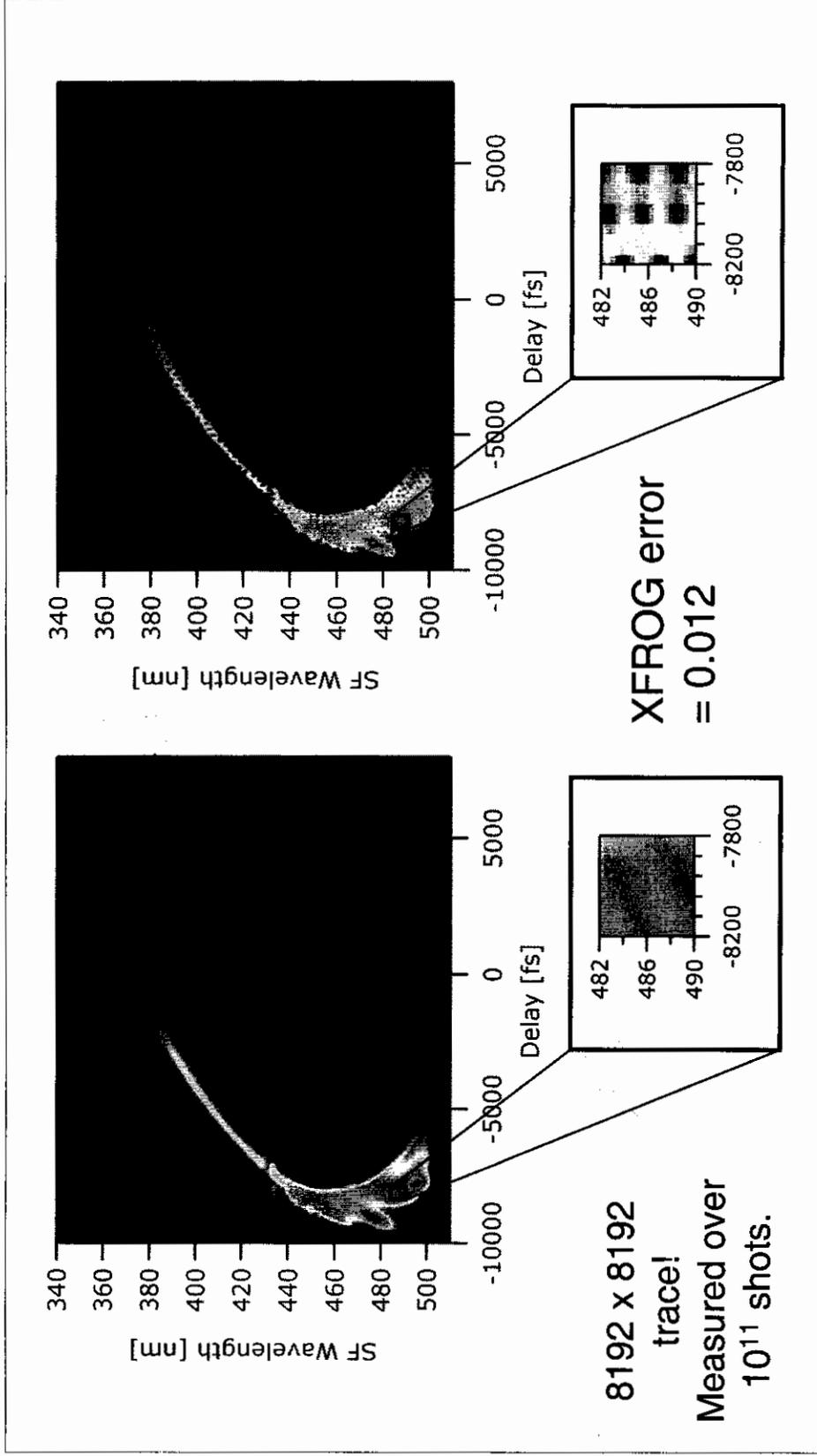
How to explain Supercontinuum generation

- Complicated non linear process: (Involves SPM, Stimulated Raman Scat and Self Steepening)

Soliton fission

{ A  $n^{\text{th}}$  order soliton will break up into  $n$  1<sup>st</sup> order solitons

# XFROG measurement of the continuum



While the large-scale structure of each trace is identical, the measured trace lacks the fine-scale structure of the retrieved trace.

From Tribino's Lecture notes

# Lucent/OFS Microstructure Fiber

— 92  $\mu\text{m}$  —

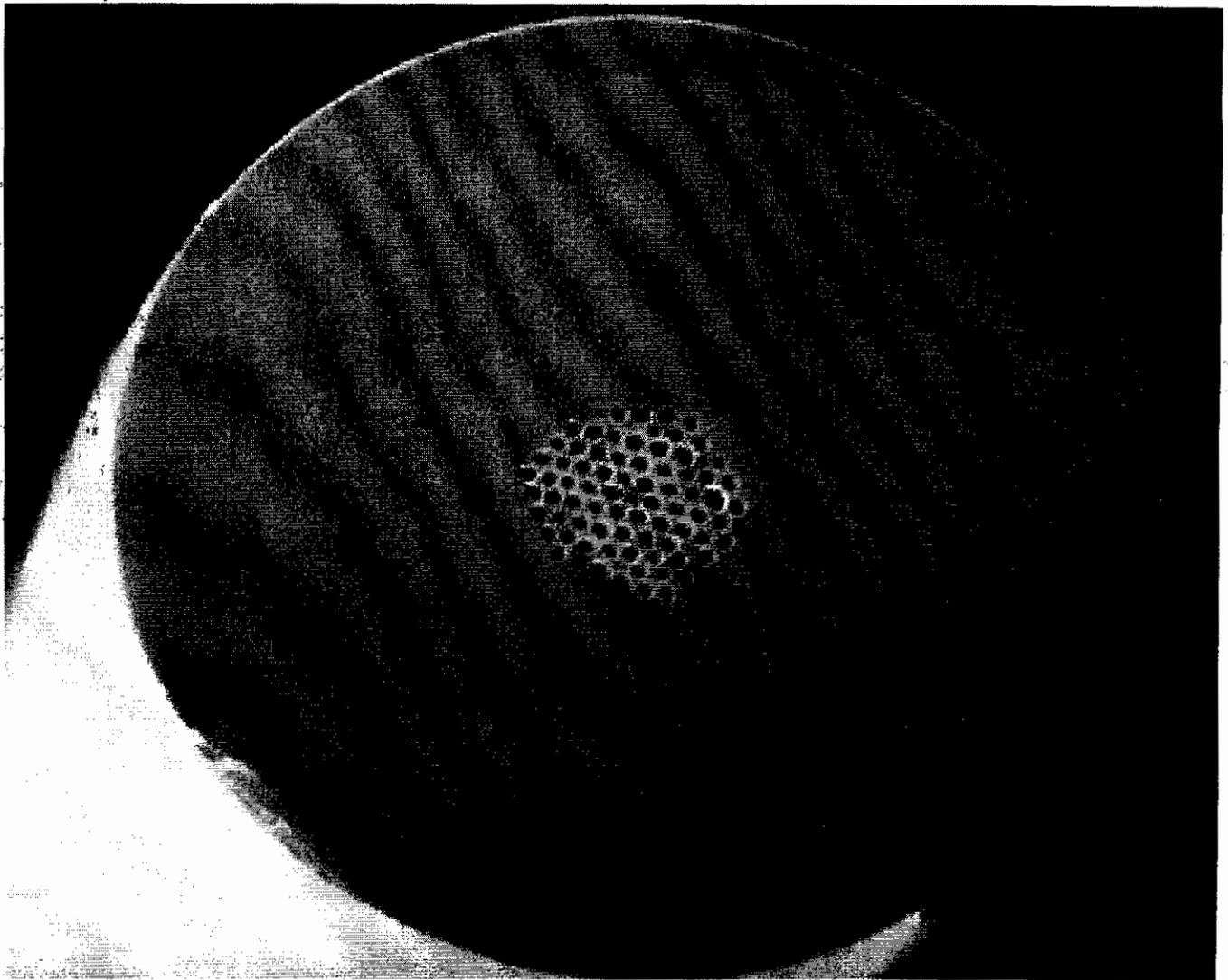
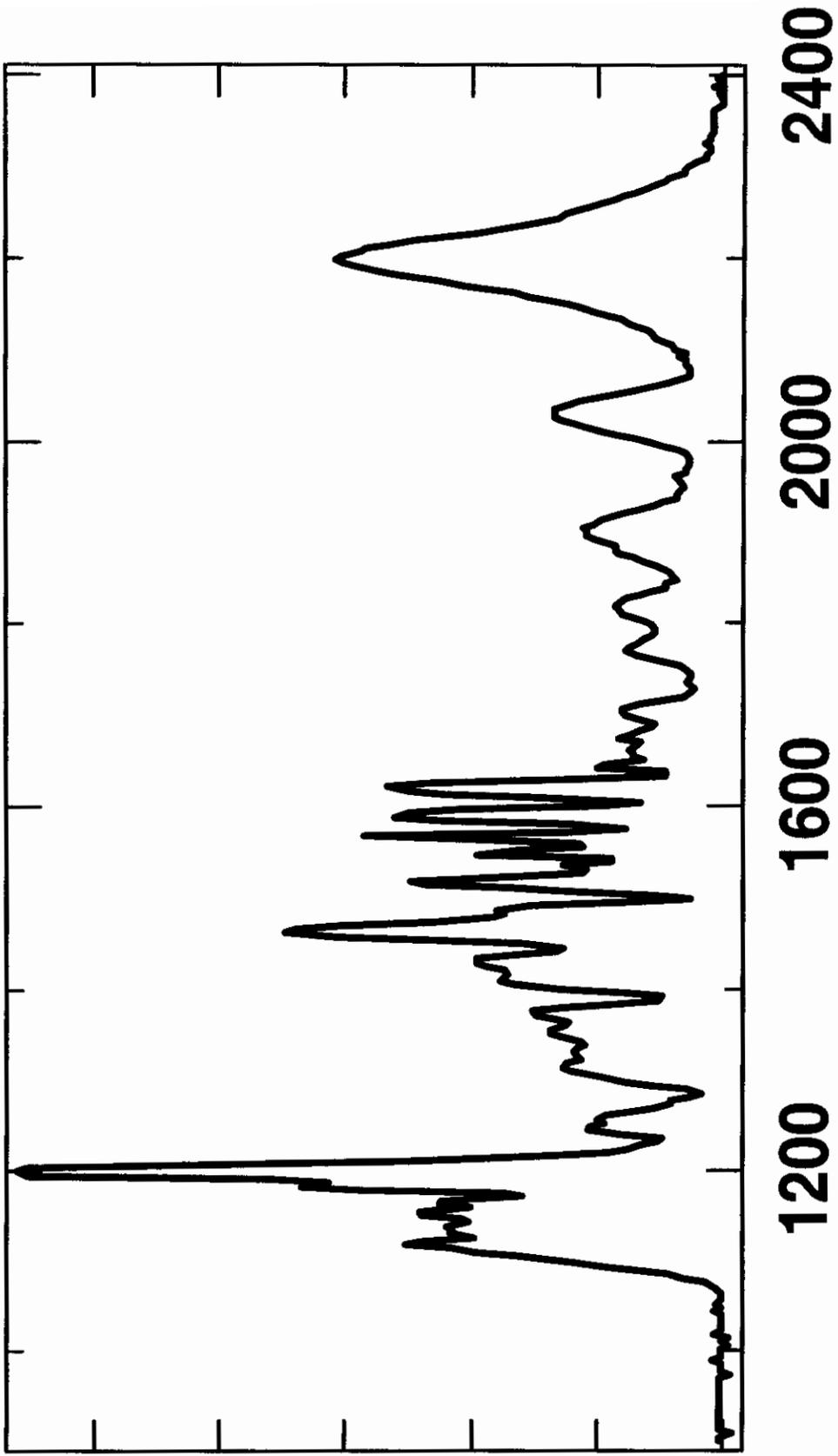
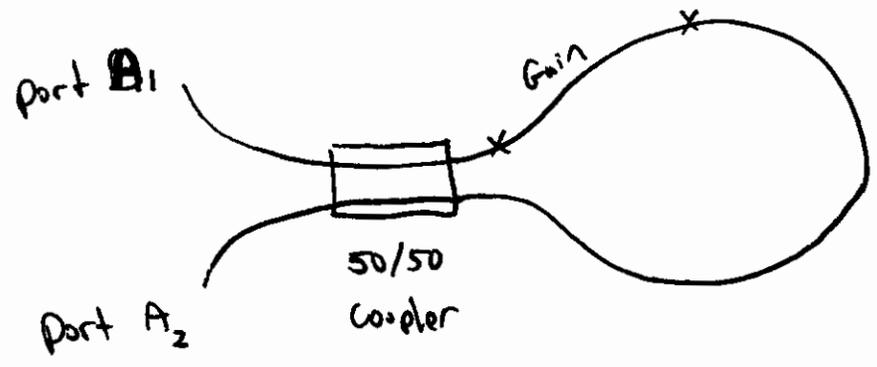


Figure courtesy of OFS



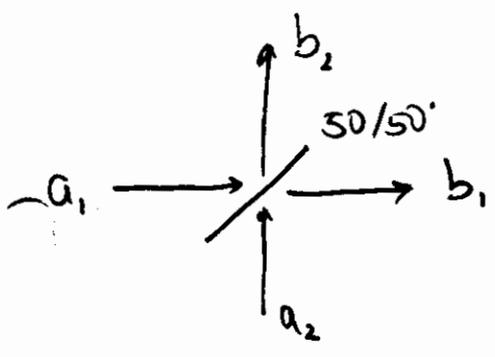
Nonlinear Switching

Loop Mirrors



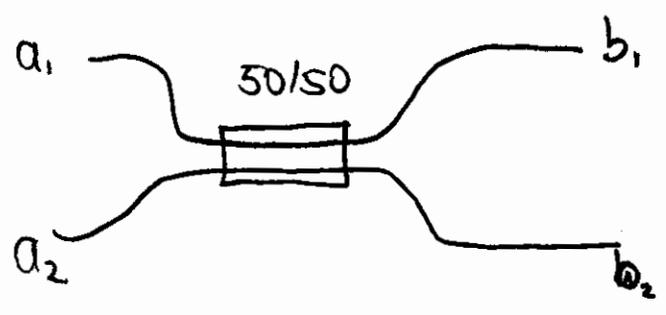
Loop mirror  
Fast switch  
Sagnac Interferometer

Difference between beam splitter + directional coupler



beam splitter

$\pi$  phase shift between  $b_1$  +  $b_2$



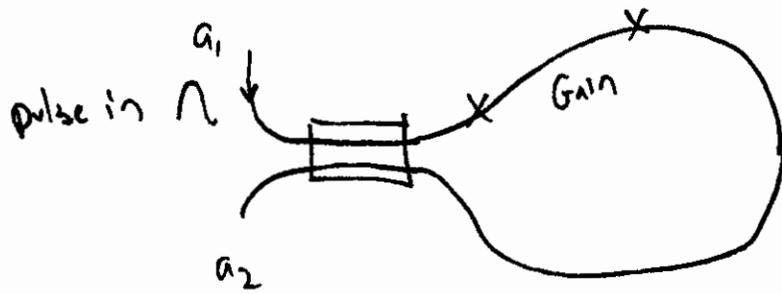
directional coupler

(uses evanescent wave coupling)

$\frac{\pi}{2}$  phase shift between  $b_1$  +  $b_2$  for 50/50.

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} t & e^{i\pi/2} \sqrt{1-t^2} \\ e^{i\pi/2} \sqrt{1-t^2} & t \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

The nonlinear phase shift is asymmetric



$G \equiv \text{Gain}$

The directional coupler will split the input pulse ~~into~~ into two:

1) Clockwise: Pulse that goes clockwise get first amplified and then experiences a large nonlinear phase shift

2) Counter Clockwise: Pulse that goes counter clockwise goes thru fiber and get a small nonlinear phase then goes thru amplifier

Difference in phase shift between two pulses.

$$\Delta\phi \sim n_2 (G-1) I(t) L$$

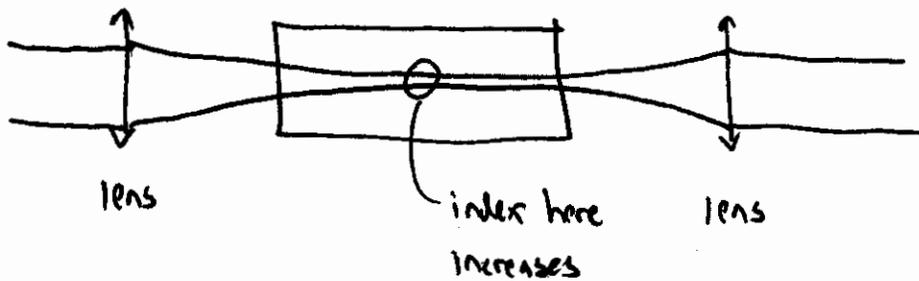
If the pulses are weak then  $\Delta\phi = 0$  and the pulse will exit the loop out port  $a_1$

If the pulses are strong then  $\Delta\phi \approx \pi$  and the pulse will exit the loop out port  $a_2$ .

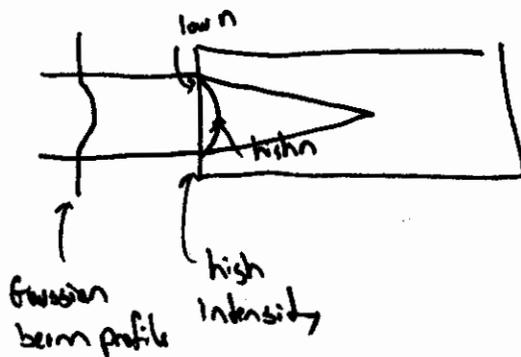
# Lecture 24

## Self focusing : Spatial $\chi^{(3)}$ Effects

- Spatial analog of Self phase modulation
- Intense beam of light modifies the medium (index) it experiences



Since  $n_2 > 0$  the action of self focusing causes a larger index of refraction for high intensities. This ~~effect~~ creates another lens in the material.



Important  
⇒ Critical Power  
not intensity

## Spatial Solitons (self trapping)

Analog to temporal solitons

Balance of diffractive & nonlinear effects.

Critical Power

$$P_c = \frac{\pi (0.61)^2 \lambda^2}{8 n_0 n_2} = \frac{\lambda^2}{8 \pi n_0 n_2} \quad \boxed{P_c > 1 \text{ MW}}$$

Whole beam self focusing: Continuous wave (Phase distortion)

$$n = n_0 + n_2 I$$

$$n = n_0 + n_2 I_0 \exp(-2r^2/w_0^2)$$

$$n \approx n_0 + n_2 I_0 (1 - 2r^2/w_0^2)$$

Phase delay due to spatial nonlinearity

$$\phi(r) = n k_0 L = n_0 k_0 L + n_2 k_0 L I_0 (1 - 2r^2/w_0^2)$$

$$\phi(r) \sim -2n_2 k_0 L I_0 \frac{r^2}{w_0^2} \leftarrow \begin{matrix} \text{analogy between} \\ \text{a lens and GVD} \end{matrix}$$

quadratic spatial phase distortion.

This is what a lens does!

Critical Power is defined where  $\Rightarrow P_c = \frac{\lambda^2}{8\pi n_0 n_2} \approx 1 \text{ MW}$

$$\phi_{\text{self focus}}(r) = \phi_{\text{diffraction}}(r)$$

$$z_{\text{sf}} = \frac{1}{2} \left( \frac{\pi r_0^2 n_0}{\lambda} \right) \frac{1}{(P/P_c - 1)^{1/2}}$$

Self-defocusing

Higher order nonlinearities  $\sim n_4 I^2$

Another way to look at this

Diffraction angle = self focusing angle.



### Example of Self focusing $\Rightarrow$ Kerr lens modelocking

Modelocking is a method to get short pulse formation in a laser cavity thro the coherent addition of cavity modes

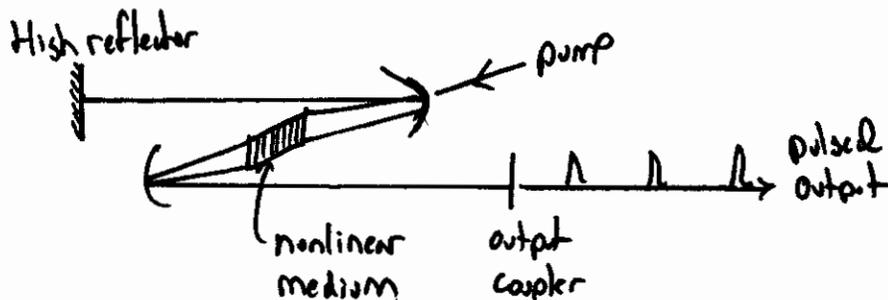


Cavity longitudinal modes

Add up modes to generate pulse train

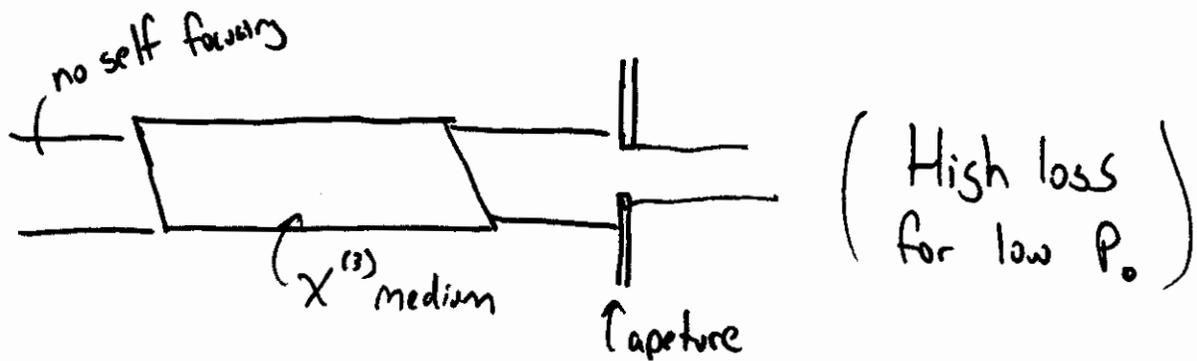
This is typically done by setting up a condition in the cavity that favors high peak powers. (Self amplitude mod).

Kerr-lens  $\Rightarrow$  "lens" due to self focusing in a nonlinear crystal.

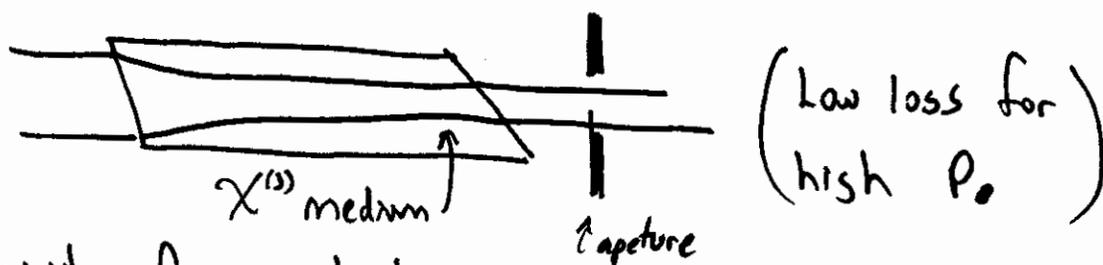


Mode-locked laser

How to use self focusing for mode locking?



Set up a condition where there is low loss for high peak powers

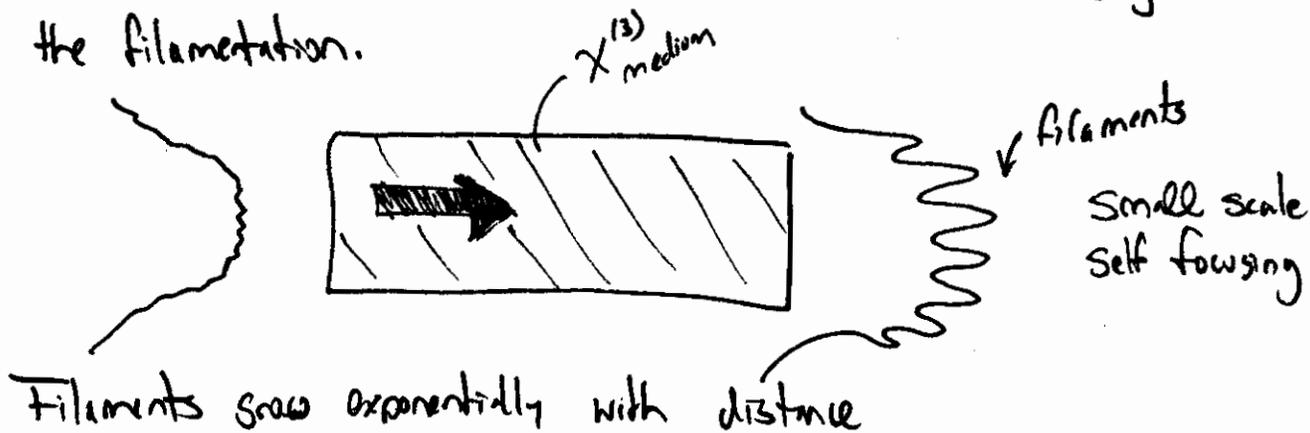


This condition ~~prevents~~ favors high peak power pulses.

## Self filamentation

During self focusing a single beam will break up into multiple small beams.

The spatial beam profile will have variations due to quantum noise. These variations each will focus causing the filamentation.



Self filamentation leads to a beam with random intensity distributions

Unfortunately, the power for self filamentation is on the same order of that of self focusing.

Light Bullets

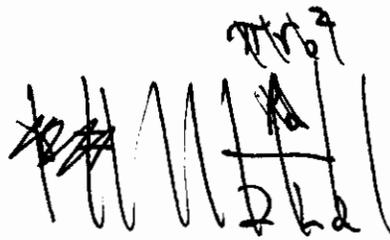
⇒ 3D spatial solitons

Spatial / temporal coupling

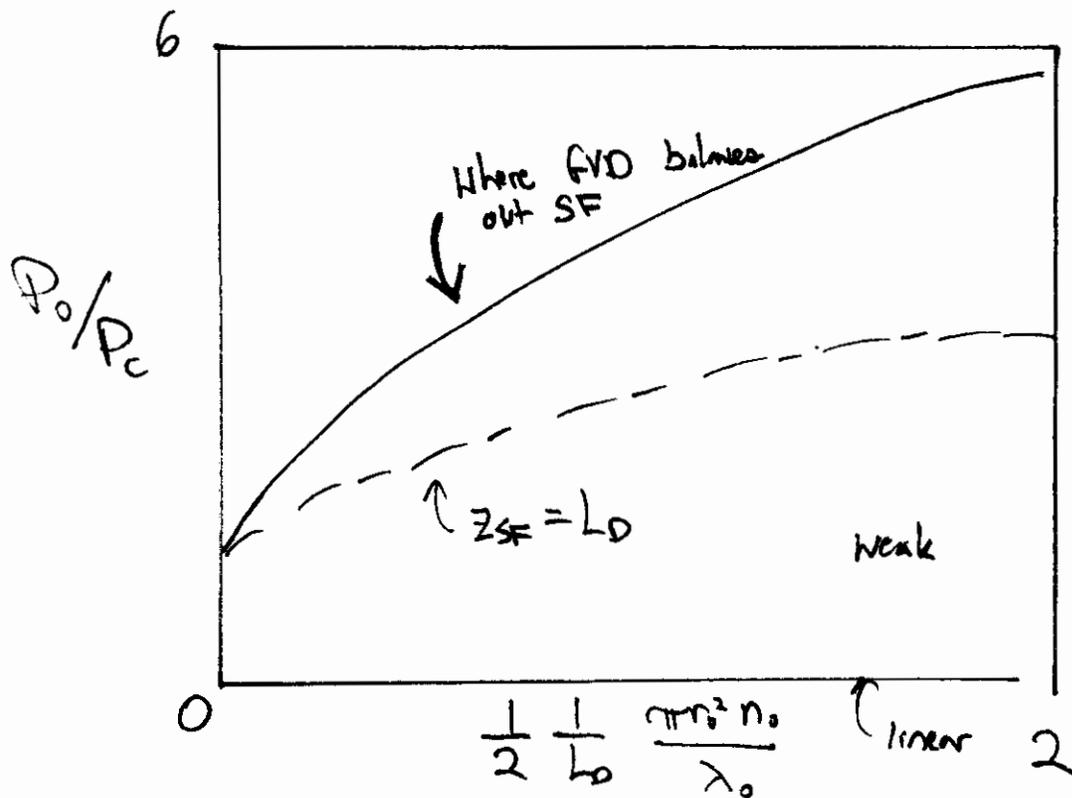
# Self Focusing using pulses

- Need to include space-time coupling  
 Material dispersion  $\Rightarrow$  higher peak powers for self focusing compared to cw case

Need to consider higher order dispersion + nonlinearities

$\frac{1}{2} \frac{1}{L_0} \left( \frac{\pi n_0^2 n_0}{\lambda_0} \right)$    $\equiv$  measure of dispersion relative to diffraction

$\frac{P_0}{P_{cr}}$   $\equiv$  Strength of pulse with respect to critical power



## Notes on SPM in gases

- SPM is more complex because it is coupled with self focusing
- Self focusing effects are detrimental for using SPM for temporal compression in gases.
- Ionization in gases modify the beam propagation + produces asymmetric SPM.

Saturation of the nonlinear response

## Self Focusing in solids

Causes damage tracks (glass)

Fiber fuse effect  $\Rightarrow$  Bad

# Review of self focusing

The intensity dependent index of refraction creates a "lens" in the material

$$n = n_0 + n_2 I_0 \left( 1 - \frac{2r^2}{w_0^2} \right)$$

$$\text{So } \phi(r) = \frac{n_0 \omega}{c} L \approx (n_0 + n_2 I) \frac{n_0 \omega}{c} L - \frac{n_0 \omega}{c} L \left( \frac{2r^2}{w_0^2} \right)$$

Then the results of Fraunhofer diffraction a lens induces the same phase shift to the wave

$$\boxed{\phi(r) \sim -r^2} \quad \text{lens}$$

The electric field after the lens is

$$E(\vec{r}, t) \exp(i\phi(r))$$

## Domains for the electric field + Analogies

	<u>Time / Frequency</u>		<u>position / k-space</u>
Linear	Dispersion	$\longleftrightarrow$	Diffraction
Linear	GVD	$\longleftrightarrow$	Lens (quadratic phase distortion)
Nonlinear	SPM	$\longleftrightarrow$	Self focusing

Spontaneous Raman effect (1928)

Scattering of light by vibrations of the medium

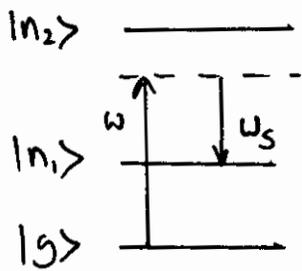
Scattering of photons by optical phonons

New spectral components

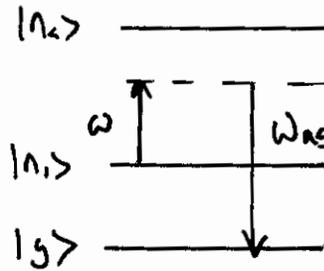
Stokes  $\Rightarrow$  ~~shorter  $\lambda$  / larger  $\omega$~~  longer  $\lambda$  / smaller  $\omega$

Anti Stokes  $\Rightarrow$  shorter  $\lambda$  / larger  $\omega$

Stokes components are an order of magnitude larger than anti-Stokes.



Stokes



Anti Stokes

Two photon process

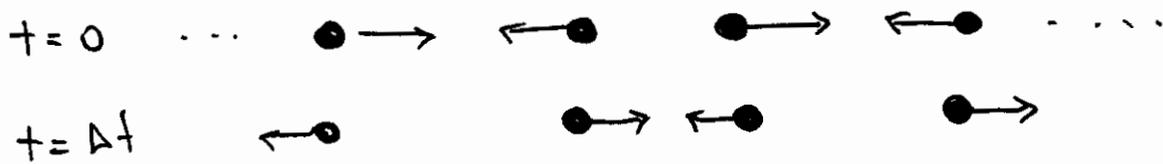
$\hbar\omega_{ng}$

Anti-Stokes emission is smaller since at room temp. mostly the  $|g\rangle$  state is populated.

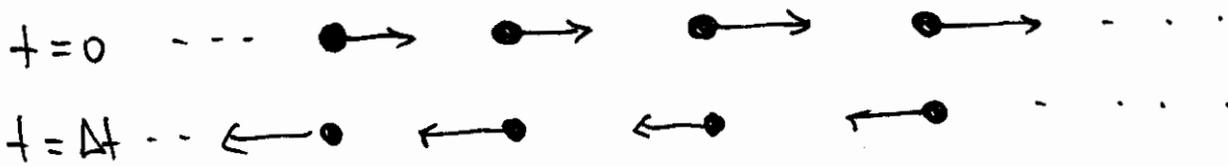
$|n\rangle$  population smaller by  $\exp(-\hbar\omega_{ng}/kT)$

Scattering by  $\omega = \omega_0 \pm \omega_{ph}$

- Optical Phonons
- Quantized lattice vibrations



Versus Acoustic Phonons



(Note: scattering of photons by acoustic phonons is called Brillouin scattering)

~~Stimulated Raman Scattering  $\Rightarrow$  Strong Pumps~~  
~~Most stronger process~~  
~~Forward scattering process~~

Properties of Spontaneous Raman Scattering

- Stokes intensity grows linearly with length of Raman material
- Process is weak scattering  $\frac{\text{cross section}}{\text{Volume}} \sim 10^{-6} \text{ cm}^{-1}$   
 (1 in  $10^6$  will be scattered)
- Two Photon Process

# Stimulated Raman Scattering (SRS)

## Four Photon Process

Slow resonance is excited by two optical fields at two frequencies that differ by the molecular resonant ~~low~~ frequency. These frequencies interact to produce sum + difference frequencies.

This is a nonlinear process since it depends on the product of fields.

Stokes generation dominates since

- upper levels are not previously excited
- phase matched for colinear propagation

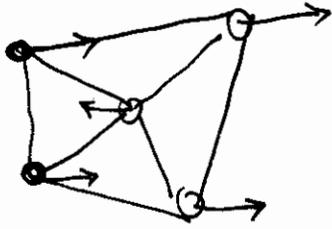
Unlike spontaneous Raman Scattering, SRS is a forward Scattering

## Process of SRS

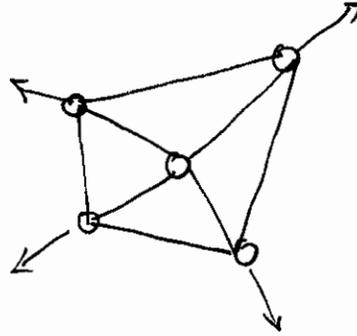
- Pump wave generates Stokes via spontaneous Raman Scattering
- The pump is constant so more Stokes photons are generated this also causes a slight excitation of the molecular resonance.
- As the scattered Stokes increases in intensity, the stimulated regime is reached.
- Now the Stokes wave interacts with the pump to further excite resonances, increasing the rate of frequency conversion.

# Raman Stretches in fused silica

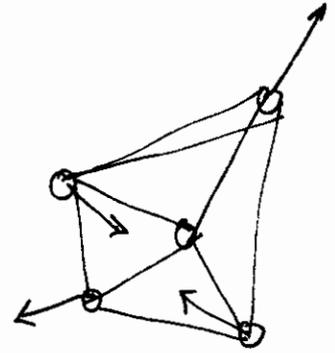
$\text{SiO}_2$  tetrahedra



$1056 \text{ cm}^{-1}$



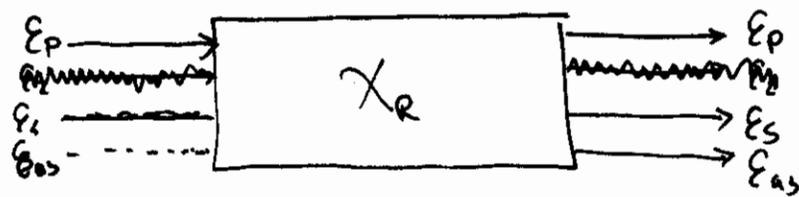
$800 \text{ cm}^{-1}$



$440 \text{ cm}^{-1}$

# Classical Description of SRS

Treat medium as collections of atoms



(ignore anti stokes for this)

Atoms will obey the differential eq

$$m\ddot{q} + m\zeta_R\dot{q} + kq = e\mathcal{E} \quad (1)$$

where  $q \equiv$  atomic displacement  $k \equiv$  atomic spring const

$$\zeta_R \equiv \text{Raman damping} \quad \mathcal{E} = \mathcal{E}_1 + \mathcal{E}_2 = \mathcal{E}_p + \mathcal{E}_s$$

Need to find the output electric fields

1) First find  $\mathcal{P}$  +  $\chi_R$  We can write

$$\mathcal{P}_{NL} = \epsilon_0 N \alpha_p q(z,t) \mathcal{E}(z,t) \quad (2)$$

$$\mathcal{P}_{NL} = \epsilon_0 \chi_R \mathcal{E} \quad (\mathcal{P} = \mathcal{P}_{NL} + \mathcal{P}_L) \quad (3)$$

2) Solve for  $q(z,t)$  using (1)

3) Find  $\mathcal{P}$  using (2)

4) Find  $\chi_R$  using (2) + (3)

$$\chi_R = - \frac{\epsilon_0 N \alpha_p^2}{8m\omega_0 \zeta_R} \left( \frac{i + \zeta_R}{1 + \zeta_R^2} \right) \quad \omega_0 \equiv \sqrt{k/m} \quad \zeta_R \equiv \frac{2}{\zeta_R} (\omega_2 - \omega) - \omega_0$$

## Threshold for SRS $\Rightarrow$ Gain

$$\frac{dI_s}{dz} = g_r I_p I_s - \alpha_s I_s \quad \left( g_r = \frac{\epsilon_0 N \eta_0 \omega_p \alpha_p^2}{4m \omega_0 \zeta_c n^2} \right)$$

$$\frac{dI_p}{dz} = -\frac{\omega_p}{\omega_s} g_r I_p I_s - \alpha_p I_p$$

For small loss  $\alpha_p \approx 0$   $\alpha_s \approx 0$  we can rewrite

$$\frac{d}{dz} \left( I_s + \frac{\omega_s}{\omega_p} I_p \right) = 0$$

Ignoring pump depletion with loss

$$\frac{dI_p}{dz} = 0$$

$$\frac{dI_s}{dz} = g_r I_0 \exp(-\alpha_p z) I_s - \alpha_s I_s$$

Solution

$$I_s(z) = I_s(0) \exp(g_r I_0 L_{\text{eff}} - \alpha_s L)$$

$$L_{\text{eff}} = \frac{1}{\alpha_p} (1 - \exp(-\alpha_p L))$$

Where does  $I_s(0)$  come from?  $\Rightarrow$  Spontaneous Raman Scattering

5) Find  $E_s$  &  $E_{as}$  by putting  $P = P_{NL} + P_L$  into the wave equation.

Can rewrite wave eq as

$$\frac{dI_s}{dz} = g_R I_p I_s - \alpha_s I_s$$

$$\frac{dI_p}{dz} = -\frac{\omega_p}{\omega_s} g_R I_p I_s - \alpha_p I_p$$

where

$$g_R \equiv \frac{\epsilon_0 N \omega_p \alpha_{pi}^2 \sqrt{\mu_0/\epsilon_0}}{4m \omega_s \zeta_e c n^2} \frac{1}{(1 + S_R^2)}$$

Define Raman threshold

~~Threshold~~

Input pump power at which the <sup>output</sup> Stokes power becomes equal to the output pump power.

$$P_s(L) = P_p(L) = P_0 \exp(-\alpha_p L)$$

initial pump power

Approximation

$$g_R P_0^2 L_{\text{eff}} / (\text{Area}) \approx 16$$

$(g_R \approx 10^{-13} \text{ m/W})$   
at  $1 \mu\text{m}$  for fused silica

Raman Shift in fused silica

$$\Delta \nu = 440 \text{ cm}^{-1}$$

$$\Delta f = 13.2 \text{ THz}$$

at 1550 nm

$$\Delta \lambda = \frac{\lambda_0^2}{c} \Delta f = \frac{(1550 \text{ nm})^2}{(300 \text{ nm/fs})} (0.0132 \text{ 1/fs})$$

$$\approx \boxed{105.7 \text{ nm}}$$

at 800 nm

$$\Delta \lambda = \frac{(800 \text{ nm})^2}{300 \text{ nm/fs}} (0.0132 \text{ 1/fs}) = \boxed{28 \text{ nm}}$$

Raman Active vibration

⇒ No change dipole moment due to atomic displacements.

## Effect of SRS in Fibers

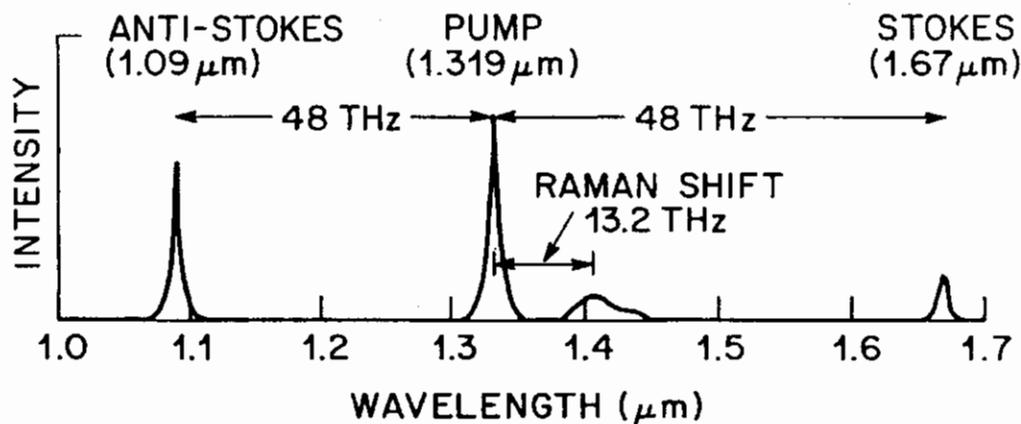
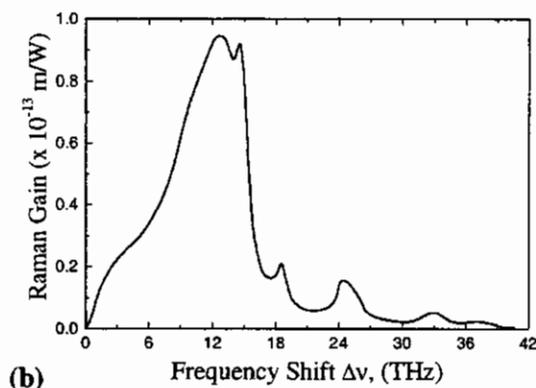
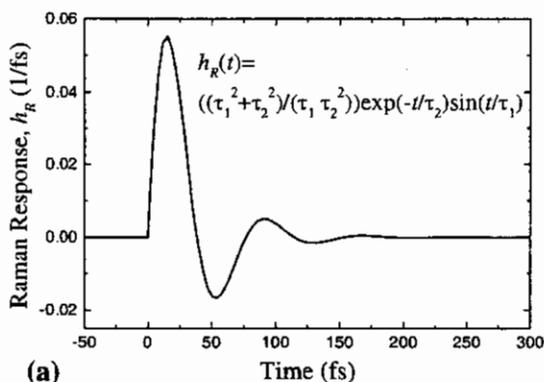


Figure 4-1 FWM Stokes and anti-Stokes components due to propagation in standard SMF near the zero dispersion wavelength (1319 nm). Stimulated Raman scattering also is present which produces spectral components near 1400 nm. Figure reproduced from Ref. [Lin, 1981 #32].

This plot shows Raman Scattering in a optical fiber  
 The vibrations of fused silica has a resonance at 13.2TH  
 Note that the Stoke + anti Stokes components are not  
 due to SRS but due to partially degenerate FWM,

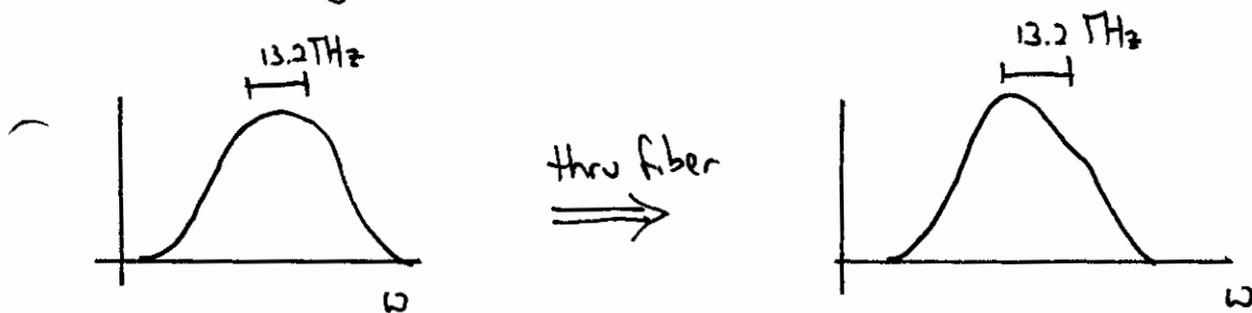


For optical fibers

$$P_0^{cr} = \text{100W for 10m}$$

## Inter pulse Stimulated Raman Scattering

Short pulses may have a bandwidth larger than 13.2 THz. As the pulse propagates, SRS will cause a shift of the spectrum to ~~shorter~~ longer wavelengths.



The process continues thru the length of the fiber. This effect is called the self frequency shift: you can change the center freq. of a pulse via SRS just by propagation in a Raman medium.

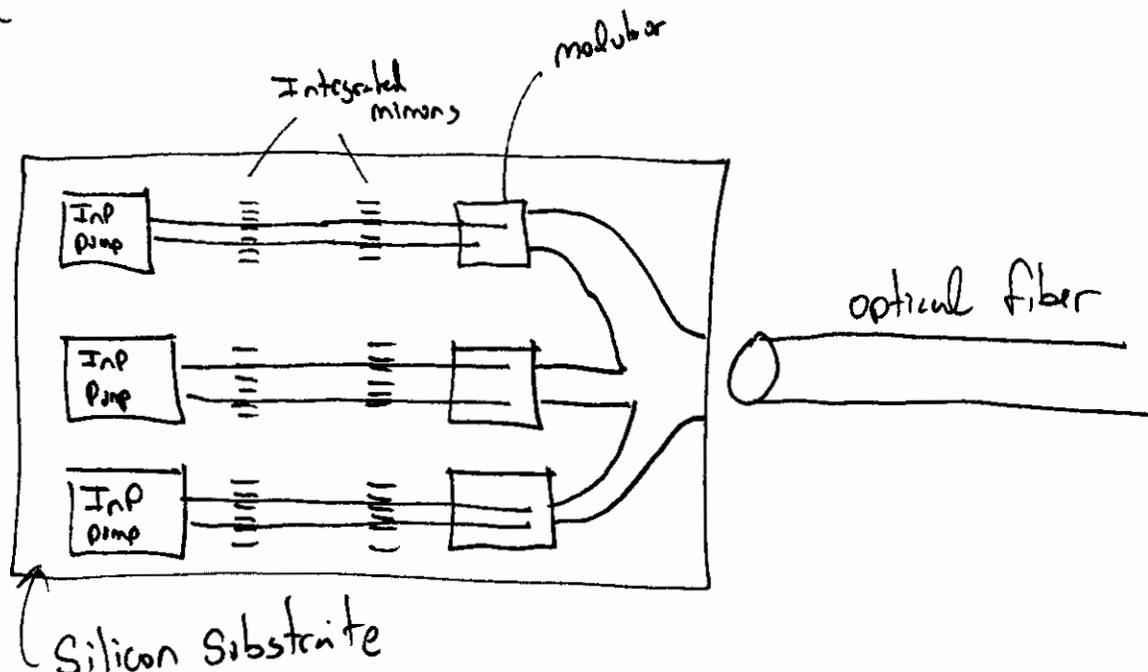
# Intel + the Silicon "Laser"

A few years back, Intel announced the demonstration of a laser using silicon. This was a big deal since silicon does not directly lase (it has an indirect bandgap).  
~~So making a laser in a material that can't lase~~

The silicon laser would allow a laser on your pentium chip, opening the door to computers that use light instead of electrons.

However, the problem here it isn't a laser, but a Raman amplifier. It uses a InP pump laser

Raman effect is 10000 times stronger in Silicon than fused silica



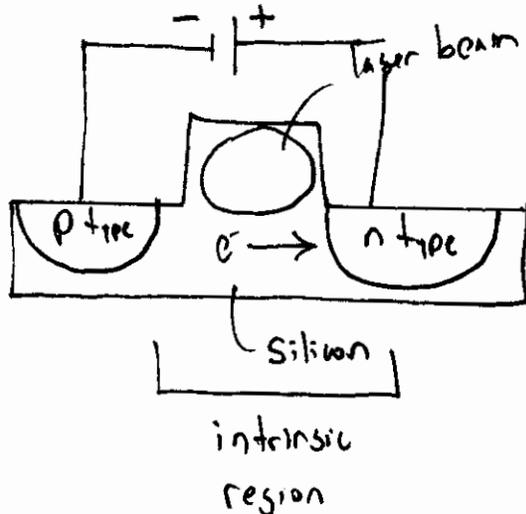
## Two Photon absorption

- Silicon is transparent to IR light

For high powers two photons cause an atom to free its electron. ~~These free electrons~~ If the intensity is high enough the rate of generating free electrons will exceed the recombination rate. The free electrons will cause the material to have a higher absorption + prevent lasing + Raman Gain

Intel's solution was to use a p-i-n junction to

- "Sweep" those electrons out



p ≡ p type

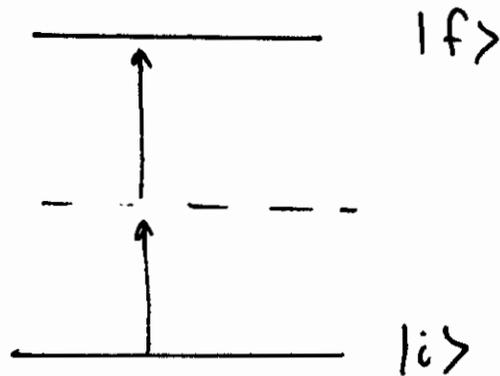
i ≡ intrinsic

n ≡ n. type

## Two Photon Absorption

Nonlinear change to the absorption

Two photons simultaneously absorbed to excite a state



absorption cross section is smaller than single photon process.

Corresponds to  $\chi^{(2)}$  process

# Stokes / Anti-Stokes Coupling

Equations using the SVEA

Extend the previous derivation:  
set susceptibility for Stokes + Antistokes.

$$\frac{dA_p}{dz} = 0$$

$$\frac{dA_s}{dz} = -\alpha_s A_s + K_s A_2^* e^{i\Delta k z}$$

$$\frac{dA_{as}}{dz} = -\alpha_{as}^* A_{as} + K_{as}^* A_1 e^{-i\Delta k z}$$

Gaussian units (Socor)

$$\alpha_s = \frac{12\pi i \omega_s}{n_s c} \chi_R(\omega) |A_p|^2$$

$$K_s = \frac{6\pi i \omega_s}{n_s c} \chi_R A_p^2$$

$$\Delta k = 2\bar{k}_p - \bar{k}_s - \bar{k}_{as}$$

$\alpha_s \equiv$  Real part of Raman susceptibility

$K \equiv$

Solutions

$$A(z) = \left[ ( ) e^{0z} + ( ) e^{z} \right] e^{+i\Delta k z/2}$$

$$A_2^*(z) = \left[ ( ) e^{z} + ( ) e^{2z} \right] e^{-i\Delta k z/2}$$

$$g_{\pm} = \pm \left[ K_1 K_2 - (\Delta k/2)^2 \right]^{1/2} \leftarrow \text{Represents coupled gain}$$

$$\approx i \frac{\Delta k}{2} \left[ 1 - i \frac{4\chi_R}{\Delta k} \right]$$

( $- \Rightarrow$  Stokes)  
( $+ \Rightarrow$  anti-stokes)

at  $\Delta k = 0$

anti-stokes wave is strongly coupled to Stokes } Strongly matched coupled  
that prevents it to grow exponentially

$\Delta k > 0$

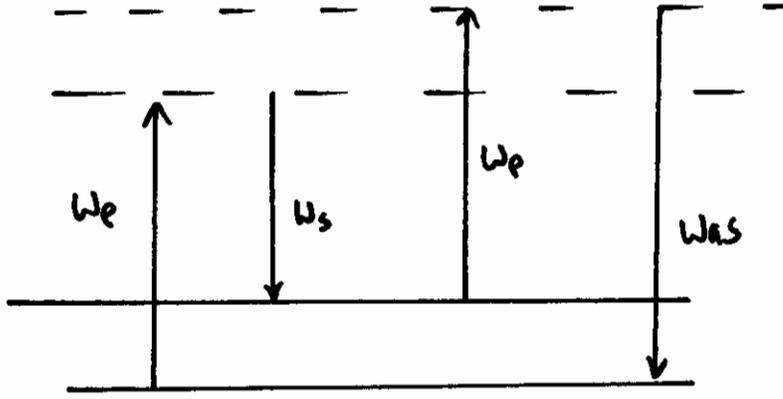
Strong Stokes growth

$\Delta k < 0$

Strong anti-stokes growth

} Strongly mismatched  
Stokes + Anti-stokes  
are decoupled

# Process for gain for Anti-stokes



Parametric  
process

# Coherent Anti-Stokes Raman Scattering (CARS)

- Stimulated process that produces more photons than spontaneous Raman spectroscopy
- Developed in 1965 by the Ford Motor Co (no joke)
- Vibrationally sensitive nonlinear optical technique

## Classical Description

Raman active medium of frequency  $\omega_v$ .

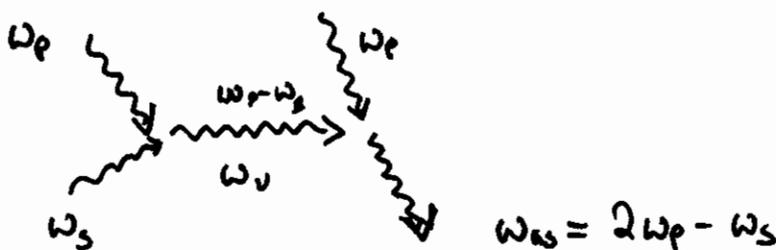
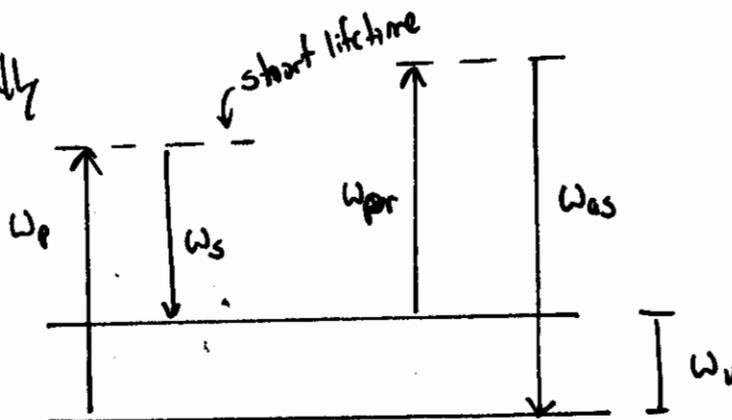
Medium driven by difference  $\omega_p - \omega_s \Rightarrow$  tune  $\omega_p$

When  $\omega_p - \omega_s$  is close to  $\omega_v$  the medium responds with large amplitude.

A third probe beam experiences a large change in index due to the large amplitude vibrations

Anti-Stokes light is emitted

## Quantum mechanically



## Quantum Mechanical

— joint action of pump + Stokes establishes a coupling between the ground state + vibrationally excited state

— molecule is in coherent superposition of the two states

— The probe beam investigates the coherence between states

It promotes to a virtual state

— The molecule falls to the ground state emitting a photon.

— Probe beam interrogates medium superposition of states —

# Lecture 27 Quantum Mechanical Description of Nonlinear optical susceptibilities

So far, we have describe nonlinear optics in classical terms treating the medium as a collection of dipoles with a continuous spread of energies.

The question is, do we lose "Something" treating the system classically?

Does a quantum description provide more or a better explanation?

To answer these questions, we will need to develop a quantum treatment.

More specifically, we will use a semi-classical treatment

- treat material quantum mechanically
- treat E/m field classically

We can do this since the number of photons are large. (High intensities)

Now we really have not discussed what a photon is, this will come later in our discussion of quantum optics

## Density Matrix Formalism

A single quantum mechanical state can be described by the state vector

$$|\psi\rangle = \sum_n c_n |\psi_n\rangle$$

— This is a pure state. If I have a collection of  $N$  quantum systems I cannot use a state vector to describe the total system. This is called a mixed state. Here, we have an ensemble of  $N$  systems,  $n_i$  are in state  $|\psi_i\rangle$

---

The ensemble is described by an occupancy number  $n_i$

A way to assemble the information on an ensemble is the density matrix

$$\hat{\rho} = \sum_i p_i |\psi_i\rangle \langle \psi_i|$$

$$p_i = \frac{n_i}{N}$$

probability to be picked randomly

pure state:  $p_i = 0$  except one state

Ensemble average of property  $\Omega$

$$\langle \bar{\Omega} \rangle = \sum_i p_i \langle \psi_i | \Omega | \psi_i \rangle = \text{Tr}(\hat{\rho} \Omega)$$

Here there are two averages 1) Quantum average  $\langle \psi_i | \Omega | \psi_i \rangle$  for each system  $i$

2) Classical average over different states  $|i\rangle$

Consider

$$\text{Tr}(\Omega \hat{\rho}) = \sum_i \langle j | \Omega \hat{\rho} | j \rangle$$

Remember orthonormality  
 $1 = \sum_j |j\rangle \langle j|$

$$= \sum_i \sum_j \langle j | \Omega | i \rangle \langle i | j \rangle p_i$$

$$= \sum_j \sum_i \langle j | \Omega | i \rangle \langle i | j \rangle p_i$$

(use definition of  $\hat{\rho}$ )

$$= \sum_i \sum_j \langle i | j \rangle \langle j | \Omega | i \rangle p_i$$

$$\text{But } 1 = \sum_j |j\rangle\langle j|$$

so

$$\text{Tr}(\Omega \rho) = \sum_i \langle i | \Omega | i \rangle \rho_i$$

$$= \langle \bar{\Omega} \rangle \Rightarrow \text{Ensemble average of } \Omega$$

The density matrix contains all statistical information on the ensemble.

~~Define density matrix operator~~

~~$$\hat{\rho} = |j\rangle\langle j|$$~~

~~$$\text{Tr}(\hat{\rho}) = \sum_n \langle i | j \rangle$$~~

For a pure state

$$\hat{\rho} = |\psi_j\rangle\langle\psi_j|$$

~~$$\text{Tr}(\hat{\rho}) = \sum_j \sum_i \langle \psi_j | \psi_j \rangle \langle \psi_j | \psi_j \rangle \rho_i = \rho_i$$~~

$$\text{Tr}(\hat{\rho}) = \sum_n \langle n | \hat{\rho} | n \rangle = \sum_i \sum_n \langle n | i \rangle \rho_i \langle i | n \rangle$$

$$= \sum_i \sum_n \rho_i \langle i | n \rangle \langle n | i \rangle = \sum_i \rho_i \langle i | i \rangle = 1$$

Also  $\text{Tr}(\hat{\rho}^2) = 1$

---

## Important Result

$$\begin{array}{l} \text{Tr}(\hat{\rho}^2) = 1 \\ \text{Tr}(\hat{\rho}^2) \leq 1 \end{array}$$

pure state / ensemble

mixed state

The density operator describes a mixed state

More properties of the density operator

$$\rho^\dagger = \rho$$

$$\rho^2 = \rho \quad (\text{pure ensemble})$$

$$\text{Tr} \rho = 1$$

$$\text{Tr}(\rho^2) \leq 1$$

We can express the density matrix in matrix space

$$\rho_{nm} = \sum_i P_i C_m^* C_n$$

where 
$$\psi = \sum_n C_n |n\rangle$$

↑ energy eigenstates to Schrödinger Eq

Physical Interpretation of density matrix

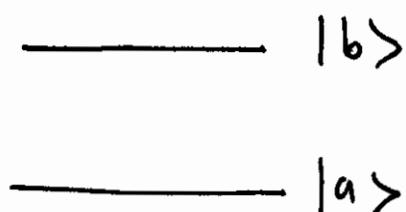
Diagonal Elements : probability of state system to be in eigenstate  $n \Rightarrow \rho_{nn}$

Off diagonal elements : "Coherence" between levels  $n + m$

$\rho_{nm} \neq 0$  if there is a coherent superposition of  $|n\rangle + |m\rangle$

The off diagonal terms are important since they are proportional to an induced dipole moment.

Let's look at an example: Two level ~~system~~ atom



Describe specific state  $s$

$$|\psi_s\rangle = c_a^s |a\rangle + c_b^s |b\rangle$$

Density matrix

$$\hat{\rho} \Rightarrow \begin{pmatrix} \rho_{aa} & \rho_{ab} \\ \rho_{ba} & \rho_{bb} \end{pmatrix} \quad \rho_{nm} = \sum_s \rho_s c_m^{s*} c_n^s$$

Dipole moment operator

$$\hat{\mu} \Rightarrow \begin{pmatrix} 0 & \mu_{ab} \\ \mu_{ba} & 0 \end{pmatrix} \quad \mu_{ij} = -e \langle i | \hat{z} | j \rangle$$

Find expectation value of dipole  $\langle \bar{\mu} \rangle = \text{Tr}(\hat{\rho} \hat{\mu})$

$$\hat{\rho} \hat{\mu} \Rightarrow \begin{pmatrix} \rho_{ab} \mu_{ab} & \rho_{aa} \mu_{ab} \\ \rho_{bb} \mu_{ba} & \rho_{ba} \mu_{ba} \end{pmatrix}$$

Then

$$\langle \bar{\mu} \rangle = \text{Tr}(\hat{\rho} \hat{\mu}) = \rho_{ab} \mu_{ba} + \rho_{ba} \mu_{ab}$$

# Time dependences of Ensemble systems

Expectation values are a function of time

$$\begin{pmatrix} \sum_s c_a^s(t) c_a^{s*}(t) & \sum_s c_a^s(t) c_b^{s*}(t) \\ \sum_s c_b^s(t) c_a^{s*}(t) & \sum_s c_b^s(t) c_b^{s*}(t) \end{pmatrix}$$

$$= \begin{pmatrix} \sum_s |c_a^s(t)|^2 & \sum_s c_a^s(t) c_b^{s*}(t) \\ \sum_s c_b^s(t) c_a^{s*}(t) & \sum_s |c_b^s(t)|^2 \end{pmatrix}$$

On diagonal terms  $\Rightarrow$  positive + Real  
 off diagonal terms  $\Rightarrow$  negative or complex

## Coherences decay due to dephasing : Rates Cancellation of emitted light.

Ensemble average of atomic wavefunctions add to zero over time

Two timescales

$T_1 \Rightarrow$ relaxation time	$P_{aa}$ or $P_{bb}$	(level lifetime)
$T_2 \Rightarrow$ dephasing time	$P_{ab}$ or $P_{ba}$	(coherence lifetime) collision rate

Typically  $T_2 \ll T_1$

We wish to solve for the time dependence of  $\hat{\rho}$ . Use Liouville Eq.

$$\dot{\rho}_{nm} = \frac{-i}{\hbar} [\hat{H}, \rho]_{nm} \quad \text{(interaction picture)} \quad (1)$$

or  
(Dirac picture)

$$\hat{H}(t) = \hat{V}(t) + \hat{H}_0$$

However, we include a phenomenological damping term

$$\dot{\rho}_{nm} = \frac{-i}{\hbar} [\hat{H}, \hat{\rho}]_{nm} - \gamma_{nm} (\rho_{nm} - \rho_{nm}^{eq})$$

$\rho_{nm}$  relaxes to  $\rho_{nm}^{eq}$  at rate  $\gamma_{nm}$

Specifically

$$\rho_{nn}^{eq} = 0 \quad \text{for } n \neq m$$

$$\gamma_{nm} = \gamma_{mn} \equiv 1/T_2 \Rightarrow \text{dephasing rate}$$

So

$$\dot{\rho}_{nm} = \frac{-i}{\hbar} [\hat{H}, \hat{\rho}]_{nm} - \frac{1}{T_2} (\rho_{nm} - \rho_{nm}^{eq}) \quad (2)$$

If we ignore the dephasing, the differential eq (1) can be solved

Quantum "Pictures"	Heisenberg	Interaction	Schrödinger
State Ket	No change	Evolution by $V(t)$	Evolution by $H(t)$
Observable	Evolution by $H$	Evolution by $H_0$	No change <del>very</del>

# Some notes on Quantum Pictures

	Heisenberg picture	Schrödinger Picture
State ket	Stationary	Moving
Obs.	Moving	Stationary
Base ket	moving oppositely	Stationary

Moving state

$$\left\{ \begin{array}{l} c(t) = \langle a' | (U | a, t=0 \rangle) \text{ SP} \\ c(t) = (\langle a' | U) | a, t=0 \rangle \text{ HP} \end{array} \right.$$

## Schrödinger Picture

State ket has time dependence

$$|\alpha, t_0; t\rangle = U(t, t_0) |\alpha, t_0\rangle$$

$$U(t, t_0) = \exp\left(\frac{-iH(t-t_0)}{\hbar}\right)$$

## Heisenberg Picture

Observables have time dependence

$$\frac{d\Omega^{(H)}}{dt} = \frac{-i}{\hbar} [H, \Omega^{(H)}]$$

$$\frac{d\Omega^{(H)}}{dt} = \frac{1}{i\hbar} [\Omega^{(H)}, H]$$

$$\Omega^{(H)} = U^\dagger \Omega^{(S)} U$$

Relationship between Schrödinger + Heisenberg Pictures

$$\Omega^{(H)} = U^\dagger \Omega^{(S)} U$$

# Use Perturbation Theory to solve for $\rho_{nm}(t)$

Write Hamiltonian

$$\hat{H} = \hat{H}_0 + \hat{V}(t)$$

Interaction  $\rightarrow$  dipole  $V(t) = -\hat{\mu} \cdot \bar{\mathbf{E}}(t)$   $\bar{\mu} = c\bar{\mathbf{r}}$   
 $\uparrow$  classical e/m field

$$[\hat{H}, \hat{p}] = [\hat{H}_0, \hat{p}] + [\hat{V}(t), \hat{p}]$$

$\hat{H}_0$  satisfies time independent Schrödinger Eq

$$\begin{aligned} H_0 |\psi_n\rangle &= E_n |\psi_n\rangle \\ \text{or } H_{0, nm} &= E_n \delta_{nm} \end{aligned} \left. \begin{array}{l} \\ \\ \end{array} \right\} \begin{array}{l} |\psi_n\rangle \\ \text{eigen solutions to} \\ \text{time-independent S.E.} \end{array}$$

So

$$\begin{aligned} [\hat{H}_0, \hat{p}] &= \hat{H}_0 \hat{p} - \hat{p} \hat{H}_0 = \sum_{\nu} (H_{0, n\nu} p_{\nu m} - p_{\nu n} H_{0, \nu m}) \\ &= \sum_{\nu} (E_n \delta_{n\nu} p_{\nu m} - p_{\nu n} \delta_{\nu m} E_m) \\ &= E_n p_{nm} - E_m p_{nm} = (E_n - E_m) p_{nm} \end{aligned}$$

Define  $\omega_{nm} \equiv \frac{E_n - E_m}{\hbar}$

Example Time dependence for two level system

$$\dot{\rho}_{ba} = -i \omega_{ba} \rho_{ba} - \frac{1}{T_2} \rho_{ba}$$

$$\dot{\rho}_{bb} = \frac{1}{T_1} (\rho_{bb} - \rho_{bb}^*)$$

↖ equilibrium levels

$$\rho_{ab}(t) = \rho_{ba}^*(t) \quad \rho_{aa}(t) = 1 - \rho_{bb}(t)$$

Back to perturbation theory ( $U(t)U^\dagger(t') = U(t-t')$ )

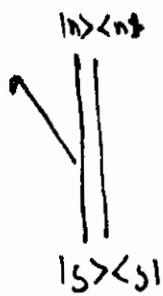
↳ in the interaction picture

$$\begin{aligned} V_I(t_1) \rho(t_0) V_I^\dagger(t_2) &= U^\dagger(t_1) [-\mu \cdot E(t_1)] U(t_1) U^\dagger(t_0) \rho^{(0)}(t_0) U(t_0) \\ &\quad U^\dagger(t_2) [-\mu \cdot E(t_2)] U(t_2) \\ &= U(t_1, t_0) (-\mu \cdot E) U(t_1, t_0) \rho^{(0)}(t_0) U(t_0, t_2) [-\mu \cdot E(t_2)] U(t_2, t_0) \end{aligned}$$

For 2nd order

$$\left(\frac{1}{i\hbar}\right) \int_{t_0}^+ dt_1 \int_{t_0}^{t_1} dt_2 U(t-t_1) [-\mu \cdot E(t_1)] U(t_1, t_0) \rho^{(0)}(t_0) U(t_0, t_2) [-\mu \cdot E(t_2)] U(t_2, t)$$

For  $n^{\text{th}}$  order we have  $\Rightarrow 2^n n!$  terms!



$$\frac{1}{\hbar} (\omega - \omega_{sn} + i \frac{1}{T_2})^{-1}$$

$$\frac{1}{\hbar} (\omega + \omega_{ns} + i \frac{1}{T_2})^{-1}$$

$$\text{Term} \Rightarrow - \frac{P_{nn} M_{ns}^{(i)} M_{sn}^{(i)}}{\hbar (\omega + \omega_{ns} + i \frac{1}{T_2})}$$

$$\text{But } P_{nn} = P_{ss} + 1$$

So

$$\chi_{ij}^{(1)} = P_{ss}^{(0)} \frac{N}{\hbar} \left[ \sum_{sn} \frac{M_{ns}^{(i)} M_{sn}^{(j)}}{(\omega + \omega_{ns} + i \frac{1}{T_2})} + \frac{M_{ns}^{(j)} M_{sn}^{(i)}}{(\omega - \omega_{ns} + i \frac{1}{T_2})} \right]$$

To get classical result, define the oscillator strength

$$f_{ng} = \frac{2m\omega_{ng} |M_{ng}|^2}{3\hbar e^2} \quad P_{ss}^{(0)} = 1$$

Dropping non resonant term

$$\chi_{ij}^{(1)} \approx f_{na} \frac{Ne^2/m}{(\omega_{ng}^2 - \omega^2 - 2i\omega \frac{1}{T_2})} \leftarrow \text{Lorentzian}$$

So

$$\dot{\rho}_{nm} = -i \omega_{nm} \rho_{nm} - \frac{i}{\hbar} [\hat{V}, \hat{\rho}]_{nm} - \frac{1}{T_2} (\rho_{nm} - \rho_{nm}^{eq})$$

Solution  $\Rightarrow$  Expand  $\rho(t) = \sum_n \rho^{(n)}(t)$

$$\rho^{(n)}(t) = \left(\frac{1}{i\hbar}\right)^n \int_{t_0}^+ dt_1 \int_{t_0}^{t_1} dt_2 \cdots \int_{t_0}^{t_{n-1}} dt_n [V(t_1), [V(t_2), \cdots [V(t_n), \rho(t_0)] \cdots]]$$

(Dyson Series)

Note  $t_0 \leq t_n \leq t_{n+1} \cdots \leq t_1 \leq t \Leftarrow$  time ordering

$\rho^{(n)}$  contains  $2^n$  terms

$$[V(t_1), [V(t_2), \rho(t_0)]] = V(t_1) V(t_2) \rho(t_0) - V(t_1) \rho(t_0) V(t_2) \\ - V(t_2) \rho(t_0) V(t_1) + \rho(t_0) V(t_2) V(t_1)$$

Potential in interaction picture

$$V_I(t) = U^\dagger (\mu \cdot E) U$$

$U \equiv$  unitary matrix  
 $\exp(-i H_0 t / \hbar)$

Density matrix in interaction picture

$$\hat{\rho}_I = U^\dagger \rho U$$

Expand terms from the Dyson series: one term

In interaction picture

$$V_I(t) = U^\dagger(t) (-\vec{\mu} \cdot \vec{E}) U(t) \quad U(t) = \exp(-i H_0 t / \hbar)$$

So  $V_I(t_1) \rho_I(t_0) V_I(t_2)$

$$= U^\dagger(t_1) [-\vec{\mu} \cdot \vec{E}(t_1)] U(t_1) U^\dagger(t_0) \overbrace{\rho_I^{(0)}(t_0)}^{p_I^{(0)}(t_0)} U(t_0)$$

But  $\rho_I = U^\dagger(t) \rho(t) U(t)$  }  $U^\dagger(t_2) (-\vec{\mu} \cdot \vec{E}(t_2)) U(t_2)$

So  $\Rightarrow = \underbrace{[U(t-t_1) [-\vec{\mu} \cdot \vec{E}(t_1)] U(t_1-t_0)]}_{\text{left}} \rho_I^{(0)}(t_0) \underbrace{[U(t_0-t_2) (-\vec{\mu} \cdot \vec{E}(t_2)) U(t_2-t)]}_{\text{Right}}$

Left side (~~ket~~ evolution)  
propagation from

$$t_0 \rightarrow t_1 \rightarrow t$$

Right side (ket evolution)  
propagation from

$$t_0 \rightarrow t_2 \rightarrow t$$

This is just one term, there will be many more.

- We need two sided diagram to handle both the ket + bra evolution

# Time scales

Medium	$T_1$ (s)	$T_2$	$\sigma$ (cm <sup>2</sup> )
Solids doped with resonant atomic systems	$10^{-3} - 10^{-6}$	$10^{-11} - 10^{-14}$	$\sim 10^{-20}$
dye molecules	$10^{-8} - 10^{-12}$	$10^{-13} - 10^{-14}$	$\sim 10^{-16}$
semi conductors	$10^{-4} - 10^{-12}$	$10^{-12} - 10^{-14}$	

$T_1 \Rightarrow$  lifetime, longitudinal relaxation time

$T_2 \Rightarrow$  dephasing time, transverse relaxation time

Deriving the Polarization using perturbation theory  $\Rightarrow \chi^{(n)}$

$$\langle \bar{P} \rangle = \langle \bar{P}^{(1)} \rangle + \langle \bar{P}^{(2)} \rangle + \langle \bar{P}^{(3)} \rangle + \dots$$

Where  $\langle \bar{P}^{(n)} \rangle = T_r \langle \rho^{(n)} \bar{P} \rangle$

where

$$\begin{cases} V = e \vec{r} \cdot \vec{E} & \vec{P} = -Ne \vec{r} & N \equiv \frac{\# \text{ dipoles}}{\text{volume}} \\ E = E_1(\omega_1) + E_2(\omega_2) + \dots \end{cases}$$

(50)

$$\chi_{ij}^{(1)} = \frac{P_i^{(1)}(\omega)}{\epsilon_0 E_j(\omega)}$$

$$\chi_{ijkl}^{(3)} = \frac{P_i^{(3)}(\omega)}{\epsilon_0 E_j(\omega_1) E_k(\omega_2) E_l(\omega_3)}$$

$$\chi_{ijk}^{(2)} = \frac{P_i^{(2)}(\omega)}{\epsilon_0 E_j(\omega_1) E_k(\omega_2)}$$

Lets write out the first ~~two~~<sup>2nd</sup> order solutions for  $\rho_{nm}^{(n)}$

$$\rho_{nm}^{(1)}(\omega_j) = \frac{[V(\omega_j)]_{nm}}{\hbar(\omega_j - \omega_{nm} + i/2T_2)} (\rho_{mm}^{(0)} - \rho_{nn}^{(0)})$$

$$\rho_{nm}^{(2)}(\omega_j + \omega_k) = \frac{[V(\omega_j), \rho^{(0)}(\omega_k)]_{nm} + [V(\omega_k), \rho^{(1)}(\omega_j)]_{nm}}{\hbar(\omega_j + \omega_k - \omega_{nm} + i/2T_2)}$$

Now we use

$$\langle \bar{P}^{(n)} \rangle = \text{Tr}(\rho^{(n)} \bar{P})$$

→ find the polarization using the  $\rho^{(n)}$  from the Dyson series

For  $\rho^{(1)} \Rightarrow$  Two term  $\boxed{(2^n n!)}$   $\Rightarrow$  Terms from Dyson Series

$\rho^{(2)} \Rightarrow$  Eight terms

$\rho^{(3)} \Rightarrow$  48 terms

then to find  $\chi^{(n)}$  use the above expressions

$$\chi^{(2)} = \frac{P^{(2)}}{E^{(1)} E^{(1)}}$$

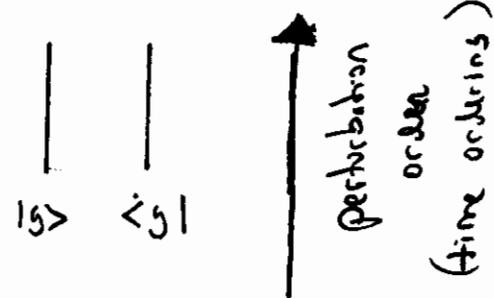
$$\chi^{(n)} = -\frac{Ne^2}{\hbar} \left[ \sum_{s, n, n'} \left( \begin{array}{c} \text{terms from} \\ \text{perturbation} \\ \text{theory} \end{array} \right) \right]$$

# Feynman diagrams for continuous wave case

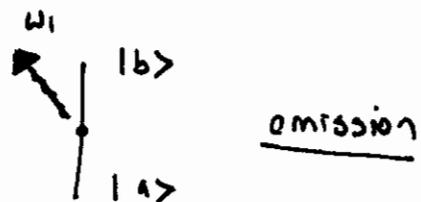
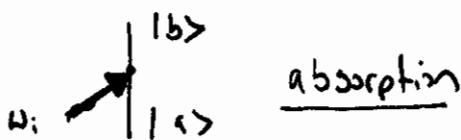
Used to keep track of terms in perturbation calculations.  
 The density matrix involves products of two wavefunctions so  
 Two diagrams are needed.

All diagrams give a simple picture of the corresponding  
 physical process, allowing one to write down the corresponding  
 mathematical expression.

- 1) Start system at  $|g\rangle P_{gg}^{(0)} \langle g|$
- 2) Draw ket on left, bra at right
3. A vertex bringing  $|a\rangle$  to  $|b\rangle$

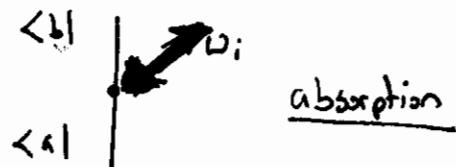
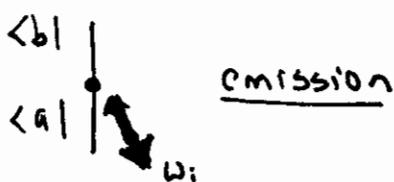


ket on left



⇒ matrix elements  $(1/i\hbar) \langle b | V | a \rangle$

on Right



⇒ matrix elements  $-(1/i\hbar) \langle a | V | b \rangle$

4. Propagation from  $j^{\text{th}}$  vertex to  $(j+1)$  along  $|l\rangle \langle k|$  described by
  - + ket side absorption
  - bra side absorption

$$\frac{1}{\hbar} \pm \left[ \sum_{i=1}^j \omega_i - \omega_{ek} + i \frac{1}{T_2} \right]^{-1}$$

5.

Sign of term	left	right
	+ if absorption	- if absorption
	- if emission	+ if emission

(OR)

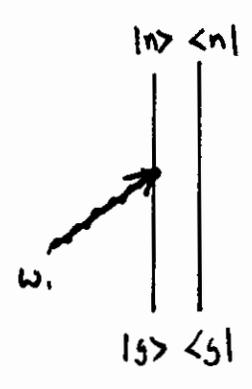
	left	Right
Abs.	+	-
Emission	-	+

Term Sign  $\pm [ ]^{-1}$

- 6. Final State of system given by final ket + bra
- 7. Product of all factors yields the susceptibility

Example: Linear optics - Absorption

Involves only one photon  
 No virtual processes  
 $|s\rangle$  to  $|n\rangle$



So

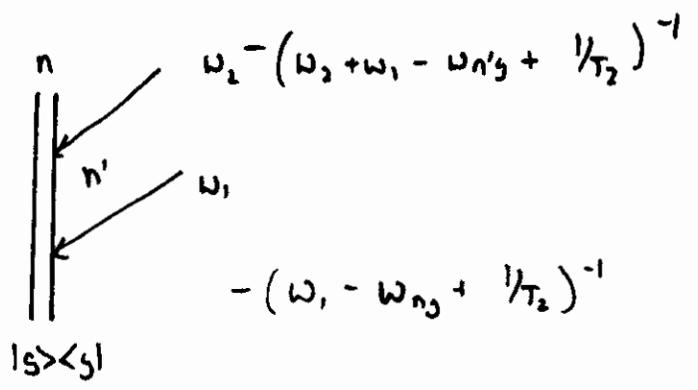
$$\frac{P_{ss} M_{sn}^{(i)} M_{ns}^{(i)}}{\hbar (\omega_{ns} + \omega_i + i \frac{1}{T_2})_{ns}}$$

To get classical result define oscillator strength

$$f_{ns} \equiv \frac{2m\omega_{ns} |M_{ns}|^2}{3\hbar e^2}$$

This is a complex Lorentzian function like we derived using our classical harmonic oscillator model.

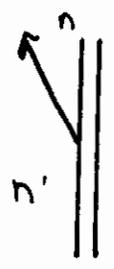
Absorption on bra side



$$\omega_2 - (\omega_2 + \omega_1 - \omega_{n's} + 1/T_2)^{-1}$$

$$- (\omega_1 - \omega_{n's} + 1/T_2)^{-1}$$

Emission on ket side



$$(\omega_1 + \omega_{nn'} + 1/T_2)^{-1}$$

$$= (\omega_1 + \omega_{n'n} + 1/T_2)^{-1}$$

Emission on bra side

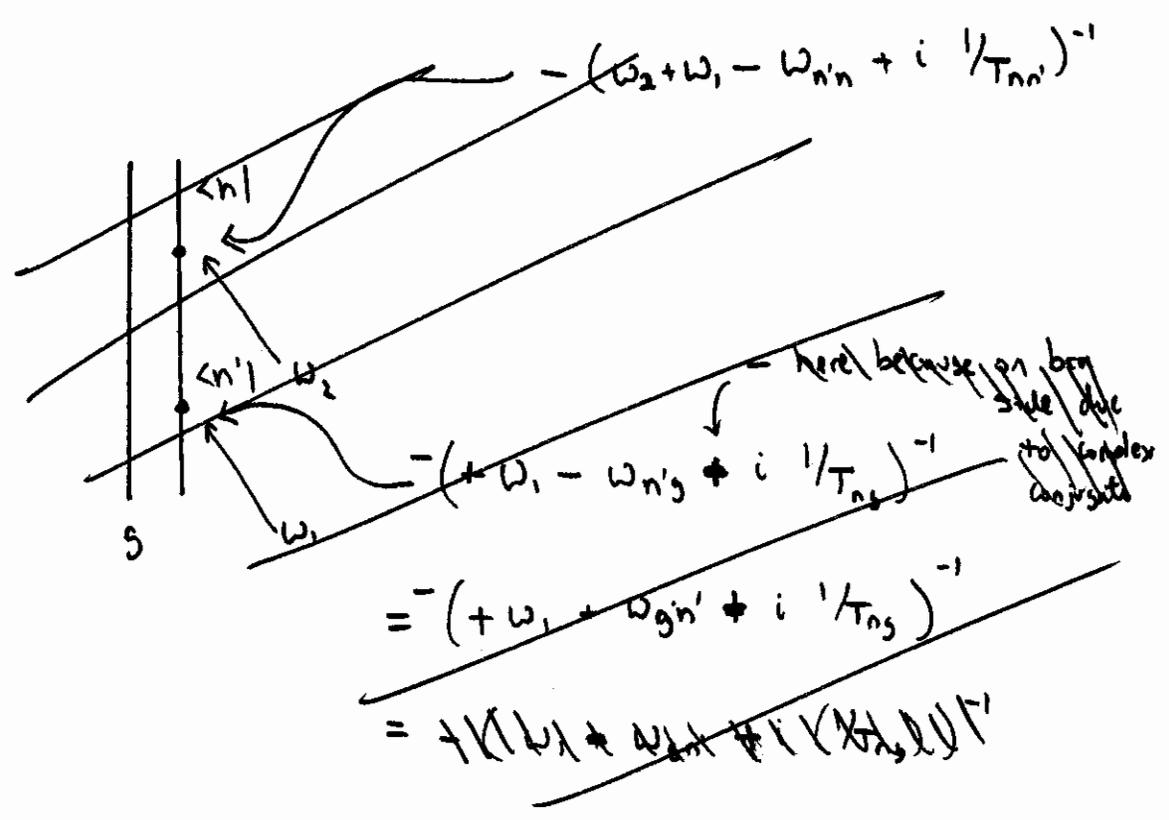
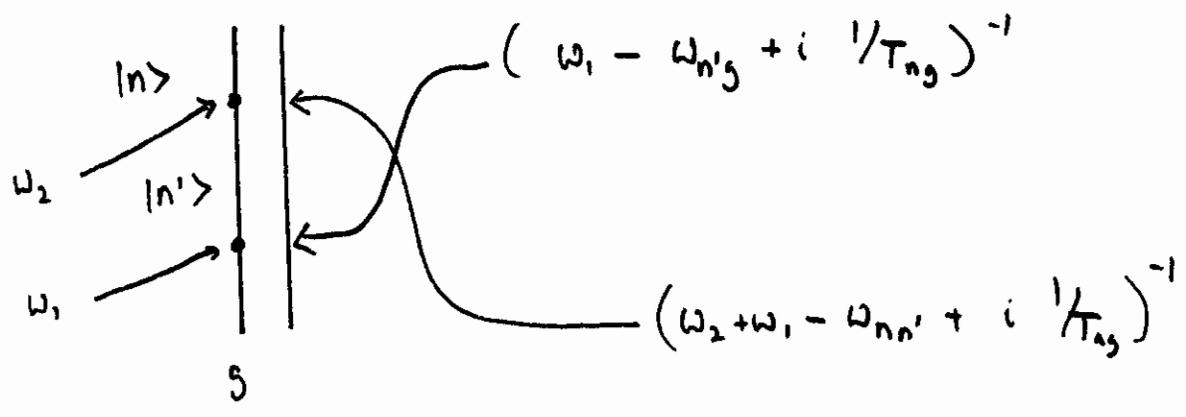


$$- (\omega_1 + \omega_{n'n} + 1/T_2)^{-1}$$

$$= - (\omega_1 + \omega_{nn'} + 1/T_2)^{-1}$$

# More Examples

Absorption



So

$$\chi_{ij}^{(1)} = + \frac{Ne^2}{\hbar} \left( \frac{P_{ss} M_{sn}^{(i)} M_{ns}^{(j)}}{-\omega_{ns} + \omega_i + i(\hbar/T_2)_{ns}} \right)$$

Relate to classical result by defining oscillator strength

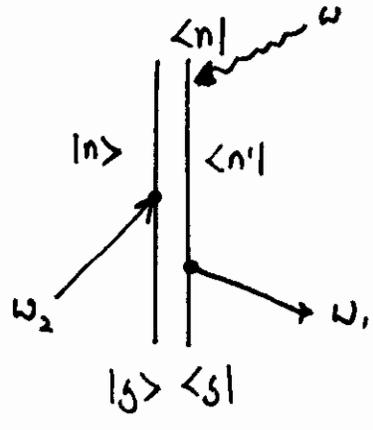
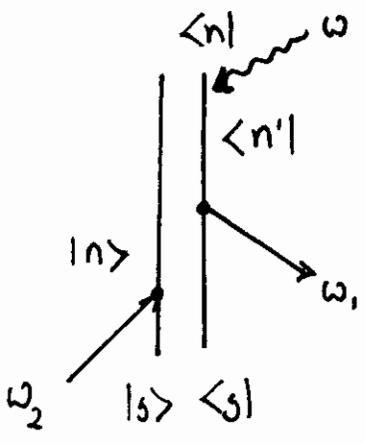
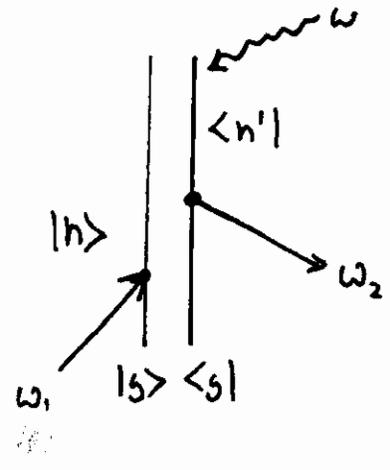
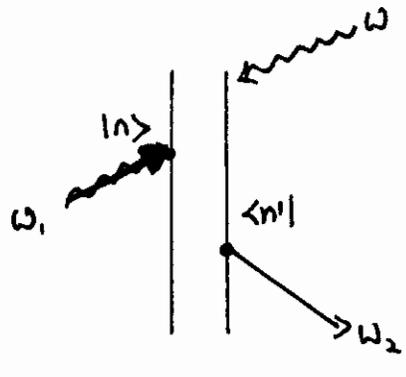
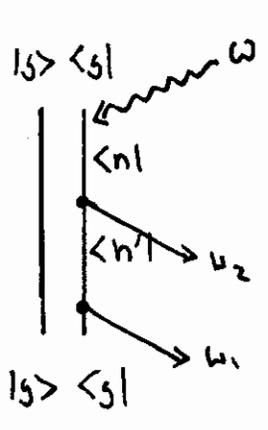
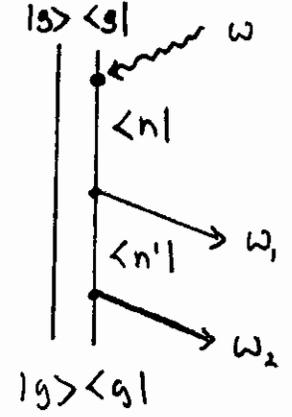
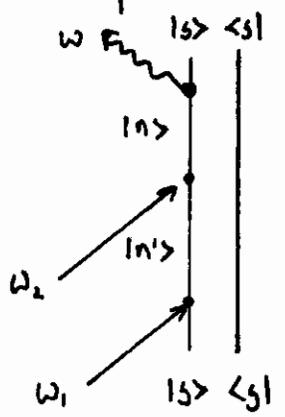
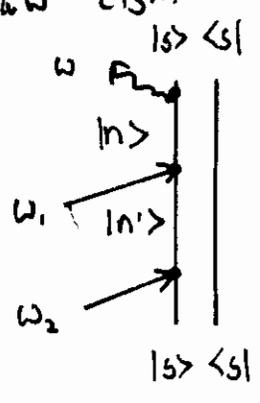
$$f_{ns} = \frac{2m\omega_{ns} |M_{ns}|^2}{3\hbar e^2}$$

2nd order Susceptibility

Draw Eight Diagrams for

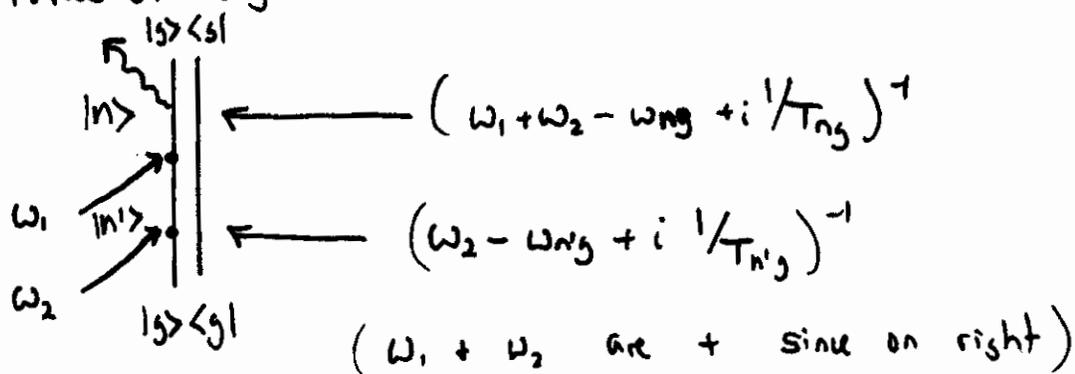
$$\rho^{(2)}(\omega = \omega_1 + \omega_2)$$

$P_{ss} \approx 1$   
(Three photon process)



Write down terms for  $\chi^{(2)}$

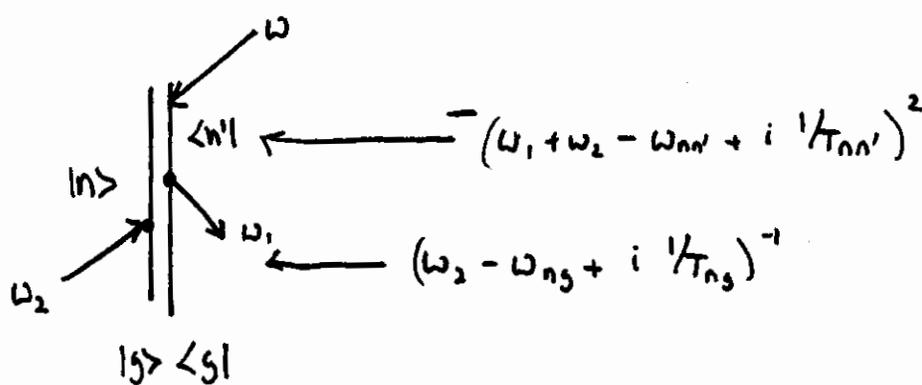
We have a total of eight terms



The term corresponding to this figure is

$$+ \frac{M_{sn}^{(2)} M_{n'n}^{(1)} M_{n'g}^{(2)} P_{ss}^{(0)}}{\hbar^2 (\omega_1 + \omega_2 - \omega_{ng} + i/\tau_{ng}) (\omega_2 - \omega_{ng} + i/\tau_{n'g})}$$

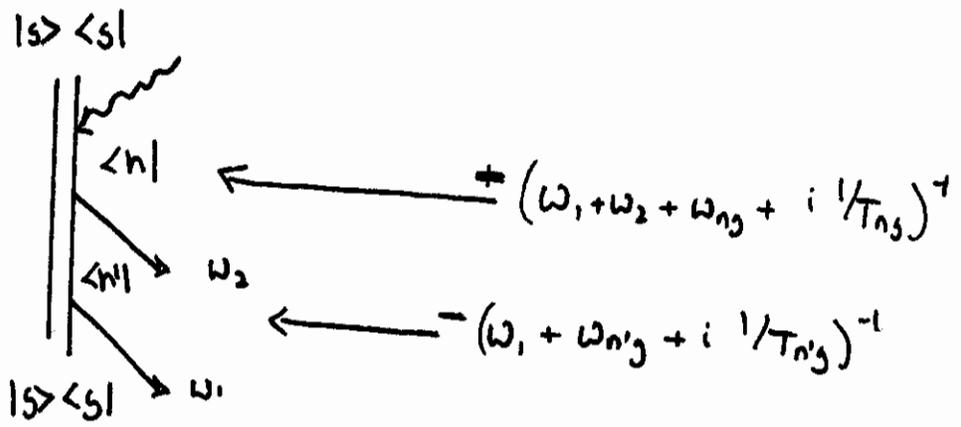
Another figure



$$\frac{M_{ng}^{(2)} M_{n'n}^{(1)} M_{gn'}^{(2)} P_{ss}^{(0)}}{\hbar^2 (\omega_1 + \omega_2 - \omega_{nn'} + i/\tau_{nn'}) (\omega_2 - \omega_{ng} + i/\tau_{ng})}$$

$(M_{n'n}^{(2)} \Rightarrow \begin{matrix} 2 \Rightarrow \text{field 2} \\ n' \Rightarrow \text{final state} \end{matrix} \quad n \Rightarrow \text{initial state})$

# Another figure



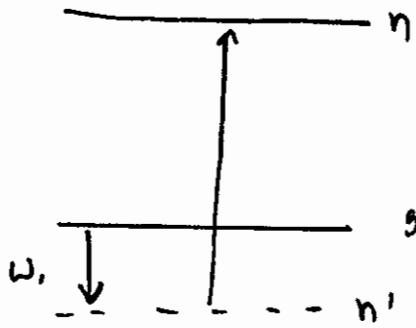
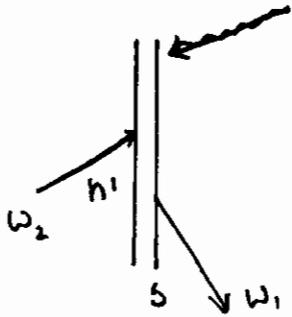
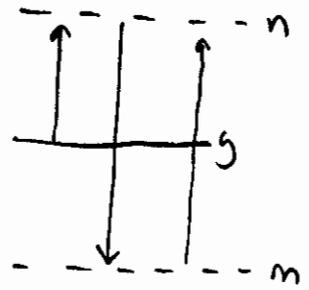
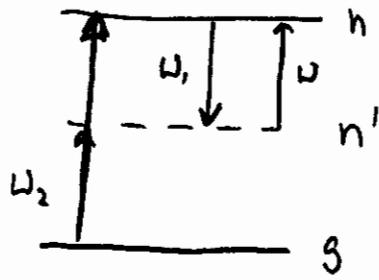
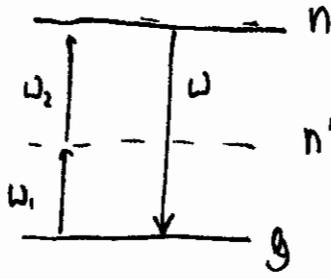
$$+ \frac{M_{sn'}^{(1)} M_{n'n}^{(2)} M_{n_3}^{(0)} \rho_{ss}^{(0)}}{\hbar^2 (\omega_1 + \omega_2 + \omega_{n_3} + i / T_{n_3}) (\omega_1 + \omega_{n'_3} + i / T_{n'_3})}$$

What are the other states  $|n\rangle + |n'\rangle$ ?

These are virtual transitions

Transitions that occur + do not conserve energy.

# Physical Interpretation



ies  
 $\rho_{ijk}^{(2)}$ . The calculation can be  
 $\rho_{ijk}^{(2)}$ , which will have 48  
 in literature<sup>5</sup> and is not  
 $\rho_{ijk}^{(2)}$ , however, is discussed in  
 instants in the denominators  
 stability can then be reduced  
 terms in the expression for

$$\frac{r_i^{(j)} r_{n'n'}^{(j)} r_{n'g}^{(j)}}{\rho_{ij}(\omega_2 - \omega_{ng})}$$

olecules per unit volume, the  
 ate for gases or molecular  
 un distribution. For solids  
 structure, the eigenstates are  
 distribution. The expression  
 Since the band states form  
 the resonant denominators  
 n with the photon wavevec-  
 the form<sup>3</sup>

$$\left. \begin{aligned} & \frac{\langle c', \mathbf{q} | r_k | v, \mathbf{q} \rangle}{\omega - \omega_{c'v}(\mathbf{q})} \\ & \frac{\langle c', \mathbf{q} | r_j | v, \mathbf{q} \rangle}{\omega - \omega_{c'v}(\mathbf{q})} \\ & \frac{\langle c', \mathbf{q} | r_i | v, \mathbf{q} \rangle}{\omega + \omega_{c'v}(\mathbf{q})} \\ & \frac{\langle c', \mathbf{q} | r_l | v, \mathbf{q} \rangle}{\omega + \omega_{c'v}(\mathbf{q})} \\ & \frac{\langle c', \mathbf{q} | r_k | v, \mathbf{q} \rangle}{\omega + \omega_{c'v}(\mathbf{q})} \\ & \frac{\langle c', \mathbf{q} | r_j | v, \mathbf{q} \rangle}{\omega - \omega_{c'v}(\mathbf{q})} \end{aligned} \right\} f_v(\mathbf{q}) \quad (2.18)$$

are the band indices, and  
 $\langle c', \mathbf{q} |$   
 arising from the induced  
 factor  $L^{(n)}$  should then

appear as a multiplication factor in  $\chi^{(n)}$ . We discuss the local field correction  
 in more detail in Section 2.4. For Bloch (band-state) electrons in solids with  
 wavefunctions extended over many unit cells, the local field tends to get  
 averaged out, and  $L^{(n)}$  may approach 1.

### 2.3 DIAGRAMMATIC TECHNIQUE

Perturbation calculations can be facilitated with the help of diagrams.  
 Feynman diagrams have been used in perturbation calculations on wavefunc-  
 tions. Here, since the density matrices involve products of two wavefunctions,  
 perturbation calculations require a kind of double-Feynman diagram. We  
 introduce in this section a technique devised by Yee and Gustafson.<sup>6</sup> Only the  
 steady-state response is considered here.

The important aspects of any diagrammatic technique are that the diagrams  
 provide a simple picture to the corresponding physical process as well as  
 allowing one to write down immediately the corresponding mathematical  
 expression. It is essential to find the complete set of diagrams for a perturba-  
 tion process of a given order. The scheme we adopt for calculating  $\rho^{(n)}$  involves  
 in each diagram a pair of Feynman diagrams with two lines of propagation,  
 one for the  $|\psi\rangle$  side of  $\rho$  and the other for the  $\langle\psi|$  side. Figure 2.1 shows one of

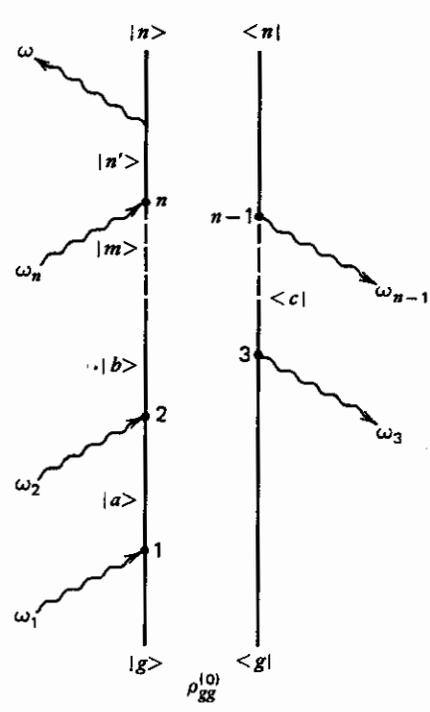
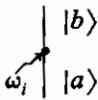
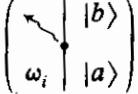
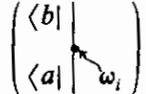
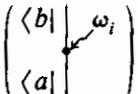


Fig. 2.1 A representative double-Feynman diagram describing one of the many terms in  $\rho^{(n)}$  ( $\omega = \omega_1 + \omega_2 + \dots + \omega_n$ ).

the many diagrams describing the various terms in  $\rho^{(n)}(\omega = \omega_1 + \omega_2 + \dots + \omega_n)$ . The system starts initially from  $|g\rangle\langle g|$  with a population  $\rho_{gg}^{(0)}$ . The ket state propagates from  $|g\rangle$  to  $|n'\rangle$  through interaction with the radiation field at  $\omega_1, \omega_2, \dots, \omega_n$ , and the bra state propagates from  $\langle g|$  to  $\langle n|$  through interaction with the field at  $\omega_3, \dots, \omega_{n-1}$ . Then, the final interaction with the output field at  $\omega$  puts the system in  $|n\rangle\langle n|$ . Through permutation of the interaction vertices and rearrangement of the positions of the vertices on the lines of propagation, the other diagrams for  $\rho^{(n)}$  can also be drawn.

The microscopic expression for a given diagram can now be obtained using the following general rules describing the various multiplication factors:

- 1 The system starts with  $|g\rangle\rho_{gg}^{(0)}\langle g|$ .
- 2 The propagation of the ket state appears as multiplication factors on the left, and that of the bra state on the right.
- 3 A vertex bringing  $|a\rangle$  to  $|b\rangle$  through absorption at  $\omega_i$  on the left (ket) side of the diagram is described by the matrix element  $(1/i\hbar)\langle b|\mathcal{H}_{\text{int}}(\omega_i)|a\rangle$  with  $\mathcal{H}_{\text{int}}(\omega_i) \propto e^{-i\omega_i t}$  (denoted by  in Fig. 2.1). If it is emission  instead of absorption, the vertex should be described by  $(1/i\hbar)\langle b|\mathcal{H}_{\text{int}}^\dagger(\omega_i)|a\rangle$ . Because of the adjoint nature between the bra and ket sides, an absorption process on the ket side appears as an emission process on the bra side, and vice versa.\* Therefore, on the right (bra) side of the diagram, the vertices for emission  and absorption  are described by  $-(1/i\hbar)\langle a|\mathcal{H}_{\text{int}}(\omega_i)|b\rangle$  and  $-(1/i\hbar)\langle a|\mathcal{H}_{\text{int}}^\dagger(\omega_i)|b\rangle$ , respectively.
- 4 Propagation from the  $j$ th vertex to the  $(j+1)$ th vertex along the  $|l\rangle\langle k|$  double lines is described by the propagator  $\Pi_j = \pm[i(\sum_{i=1}^j \omega_i - \omega_{lk} + i\Gamma_{lk})]^{-1}$ . The frequency  $\omega_i$  is taken as positive if absorption of  $\omega_i$  at the  $i$ th vertex occurs on the left or emission of  $\omega_i$  on the right; it is taken as negative if absorption of  $\omega_i$  occurs on the right or emission on the left.
- 5 The final state of the system is described by the product of the final ket and bra states, for example,  $|n'\rangle\langle n|$  after the  $n$ th vertex in Fig. 2.1 for  $\rho^{(n)}$ .
- 6 The product of all factors describes the propagation from  $|g\rangle\langle g|$  to  $|n'\rangle\langle n|$  through a particular set of states in the diagram. Summation of these

\*If the field is also quantized,  $\mathcal{H}_{\text{int}}(\omega_i)$  operating on a ket state will annihilate a photon at  $\omega_i$ , while if operating on a bra state it will create a photon.

ities  
 $\rho^{(n)}(\omega = \omega_1 + \omega_2 + \dots +$   
 population  $\rho_{gg}^{(0)}$ . The ket state  
 the radiation field at  
 $|g\rangle$  to  $\langle n|$  through interaction  
 with the output field at  
 of the interaction vertices  
 on the lines of propagation,

can now be obtained using  
 multiplication factors:

multiplication factors on the

at  $\omega_i$  on the left (ket) side  
 element  $(1/i\hbar)\langle b|\mathcal{H}_{int}(\omega_i)|a\rangle$

Fig. 2.1). If it is emission

x should be described by

nature between the bra and  
 appears as an emission  
 before, on the right (bra) side

$\langle b|$  and absorption  
 $|a\rangle$

$\langle a|\mathcal{H}_{int}(\omega_i)|b\rangle$  and  $-(1/i\hbar)\langle a|$

th vertex along the  $|l\rangle\langle k|$   
 $\Pi_j = \pm[i(\sum_{l=1}^j \omega_l - \omega_{lk} +$   
 absorption of  $\omega_i$  at the  $i$ th  
 the right; it is taken as  
 r emission on the left.

product of the final ket and  
 ex in Fig. 2.1 for  $\rho^{(n)}$ .

ion from  $|g\rangle\langle g|$  to  $|n'\rangle\langle n|$   
 am. Summation of these

annihilate a photon at  $\omega_i$ , while

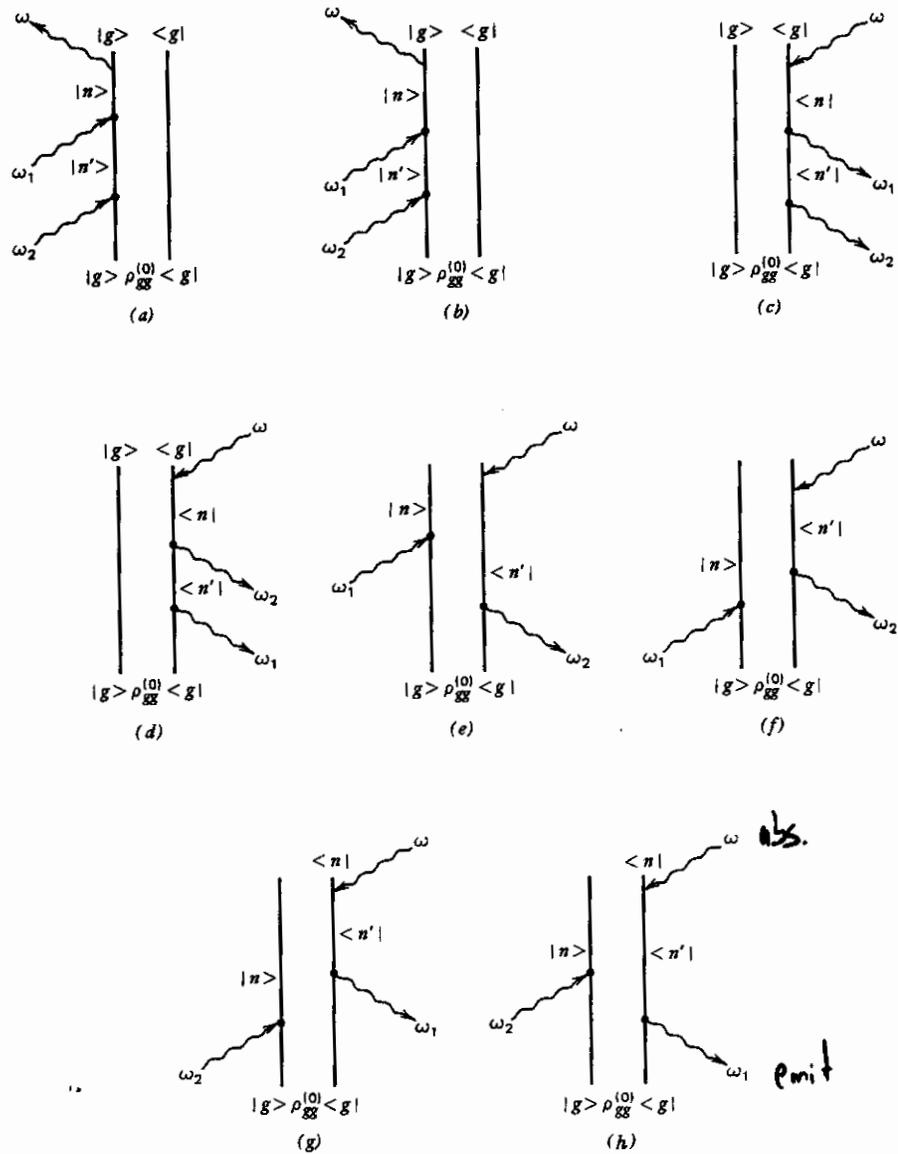


Fig. 2.2 The complete set of eight diagrams for the eight terms in  $\rho^{(2)}(\omega = \omega_1 + \omega_2)$ .

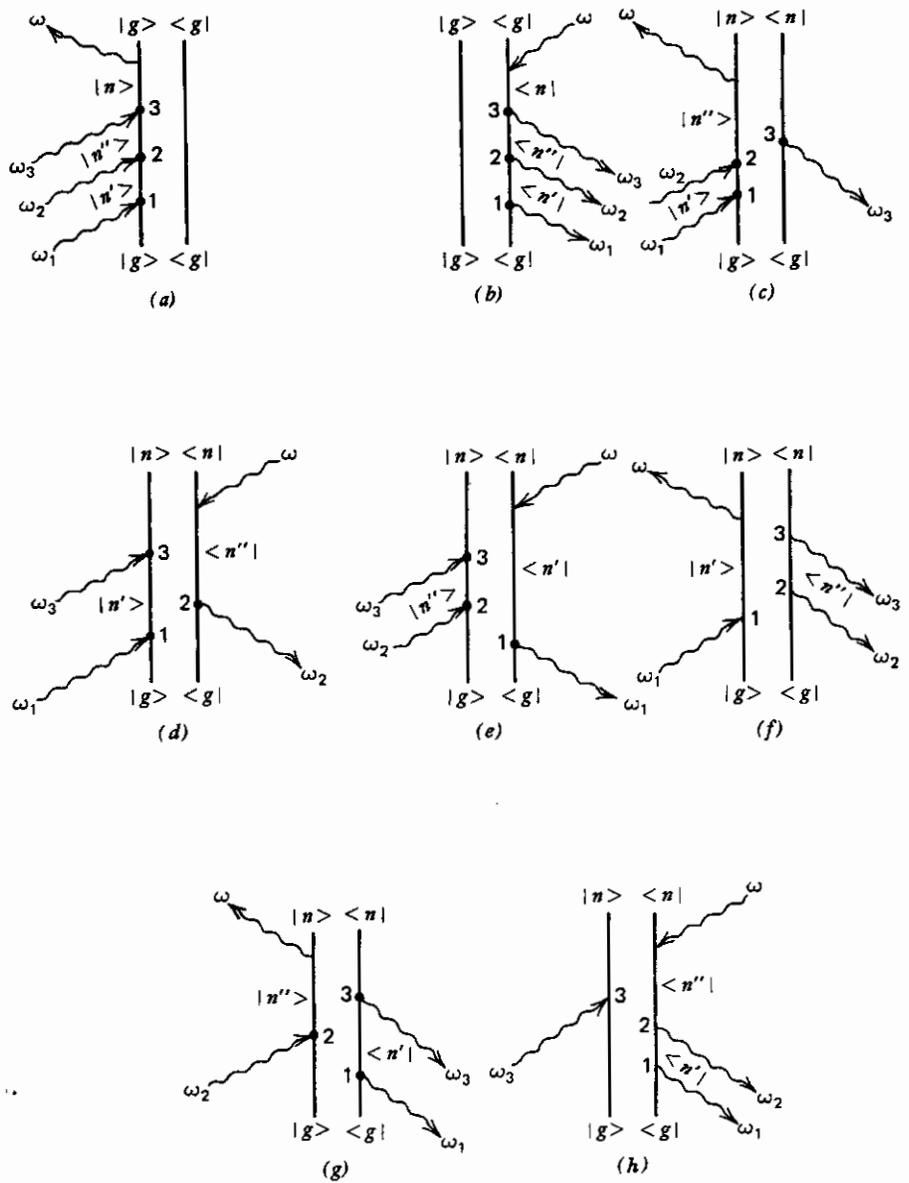


Fig. 2.3 The eight basic diagrams for  $\rho^{(3)}(\omega = \omega_1 + \omega_2 + \omega_3)$ .

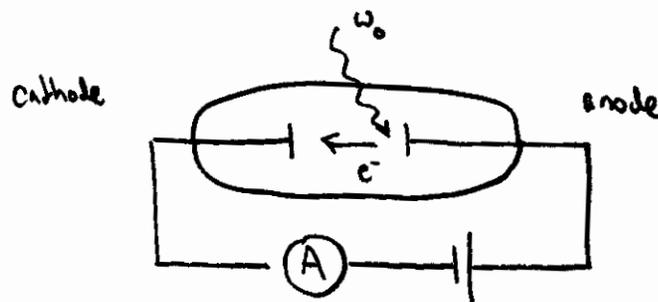
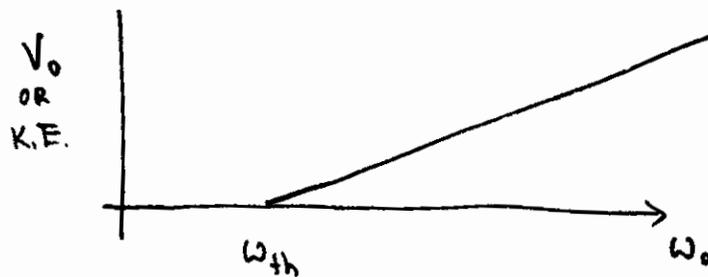
# Lecture 29

# What is a Photon? Part 1: Photoelectric effect

## Experiments of Lenard (1902) of light striking a metal

### Observations

- Electrons are emitted a times very shortly after the onset of illumination ( $\sim 10^{-9}$ s)
- Photocurrent rises linearly with light intensity
- Current to cathode decreases with increasing retarding potential, zero at stopping voltage  $V_0$
- Stopping potential  $V_0$  is linearly proportional to  $\omega_0$  and shows a threshold frequency  $\omega_{th}$



$V \Rightarrow$  applied stopping voltage

It was thought that.....

Classical E/m does not explain observed behavior

(or does it!!!)

(Sun Tzu  
Hesed)

## Einstein's Description (1906)

- Started with thermodynamical considerations
- Considered Blackbody radiation

" monochromatic radiation at low density behaves with respect to theory of heat as if it consisted of independent energy quanta of magnitude  $h\nu$ ."

" if this is the case it is natural to investigate whether the laws of generation & transformation of light are such a kind as if light would consist of such energy quanta."

$$h\nu_0 = KE + \phi_0$$

$$h\nu = \frac{1}{2}mv^2 + \phi_0$$

The energy of a material oscillator with <sup>work function</sup>  $\omega_0$  interacting with the radiation field can only take discrete values of  $n h \omega_0$ .

As Einstein said

" our concept and the properties of the light electric field observed by Mr. Lenard, as far as I can see, are not in contradiction."

## Einstein comment on nonlinear optics

" the # of energy quanta per unit volume being simultaneously converted is so large than an energy quantum of light generated can obtain its energy from several generating quanta."

1921 Nobel Prize

" Services to Theoretical Physics, especially for his discovery of the law of photoelectric effect "

Photons (by name) 1926

Gilbert Lewis

"hypothetical new atom ... photon"

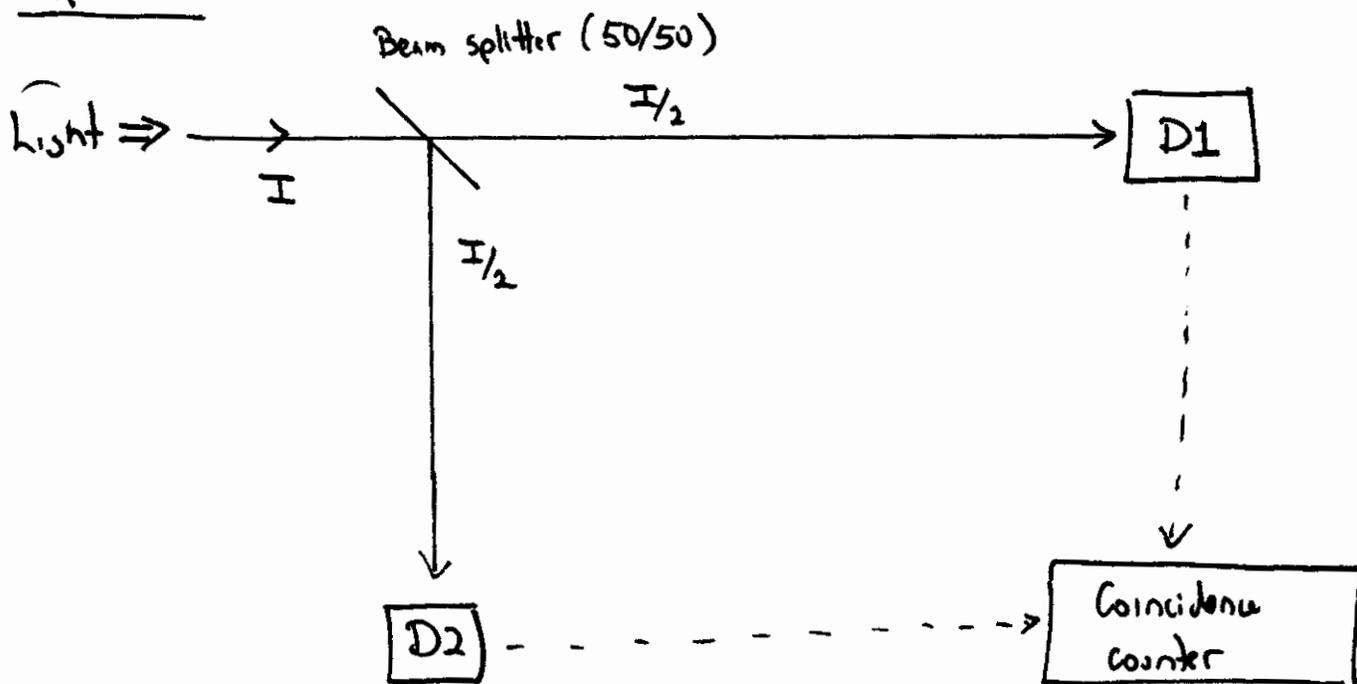
"hypothetical new entities as a particle of light ...  
spends a minute fraction of its existence as a  
carrier of radiant energy, the rest of the time as  
an important structural element of the atom"

# Hanbury-Brown + Twiss Experiment (1956)

How to design an experiment to detect single photons?!

- photon  $\Rightarrow$  particle at one place
- Experiment to determine the position (here or there) of a photon
- Single photon, two detectors  
Do these detectors "click" at the same time?

## Experiment



Do detectors D1 + D2 "click" at the same time. Within the particle picture they should not.

- Coincidence ~~step~~ detection

Records a count only if both detectors "click" at the same time

Experiment: Send light to beam splitter and measure the number of coincidences counts relative to the number of individual counts on the detector.

Anti correlation parameter

$$A = \frac{P_c}{P_1 P_2}$$

where  
 $P_c$   $\equiv$  probability of coincidence  
 $P_1$   $\equiv$  measured prob. of detector 1 responding  
 $P_2$   $\equiv$  measured prob. of detector 2 responding

Outcomes

- Light as particles

~~Two photons (particles)~~  $A = 0$

~~Light as waves~~ / / /  ~~$A \neq 0$~~

- Light as a wave

- If detectors click randomly + independent  $A = 1$

more specifically

(predicted by wave theory)  $\left( P_{\text{both click}} = (P_{\text{one clicks}}) (P_{\text{other clicks}}) \right)$

- Independent of intensity

$P_c = P_1 P_2$  so  $A = 1$

- If two detectors clicking together more often than random "bunching" "clustering"

$A > 1$  "Clustering"

Write in terms of experimental results

Probability of detection

$$P_{1, \text{ or } 2} = \frac{N_{1,2}}{\left(\frac{I}{\Delta t}\right)}$$

$\Delta t \equiv$  time resolution

$T \equiv$  experiment time

so

$$A = \frac{N_c}{N_1 N_2} \left(\frac{I}{\Delta t}\right)$$

## Hanbury-Brown + Twiss

Quantum mechanics Prediction

for small intensities single photons are not split by the photo detector so  $(A=0)$  (anticorrelation)

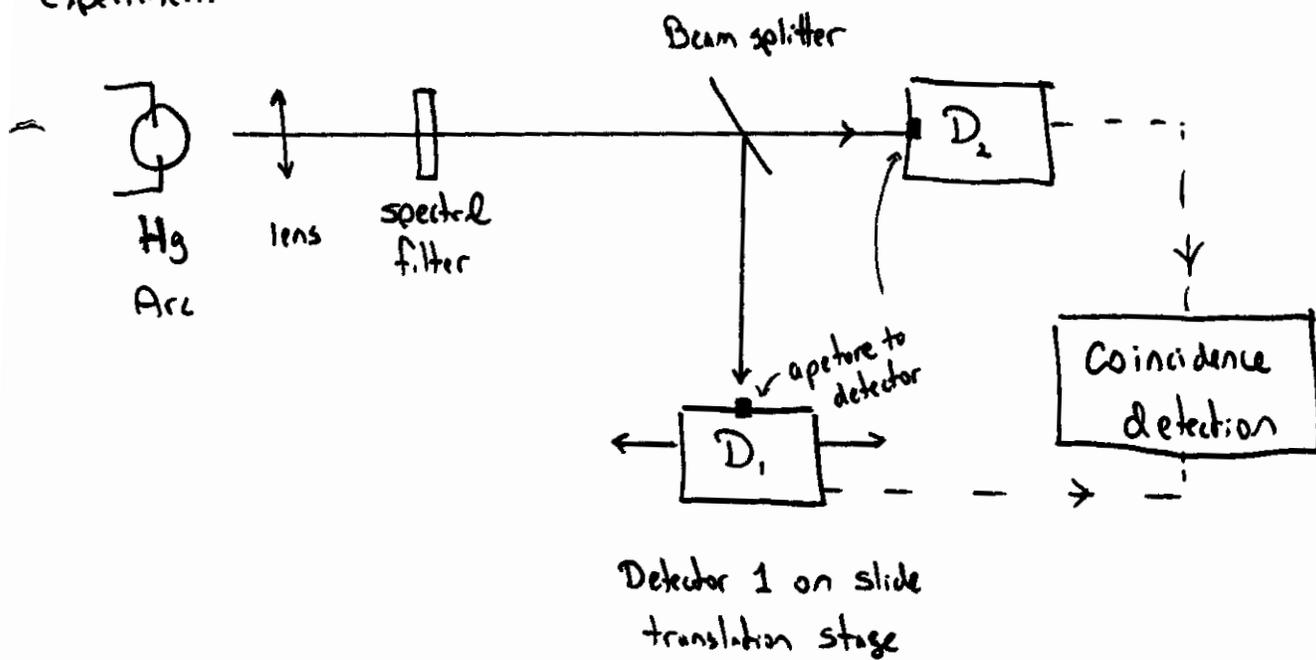
Wave theory Prediction

No matter the intensity of light at beam splitter it is halved in both directions, so random coincidences would be expected  $(A=1)$

⇒ They found the opposite result  $A=2!!$

When a click occurred at one detector they found a higher than random probability that a click had simultaneously occurred at the other.

# Experiment



This experiment failed to demonstrate the existence of photons and the indivisibility of light. It showed that light travels thru space bunched up, you can divide the bunches in half but the bunches arrive at the same time

Origins of quantum optics  $\Rightarrow$  understanding photon correlations

But is this classical or quantum description?

- Semi classical Description  
Light classically  
Detectors quantum mechanically

- Probability for transition

$$P_1 = \alpha_1 I \Delta t$$
$$P_2 = \alpha_2 I \Delta t$$
$$P_c = \alpha_1 \alpha_2 I^2 (\Delta t)^2$$

$$A = \frac{\alpha_1 \alpha_2 \langle I^2 \rangle (\Delta t)^2}{(\alpha_1 \langle I \rangle \Delta t)(\alpha_2 \langle I \rangle \Delta t)} = 1 \Rightarrow \text{Not their result}$$

However if the light source produced a time varying intensity  $\langle I \rangle$  produced by a collection of atoms:

$$P_1 = \alpha_1 \langle I \rangle \Delta t$$

$$P_2 = \alpha_2 \langle I \rangle \Delta t$$

$$P_c = \alpha_1 \alpha_2 \langle I^2 \rangle \Delta t$$

(ave of intensity squared)

Then

$$A = \frac{\langle I^2 \rangle}{\langle I \rangle^2}$$

However  $\langle I^2 \rangle \geq \langle I \rangle^2$  (Cauchy Schwarz inequality)

Thus  $A \geq 1$

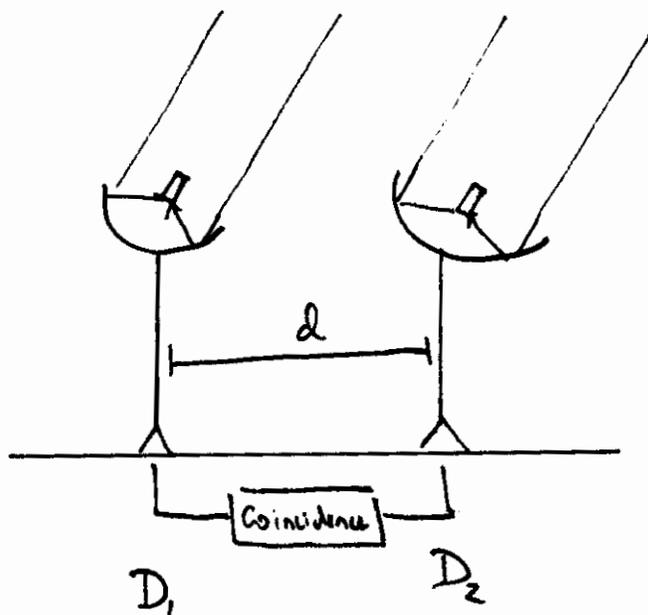
What happens when you use a laser?!

$$A = 1$$

Since the intensity fluctuations are small

$$\langle I^2 \rangle = \langle I \rangle^2$$

Where did this experiment come from?: Radio astronomy



Measurement of spatial coherence of "light" from a star

- instantaneous phase of E changes slightly within coherence area.
- see similar time evolution between detectors if  $d$  is shorter than the transverse coherence length.

$$\Delta I_1 \approx \Delta I_2 \quad \text{for } d < l_{coh}$$

$$\Delta I = I(t) - \bar{I}$$

Correlator gives  $I_2(t) I_1(t)$

$$\overline{I_1(t) I_2(t)} = \bar{I}^2 + \overline{\Delta I_1^2} \quad d < l_{coh}$$

For  $d > l_{coh}$

$$\overline{I_1(t) I_2(t)} = \bar{I}^2 \quad \text{Decrease in intensity correlations.}$$

Instead of  $d$  we can use the star's angular diameter.

$$d \approx 188 \text{ m}$$

$$\Delta \text{diameter} \approx 0.0005 \text{ arc sec.}$$

from this experiment they noticed ...

- for  $d < \lambda_{\text{trans}}$  when a ~~photon~~<sup>click</sup> measured at  $D_1$ , the probability of detecting a "click" at  $D_2$  was larger than the random case.

- Does a photon "know" the outcome of the two detectors?!

Photoelectric effect revisited: Lamb + Scully (1969)

"The Photoelectric Effect without photons"

Jones + Lamb + Scully develop a semi-classical theory for the photoelectric effect  $\Rightarrow$  no more need for photons

Photoelectric effect is not a proof of the existence of photons.

## Summary

This theory along with the failing of experiments to detect photons raised a few questions. What is the nature of a photon?

Are there really photons? Do they exist?! Or are they artifacts of the tools we used to investigate light?!

The problem with the photoelectric effect + Hanbury-Brown Twiss Experiment was in the light source they used.

Anticorrelations are expected if the source produces light in an eigenstate of the photon number operator.

For both experiments all large number of photons, using a quantum description, a large # of photons were used.

If another experiment is designed which uses one photon (that is an eigenstate of a photon number operator) then we would expect an anticorrelation  $A=0$ .

Read: By Monday

- 1) Aspect et al *Europhys Lett* 1 (4) p 173 (1986)
- 2) Walther et al *Phys Rev Lett A* vol 35, 6, 1987

Survey  $\Rightarrow$  out tonight

Questions: (About Hanbury-Brown + Twiss Experiment)

1. Why did Hanbury, Brown + Twiss measure coincidences in "cathodes aligned" positions + no coincidences in "cathodes not aligned" positions?
2. Why did Brannen et al not measure any coincidences?
3. Given Brannen et al experiment, what is the one thing they need in order to observe coincidences.

How would this "one thing" solve their problems?

(Brannen + Ferguson *Nature* 1956)

Notes {  $\tau_0 \sim$  ~~10<sup>-11</sup>~~  $10^{-11}$  s } For Brannen et al } Correlations  $10^{-3}$   
resolving time 10 ns  $\Rightarrow$  So  $T \approx$  resolving time  
 $\frac{\tau_0}{T} = \frac{10^{-11}}{10^{-8}} \approx 10^{-3}$

## Lecture 30

## Aspect Experiments in 1986

Here, we will discuss two experiments performed by A. Aspect et al in Europhys. Lett. 1 (4) pp 173-179 (1986)

Both experiments used an atomic cascade as a light source, and a triggered detection scheme. The source provided single photons unlike the experiment of Hanbury-Brown + Twiss.

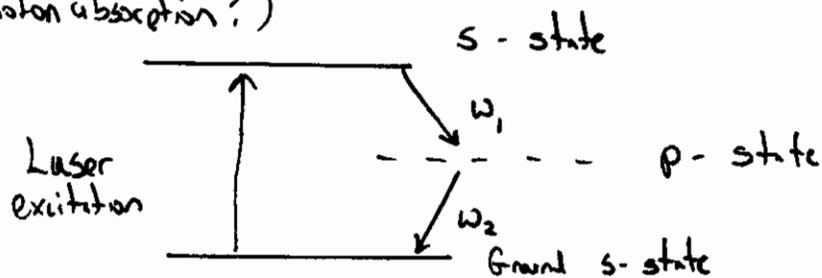
### Two Experiments

1. Test anticorrelation of the source  
(similar to Hanbury-Brown + Twiss)
2. Single photon interference experiments

### The "single photon" source.

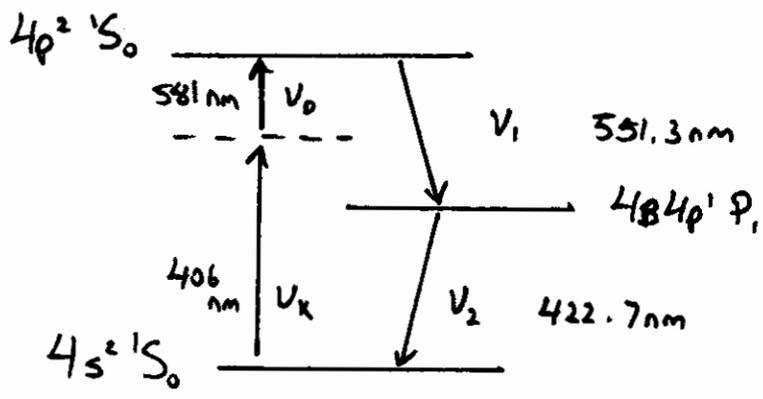
Laser excitation of Ca atoms to a state that would decay by emitting two photons instead of one.

(Two photon absorption?)



How to detect these photon  $\omega_2$  from all other photons  $\Rightarrow$  triggered detection

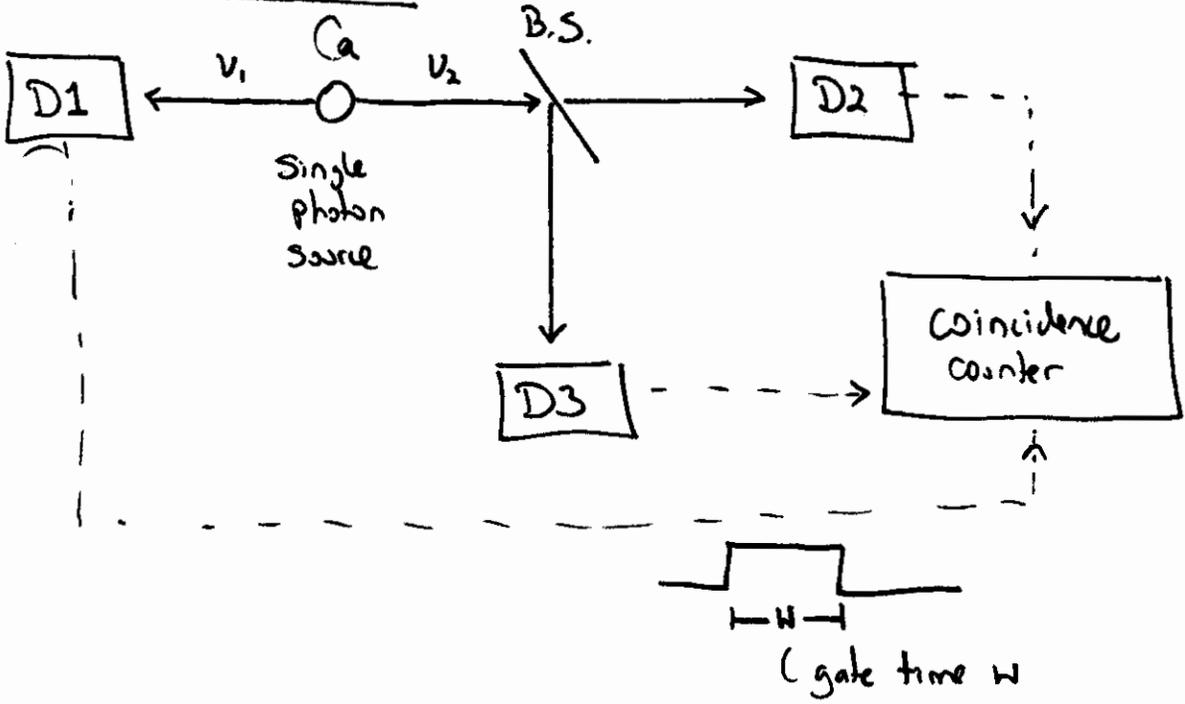
~~Experiment~~



Some numbers

- 240 coincidences/s
- 90 accidental coincidences/s
- 1005 → 150 true coincidences

Detection Scheme



- Photon  $\nu_1$  triggers gate of duration  $W$
- Look for coincidences during time  $W$ . Reject coincidences for times "outside" of  $W$ .

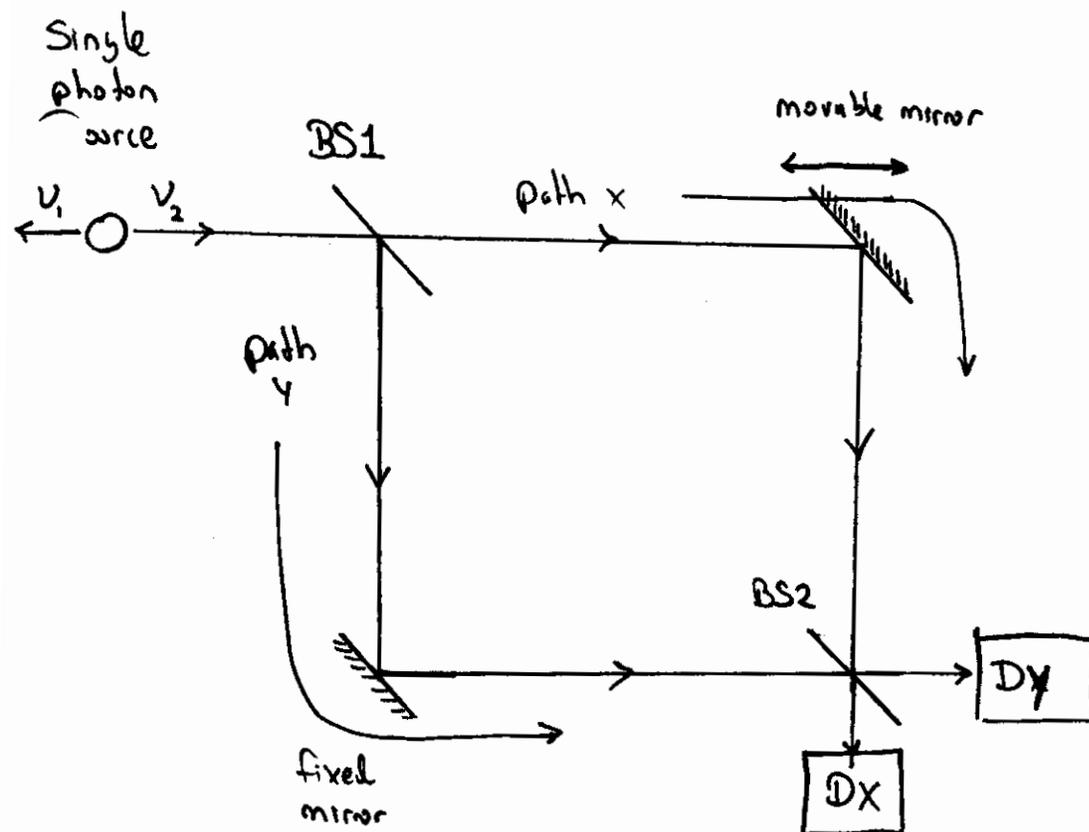
They measured  $A=0$ ! Single photons measured at last.  
 Clear evidence at last for the existence of photons.

What has been shown here?

Individual particles from their source were either reflected or transmitted, going one way or another, but never both

## Experiment 2 : Single photon interference (huh?!)

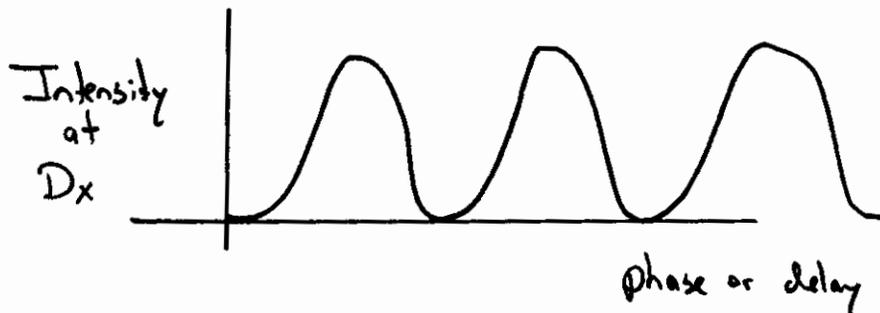
Allow single photons to enter a Mach-Zehnder Interferometer.



moving the mirror out a phase difference between the "arms" of the Mach Zehnder Interferometer.

What would happen if light is a wave?

One would see interference fringes as a function of changing the position of the movable mirror (just like for mimiproject 1).

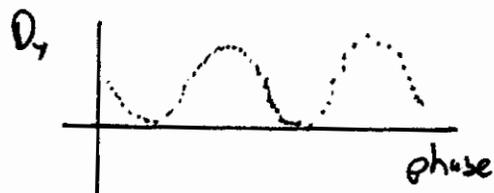
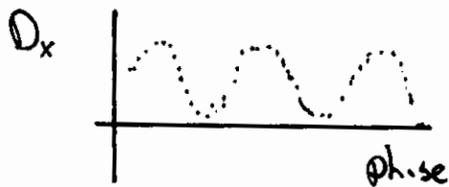


What would happen if light is particle?

From experiment #1 we know that the photon goes one way or the other at the BS. We would not expect any interference.

What happened?

They saw an interference pattern as they acquired counts!



Does the photon take both paths?!

Does the photon interfere with itself?!

Wave particle duality Can wave-particle duality explain this?

Does light "know" when to behave like a wave and when to behave like a particle?!

## Review

— Aspect et al

— Ask "which path did the photon take?"

— What does the photon interfere with to get the interference pattern?

— Does it interfere with itself?!

# Lecture 31 What is a photon? Part 3: Delayed Choice Experiment

Wave particle duality: Does this explain the Aspect Experiment?

Sometimes a wave / Sometimes a particle.

Photon "conspiracy theory" ~~is~~ to understand the wave/particle duality.

~~It "senses" an experiment and should demonstrate its wave or particle properties!?~~

⇒ As the photon leaves a source, it "senses" the experiment and behaves accordingly, like a particle or wave.

Does this happen?! Test this: ...

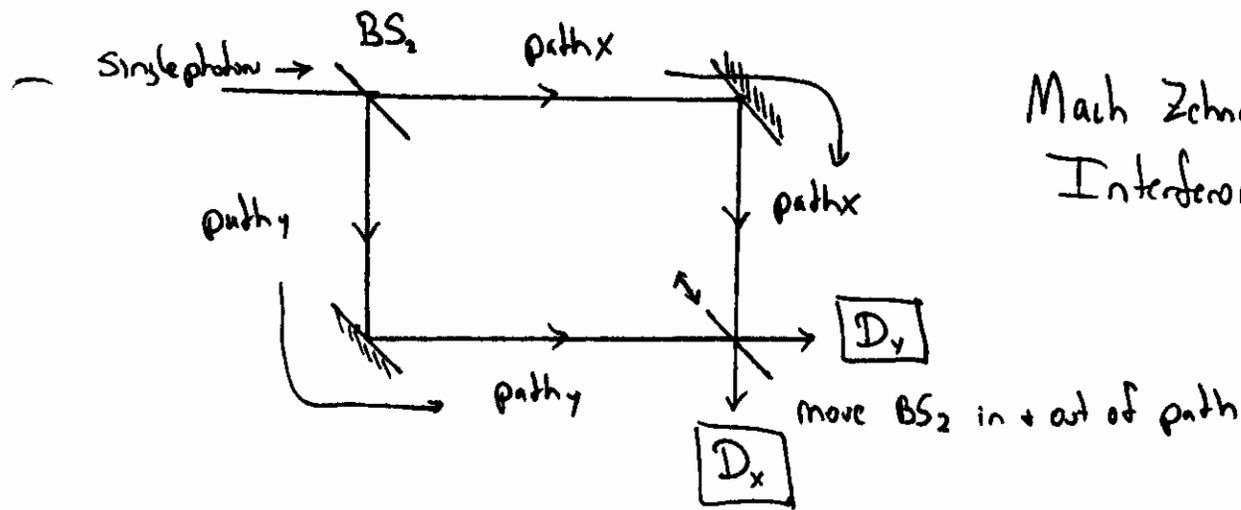
## Delayed choice Experiment of John Wheeler

Ref. Wheeler "Mathematical Foundations of Quantum Mechanics" p. 9-48

- States that "conspiracy" theory is wrong. Also shows that wave-particle duality is more complicated
- Experiment of Delayed Choice
  - able to detect either particles (anti coincidences) or waves (interference)
  - Designed so that choice of which aspect to observe is delayed after the photon has "decided"

How to do this ⇒ make BS2 in the MZ movable.

# Delayed Choice Experiment



What to expect?

— Single photon enters MZ

— Without  $BS_2$

Detectors  $D_x$  +  $D_y$  ascertain a specific path for the photon

⇒ Like Aspect Experiment #1 (anticorrelation exp.)

— With  $BS_2$

Expect to get interference

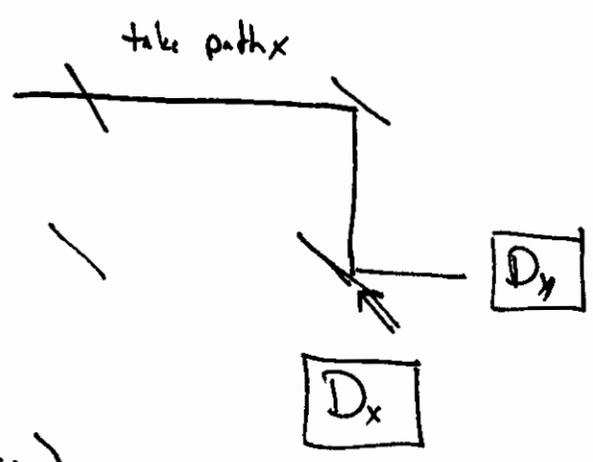
Lose all information on the path the photon takes

The idea is to insert  $BS_2$  after the photon has entered the MZ interferometer

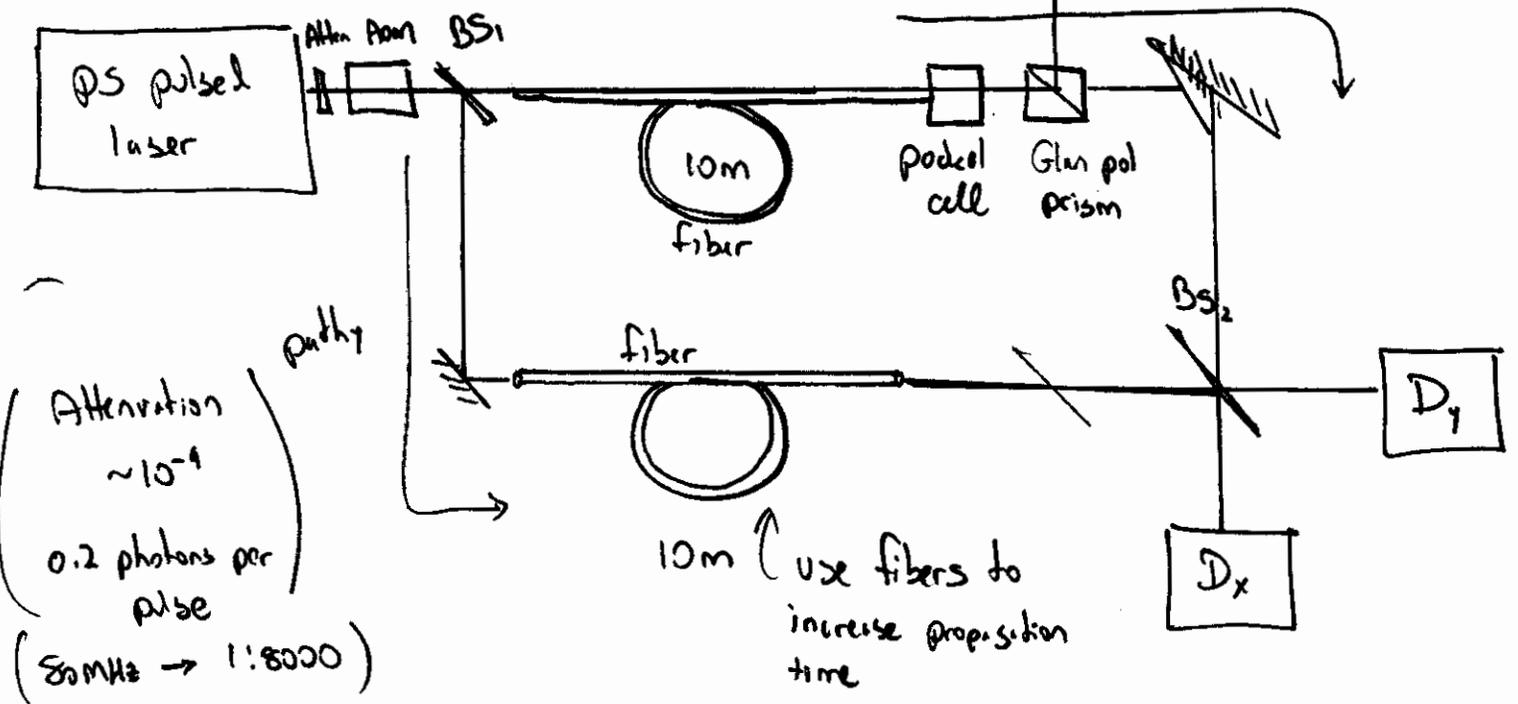
According to "conspiracy theory" the last minute insertion of  $BS_2$  should "fool" light

# Example

- 1) No  $BS_2$
- 2) Light takes path x or y
- 3) Insert  $BS_2$
- 4) Should observe interference?!!  
(~~no~~ according to conspiracy theory)



## Experiment of Walther et al



Attenuation  $\sim 10^{-4}$   
 0.2 photons per pulse  
 (80MHz  $\rightarrow$  1:8000)

- Switching time 5ns
- Voltage High  $\Rightarrow$  Light reaching  $BS_2$  came from path y
- Voltage Low  $\Rightarrow$  Light can take both paths  $\Rightarrow$  interference.

- Used pulsed light source  
 Path of interferometer arms  
 increased 30ns by 10m Fiber  
 Give time for Pockels cell to operate

# Speed of light

Light travels... in vacuum

- in 100 fs  $\Rightarrow$  30  $\mu$ m
- in 500 fs  $\Rightarrow$  150  $\mu$ m
- in 1 ns  $\Rightarrow$  30 cm

Thickness of hair  
Ruler length

In fiber

light slower by 1.45

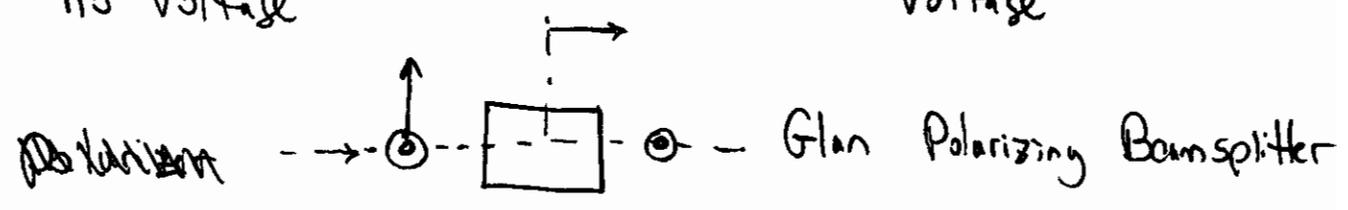
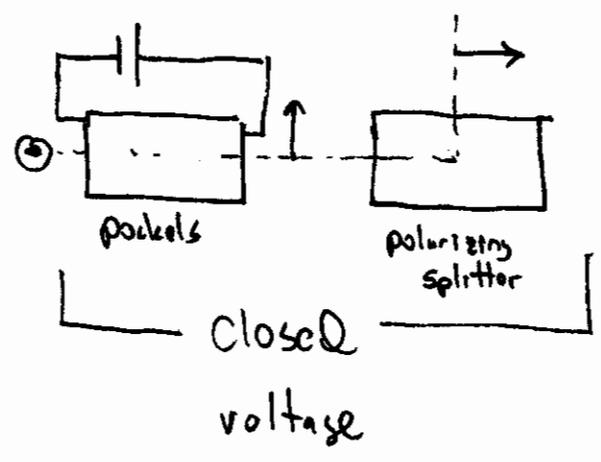
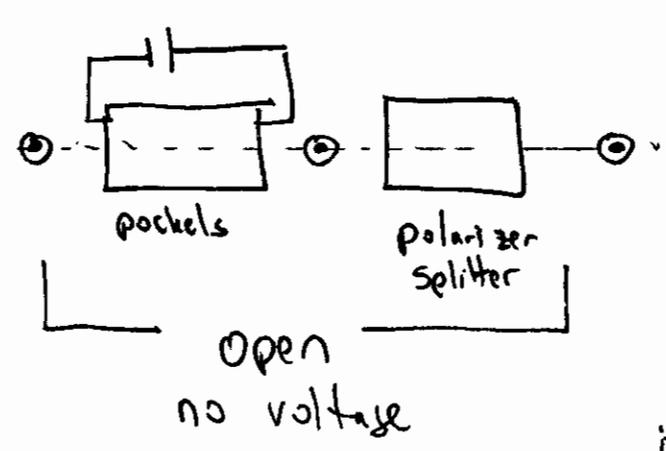
1 ns  $\Rightarrow$  30 cm (1.45) = 43.50 cm

# Pockels Cell

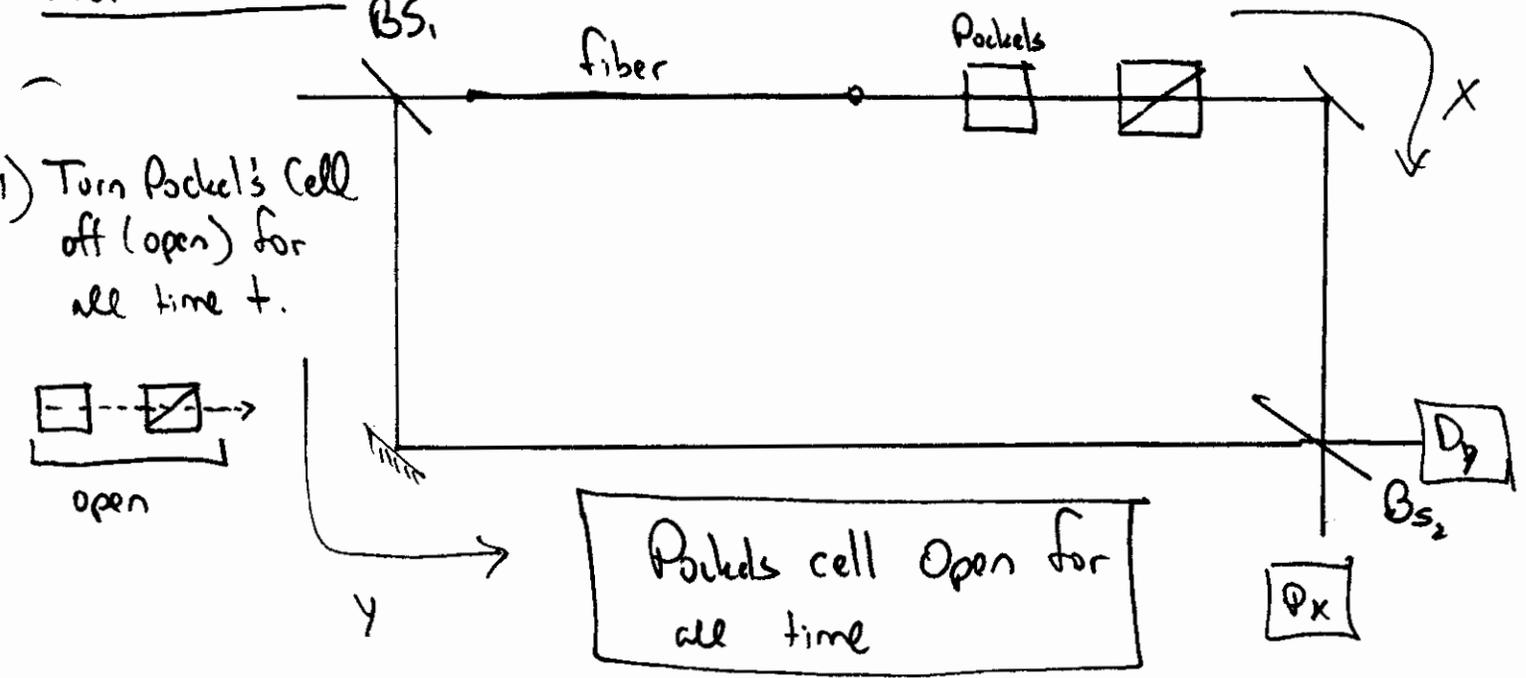
Applied electric field rotates input polarization by 90°

~~A polarizer filter~~ Birefringent crystal with electro-optic effect  
Rotate polarization ellipse by applying voltage

With combination of polarizer make polarization dependent switch

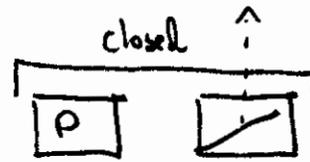


## Normal Mode



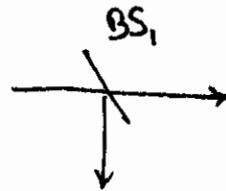
## Delayed Choice Mode

1)  $t=0$  Pockel's Cell closed  $\Rightarrow$

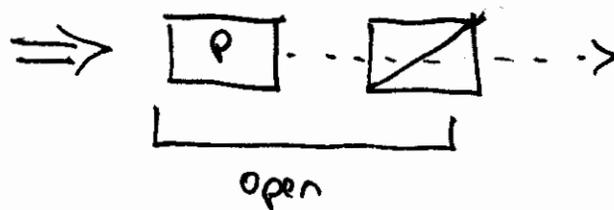


2) ~~BS1~~ Open

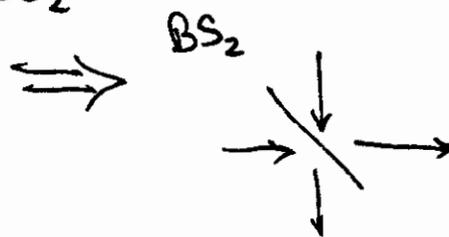
$t=1\text{ns}$  Light passes BS<sub>1</sub>  $\Rightarrow$



$5\text{ns}$   
3)  $t=6\text{ns}$  Pockel's Cell Open  $\Rightarrow$



4)  $t \approx 30\text{ns}$  Light passes BS<sub>2</sub>  $\Rightarrow$



"Normal" mode

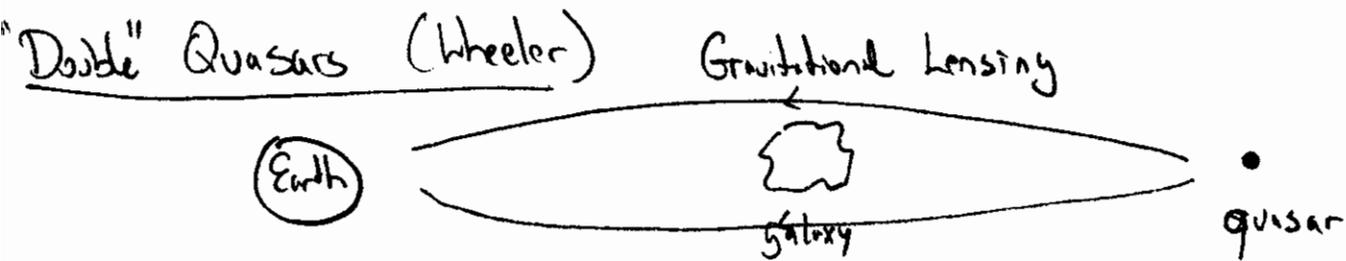
Podiat's Cell <sup>(low volts)</sup> open when pulse reaches  $BS_2$   
and for the whole experiment

"Delayed Choice" mode Podiat's Cell Closed + opened 5ns  
after pulse has passed  $BS_1$  Pulse in fiber at this  
time

## Results

- No matter when  $BS_2$  was inserted an interference pattern was observed.
- If experiment began with  $BS_2$  in place then removed interference was not observed.

Light is not fooled; when the apparatus is changed after light has made its "choice" the light still makes the correct choice.



Two paths

Two possible paths  $\Rightarrow$  interfere or arise  
Insert BS  $\Rightarrow$  see Interference  
No BS      see two images

BS in place  
photon has already traveled  
billions of yrs. via both paths  
What possible difference does inserting the BS  
make in the billion yrs

Light has already traveled for billions of years.

What difference does inserting the BS make in the history of the light?!

Do our actions at this present moment have consequences that stretch back to the ~~cosmic~~ past?

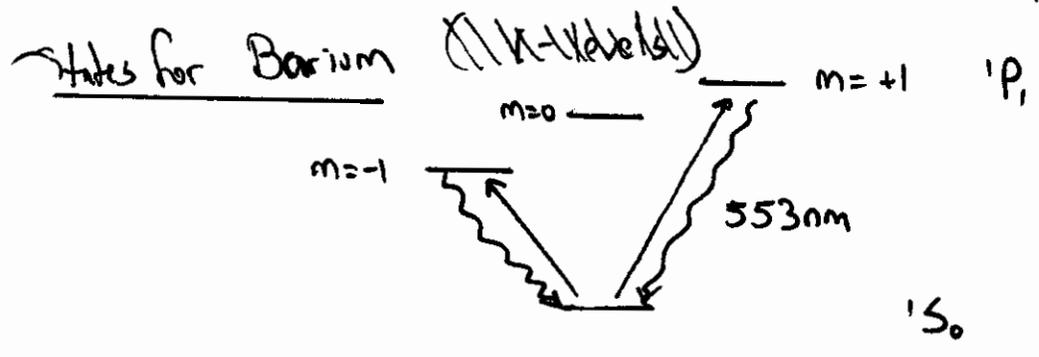
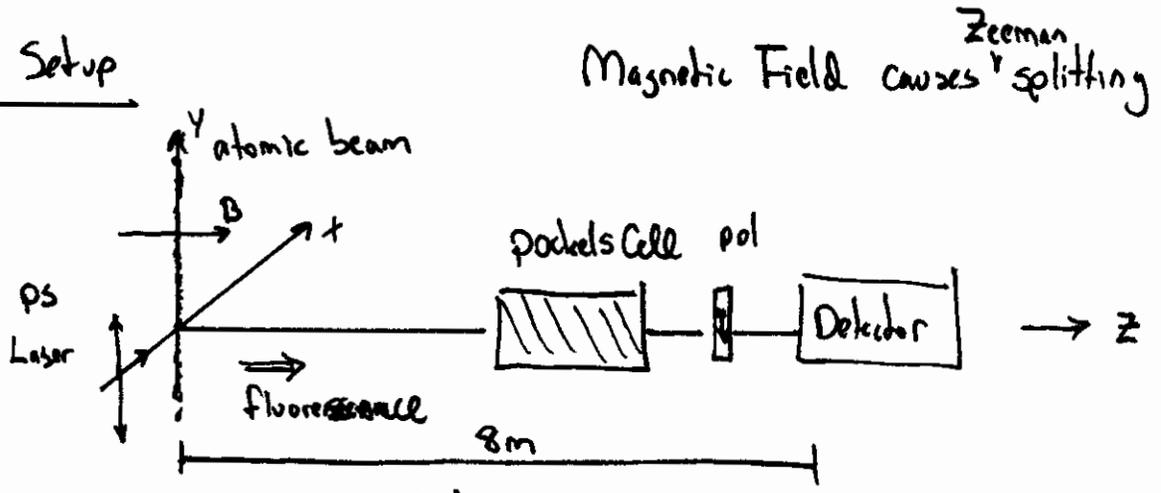
"Smoky Dragon" (J. Wheeler)

Simultaneously existing in everywhere in the interferometer.  
which suddenly bends to bite the detector.

# Quantum Beat Measurements (Walther et al)

- Atomic beam of Barium atoms
- Magnetic field applied  $\perp$  to direction of atomic beam
- Spectral measurement of Delayed Choice

## Experimental Setup



- Less than one photon per pulse
  - Interference between two paths
    - 1)  $|0\rangle \rightarrow |+1\rangle \rightarrow |0\rangle$
    - 2)  $|0\rangle \rightarrow |-1\rangle \rightarrow |0\rangle$
  - Delayed Choice requires one path remains blocked until the emitted photon arrives at detection system.
- Use Pockel's Cell again

## What does Pockel's Cell Do here?

When the Pockel's cell is on:

- The light from the  $|0\rangle \rightarrow |+1\rangle \rightarrow |0\rangle$  path is changed to linearly polarized light which is transmitted by the polarizer
- The light from the  $|0\rangle \rightarrow |-1\rangle \rightarrow |0\rangle$  path ~~is~~ is changed to linearly polarized light and is blocked by the polarizer.

The Pockel's Cell effectively blocks a path as in a similar manner as in the Mach Zehnder interferometer experiment.

See ~~no~~ interference when both paths are present, see no interference if one path is blocked.

# Results

= Normal Mode

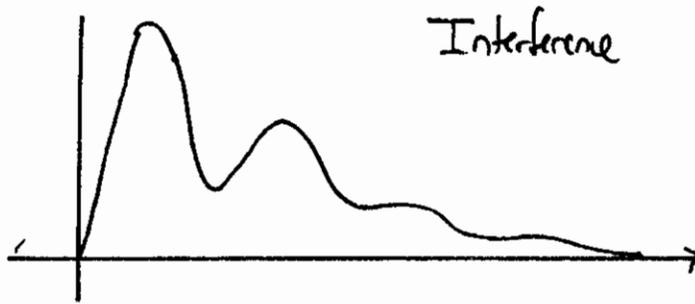
Pockets Cell open  $\Rightarrow$  See interference  
(No voltage)

Apply voltage / Pockets Cell Closed  $\Rightarrow$  See exponential decrease

~~Delayed Choice~~

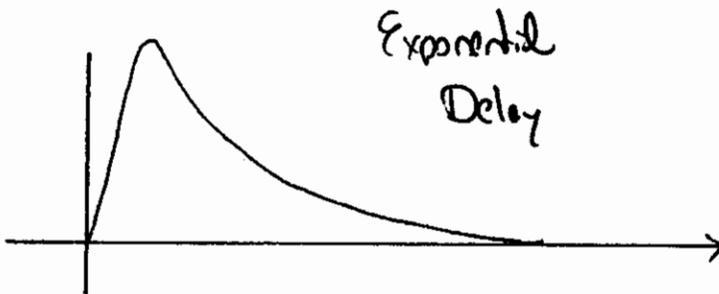
~~Apply voltage to pockets cell at different times.~~

Type I



Pockets cell zero (open)

Type II



Pockets cell voltage high (closed)

# Delayed Choice Experiment with Ba atoms

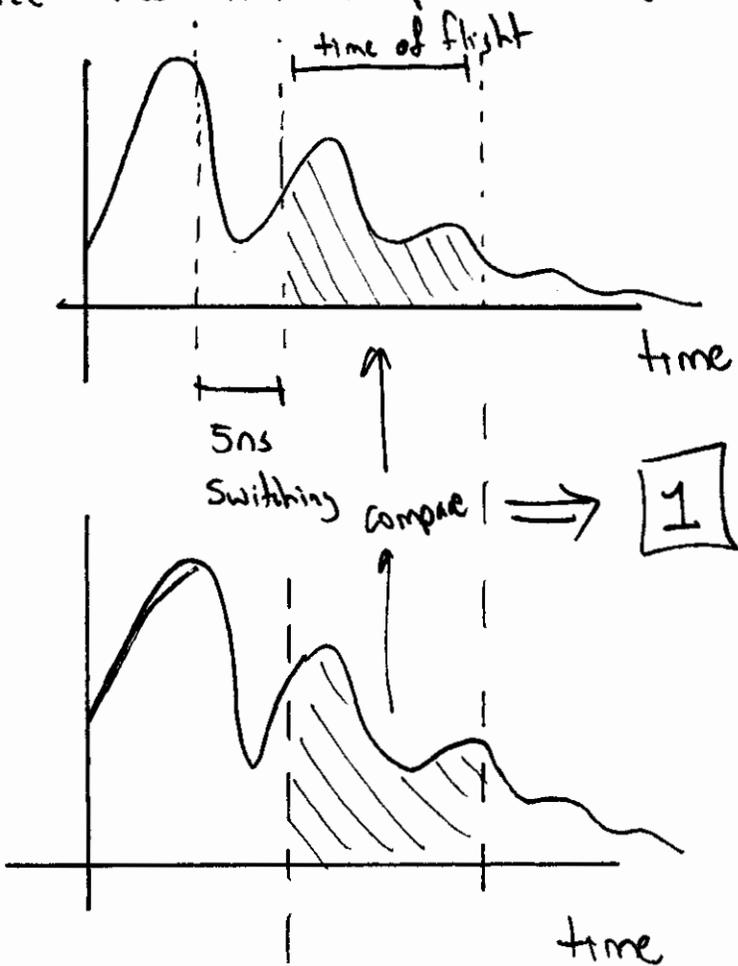
- Turn on Pockels Cell
- Turn off at different times 2ns 17ns 29ns
- See modulation of exponential decay after switching cell.

- They compare the normal operation to Delayed choice operation after switching the pockels cell after 4ns

(Use ~~Data~~ Data from 10-30ns)

- They show that the data from 10-30ns for both the delayed choice and normal operation are basically the same.

Delayed Choice



# Lecture 32 Quantization of a Single mode field

## Complementarity and Quantum Beats

### Principle of Complementarity

- { Classical Physics  $\Rightarrow$  unity
  - { Quantum Mechanics  $\Rightarrow$  duality of two complementary pictures
- particle  $\Leftrightarrow$  wave are complements

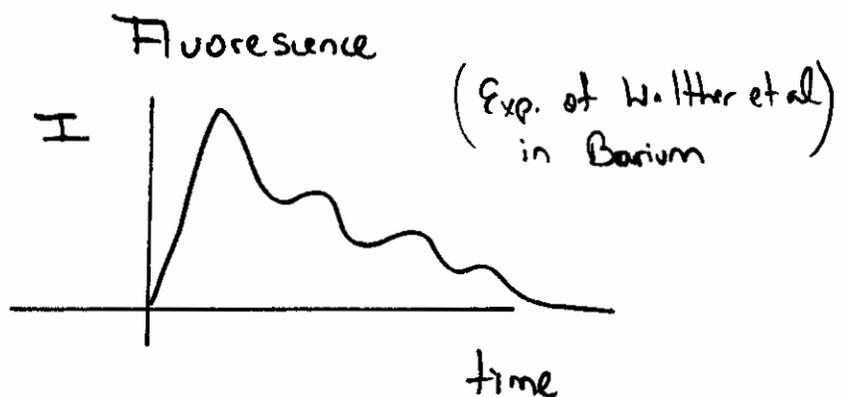
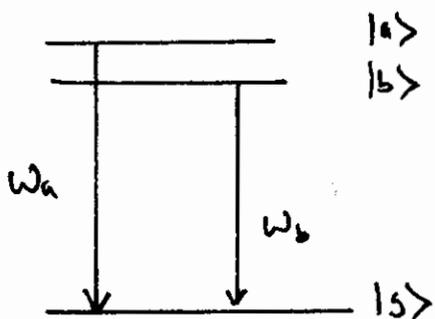
- Refers to what quantum mechanics allows us to know.  $\Rightarrow$   $x$  or  $p$  or  $E$
- What experiment allows us to know.
- Knowledge of which path and the possibility of observing an interference pattern.

- All are mutually exclusive concepts

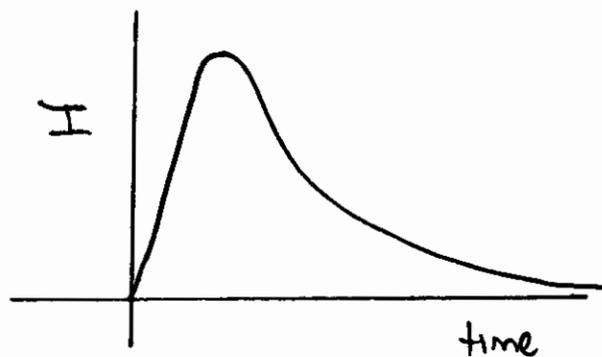
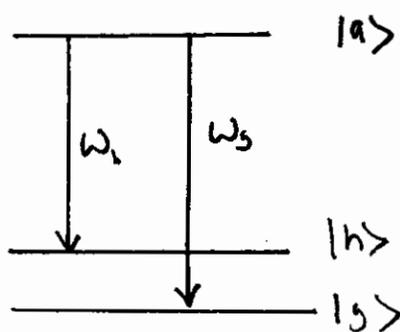
## Complementarity + Information : Quantum Beats

### Two Experiments

Type I



Type II



Why don't see beats for type II but see beats for Type I?

Complementarity:

If it is possible to distinguish by which history the atom has gone from initial to final state, then no beats will occur.

Do not need to perform experiment, sufficient that it is only possible!

Information (or lack of) leads to detection of beats.

Type I

Detector cannot tell which "path" generated a photon

cannot tell  
 $|s\rangle \rightarrow |a\rangle \rightarrow |s\rangle$   
OR  
 $|s\rangle \rightarrow |b\rangle \rightarrow |s\rangle$

- lack of information  $\Rightarrow$  interference / beats
- paths are indistinguishable

~~Type II~~

Wavefunction

$$|\psi\rangle = C_1(t) |0\rangle |n_a\rangle + C_2(t) |0\rangle |n_b\rangle$$

$$|\psi|^2 = \langle \psi | \psi \rangle^2 = (|C_1|^2) + (|C_2|^2) + \underbrace{C_1^* C_2 e^{i(\omega_a - \omega_b)t} \langle g | g \rangle}_{\text{interference term!}}$$

Type II

Paths here are not indistinguishable!

Do not expect interference.

1) Excite to  $|a\rangle$

2) Decay to either  $|g\rangle$  or  $|h\rangle$ . But one knows the final state

Can tell difference

$$\begin{aligned} |g\rangle &\rightarrow |a\rangle \rightarrow |g\rangle \\ |h\rangle &\rightarrow |a\rangle \rightarrow |h\rangle \end{aligned}$$

## Wave function

$$|4\rangle = C_1 |g\rangle |n_g\rangle + C_2 |h\rangle |n_h\rangle$$

$$|\langle 4|4\rangle|^2 = |C_1|^2 + |C_2|^2 + \underbrace{C_1^* C_2 e^{-i(\omega_g - \omega_h)t}}_{= \text{zero!}} \langle g|h\rangle$$

= zero!

since  $\langle g|h\rangle = 0$

— orthogonal states

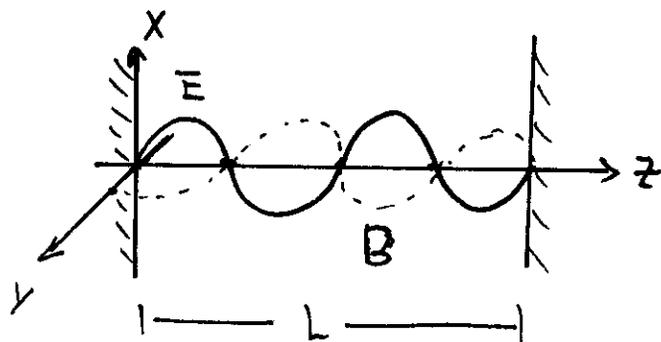
~~Amount~~ Amount of information  $\Rightarrow$  Fringe Contrast / Sharpness

Go Back to the experiment of Walther et al. By turning the Pockel's Cell on (closed) one could tell the paths and thus the interference when away.

Now to the good stuff . . . .

## Field Quantization

- Consider light in a cavity of perfectly conducting walls
- Get standing waves
- Electric field along  $\hat{x}$ , Magnetic Field along  $\hat{y}$



$$E_x(z,t) = \left(\frac{2\omega^2}{V\epsilon_0}\right)^{1/2} q(t) \sin(kz)$$

$$B_y(z,t) = \frac{\mu_0\omega}{k} \left(\frac{2\omega^2}{V\epsilon_0}\right)^{1/2} \dot{q}(t) \cos(kz)$$

$$\left\{ \begin{array}{l} k = \frac{\omega n}{c} \quad n=1 \\ \omega_m = c \left(\frac{\pi n}{L}\right) \\ V \equiv \text{volume} \end{array} \right.$$

$q(t) \Rightarrow$  canonical position

$\dot{q}(t) = p(t) \Rightarrow$  canonical momentum

Classical Energy or Hamiltonian

$$H = \frac{1}{2} \int dV \left( \epsilon_0 E_x^2 + \frac{1}{\mu_0} B_y^2 \right)$$

Write in terms of canonical terms

$$H = \frac{1}{2} (p^2 + \omega^2 q^2)$$

The system we have described is a harmonic oscillator. ~~So~~  
~~Therefore~~ Thus we can use our quantum description  
of the harmonic oscillator

$$[\hat{q}, \hat{p}] = i\hbar$$

### Quantized fields

$$\hat{E}_x(z, t) = \left(\frac{2\omega^2}{V\epsilon_0}\right)^{1/2} \hat{q}(t) \sin(kz)$$

$$\hat{B}_y(z, t) = \left(\frac{2\omega^2}{V\epsilon_0}\right)^{1/2} \hat{p}(t) \cos(kz)$$

$$\hat{H} = \frac{1}{2} (\hat{p}^2 + \omega^2 \hat{q}^2)$$

$\hat{p}$  +  $\hat{q}$  are Hermitian (observables)

Introduce non Hermitian operators

$\hat{a}^\dagger$  creation  
 $\hat{a}$  annihilation

$a^\dagger = \frac{1}{\sqrt{2\hbar\omega}} (\omega\hat{q} - i\hat{p})$
$a = \frac{1}{\sqrt{2\hbar\omega}} (\omega\hat{q} + i\hat{p})$

so

$$\hat{E}_x = \epsilon_0 (\hat{a} + \hat{a}^\dagger) \sin kz$$

$$\epsilon_0 \equiv \sqrt{\hbar\omega/\epsilon_0 V}$$

$$\hat{B}_y = B_0 \frac{1}{i} (a - a^\dagger) \cos kz$$

$$B_0 \equiv \mu_0/k \sqrt{\frac{6\hbar\omega^3}{V}}$$

Also  $[\hat{a}, \hat{a}^\dagger] = 1$

$$H = \hbar\omega (\hat{a}^\dagger \hat{a} + 1/2)$$

## Time Dependence of $\hat{a}$ + $\hat{a}^\dagger$

Heisenberg picture  $\Rightarrow$  Liouville Eq (as we did before)

$$\frac{d\hat{a}}{dt} = \frac{i}{\hbar} [H, \hat{a}] = -i\omega \hat{a}$$

Solution  $\hat{a}(t) = \hat{a}(0) e^{-i\omega t}$

For  $\hat{a}^\dagger$   $\hat{a}^\dagger(t) = \hat{a}^\dagger(0) e^{i\omega t}$

## Number Operator $\hat{n}$

$\hat{n} \equiv a^\dagger a \Rightarrow$  eigenstate  $|n\rangle$  with energy  $E_n$

Thus  $\hat{H}|n\rangle = \hbar\omega(\hat{a}^\dagger \hat{a} + \frac{1}{2})|n\rangle = E_n|n\rangle$

write  $\hbar\omega(\hat{a}^\dagger a + \frac{1}{2})(a^\dagger|n\rangle) = (E_n + \hbar\omega)(a^\dagger|n\rangle)$

$$\hat{H}(a^\dagger|n\rangle) = (E_n + \hbar\omega)(a^\dagger|n\rangle)$$

eigenstate  $a^\dagger|n\rangle$  has eigenvalue  $E_n + \hbar\omega$

$a^\dagger \Rightarrow$  creates quantum of energy  $\hbar\omega$

also  $\hat{H}(a|n\rangle) = (E_n - \hbar\omega)(a|n\rangle)$

So  $E_n = \hbar\omega(n + \frac{1}{2})$

Zero point energy  $n=0$

$$\hat{H}|0\rangle = \hbar\omega \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right) |0\rangle = \frac{1}{2} \hbar\omega |0\rangle$$

$$\frac{1}{2} \hbar\omega \Rightarrow \text{zero energy}$$

$$\hat{a}|0\rangle = 0 \quad \hat{a}^\dagger|0\rangle = |1\rangle$$

Normalizing Number States

$$\hat{n}|n\rangle = n|n\rangle$$

$$\langle n|n\rangle = 1$$

$$\hat{a}|n\rangle = c_n |n-1\rangle$$

$$\Rightarrow c_n = \sqrt{n}$$

$$\hat{a}^\dagger|n\rangle = \sqrt{n+1} |n+1\rangle$$

$$\hat{a}|n\rangle = \sqrt{n} |n-1\rangle$$

$$\hat{a}^\dagger|n\rangle = \sqrt{n+1} |n+1\rangle$$

$$|n\rangle = \frac{(\hat{a}^\dagger)^n}{\sqrt{n!}} |0\rangle$$

Non vanishing elements

$$\langle n-1|\hat{a}|n\rangle = \sqrt{n}$$

$$\langle n+1|\hat{a}^\dagger|n\rangle = \sqrt{1+n}$$

## Lecture 33 Multimode Fields

$|n\rangle$  has a well defined energy but not of field since  
 $\langle n | \hat{E}_x | n \rangle = 0$  (expectation of  $\sin(\cdot)$ )

But the energy density which is proportional to  $E^2$  is not zero

$$\langle n | E_x^2 | n \rangle = 2\epsilon_0^2 \sin^2(kz) (n + \frac{1}{2})$$

Variance of  $\hat{E}$

$$\langle (\Delta \hat{E}_x)^2 \rangle = \langle \hat{E}_x^2 \rangle - \langle \hat{E}_x \rangle^2 =$$

so

$$\Delta E_x = \sqrt{2\epsilon_0 \sin^2(kz)} \sqrt{n + \frac{1}{2}}$$

which is valid for even  $n=0$ !  $\Rightarrow$  Vacuum fluctuations

Commutation between  $\hat{n} + \hat{E}$

$$[\hat{n}, \hat{E}_x] = \epsilon_0 \sin(kz) (\hat{a}^\dagger - \hat{a})$$

or

$$\Delta n \Delta E_x \geq \frac{1}{2} \epsilon_0 |\sin(kz)| |\langle \hat{a}^\dagger - \hat{a} \rangle|$$

If field is accurately known, # of photons is uncertain

$\Rightarrow$  Amplitude + phase in QM cannot be both well defined.

In analogy with  $\Delta + \Delta E$  we get number/phase

$$\Delta n \Delta \phi \geq 1$$

## Quadrature Operators

Write out time dependence

$$\hat{E}_x = \epsilon_0 (\hat{a} e^{-i\omega t} + \hat{a}^\dagger e^{i\omega t}) \sin(kz)$$

Define quadrature operators

$$\hat{X}_1 = \frac{1}{2} (\hat{a} + \hat{a}^\dagger) \quad \text{"In-phase"}$$

$$\hat{X}_2 = \frac{1}{2i} (\hat{a} - \hat{a}^\dagger) \quad \text{"In-quadrature" (90° out of phase)}$$

So  $[\hat{X}_1, \hat{X}_2] = i/2 \Rightarrow \langle (\Delta X_1)^2 \rangle \langle (\Delta X_2)^2 \rangle \geq 1/16$

And  $\langle n | X_1^2 | n \rangle = \frac{1}{4} (2n+1)$

	in-phase	in-quadrature
	↓	↓
$\hat{E}_x = 2 \epsilon_0 \sin(kz) (\hat{X}_1 \cos \omega t + \hat{X}_2 \sin \omega t)$		

Vacuum minimizes the uncertainty product

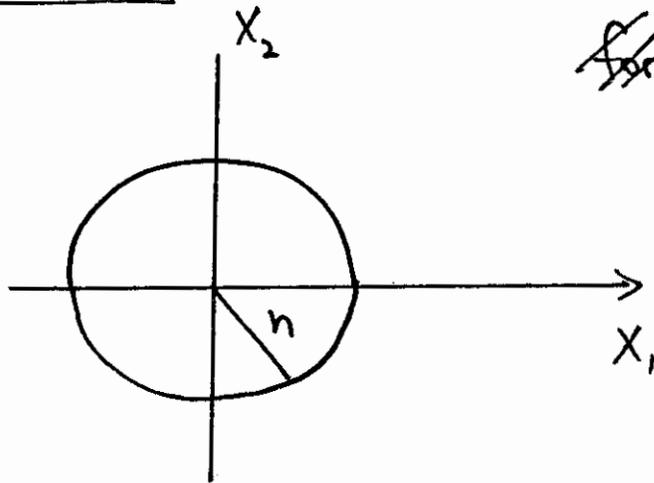
$$\langle \hat{X}_1^2 \rangle_{\text{vac}} = 1/4 = \langle \hat{X}_2^2 \rangle$$

Squeezed Vacuum  $\Rightarrow$  Minimum project  
Charmin

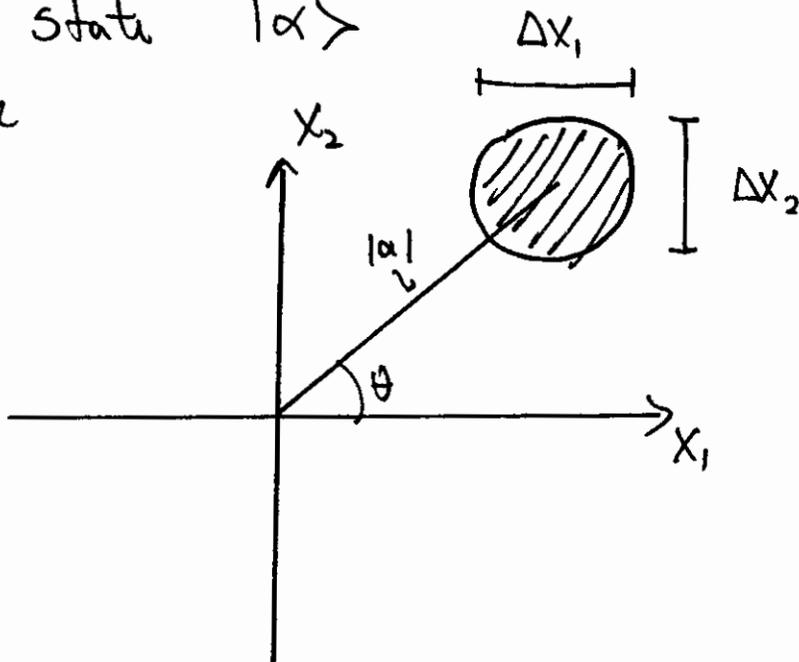
# Phase space pictures

For  $|n\rangle$   
(number state)

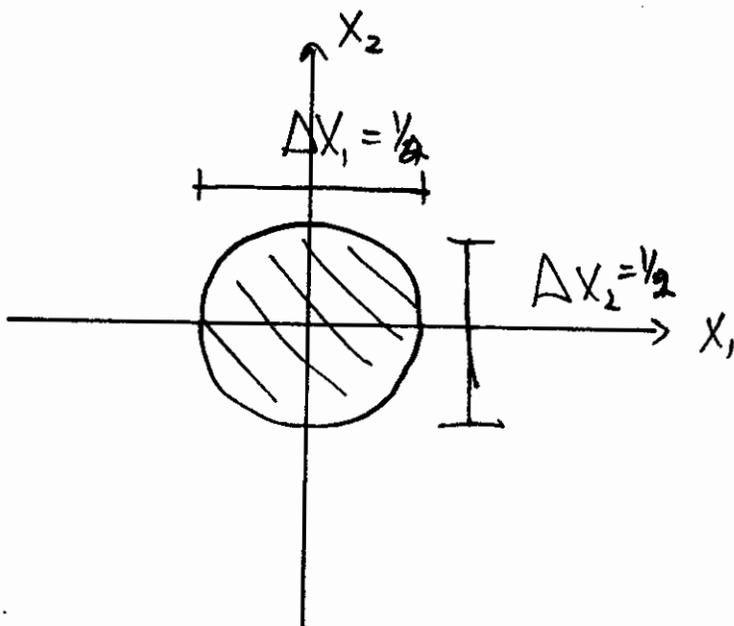
a circle



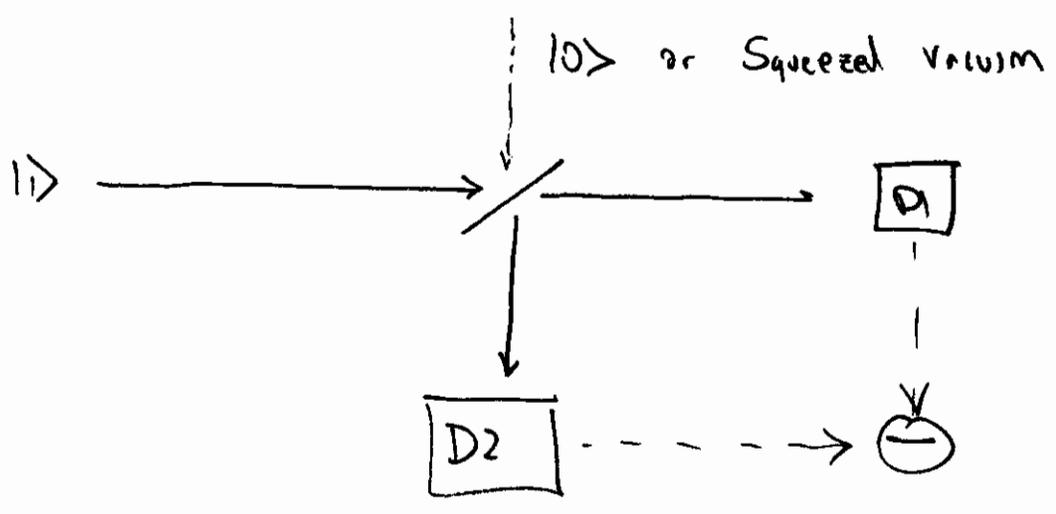
For a coherent state  $|\alpha\rangle$   
a filled circle



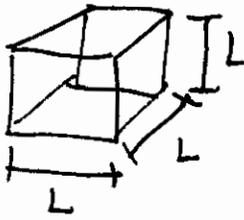
For vacuum



# Balanced Detection with Squeezed Light



# Multimode fields



- Generalization of single mode result
- Cubical Cavity with periodic boundary conditions

Express field in terms of the vector potential  $\vec{A}$

$$\vec{E} = -\partial_t \vec{A} \quad + \quad \vec{B} = \nabla \times \vec{A}$$

$$\nabla^2 \vec{A} - \frac{1}{c^2} \partial_t^2 \vec{A} = 0$$

The boundary conditions impose

$$k_x = \frac{2\pi}{L} m_x \quad k_y = \frac{2\pi}{L} m_y \quad k_z = \frac{2\pi}{L} m_z$$

Total # of modes in  $k$  space

$$\Delta m = 2 \left( \frac{L}{2\pi} \right)^3 \Delta k_x \Delta k_y \Delta k_z$$

$$dm = 2 \frac{V}{8\pi^3} dk_x dk_y dk_z = 2 \frac{V}{8\pi^3} k^2 dk \sin\theta d\theta d\phi$$

The vector potential can be express a superposition of plane waves

$$\vec{A}(\vec{r}, t) = \sum_{\vec{k}, s} \hat{e}_{\vec{k}, s} \left( A_{\vec{k}, s}(t) e^{i\vec{k} \cdot \vec{r}} + A_{\vec{k}, s}^*(t) e^{-i\vec{k} \cdot \vec{r}} \right)$$

Sum over  $k \rightarrow$  sum over  $m$

Sum over  $s \rightarrow$  two polarizations orthogonal

Get time dependences of  $A_{\vec{k}, s}$  from wave Eq

$$A_{\vec{k}, s}(t) = A_{\vec{k}, s} e^{-i\omega t}$$

Write Electric + Magnetic fields

$$\vec{E}(\vec{r}, t) = i \sum_{\vec{k}, s} \omega_k \hat{e}_{k,s} \left[ A_{\vec{k},s} e^{i(\vec{k} \cdot \vec{r} - \omega_k t)} - A_{\vec{k},s}^* e^{-i(\vec{k} \cdot \vec{r} - \omega_k t)} \right]$$

$$\vec{B}(\vec{r}, t) = \frac{i}{c} \sum_{\vec{k}, s} \omega_k \left( \frac{\vec{k}}{|\vec{k}|} \times \hat{e}_{k,s} \right) \left[ \dots \right]$$

Write Hamiltonian by integrating over volume

$$H = 2 \epsilon_0 V \sum_{\vec{k}, s} \omega_k^2 A_{\vec{k},s} A_{\vec{k},s}^*$$

Introduce canonical variables  $\bar{q}_{\vec{k},s} + \bar{p}_{\vec{k},s}$

$$A_{\vec{k},s} = \frac{1}{2 \omega_k \sqrt{\epsilon_0 V}} (\omega_k \bar{q}_{\vec{k},s} + i \bar{p}_{\vec{k},s})$$

Then

$$H = \frac{1}{2} \sum_{\vec{k}, s} (\bar{p}_{\vec{k},s}^2 + \omega_k^2 \bar{q}_{\vec{k},s}^2)$$

Classical field

operators  
quantize

$$\hat{p}_{\vec{k},s} + \hat{q}_{\vec{k},s}$$

$$[\hat{q}_{\vec{k},s}, \hat{p}_{\vec{k}',s'}] = i \hbar \delta_{\vec{k}\vec{k}'} \delta_{ss'}$$

$$\hat{H} = \sum_{\vec{k}, s} \hbar \omega_k \left( \hat{a}_{\vec{k},s}^\dagger \hat{a}_{\vec{k},s} + \frac{1}{2} \right) \quad \hat{n}_{\vec{k},s} = \hat{a}_{\vec{k},s}^\dagger \hat{a}_{\vec{k},s}$$

For  $j^{\text{th}}$  mode and all modes

$$\hat{H} = \sum_j \hbar \omega_j (\hat{n}_j + 1/2)$$

Field Hamiltonian for all modes

$$\text{where } \hat{n}_j = \hat{n}_{\vec{k}_j s_j}$$

Multimode photon number state

$$\begin{aligned} |n_1\rangle |n_2\rangle |n_3\rangle \dots &= |n_1, n_2, n_3, \dots\rangle \\ &= |\{n_j\}\rangle \end{aligned}$$

So

$$\hat{H} |\{n_j\}\rangle = E |\{n_j\}\rangle$$

$\uparrow$   
all modes

Multimode are orthogonal

$$\langle n_1, n_2, \dots, n_j, \dots | n_1, n_2, \dots \rangle = \delta_{n_1 n_1'} \delta_{n_2 n_2'} \dots$$

operation by  $\hat{a}_j$

$$\hat{a}_j |n_1, n_2, \dots, n_j, \dots\rangle = \sqrt{n_j} |n_1, n_2, \dots, n_j-1, \dots\rangle$$

Multimode vacuum

$$|\{0\}\rangle = |0_1, 0_2, \dots\rangle$$

$$|\{n_j\}\rangle = \prod_j \frac{(\hat{a}_j^\dagger)^{n_j}}{\sqrt{n_j!}} |\{0\}\rangle$$

Back to the fields

Now  $\hat{A}_{\mathbf{k}s} = \sqrt{\frac{\hbar}{2\omega_{\mathbf{k}}\epsilon_0 V}} \hat{a}_{\mathbf{k}s}$

and

$$\hat{\mathbf{E}}(\mathbf{r}, t) = i \sum_{\mathbf{k}} \sqrt{\frac{\hbar\omega_{\mathbf{k}}}{2\epsilon_0 V}} \hat{\mathbf{e}}_{\mathbf{k}s} \left( \hat{a}_{\mathbf{k}s} e^{i(\mathbf{k}\cdot\mathbf{r} - \omega_{\mathbf{k}}t)} + \hat{a}_{\mathbf{k}s}^\dagger e^{-i(\mathbf{k}\cdot\mathbf{r} - \omega_{\mathbf{k}}t)} \right)$$

# Review

Show

$$\langle n | \hat{E}_x | n \rangle = 0 \quad \langle \hat{E}(t) \rangle$$

We want some expectation value that varies sinusoidally with time

$$\langle \ddot{z} | E_x | \ddot{z} \rangle \approx \sin(\omega t)$$

- Need some state that looks more like the classical harmonic oscillator

# Lecture 34 Coherent States

Review  $\langle n | E_x | n \rangle = 0$

Brief discussion on the quantum phase

Dirac  $\hat{a} = e^{i\hat{\phi}} \sqrt{\hat{n}} \quad \hat{a}^\dagger = \sqrt{\hat{n}} e^{-i\hat{\phi}}$

$$[\hat{n}, \hat{\phi}] = i \quad \Delta n \Delta \phi \geq \frac{1}{2}$$

Problems with this definition:

1)  $\hat{\phi}$  is not Hermitian

Eg. If  $\hat{\phi}$  is Hermitian then  $e^{i\hat{\phi}}$  is unitary

But  $(e^{i\hat{\phi}})^\dagger e^{i\hat{\phi}} = 1$  but  $e^{i\hat{\phi}} (e^{i\hat{\phi}})^\dagger \neq 1$

2) Is  $\hat{\phi}$  an angle operator?

Its not periodic  $-\infty < \phi < \infty \quad \psi(\phi) \neq \psi(\phi + 2\pi)$

And  $\Delta \phi > 2\pi$

Another approach Susskind - Glogower operators

operators analogous to exponential phase factor  $e^{i\phi}$

$$\hat{E} \rightarrow e^{i\phi}$$

$$\hat{E} = \sum_{n=0}^{\infty} |n\rangle \langle n+1|$$

$$\hat{E}^\dagger \rightarrow e^{-i\phi}$$

$$\hat{E}^\dagger = \sum_{n=0}^{\infty} |n+1\rangle \langle n|$$

↑ not field

$$\hat{E} \hat{E}^\dagger = 1$$

$$\hat{E}^\dagger \hat{E} = 1 - |0\rangle \langle 0|$$

unitary for large  $n \Rightarrow$  approx unitary !!

## Eigenstate of $\hat{E}$

$$\hat{E}|\varphi\rangle = e^{i\varphi}|\varphi\rangle$$

where  $|\varphi\rangle = \sum_{n=0}^{\infty} e^{in\varphi}|n\rangle$

## Phase distribution

$$P(\varphi) \equiv \frac{1}{2\pi} |\langle\varphi|\psi\rangle|^2$$

$$\int_0^{2\pi} P(\varphi) d\varphi = 1$$

How to relate this phase to experimental measurements?

$|\varphi\rangle$  probability to measure phase

Measuring phase difficult classically + quantum mechanically.

— Photon number states have uniform phase distribution over range 0 to  $2\pi$ . No well defined phase

## Distribution of Phase for $|n\rangle$

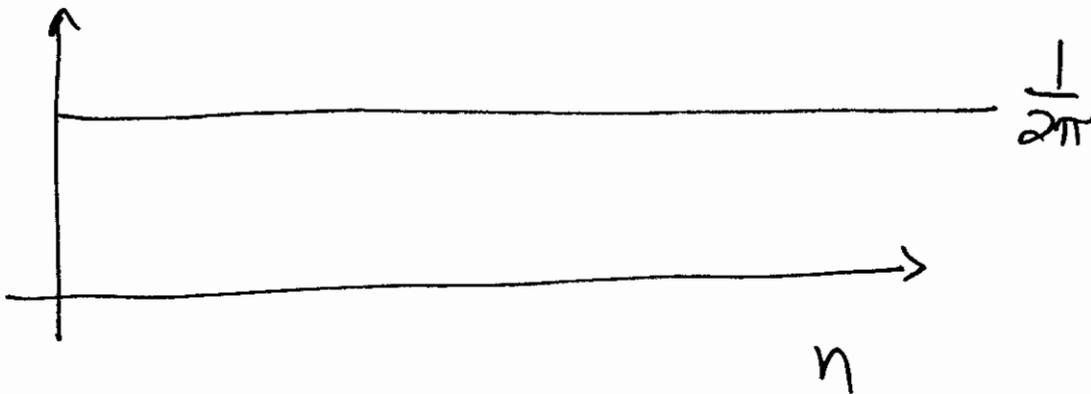
Uniform probability density

$$\Rightarrow P(\varphi) = \frac{1}{2\pi} \quad \text{all but } c_n = 1$$

$$\text{with } \Delta\varphi = \frac{\pi}{\sqrt{3}}$$

Uniform distribution over 0 to  $2\pi$

True for all number states



uniform phase distribution : work it out

$$P(\phi) = \frac{1}{2} |\langle \phi | \psi \rangle|^2$$

$$= \frac{1}{2} \left| \sum_n \langle \phi | c_n | n \rangle \right|^2$$

*orig*

$$= \frac{1}{2\pi} \left| \sum_n \sum_m \langle m | e^{-im\phi} c_n | n \rangle \right|^2$$

$m=n$

$$= \boxed{\frac{1}{2\pi}}$$

# Coherent States

→ How to get classical limit?

• We should get classical limit as  $n \rightarrow \infty$

But  $\langle n | \hat{E}_x | n \rangle = 0$  even if  $n \rightarrow \infty$ !!

Fixed point in space in classical field oscillates sinusoidally

But  $\langle n \rangle$  does not!

## Coherent States

"most classical" quantum states of harmonic oscillator.

≡ Want non zero expectation value of  $\hat{E}_x$ .

Need superposition of  $|n\rangle$

Seek eigenstates of  $\hat{a}$

$$\hat{a} |\alpha\rangle = \alpha |\alpha\rangle$$

"Right" eigenstate

$$\langle \alpha | \hat{a}^\dagger = \alpha^* \langle \alpha |$$

$|n\rangle \Rightarrow$  complete set so

$$|\alpha\rangle = \sum_{n=0}^{\infty} C_n |n\rangle$$

## Operate by $\hat{a}$

$$\hat{a}|\alpha\rangle = \sum_{n=1}^{\infty} c_n \sqrt{n} |n-1\rangle = \alpha \sum_{n=0}^{\infty} c_n |n\rangle$$

So  $c_n \sqrt{n} = \alpha c_{n-1}$  Same  $n$

$$c_n = \frac{\alpha}{\sqrt{n}} c_{n-1} = \frac{\alpha^2}{\sqrt{n(n-1)}} c_{n-2} = \dots = \frac{\alpha^n}{\sqrt{n!}} c_0$$

So  $|\alpha\rangle = c_0 \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle$

ind  $c_0$  by normalization

$$\langle \alpha | \alpha \rangle = 1 = |c_0|^2 e^{|\alpha|^2}$$

so  $c_0 = e^{-|\alpha|^2/2}$

Thus

$$|\alpha\rangle = \exp(-\frac{1}{2} |\alpha|^2) \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle$$

Write out electric field and expectation value

$$\langle \alpha | \hat{E}_x | \alpha \rangle = 2 |\alpha| \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} \sin(\omega t - \vec{k} \cdot \vec{r} - \theta)$$

$$E = i \sqrt{\frac{\hbar}{2 \epsilon_0 V}} \left( \hat{a} e^{i \vec{k} \cdot \vec{r} - \omega t} + \hat{a}^\dagger e^{-i \vec{k} \cdot \vec{r} - \omega t} \right)$$

$$\alpha = |\alpha| e^{i\theta} \left\{ \langle \alpha | E_x | \alpha \rangle = 2 |\alpha| \left( \frac{\hbar \omega}{2 \epsilon_0 V} \right)^{1/2} \sin(\omega t - \vec{k} \cdot \vec{r} - \theta) \right.$$

And

$$\Delta E_x = \langle (\Delta \hat{E}_x)^2 \rangle^{1/2} = \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}}$$

True for all ~~the~~ n.

For quadratic operators

$$\langle (\Delta \hat{X}_1)^2 \rangle_\alpha = \frac{1}{4} = \langle (\Delta \hat{X}_2)^2 \rangle_\alpha$$

Physical meaning of  $\alpha$ ?

$|\alpha| \equiv$  related to field amplitude

$$\bar{n} \equiv \langle \alpha | \hat{n} | \alpha \rangle = |\alpha|^2 \quad \text{Average photon \#}$$

$$\langle \alpha | \hat{n}^2 | \alpha \rangle = \bar{n}^2 + \bar{n}$$

$$\text{so } \Delta n = \sqrt{\langle \hat{n}^2 \rangle - \langle \hat{n} \rangle^2} = \sqrt{\bar{n}}$$

For case where  $\Delta n = \sqrt{\bar{n}}$

Variance = sq rt. of average

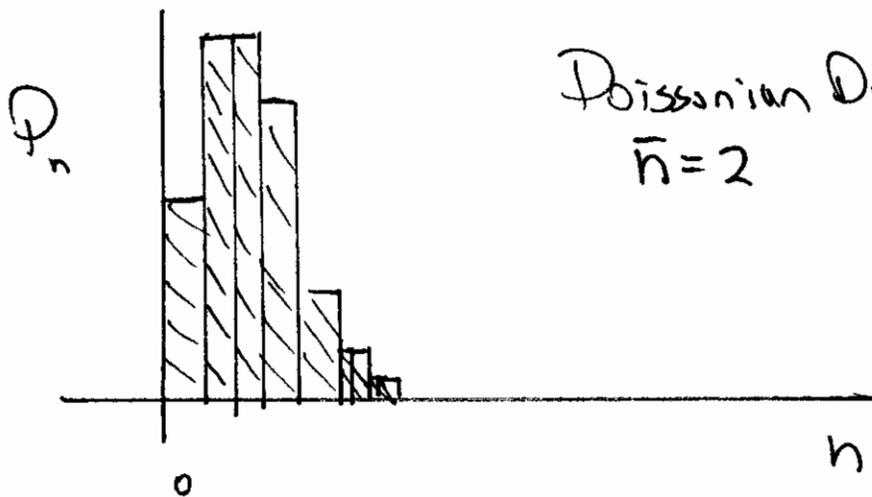
Poissonian distribution with mean  $\bar{n}$

$$\frac{\Delta n}{\bar{n}} = \frac{1}{\sqrt{\bar{n}}}$$

For  $n$  photons

$$P_n = |\langle n | \alpha \rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!}$$

$$= e^{-\bar{n}} \frac{\bar{n}^n}{n!}$$



Poissonian Distribution  
 $\bar{n} = 2$

## Phase distribution

$$P(\varphi) = \frac{1}{2\pi} |\langle \varphi | \alpha \rangle|^2 = \frac{1}{2\pi} e^{-|\alpha|^2} \left| \sum e^{in(\varphi-\theta)} \frac{|\alpha|^n}{n!} \right|^2$$

For large  $n$  Poissonian  $\rightarrow$  Gaussian

$$P(\varphi) = \sqrt{\frac{2|\alpha|^2}{\pi}} \exp(-2|\alpha|^2(\varphi-\theta)^2)$$

$\Rightarrow$  Phase distribution is Gaussian over  $n$

$$\hat{a}|\alpha\rangle = \alpha|\alpha\rangle$$

$$|\alpha\rangle = \sum_{n=0}^{\infty} c_n |n\rangle$$

$$\hat{a}|\alpha\rangle = \sum_{n=1}^{\infty} c_n \sqrt{n} |n-1\rangle = \alpha \sum_{n=0}^{\infty} c_n |n\rangle$$

$$c_{n+1} \sqrt{n+1} = \alpha c_n$$

$$c_n = \frac{\alpha}{\sqrt{n}} c_{n-1} = \frac{\alpha^2}{\sqrt{n(n-1)}} c_{n-2}$$

$$= \dots \frac{\alpha^n}{\sqrt{n!}} c_0$$

$$|\alpha\rangle = \sum_n \frac{\alpha^n}{\sqrt{n!}} c_0 |n\rangle$$

Find  $c_0$

$$\langle \alpha | \alpha \rangle = 1$$

$$\sum_n \frac{(\alpha^n)^2}{n!} c_0^2 \langle n | n \rangle = 1 \quad \Rightarrow \quad \boxed{c_0 = \exp(-\frac{1}{2} |\alpha|^2)}$$

$$= |c_0|^2 \sum_n \sum_m \frac{\alpha^{*n} \alpha^m}{\sqrt{n! m!}} \langle n | m \rangle = \sum_m |c_0|^2 \frac{|\alpha|^{2m}}{m!}$$

$$= |c_0|^2 e^{|\alpha|^2}$$

# Lecture 35 More on coherent states

$$|\alpha\rangle = \exp(-\frac{1}{2}|\alpha|^2) \sum_{n=0}^{\infty} \frac{\alpha^n}{\sqrt{n!}} |n\rangle \quad \text{Coherent States}$$

$$|\phi\rangle = \sum_{n=0}^{\infty} e^{in\phi} |n\rangle \quad \text{Phase States}$$

Poissonian Distribution

$$\frac{e^{-\lambda} \lambda^x}{x!}$$

# Deaths x	Observed Corp-years for x deaths
0	109
1	65
2	22
3	3
4	1

200 Corp years

Bortkiewicz  $\Rightarrow$  Horse Kick Deaths of Prussian Cavalry

For n distribution

$$P(n) = |\langle n | \alpha \rangle|^2 = e^{-|\alpha|^2} \frac{|\alpha|^{2n}}{n!} \quad \Leftarrow \text{Poisson Distribution}$$

Phase distribution

$$P(\phi) = \frac{1}{2\pi} |\langle \phi | \alpha \rangle|^2 = \frac{1}{2\pi} e^{-|\alpha|^2} \left| \sum_{n=0}^{\infty} e^{in(\phi-\theta)} \frac{|\alpha|^n}{\sqrt{n!}} \right|^2$$

Use  $\alpha = |\alpha| e^{i\theta}$

For large  $|\alpha|^2$  Poisson distribution becomes Gaussian

$$P(\phi) = \sqrt{\frac{2|\alpha|^2}{\pi}} \exp(-2|\alpha|^2(\phi-\theta)^2)$$

For n distribution      Poisson

$$\begin{aligned} \langle \alpha | \hat{n} | \alpha \rangle &= \langle \alpha | a^\dagger a | \alpha \rangle \\ &= (\langle \alpha | a^\dagger) (a | \alpha \rangle) \\ &= \alpha^* \alpha = |\alpha|^2 \equiv \bar{n} \end{aligned}$$

$$\langle \alpha | \hat{n}^2 | \alpha \rangle = |\alpha|^4 + |\alpha|^2 = \bar{n}^2 + \bar{n}$$

So

$$\Delta n = \sqrt{\langle \hat{n}^2 \rangle - \langle \hat{n} \rangle^2} = \sqrt{(\bar{n}^2 + \bar{n}) - \bar{n}^2}$$

$$= \sqrt{\bar{n}^2 + 2\bar{n}\bar{n} + \bar{n} - \bar{n}^2}$$

$$= \sqrt{\bar{n}}$$

Average value       $\bar{n}$   
Standard deviation       $\sqrt{\bar{n}}$

## Details

$$P(n) = |\langle n | \alpha \rangle|^2 = \left| \sum_{m=0}^{\infty} e^{-|\alpha|^2/2} \frac{\alpha^m}{\sqrt{m!}} \langle m | n \rangle \right|^2$$

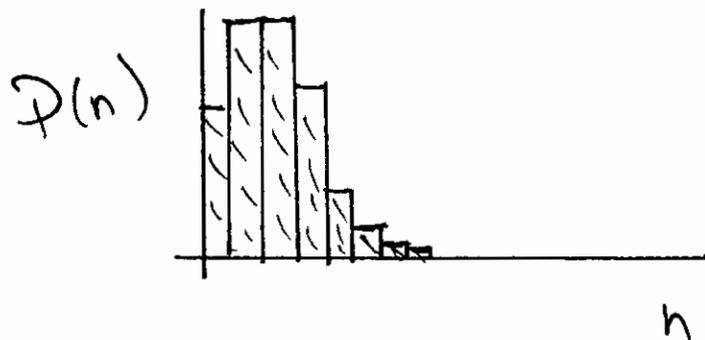
$$= \frac{\alpha^n (\alpha^n)^*}{n!} e^{-|\alpha|^2}$$

$$= \frac{|\alpha|^{2n}}{n!} e^{-|\alpha|^2}$$

$$P(\varphi) = \frac{1}{2\pi} |\langle \varphi | \alpha \rangle|^2 = \frac{1}{2\pi} \left| \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} e^{-im\varphi} \langle m | n \rangle \frac{\alpha^n}{\sqrt{n!}} e^{-|\alpha|^2/2} \right|^2$$

$$= \frac{1}{2\pi} \left| \sum_{n=0}^{\infty} e^{+in(\varphi+\theta)} \frac{|\alpha|^n}{\sqrt{n!}} \right|^2 e^{-|\alpha|^2/2^2}$$

# Poisson Distribution



## Expectation value

$$\bar{E}_x = i \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} \left( \hat{a} e^{i(\bar{k} \cdot \bar{r} - \omega t)} + \hat{a}^\dagger e^{-i(\bar{k} \cdot \bar{r} - \omega t)} \right)$$

$$\langle \alpha | \bar{E}_x | \alpha \rangle = \langle \alpha | \quad | \alpha \rangle$$

$$\langle \alpha | \hat{a} | \alpha \rangle = \langle \alpha | \alpha \rangle \alpha$$

$$\langle \alpha | \hat{a}^\dagger | \alpha \rangle = \alpha^* \langle \alpha | \alpha \rangle$$

$$\left. \begin{aligned} \text{Since} \\ \hat{a} | \alpha \rangle = \alpha | \alpha \rangle \\ \langle \alpha | \hat{a}^\dagger = \alpha^* \langle \alpha | \end{aligned} \right\}$$

$$\text{So } \langle \alpha | \bar{E}_x | \alpha \rangle = i \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} \left( \alpha e^{(+)} - \alpha^* e^{(-)} \right)$$

$$\text{Write } \alpha = |\alpha| e^{i\theta}$$

$$\langle \alpha | \bar{E}_x | \alpha \rangle = i \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} |\alpha|^2 \left( e^{i\theta} e^{(+)} - e^{-i\theta} e^{(-)} \right)$$

$$\langle \alpha | \bar{E}_x | \alpha \rangle = 2 |\alpha|^2 \sqrt{\frac{\hbar \omega}{2 \epsilon_0 V}} \sin(\omega t - \bar{k} \cdot \bar{r} - \theta)$$

$$\langle \alpha | \hat{E}_x^2 | \alpha \rangle = \frac{\hbar\omega}{2\epsilon_0 V} (1 + 4|\alpha|^2 \sin^2(\dots))$$

$$(\Delta E_x)_\alpha = \sqrt{\langle (\hat{E}_x)^2 \rangle} = \sqrt{\langle \hat{E}_x^2 \rangle - \langle E_x \rangle^2} = \sqrt{\frac{\hbar\omega}{2\epsilon_0 V}}$$

independent of n !!

$$\boxed{(\Delta E_x)_\alpha = \sqrt{\frac{\hbar\omega}{2\epsilon_0 V}}}$$

Before

$$(\Delta E_x)_n = \sqrt{2\epsilon_0} \sin(kz) \sqrt{n + 1/2}$$

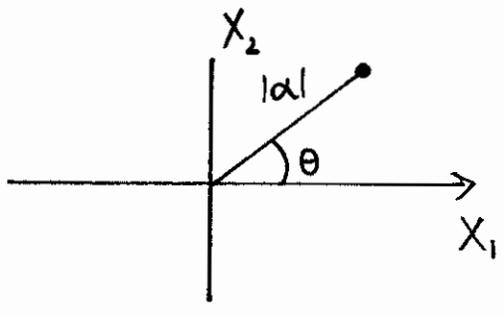
F- quadrature operators

$$\langle (\Delta \hat{X}_1)^2 \rangle_\alpha = \frac{1}{4} = \langle (\Delta \hat{X}_2)^2 \rangle_\alpha$$

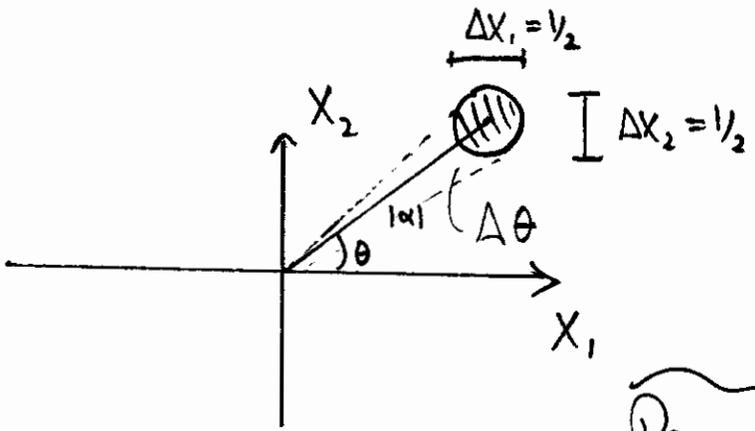
- Coherent States have the fluctuations of the vacuum !!

# Phase Space Pictures of Coherent States

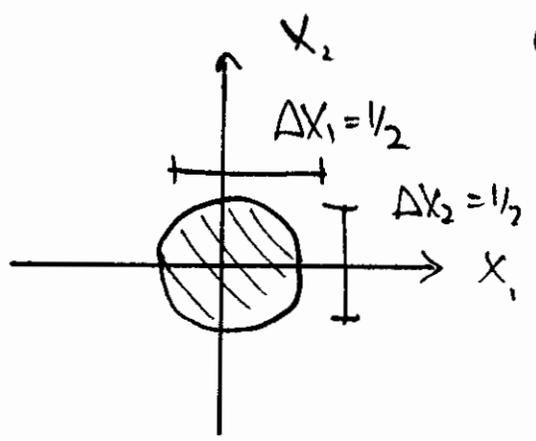
Classical Field



Quantum Field



Vacuum

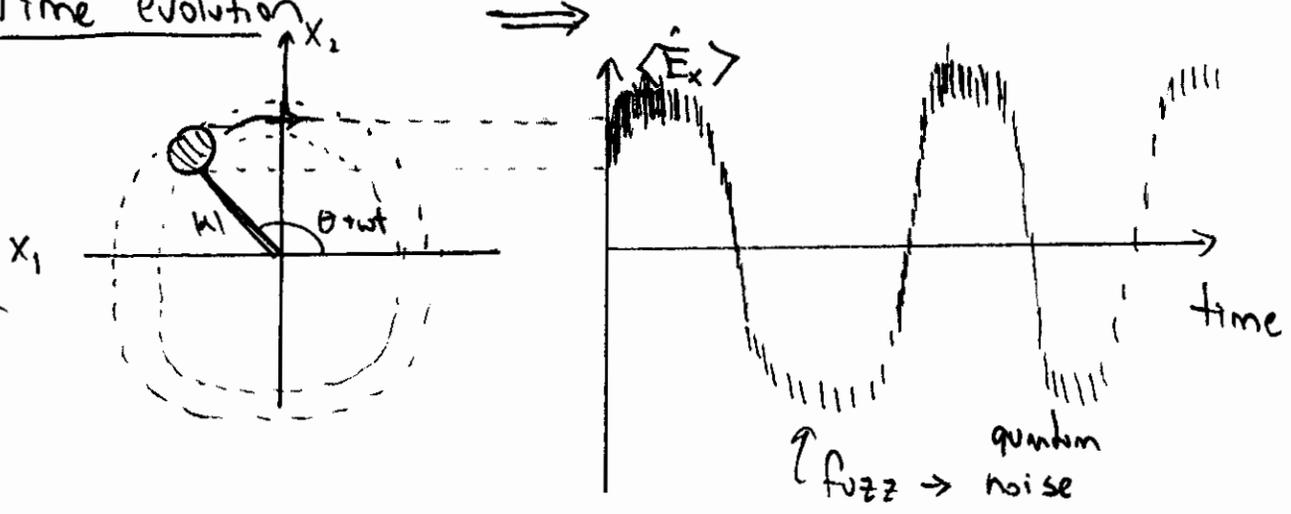


Remember

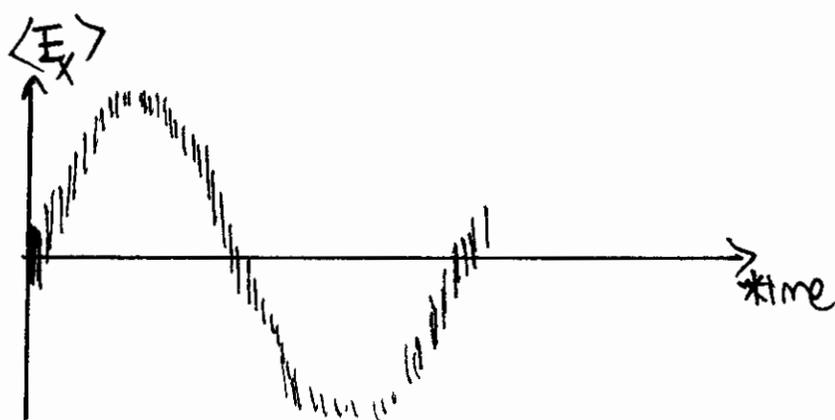
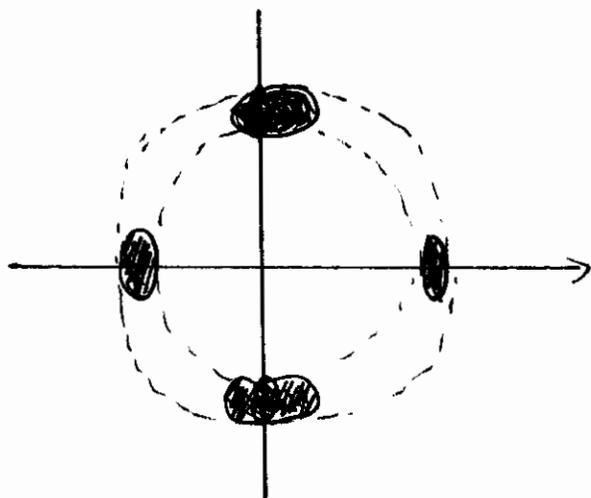
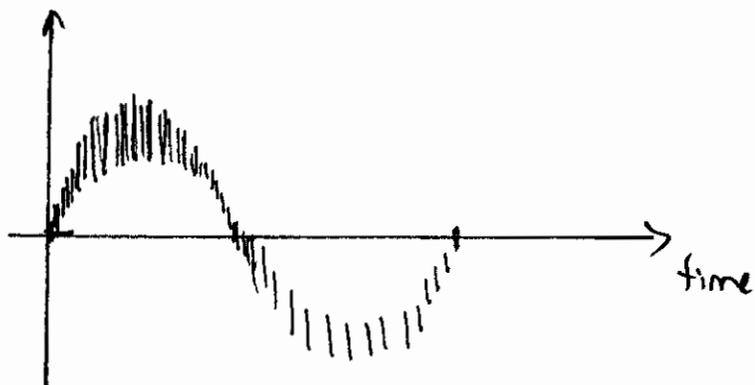
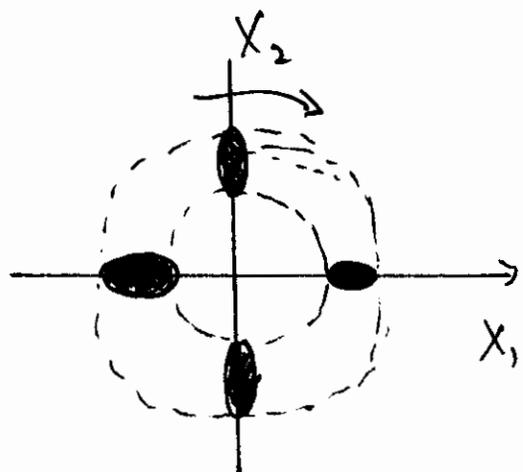
$$\langle \alpha | E_x | \alpha \rangle \equiv \sin(\omega t - \vec{k} \cdot \vec{r} - \theta)$$

Time evolution

Projection



# Squeezed States



$$\langle \alpha e^{-i\omega t} | \hat{E}_x | \alpha e^{i\omega t} \rangle = 2\epsilon_0 \sin(kz) \cos \omega t$$

Time evolution + fluctuations  $\Rightarrow$  projection on  $\langle X_1 \rangle$  axis

# Coherent States as Quantum "Classical" States

- 1) Expectation value has form of classical
- 2) Fluctuations of  $\hat{E}$  are same as vacuum
- 3) Fluctuations of fractional uncertainty for  $n$  decrease with increasing  $\bar{n}$   $\frac{\Delta n}{\bar{n}} = \frac{1}{\sqrt{\bar{n}}}$   $\Delta n = \sqrt{\bar{n}^2 - \bar{n}^2}$
- 4) States become well localized in phase with  $n \rightarrow \infty$ .

# Lecture 36 <sup>Even</sup> More on Coherent States

## Displacement operator

Define coherent states

- "right" eigenstates of the annihilation operator
- states that minimize the uncertainty relation for two orthogonal field quadratures  
→ uncertainties equal to vacuum
- Displacement of vacuum

Displacement operator

$$\hat{D}(\alpha) = \exp(\alpha \hat{a}^\dagger - \alpha^* \hat{a})$$

where  $|\alpha\rangle = \hat{D}(\alpha) |0\rangle$

Write  $\hat{D}(\alpha) = \exp(\alpha \hat{a}^\dagger - \alpha^* \hat{a}) = e^{-\frac{1}{2}|\alpha|^2} e^{\alpha \hat{a}^\dagger} e^{-\alpha^* \hat{a}}$

But  $e^{-\alpha \hat{a}} = \sum_{l=0}^{\infty} \frac{(-\alpha \hat{a})^l}{l!}$  zero except for  $l=0$

So  $e^{-\alpha^* \hat{a}} = \sum \frac{(-\alpha^* \hat{a})^l}{l!} |0\rangle = |0\rangle$  }  $= |0\rangle$

$$\begin{aligned} \underline{\underline{\text{So}}} \quad D(\alpha) |0\rangle &= e^{-\frac{1}{2}|\alpha|^2} e^{-\alpha \hat{a}^\dagger} e^{-\alpha^*} |0\rangle \\ &= e^{-\frac{1}{2}|\alpha|^2} e^{-\alpha \hat{a}^\dagger} |0\rangle \end{aligned}$$

But

$$\begin{aligned} e^{-\alpha \hat{a}^\dagger} |0\rangle &= \sum_{n=0}^{\infty} \frac{\alpha^n}{n!} (\hat{a}^\dagger)^n |0\rangle \\ &= \sum \frac{\alpha^n}{n!} |n\rangle \end{aligned}$$

$$\begin{aligned} \text{So} \quad D(\alpha) |0\rangle &= e^{-\frac{1}{2}|\alpha|^2} \sum \frac{\alpha^n}{n!} |n\rangle = |\alpha\rangle \\ &\quad \uparrow \text{coherent state} \end{aligned}$$

Unitary matrix

# Density operators + Phase space probability Functions

For number states

$$\hat{\rho} = \sum_n \sum_m |m\rangle \rho_{mn} \langle n|$$

For coherent states

$$\hat{\rho} = \iint \langle \alpha' | \hat{\rho} | \alpha'' \rangle | \alpha' \rangle \langle \alpha'' | \frac{d^2 \alpha' d^2 \alpha''}{\pi^2}$$

OR

$$\hat{\rho} = \int P(\alpha) | \alpha \rangle \langle \alpha | d\alpha^2$$

↑ Glauber - Sudarshan function  $\Rightarrow$  Quasi Probability function  
Phase space distribution

$P(\alpha)$  can be negative unlike a "normal probability"  
measure of "non classical" light

All states a quantum mechanical

Some states are more quantum mechanical than others

All states are quantum mechanical, but some states are more quantum mechanical than others.

## Wigner function

$$W(q, p) = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \langle q + \frac{1}{2}x | \hat{p} | q - \frac{1}{2}x \rangle e^{ipx/\hbar} dx$$

$|q \pm \frac{1}{2}x\rangle \equiv$  eigenstates of position operator

For pure state

$$W(q, p) = \frac{1}{2\pi\hbar} \int \psi^*(q - \frac{1}{2}x) \psi(q + \frac{1}{2}x) e^{ipx/\hbar} dx$$

$$= |\psi(q)|^2$$

over  $q$

$$\int W(q, p) dq = |\phi(p)|^2$$

## Q Function

$$Q(\alpha) = \langle \alpha | \hat{p} | \alpha \rangle / \pi$$

phase space probability  
always positive

## Why different distributions?

Normal order  $\Rightarrow$  creation left / annihilation right

$$\hat{n} = \hat{a}^\dagger \hat{a}$$

Not normal order  $\hat{n}^2 = \hat{a}^\dagger \hat{a} \hat{a}^\dagger \hat{a}$

Normal ordered

$$:\hat{n}: = (\hat{a}^\dagger)^2 \hat{a}^2$$

Anti normal

$$\hat{a}^2 (\hat{a}^\dagger)^2$$

$P(\alpha) \equiv$  Expectation value for operators expressed normally

$Q(\alpha) \equiv$  " " " antinormally

$W(\alpha) \equiv$  Symmetrized Products

$P(\alpha) \equiv$  Squire law detection

$W(\alpha) \equiv$  homodyne detection

## More properties on coherent states

- Time evolution  $\Rightarrow$  coherent state remains a coherent state

$$|\alpha, t\rangle = \exp(-i \hat{H} t / \hbar) |\alpha\rangle \quad \left\{ \begin{array}{l} \hat{H} = (\hat{a}^\dagger \hat{a} + 1/2) \hbar \omega \\ \hat{H} = (\hat{n} + 1/2) \hbar \omega \end{array} \right.$$

$$= e^{-i\omega t/2} e^{-i\frac{\hbar\omega}{\hbar} \hat{n}} |\alpha\rangle$$

$$= e^{-i\omega t/2} e^{-i\omega t \hat{n}} |\alpha\rangle$$

$$= e^{-i\omega t/2} e^{-i\omega t |\alpha|^2} |\alpha\rangle \Rightarrow \text{another coherent state}$$

- Coherent States are not orthogonal

Number states are orthogonal and complete

$$|\langle \beta | \alpha \rangle|^2 = \exp(-|\beta - \alpha|^2) \neq 0 \quad \boxed{\langle \alpha | \beta \rangle = \exp(-1/2|\alpha|^2 - 1/2|\beta|^2 + \alpha^* \beta)}$$

nearly orthogonal for large  $|\beta - \alpha|^2$

- Completeness

$$\boxed{\int |\alpha\rangle \langle \alpha| \frac{d^2 \alpha}{\pi} = 1}$$

- Coherent States not linearly independent

Overcomplete more than enough states

# Lecture 37 Quantum Mechanical Description of Beamsplitters

## Phase Space Probability Distributions

- P function

$$\hat{\rho} = \iint P(\alpha) |\alpha\rangle \langle \alpha| d^2\alpha$$

$P(\alpha) \equiv$  probability of finding coherent state  $\alpha$

↑ Glauber Sudarshan function P function

$P(\alpha)$  must be real and  $\int P(\alpha) d^2\alpha = 1$

Phase space probability function Can be negative

- Q function

$$Q(\alpha) = \int d\beta P(\beta) e^{-\alpha-\beta} \quad (\text{convolution})$$

$$Q(\alpha) = \langle \alpha | \hat{\rho} | \alpha \rangle / \pi$$

$$\int Q(\alpha) d^2\alpha = 1$$

Can be positive

$Q(\alpha)$  and  $P(\alpha)$  coincide as  $\hbar \rightarrow \infty$

- Wigner distribution

$$W(q,p) = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \langle q + \frac{1}{2}x | \hat{\rho} | q - \frac{1}{2}x \rangle e^{ipx/\hbar} dx$$

↑ eigenket of position operator

Marginals

$$W(q,p) = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \psi^*(q - \frac{1}{2}x) \psi(q + \frac{1}{2}x) e^{ipx/\hbar} dx$$

D function used for expectation of normal ordered operators

$$\langle G^{(N)}(a, a^\dagger) \rangle = \int P(\alpha) G^{(N)}(\alpha, \alpha^*) d^2\alpha$$

## Properties of Wigner function

a) Real but positive & negative

b) Marginals for pure states

$$|\Phi(p)|^2 = w(q) = \int W(q, p) dq$$

$$|\Psi(q)|^2 = w(p) = \int W(q, p) dp$$

Exact quantum mechanical distributions of  $\hat{p} + \hat{q}$

c) Expectation value of  $F(\hat{q}, \hat{p})$  if symmetrically ordered, can be computed. Used to calculate averages.

$$\langle F(\hat{q}, \hat{p}) \rangle = \iint F(q, p) W(q, p) dq dp$$

$$\iint W(q, p) dq dp = 1$$

e) Compute pure state

$$W(q, p) = \frac{1}{2\pi\hbar} \int \exp\left(\frac{ip'y}{\hbar}\right) \psi\left(x - \frac{y}{2}\right) \psi^*\left(x + \frac{y}{2}\right) dy$$

## Ordering

— Normal ordered  $\hat{a}$  on right of  $\hat{a}^\dagger$

$$(\hat{a}^\dagger)^m (\hat{a})^n$$

— Antinormal ordered  $\hat{a}^\dagger$  on right of  $\hat{a}$

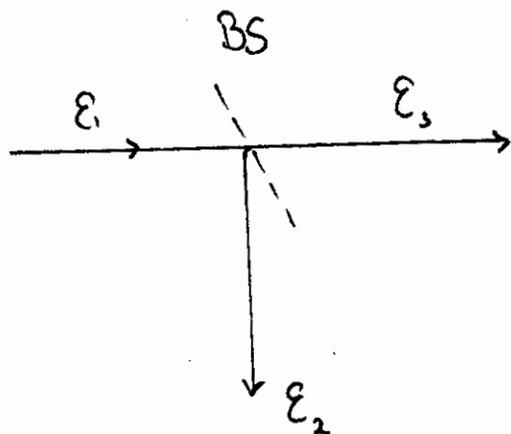
$$(\hat{a})^n (\hat{a}^\dagger)^m$$

— Symmetric ordered (Weyl)

$$\hat{a}\hat{b} = (\hat{a}\hat{b} + \hat{b}\hat{a})/2$$

# Quantum Mechanics of Beam Splitters

- Classically



$$E_2 = r E_1$$

$$E_3 = t E_1$$

50/50  $|r| = |t| = \frac{1}{\sqrt{2}}$

$$|E_1|^2 = |E_2|^2 + |E_3|^2 \quad \text{or} \quad |r|^2 + |t|^2 = 1$$

- Quantum Mechanics

Can we write

$$\hat{a}_2 = r \hat{a}_1 \quad \hat{a}_3 = t \hat{a}_1$$

but we have  $[\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij}$

So

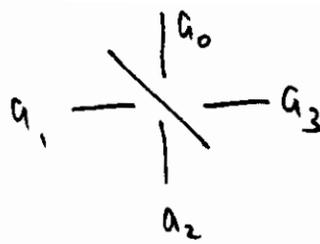
$$[\hat{a}_2, \hat{a}_2^\dagger] = |r|^2 [\hat{a}_1, \hat{a}_1^\dagger] = |r|^2$$

$$[\hat{a}_3, \hat{a}_3^\dagger] = |t|^2$$

$$[\hat{a}_2, \hat{a}_3^\dagger] = r t^* \neq 0$$

Problem treated as 3 port device while it is a four port device  
Beam Splitter

Unused port  $\Rightarrow$  vacuum



Write

$$\hat{a}_2 = r \hat{a}_1 + t' \hat{a}_0$$

$$\hat{a}_3 = t \hat{a}_1 + r' \hat{a}_0$$

$$\begin{pmatrix} \hat{a}_2 \\ \hat{a}_3 \end{pmatrix} = \begin{pmatrix} t' & r \\ r' & t \end{pmatrix} \begin{pmatrix} \hat{a}_0 \\ \hat{a}_1 \end{pmatrix}$$

Get

$$|r'| = |r| \quad |t| = |t'|$$

$$|r|^2 + |t|^2 = 1$$

$$r^* t' + r' t^* = 0$$

$$r^* t + r' t'^* = 0$$

Example 50/50

transmitted + reflected

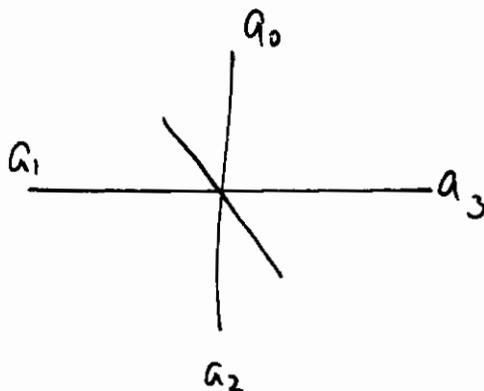
$$\exp(\pm i\pi/2) = \pm i$$

$\pi/2$  phase shift

So

$$\hat{a}_2 = \frac{1}{\sqrt{2}} (\hat{a}_0 + i \hat{a}_1) \quad \hat{a}_3 = \frac{1}{\sqrt{2}} (i \hat{a}_0 + \hat{a}_1)$$

$\uparrow$  from 50/50



Solve for  $\hat{a}_0$  &  $\hat{a}_1$

$$\hat{a}_1 = (i \hat{a}_2 + \hat{a}_3) \frac{1}{\sqrt{2}}$$

$$\hat{a}_0 = (\hat{a}_2 + i \hat{a}_3) \frac{1}{\sqrt{2}}$$

For a given input what is the output?!

- Vacuum

$$|0\rangle_0 |0\rangle_1 \xrightarrow{\text{BS}} |0\rangle_2 |0\rangle_3$$

- one port light

$$|0\rangle_0 |1\rangle_1 \Rightarrow \hat{a}_1^\dagger |0\rangle_0 |0\rangle_1$$

But

$$\hat{a}_1 = (i \hat{a}_2^\dagger + \hat{a}_3^\dagger) / \sqrt{2}$$

So

$$|0\rangle_0 |1\rangle_1 \xrightarrow{\text{BS}} \frac{1}{\sqrt{2}} (i \hat{a}_2^\dagger + \hat{a}_3^\dagger) |0\rangle_2 |0\rangle_3$$

$$= \frac{1}{\sqrt{2}} (i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3)$$

What does this say? A single photon with vacuum on the other port will be transmitted or reflected with equal probability.

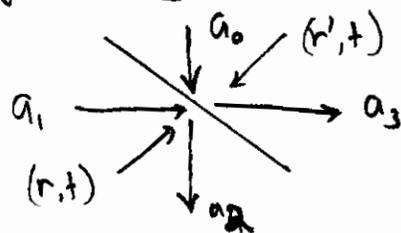
Aspect Experiment!!

This is an entangled state: cannot be written as a simple product of states of individual modes 2 + 3.

$$\text{Schrodinger Cat State} \Rightarrow |4_{\text{cat}}\rangle = \frac{1}{\sqrt{2}} (| \text{Dead} \rangle_1 | \text{Alive} \rangle_2 + | \text{Alive} \rangle_2 | \text{Dead} \rangle_1)$$

# Lecture 38 Interferometry with a single photon

Review Beam splitter gives entangled state



Vacuum

$$\boxed{|0\rangle_0 |0\rangle_1 \xrightarrow{\text{BS}} |0\rangle_2 |0\rangle_3}$$

use this

single photon state on port 1  
 $|0\rangle_0 |1\rangle_1 = \hat{a}_1^\dagger |0\rangle_0 |0\rangle_1$

$$|0\rangle_0 |1\rangle_1 \xrightarrow{\text{BS}} \frac{1}{\sqrt{2}} (i \hat{a}_2^\dagger + \hat{a}_3^\dagger) |0\rangle_2 |0\rangle_3$$

$$= \frac{1}{\sqrt{2}} ( i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3 )$$

↑ ⊗ could be here

Probability to find photon in one arm or the other

$$|\langle 0 | \langle 0 | \psi \rangle|^2 = \frac{1}{2}$$

where  $|\psi\rangle = \frac{1}{\sqrt{2}} ( i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3 )$

$$|\langle 1 | \langle 0 | \psi \rangle|^2 = \frac{1}{2}$$

At Beam splitter one has equal probability of being transmitted or reflected.

Beam splitter

$$\hat{a}_2 = r \hat{a}_1 + t' \hat{a}_0 = \frac{1}{\sqrt{2}} (i a_1 + a_0)$$

$$\hat{a}_3 = t \hat{a}_1 + r' \hat{a}_0 = \frac{1}{\sqrt{2}} (a_1 + i a_0)$$

⊙ for 50/50

$$\hat{a}_1^\dagger = (i \hat{a}_2^\dagger + \hat{a}_3^\dagger) \frac{1}{\sqrt{2}}$$

$$\hat{a}_0^\dagger = (\hat{a}_2^\dagger + i \hat{a}_3^\dagger) \frac{1}{\sqrt{2}}$$

Result of Aspect experiment with anticorrelation factor of  $A=0$ .

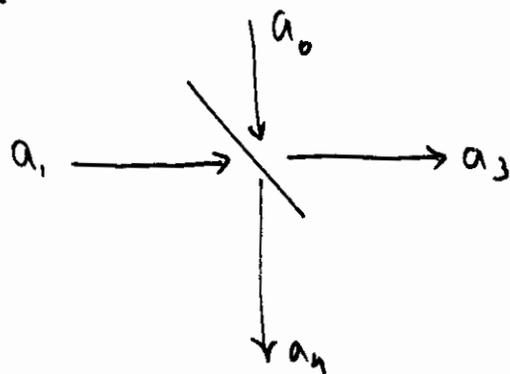
Another way of saying this

$$|0\rangle_0 |1\rangle_1 = \hat{a}_1^\dagger |0\rangle_0 |0\rangle_1 \xrightarrow{\text{BS}} \hat{a}_1^\dagger |0\rangle_2 |0\rangle_3$$

$$= (i\hat{a}_2^\dagger + \hat{a}_3) \frac{1}{\sqrt{2}} |0\rangle_2 |0\rangle_3$$

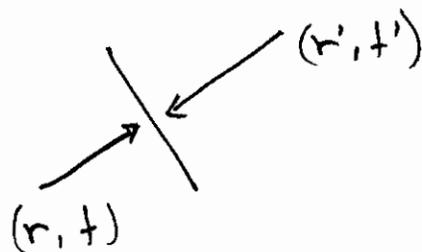
$$= \frac{1}{\sqrt{2}} (i|1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3)$$

## Note on beam splitter



### - Dielectric Beam splitter

Coatings on two sides : two different transmission + reflectivities



Phase shift of  $\pi/2$  on reflection!

Different than metal beam splitter

### Phase shift on reflection

- Half silvered (metal) beam splitter  $\pi$
- Fiber 3dB coupler  $\pi/2$
- Dielectric Beam splitter  $\pi/2$

Results from Conservation of Energy

# Entangled State

- Cannot be written as simple product of states of the individual modes 2 + 3.
- No classical analog

## Density matrix

Input  $\hat{\rho}_{in} = |1\rangle_2 |0\rangle_3 \langle 0|_3 \langle 1|_2 = |1\rangle_2 \langle 1|_2 |0\rangle_3 \langle 0|_3$

Probability to find photon at port 1

~~$\text{Tr}(\hat{\rho}_{in})$~~   $P_{nm} = \langle n | \hat{\rho}_{in} | m \rangle = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

$P_{11} = \langle 1 | \hat{\rho}_{in} | 1 \rangle = \langle 1 | 1 \rangle \langle 0 | 0 \rangle = 1 \cdot 1 = 1$

$P_{00} =$

Probability to find photon at port 1 = 1

$\Rightarrow$  Show

Probability to find vacuum in port 0 = 1

~~$\text{Tr}_2(\hat{\rho}_{01}) = \sum_{n=0}^{\infty} \langle n | \hat{\rho}_{01} | n \rangle = 1$~~

Probability to find

$$\hat{\rho}_0 = \text{Tr}_1(\hat{\rho}_{01}) = \sum_{n=0}^{\infty} |n\rangle\langle n|$$

$$= |0\rangle\langle 0| + |1\rangle\langle 1| + |2\rangle\langle 2| + \dots$$

$$\hat{\rho}_0 = |0\rangle\langle 0|$$

Then

Prob to find vacuum in ~~state 0~~ port zero

$$\text{Tr}_0(\hat{\rho}_0) = 1$$

$$\langle 0 | \hat{\rho}_0 | 0 \rangle = 1 \quad \checkmark$$

Show probability to find photon in port 1 = 1

$$\hat{\rho}_1 = \text{Tr}_0(\hat{\rho}_{01}) = |1\rangle\langle 1|$$

so  $\text{Tr}_1(\hat{\rho}_1) = 1$

$$\langle 1 | \hat{\rho}_1 | 1 \rangle = 1 \quad \checkmark$$

Density matrix after beam splitter

$$\hat{\rho}_{23} = \frac{1}{2} \left[ |1\rangle_2 \langle 0|_3 \langle 1|_2 \langle 0|_3 + |0\rangle_2 |1\rangle_3 \langle 0|_2 \langle 1|_3 \right. \\ \left. + i |1\rangle_2 |0\rangle_3 \langle 0|_2 \langle 1|_3 - i |0\rangle_2 |1\rangle_3 \langle 1|_2 \langle 0|_3 \right]$$

Make measurement at port 2

$$\hat{\rho}_2 = \text{Tr}_3(\hat{\rho}_{23}) = \sum_{n=0}^{\infty} \langle n|_3 \hat{\rho}_{23} |n\rangle_3 \\ = \frac{1}{2} \left[ |0\rangle_2 \langle 0|_2 + |1\rangle_2 \langle 1|_2 \right]$$

No terms like  $|0\rangle\langle 1|$  or  $|1\rangle\langle 0| \Rightarrow$  off diagonal

$$\rho_2 = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{pmatrix}$$

Probability to find photon at port 2

$$\langle 1|_2 \hat{\rho}_2 |1\rangle_2 = \frac{1}{2}$$

Beamsplitting a coherent state : Use displacement operator

Classical Domain

~~BS~~

What to expect?  $\Rightarrow$  Beam splitter fields by  $\frac{1}{\sqrt{2}}$  with  $\pi/2$  phase shift

- Initial state

$$|0\rangle_0 |\alpha\rangle_1 = \hat{D}_1(\alpha) |0\rangle_0 |0\rangle_1$$

displacement operator

$$\hat{D}_1(\alpha) = \exp(\alpha \hat{a}_1^\dagger - \alpha^* \hat{a}_1)$$

Beam splitter

$$|0\rangle_0 |\alpha\rangle_1 \xrightarrow{\text{BS}}$$

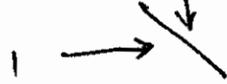
$$\exp\left[ \frac{\alpha}{\sqrt{2}} (i\hat{a}_2^\dagger + \hat{a}_3^\dagger) - \frac{\alpha^*}{\sqrt{2}} (-i\hat{a}_2 + \hat{a}_3) \right] |0\rangle_2 |0\rangle_3$$

$$= \sum_{n=0}^{\infty} \left(\frac{i\alpha}{\sqrt{2}}\right)^n \frac{1}{\sqrt{n!}} \exp(-\frac{1}{2} |\alpha/\sqrt{2}|^2) |n\rangle_2$$

$$* \sum_{m=0}^{\infty} \left(\frac{\alpha}{\sqrt{2}}\right)^m \frac{1}{\sqrt{m!}} \exp(-\frac{1}{2} |\alpha/\sqrt{2}|^2) |m\rangle_3$$

$$= \left| \frac{i\alpha}{\sqrt{2}} \right\rangle_2 \left| \frac{\alpha}{\sqrt{2}} \right\rangle_3 \Rightarrow \text{output is not entangled}$$

Both are coherent states that ~~are~~ have same amplitude but are  $\pi/2$  ~~of~~ out of phase

Two photons into Beam splitter 

$$\widehat{1} |1\rangle_0 |1\rangle_1 \rightarrow \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} (\hat{a}_2^\dagger + i\hat{a}_3) (i\hat{a}_2^\dagger + \hat{a}_3) |0\rangle_2 |0\rangle_3$$

$$\Rightarrow \frac{1}{2} (\hat{a}_2^\dagger \hat{a}_2^\dagger + i\hat{a}_2^\dagger \hat{a}_3 + i\hat{a}_3 \hat{a}_2^\dagger + \hat{a}_3 \hat{a}_3) |0\rangle_2 |0\rangle_3$$

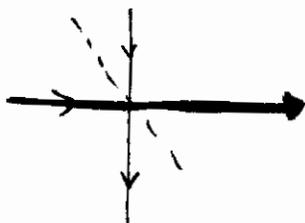
$$= \frac{i}{2} (\hat{a}_2^\dagger \hat{a}_2^\dagger + \hat{a}_3 \hat{a}_3) |0\rangle_2 |0\rangle_3$$

$$= \boxed{\frac{i}{2} (|2\rangle_2 |0\rangle_3 + |0\rangle_2 |2\rangle_3)}$$

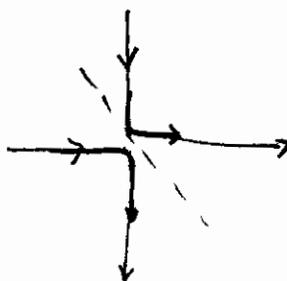
Two photons out one port only

Two indistinguishable processes causes interference

Indistinguishability of "absent" state  $|1\rangle_2 |1\rangle_3$



OR



Do not observe simultaneous counts due to interference.

This interference ~~come~~ comes about due to the indistinguishable states.

Two photons out the same port!!

Density operator for above state

$$\hat{\rho}_{23} = \frac{1}{2} \left[ (|1\rangle_2 |0\rangle_3 \langle 1| \langle 0| + |0\rangle_2 |1\rangle_3 \langle 0| \langle 1|) \right. \\ \left. + i (|1\rangle_2 |0\rangle_3 \langle 0| \langle 1| - |0\rangle_2 |1\rangle_3 \langle 1| \langle 0|) \right]$$

Make measurement of mode 2

$$\hat{\rho}_3 = \text{Tr}_2(\hat{\rho}_{23}) = \sum_{n=0}^{\infty} \langle n | \hat{\rho}_{23} | n \rangle \\ = \frac{1}{2} (|0\rangle_3 \langle 0| + |1\rangle_3 \langle 1|)$$

No off diagonal terms  $|0\rangle \langle 1|$  or  $|1\rangle \langle 0|$   
No interference

Example: Coherent State

$$|0\rangle_0 |\alpha\rangle_1 = \hat{D}_1(\alpha) |0\rangle_0 |0\rangle_1$$

$$\hat{D}_1(\alpha) = \exp(\alpha \hat{a}_1^\dagger - \alpha^* \hat{a}_1)$$

Displacement operator

$$|0\rangle_0 |\alpha\rangle_1 \xrightarrow{\text{BS}} \exp\left(\alpha \frac{1}{\sqrt{2}} (i \hat{a}_2^\dagger + \hat{a}_3^\dagger) - \frac{\alpha^*}{\sqrt{2}} (-i \hat{a}_2 + \hat{a}_3)\right) |0\rangle_2 |0\rangle_3$$

$$= \left| \frac{i\alpha}{\sqrt{2}} \right\rangle_2 \left| \frac{\alpha}{\sqrt{2}} \right\rangle_3$$

From  $|\alpha/\sqrt{2}\rangle|\alpha/\sqrt{2}\rangle$  we can say

• Average photon number  $\frac{|\alpha|^2}{(\sqrt{2})^2}$

• Phase difference

$$e^{i\frac{\pi}{2}} = i$$

• No interference + no entanglement

Case: Two single photons injected in BS

$$|1\rangle_1 |1\rangle_0 = \hat{a}_0^\dagger \hat{a}_1^\dagger |0\rangle_0 |0\rangle_0$$

$$|1\rangle_1 |1\rangle_0 \xrightarrow{\text{BS}} \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} (\hat{a}_2^\dagger + i\hat{a}_3^\dagger) (i\hat{a}_2^\dagger + \hat{a}_3^\dagger) |0\rangle_2 |0\rangle_3$$

$$= \frac{i}{2} (\hat{a}_2^\dagger \hat{a}_2^\dagger + \hat{a}_3^\dagger \hat{a}_3^\dagger) |0\rangle_2 |0\rangle_3$$

$$= \frac{i}{\sqrt{2}} (|2\rangle_2 |0\rangle_3 + |0\rangle_2 |2\rangle_3)$$

Interference      Entanglement



## The Plagiarism Resource Site

Charlottesville, Virginia

[www.plagiarism.phys.virginia.edu](http://www.plagiarism.phys.virginia.edu)

### "The Importance of Writing"

by Louis Bloomfield, Professor of Physics, University of Virginia, Charlottesville, VA 22904

Originally published on the Commentary Page of the *Philadelphia Inquirer* on Sunday, April 4, 2004, edited by John Timpane.

Writing is hard work and all the marvels of modern technology haven't made it any easier. Vast resources now lie just keystrokes away, but the basic art of assembling one's thoughts into engaging prose is little changed since the days of paper and pencil. While mindless information doubles every three years, thoughtful writing still proceeds at an old fashioned pace.

Unfortunately, the timeless nature of writing isn't shared by its fraudulent imitation: plagiarism. Though nearly as ancient as writing itself, plagiarism adapts quickly to new technology. With a web full of seemingly ownerless prose, plagiarism is as easy as cut-and-paste. And if you don't see exactly what you want for free, you can buy it online at any number of "paper mills."

But a more insidious way in which technology has fostered plagiarism is by shifting our attention from content to appearance. A well-written student paper is no longer "A" work unless it's printed in color on glossy paper, with fonts and images and an accompanying multimedia presentation. Students feel expected to turn in the best papers ever written, not the best papers they can write themselves. So they assemble those papers. With hours invested in the decorations, students feel justified in stealing some or all of the text. After all, they "couldn't have said it any better" themselves.

In addition to its easy rationalization by people seeking the rewards of writing without the associated effort, plagiarism is also widely misunderstood. It isn't limited to the theft of another person's words; it also includes the theft of their ideas. More generally, plagiarism is any form of dishonesty about authorship. A reader or listener should always know whose thoughts they're hearing.

Plagiarism isn't a victimless crime. It deprives its readers of their time and trust, and its true authors of their good names. In academia, plagiarism inflates grades relative to education and devalues honest scholarship. Among authors and journalists, plagiarism cheapens the very art of writing, much as performance enhancing drugs cheapen so many sports. Plagiarism is as much a problem of morale as it is of ethics.

Prosecuting plagiarists is a miserable undertaking. It brings joy to no one, as I know from sad experience at the University of Virginia. After uncovered extensive plagiarism in my large introductory physics class in 2001, I spent two years dealing with endless honor cases. But I view that episode as an anti-scandal—as an enlightened community taking action against a misbehaving few in order to maintain its own intellectual integrity. Eliminating plagiarism isn't about the plagiarists; it's about supporting the honest people by giving them a fair environment.

Plagiarism isn't an obscure tweed-collar crime. It's a sorry fact of life everywhere and any school or organization that feels untainted is probably in denial. With plagiarism so commonplace, an organization that deals openly with it deserves our support, not our condemnation. There is no scandal in cleaning house. The scandal is in tolerating or covering up plagiarism.

Unfortunately, plagiarism is openly tolerated in the most public sectors of modern life. It wasn't always that way. Lincoln didn't just perform his Gettysburg address; he actually wrote it. What happened to that tradition of intellectual honesty in public speech? With ghostwriting so ubiquitous among the rich and powerful, it's no wonder that young people see little value in learning to write well. They view writing the way they view cleaning their rooms—an unpleasant chore they'll do only until they can afford to hire someone else.

When students believe that writing assignments are merely hazing rituals, hurdles on the path to success in life, some will inevitably plagiarize. And when instructors assign writing that has no clear educational goals, how can the students value it? Having explicitly stated goals is both good discipline and a way to avoid misunderstandings. If students believe an assignment is "busy work," some will be busy cheating.

Finally, students need to be taught that the act of writing is intrinsically valuable *to them*. It crystallizes one's thoughts in a way that nothing else can. As a physicist, I find that I often learn more from writing papers and proposals than I do from working in the laboratory. I rarely find writing easy, but I always find it rewarding.

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Page Last Updated: April 12, 2004

## From the Board of Editors: on Plagiarism

Dear Colleagues:

There has been a significant increase in the number of duplicate submissions and plagiarism cases reported in all major journals, including the journals of the Optical Society of America. Duplicate submissions and plagiarism can take many forms, and all of them are violations of professional ethics, the copyright agreement that an author signs along with the submission of a paper, and OSA's published Author Guidelines. There must be a significant component of new science for a paper to be publishable. The copying of large segments of text from previously published or in-press papers with only minor cosmetic changes is not acceptable and can lead to the rejection of papers.

**Duplicate submission:** Duplicate submission is the most common ethics violation encountered. Duplicate submission is the submission of substantially similar papers to more than one journal. There is a misperception in a small fraction of the scientific community that duplicate submission is acceptable because it sometimes takes a long time to get a paper reviewed and because one of the papers can be withdrawn at any time. This is a clear violation of professional ethics and of the copyright agreement that is signed on submission. Duplicate submission harms the whole community because editors and reviewers waste their time and in the process compound the time it takes to get a paper reviewed for all authors. In cases of duplicate submission, the Editor of the affected OSA journal will consult with the Editor of the other journal involved to determine the proper course of action. Often that action will be the rejection of both papers.

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# Lecture 39 More on single pulse interferometry

Number state on beam splitter  $|1\rangle \rightarrow \swarrow \searrow |0\rangle$

$$|1\rangle_1 |0\rangle_2 \xrightarrow{\text{BS}} \frac{1}{\sqrt{2}} (i\hat{a}_2^\dagger + \hat{a}_3) |0\rangle_2 |0\rangle_3$$

$$= \frac{1}{\sqrt{2}} (i|1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3)$$

Write density matrix ~~on mode 2~~ ~~to find probability to find number state at port 2~~

$$\hat{\rho}_2 = \text{Tr}_3(\hat{\rho}_{23}) = \sum_{n=0}^{\infty} \langle n | \hat{\rho}_{23} | n \rangle$$

$$= \frac{1}{2} (|0\rangle_2 \langle 0| + |1\rangle_2 \langle 1|)$$

$$\langle 1 | \hat{\rho}_2 | 1 \rangle = \frac{1}{2}$$

No coherence terms so no interference

So: Terms of matrix  $\hat{\rho}_2$

$$\langle 1 | \hat{\rho}_2 | 1 \rangle = \frac{1}{2}$$

$$\langle 0 | \hat{\rho}_2 | 0 \rangle = \frac{1}{2}$$

All other terms are zero

In matrix form

$$\hat{\rho}_2 = \frac{1}{2} \begin{pmatrix} 1 & 0 & 0 & 0 & \dots \\ 0 & 1 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

No off diagonal terms

Probability to find photon at port 2

$$- \left| \langle 1 | \langle 0 | \left[ \frac{1}{\sqrt{2}} (i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3) \right] \right|^2$$
$$= \frac{1}{2}$$

Probability to find photon at port 2 and 3.

$$- \left| \langle 1 | \langle 1 | \left[ \frac{1}{2} (i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3) \right] \right|^2$$
$$= 0$$

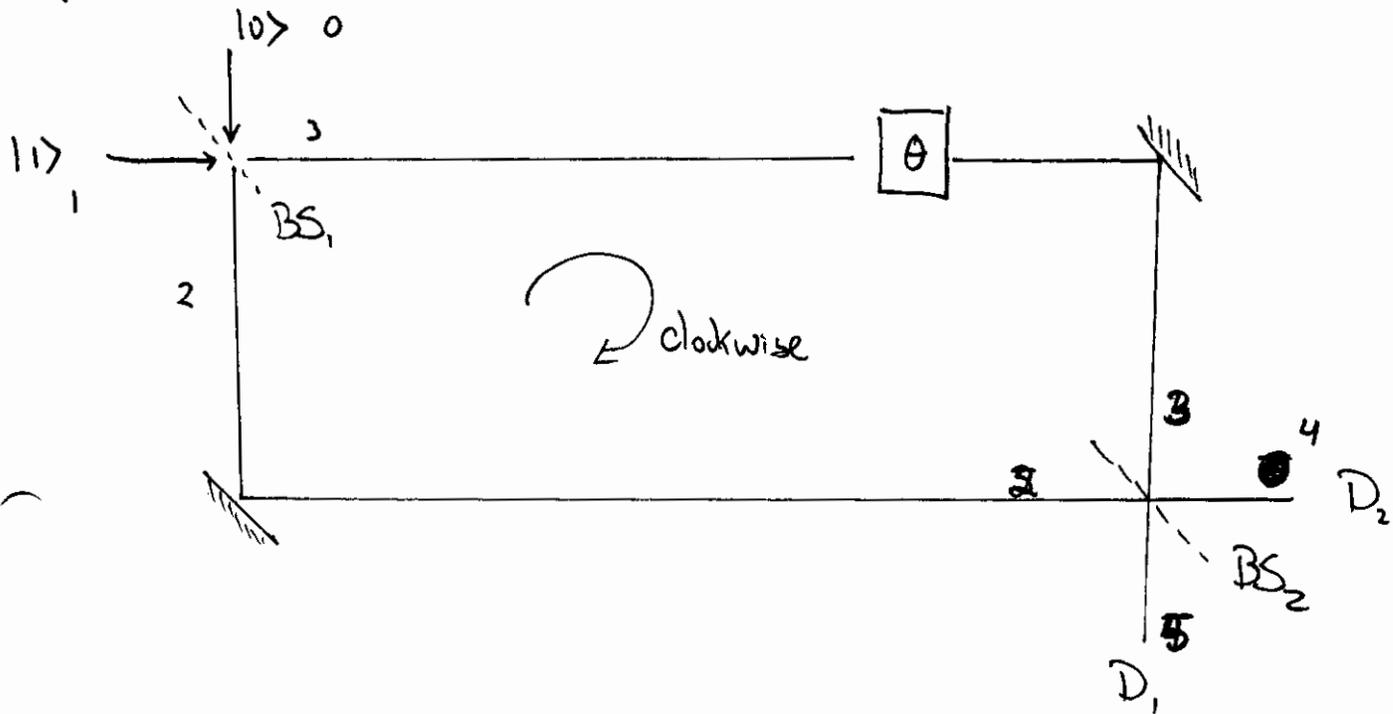
Probability to find photon at port 3

$$- \left| \langle 0 | \langle 1 | \left[ \frac{1}{\sqrt{2}} (i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3) \right] \right|^2 = \frac{1}{2}$$

The density matrix represents the statistical nature of the system.

The detector at port 2 has a 50% chance of detecting a "click".

## Aspect Experiment #2 : Mach-Zehnder Interferometer.



BS. gives

$$|0\rangle_0 |0\rangle_0 \xrightarrow{BS_1} \frac{1}{\sqrt{2}} (i |1\rangle_2 |0\rangle_3 + |0\rangle_2 |1\rangle_3)$$

Clockwise path causes phase change on port 3 component only

$$\frac{1}{\sqrt{2}} (|0\rangle_2 |1\rangle_3 + i |1\rangle_2 |0\rangle_3) \xrightarrow{\theta} \frac{1}{\sqrt{2}} (e^{i\theta} |0\rangle_2 |1\rangle_3 + i |1\rangle_2 |0\rangle_3)$$

only on this term

phase shift on clockwise arm only

$BS_2$  gives individually

$$|0\rangle_2 |1\rangle_3 \xrightarrow{BS_2} \frac{1}{\sqrt{2}} (|0\rangle_4 |1\rangle_5 + i |1\rangle_4 |0\rangle_5)$$

$$|1\rangle_2 |0\rangle_3 \xrightarrow{BS_2} \frac{1}{\sqrt{2}} (|1\rangle_4 |0\rangle_5 + i |0\rangle_4 |1\rangle_5)$$

$$5 \rightarrow D1$$

$$4 \rightarrow D2$$

So put it all together

$$\frac{1}{\sqrt{2}} (e^{i\theta} |0\rangle_2 |1\rangle_3 + i |1\rangle_2 |0\rangle_3) \xrightarrow{BS_2}$$

$$\frac{1}{2} [(e^{i\theta} - 1) |0\rangle_4 |1\rangle_5 + i (e^{i\theta} + 1) |1\rangle_4 |0\rangle_5]$$

~~Probability that only  $D_1$  "clicks"~~

~~$$\sum_5$$~~

~~So the probability that  $D_1$  clicks and not  $D_2$~~

~~$$\sum_5 \langle 1 | \langle 0 | [ \text{" " } ]$$~~

Set  $|4\rangle = \frac{1}{2} [(e^{i\theta} - 1) |0\rangle_4 |1\rangle_5 + i (e^{i\theta} + 1) |1\rangle_4 |0\rangle_5]$

Probability to see click at  $D_1$ .

$$- \quad \left| \langle 1 | \langle 0 | \psi \rangle \right|^2 = \left| \frac{1}{2} (e^{i\theta} - 1) \right|^2$$

$$= \frac{1}{4} (e^{i\theta} - 1) (e^{-i\theta} - 1)$$

$$= \frac{1}{4} (1 - e^{-i\theta} - e^{+i\theta} + 1)$$

$$= \frac{1}{4} (2 - (e^{i\theta} + e^{-i\theta}))$$

$$= \frac{1}{4} (2 - 2 \cos \theta)$$

$$= \frac{1}{2} (1 - \cos \theta)$$

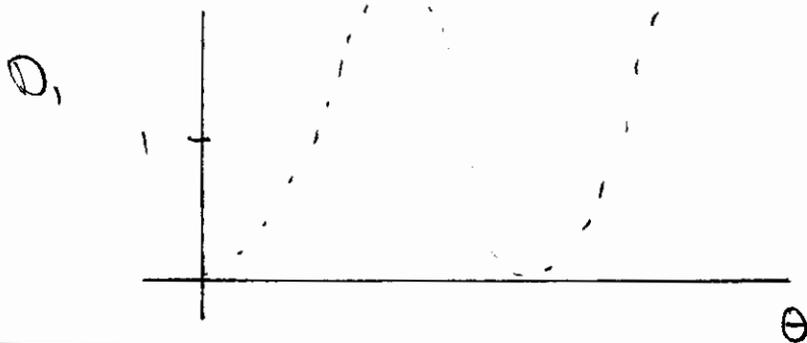
$$\left. \begin{aligned} e^{i\theta} + e^{-i\theta} \\ = 2 \cos \theta \end{aligned} \right\}$$

Probability to see click at  $D_1$  and  $D_2$

$$\left| \langle 1 | \langle 1 | \psi \rangle \right|^2 = 0$$

Probability to see a click at  $D_2$

$$\left| \langle 0 | \langle 1 | \psi \rangle \right|^2 = \frac{1}{2} (1 + \cos \theta)$$



$\left. \begin{aligned} \text{Result from} \\ \text{Aspect experiment} \end{aligned} \right\}$

## Interaction-free measurement

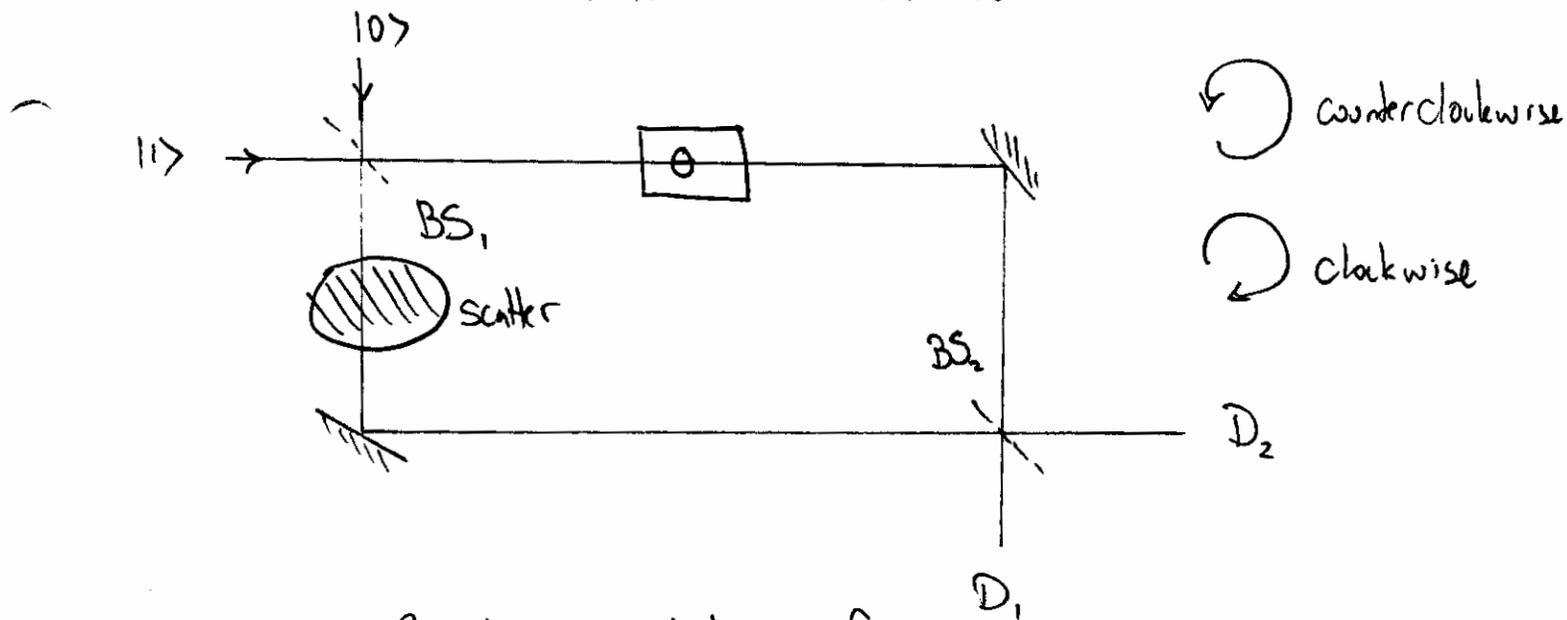
- The capability of detecting the presence of an object without scattering any quanta off it.

Exposes feature of quantum mechanics

⇒ Nonlocality

- the apparent instantaneous effects of certain kinds of influences.

Consider the Mach Zehnder Interferometer



- 1) Set  $\theta = 0$  Expect probability for  $D_1$  to fire = 1, prob for  $D_2$  to fire = 0

2) Put in the scattering object

- 3) If we put a detector around the object we can detect "which-path" the photo took thus destroying the interference.

4) However, we do not need a detector. The experiment is set up to determine which path irrespective whether we measure the scattered photons or not.

5) After beamsplitter 1, a photo may be  
50% in clockwise arm  
50% in counterclockwise arm

After beamsplitter 2 the same

But if the clockwise path is "chosen", there is a 50% chance it will go to either  $D_1$  or  $D_2$

6) In the end

Probability 50% that neither detector fires

Probability 25% that  $D_1$  or  $D_2$  fires

7) Initially the interferometer was set up so  $D_1$  fires 100%.

~~It is  $D_1$  that always fires 100% then~~

So when  $D_2$  fires at all then we know that

Something is in the arm of the interferometer.

But the photon we measure has not been scattered!!  $\Rightarrow$  Nonlocal behavior  
It never comes in contact with the scatter!!

# Lecture Schedule

F, November 30	Entanglement, EPR, Bell's th <sup>m</sup>
M) <del>Nov</del> Dec 3	Bell's th <sup>m</sup> , Optical tests of local theories of QM
(W) Dec. 5	Catch up!
Dec 7.	Final project
Dec. 10	Final projects

$$12 \times 10 \Rightarrow 120 \text{ minutes}$$

$$+ 2 \times 12 = 24$$

---

$$144 \text{ minutes}$$

$$2 \text{ hrs } 24 \text{ min}$$

# Lecture 40 Entanglement

## Generation of Entangled States (Polarization Entangled states)

1. Spontaneous Parametric Down-conversion in a  $\chi^{(2)}$  crystal  
(Degenerate form of difference frequency generation)  
 $\omega_s = \omega_i$

$$\hat{H}_I \sim \chi^{(2)} \hat{a}_p \hat{a}_s^\dagger \hat{a}_i^\dagger + \chi^{(2)*} \hat{a}_p^\dagger \hat{a}_s \hat{a}_i \quad \text{Non degenerate case}$$

Generate signal and idler photon from pump

$$|1\rangle_p |0\rangle_s |0\rangle_i \xrightarrow{\chi^{(2)}} \hat{a}_p \hat{a}_s^\dagger \hat{a}_i^\dagger |1\rangle_p |0\rangle_s |0\rangle_i = |0\rangle_p |1\rangle_s |1\rangle_i$$

- Process is spontaneous since modes are originally from vacuum.
- Signal and idler photons are generated simultaneously
- Must satisfy both energy conservation and momentum conservation (i.e. phase matching)

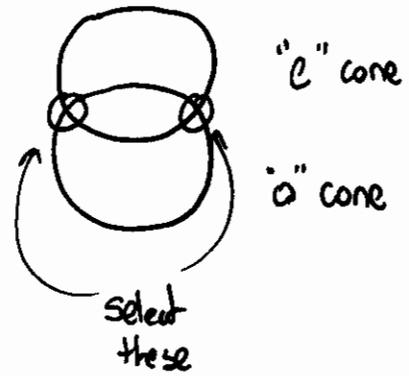
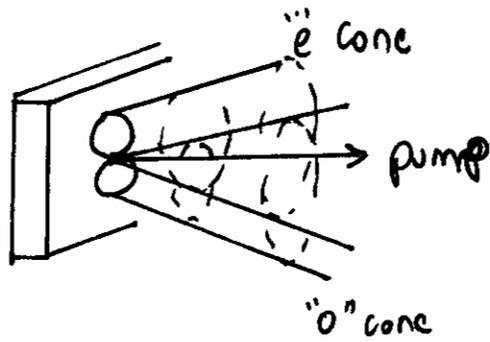
## Type II Down conversion (in BBO or KDP)

This crystal exhibits birefringence

Photons are emitted in two different cones

one for "o" axis

one for "e" axis



- Intersection of cones produce polarization entangled states  
Use notation to represent polarization of single photon states

$$|V\rangle + |H\rangle$$

$$\hat{H} \approx \chi^{(2)} \left( \hat{a}_{Vs}^\dagger \hat{a}_{Hi}^\dagger + \hat{a}_{Hs}^\dagger \hat{a}_{Vi}^\dagger \right) + \chi^{(2)*} \left( \hat{a}_{Vs} \hat{a}_{Hi} + \hat{a}_{Hs} \hat{a}_{Vi} \right)$$

Initial state

$$|\psi_0\rangle = |0\rangle_{Vs} |0\rangle_{Hs} |0\rangle_{Vi} |0\rangle_{Hi}$$

Final states (see text for renormalization procedure)

$$|\psi(t)\rangle = \exp(-iHt/\hbar) |\psi_0\rangle = \frac{1}{\sqrt{2}} \left( |H\rangle_1 |V\rangle_2 + e^{i\theta} |V\rangle_1 |H\rangle_2 \right)$$

$$|\psi^\pm\rangle = \frac{1}{\sqrt{2}} \left( |H\rangle_1 |V\rangle_2 \pm |V\rangle_1 |H\rangle_2 \right)$$

one of 4 Bell States

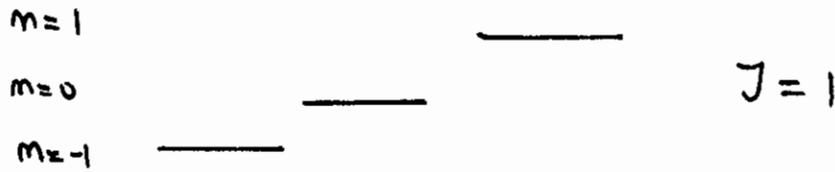
$$|\psi^\pm\rangle = \frac{1}{\sqrt{2}} \left( |H\rangle_1 |V\rangle_2 \pm |V\rangle_1 |H\rangle_2 \right)$$

$$|\Phi^\pm\rangle = \frac{1}{\sqrt{2}} \left( |H\rangle_1 |H\rangle_2 \pm |V\rangle_1 |V\rangle_2 \right)$$

By a choice of phase  $\theta$  one completeness set can complete the set.

## 2. Cascade Emission for generation of polarization entangled states

Transition  $J \rightarrow 0 \rightarrow 1 \rightarrow 0$



Process produces two photons

Output State

$$|4\rangle = \frac{1}{\sqrt{2}} ( |H\rangle_1 |H\rangle_2 + |V\rangle_1 |V\rangle_2 )$$

rewrite in terms of circularly polarized light  $|+\rangle$   $|-\rangle$

$$|4\rangle = \frac{1}{\sqrt{2}} ( |+\rangle_1 |-\rangle_2 + |-\rangle_1 |+\rangle_2 )$$

$|\Psi^\pm\rangle + |\Phi^\pm\rangle$  form a complete set (basis) in the Hilbert space

They are known as Bell states (for reasons we will come to later)

Type II down conversion is a very important process since it can produce all 4 Bell states. This process provides an experimental optical tool to test quantum mechanics.

... But what shall we test?

locality + the EPR argument

The Einstein Podolsky + Rosen Argument (EPR) (1932)

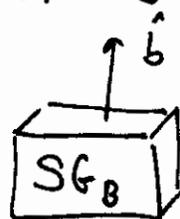
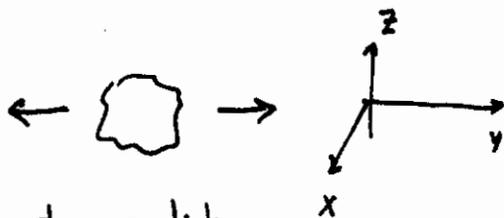
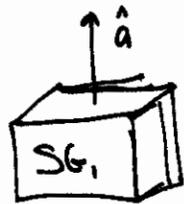
Einstein never liked quantum mechanics because he believed it was an incomplete theory. He posed a gedanken experiment to illustrate a possible fault with QM. Here, we will discuss David Bohm's version of the EPR argument. Bohm's argument is structured around entangled electrons but a similar argument can be constructed for photon states.

Bohm's version of the

We will express the EPR argument in terms of ~~polarization~~ entangled states of ~~light~~ electron spins. ~~There~~ This is isomorphic to polarization states of light (see page 228).

# EPR Argument for electrons

Consider a process that produces two particles with opposite spin. A Stern-Gerlach analyzer, which measures the component of spin along a specific axis is located at two places A + B



A  
("Alice")

$$| \psi \rangle = \frac{1}{\sqrt{2}} ( | \uparrow \rangle_A | \downarrow \rangle_B - | \downarrow \rangle_A | \uparrow \rangle_B )$$

B  
("Bob")

For spin, it is important to note that  $[S_x, S_z] \neq 0$

1. Alice orients her SG along  $\hat{z}$   $\hat{a} \parallel \hat{z}$

she reads "spin up" or "spin down" say spin up

Since the two particles are pairs then Bob's particle must be spin down along  $\hat{z}$ .

Alice has measured the component of Bob's particle.

2. Point A can be very far from point B so what goes on at A cannot have an effect on B (this is the locality assumption). Even though Alice's experiment may have had an effect on her particle it should not affect Bob's!

This EPR concludes that Bob's particle must have had spin down before Alice made her measurement!

3. Now Alice aligns her SG along  $\hat{x}$ . The same argument can be made about Bob's particle.

Thus the two complementary variables  $S_z$  and  $S_x$  exist and have definite values

### Conclusions from EPR Argument

The EPR argument is based on locality.

~~(justified if "something" can move faster than light)~~

The EPR ~~experiment~~ <sup>argument</sup> ~~demonstrates~~ <sup>tries to show</sup> that quantum mechanics is an incomplete theory since there are "hidden variables" to quantum mechanics that are well defined (according to the EPR Argument). Thus a better theory, a local hidden variable theory is needed.

However, the EPR argument is in error due to its locality assumption. Can we set up a condition to test the EPR argument? Thus we are testing locality.

### Bell's theorem (Bell's inequality) 1964

John Bell devised a logical argument in the form of an inequality as a method to test the conclusions from the EPR argument.

# Lecture 41 Bell's Inequality and the EPR Argument

## Bell's theorem (Bell's Inequality)

John Bell devised a logical argument in the form of an inequality as a method to test the conclusions of the EPR Argument.

Note there are many versions of the inequality. We will discuss two important versions

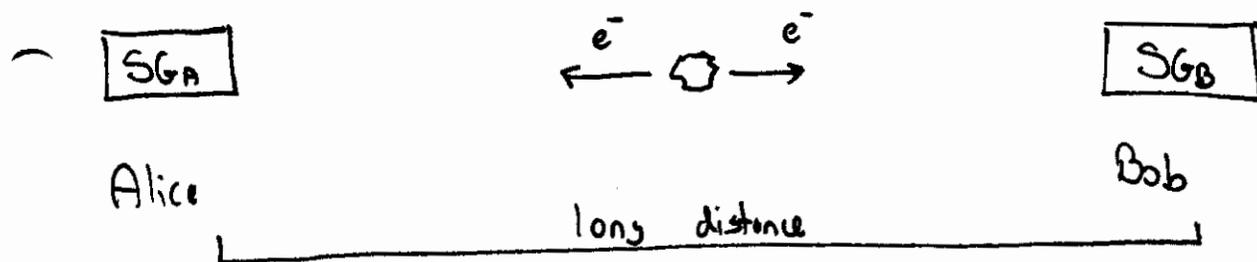
1) Bell's "original" inequality

$$|C_{HV}(\hat{a}, \hat{b}) + C_{HV}(\hat{a}, \hat{c})| \leq 1 + C_{HV}(\hat{b}, \hat{c})$$

2) The Clauser Horn Shimony + Holt version (CHSH)

$$-2 \leq C_{HV}(\hat{a}, \hat{b}) + C(\theta', \phi) + C(\theta'', \phi') - C(\theta', \phi') \leq 2$$

# Revisit EPR



$$|4\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle)$$

1) Alice orientates her SG along  $\hat{z}$   
Measures up  $S_z = +1$

2) So Bob's particle must have  $S_z = -1$  even if he does not measure it.

3) But Bob has a choice, so instead he aligns his SG along  $\hat{x}$  and measures  $+1$

4) Thru Alice's measurement and Bob's we have measured both  $S_x$  and  $S_z$  precisely.

~~By Quantum mechanics  $S_x$  and  $S_z$  do not commute. But we have measured both of them~~

But QM tells us that  $S_x$  and  $S_z$  do not commute so we cannot measure them precisely!

In the language of EPR, since we have determined  $S_x$  and  $S_z$  precisely, they exist before the measurement. But QM cannot tell us  $\oplus$  values of both  $S_x$  &  $S_z$  so QM must be an incomplete theory.

## Bohr's Response to EPR

- Complementarity applied after long distances
- The context needed to think about the  $\hat{z}$  component of B is not compatible with what is needed to think about  $\hat{x}$  component
- Even though we can predict B without disturbing B there is no experimental situation ~~where~~ where both  $S_x$  &  $S_z$  have meaning.

## Nonlocality "hidden" in Bohr's Response

The measurement <sup>at</sup> A "collapses the wavefunction" which predetermines the result at B without the experiment of A interacting with B.

Is there a way to test this nonlocality  $\Rightarrow$  Bell's inequality

# Back to Alice and Bob

- Alice orients her SG along  $\hat{a}$
- Bob orients his SG along  $\hat{b}$

Alice measures  $A = +1$  "up"  
 $A = -1$  "down"

Bob measures  $B = +1$  "up"  
 $B = -1$  "down"

Let's create the product  $AB$  which depend on the orientation of  $\hat{a}$  to  $\hat{b}$ . One can show



$$\langle AB \rangle_{\text{qm}} = -\hat{a} \cdot \hat{b} = -\cos \phi$$

write as

~~$\langle AB \rangle_{\text{qm}} = -\cos \phi$~~

$C(\hat{a}, \hat{b}) = -\cos \phi$

↑ expectation value

However if we have a local hidden variable theory we can calculate

~~$C_{\text{HV}}(\hat{a}, \hat{b})$~~   $C_{\text{HV}}(\hat{a}, \hat{b})$

Bell's inequality shows ~~no~~ that one can never devise a theory

where

$$C_{\text{HV}}(\hat{a}, \hat{b}) = C_{\text{qm}}(\hat{a}, \hat{b})$$

for all  $\hat{a}, \hat{b}$

"No physical theory of local hidden variables can ever produce the predictions of quantum mechanics"

# Bell's Inequality

$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq 1 + E_{HV}(\hat{b}, \hat{c})$$

(Bell wrote this as  $|C(b,c) - C(a,b) - C(a,c)| \leq 1$  where  $C(x,y) = E(xy)$ )

Quantum optical measurements show a violation of the Bell's Inequality (up to  $242\sigma$ !)

This demonstrates that no hidden variable theory can predict the measured results, which are predicted by Quantum mechanics.

## More about the inequality

### Proof of the inequality

(Consider three parameters A B C  
Bell's inequality states  
Number (A, not B) + Number (B not C)  $\geq$  Number (A not C)

# Back to Alice + Bob : Proof of the inequality

- A does not depend on  $\hat{b}$  (locality)

B does not depend on  $\hat{a}$

$$A = A(\hat{a}, \lambda) \quad \text{not} \quad A(\hat{a}, \hat{b}, \lambda)$$

$$B = B(\hat{b}, \lambda) \quad \text{not} \quad B(\hat{a}, \hat{b}, \lambda)$$

$\lambda =$  "hidden" variable

We can compute the expectation value from the hidden variable theory

$$\textcircled{1} \quad \boxed{E_{HV}(\hat{a}, \hat{b}) = \int AB \, d\lambda} \quad \left\{ \begin{array}{l} \text{Technically should be} \\ C_{HV}(\hat{a}, \hat{b}) = \int d\lambda \rho_A(\hat{a}, \lambda) \rho_B(\hat{b}, \lambda) \rho(\lambda) \end{array} \right.$$

$$\text{EPR case states} \Rightarrow A(\hat{a}, \lambda) = -B(\hat{a}, \lambda) \quad \left\{ \begin{array}{l} \text{Analyzers are ||} \\ \text{opposite ~~spin~~ answers} \end{array} \right.$$

~~Make~~  
Derivation

$$\begin{aligned} E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c}) &= \int (A(\hat{a}, \lambda)B(\hat{b}, \lambda) - A(\hat{a}, \lambda)B(\hat{c}, \lambda)) \, d\lambda \\ &\quad \downarrow \text{use EPR case} \\ &= - \int (A(\hat{a}, \lambda)A(\hat{b}, \lambda) - A(\hat{a}, \lambda)A(\hat{c}, \lambda)) \, d\lambda \\ &\quad \downarrow \text{factor using } |A(\hat{b}, \lambda)|^2 = 1 \\ &= - \int A(\hat{a}, \lambda)A(\hat{b}, \lambda)(1 - A(\hat{b}, \lambda)A(\hat{c}, \lambda)) \, d\lambda \end{aligned}$$

~~Remember~~

Since ~~the~~  $A(\hat{a}, \lambda)A(\hat{b}, \lambda) = +1$  or  $-1$

We can write the inequality

Case II say  $\hat{b}$  makes angle  $60^\circ$  to  $\hat{a} + \hat{c}$

$$\hat{a} \cdot \hat{b} = \hat{b} \cdot \hat{c} = \frac{1}{2} \quad \hat{a} \cdot \hat{c} = -\frac{1}{2}$$

Then

$$\left| \left(-\frac{1}{2}\right) - \left(\frac{1}{2}\right) \right| \stackrel{?}{\leq} 1 + \left(-\frac{1}{2}\right)$$

$$|| \stackrel{?}{\leq} \frac{1}{2}$$

which violates the Bell inequality

So

$$C_{QM} \neq C_{HV}$$

for all  $\hat{a}$   $\hat{b}$  and  $\hat{c}$

~~100~~

$$|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq \left| \int (1 - A(\hat{b}, \lambda)A(\hat{c}, \lambda)) d\lambda \right|$$

Do integration over  $\lambda$

$$\boxed{|E_{HV}(\hat{a}, \hat{b}) - E_{HV}(\hat{a}, \hat{c})| \leq 1 + E_{HV}(\hat{b}, \hat{c})}$$

Show that QM violate the inequality

Case I

Choose  $\hat{a} = \hat{b} = \hat{c}$

$$E_{QM}(\hat{a}, \hat{a}) = -1$$

then

$$|(-1) - (-1)| \leq +1 - 1$$

$$0 \leq 0 \quad \text{good!}$$

This choice does not violate the Bell's inequality

## Bell's Inequality : Review

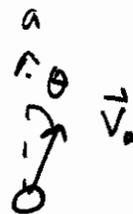
Bell's inequality states that any ~~made~~ local hidden variable theory is not consistent with quantum mechanics for an EPR-like experiment

$$C_{HV}(\hat{a}, \hat{b}) \neq C_{QM}(\hat{a}, \hat{b})$$

for all  $\hat{a}, \hat{b}$

## Example of a local hidden variable theory

Define  $\theta$  angle between  $\hat{a}$  and  $\vec{V}_a$



$\vec{V}_a$  spin vector of particle A

Treat the electrons as actually rotating particles with spin  $\vec{V}$ .

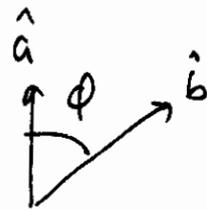
For each electron  $\theta$  will change

Hidden variable  $\Rightarrow$  orientation of  $\vec{V}_a$        $\vec{V}_b = -\vec{V}_a$

Thus  $A = \text{sign}(\cos \theta)$

$B = \text{sign}(-\cos(\theta - \phi))$

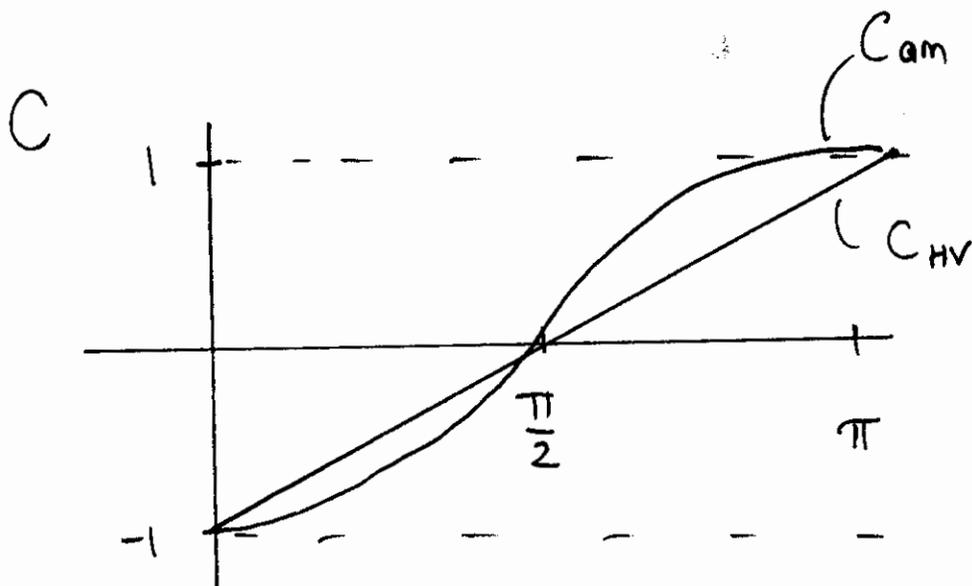
$AB = \text{sign}(-\cos \theta \cos(\theta - \phi))$



$$C_{HV}(\hat{a}, \hat{b}) = \frac{1}{2\pi} \int_{-\pi/2}^{3/2\pi} AB \sin \theta = \frac{\pi}{2} \phi - 1$$

$$C_{\text{am}}(\hat{a}, \hat{b}) = -\cos \phi$$

Compare two results



As Aspect put it :

"The clear violation of the Bell's Inequalities leads to the conclusive rejection of theories that are simultaneously realistic and local"

## Objections on the concept of non locality

Newton	"philosophical absurdity"
Einstein	"Spooky" action at a distance
Bhm	"cannot see any well-founded reason for such objections..."
Aspect	See Nabhre Paper

## Lecture 42

# Optical Tests of EPR: Violations of the Bell's inequality

Wish to discuss two experiments:

1) Aspect et al PRL 49 (2) 1982  
(Grangier, Roger)

Note there are actually three papers in 1982 by this group that show a violation of Bell's inequality

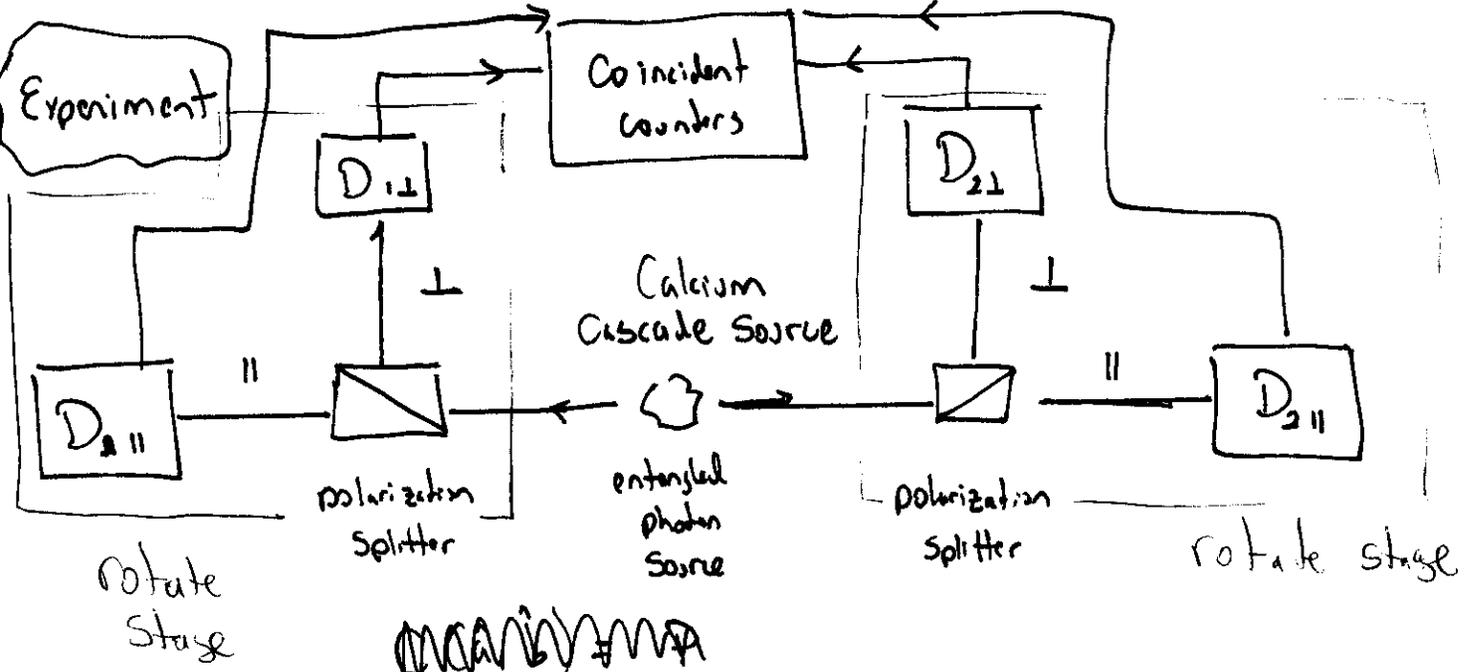
Used atomic cascade source for polarization entangled photons

2) Ou + Mandel PRL 61 (1) 1988

Use spontaneous down conversion for polarization entangled photons

# Aspect et al "Experimental Realization of EPR ..."

- PRL 49 2 1982



~~measured~~

## Tested CHSH Inequality

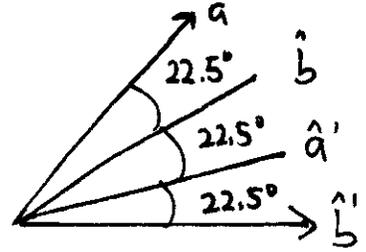
Measured  $S = C(\hat{a}, \hat{b}) - C(\hat{a}, \hat{b}') + C(\hat{a}', \hat{b}) + C(\hat{a}', \hat{b}')$

Where  $-2 \leq S_{HV} \leq 2$

Quantum mechanics predicts for  $22.5^\circ$  angle between all  $\hat{a}$  &  $\hat{b}$

$S_{qm} = \pm 2\sqrt{2}$  in violation to CHSH

$S_{qm} = 2.8284$



They expected (?)  $S(0.084) = 2.70 \pm 0.05$

Note on detector efficiency: Possible ~~Obtain~~ Back Systematic Errors.

$$C(\theta, \phi) = -\eta_{\text{det}}^2 \cos(2(\theta - \phi)) \quad \left( \begin{array}{l} \text{Madel and} \\ 0, \end{array} \right)$$

Why?  $\Rightarrow$  Joint probability of two measurements

So

$$S_{\text{am}} = \eta^2 2\sqrt{2}$$

Bell's (CHSH) inequality is violated when  $S > 2$

$$\text{So } \eta_{\text{det}} \Rightarrow \frac{1}{\sqrt{2}} \approx 0.707 \quad \underline{\underline{84\%!!}}$$

Now Aspect et al modify, the expect result from QM based on actual values of their polarizers. Given the transmission of polarizer 1 + 2, QM would predict

$$C(\hat{a}, \hat{b}) = F \frac{(T_1'' - T_1^\perp)(T_2'' - T_2^\perp)}{(T_1'' + T_1^\perp)(T_2'' - T_2^\perp)} \cos(2(\hat{a}, \hat{b}))$$

where  $F \approx 0.984$

Thus they expected

$$S_{QM} = 2.70 \pm 0.05$$

### Experimental Results

In the experiment, they choose  $\hat{a}, \hat{b}, \hat{a}' + \hat{b}$  such that

$$(\hat{a}, \hat{b}) = (\hat{b}, \hat{a}') = (\hat{a}', \hat{b}) = 22.5^\circ$$

$$(\hat{a}, \hat{b}') = 67.5^\circ$$

They measured

$$S_{Exp} = 2.697 \pm 0.015$$

Using

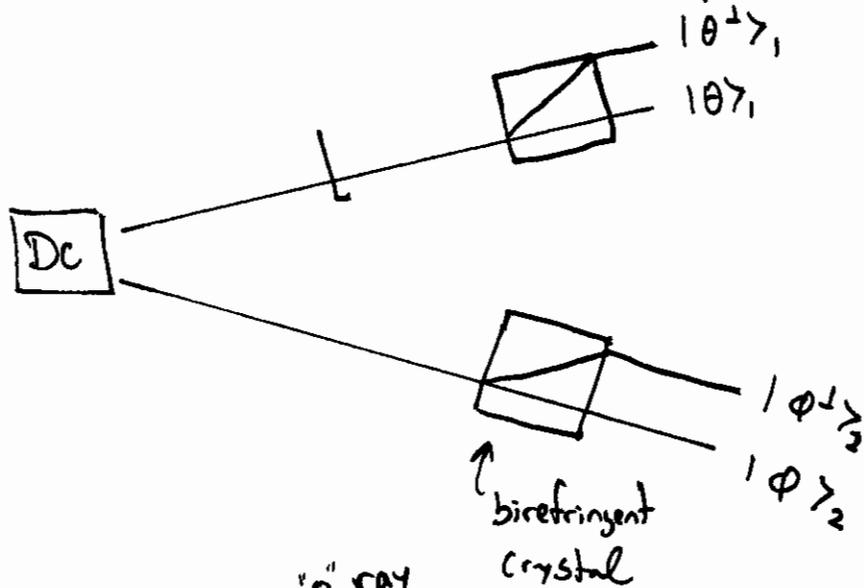
$$C(\hat{a}, \hat{b}) = \frac{R_{++}(\hat{a}, \hat{b}) + R_{--}(\hat{a}, \hat{b}) - R_{+-}(\hat{a}, \hat{b}) - R_{-+}(\hat{a}, \hat{b})}{R_{++}(\hat{a}, \hat{b}) + R_{--}(\hat{a}, \hat{b}) + R_{+-}(\hat{a}, \hat{b}) + R_{-+}(\hat{a}, \hat{b})}$$

Fourfold coincidence counting  $R_{++}(\hat{a}, \hat{b}) \equiv$  coincidence

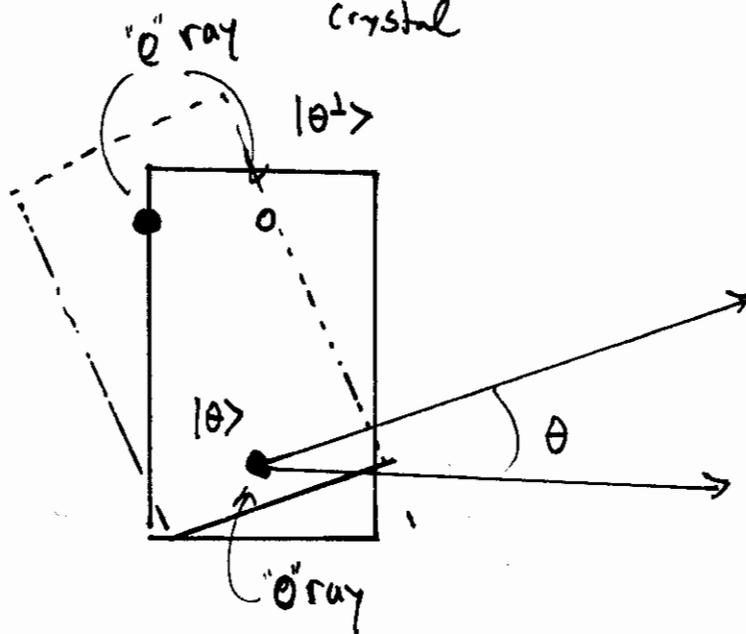
# Mandel and Du

Use spontaneous down conversion

Use similar way to measure the different polarizations



Rotate crystal



"e" ray has polarization  $\perp$  to "o" ray

Initial state

$$|\psi^-\rangle = \frac{1}{\sqrt{2}} (|H\rangle_1 |V\rangle_2 - |V\rangle_1 |H\rangle_2) \quad \text{Bell State}$$

write  $|\theta\rangle_1 = \cos\theta |H\rangle_1 + \sin\theta |V\rangle_1$

$$|\theta^\perp\rangle_1 = -\sin\theta |H\rangle_1 + \cos\theta |V\rangle_1$$

Reduce to

$$|\psi^-\rangle = \frac{1}{\sqrt{2}} (|\theta\rangle_1 |\theta^\perp\rangle_2 - |\theta^\perp\rangle_1 |\theta\rangle_2)$$

~~Answer~~

Now, Back to EPR

If photon in mode 1 is  $|\theta\rangle_1$ , then other photon will be in  $|\theta^\perp\rangle_2$

$\Rightarrow$  Strong correlation in  $|\psi^-\rangle$

Is locality violated here

Further more  $\Rightarrow$  the polarization states are isomorphic to spin  $\frac{1}{2}$  states

Define  $\hat{\Sigma}_1 \equiv |\theta\rangle\langle\theta^\perp| + |\theta^\perp\rangle\langle\theta|$

$$\hat{\Sigma}_2 \equiv -i (|\theta\rangle\langle\theta^\perp| - |\theta^\perp\rangle\langle\theta|)$$

$$\hat{\Sigma}_3 \equiv |\theta\rangle\langle\theta| - |\theta^\perp\rangle\langle\theta^\perp|$$

These satisfy

$$[\hat{\Sigma}_i, \hat{\Sigma}_j] = 2i \epsilon_{ijk} \hat{\Sigma}_k \Rightarrow \text{Spin states}$$

Heisenberg uncertainty come into play with components of polarization.

### Test of Bell's Inequality

Define correlation function

$$C(\theta, \phi) = \text{Average} [A(\theta) B(\phi)]$$

photon 1  $A(\theta) = +1$  photon out "o" beam  $|\theta\rangle_1$

$= -1$  photon out "e" beam  $|\theta^\perp\rangle_1$

photon 2  $B(\phi) = +1$  " "

Product  $A(\theta) B(\phi)$

+1  $|\theta\rangle_1, |\phi\rangle_2$  or  $|\theta^\perp\rangle_1, |\phi^\perp\rangle_2$

-1  $|\theta\rangle_1, |\phi^\perp\rangle_2$  or  $|\theta^\perp\rangle_1, |\phi\rangle_2$

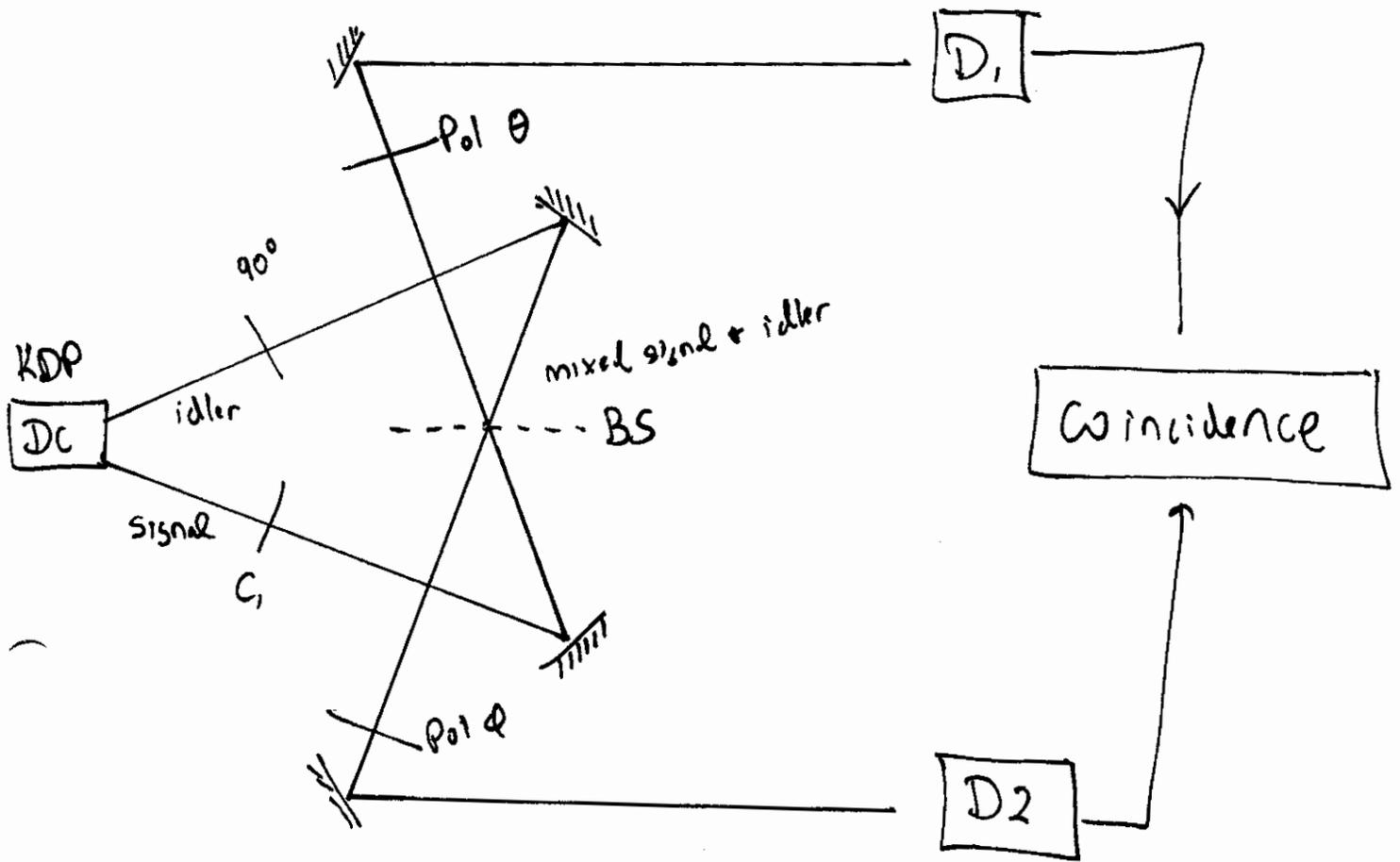
Average  $C(\theta, \phi) = -\cos(2(\theta - \phi))$

same for

$$C(\theta, \phi) = \langle \Psi^- | \hat{\Sigma}_3^{(1)}(\theta) \hat{\Sigma}_3^{(2)}(\phi) | \Psi^- \rangle = -\cos(2(\theta - \phi))$$

# Experimental Setup Mandel + Os

— A bit more complicated than described



# Results

## Bell's Inequality

$$S = C(\theta, \phi) - C(\theta, \phi') + C(\theta', \phi') \\ + C(\theta', \phi) - C(\theta', -) - C(-, \phi) \leq 0$$

no polarizer } ~~The Aspect experiment should have do counts without the polarizers to get  $R_{++}$  and  $R_{--}$~~

Set  $\theta = 22.5^\circ$        $\theta' = 67.5^\circ$

$\phi = 45^\circ$        $\phi' = 0$

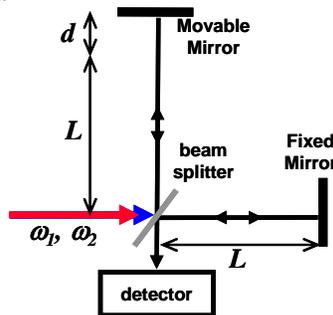
Measured

$$\tilde{S}_{\text{exp}} = (11.5 \pm 2.0) \text{ min}^{-1}$$

The purpose of the mini-projects is to offer problems in nonlinear and quantum optics in a format that mimics problem-solving scenarios found in a research environment. Buried in the mini-projects are questions that I do not expect you to know or are the solution easily found in the book. This mini-project consists of problems that should be a review of topics that will be important for our initial introduction to nonlinear optics.

### 1. Interference of two continuous wave lasers in a Michelson interferometer

We wish to build a Michelson interferometer to measure the frequency difference between two continuous wave lasers. The first laser has a center frequency of  $\omega_1$  (632.8 nm) and the second has a close but unknown center wavelength ( $\omega_2 = \omega_1 + \delta\omega$ ). Both lasers have a power of 1 mW, and the polarizations are both vertical. The first step in building our interferometer is to choose a proper beam splitter that has 50% power reflectivity at an orientation of 45 degrees with respect to the input beam.



In the lab we have a beam splitter is made of fused silica of thickness 0.5 cm with an unknown transmission. We need to determine if this beam splitter will work for our interferometer.

1. Is the laser's polarization TE or TM?
2. Compute the power transmitted and reflected from the first surface of the beam splitter. Ignore any absorption.
3. Compute the power transmitted and reflected from the second surface of the beam splitter.
4. Give reasons why this beam splitter a bad choice for our Michelson interferometer.

To make our interferometer a second splitter is used that is very thin and has a power reflectivity of 50% at 632.8 nm for an angle of 45 degrees. If only one laser for frequency  $\omega$  is used and the beam splitter is 50%, the interference is given by

$$I(\tau) = I_0 [1 + \cos(\omega\tau)] \quad (1)$$

where  $\tau$  is the optical delay between the two arms in the interferometer,  $I_0$  is the intensity of the input laser,.

5. Show that  $\tau$  relates to  $d$  in the figure by  $\tau = 2d/c$ , where  $c$  is the speed of light.
6. Derive the interference equation for the Michelson interferometer defining  $I_1$  as the intensity in the fixed arm of the interferometer and  $I_2$  as the intensity in the variable arm. Then derive Equation (1) by setting  $I_1 = I_2 = 1/2 I_0$ .

Now the two lasers of frequencies  $\omega_2$  and  $\omega_1$  are input into the Michelson interferometer. The resulting interference relation will be

$$I(d) = I_0 \left[ 1 + \cos\left(\frac{\omega_1 + \omega_2}{2} \frac{2d}{c}\right) \cos\left(\frac{\omega_1 - \omega_2}{2} \frac{2d}{c}\right) \right] \quad (2)$$

7. Using (2) determine a method by varying  $d$  to measure the  $\delta\omega$  between the two lasers input into the interferometer.

### 2. Quartz as a birefringent material

Crystalline quartz is a birefringent material used in many polarization optics.

1. Describe the crystal type and its birefringent properties.
2. Plot the ordinary and extraordinary indices of refraction at from 500 nm to 1000 nm.
3. To make a quartz quarter-wave zero-order retardation plate at 800 nm, how thick does the plate need to be?

### 3. Ultrashort pulse dispersion in fused silica

A train of ultrashort optical pulses is produced by a mode-locked Ti:sapphire laser. Each pulse has an electric field profile of hyperbolic secant, is transform limited, and each have a duration of 10 fs full-width half maximum (FWHM). The laser's repetition rate is 100 MHz and the average power from the laser is 100 mW.

1. What is the pulse energy? The peak power?
2. Plot the temporal intensity and phase of the pulse.
3. Plot the spectral intensity and phase of the pulse.

The pulse propagates through a fused-silica window of thickness 1 cm. The dispersion of the fused-silica causes the pulse duration to increase. Consider only quadratic phase distortion ( $\beta_2$ ) due to the fused-silica window.

4. Compute and plot final temporal intensity  $I_{out}(t)$  and phase  $\phi_{out}(t)$  after propagation through the window.
5. Compute and plot final spectral intensity  $I_{out}(\omega)$  and phase  $\phi_{out}(\omega)$  after propagation through the window.
6. Is the “chirp” of the pulse positive or negative?
7. Does the pulse have the same spectral bandwidth before and after the window?
8. What is the final pulse duration (FWHM) after the fused silica window?

Now, ignore the quadratic phase distortion but let the fused silica window have only cubic phase distortion  $\beta_3$ .

9. Compute and plot final temporal intensity  $I_{out}(t)$  and phase  $\phi_{out}(t)$  after propagation through the window. Consider only quadratic phase distortion due to the fused-silica window.
10. Compute and plot final spectral intensity  $I_{out}(\omega)$  and phase  $\phi_{out}(\omega)$  after propagation through the window.
11. Set  $\beta_3 = -\beta_{3, \text{fused silica}}$  and find  $I_{out}(t)$ . How is the temporal intensity different than in Question 9?

#### 4. One dimensional anharmonic oscillator

The Lorentz model of the atom, which treats a solid as a collection of harmonic oscillators, is a good classical model that describes the linear optical properties of a dielectric material. This model can be extended to nonlinear optical media by adding anharmonic terms to the atomic restoring force. In the lecture we will look closely at this model but let's first solve the differential equations for a one-dimensional anharmonic oscillator.

Consider a one-dimension anharmonic oscillator of mass  $m$  under the influence of the nonlinear restoring force:

$$F(x) = -kx - \alpha x^2 - \beta x^3$$

where  $\omega_0^2 = k/m$  is the natural frequency sans any anharmonic terms. Let  $m = 1$  kg and  $k = 0.1$  N/m.

1. Plot the potential energy for the above force using  $\alpha = 0.01$  N/m<sup>2</sup> and  $\beta = 0$  N/m<sup>3</sup>. Compare it to the potential energy of a simple harmonic oscillator.
2. Plot the potential energy for the above force using  $\alpha = 0$  N/m<sup>2</sup> and  $\beta = 0.01$  N/m<sup>3</sup>.
3. Now, let  $\alpha = 0.01$  N/m<sup>2</sup> and  $\beta = 0.01$  N/m<sup>3</sup>. Numerically solve the 2<sup>nd</sup> order differential equation of motion, solving for  $x(t)$  for  $t=0$  to 20 seconds assuming that  $x_0 \equiv x(0) = 0.1$  m and  $\dot{x}(0) = 0$  m/s. By plotting  $x(t)$  determine the frequency of oscillation  $\omega$ . How does it compare to  $\omega_0$ ?
4. Find  $x(t)$  for  $x_0 = 10$  m and  $\dot{x}(0) = 0$  m/s, letting  $\alpha = 0.01$  N/m<sup>2</sup> and  $\beta = 0.01$  N/m<sup>3</sup>. What is the new frequency of oscillation and how does it compare to  $\omega_0$ .
5. An analytic approximation for  $\omega(x_0)$ , derived using the method of successive approximations (see Landau's *Mechanics*), is given by

$$\omega(x_0) = \omega_0 + \left( \frac{3\beta}{8\omega_0} - \frac{5\alpha^2}{12\omega_0^3} \right) x_0^2$$

Compare your numerical  $\omega(x_0)$  to the analytic approximation expression for  $x_0 = 0.1$  m to 10 m.

6. The Fourier series for  $x(t)$  is given by the expression

$$x(t) = \frac{a_0}{2} + \sum_{n=1}^{\infty} a_n \cos\left(\frac{2n\pi}{T}t\right) + \sum_{n=1}^{\infty} b_n \sin\left(\frac{2n\pi}{T}t\right)$$

where the period  $T = 2\pi/\omega$  and the Fourier series coefficients are given by

$$a_n \equiv \frac{2}{T} \int_0^T x(t) \cos\left(\frac{2n\pi}{T}t\right) dt \text{ and } b_n \equiv \frac{2}{T} \int_0^T x(t) \sin\left(\frac{2n\pi}{T}t\right) dt$$

Numerically solve for  $x(t)$  with  $x_0 = 10$  m using  $\alpha = 0$  N/m<sup>2</sup> and  $\beta = 0.01$  N/m<sup>3</sup>. Find the first five Fourier series coefficients  $a_n$  (where  $n=0, \dots, 4$ ) of the solution  $x(t)$ . Explain why  $b_n = 0$  for all  $n$ .

7. Numerically solve for  $x(t)$  with  $x_0 = 10$  m using  $\alpha = 0.01$  N/m<sup>2</sup> and  $\beta = 0$  N/m<sup>3</sup>. Find the first five Fourier series coefficients  $a_n$  of the solution  $x(t)$ .
8. Compare the odd terms of  $a_n$  for the case where  $\alpha = 0, \beta \neq 0$ . Compare the even terms of  $a_n$  for the case where  $\alpha \neq 0, \beta = 0$ . How does the symmetry of the restoring force predetermine which order harmonics are produced by the nonlinear oscillator?

Informal Survey for PHYS953:

Name: \_\_\_\_\_

Please answer the following questions completely.

What classes in optics and quantum mechanics have you taken? Where have you taken these classes?

Briefly describe your research interests.

Why do you want to take this class?

How many hours per week can you spend on homework for this class?

Which of the topics listed in the syllabus seem most interesting to you?

Are there other topics that we should cover in this class?

### 1. Second Harmonic Generation in Potassium Dihydrogen Phosphate (KDP)

You wish to produce second harmonic generation (SHG) of a continuous wave Nd:YAG laser centered at 1064 nm. To do this you will use a KDP crystal that is cut to produce the second harmonic using Type I<sup>(-)</sup> (ooe) phase matching. A single laser provides the fundamental fields for the  $E_1$  and  $E_2$  fields at frequency  $\omega = \omega_1 = \omega_2$  (corresponding to 1064 nm), the second harmonic field will be the  $E_3$  field at  $\omega_3 = 2\omega$ . Thus,  $E_1 = E_2$  and half of the total power is shared among these fields. The laser power is  $P = 0.2$  W and beam diameter (assuming a “top-hat” spatial profile) of the laser in the crystal is  $10 \mu\text{m}$ . The length of the crystal is  $L = 1.0$  cm

Type I<sup>(-)</sup> phase matching implies that the fundamental fields ( $E_1 = E_2$ ) are both orientated along the ordinary (o) axis and the second harmonic ( $E_3$ ) is orientated along the extraordinary (e) axis of the negative uniaxial KDP crystal. The ordinary and extraordinary indices of refraction as a function of wavelength for KDP are given by the following Laurent series expressions (where  $\lambda$  is expressed in  $\mu\text{m}$ ):

$$n_o^2(\lambda) = 2.2576 + \frac{1.7623\lambda^2}{\lambda^2 - 57.898} + \frac{0.0101}{\lambda^2 - 0.0142} \quad n_e^2(\lambda) = 2.1295 + \frac{0.7580\lambda^2}{\lambda^2 - 127.0535} + \frac{0.0097}{\lambda^2 - 0.0014} \quad (1)$$

$$n_e(\theta, \lambda) = \left[ \frac{\sin^2 \theta}{n_e^2(\lambda)} + \frac{\cos^2 \theta}{n_o^2(\lambda)} \right]^{-1/2}$$

For this process  $d_{\text{eff}}$  will have the form  $d_{\text{eff}} = d_{\text{ooe}} = d_{36} \sin \theta \sin 2\phi$  where  $d_{36} = 0.39$  pm/V for KDP.

1. What is the wavelength of the second harmonic generated field ( $E_3$ )?
2. Assuming the phase matching process is Type I<sup>(-)</sup> (ooe), find the phase matching angle  $\theta_{\text{pm}}$  where  $\Delta k = 0$ .
3. Assuming the phase matching process is Type I<sup>(-)</sup> (ooe), what are the values of  $n_1, n_2, n_3$  where  $n_j = n(\lambda_j)$ .

Make sure to use the proper index  $n_j$  (either  $n_e(\theta, \lambda)$  or  $n_o(\lambda)$ ) when computing  $n_1, n_2, n_3$

You try to orientate the crystal for perfect phase matching, however you make an error and set the crystal at angles  $\theta = 0.995\theta_{\text{pm}}$  and  $\phi = 45^\circ$ .

4. Find  $d_{\text{eff}}$  under these conditions in units of pm/V
5. Compute the phase mismatch  $\Delta k$  under these conditions. Use the proper  $n_1, n_2, n_3$ .
6. Determine the initial electric field amplitudes  $A_1(z=0)$  and  $A_2(0)$  in V/m from the given total input power of  $P = 0.2$  W. Remember that irradiance (intensity) has units of  $\text{W}/\text{m}^2$  and is given by

$$I_j = 2\varepsilon_0 n_j c A_j A_j^* \text{ in units of } \text{W}/\text{m}^2 \quad (2)$$

7. What is the initial amplitude of  $A_3(0)$ ?
8. Numerically solve the three coupled differential equations derived in class for the amplitudes  $A_1(z)$ ,  $A_2(z)$  and  $A_3(z)$ . Assume the possibility of pump depletion,  $\theta = 0.995\theta_{\text{pm}}$  and  $\phi = 45^\circ$ . Plot  $I_3(z)$  and  $I_1(z)$  for  $z=0$  to  $L$ .
9. Is the fundamental power depleted at  $z=L$ ?
10. Using your numerical solution, determine the output SHG power in Watts at  $z=L=1.0$  cm. Is the power at  $z=L$  the maximum SHG power produced at any position  $z$  in the crystal?
11. Determine the SHG conversion efficiency  $\eta_{\text{SHG}}(z) \equiv I_3(z)/[I_1(0) + I_2(0)]$  at  $z=L$ .

Now you set the angle  $\theta$  for perfect phasematching  $\theta = \theta_{\text{pm}}$  thus setting the phase mismatch  $\Delta k$  to zero.

12. Solve the coupled differential equations again with  $\theta = \theta_{\text{pm}}$  and  $\Delta k = 0$ , using the correct values of  $n_1, n_2, n_3$ .
13. What SHG power and SHG conversion efficient at  $z=L$ ? Is it larger than before?

We can define a nonlinear length  $L_{\text{NL}}$  which is a length scale that determines the strength of the nonlinearity. Note that  $\eta_{\text{SHG}}(z = L_{\text{NL}}) \simeq 0.58$  for perfect phase matching. A form for the nonlinear length is given by

$$L_{\text{NL}} = \frac{1}{4\pi d_{\text{eff}}} \sqrt{\frac{2\varepsilon_0 n_1 n_2 n_3 c \lambda_1^2}{I_1(0)}} \quad (3)$$

14. Compute  $L_{\text{NL}}$  using Eq. 3. How does it compare to  $L = 1$  cm?
15. Solve the coupled differential equations setting  $L = 4L_{\text{NL}}$  for  $\Delta k L = 10$ ,  $\Delta k L = 1$  and  $\Delta k L = 0$ . Plot the conversion efficiencies  $\eta_{\text{SHG}}(z)$  and  $\eta(z) \equiv [I_1(z) + I_2(z)]/[I_1(0) + I_2(0)]$  as a function of  $z$  for the three cases. Which case produced the most SHG power and the largest  $\eta_{\text{SHG}}(L)$ ?

## 2. Second Harmonic Generation (SHG) of an Ultrashort Pulse

You wish to build an experiment to accurately measure the pulse duration of ultrashort pulses produced by a Chromium: Forsterite (Cr:F) laser. You do not need to know the details of the experiment, only that it needs second harmonic generated light to work. Thus a nonlinear crystal is needed to produce this SHG: the fundamental pulse (the pulse from the Cr:F laser) will be used to produce a SHG pulse using a nonlinear crystal. Phasematching in this nonlinear crystal will be obtained using angle tuning.

The Cr:F laser center wavelength is at 1275 nm, and it produces an average power of 0.5 W. The second harmonic light will be at 637.5 nm. The beam diameter is 50  $\mu\text{m}$  in the crystal (assuming a “top-hat” spatial profile). A single pulse exits the laser every 10 ns thus the laser has a repetition rate of 100 MHz. An estimate of the pulse duration is roughly 20 fs full width at half maximum (FWHM).

Your job is choose a nonlinear crystal to generate second harmonic light at 637.5 nm from fundamental Cr:F laser pulses at 1275 nm.

1. What is the name of the crystal you would use? Find a common and easily purchased crystal that has the smallest absorption  $\alpha$  (in units of 1/m) at the fundamental wavelength of 1275 nm.
2. Where could you buy this crystal? If you cannot find a vendor choose a different crystal. Use the internet.
3. Is the crystal uniaxial or biaxial? If your answer is biaxial, choose a different crystal.
4. Is the crystal negative or positive uniaxial?
5. What type of phase matching would you use? Type I or Type II? ooe or oeo or something else?
6. Given your choice of crystal and phase matching type, what would be the phase matching angle  $\theta_{PM}$ ?
7. What would be  $d_{eff}$  for your crystal in pm/V?

As discussed in class, each crystal has a finite phase matching bandwidth for pulsed SHG depending on the thickness of the crystal. This means that a given crystal cannot simultaneously phase match all spectral components of the pulse. For pulsed SHG you wish to have the longest crystal possible in order to get the most SHG power *but not at the cost of severely filtering the SHG spectrum!*

8. Given that the pulse duration approximately 20 fs FWHM, estimate the transform-limited spectral FWHM bandwidth of the fundamental pulse spectrum  $I(\lambda)$  in nanometers?
9. Using the above pulse as the fundamental, what is the SHG spectral bandwidth (FWHM) in nanometers. The SHG spectrum  $I_{SHG}(\omega)$  is proportional to the autoconvolution of the fundamental spectrum:

$$I_{SHG}(\omega) \propto \int I(\eta - \omega)I(\eta)d\eta \quad (4)$$

10. Make an educated guess for the optimal crystal thickness  $L$  needed for proper phase matching. Make your choice based on the longest crystal that does not severely filter the SHG spectrum. (Hint: the thickness should be between 0.001 and 1 mm). Remember, the spectral filter function  $H(\omega)$  due to the phase mismatch is given by

$$H(\lambda) = \left( \frac{\sin(\Delta k(\theta, \lambda)L)}{\Delta k(\theta, \lambda)L} \right)^2 \quad \text{where } L \text{ is the crystal thickness.} \quad (5)$$

11. Determine the spectral width of the filtered SHG spectrum  $H(\lambda)I_{SHG}(\lambda)$  in nanometers.

### 1. Soliton Propagation in a Single-Mode Optical Fiber

An optical soliton forms due to the interplay of anomalous group velocity dispersion (GVD) and self-phase modulation (SPM) in an optical fiber. For an ultrashort pulse injected into the fiber, GVD causes the pulse temporal envelope to broaden while SPM causes the spectral width to increase. A soliton forms when the two effects are balanced, which happens when the total amount of dispersion and nonlinearity is just right. We can define the nonlinear length ( $L_{NL}$ ) and dispersion length ( $L_D$ ) in the fiber in terms of the peak power  $P_0$ , the pulse duration FWHM  $\Delta t$ , group velocity dispersion  $\beta_2$ , and the effective nonlinearity  $\gamma$  by

$$L_{NL} = \frac{1}{\gamma P_0} \text{ and } L_D = \frac{T_0^2}{|\beta_2|} \quad \text{where } T_0 = \frac{\Delta t}{2 \ln(1 + \sqrt{2})} \text{ and } \gamma = \frac{n_2 \omega}{c \pi r^2}.$$

A first order soliton occurs when  $L_{NL}/L_D = 1$ .

A hyperbolic secant pulse with center wavelength  $\lambda_0 = 1550$  nm and pulse duration  $\Delta t = 100$  fs FWHM propagates through a length  $L_D$  of a single-mode optical fiber. The optical fiber has a core radius of  $r = 4.1$   $\mu\text{m}$  and an index difference  $\Delta n = 0.008$  between the core and cladding index or refraction. The value for the nonlinear index of refraction is  $n_2 = 3 \cdot 10^{-20}$  m<sup>2</sup>/W. The fiber core consists of germanium-doped fused silica whose index of refraction is given by the three term Sellmeier equation (valid for wavelength in  $\mu\text{m}$ ):

$$n^2(\lambda) = 1 + \sum_{i=1}^3 \frac{B_i \lambda^2}{\lambda^2 - C_i^2} \quad \text{where } \begin{matrix} B_1 = 0.711040, B_2 = 0.451885, B_3 = 0.704048 \\ C_1 = 0.064270, C_2 = 0.129408, C_3 = 9.45478 \end{matrix} \quad (1)$$

(The fiber cladding consists of fused silica, which has a smaller index of refraction than germanium-doped fused silica. We will not need to use its Sellmeier equation for the problem.) The wave guiding due to the fiber geometry changes the total dispersion that the pulse experiences. The propagation constant  $\beta(\omega)$  for the fiber, which represents the  $z$  component of the wavevector  $\mathbf{k}(\omega)$ , is given by

$$\beta(\omega) = n(\omega) \sqrt{1 + 2\Delta n b(\omega)}.$$

The propagation constant is expressed where  $\Delta n$  is the index difference between core and cladding,  $r$  is the core radius, and  $b(\omega)$  is the normalized mode propagation constant due to the fiber geometry given in terms of the normalized frequency  $V(\omega)$ . An approximate form for  $b(\omega)$  is given by

$$b(\omega) = 1 - \left( \frac{1 + \sqrt{2}}{1 + \sqrt{4 + V(\omega)}} \right)^2 \quad \text{where } V(\omega) \equiv \frac{r\omega}{c} n(\omega) \sqrt{2\Delta n}.$$

1. Show that the value of the second order propagation constant  $\beta_2$  (i.e. group velocity dispersion) at  $\lambda_0 = 1550$  nm is  $-0.0000180$  fs<sup>2</sup>/nm.  $\beta_2$  can be determined from

$$\beta_2(\omega_0) = \left. \frac{d^2 \beta(\omega)}{d\omega^2} \right|_{\omega=\omega_0}$$

2. What is  $L_D$ ? Determine the peak power  $P_0$  for where  $L_{NL}/L_D = 1$ .
3. Consider the pulse propagating through  $L_D$  of fiber experiencing only group velocity dispersion (no nonlinear effects). Plot the temporal chirp  $\omega_{GVD}(t) = \omega_0 - \partial_t \phi_{GVD}(t)$  of the pulse due only to GVD after  $L_D$ .
4. Consider the pulse propagating through  $L_D$  of fiber experiencing only self-phase modulation (no dispersion). Plot the temporal chirp  $\omega_{SPM}(t) = \omega_0 - \partial_t \phi_{SPM}(t)$  of the pulse due only SPM after  $L_D$ .
5. By comparing  $\omega_{GVD}(t)$  and  $\omega_{SPM}(t)$ , explain how the interaction of SPM and GVD leads to soliton formation.

## 2. Partially Degenerate Four Wave Mixing in a Single-Mode Optical Fiber

We wish to determine the pump, signal, and idler frequencies for partially degenerate four-wave mixing (FWM) in an optical fiber. Partially degenerate FWM is described by

$$2\omega_p - \omega_i - \omega_s = 0$$

where we use the terms pump (p), signal (s), and idler (i) as for difference frequency generation. Here we define  $\omega_i > \omega_s$ .

A strong continuous wave laser serves as the pump at  $\omega_p$  of power  $P_0=0.5$  MW. The pump is injected into an optical fiber with a germanium-doped fused silica core. The fiber has a core radius  $4.1 \mu\text{m}$  and index difference  $\Delta n=0.008$  between the core and cladding indices (as in Problem 1).

1. Determine the signal and idler wavelengths produced through partial degenerate four wave mixing for pump wavelengths from  $\lambda_p=900$  to  $2000$  nm. To determine this for a given pump frequency  $\omega_p$  you will need to find the signal  $\omega_s$  and idler  $\omega_i$  frequencies that satisfies both energy conservation and phase matching:

$$2\omega_p - \omega_i - \omega_s = 0$$

$$\Delta k = \Delta k_m + \Delta k_w + \Delta k_{NL} = 0$$

where

$$\Delta k_m = c^{-1} \left( n(\omega_s)\omega_s + n(\omega_i)\omega_i - 2n(\omega_p)\omega_p \right)$$

$$\Delta k_w = \Delta n c^{-1} \left( b(\omega_s)\omega_s + b(\omega_i)\omega_i - 2b(\omega_p)\omega_p \right)$$

$$\Delta k_{NL} = 2\gamma P_0$$

The phase mismatch  $\Delta k$  has contributions due to material dispersion ( $\Delta k_m$ ), waveguide dispersion ( $\Delta k_w$ ), and the fiber nonlinearity ( $\Delta k_{NL}$ ). To determine the phase mismatch, you will need to use the Sellmeier equation and  $b(\omega)$  from the previous problem.

2. Plot  $\lambda_s$  and  $\lambda_i$  versus  $\lambda_p$ .

The zero group velocity dispersion wavelength  $\lambda_{zGVD}$  is  $\sim 1345$  nm for this fiber, which is determined using  $\beta(\omega)$ . Notice that the behavior of  $\lambda_s$  versus  $\lambda_p$  and  $\lambda_i$  versus  $\lambda_p$  is different on the long and short wavelength sides of  $\lambda_{zGVD}$

The purpose of this Mini-project is to expose you to a seminal or groundbreaking paper in nonlinear optics, and to see how this significant paper lead to new research and discoveries. There will be two parts to this Mini-project: Writing the Summary and Reviewing the Summary

### 1. Writing the Summary

You will need to write a short summary of two journal papers. This first paper you will have chosen (by random ballot) from the list below. You will need to pick the second paper, however the second paper must be a relatively recent paper that cites the first paper in its reference section. Example:

Paper 1: Franken, P.A. *et al.*, "Generation of Optical Harmonics", Phys Rev Lett, Vol. 7, 4, 1961  
Time Cited: 564

Paper 2 which references Paper 1: Deng L, Hagley EW, Wen J, *et al.*, "Four-wave mixing with matter waves", Nature, Vol. 398, 6724 Pages: 218-220 Published: MAR 18 1999  
Times Cited: 260

When writing this summary, your target audience will be your fellow classmates and not your instructor. The Summary will consist of a one or two page summary of Paper 1 and a one or two page summary of Paper 2. In the first summary, you must discuss the major results of Paper 1 and the importance of the paper. In the second summary you must discuss the major results Paper 2 and how the results of Paper 1 contributed to these results. The format of the paper should be as follows:

#### Summary Format

Page 1: Title page with your name

Pages 2-3: Summary of Paper 1 (summary may be one page only)

Pages 4-5: Summary of Paper 2 (summary may be one page only)

The Summary needs to be typed and turned in electronically as a PDF file to me at washburn@phys.ksu.edu. Use 10 or 12 pt font, Times New Roman Font, 1 inch margins. Only put your name on page 1.

**Please pay attention to the Review Criteria before writing your Summary. See below.**

### 2. Reviewing the Summary

For Part Two you will evaluate your classmate's summary in a similar fashion as for the review of a journal. The manuscript will be given to you in an anonymous fashion and you must complete your review in an anonymous fashion. You will judge the Summary using the criteria below.

#### Review Criteria

How well does the Summary cover the important results of Paper 1?

How well does the Summary cover the important results of Paper 2?

How well does the Summary show a connection (or show a lack of a connection) between the results of Paper 1 to the result of Paper 2?

Are there any significant formatting, spelling or grammatical errors?

Then make a final decision on the Summary:

- \_\_\_\_\_ Summary is excellent, accept as is with no revisions
- \_\_\_\_\_ Summary needs minor revision
- \_\_\_\_\_ Summary needs major revision
- \_\_\_\_\_ Summary is poor, reject

Complete your review by writing a brief statement answering the following questions and then make a final decision on the Summary. Email the review to me. To be a responsible referee, you will need to read (or at least skim) the papers that the Summary is reviewing. Do not put your name on the review since it will go back to the author. Grades will be given based on the result of the Summary Review and on the quality of your review.

### 3. Due dates

Summary Due: 11/09/07

Review Due: 11/16/07

## Paper List

1. THEORY OF STIMULATED BRILLOUIN AND RAMAN SCATTERING  
Author(s): SHEN YR, BLOEMBERGEN  
Source: PHYSICAL REVIEW Volume: 137 Issue: 6A Pages: 1787-& Published: 1965
2. Experimental evidence for supercontinuum generation by fission of higher-order solitons in photonic fibers  
Author(s): Herrmann J, Griebner U, Zhavoronkov N, et al.  
Source: PHYSICAL REVIEW LETTERS Volume: 88 Issue: 17 Article Number: 173901 Published: APR 29 2002
3. SUPERCONTINUUM GENERATION IN GASES  
Author(s): CORKUM PB, ROLLAND C, SRINIVASANRAO T  
Source: PHYSICAL REVIEW LETTERS Volume: 57 Issue: 18 Pages: 2268-2271 Published: NOV 3 1986
4. SURFACE-PROPERTIES PROBED BY 2ND-HARMONIC AND SUM-FREQUENCY GENERATION  
Author(s): SHEN YR  
Source: NATURE Volume: 337 Issue: 6207 Pages: 519-525 Published: FEB 9 1989
5. OBSERVATION OF SELF-PHASE MODULATION AND SMALL-SCALE FILAMENTS IN CRYSTALS AND GLASSES  
Author(s): ALFANO RR, SHAPIRO SL  
Source: PHYSICAL REVIEW LETTERS Volume: 24 Issue: 11 Pages: 592-& Published: 1970
6. OPTICAL INVESTIGATION OF BLOCH OSCILLATIONS IN A SEMICONDUCTOR SUPERLATTICE  
Author(s): FELDMANN J, LEO K, SHAH J, et al.  
Source: PHYSICAL REVIEW B Volume: 46 Issue: 11 Pages: 7252-7255 Published: SEP 15 1992
7. QUASI-PHASE-MATCHED OPTICAL PARAMETRIC OSCILLATORS IN BULK PERIODICALLY POLED LINBO3  
Author(s): MYERS LE, ECKARDT RC, FEJER MM, et al.  
Source: JOURNAL OF THE OPTICAL SOCIETY OF AMERICA B-OPTICAL PHYSICS Volume: 12 Issue: 11 Pages: 2102-2116 Published: NOV 1995
8. Phase-matched generation of coherent soft X-rays  
Author(s): Rundquist A, Durfee CG, Chang ZH, et al.  
Source: SCIENCE Volume: 280 Issue: 5368 Pages: 1412-1415 Published: MAY 29 1998
9. DISCRETE SELF-FOCUSING IN NONLINEAR ARRAYS OF COUPLED WAVE-GUIDES  
Author(s): CHRISTODOULIDES DN, JOSEPH RI  
Source: OPTICS LETTERS Volume: 13 Issue: 9 Pages: 794-796 Published: SEP 1988
10. MODE-LOCKING OF TI-AL2O3 LASERS AND SELF-FOCUSING - A GAUSSIAN APPROXIMATION  
Author(s): SALIN F, SQUIER J, PICHE M  
Source: OPTICS LETTERS Volume: 16 Issue: 21 Pages: 1674-1676 Published: NOV 1 1991
11. EXPERIMENTAL-OBSERVATION OF PICOSECOND PULSE NARROWING AND SOLITONS IN OPTICAL FIBERS  
Author(s): MOLLENAUER LF, STOLEN RH, GORDON JP  
Source: PHYSICAL REVIEW LETTERS Volume: 45 Issue: 13 Pages: 1095-1098 Published: 1980
12. 2-PHOTON EXCITATION IN CAUF<sub>2</sub> - EU2+  
Author(s): KAISER W, GARRETT CGB  
Source: PHYSICAL REVIEW LETTERS Volume: 7 Issue: 6 Pages: 229-& Published: 1961
13. Bragg grating solitons  
Author(s): Eggleton BJ, Slusher RE, deSterke CM, et al.  
Source: PHYSICAL REVIEW LETTERS Volume: 76 Issue: 10 Pages: 1627-1630 Published: MAR 4 1996
14. Compression of high-energy laser pulses below 5 fs  
Author(s): Nisoli M, DeSilvestri S, Svelto O, et al.  
Source: OPTICS LETTERS Volume: 22 Issue: 8 Pages: 522-524 Published: APR 15 1997

### Nonlinear Processes for the Generation of Quadrature Squeezed Light

This project investigates the use of a nonlinear optical process for the generation of nonclassical light. Do the first three questions for full credit. The other questions will be extra credit.

Consider the superposition state  $|\psi\rangle = a|0\rangle + b|1\rangle$  where  $a$  and  $b$  are complex and satisfy the relationship  $|a|^2 + |b|^2 = 1$ .

1. Calculate the variances of the quadrature operators  $\hat{X}_1$  and  $\hat{X}_2$  (see Eq. 2.52 and Eq. 2.53). The variance of an operator is given by

$$\langle(\Delta\hat{X}_i)^2\rangle = \langle\hat{X}_i^2\rangle - \langle\hat{X}_i\rangle^2$$

Remember that  $\hat{X}_1$  is called the in-phase component and  $\hat{X}_2$  is the in-quadrature component.

2. Show that there exists values of the parameters  $a$  and  $b$  for which either of the quadrature variances become *less* than for a vacuum state. Hint: let  $b = \sqrt{1-|a|^2}e^{i\varphi}$  and  $a^2 = |a|^2$  (this is done without the loss of generality). Plot the variance as a function of  $|a|^2$  for different  $\varphi$ .
3. For the cases where the quadrature variances become less than for a vacuum state, check to see if the uncertainty principle is violated.
4. Verify that the quantum fluctuations of the field quadrature operators are the same for the vacuum when the field is in coherent state (*i.e.* verify Eq. 3.16).

The above result illustrate a case where the expectation value of the quadrature operator becomes less than a vacuum state, even though the quadrature operators must satisfy the minimum uncertainty relationship. Squeezing is the process when one canonical (conjugate) variable has a variance less than the vacuum state but the other canonical variable will have a larger variation in order to satisfy the uncertainty principle. The quadrature operators  $\hat{X}_1$  and  $\hat{X}_2$  are canonical variables and do not commute, thus they have an uncertainty relationship given by Eq. 2.56. Quadrature squeezing occurs when

$$\langle(\Delta\hat{X}_1)^2\rangle < \frac{1}{4} \text{ or } \langle(\Delta\hat{X}_2)^2\rangle < \frac{1}{4}.$$

We can plot a phase space diagram of a normal and squeezed state (see below and on page 154). The area in phase space remains constant to maintain the minimum uncertainty relationship. However, we can “squeeze” the circle into an ellipse while keeping the area constant (like squeezing the Charmin done in class).

Not Squeezed

Squeezed

Quadrature squeezed light can be produced by the second order nonlinear effect known as **degenerate parametric down-conversion**. This process involves two signal ( $s$ ) waves produced by one pump wave ( $p$ ), *i.e.*  $\omega_s + \omega_s - \omega_p = 0$ . This process is a degenerate form of difference frequency generation with the signal wave equal to the idler wave. The Hamiltonian for this degenerate parametric down-conversion is given by

$$\hat{H} = \frac{\hbar}{2} (\chi^{(2)} \hat{a}_s^\dagger \hat{a}_p \hat{a}_s^\dagger + \chi^{*(2)} \hat{a}_s \hat{a}_p^\dagger \hat{a}_s)$$

5. Use the Heisenberg equations of motion (Eq. 2.19) to derive two coupled first order differential equations for  $\frac{d\hat{a}_s}{dt}$  and  $\frac{d\hat{a}_s^\dagger}{dt}$ .
6. What are the solutions to these differential equations if we assume a non-depleted pump? Integrate from time 0 to  $T$ .
7. Show that the quadrature operators have the solution

$$\begin{bmatrix} \hat{X}_1(T) \\ \hat{X}_2(T) \end{bmatrix} = \begin{bmatrix} e^{-\delta T} & 0 \\ 0 & e^{\delta T} \end{bmatrix} \begin{bmatrix} \hat{X}_1(0) \\ \hat{X}_2(0) \end{bmatrix} \text{ where } \delta \equiv i\chi^{(2)}\hat{a}_p$$

8. Consider a coherent state  $|\alpha\rangle$ . Show the mean square fluctuations (variance) result in

$$\begin{bmatrix} \langle \alpha | (\hat{X}_1(T))^2 | \alpha \rangle - \langle \alpha | \hat{X}_1(T) | \alpha \rangle^2 \\ \langle \alpha | (\hat{X}_2(T))^2 | \alpha \rangle - \langle \alpha | \hat{X}_2(T) | \alpha \rangle^2 \end{bmatrix} = \frac{1}{4} \begin{bmatrix} e^{-2\delta T} \\ e^{+2\delta T} \end{bmatrix}$$

This result states that the mean square fluctuations of the in-phase component  $\hat{X}_1$  is exponentially smaller by  $e^{-2\delta T}$  and mean square fluctuations of the in-quadrature component  $\hat{X}_2$  are exponentially larger by  $e^{2\delta T}$ . So the above picture depicts the squeezing performed by the nonlinear process. The bizarre thing about this analysis is that it is also true for a **vacuum state**. One can have vacuum and squeezed vacuum.

9. A third order nonlinear can also be used to produce squeezed light instead. Name a third order nonlinear process that will give rise to squeezed light (Hint: we discussed a third order process that “looks” like difference frequency generation. What was that process?).

Squeezed light and squeezed vacuum has many important applications, specifically for light detection at levels below the quantum noise (*i.e.* shot noise) level. See Henry *et al*, Amer. J. Phys. Vol 56 (4) p. 318 (1988) for more information.

## Final Project: Research Paper

KSU PHYS953, NQO

The final project will be an investigation of a topic or problem in the areas of nonlinear and quantum optics, that will involve a literature search and some original work. The purpose of the paper is to pose a question about your chosen topic and try to answer that question. Please keep in mind you do not to answer the question you have posed. Your paper will be evaluated on a complete literature search, a good discussion on the question, and a well-executed attempt in answering the question.

The final project will consist of three parts:

Part 1: Abstract and bibliography	Due November 19, 2007
Part 2: Six to eight page paper	Due December 3, 2007
Part 3: 10 minute presentation	Starting December 7, 2007

### 1. Part 1

For Part 1, you will need to provide a draft title, abstract, and bibliography. In your abstract, you will need to state a draft question that the paper will try to answer. I will look over your topic and approve it so you can do the rest of the project. On the back is a short list of research topics. Feel free to pick any topic in quantum and nonlinear optics you wish.

### 2. Part 2

Part 2 is a six to eight page paper on your topic. The paper should include:

- The title and abstract
- An introduction to the topic
- A discussion of prior work
- A section stating the question your paper wishes to answer
- A section of your own work investigating the question
- A summary comparing your conclusions with respect to prior work
- A list of references

Paper Format: 10 or 12 pt font, Times New Roman Font, 1 inch margins

### 3. Part 3

For Part 3 you will need to give a 10 minute talk about your topic, with 3 minutes for questions. The talk will be given in class at the times listed below. For your talk, **you will only have the white/black board at your disposal**; do not prepare a computer-based talk. You are encouraged to provide handouts to the class for your talk. Also, you are encouraged to practice your talk using a white/black board before you give your talk. Your talk will be evaluated on the clarity of presentation as well as the use of time (in other words, do not go over time!).

## List of Sample Topics and Questions

- Self focusing in a rare gas with estimations of focusing versus pulse intensity
- Explaining how to describe the Compton effect semi-classically
- Quantum mechanically description of stimulated Raman scattering
- Explaining how to describe the photoelectric effect semi-classically
- Discuss how quantum entanglement can be used for secure communications
- Discuss the theory and operation of an optical parametric chirped-pulse amplification, OPCPA
- Investigate the thermodynamics of laser mode-locking and how nonlinear effects are involved
- Self similar behavior in optical fiber and the third order nonlinearity
- Quantum optics in cold atoms: how does one generate entangled states?
- How to generate entangled light using second order nonlinear processes in crystals.
- What is the quantum eraser and how can one demonstrate this?
- How is two-photon absorption used for biological imaging?
- Discuss the role of electrons and holes in the nonlinear optics of III-V semiconductors
- The quantum mechanics of electromagnetic noise: Shot and thermal noise
- The quantum description of heterodyne and homodyne optical detection
- The quantum theory of a laser: the master equation.
- The role of higher order nonlinear effects in laser mode-locking
- Quantum optical description of electromagnetically induced transparency in atomic systems
- Quadrature squeezing in optical fibers
- Applications of squeezed noise in gravity wave detection
- Nonlinear spectroscopy of gases: theory of saturated absorption
- Entanglement and quantum teleportation of states

Ask me if you want more topics.

## Schedule to the end of the Semester

Nov. 7 (W)	Field Quantization: single mode fields	G2	
Nov. 9 (F)	Field Quantization: multimode fields	G2	MP4 Summary
Nov. 12 (M)	Quadrature Operators and the Quantum Phase: Zero Point Energy	G2	
Nov. 14 (W)	Coherent States	G3	
Nov. 16 (F)	More on coherent states	G3 Exam 2	MP4 Review
Nov. 19 (M)	Phase-space pictures of coherent states	G3 Exam 2 due	Final Project Part 1 Due
Nov. 21 (W)	No Class		
Nov. 23 (F)	No Class		
Nov. 26 (M)	Quantum mechanics of beam splitters: revisit the Aspect experiment	G6	
Nov. 28 (W)	Entanglement	G6	
Nov. 30 (F)	Optical Tests of Quantum Mechanics: EPR Paradox and Bell's Theorem	G9	
Dec. 3 (M)	Optical Tests of Quantum Mechanics: Bell's Theorem and the Aspect experiment	G9	Final Project Part 2 Due
Dec. 5 (W)	Catch-up...TBA		
Dec 7 (F)	Final Project Presentation		Final Project Part 3 Due
Dec 10 (M)	Final Project Presentation, Technically Exam Period 4:10 p.m. - 6:00 p.m. Actual time TBA: Possibly 4:00 p.m. - 7:00 p.m: Cardwell 119 or 220 (I will bring pizza)		Final Project Part 3 Due